

# Bussiness Understanding Report

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## Acronyms

**HA** hemagglutinin. 1, 2

**NA** neuraminidase. 1, 2

**RNA** ribonucleic acid. 1, 2

# 1 background

Influenza viruses are enveloped **ribonucleic acid virus(es)** (RNA virus(es)) and are divided into three types on the basis of **antigenic** differences of internal structural proteins (FDA, 2007).

Two influenza virus types, Type A and B, cause yearly epidemic outbreaks in humans and are further classified based on the structure of two major external **glycoproteins**, hemagglutinin (**HA**) and neuraminidase (**NA**) (FDA, 2007).

Type B viruses, which are largely restricted to the human host, have a single **HA** and **NA** subtype. In contrast, numerous **HA** and **NA** Type A influenza subtypes have been identified to date. Type A and B influenza variant strains emerge as a result of frequent **antigenic** change, principally from **mutations** in the **HA** and **NA glycoproteins** (FDA, 2007).

Since 1977, influenza A virus subtypes H1N1 and H3N2, and influenza B viruses have been in global circulation in humans. The current U.S. licensed **inactivated trivalent vaccines** are formulated to prevent influenza illness caused by these influenza viruses. Because of the frequent emergence of new influenza variant strains, the **antigenic** composition of influenza vaccines needs to be evaluated yearly, and the **inactivated trivalent vaccines** are reformulated almost every year.

Currently, even with full production, manufacturing capacity would not produce enough seasonal influenza vaccine to vaccinate all those for whom the vaccine is now recommended (FDA, 2007).

## 1.1 Influenza mortality estimation models

Numerous works apply regression models to describe seasonal population influenza mortality (Zhou et al., 2012; Green et al., 2013; Iuliano et al., 2018). Reported are varying age-specific influenza burdens during different seasonal epidemics for different regions, but in general young children and elderly are found to be more susceptible to influenza and are advised to be vaccinated annually (Zhou et al., 2012).

Specifically, within the US based work of Zhou et al., 2012, the highest hospitalization rates for influenza were among persons aged  $\geq 65$  years and those aged  $< 1$  year. And, age-standardized annual rates per 100000 person-years varied substantially for influenza. A similar pattern is in Green et al., 2013, where an age shift in Wales and England seasonal influenza burden was observed following the 2009 swine flu pandemic. It is also estimated that globally 291.243–645.832 influenza associated seasonal deaths occur annually (Iuliano et al., 2018). These varying demographic statistics and the volume of influenza patients can confound decision making on national and international public health policies. Knowledge on vaccine efficacy and implementation can be a valuable asset for fighting future seasonal influenza outbreaks.

## 1.2 Vaccine success criteria

Due to the volume and vulnerability of population groups most at risk for influenza, the young and the elderly, a placebo controlled vaccine efficacy study is extremely costly (Zhou et al., 2012). Instead the haemagglutination-inhibiting (HAI) antibody test for influenza virus antibody is used to assess vaccine protection (de Jong et al., 2003). The policy for a successful vaccine is an 4-fold increase in HAI antibody titre after vaccination and a geometric mean HAI titer of  $\geq 40$ . The last is predicted to reduce influenza risk by 50% de Jong et al., 2003.

### 1.3 Finding immunological factors predisposing vaccine HAI antibody response using machine learning

It is known that pre-existing T cell populations are correlated with a HAI antibody response after vaccination. But, the role of T cells in mediating that response is uncertain. In one work it was found that under certain circumstances CD8+ T cells specific to conserved viral epitopes correlated with protection against symptomatic influenza (Sridhar et al., 2013). In other work, populations of CD4+ T cells that associated with protective antibody responses after seasonal influenza vaccinations were found (Bentebibel et al., n.d.). Trieu et al., 2017 reports a stable CD8+ T cell response and an increased CD4+ T cell response after vaccination. It was also reported that repeat vaccinations are an important factor in maintaining CD4+ T cell population (Trieu et al., 2017). How exactly these T cell populations factor into protective influenza immunity and vaccination response is not well understood.

Machine learning has been applied to clinical datasets to find influenza protection markers, such as the described T cell populations and titers of related molecules (Furman et al., 2013; Sobolev et al., 2016; Tsang et al., 2014). These type of studies suffer from data quality issues, such as: inconsistencies between findings depending on the epidemic season, only focussing on one type of biological assay to get data, and a low amount of patients/samples. A successful vaccination is also often not well defined.

### 1.4 Business objectives

Due to the high volume population that needs vaccines, it is important to study immune correlates to vaccine response. For example, repeat vaccination might not be necessary if the response is low, or a different vaccine is desired on a person to person basis depending on immune correlates. Moreover, identifying patterns between vaccine response and immune correlates furthers the understanding of the underlying immunological mechanism of influenza protection.

