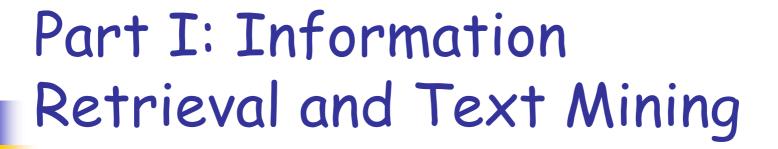


#### Zhou Shuigeng

June 10, 2007



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May 28, 2006

#### Text Databases and IR

- Text databases (document databases)
  - Large collections of documents from various sources: news articles, research papers, books, digital libraries, e-mail messages, and Web pages, library database, etc.
  - Data stored is usually semi-structured
- Information retrieval
  - A field developed in parallel with database systems
  - Information is organized into (a large number of) documents
  - Information retrieval problem: locating relevant documents based on user input, such as keywords or example documents

#### Information Retrieval

- Typical IR systems
  - Online library catalogs
  - Online document management systems
- Information retrieval vs. database systems
  - Some DB problems are not present in IR, e.g.,
     update, transaction management, complex objects
  - Some IR problems are not addressed well in DBMS, e.g., unstructured documents, approximate search using keywords and relevance

## IR Techniques(1)

- Basic Concepts
  - A document can be described by a set of representative keywords called index terms.
  - Different index terms have varying relevance when used to describe document contents.
  - This effect is captured through the assignment of numerical weights to each index term of a document. (e.g.: frequency, tf-idf)
- DBMS Analogy
  - Index Terms → Attributes
  - Weights → Attribute Values

## IR Techniques(2)

- Index Terms (Attribute) Selection:
  - Term extraction
  - Stop list
  - Word stem
  - Index terms weighting methods
- Terms × Documents Frequency Matrices
- Information Retrieval Models:
  - Boolean Model
  - Vector Model
  - Probabilistic Model



### Keyword Extraction

#### Goal:

- given N documents, each consisting of words,
- extract the most significant subset of words → keywords
- Example
  - [All the students are taking exams] -- >[student, take, exam]

#### Keyword Extraction Process

- remove stop words
- stem remaining terms
- collapse terms using thesaurus
- build inverted index
- extract key words build key word index
- extract key phrases build key phrase index

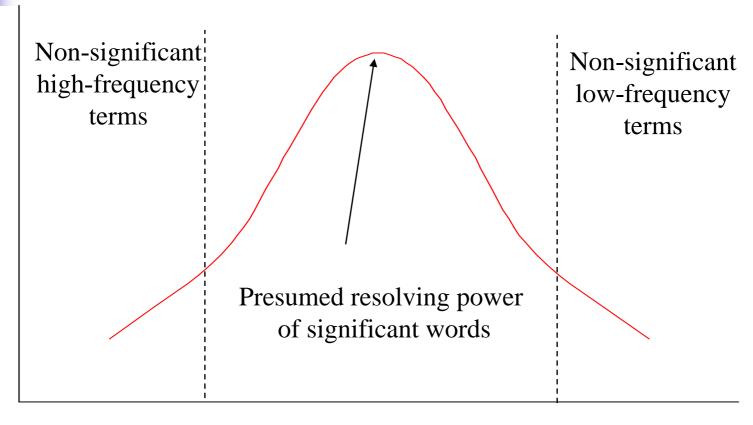


- From a given Stop Word List
  - [a, about, again, are, the, to, of, ...]
  - Remove them from the documents
- Or, determine stop words
  - Given a large enough corpus of common English
  - Sort the list of words in decreasing order of their occurrence frequency in the corpus
  - Zipf's law: Frequency \* rank ≈ constant
    - most frequent words tend to be short
    - most frequent 20% of words account for 60% of usage

## Zipf's Law -- An illustration

Rank(R)	Term	Frequency (F)	R*F (10**6)
1	the	69,971	0.070
2	of	36,411	0.073
3	and	28,852	0.086
4	to	26,149	0.104
5	a	23,237	0.116
6	in	21,341	0.128
7	that	10,595	0.074
8	is	10,009	0.081
9	was	9,816	0.088
10	he	9,543	0.095
			_

## Resolving Power of Word



Words in decreasing frequency order

#### Simple Indexing Scheme Based on Zipf's Law

#### Use term frequency information only:

- © Compute frequency of term k in document i, Freq<sub>ik</sub>
- ① Determine total collection frequency  $TotalFreq_k = \sum Freq_{ik}$  for i = 1, 2, ..., n
- Arrange terms in order of collection frequency
- Set thresholds eliminate high and low frequency terms
- Use remaining terms as index terms

# 4

#### Stemming

- The next task is stemming: transforming words to root form
  - Computing, Computer, Computation → comput
- Suffix based methods
  - Remove "ability" from "computability"
  - "..."+ness, "..."+ive, → remove
- Suffix list + context rules

#### Thesaurus Rules

- A thesaurus aims at
  - classification of words in a language
  - for a word, it gives related terms which are broader than, narrower than, same as (synonyms) and opposed to (antonyms) of the given word (other kinds of relationships may exist, e.g., composed of)
- Static Thesaurus Tables
  - [anneal, strain], [antenna, receiver], ...
  - Roget's thesaurus
  - WordNet at Preinceton

#### Thesaurus Rules can also be Learned

- From a search engine query log
  - After typing queries, browse...
  - If query1 and query2 leads to the same document
    - Then, Similar(query1, query2)
  - If query1 leads to Document with title keyword K,
    - Then, Similar(query1, K)
  - Then, transitivity...
- Microsoft Research China's work in WWW10 (Wen, et al.) on Encarta online

## The Vector-Space Model

- The distinct terms are available; call them index terms or the vocabulary
- The index terms represent important terms for an application → a vector to represent the document
  - <T1,T2,T3,T4,T5> or <W(T1),W(T2),W(T3),W(T4),W(T5)>



computer science collection

T1=architecture T2=bus

T3=computer

T4=database

T5=xml

index terms or vocabulary of the collection

## The Vector-Space Model

Assumptions: words are uncorrelated

#### Given:

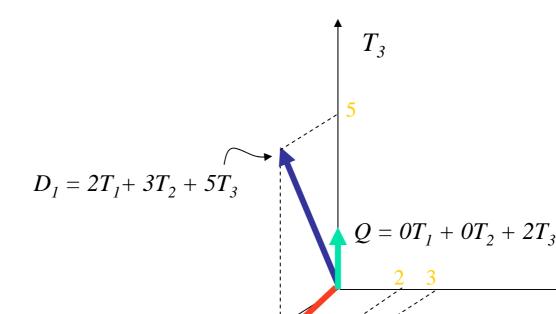
- 1. N documents and a Query
- 2. Query considered a document too
- 2. Each represented by t terms
- 3. Each term j in document i has weight  $d_{ii}$
- 4. We will deal with how to compute the weights later

### Graphic Representation

#### Example:

$$D_{1} = 2T_{1} + 3T_{2} + 5T_{3}$$
$$D_{2} = 3T_{1} + 7T_{2}$$

$$Q = OT_1 + OT_2 + 2T_3$$



$$D_2 = 3T_1 + 7T_2 + T_3 -$$

 $T_2$ 

- Is  $D_1$  or  $D_2$  more similar to Q?
- How to measure the degree of similarity? Distance? Angle? Projection?

