

# Introduction

- Data: facts, figs, statistics, observations, records
- Information: meaningful, useful, relevant data.
- DB : Collection of related data
- DBMS: software, store, access, retrieve, update, create, maintain, delete securely.

## → File System

- Data Redundancy
- Data Inconsistency
- Difficult Access
- Data Isolation
- Security
- Atomicity
- Concurrency.
- Simple

## → OLAP

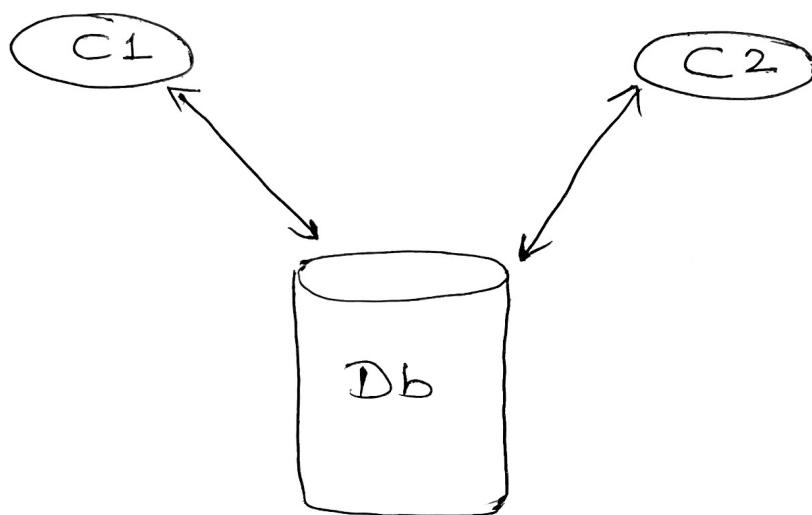
- 1) Online Analytical Processing
- 2) Historical data
- 3) Analyze large volumes
- 4) Large storage requirement
- 5) Trend analysis, behavior prediction

## OLTP

- 1) Online Transaction Processing
- 2) Operational Current data
- 3) Manage & process real-time transactions
- 4) Small storage requirement
- 5) Payment processing & order mgmt & processing

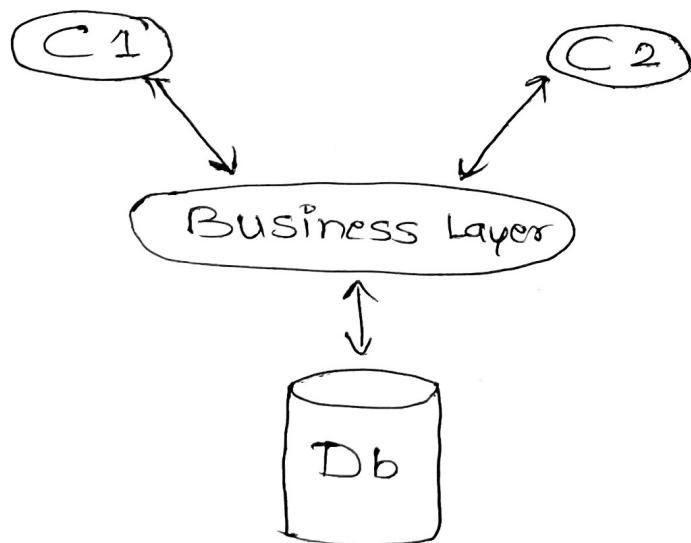
## • 2-Hier Architecture :

- client server architecture
- client tier & Data tier
- Easy but slow
- Direct connect
- Less secure



## • 3-Hier Architecture :

- client tier, Business tier, data tier
- Complex but fast
- No direct connect
- More secure

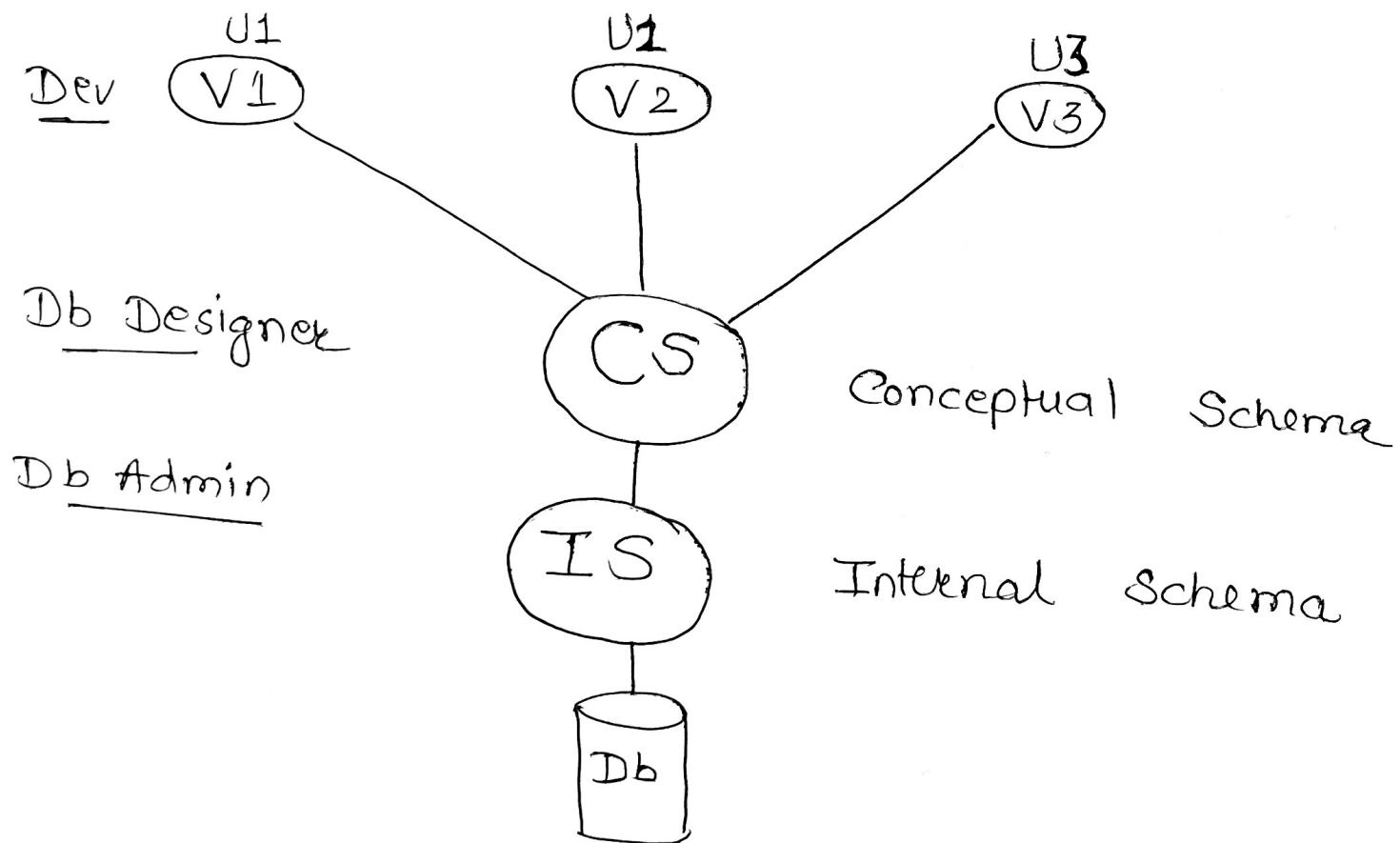


## Schema

- logical Representation
- Blueprint of a database
- Organized?
- Relation & Constraints
- Implementation by SQL (RDBMS)

Instance: Info in Db at a given moment.

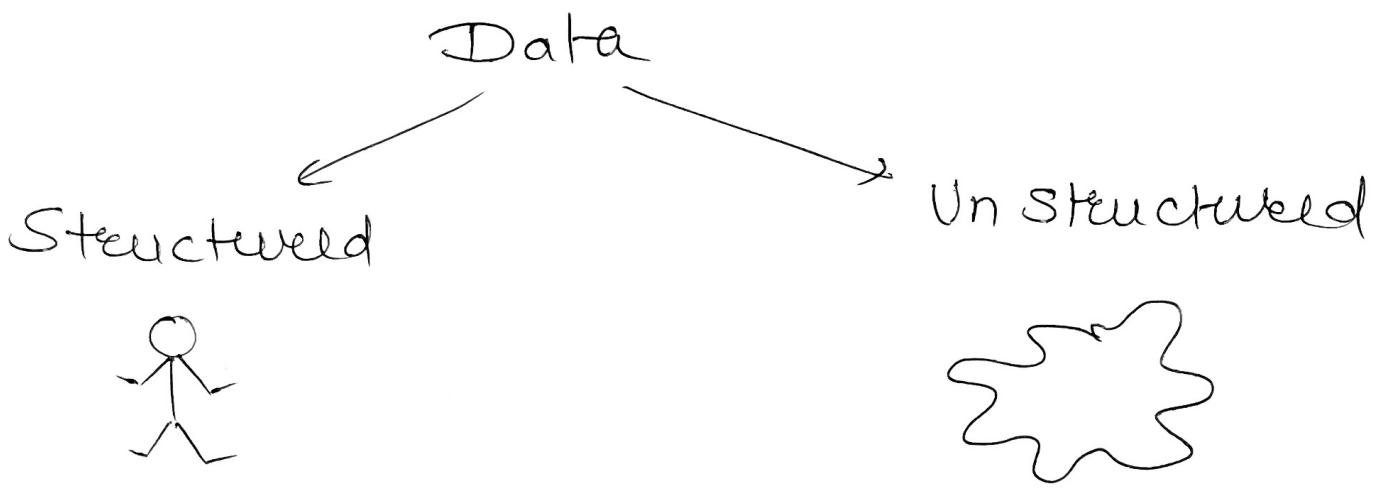
## 3 Schema Architecture:



"Data Independence"

Physical

Conceptual



## E-R Diagram

- Easy to make
- Easy to understand
- Entity (E)
- Relationship (R)
- Attributes

**Student** → object with existence → physical  
 → has attributes → logical  
 → Different from others

Entity  
 Roll no: 1  
 Name: Sherdhan

Entity type  
 Student (Roll no, name)

Entity set  
 S<sub>1</sub>  
 S<sub>2</sub>  
 S<sub>3</sub>

→ Attributes

- It is properties / characteristics of entity.
- domain (Roll no: - 2 +ve integers)

# Types of Attributes

Single

Roll no.

Multiple

Mobile no.

Simple

Roll no

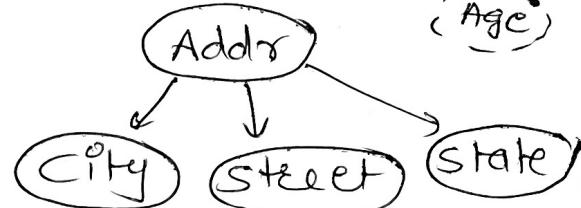
Composite

Address

Derived

Age

(Age)

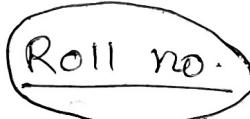


Descriptive

attr

Relationship

Key attr →



uniqueness

→ Relationship

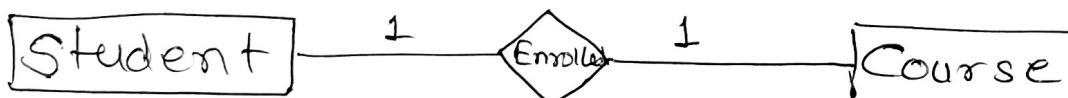
association



diamond shape

→ Degree / cardinality.