This work uses the FluPrint database, which aims to solve data quality issues and low dimensionality of prior studies using clinical datasets comprised of viurs, cell and serum sample assays. It does so by incorporating eighth clinical studies conducted between 2007 to 2015 using in total 740 patients, including different types of assays and normalizing their values, and by providing a binary classification of high- and low-responder to a vaccine.

The objectives of this work are to answer:

- What kind of studies can be done using the FluPRINT database?
- What immunological factors correlate to a vaccine responses?

Since this work is an independent study performed for an assignment, the success criteria for these objective will be loosely defined as providing a statistical description or to provide insight in the questions posed in the objectives.

The rationale for these questions and success criteria are based on the scope of the 3EC project as part of the Applied data science profile and the data available. The paper of A. Tomic, I. Tomic, Dekker, et al., 2019 on which this work is mostly based on provides these questions as interesting directions for further analysis, but does not directly provide the data necessary to answer them, only the MySQL database containing a great volume of data.

## 2 Assess situation

### 2.1 data sources

The only source of data used in the project is provided by A. Tomic, I. Tomic, Dekker, et al., 2019. It is a MySQL database for which the installation is described in the [FluPrint Github Repository](#). A template query is also provided by the authors on the github page belonging to an unpublished work by the same authors [SIMON Github Repository](#). According to the authors, this data is the most interesting for the bussiness objective of finding repeat vaccination effects and will be used in this work too A. Tomic, I. Tomic, Rosenberg-Hasson, et al., 2019. The authors give this brief description of the data:

The influenza datasets were obtained from the Stanford Data Miner maintained by the Human Immune Monitoring Center at Stanford University. This included total of 177 csv files, which were automatically imported to the MySQL database to facilitate further analysis. The database, named FluPRINT and its source code, including the installation tutorial are freely available here and on project's website. Following database installation, you can obtain data used in the SIMON publication by following MySQL database query:

Listing 1: Query of initial SIMON data

```
1 SELECT donors.id AS donor_id ,
2     donor_visits.age AS age ,
3     donor_visits.vaccine_resp AS outcome ,
4     experimental_data.name_formatted AS data_name ,
5     experimental_data.data AS data
6 FROM donors
7     LEFT JOIN donor_visits
8         ON donors.id = donor_visits.donor_id
9         AND donor_visits.visit_id = 1
10    INNER JOIN experimental_data
11        ON donor_visits.id = experimental_data.
12           ↪ donor_visits_id
13           AND experimental_data.donor_id = donor_visits.
14              ↪ donor_id
13 WHERE donors.gender IS NOT NULL
14     AND donor_visits.vaccine_resp IS NOT NULL
15     AND donor_visits.vaccine = 4
16 ORDER BY donors.study_donor_id DESC
```

### 2.2 Tools and techniques

Installation of the FluPrint database will require an installation on a unix operating system of [MySQL](#), [PHP](#). More details are at the [FluPrint Github Repository](#).

Database querying was done using the [neovim](#) toolset, personal configuration can be found [here](#).

Since the work this paper is based on uses the R toolset, it is also used here (A. Tomic, I. Tomic, Dekker, et al., 2019; A. Tomic, I. Tomic, Rosenberg-Hasson, et al., 2019). Especially crucial is the R package `mulset`, which was made by the authors. This package is used to deal with missing data between different clinical studies and years, and thus will be used to generate complete data tables in this paper too. All scripts in this work were composed using tidyverse packages in combination with modelling packages.

## 2.3 Requirements of the project

Requirements of this work are to show ability in using data science methods. As such, most of the insights will inevitably be a replication of the work done by the authors of the FluPrint database A. Tomic, I. Tomic, Rosenberg-Hasson, et al., 2019, but all the scripts and analysis done are original work and are supplied together with the final deliverable.

Since the data type used here is a database this makes it more complicated for an examiner to reproduce all code, especially since installing the database requires a unix operating system. This is not considered problematic since the queried tables from the database will be included in the final deliverable.

Reporting of the project follows the CRISP-DM methodology, where at each stage of the project a separate report is written during the analysis work. In the end the most important information is kept and incorporated in a final report that is assumed to be graded in conjunction with the code.

## 2.4 Assumptions of the project

This work assumes that the focus point of the evaluation lies on the methodology used, and the ability to apply the basic data science methods learned in the Applied Data Science profile. The answer to business objectives is assumed to be subjective, and it is assumed that the methods used and clarity of insights into the data gained are more important.