# Similarity Measure - Inner Product

Similarity between documents D; and query Q can be computed as the inner vector product:

$$sim(D_i, Q) = \sum_{k=1}^{\infty} (D_i \cdot Q)$$

$$= \sum_{j=1}^{t} d_{ij} * q_j$$

- Binary: weight = 1 if word present, 0 o/w
- Non-binary: weight represents degree of similary
  - Example: TF/IDF we explain later

### Inner Product -- Examples

Size of vector = size of vocabulary = 7

$$\rightarrow$$
 sim(D, Q) = 3

Weighted

$$D_1 = 2T_1 + 3T_2 + 5T_3$$

$$Q = 0T_1 + 0T_2 + 2T_3$$

$$sim(D_1, Q) = 2*0 + 3*0 + 5*2 = 10$$



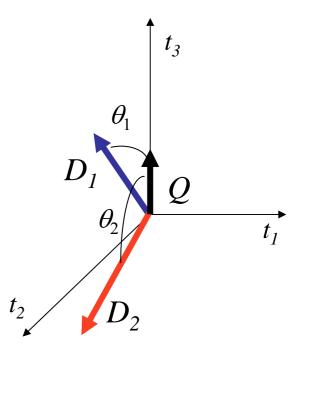
### Properties of Inner Product

- The inner product similarity is unbounded
- Favors long documents
  - long document ⇒ a large number of unique terms, each of which may occur many times
  - measures how many terms matched but not how many terms not matched

## Cosine Similarity Measures

- Cosine similarity measures the cosine of the angle between two vectors
- Inner product normalized by the vector lengths

$$CosSim(D_i, Q) = \frac{\sum_{k=1}^{t} (d_{ik} \cdot q_k)}{\sqrt{\sum_{k=1}^{t} d_{ik}^2 \cdot \sum_{k=1}^{t} q_k^2}}$$



## Cosine Similarity: an Example

$$D_1 = 2T_1 + 3T_2 + 5T_3$$
  $CosSim(D_1, Q) = 5 / \sqrt{38} = 0.81$   
 $D_2 = 3T_1 + 7T_2 + T_3$   $CosSim(D_2, Q) = 1 / \sqrt{59} = 0.13$   
 $Q = 0T_1 + 0T_2 + 2T_3$ 

 $D_1$  is 6 times better than  $D_2$  using cosine similarity but only 5 times better using inner product

### Document and Term Weights

Document term weights are calculated using frequencies in documents (*tf*) and in collection (*idf*)

```
tf_{ij} = frequency of term j in document i
df_j = document frequency of term j
= number of documents containing term j
idf_j = inverse document frequency of term j
= log_2 (N/df_j) (N: number of documents in collection)
```

 Inverse document frequency -- an indication of term values as a document discriminator.

### Term Weight Calculations

Weight of the jth term in ith document:

$$d_{ij} = tf_{ij} \bullet idf_j = tf_{ij} \bullet \log_2(N/df_j)$$

- TF → Term Frequency
  - A term occurs frequently in the document but rarely in the remaining of the collection has a high weight
  - Let  $max\{tf_{ij}\}$  be the term frequency of the most frequent term in document j
  - Normalization: term frequency =  $tf_{ij}/max_{ij}$

# 4

### An example of TF

- Document=(A Computer Science Student Uses Computers)
- Vector Model based on keywords (Computer, Engineering, Student)

```
Tf(Computer) = 2

Tf(Engineering)=0

Tf(Student) = 1

Max(Tf)=2

TF weight for:

Computer = 2/2 = 1

Engineering = 0/2 = 0

Student = \frac{1}{2} = 0.5
```

# 4

### Inverse Document Frequency

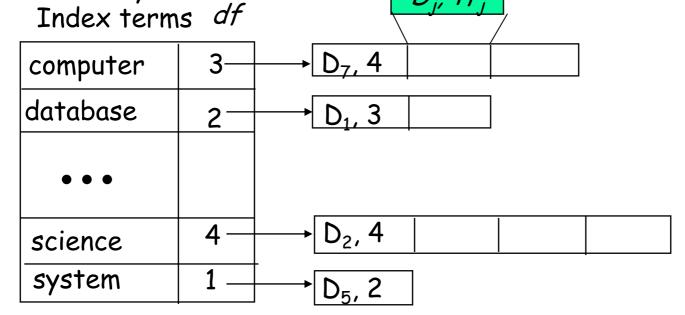
- $Df_j$  gives the number of times term j appeared among N documents
- IDF = 1/DF
- Typically use  $log_2(N/df_j)$  for IDF
- Example: given 1000 documents, computer appeared in 200 of them,
  - IDF=  $\log_2 (1000/200) = \log_2(5)$

## TF IDF

- $d_{ij} = (tf_{ij}/max_{i}\{tf_{ij}\}) \bullet idf_{j}$   $= (tf_{ij}/max_{i}\{tf_{ij}\}) \bullet \log_{2}(N/df_{j})$
- Can use this to obtain non-binary weights
- Used in the SMART Information Retrieval System by the late Gerald Salton and MJ McGill, Cornell University to tremendous success, 1983

# Implementation based on Inverted Files

- In practice, document vectors are not stored directly; an inverted organization provides much better access speed.
- The index file can be implemented as a hash file, a sorted list, or a B-tree.



## A Simple Search Engine

Now we have got enough tools to build a simple Search engine (documents == web pages)

- Starting from well known web sites, crawl to obtain N web pages (for very large N)
- 2. Apply stop-word-removal, stemming and thesaurus to select K keywords
- 3. Build an inverted index for the K keywords
- For any incoming user query Q,
  - For each document D
    - Compute the Cosine similarity score between Q and document D
  - Select all documents whose score is over a certain threshold T
  - 3. Let this result set of documents be M
  - 4. Return M to the user



### Remaining Questions

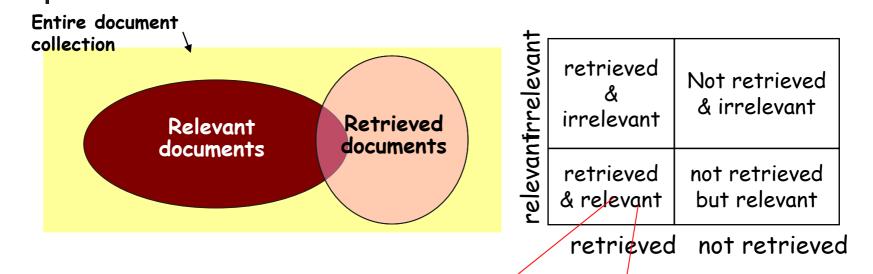
- How to crawl?
- How to evaluate the result
  - Given 3 search engines, which one is better?
    - Is there a quantitative measure?