1) One to one

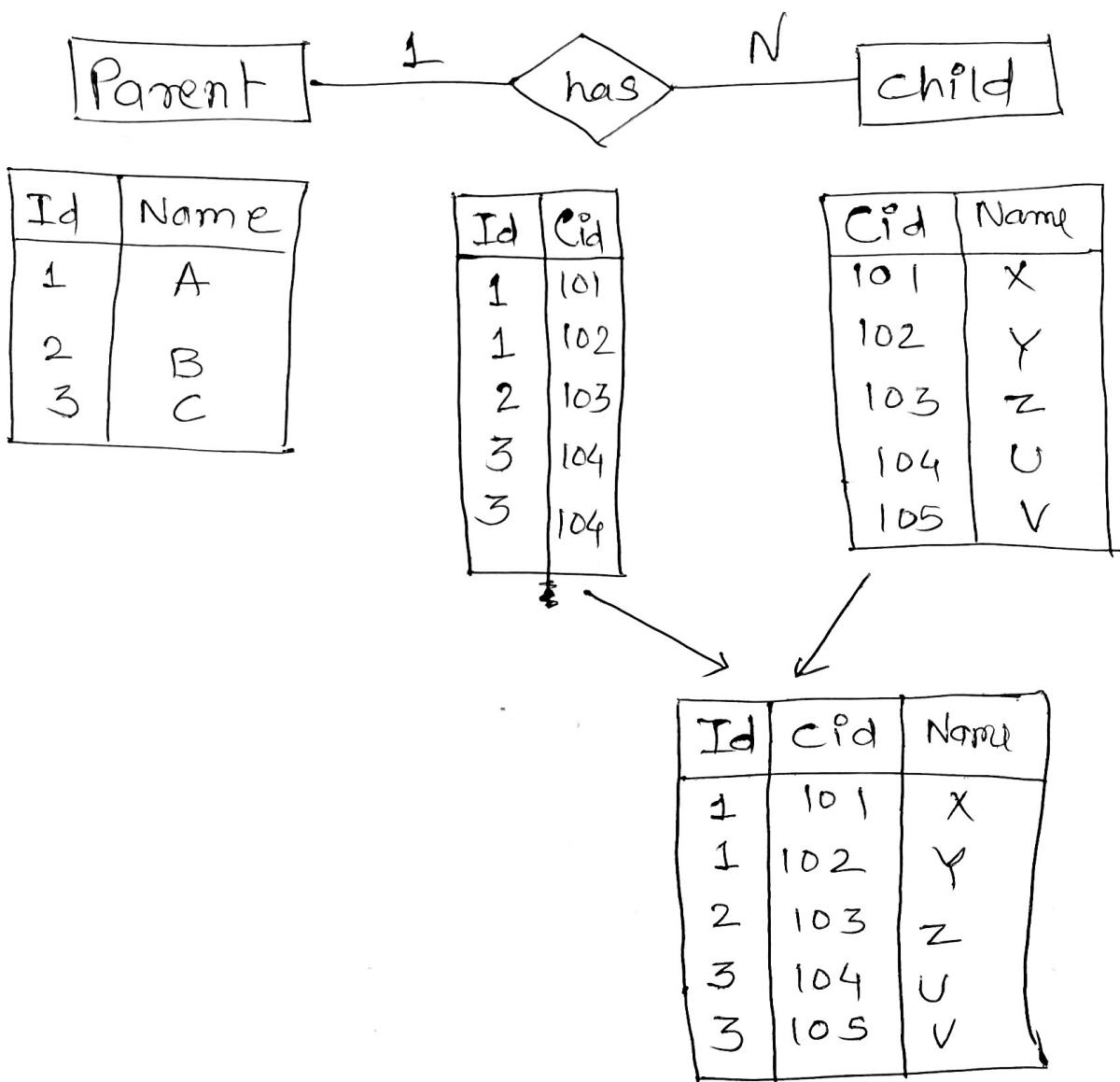


Roll no.	Name
1	A
2	B
3	C

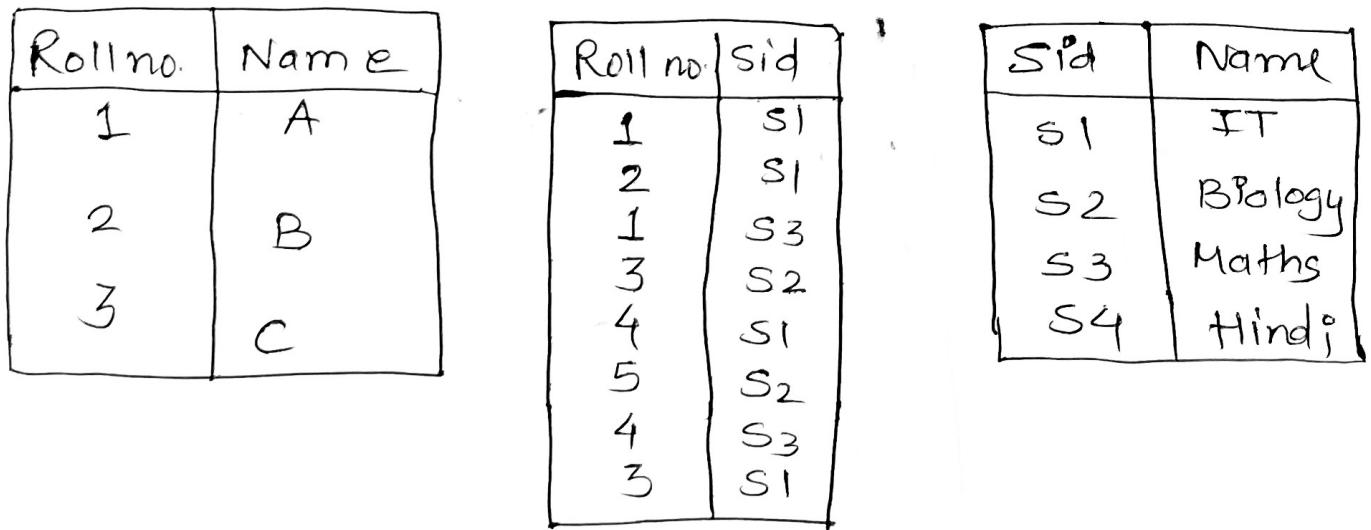
RT	
Rollno	Cpd
1	101
2	102
3	103

Cid	Name
101	X
102	Y
103	Z

## 2) One to Many

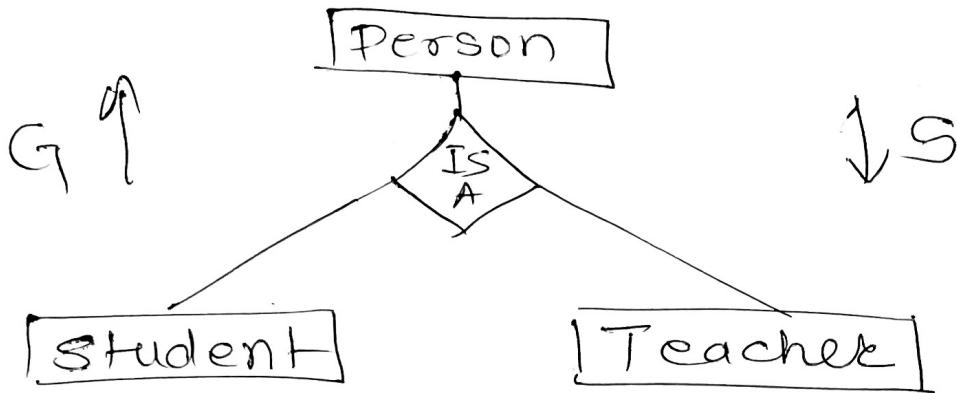


## 3) Many to Many



## → Generalization

- low level → high level
- Bottom Up approach



## → Specialization

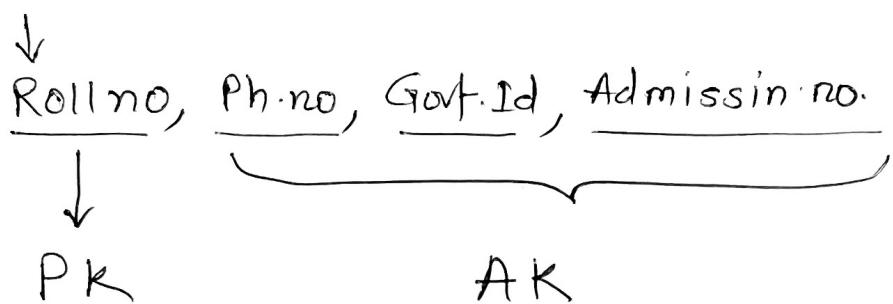
- high level → low level
- Top-down approach.

## • Integrity Constraint

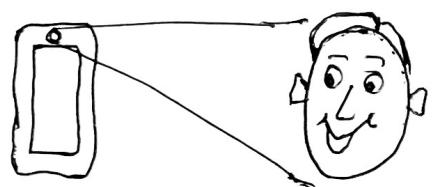
- Domain → Type of data & limit, check
- Entity Integrity → PK ≠ null
- Referential Integrity → FK
- key → ~~Uniqueness~~ Uniqueness

- Candidate keys (Uniqueness)

Student



- Primary key : As per the scenario we select the most eligible key as PK out of all CKs.



(Unique + NULL(x))

Only '1' → PR allowed.

- foreign key

PK

Rollno	Name
1	A
2	B
3	C
4	D

S_id	Name	Rollno
101	X	1
102	Y	2
103	Z	3
104	V	5

Rollno references to Student  
(Sports)   (Rollno)

Operations → Insert, Delete & update

• Super Key  $\rightarrow$  CR + attr (Normal)

CR = emp\_id

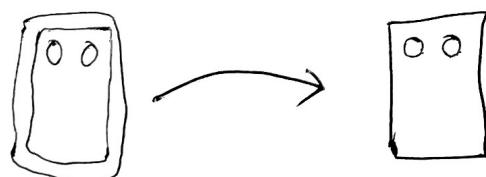
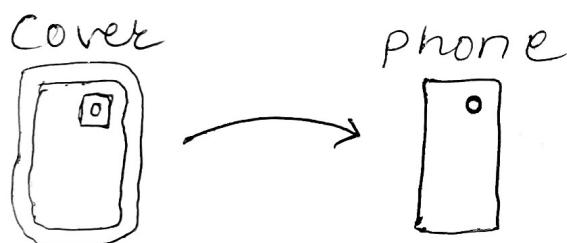


emp\_id + name  $\rightarrow$  SR

emp\_id + location  $\rightarrow$  SK

name + location  $\rightarrow$  xSK

## • functional Dependency



Determinant  $\rightarrow \alpha$   $\xrightarrow{\text{determines}}$   $\beta \leftarrow$  Dependent

$$R(\alpha) \rightarrow R(\beta) \quad R_1(\alpha) = R_2(\alpha) \\ R_1(\beta) = R_2(\beta)$$

A	B
1	5
1	5
2	6
3	7
3	7

## • Closure

A	B	C

$$A \rightarrow B$$

$$B \rightarrow C$$

$$A^+ = ?$$

$$\begin{array}{c} A \\ \downarrow \\ AB \\ \downarrow \\ \underline{ABC} \end{array}$$

→ Armstrong Axioms

1) Reflexivity: (Trivial Property)

$$A \rightarrow B ; B \subseteq A$$

(B is subset of A)

2) Augmentation: Adding attr.

$$A \rightarrow B \quad \checkmark$$

add X

$$AX \rightarrow BX \quad \checkmark$$

3) Transitivity:  $A \rightarrow B \checkmark$  &  $B \rightarrow C \checkmark$

then

$$A \rightarrow C$$

• Secondary Rules:

Union

$$A \rightarrow B$$

$$A \rightarrow C$$

$$(A \rightarrow BC)$$

Decomposition

$$A \rightarrow BC$$

$$(A \rightarrow B)$$

$$(A \rightarrow C)$$

Pseudo T

$$A \rightarrow B$$

$$BC \rightarrow D$$

$$(AC \rightarrow D)$$

Composition

$$A \rightarrow B$$

$$C \rightarrow D$$

$$(AC \rightarrow BD)$$

$\emptyset \rightarrow R(A B C D)$

FD:  $\{ A \rightarrow B, B \rightarrow C, C \rightarrow D \}$

$$A^+ = \begin{matrix} A \\ AB \\ ABC \\ ABCD \end{matrix}$$

'A' becomes one of the CK set

$$B^+ = \begin{matrix} B \\ BC \\ BCD \end{matrix}$$

B, C, D can't be CK.

$$(AB)^+ = \begin{matrix} AB \\ ABCD \end{matrix}$$

so (AB) becomes superkey.