It is also assumed that the FluPrint database and other methods used by the authors A. Tomic, I. Tomic, Dekker, et al., 2019; A. Tomic, I. Tomic, Rosenberg-Hasson, et al., 2019 are of high quality, and that this is appropriate for this work. Out of the scope of this work is investigating whether the preprocessing done for the data in the database is valid, since we are not domain experts. A method for querying, cleaning, and generating complete data tables has been provided by the authors and will also be used in this work. It is assumed that the SQL and R methods (in particular the `mulset` R package) in question are allowed to be used as a starting point in this assignment.

## 2.5 Constraints of the project

This work is an unsupervised assignment, and only personal hardware were available. This put constraints on dataset size and computational requirements of analyses. The work was done on a Macbook air (2017) with the OSX big-sur operating system. This means that unix tools were available and there were no technical constraints. The filetypes are only csv files generated by the SQL server.

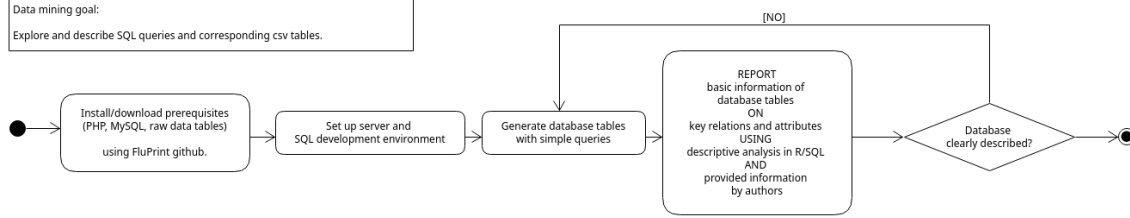


Figure 1: Project plan for the SQL related data mining goal.

### 3 Data mining goals

All business objectives described involve querying data from the FluPrint database. The goal of the authors of the FluPrint database was to provide a unique opportunity to study immune correlates of high vaccine responders across different years and clinical studies. The authors also provide a binary classification for donors. In this work we first and foremost explore the database, and lastly we apply feature selection methods and classification models on the most interesting dataset.

The business objectives can be translated in data mining terminology like so:

- Explore and describe the database and corresponding tables.
- Apply standard feature selection methods to the most interesting datasets.
- Fit classification models to the most interesting datasets.

In data mining terms, the problem type is a combination of exploratory data analysis and classification. Since this work is for a 2-weeks/3EC assignment for the Applied Data Science profile, success criteria for all goals are subjective. For the classification type goals we follow the model evaluation procedure used by the authors A. Tomic, I. Tomic, Rosenberg-Hasson, et al., 2019, models were evaluated by the AUROC metric, and accuracy, specificity and sensitivity were also reported. Insights produced by this work were benchmarked against the work of the original authors.

### 4 Project plan

The first part of the project involved querying the database, and collecting and describing the available data (Figure 1). The first goal is to understand the tables in the SQL database, their key relations, and to describe the attributes within the tables. Valuable info on this part is already provided in the original publication of the database A. Tomic, I. Tomic, Dekker, et al., 2019, but it was also investigated in this work. The tools that will be used are SQL for querying and R for statistical descriptions.

For the modeling data mining goals the plan was to find the immune correlates of high immune responders using a wrapper based feature selection strategy (Figure 2)

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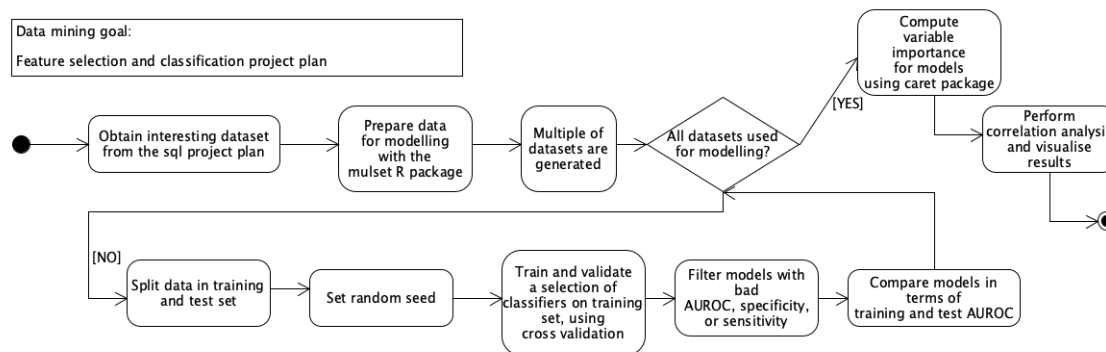


Figure 2: Project plan for the classification and feature selection data mining goal.

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