# -

#### Measurement

- Let M documents be returned out of a total of N documents;
- N=N1+N2
  - N1 total documents are relevant to query
  - N2 are not
- M=M1+M2
  - M1 found documents are relevant to query
  - M2 are not
- Precision = M1/M
- Recall = M1/N1

## Retrieval effectiveness: recall & precision



 $recall = \frac{Number\ of\ relevant\ documents\ retrieved}{Total\ number\ of\ relevant\ documents}$   $precision = \frac{Number\ of\ relevant\ documents\ retrieved}{total\ Number\ of\ documents\ retrieved}$ 

#### Precision and Recall

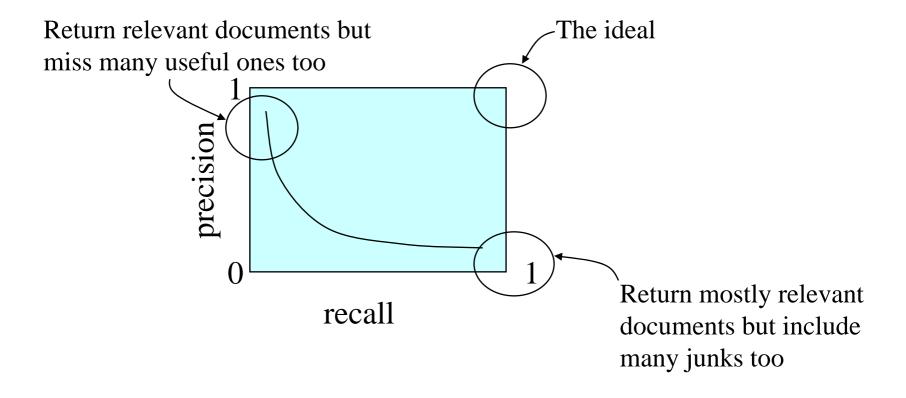
#### Precision

- evaluates the correlation of the query to the database
- an indirect measure of the completeness of indexing algorithm

#### Recall

- the ability of the search to find all of the relevant items in the database
- Among three numbers,
  - only two are always available
    - total number of items retrieved
    - number of relevant items retrieved
  - total number of relevant items is usually not available

# Relationship between Recall and Precision



#### Fallout Rate

- Problems with precision and recall:
  - A query on "Hong Kong" will return most relevant documents but it doesn't tell you how good or how bad the system is!
  - number of irrelevant documents in the collection is not taken into account
  - recall is undefined when there is no relevant document in the collection
  - precision is undefined when no document is retrieved

$$Fallout = \frac{no.\,of\,\,nonrelevant\,\,items\,\,retrieved}{total\,\,no.\,of\,\,nonrelevant\,\,items\,\,in\,\,the\,\,collection}$$

Fallout can be viewed as the inverse of recall. A good system should have high recall and low fallout



#### Total Number of Relevant Items

- In an uncontrolled environment (e.g., the web), it is unknown.
- Two possible approaches to get estimates
  - Sampling across the database and performing relevance judgment on the returned items
  - Apply different retrieval algorithms to the same database for the same query. The aggregate of relevant items is taken as the total relevant algorithm

### Computation of Recall and Precision

n	doc #	relevant	Recall	Precision
1	588	X	0.2	1.00
2	589	X	0.4	1.00
3	576		0.4	0.67
4	590	X	0.6	0.76
5	986		0.6	0.60
6	592	X	8.0	0.67
7	984		0.8	0.57
8	988		0.8	0.50
9	578		0.8	0.44
10	985		0.8	0.40
11	103		0.8	0.36
12	591		0.8	0.33
13	772	X	1.0	0.38
14	990		1.0	0.36

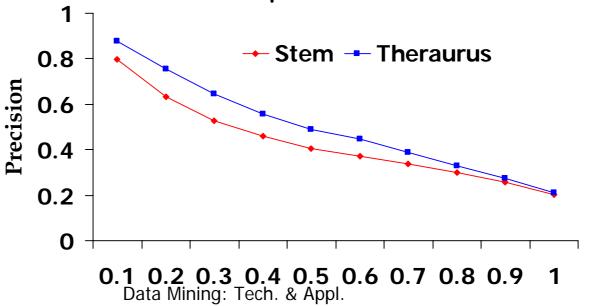
Suppose: total no. of relevant docs = 5 R=1/5=0.2; p=1/1=1 R=2/5=0.4; p=2/2=1 R=2/5=0.4; p=2/3=0.67

### Computation of Recall and Precision

n	Recall	Precision
1	0.2	1.00
2	0.4	1.00
3	0.4	0.67
4	0.6	0.76
5	0.6	0.60
6	0.8	0.67
7	0.8	0.57
8	0.8	0.50
9	0.8	0.44
10	0.8	0.40
11	0.8	0.36
12	0.8	0.33
13	1.0	0.38
14	1.0	0.36

# Compare Two or More Systems

- Computing recall and precision values for two or more systems
- Superimposing the results in the same graph
- The curve closest to the upper right-hand corner of the graph indicates the best performance



### The TREC Benchmark

TREC: Text Retrieval Conference

Originated from the TIPSTER program sponsored by Defense Advanced Research Projects Agency (DARPA)

Became an annual conference in 1992, co-sponsored by the National Institute of Standards and Technology (NIST) and DARPA

Participants are given parts of a standard set of documents and queries in different stages for testing and training Participants submit the P/R values on the final document and query set and present their results in the conference

http://trec.nist.gov/



- Aims to improve their search results incrementally,
  - often applies to query "Find all sites with certain property"
  - Content based Multimedia search: given a photo, find all other photos similar to it
    - Large vector space
    - Question: which feature (keyword) is important?
- Procedure:
  - User submits query
  - Engine returns result
  - User marks some returned result as relevant or irrelevant, and continues search
  - Engine returns new results
  - Iterates until user satisfied

# 4

# Query Reformulation

- Based on user's feedback on returned results
  - Documents that are relevant  $D_R$
  - Documents that are irrelevant  $D_N$
  - Build a new query vector Q' from Q
    - <w1, w2, ... wt> → <w1', w2', ... wt'>
  - Best known algorithm: Rocchio's algorithm
  - Also extensively used in multimedia search

# Query Modification

- Using the previously identified relevant and nonrelevant document set  $D_R$  and  $D_N$  to repeatedly modify the query to reach optimality
- Starting with an initial query in the form of

$$Q' = \alpha * Q + \left(\frac{1}{R} \sum_{i \in D_R} D_i\right) - \gamma \left(\frac{1}{N} \sum_{j \in D_N} D_j\right)$$

where Q is the original query, and  $\alpha$ ,  $\beta$ , and  $\gamma$  are suitable constants

# An Example

Q: original query

D1: relevant doc.