• Minimal Cover: To remove redundancy.

$$\{ A \rightarrow B, C \rightarrow B, D \rightarrow A B C, A C \rightarrow D \}$$

$$D \rightarrow A \quad D \rightarrow B \quad D \rightarrow C$$

- $A \rightarrow B : A^+ = A$
- $C \rightarrow B : C^+ = C$
- $D \rightarrow A : D^+ = D B C$
- $D \rightarrow B : D^+ = D A B C$
- $D \rightarrow C : D^+ = D A B$
- $AC \rightarrow D : (AC)^+ = AC B$

$$(AC)^+ = ABCD$$

$$A^+ = AB \quad C^+ = CB$$

Can't remove neither A nor C

• Equivalence of FD:

$$FD_1 = \{ A \rightarrow B, B \rightarrow C \}$$

$$FD_2 = \{ A \rightarrow B, B \rightarrow C, A \rightarrow C \}$$

If  $\left\{ \begin{array}{l} FD_1 \subseteq FD_2 \\ FD_2 \not\subseteq FD_1 \end{array} \right\}$

then  $FD_1 = FD_2$

①  $FD_1$  covers  $FD_2$  (Yes or No)

$$A^+ = ABC \quad (A \rightarrow B) \checkmark \quad (A \rightarrow C) \checkmark$$

$$B^+ = BC \quad (B \rightarrow C) \checkmark$$

∴

②  $FD_2$  covers  $FD_1$  (Yes or No)

$$A^+ = ABC \quad (A \rightarrow B) \checkmark$$

$$B^+ = BC \quad (B \rightarrow C) \checkmark$$

$$\boxed{\therefore FD_1 = FD_2}$$

# Decomposition

$$\begin{array}{c} \frac{A}{1} \quad \frac{B}{x} \quad \frac{C}{P} \\ \hline \end{array}$$

$$\begin{array}{c} 2 \quad x \quad P \\ 1 \quad Y \quad Q \end{array}$$

Q

$$\begin{array}{c} \frac{AB}{1x} \\ \hline 2x \\ 1Y \end{array}$$

$$\begin{array}{c} \frac{AC}{1P} \\ \hline 2P \\ 1Q \end{array}$$

$$\begin{array}{c} \frac{AB}{1x} \\ \hline 2x \\ 1Y \end{array}$$

$$\begin{array}{c} \frac{BC}{xP} \\ \hline xP \\ YQ \end{array}$$

$$\begin{array}{c} \frac{A}{1x} \quad \frac{B}{P} \\ \hline \boxed{1xQ} \quad x \\ 2xP \\ \boxed{1YP} \quad x \\ 1YQ \end{array}$$

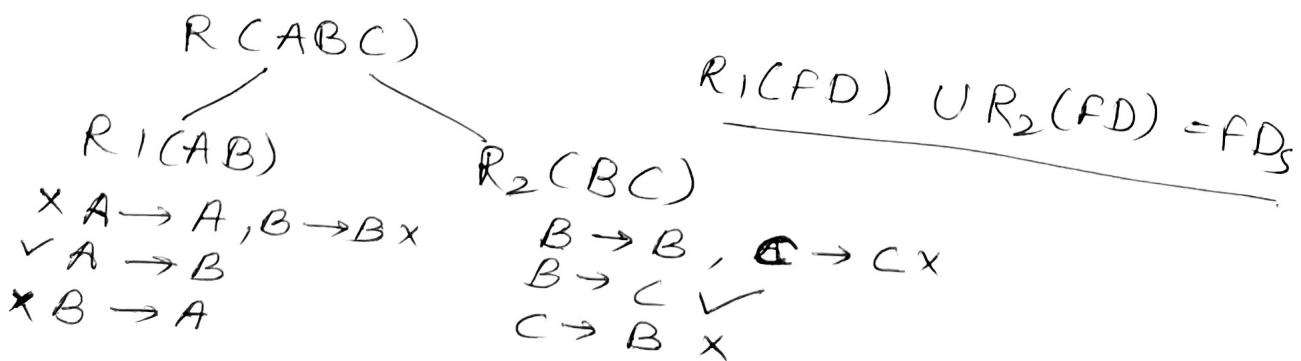
$$\begin{array}{c} \frac{A}{1x} \quad \frac{B}{P} \\ \hline 2xP \\ 1YQ \end{array}$$

Lossy

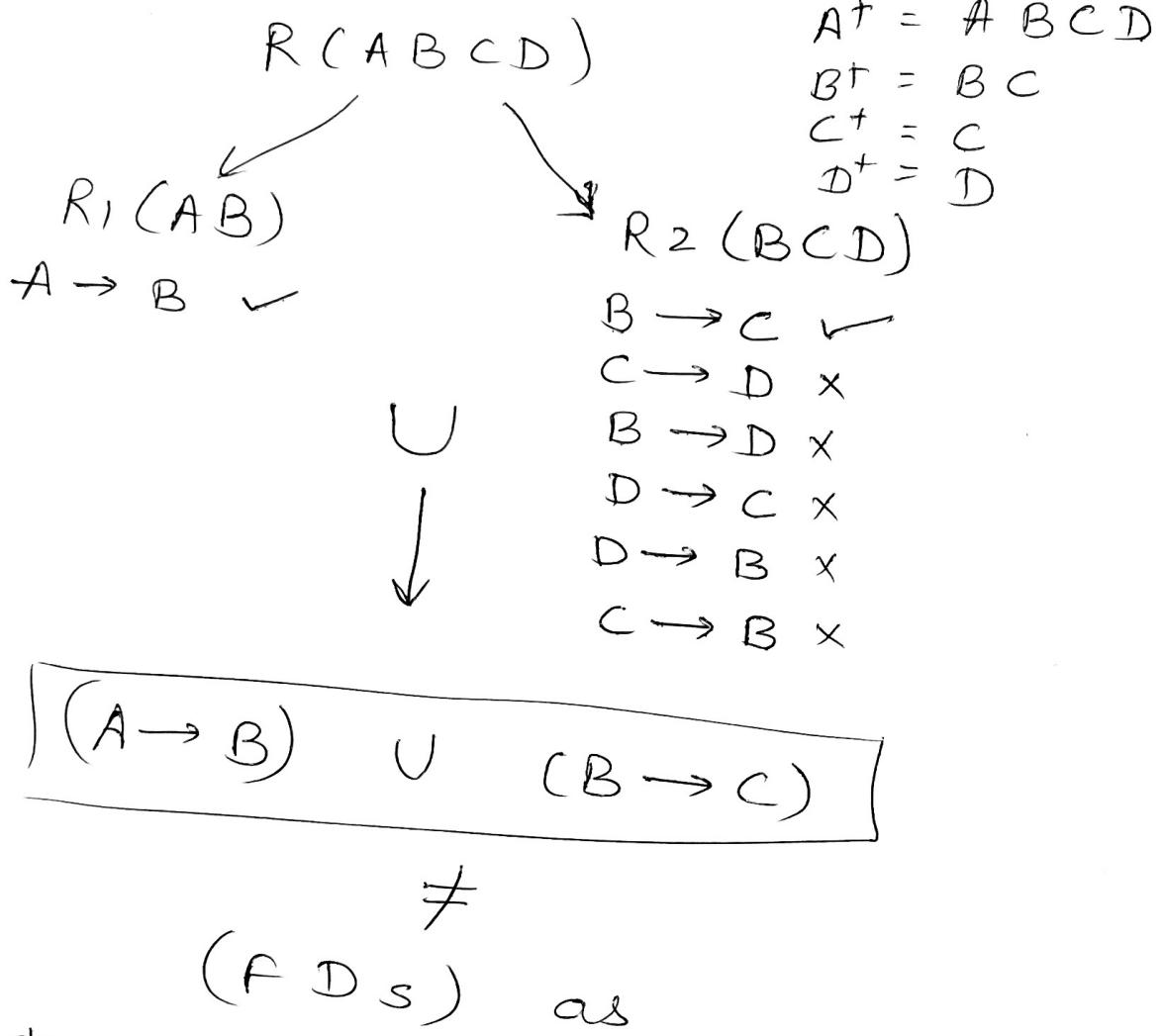
Lossless

- Dependency Preserving

$$FDs := \{ A \rightarrow B, B \rightarrow C \}$$



$$FDs = \{ A \rightarrow B, B \rightarrow C, A \rightarrow D \}$$



{Closure  
 w.r.t  
 FPs)

$A^+ = ABC$	$B^+ = BC$	$C^+ = C$
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? But what about

$$\underline{\underline{A \rightarrow D}}$$

◦ Normalization  $\hookrightarrow$  To Remove Redundancy

- 1NF
- 2NF
- 3NF
- BCNF

$\Rightarrow$  1NF → (NO Multivalued Attr)  
 → (PK)  
 → (Distinct C-names)

<u>empid</u>	Name	Projects
1	ABC	X / Y / Z

<u>empid</u>	Name	Projects
1	ABC	X
1	ABC	Y
1	ABC	Z

3<sup>rd</sup> approach

<u>empid</u>	Name
1	ABC

<u>empid</u>	Project
1	X
1	Y
1	Z

→ 2NF

↳ In 1NF

↳ No partial dependency



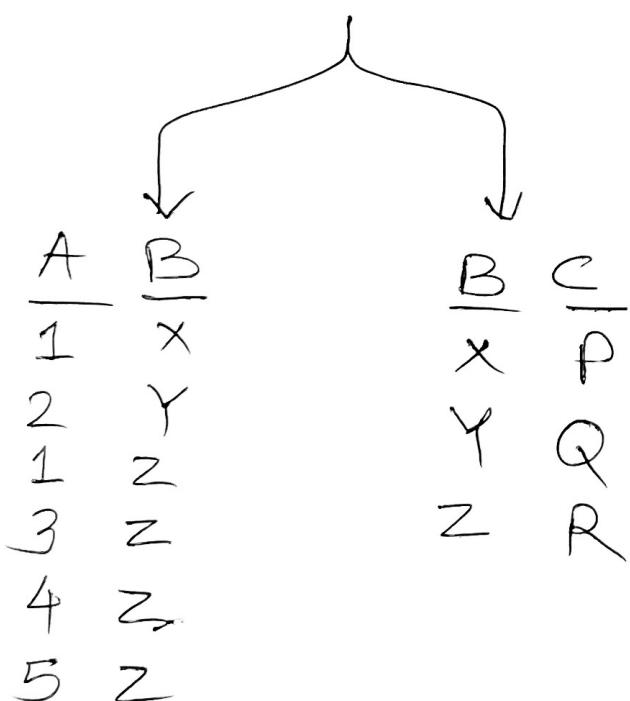
A	B	C
1	X	P
2	Y	Q
1	Z	R
3	Z	R
4	Z	R
5	Z	R

fDs:  $\{AB \rightarrow C, B \rightarrow C\}$

$(AB) \rightarrow \text{key}$

Now here;

$\begin{matrix} B \\ \uparrow \\ \text{Part of key} \end{matrix}$       Non key Attr.



→ 3NF



In 2NF



NO Transitive Dependency



<u>A</u>	<u>B</u>	<u>C</u>
1	b	x

fDs: {  $A \rightarrow B$ ,  $B \rightarrow C$  }

2	b	x
3	b	x
4	c	y
5	c	y
6	c	y

$A \rightarrow$  key

$NK \rightarrow NR$  (TD)  
 ↑                  ↑ ↙  
 CK, SK 'or' PA

<u>A</u>	<u>B</u>
1	b
2	b
3	b
4	c
5	c
6	c

<u>B</u>	<u>C</u>
1	b
2	c

Lossless

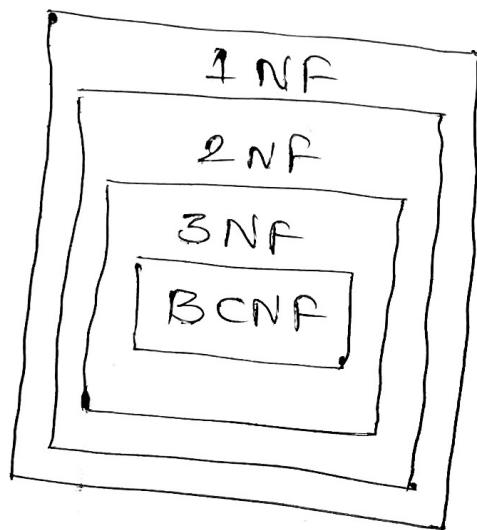
→ BCNF (Boyce Codd Normal form)

↳ In 3NF

↳ L.H.S ( $\alpha$ ) of each FD must be  
CR or SK

Eg:- CR: { A, B }

FDS: {  $A \rightarrow C$ ,  $A \rightarrow B$ ,  $B \rightarrow D$ ,  $B \rightarrow A$  }



→ 4NF → In BCNF

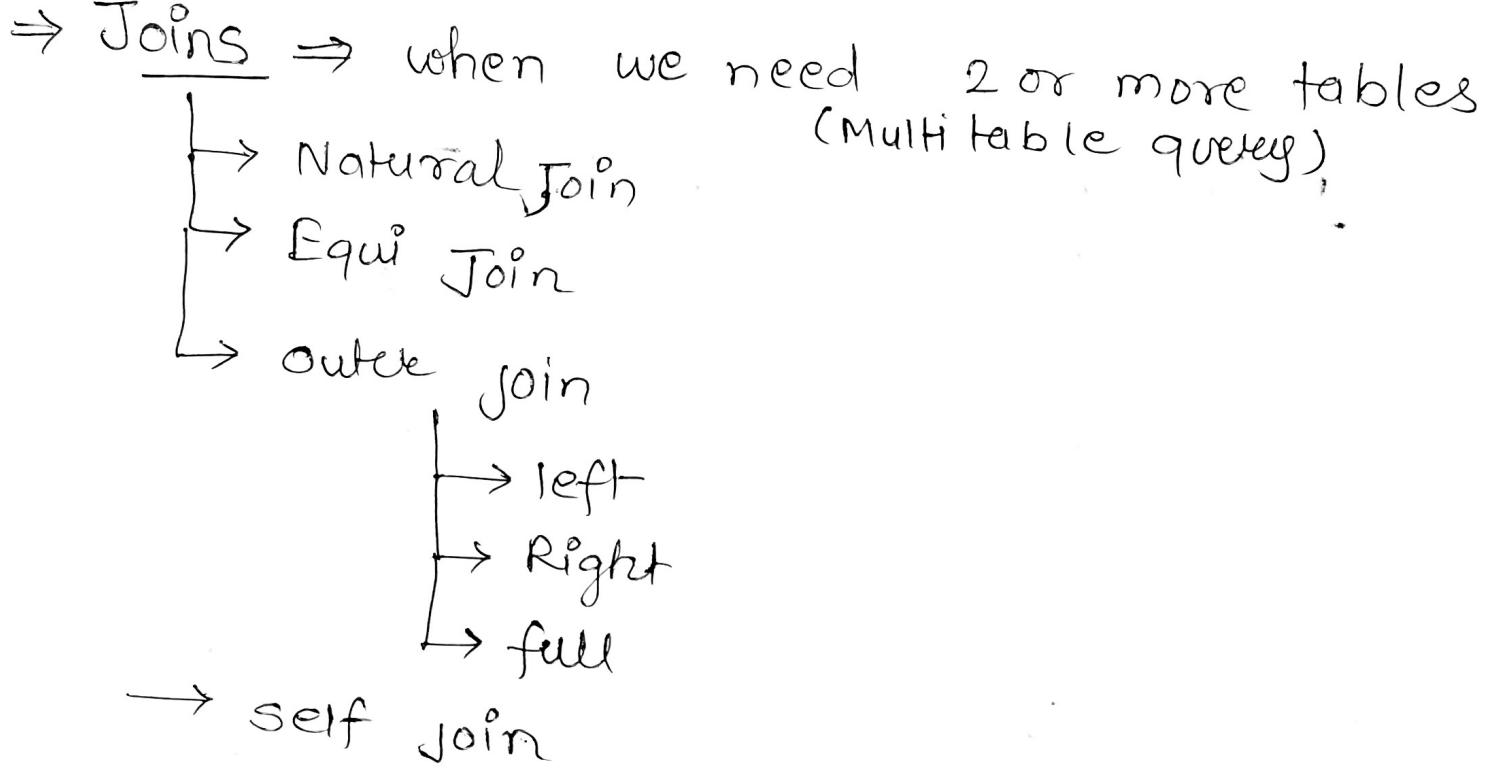
→ No Multivalued Dependency.