D2: non-relevant doc.

$$\alpha = 1$$
,  $\beta = 1/2$ ,  $\gamma = 1/4$ 

Assume: dot-product similarity measure

$$S(Q, \mathbf{D}_i) = \sum_{j=1}^t (\mathbf{Q}_j \mathbf{x} \mathbf{D}_{ij})$$

$$Sim(Q,D1) = (5 \cdot 2) + (0 \cdot 1) + (3 \cdot 2) + (0 \cdot 0) + (1 \cdot 0) = 16$$
  
 $Sim(Q,D2) = (5 \cdot 1) + (0 \cdot 0) + (3 \cdot 0) + (0 \cdot 0) + (1 \cdot 2) = 7$ 

T1 T2 T3 T4 T5

Q = (5, 0, 3, 0, 1)

D1 = (2, 1, 2, 0, 0)

D2 = (1, 0, 0, 0, 2)

# Example (Cont.)

$$Q' = Q + \frac{1}{2} \left( \sum_{i \in D_{R'}} D_i \right) - \frac{1}{4} \left( \frac{1}{N'} \sum_{i \in D_{N'}} D_i \right)$$

$$Q' = (5,0,3,0,1) + \frac{1}{2} (2,1,2,0,0) - \frac{1}{4} (1,0,0,0,2)$$

$$Q' = (5.75,0.5,4,0,0.5)$$

### New Similarity Scores:

 $Sim(Q', D1)=(5.75 \cdot 2)+(0.5 \cdot 1)+(4 \cdot 2)+(0 \cdot 0)+(0.5 \cdot 0)=20$  $Sim(Q', D2)=(5.75 \cdot 1)+(0.5 \cdot 0)+(4 \cdot 0)+(0 \cdot 0)+(0.5 \cdot 2)=6.75$ 

## Latent Semantic Indexing (1)

#### Basic idea

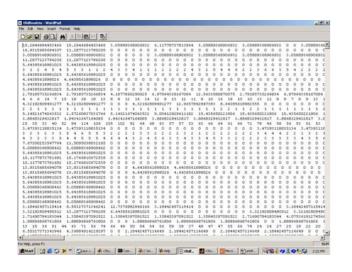
- Similar documents have similar word frequencies
- Difficulty: the size of the term frequency matrix is very large
- Use a singular value decomposition (SVD) techniques to reduce the size of frequency table
- Retain the K most significant rows of the frequency table

#### Method

- Create a term x document weighted frequency matrix A
- SVD construction: A = U \* S \* V'
- Define K and obtain U<sub>k</sub>, S<sub>k</sub>, and V<sub>k</sub>.
- Create query vector q'.
- Project q' into the term-document space: Dq =  $q' * U_k * S_{k-1}$
- Calculate similarities: Dq . D / ||Dq|| \* ||D||

# Latent Semantic Indexing (2)

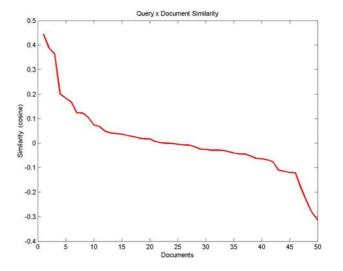
#### Weighted Frequency Matrix

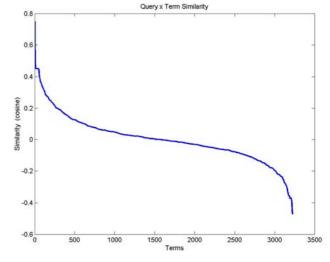


#### Query Terms:

- Insulation
- Joint









### Types of Text Data Mining

- Keyword-based association analysis
- Automatic document classification
- Similarity detection
  - Cluster documents by a common author
  - Cluster documents containing information from a common source
- Link analysis: unusual correlation between entities
- Sequence analysis: predicting a recurring event
- Anomaly detection: find information that violates usual patterns
- Hypertext analysis
  - Patterns in anchors/links
    - Anchor text correlations with linked objects

### Keyword-Based Association Analysis

#### Motivation

- Collect sets of keywords or terms that occur frequently together and then find the association or correlation relationships among them
- Association Analysis Process
  - Preprocess the text data by parsing, stemming, removing stop words, etc.
  - Evoke association mining algorithms
    - Consider each document as a transaction
    - View a set of keywords in the document as a set of items in the transaction
  - Term level association mining
    - No need for human effort in tagging documents
    - The number of meaningless results and the execution time is greatly reduced

### Text Classification(1)

#### Motivation

 Automatic classification for the large number of on-line text documents (Web pages, e-mails, corporate intranets, etc.)

#### Classification Process

- Data preprocessing
- Definition of training set and test sets
- Creation of the classification model using the selected classification algorithm
- Classification model validation
- Classification of new/unknown text documents
- Text document classification differs from the classification of relational data
  - Document databases are not structured according to attribute-value pairs

# Text Classification(2)

- Classification Algorithms:
  - Support Vector Machines
  - K-Nearest Neighbors
  - Naïve Bayes
  - Neural Networks
  - Decision Trees
  - Association rule-based
  - Boosting

			#1	#2	#3	#4	#5
		# of documents	21,450	14,347	13,272	12,902	12,90
		# of training documents	14,704	10,667	9,610	9,603	9,603
		# of test documents	6,746	3,680	3,662	3,299	3,299
		# of categories	135	93	92	90	10
System	Type	Results reported by					
Word	(non-learning)	[Yang 1999]	.150	.310	.290		
	probabilistic	[Dumais et al. 1998]				.752	.815
	probabilistic	[Joachims 1998]					.720
	probabilistic	[Lam et al. 1997]	$.443 \text{ (M}F_1)$				
PropBayes	probabilistic	[Lewis 1992a]	.650				
Вім	probabilistic	[Li and Yamanishi 1999]				.747	
	probabilistic	[Li and Yamanishi 1999]				.773	
NB	probabilistic	[Yang and Liu 1999]				.795	
	decision trees	[Dumais et al. 1998]					.884
C4.5	decision trees	[Joachims 1998]					.794
Ind	decision trees	[Lewis and Ringuette 1994]	.670				
SWAP-1	decision rules	[Apté et al. 1994]		.805			
RIPPER	decision rules	[Cohen and Singer 1999]	.683	.811		.820	
SLEEPINGEXPERTS	decision rules	[Cohen and Singer 1999]	.753	.759		.827	
DL-Esc	decision rules	[Li and Yamanishi 1999]				.820	
Charade	decision rules	[Moulinier and Ganascia 1996]		.738			
Charade	decision rules	[Moulinier et al. 1996]		$.783~(F_1)$			
LLSF	regression	[Yang 1999]		.855	.810		
Llsf	regression	[Yang and Liu 1999]				.849	
BalancedWinnow	on-line linear	[Dagan et al. 1997]	.747 (M)	.833 (M)			
Widrow-Hoff	on-line linear	[Lam and Ho 1998]				.822	
Rocchio	batch linear	[Cohen and Singer 1999]	.660	.748		.776	
FINDSIM	batch linear	[Dumais et al. 1998]				.617	.646
Rocchio	batch linear	[Joachims 1998]					.799
Rocchio	batch linear	[Lam and Ho 1998]				.781	
Rocchio	batch linear	[Li and Yamanishi 1999]				.625	
Classi	neural network	[Ng et al. 1997]		.802			
NNET	neural network	[Yang and Liu 1999]				.838	
	neural network	[Wiener et al. 1995]			.820		
Gis-W	example-based	[Lam and Ho 1998]				.860	
k-NN	example-based	[Joachims 1998]					.823
k=NN	example-based	[Lam and Ho 1998]				.820	
k-NN	example-based	[Yang 1999]	.690	.852	.820		
k-NN	example-based	[Yang and Liu 1999]				.856	
	SVM	[Dumais et al. 1998]				.870	.920
SVMLIGHT	SVM	[Joachims 1998]					.864
SVMLIGHT	SVM	[Li and Yamanishi 1999]				.841	l
SVMLIGHT	SVM	[Yang and Liu 1999]				.859	
AdaBoost.MH	committee	[Schapire and Singer 2000]		.860			
	committee	[Weiss et al. 1999]				.878	L
	Bayesian net	[Dumais et al. 1998]				.800	.850
	Bayesian net	[Lam et al. 1997]	.542 (MF <sub>1</sub> )			I	ı

# 4

# Document Clustering

### Motivation

- Automatically group related documents based on their contents
- No predetermined training sets or taxonomies
- Generate a taxonomy at runtime

### Clustering Process

- Data preprocessing: remove stop words, stem, feature extraction, lexical analysis, etc.
- Hierarchical clustering: compute similarities applying clustering algorithms.
- Model-Based clustering (Neural Network Approach): clusters are represented by "exemplars". (e.g.: SOM)



# Part II: Web Mining

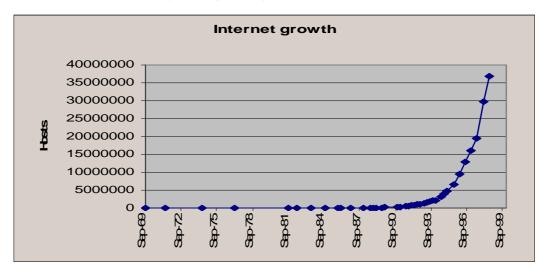
Zhou Shuigeng

May 28, 2004

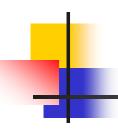


- The WWW is huge, widely distributed, global information service center for
  - Information services: news, advertisements, consumer information, financial management, education, government, e-commerce, etc.
  - Hyper-link information
  - Access and usage information
- WWW provides rich sources for data mining
- Challenges
  - Too huge for effective data warehousing and data mining
  - Too complex and heterogeneous: no standards and structure

Growing and changing very rapidly



- Broad diversity of user communities
- Only a small portion of the information on the Web is truly relevant or useful
  - 99% of the Web information is useless to 99% of Web users
  - How can we find high-quality Web pages on a specified topic?



### Web search engines

- Index-based: search the Web, index Web pages, and build and store huge keyword-based indices
- Help locate sets of Web pages containing certain keywords
- Deficiencies
  - A topic of any breadth may easily contain hundreds of thousands of documents
  - Many documents that are highly relevant to a topic may not contain keywords defining them (polysemy)



### Searches for

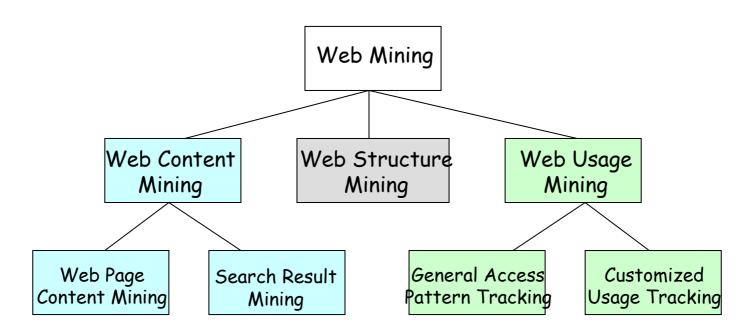
- Web access patterns
- Web structures
- Regularity and dynamics of Web contents

### Problems

- The "abundance" problem
- Limited coverage of the Web: hidden Web sources, majority of data in DBMS
- Limited query interface based on keyword-oriented search
- Limited customization to individual users



# Web Mining Taxonomy



Web Mining

Web Content Mining

Web Page Content Mining

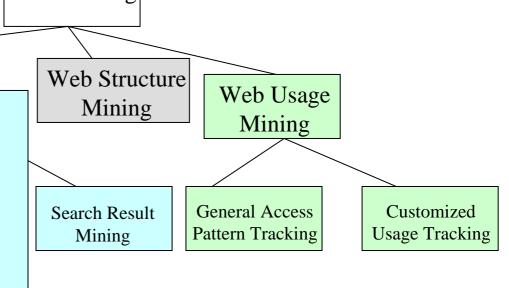
#### **Web Page Summarization**

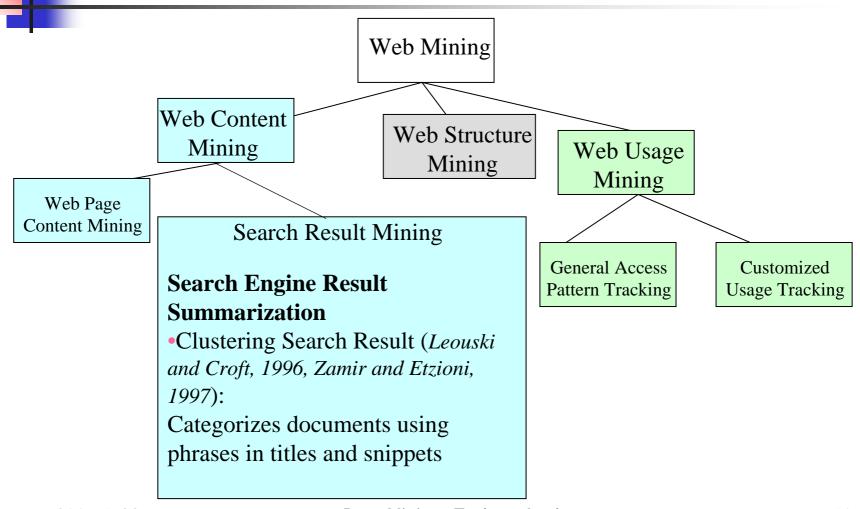
WebLog (Lakshmanan et.al. 1996),

WebOQL(Mendelzon et.al. 1998) ...:

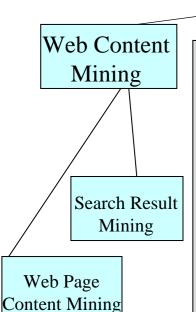
Web Structuring query languages; Can identify information within given web pages

- •Ahoy! (Etzioni et.al. 1997):Uses heuristics to distinguish personal home pages from other web pages
- •ShopBot (Etzioni et.al. 1997): Looks for product prices within web pages









Web Structure Mining

Web Mining

#### **Using Links**

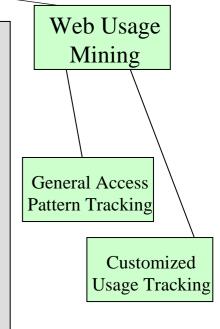
- •PageRank (Brin et al., 1998)
- •CLEVER (Chakrabarti et al., 1998)

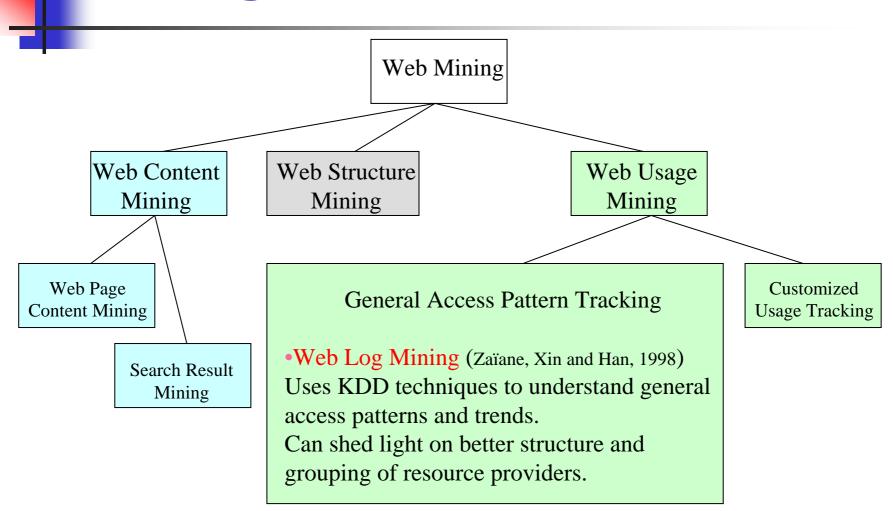
Use interconnections between web pages to give weight to pages.