A	B	C
X	1	4
X	2	5
X	3	6

( $A \rightarrow\rightarrow B$ )  
for 'x' B & C have  
multiple values

→ 5NF → In 4NF

↳ should follow Lossless Decomposition



## Natural Joins

PK →

Rno	Name
1	A
2	B
3	C

student

PK → fk ←

Sid	Rno
101	1
102	2
103	3

subject

cross product + condition "

student.Rno = subject.Rno"

Rno	Name	Sid	Rno
1	A	101	1 ✓
1	A	102	2
1	A	103	3
2	B	101	1
2	B	102	2 ✓
2	B	103	3
3	C	101	1
3	C	102	2
3	C	103	3 ✓

## \* Equi Join

PK →

Rno	Name	Addr
1	A	X
2	B	Y
3	C	Z

→ Student

PK →

Exno	Loc	Rno
101	X	1
102	V	2
103	W	3

FK ←

exam

cross product  
↓

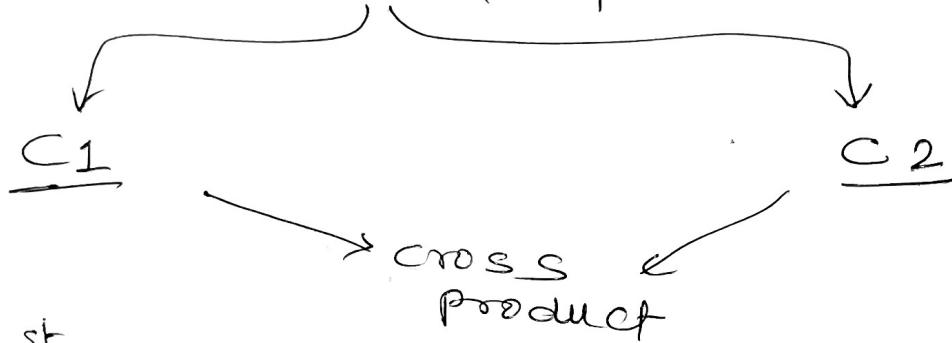
2nd ✓	1st Rno	Name	Addr	Exno	Loc	Rno.
✓	1	A	X	101	X	1
	1	A	X	102	V	2
	1	A	X	103	W	3
✓	2	B	Y	101	X	1
	2	B	Y	102	V	2
	2	B	Y	103	W	3
	3	C	Z	101	X	1
	3	C	Z	102	V	2
✓	3	C	Z	103	W	3

$$\text{Student.Rno} = \text{exam.Rno}$$

$$\text{Student.Addr} = \text{exam.Loc}$$

## Self Join : Join with self

Rno	Sid	Marks
1	101	50
2	101	60
3	102	70
1	103	80



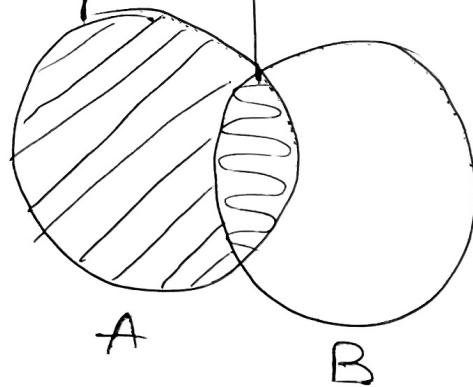
		2 <sup>nd</sup>	1 <sup>st</sup>	Rno	Sid	Rno	Sid
X	✓	1	101	→	1	101	
		1	101	→	2	101	
✓	✓	1	101	→	3	102	
		1	101	→	1	103	
X	✓	2	101	→	1	101	
		2	101	→	2	101	
		2	101	→	3	102	
		2	101	→	1	103	
		3	102	→	1	101	
	✓	3	102	→	2	101	
		3	102	→	3	102	
		3	102	→	1	103	
✓	✓	1	103	→	1	101	
		1	103	→	2	101	
		1	103	→	3	102	
X	✓	1	103	→	1	103	

• Left outer join

↳ Natural Join

+

Left exclusive data/Records



R_no	Name
1	A
2	B
3	C
4	D

Sid	R_no
101	1
102	2
103	3

→ Left outer join.

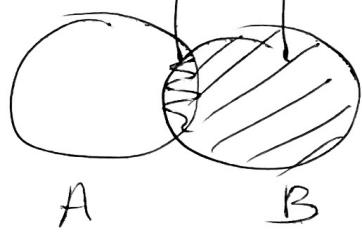
$$\begin{array}{l}
 \text{NJ} \\
 + \\
 \text{LER}
 \end{array}
 \left\{
 \begin{array}{lll}
 1 & A & 101 \\
 2 & B & 102 \\
 3 & C & 103 \\
 4 & D & -
 \end{array}
 \right.$$

## Right outer Join

↳ Natural Join

+

Right Exclusive Records



Rno	SPd
1	101
2	102
3	103

Sid	Name
101	A
102	B
103	C
104	D

ROJ

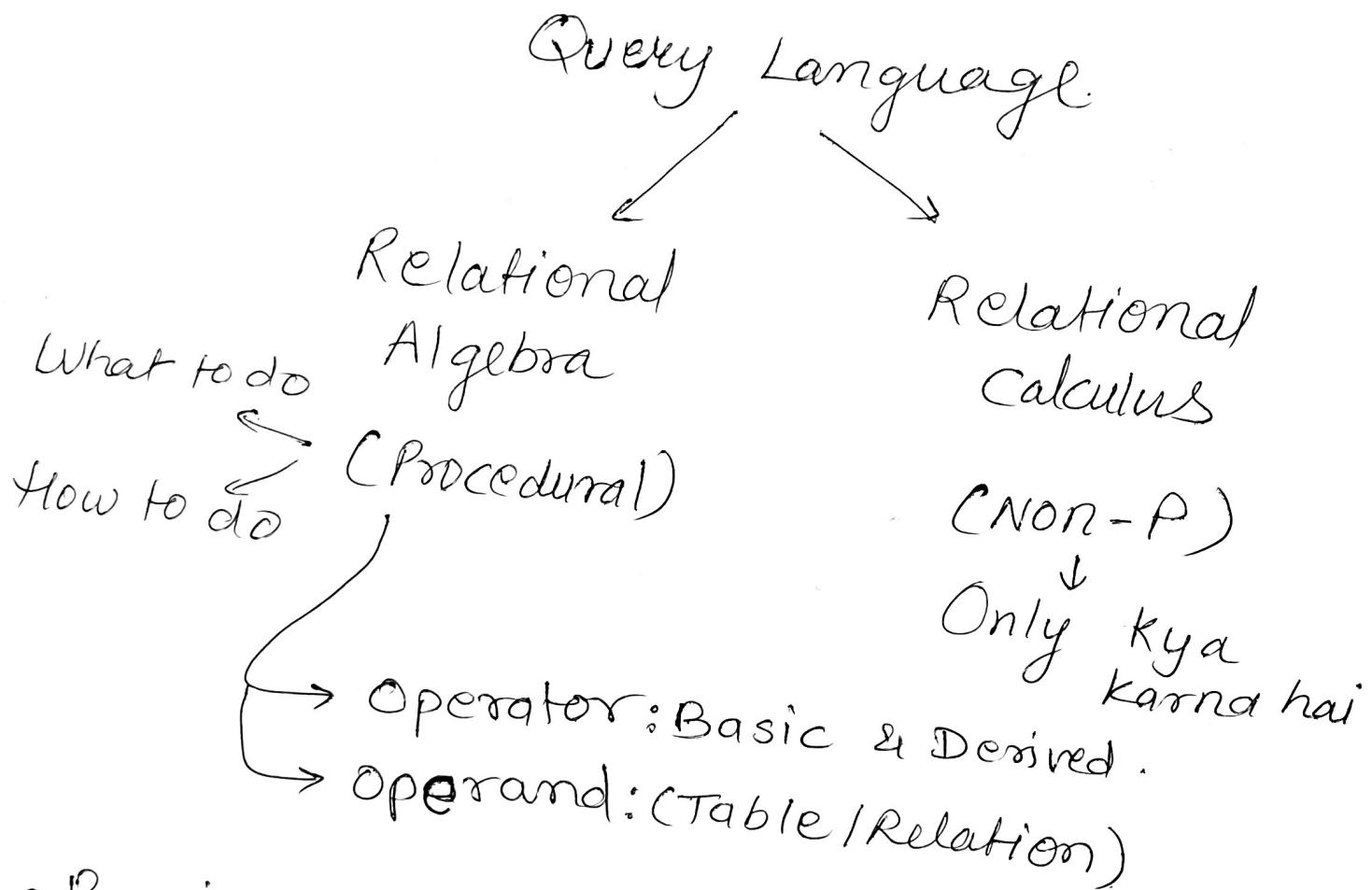
1	101	A	NJ
2	102	B	
3	103	C	
-	104	D	R_E_R

## Full outer Join

↳ LOJ  $\cup$  ROJ

# Relational Algebra

→ Procedural  
 → Formal → Query Language  
 → It helped in making of SQL



## Basic Op

- Projection ( $\pi$ )
- Selection ( $\sigma$ )
- Cross Product ( $\times$ )
- Union ( $\cup$ )
- Rename ( $\rho$ )
- set difference ( $-$ )

## Derived op

- Join ( $\bowtie$ )
- Intersect ( $\cap$ )
- Division ( $\div$ )

Projection ( $\Pi$ ) (Column / vertical selection)

"  $\Pi_{CN}(TN)$  "

Rno	Name	City
1	A	X
2	B	Y
3	C	Z

student

$\Rightarrow \Pi_{Name}(\text{student}) \rightarrow$  Name column of student table

Selection ( $\sigma$ ) (Row / horizontal selection)

"  $\sigma_{\text{condition}}(TN)$  "