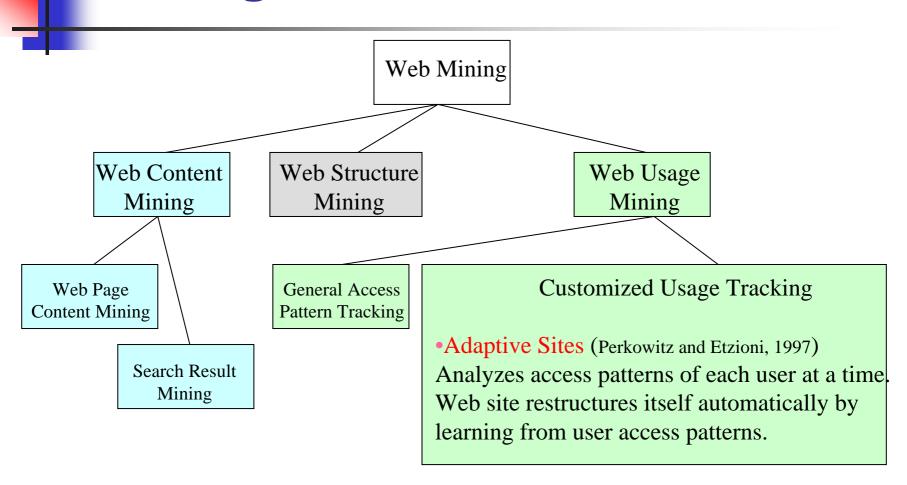
#### **Using Generalization**

•MLDB (1994), VWV (1998)

Uses a multi-level database representation of the Web. Counters (popularity) and link lists are used for capturing structure.







# Search Engine Topics

- Text-based Search Engines
  - Document based
    - Ranking: TF-IDF, Vector Space Model
    - No relationship between pages modeled
    - Cannot tell which page is important without query
- Link-based search engines: Google, Hubs and Authorities Techniques
  - Can pick out important pages

# The PageRank Algorithm

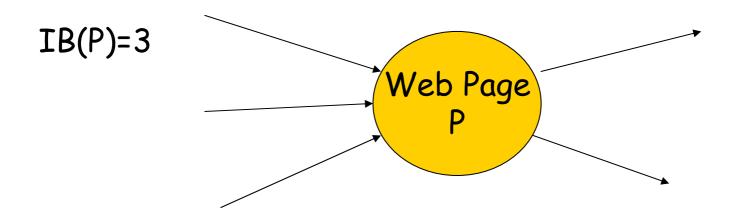
- Fundamental question to ask
  - What is the importance level of a page P,I(P)
- Information Retrieval
  - Cosine + TF IDF → does not give related hyperlinks
- Link based
  - Important pages (nodes) have many other links point to it
  - Important pages also point to other important pages

# The Google Crawler Algorithm

- "Efficient Crawling Through URL Ordering",
  - Junghoo Cho, Hector Garcia-Molina, Lawrence Page, Stanford
  - http://www.www8.org
  - http://www-db.stanford.edu/~cho/crawler-paper/
- "Modern Information Retrieval", BY-RN
  - Pages 380—382
- Lawrence Page, Sergey Brin. The Anatomy of a Search Engine. The Seventh International WWW Conference (WWW 98). Brisbane, Australia, April 14-18, 1998.
  - http://www.www7.org

# 4

### Back Link Metric



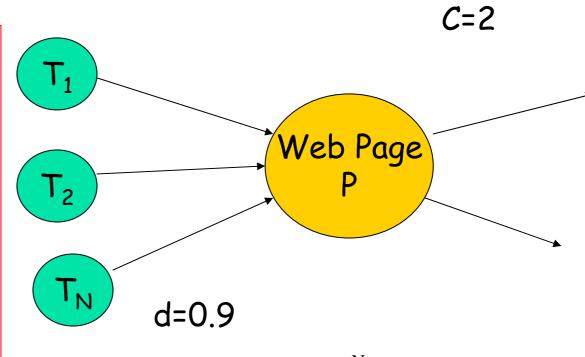
- IB(P) = total number of backlinks of P
- IB(P) impossible to know, thus, use IB'(P) which is the number of back links crawler has seen so far

# Page Rank Metric

Let 1-d be probability that user randomly jump to page P;

"d" is the damping factor

Let  $C_i$  be the number of out links from each  $T_i$ 



$$IR(P) = (1-d) + d * \sum_{i=1}^{N} IR(T_i) / C_i$$

### Matrix Formulation

- Consider a random walk on the web (denote IR(P) by r(P))
  - Let  $B_{ij}$  = probability of going directly from i to j
  - Let  $r_i$  be the limiting probability (page rank) of being at page i

$$\begin{pmatrix}
b_{11} & b_{21} & \dots & b_{n1} \\
b_{12} & b_{22} & \dots & b_{n2} \\
\dots & \dots & \dots & \dots \\
b_{1n} & b_{2n} & \dots & b_{nn}
\end{pmatrix}
\begin{pmatrix}
r_1 \\
r_2 \\
\dots \\
r_n
\end{pmatrix} = \begin{pmatrix}
r_1 \\
r_2 \\
\dots \\
r_n
\end{pmatrix}$$

$$\mathbf{B}^T \mathbf{r} = \mathbf{r}$$

Thus, the final page rank r is a principle eigenvector of  $\mathcal{B}^T$ 



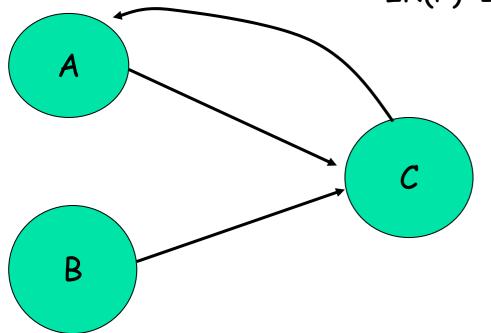
# How to compute page rank?

- For a given network of web pages,
  - Initialize page rank for all pages (to one)
  - Set parameter (d=0.90)
  - Iterate through the network, L times



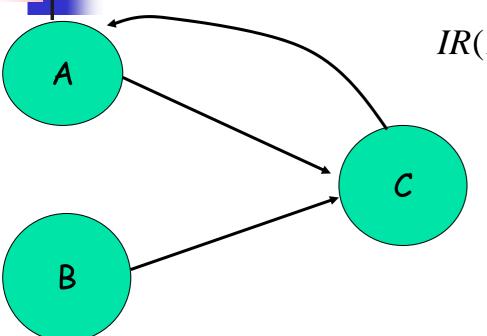
# Example: iteration K=1

IR(P)=1/3 for all nodes, d=0.9



node	IP
Α	1/3
В	1/3
С	1/3

# Example: k=2



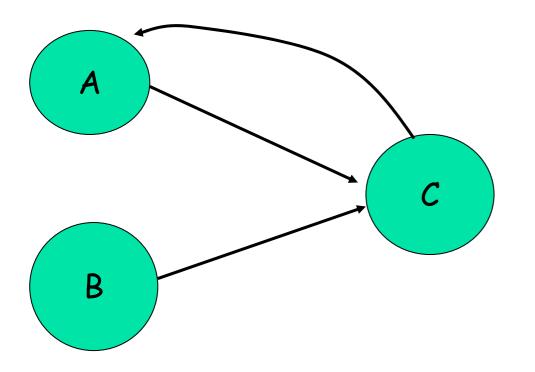
 $IR(P) = 0.1 + 0.9 * \sum_{i=1}^{t} IR(T_i) / C_i$ 

/is the in-degree of P

node	IP
Α	0.4
В	0.1
С	0.55

Note: A, B, C's IP values are
Updated in order of A, then B, then C
Use the new value of A when calculating B, etc.

# Example: k=2 (normalize)



node	IP
Α	0.38
В	0.095
С	0.52

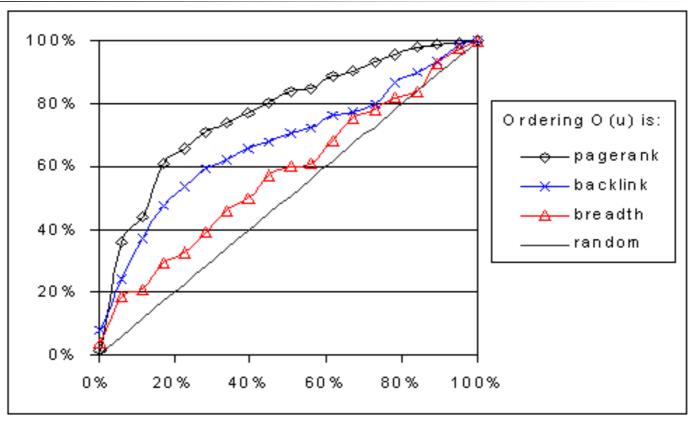
## Crawler Control

- All crawlers maintain several queues of URL's to pursue next
  - Google initially maintains 500 queues
  - Each queue corresponds to a web site pursuing
- Important considerations:
  - Limited buffer space
  - Limited time
  - Avoid overloading target sites
  - Avoid overloading network traffic

#### Crawler Control

- Thus, it is important to visit important pages first
- Let G be a lower bound threshold on I(P)
- Crawl and Stop
  - Select only pages with IP>G to crawl,
  - Stop after crawled K pages

#### Test Result: 179,000 pages



Percentage of Stanford Web crawled vs. P<sub>ST</sub> – the percentage of hot pages visited so far



#### Google Algorithm (very simplified)

- First, compute the page rank of each page on WWW
  - Query independent
- Then, in response to a query q, return pages that contain q and have highest page ranks
- A problem/feature of Google: favors big commercial sites



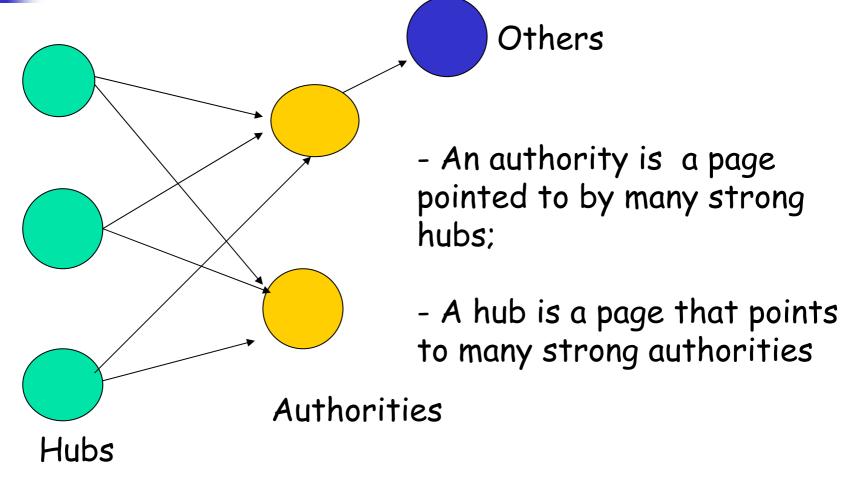
#### How powerful is Google?

- A PageRank for 26 million web pages can be computed in a few hours on a medium size workstation
- Currently has indexed a total of 1.3
   Billion pages

## Hubs and Authorities 1998

- Kleinburg, Cornell University
- http://www.cs.cornell.edu/home/kleinber/
- Main Idea: type "java" in a text-based search engine
  - Get 200 or so pages
  - Which one's are authoritive?
    - http://java.sun.com
  - What about others?
    - www.yahoo.com/Computer/ProgramLanguages

#### Hubs and Authorities



### H&A Search Engine Algorithm

- First submit query Q to a text search engine
- Second, among the results returned
  - select ~200, find their neighbors,
  - compute Hubs and Authorities
- Third, return Authorities found as final result
- Important Issue: how to find Hubs and Authorities?

### Link Analysis: weights

- Let  $B_{ij}=1$  if *i* links to *j*, 0 otherwise
  - h=hub weight of page i
  - $a_i$  = authority weight of page i
  - Weight normalization

$$\sum_{i=1}^{N} (h_i)^2 = 1$$

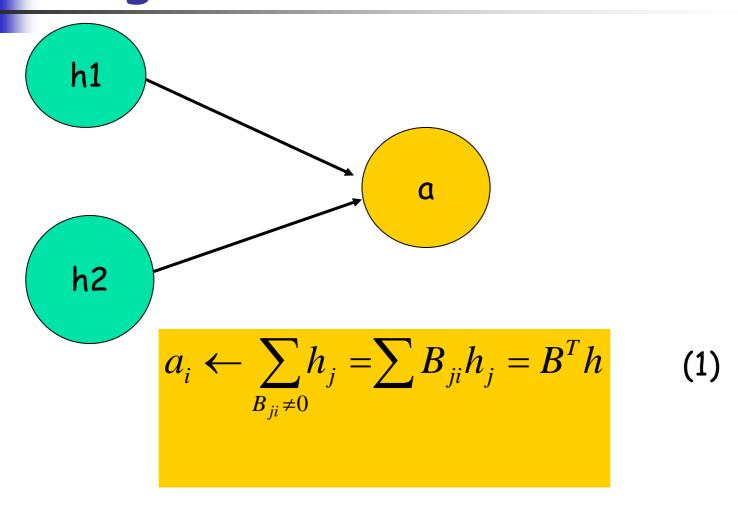
$$\sum_{i=1}^{N} (a_i)^2 = 1$$
(3)

But, for simplicity, we will use

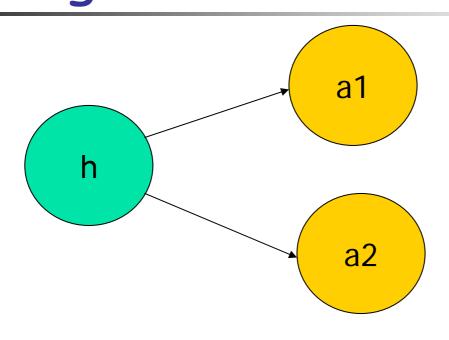
$$\sum_{i=1}^{N} h_i = 1$$

$$\sum_{i=1}^{N} a_i = 1$$
(3')