Eg:  $\sigma_{Rno=1}(\text{student})$

Combine :-  $\Pi_{Name}(\sigma_{Rno=1}(\text{student}))$

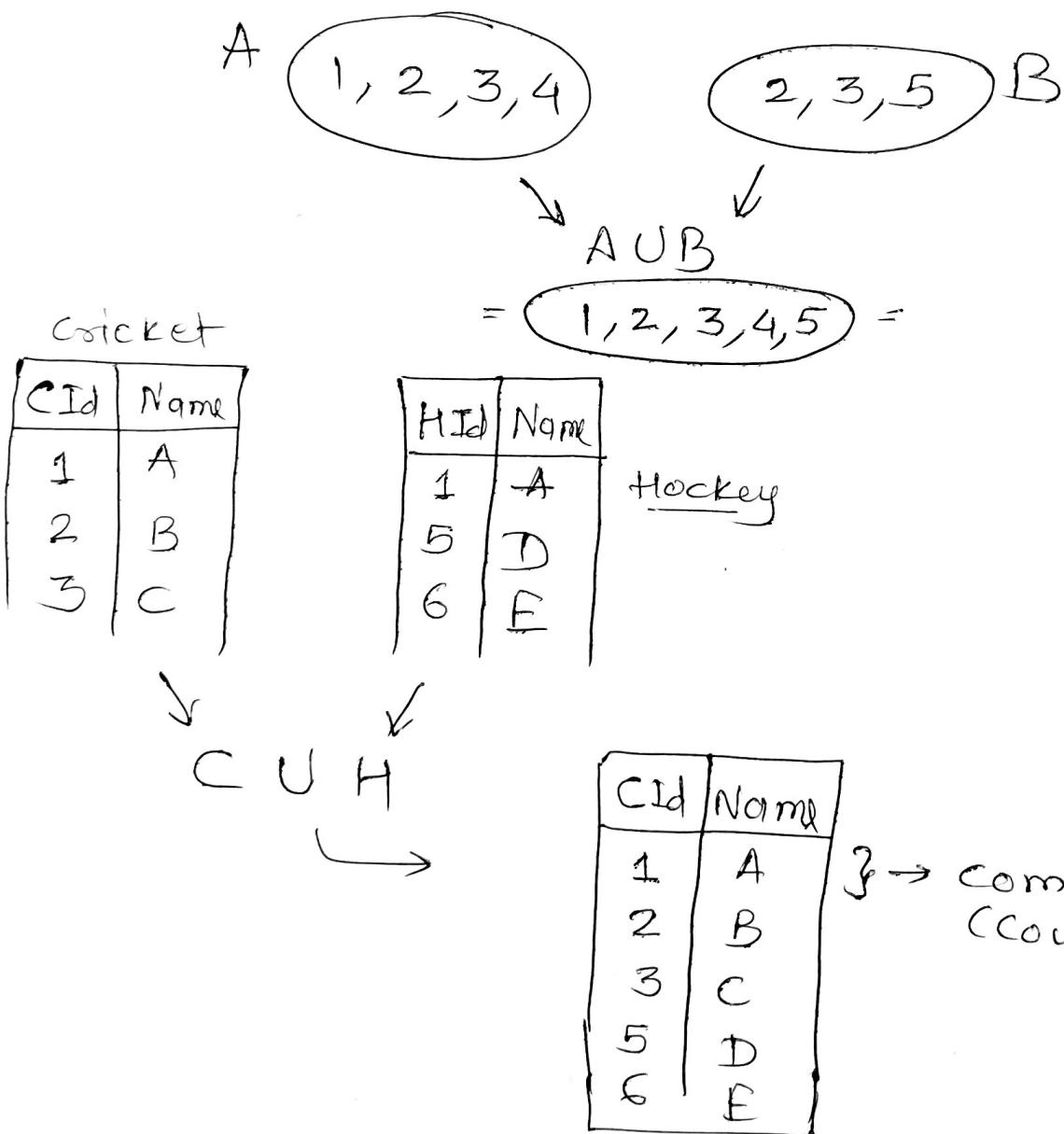
Comparisons :-  $=, \neq, <, >, \leq, \geq$

Connect :-  $\wedge, \vee, \neg$

Union :- binary operator.



- both A & B should have
  - Same no. of columns
  - Same domains



Cross product :  $A \times B$

5	{	A <sub>1</sub>	A <sub>2</sub>

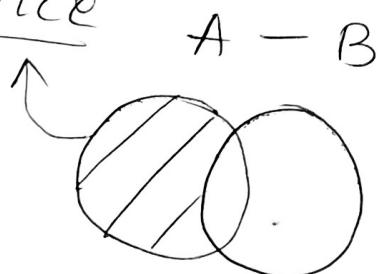
5	{	B <sub>1</sub>	B <sub>2</sub>

↓ Cross Product      one condition

$$A_1, A_2, B_1, B_2 \quad (2+2=4)$$
$$5 \times 5 = 25$$

All combination

Set difference



$$A \setminus B = \{1\}$$

→ No. of column & domain of A, B should be same.

CId	Name
1	A
2	B
3	C
4	D

A

HId	Name
2	B
3	X
5	Y
6	Z

B

$$(A - B) \rightarrow$$

CId	Name
1	A
4	D

Division : Desired  $(A/B \text{ or } A \div B)$

A ↗

P	Q
X	1
Y	2
X	2
Z	4

Q
1
2

$A/B \rightarrow$

$A(P, Q) / B(Q)$

P
X

If returned P values  
that matches with  
all Q values

$$X \rightarrow 1 \quad Y \rightarrow 2 \\ X \rightarrow 2$$

$$Z \rightarrow 4$$

$$\pi_P - \left[ \pi_P((\pi_P(A)) \times \pi_Q(B)) - (A) \right]$$

$$\begin{array}{ccc}
 x & x & 1 \\
 \checkmark & Y & 1 \\
 x & x & 1 \\
 \checkmark & z & 1 & - & Y & 1 \\
 \cancel{x} & x & 2 & & x & 2 \\
 \cancel{x} & Y & 2 & & z & 4 \\
 \checkmark & x & 2 \\
 \checkmark & z & 2
 \end{array}$$

$$\begin{array}{cc}
 Y & 1 \\
 Z & 1 \\
 Z & 2
 \end{array}$$

$$\begin{array}{c}
 Y \quad Z \\
 \rightarrow X \quad Y \quad Z - YZ \\
 = X
 \end{array}$$

final ans

Rename : " f " Rho

$f_{\text{Rename}}$  (Table)

↳ eg:- Rename Student table  
to Alumni

⇒  $f_{\text{Alumni}}(\text{Student})$

↓ In more detail we can change  
the column name as well.

$f_{\text{Alumni}}(\text{Ano})(\text{Student}(\text{Rollno}))$

## • Relational Calculus

- ↳ Non-procedural query language
- ↳ Only what to do

- Tuple RC (Row wise)
- Domain RC (column wise)

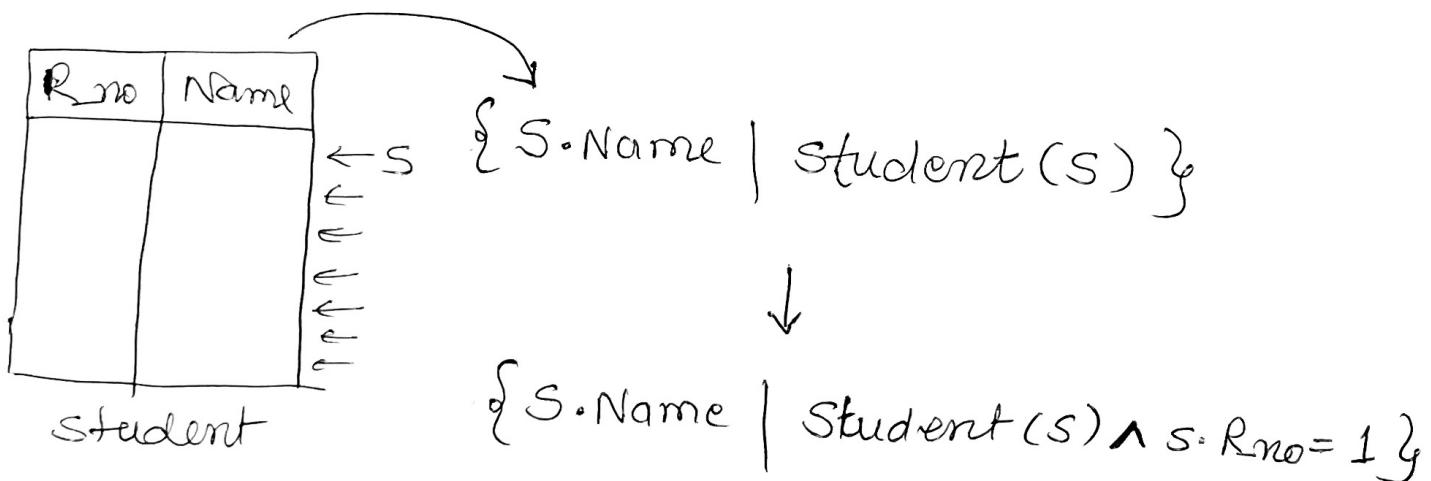
⇒ Tuple RC

Query  $\Rightarrow \{t \mid C(t)\}$

↴    ↘  
 final    Condition / Rule.

Tuple

- So the tuples 't' will be seen in Result, which satisfies  $C(t)$ .



$\Rightarrow \wedge, \vee, \neg, \exists, \forall$

⇒ Unsafe Expression:

$$\{S \cdot Name \mid \text{student}(s)\}$$

→ ∞

## • Domain Relational Calculus

→ Column / attributes  
→  $\{ c_1, c_2, c_3 \dots c_n / C(c_1, c_2, c_3 \dots c_n) \}$

Eg:  $\{ (R\_no, Name) / \text{Student}(R\_no, Name) \wedge R\_no = 1 \}$

O/p or resultant  
attr.

I/p Relation

Selecting a  
row based  
the condition

SQL → Structured Query Language

→ Data      → Operations      → Db specific



### D D L

- Create
- Drop
- Alter
- Truncate
- Rename

### D M L

- Select
- Insert
- Delete
- Update

### D C L

- Grant
- Revoke

### T C L

- Commit
- Roll back

- ① CREATE DATABASE Students;
- ② CREATE TABLE Students.academic(  
    Rollno INT PRIMARY KEY  
    Name Varchar(100)  
    Marks int);  
  
INT, SMALLINT, BIGINT, FLOAT, VARCHAR, CHAR
- ③ ALTER Table Students.academic  
    ADD PhoneNumber INT;
- ④ ALTER Table Students.academic  
    DROP Column PhoneNumber;
- ⑤ ALTER Table academic  
    RENAME Column Name TO SName;
- ⑥ ALTER TABLE academic  
    RENAME TO Study;
- ⑦ DROP Table academic;
- ⑧ DROP Database Students;

- ⑨ Create Table emp  
`emp_id int primary key,  
FOREIGN KEY(Dep_id) REFERENCES Dept(Dep_id);`
- ⑩ Insert into Students (Rollno, Name, Marks)  
`(1, X, 10)  
(2, Y, 20)  
(3, Z, 30);`
- ⑪ DELETE FROM Students  
where Rollno = 1;
- ⑫ DELETE FROM Students;
- ⑬ Select Rollno from Students where C  
 $\uparrow$   
 $\pi$   
Table
- ⑭ Select \* for all attr.
- ⑮ Select distinct location from Map.  
 $\uparrow$   
(NO Duplicates)
- ⑯ Select marks + 10 from academic  
 $\downarrow$   
10 → 20  
20 → 30  
30 → 40

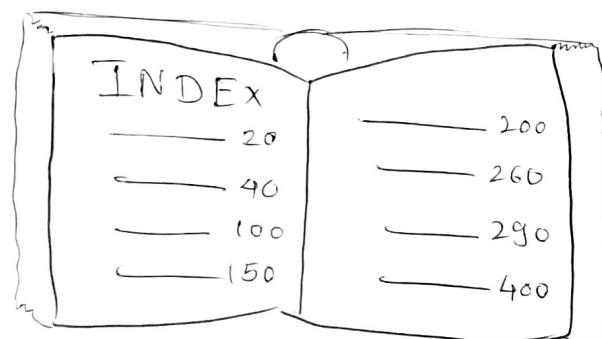
- (17) Select \* from academic where marks > 35
- (18) Select Name from academic where marks > 35 and Attendance > 75;
- (19) Select \* from academic where marks  $\geq$  35 and marks  $\leq$  90  
where marks between 35 and 90  
 $\downarrow$
- (20) where marks not between 35 and 90
- (21) Select Name from Cricket Union  
Select Name from Hockey.
- (22) Select Name from Cricket Insect  
Select Name from Hockey
- (23) select Name from Cricket  
Except  
Select Name from Hockey.
- (24) Grant insert, select on student to xyz;
- (25) Revoke insert, select on student from xyz;

- (26) select Name, sports  
from student, sports
- (27) where student.Id = sports.Id
- (28) from student natural join sports.  
inner
- ON student.Id = sports.Id
- (29) from student left join sports
- (30) from student right join sports.
- (31) from student full outer join sports.
- Aggregate fn
- (32) select count(\*) from student
- (33) select avg(marks) from student
- (34) select sum(marks) from student
- (35) select max(marks) from student
- (36) select min(marks) from student

- (37) Select \* from student where marks > 35  
Order by name;  
↓
- (38) Order by name desc;
- (39) Select count(location) from student  
where marks > 35  
Group by (location)
- (40) Select name from student  
where name like '%s'  
→ 's%', '-s%', '--', 's-'
- (41) Create view customers as  
Id int,  
Name varchar(100);
- (42) Insert into customers values  
(1, A),  
(2, B),  
(3, C);
- (43) Truncate Students;
- (44) Select Name from student  
where marks = (Select max(marks) from student)

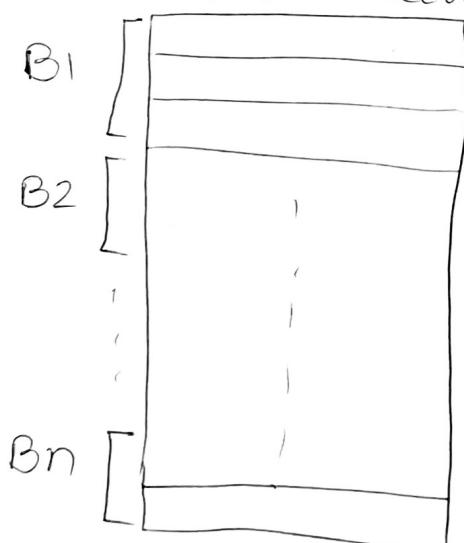
(45) Select Name from student  
 where marks = (select marks from student where city = (select city from student where city like 'P\_\_\_'))