#### Link Analysis: update aweight



#### Link Analysis: update hweight



$$h_i \leftarrow \sum_{B_{ij} \neq 0} a_j = \sum B_{ij} a_j = Ba \tag{2}$$

# H&A: algorithm

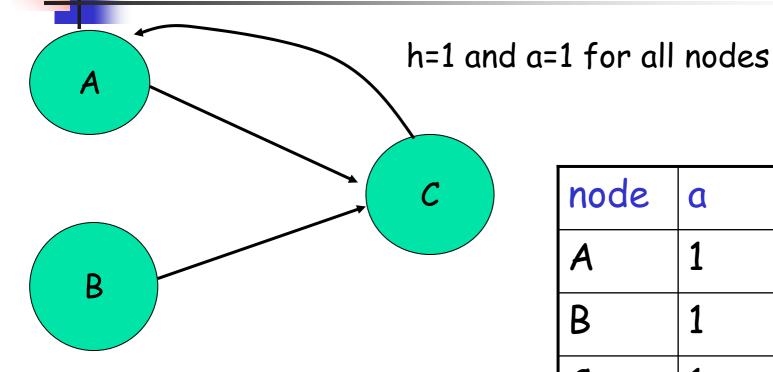
- 1. Set value for K, the number of iterations
- 2. Initialize all a and h weights to 1
- 3. For I=1 to K, do
  - a. Apply equation (1) to obtain new a weights
  - Apply equation (2) to obtain all new h<sub>i</sub> weights, using the new a<sub>i</sub> weights obtained in the last step
  - Normalize  $a_i$  and  $h_i$  weights using equation (3)



#### DOES it converge?

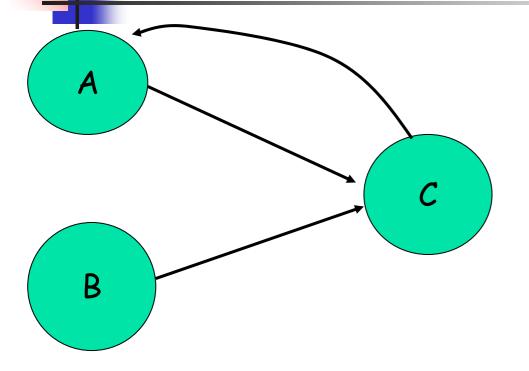
- Yes, the Kleinberg paper includes a proof
- Needs to know Linear algebra and eigenvector analysis
- We will skip the proof but only using the results:
  - The a and h weight values will converge after sufficiently large number of iterations, K.

#### Example: K=1



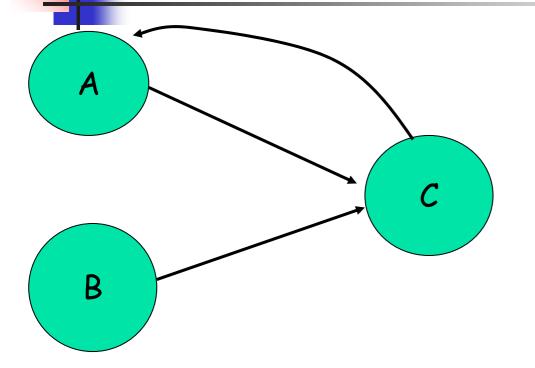
node	a	h
A	1	1
В	1	1
С	1	1

### Example: k=1 (update a)



node	a	h
Α	1	1
В	0	1
С	2	1

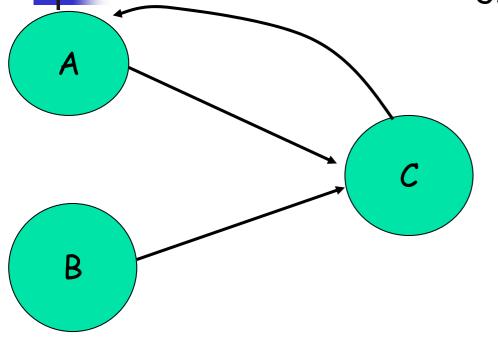
### Example: k=1 (update h)



node	a	h
Α	1	2
В	0	2
C	2	1

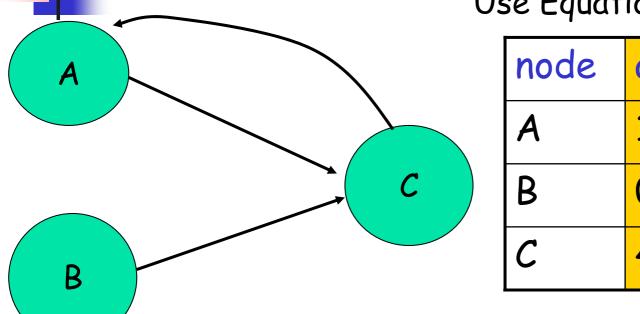
#### Example: k=1 (normalize)

Use Equation (3')



node	a	h
Α	1/3	2/5
В	0	2/5
С	2/3	1/5

# Example: k=2 (update a, h,normalize)



Use Equation (1)

node	a	h
Α	1/5	4/9
В	0	4/9
С	4/5	1/9

If we choose a threshold of  $\frac{1}{2}$ , then C is an Authority, and there are no hubs.



#### Search Engine Using H&A

- For each query q,
  - Enter q into a text-based search engine
  - Find the top 200 pages
  - Find the neighbors of the 200 pages by one link, let the set be S
  - Find hubs and authorities in S
  - Return authorities as final result

# Summary

- Link based analysis is very powerful in find out the important pages
- Models the web as a graph, and based on in-degree and out-degree
- Google: crawl only important pages
- H&A: post analysis of search result

# Automatic Classification of Web Documents

- Assign a class label to each document from a set of predefined topic categories
- Based on a set of examples of preclassified documents
- Example
  - Use Yahoo!'s taxonomy and its associated documents as training and test sets
  - Derive a Web document classification scheme
  - Use the scheme classify new Web documents by assigning categories from the same taxonomy
- Keyword-based document classification methods
- Statistical models

#### Web Usage Mining

- Mining Web log records to discover user access patterns of Web pages
- Applications
  - Target potential customers for electronic commerce
  - Enhance the quality and delivery of Internet information services to the end user
  - Improve Web server system performance
  - Identify potential prime advertisement locations
- Web logs provide rich information about Web dynamics
  - Typical Web log entry includes the URL requested, the IP address from which the request originated, and a timestamp

#### Techniques for Web usage mining

- Construct multidimensional view on the Weblog database
  - Perform multidimensional OLAP analysis to find the top Nusers, top Naccessed Web pages, most frequently accessed time periods, etc.
- Perform data mining on Weblog records
  - Find association patterns, sequential patterns, and trends of Web accessing
  - May need additional information, e.g., user browsing sequences of the Web pages in the Web server buffer
- Conduct studies to
  - Analyze system performance, improve system design by Web caching, Web page prefetching, and Web page swapping



- Design of a Web Log Miner
  - Web log is filtered to generate a relational database
  - A data cube is generated form database
  - OLAP is used to drill-down and roll-up in the cube
  - OLAM is used for mining interesting knowledge