## Indexing



- sorted
- keywords ✓
- x description
- small number of pages

10000 records

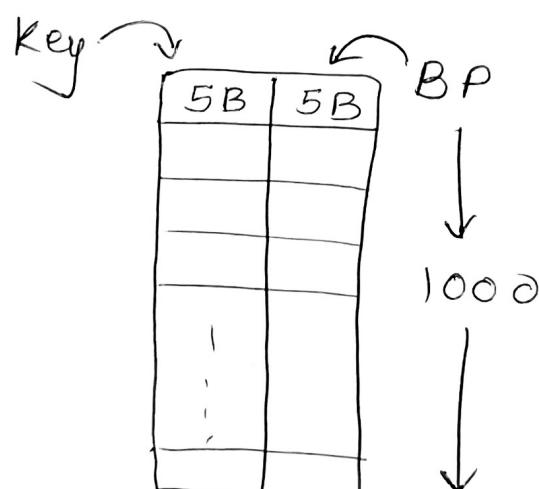


$$\begin{aligned}
 & \text{Block size} = 1000 \text{B} \\
 & \text{Record size} = 100 \text{B} \\
 \therefore & \text{No. of rec/block} = \frac{1000}{100} = '10' \\
 \text{Total No. of Blocks} & = \frac{10000}{10} = '1000'
 \end{aligned}$$

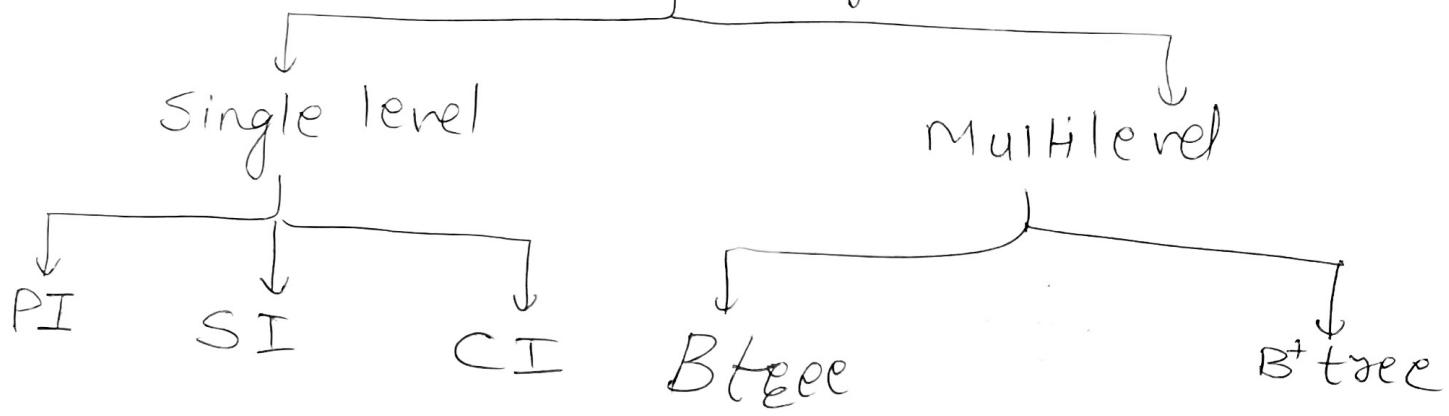
$$\begin{aligned}
 \rightarrow \frac{BS}{RB} & = \frac{1000}{100} \\
 & = 100
 \end{aligned}$$

$$\therefore \frac{1000}{100} = \frac{100}{10}$$

Total no. of blocks



# Indexing



## Primary Indexing

- single level Indexing
- S.Key = Prime attr.
- Ordered file
- Sparse indexing

## Clustered Indexing

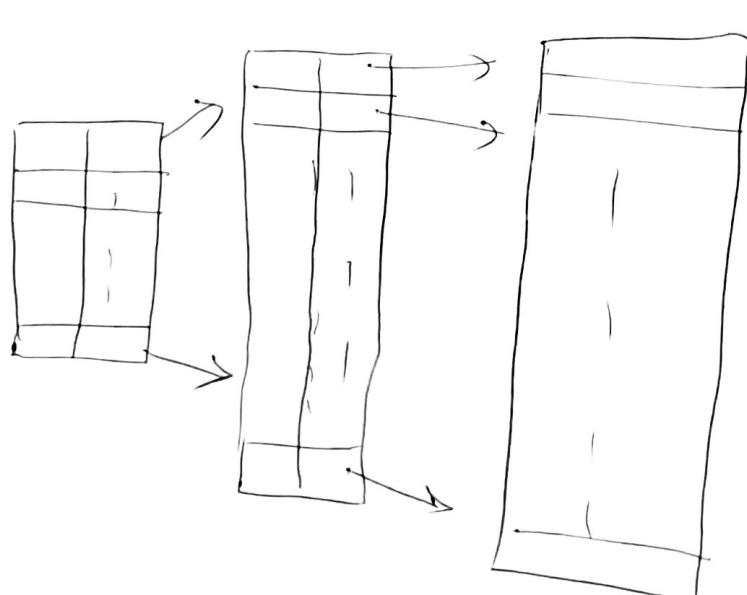
- S.Key = Non key attr.
- ordered file

## Sparse or dense indexing

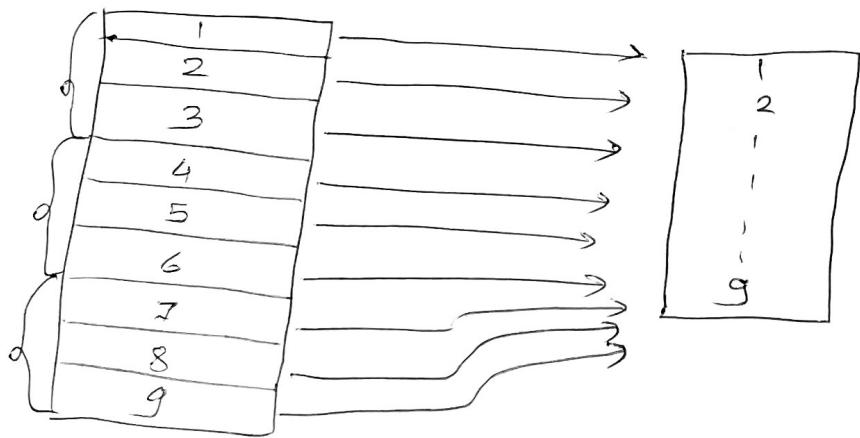
## Secondary Indexing

- S.Key = key or nonkey
- unordered
- Dense indexing.

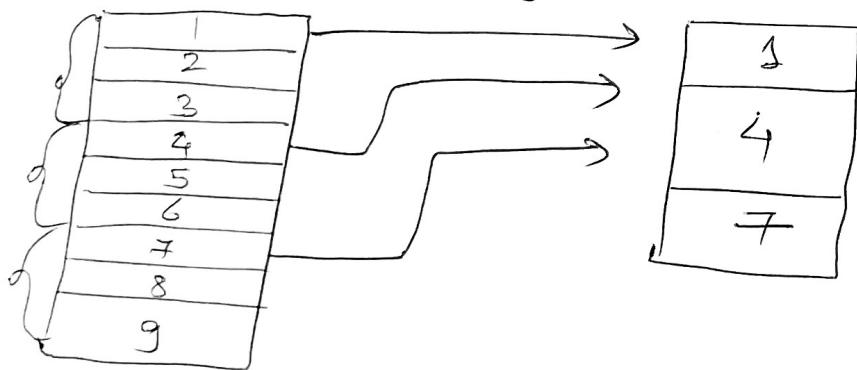
## Multi Level Indexing



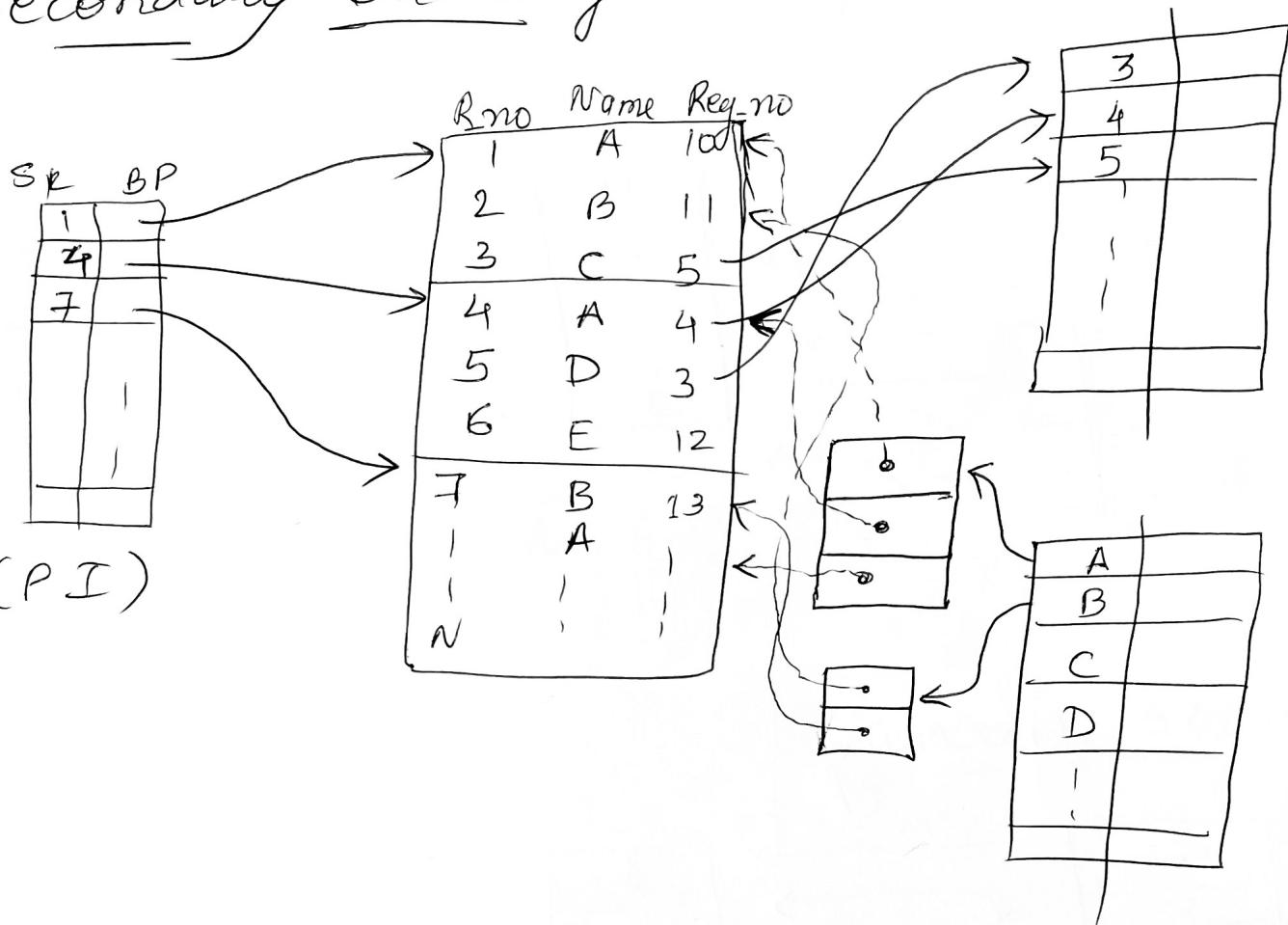
° Dense Indexing.



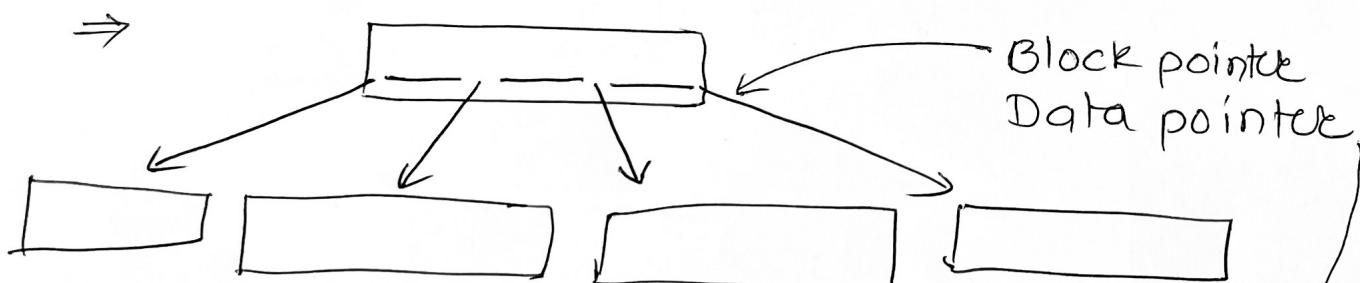
° Sparse Indexing



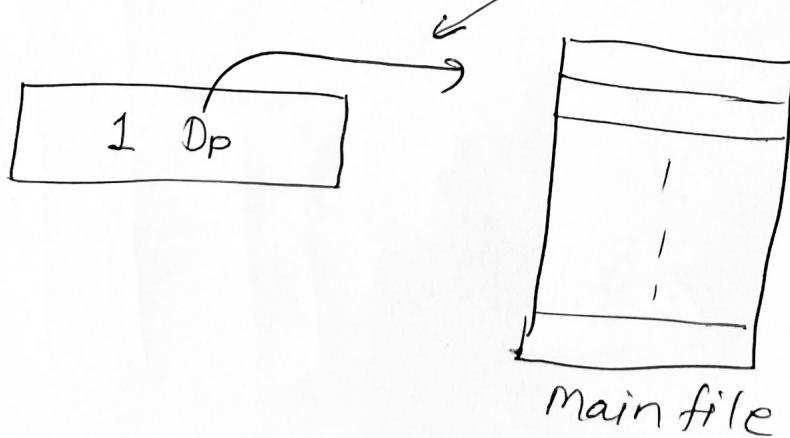
## Secondary Indexing.

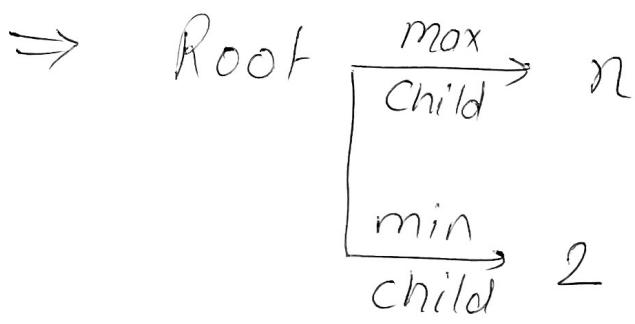


→ B tree



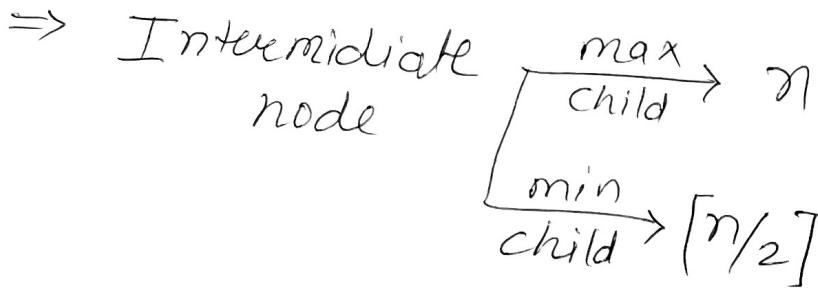
Its a Balanced Tree





$n$  = order of Btree

$$n-1 = \text{no. of keys (max)} \\ = D_p$$



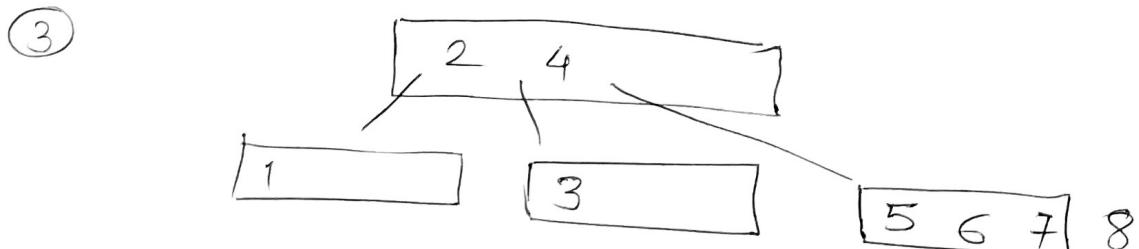
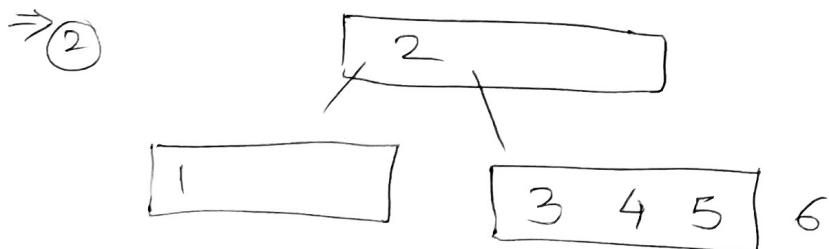
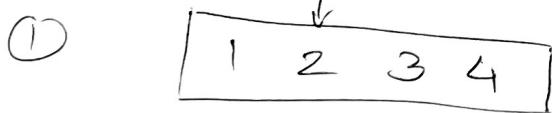
$$\lceil \frac{n}{2} \rceil - 1 = \text{min no. of keys}$$

Ex:- 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12

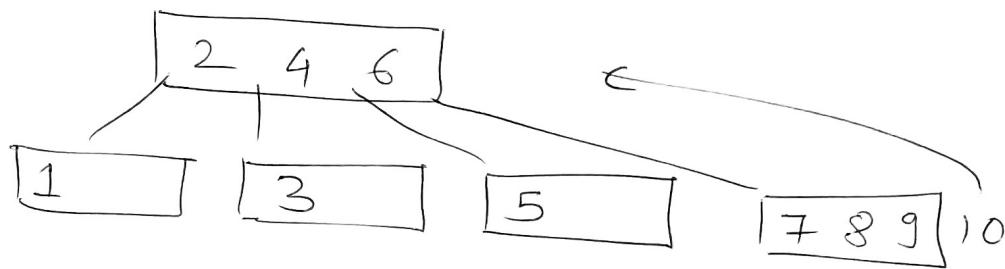
Order of B-tree = 4

$$\therefore \text{Max no. of keys} = n-1 = 3$$

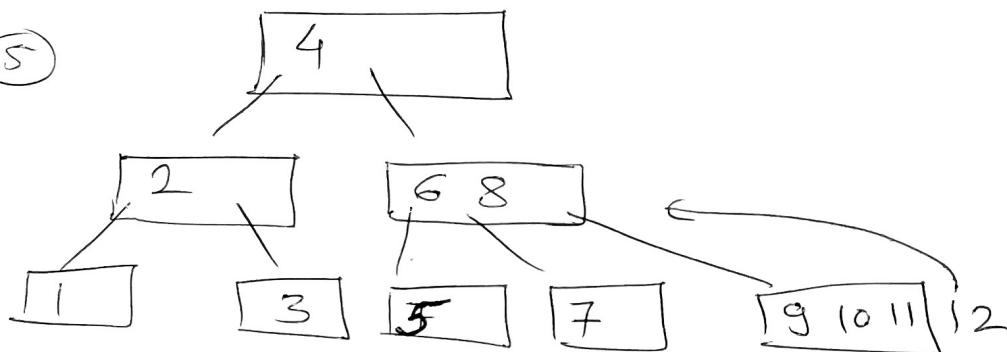
$$\text{Min no. of keys} = \lceil \frac{n}{2} \rceil - 1 = \lceil \frac{4}{2} \rceil - 1 = 1$$



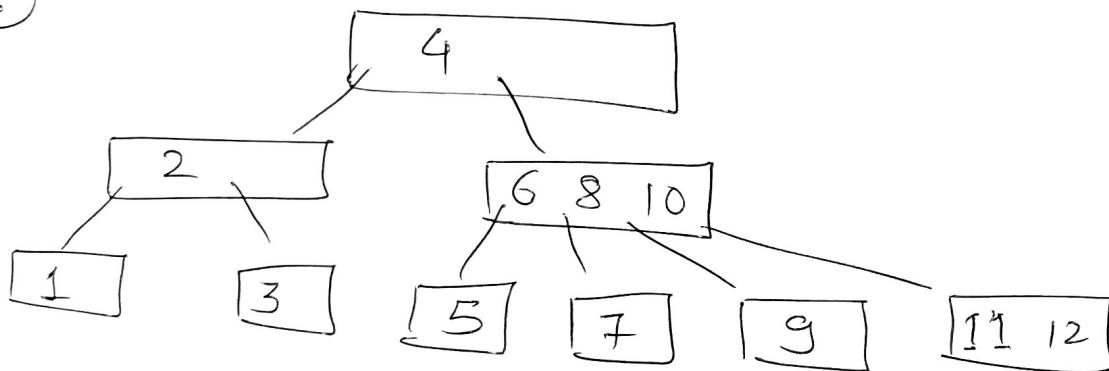
(4)



(5)

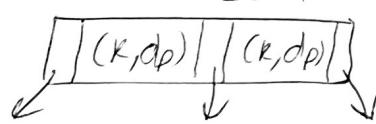


(6)

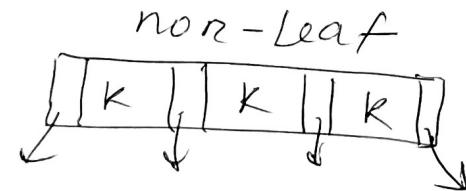
 $B^+$  tree :-

→ Data pointers only in leaf node

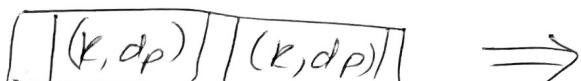
Non-Leaf



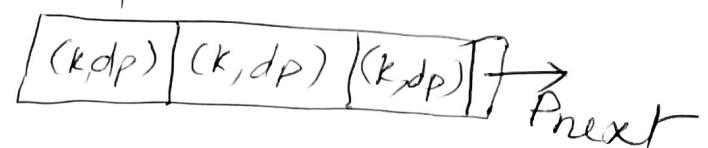
⇒



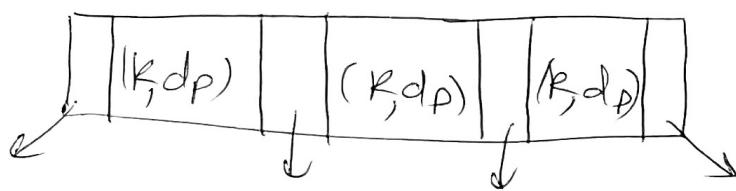
Leaf node



Leaf node



$\Rightarrow$  order of B-Tree



$$\begin{aligned} K \text{ size} &= 10B \\ BS &= 512B \\ dp &= 8B \\ BP &= 5B \end{aligned}$$

$$\begin{aligned} & n \times BP + (n-1) \text{ Key} + (n-1) dp \leq BS \\ & n \times 5 + (n-1)(10) + (n-1)(8) \leq 512 \\ & 5n + 18n - 18 \leq 512 \\ & 23n \leq 512 + 18 \end{aligned}$$

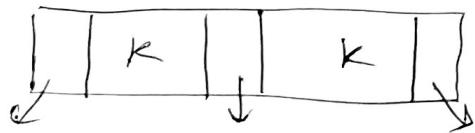
$$23n \leq 530$$

$$n \leq \frac{530}{23} = 23.043$$

$\therefore$  Order of b tree = 23

$\Rightarrow$  order of B<sup>+</sup> Tree

non leaf



$$n \times BP + (n-1) K \leq BS$$

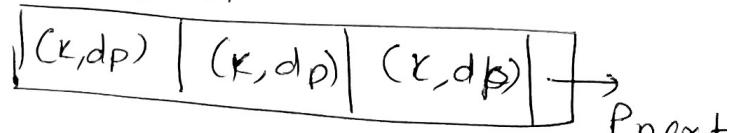
$$5n + 10n - 10 \leq 512$$

$$15n \leq 522$$

$$n \leq \frac{522}{15}$$

$\Rightarrow 34.8$

Leaf



$$n(K + dp) + BP \leq BS$$

$$n(10 + 8) + 5 \leq 512$$

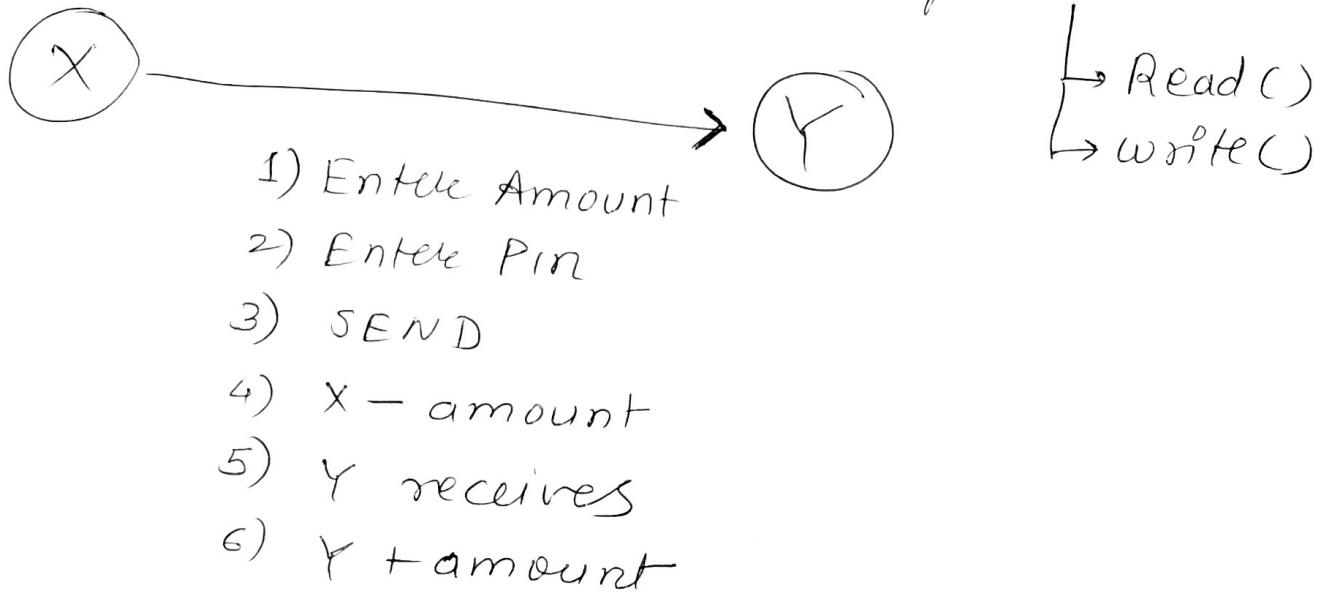
$$18n \leq 507$$

$$n \leq 28.16$$

$\therefore$  28

# Transaction

↳ Set of logically related operation



(A)

Atomicity  
"All" or  
None

(C)

Consistency  
Db  
Remain  
consistent  
Before &  
after

(I)

Isolation  
 $T_1 \quad T_2$   
NOT  
Interfere

(D)

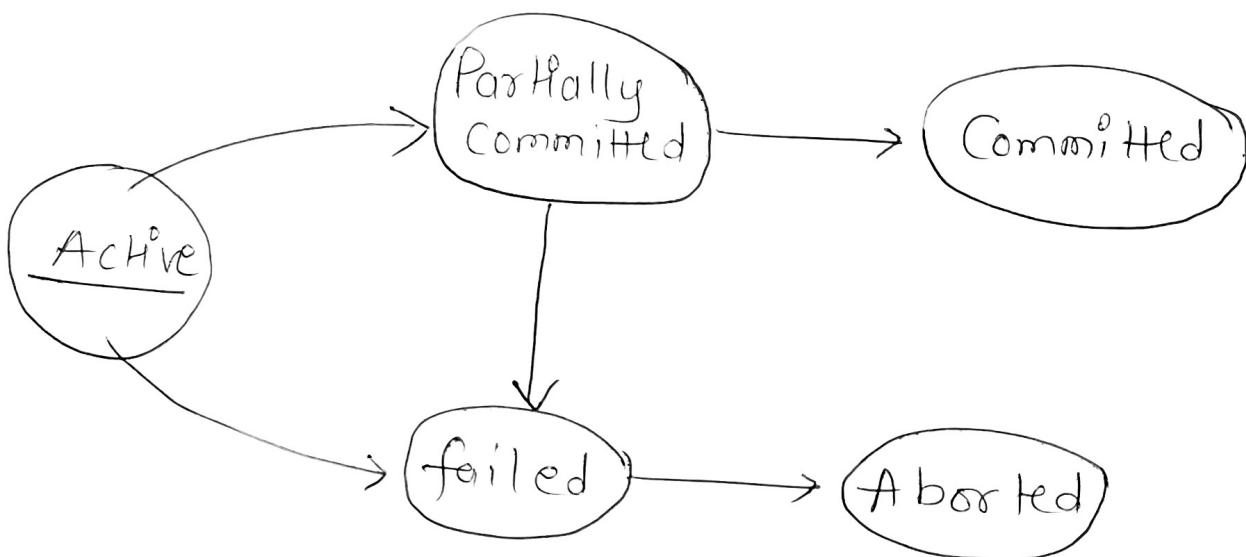
Durability  
Changes in Db  
after (T)  
Completes should  
be durable  
(intact)

( $T_C$ )

( $T$ )

$\rightarrow$   
( $T'_C$ )

## • Transaction states



• Active : → First state  
→  $T$ 's operations are performed

• PC : → After last/final operation  
→ Not fully committed  
as it can go for 'aborted', due  
to some error.

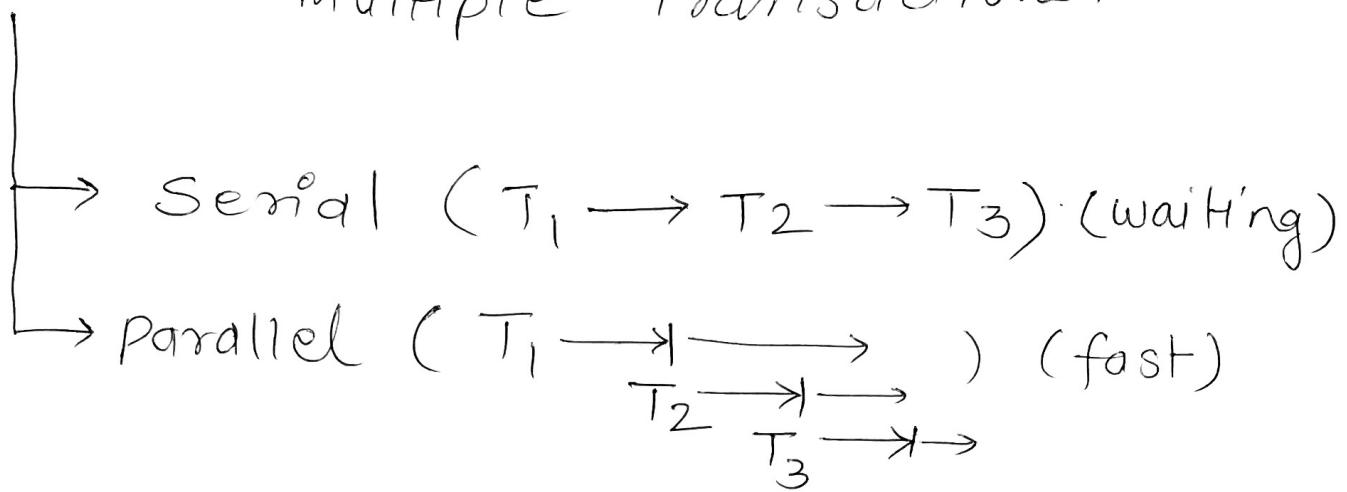
• failed : → Due to some H/w, S/w, operation  
in enters in failed state  
→ Can come here from Active or  
PC state.

• Aborted : → Roll back  $\textcircled{T}$ .

→  $\textcircled{T}$  has been rolled back  
→ Db restored to original state.

• Committed : - Enter here after successful  
completion of  $\textcircled{T}$ . & consistent update  
is made.

Schedule : Execution sequence of multiple Transactions.



Serial →

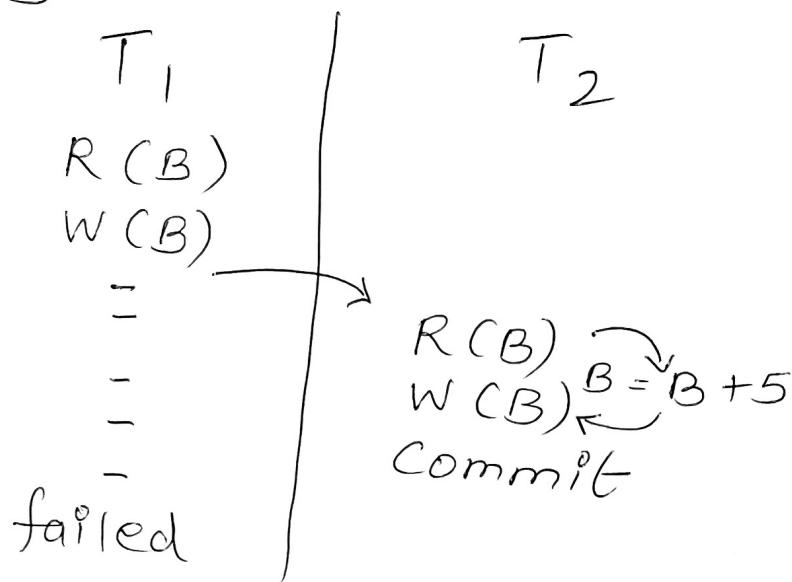
$T_1$		$T_2$
$R(A)$		
$W(A)$		
$R(B)$		
$W(B)$		$R(B)$
		$W(B)$
		$R(A)$
		$W(A)$

Parallel →

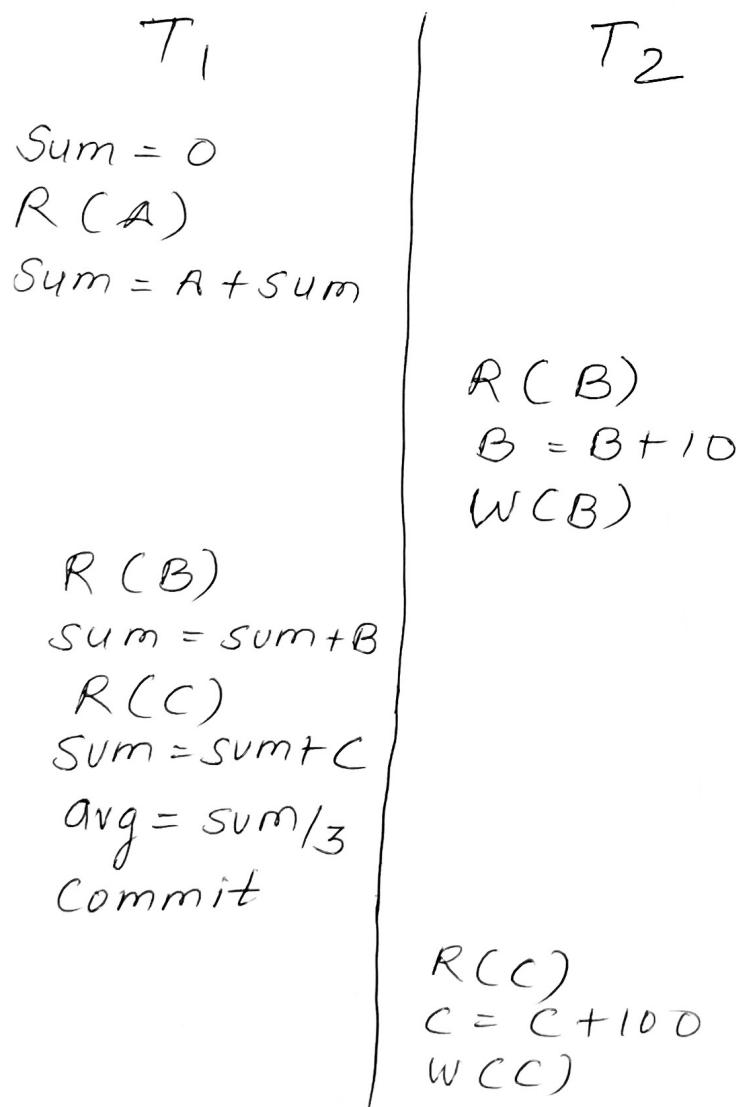
$T_1$		$T_2$
$R(A)$		
$R(B)$		$R(A)$
		$W(A)$
		$R(B)$
		$W(B)$

## • Concurrency Problems

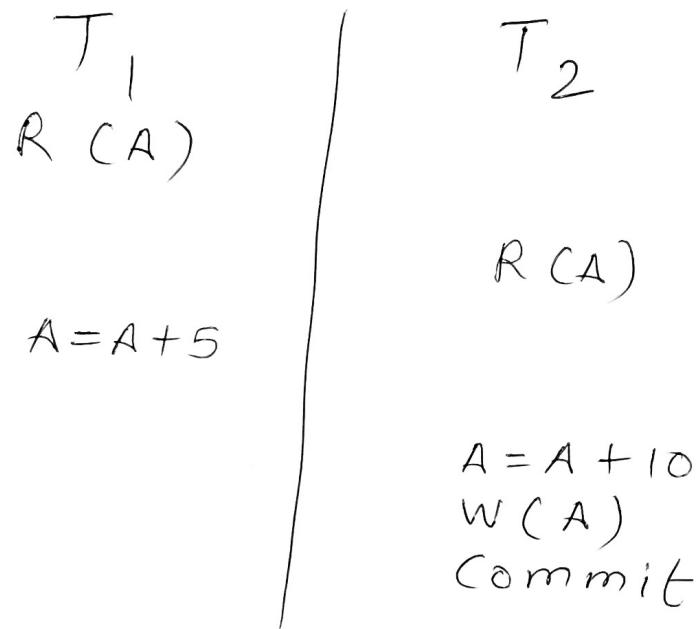
### 1) Dirty Read



### 2) Incorrect Summary



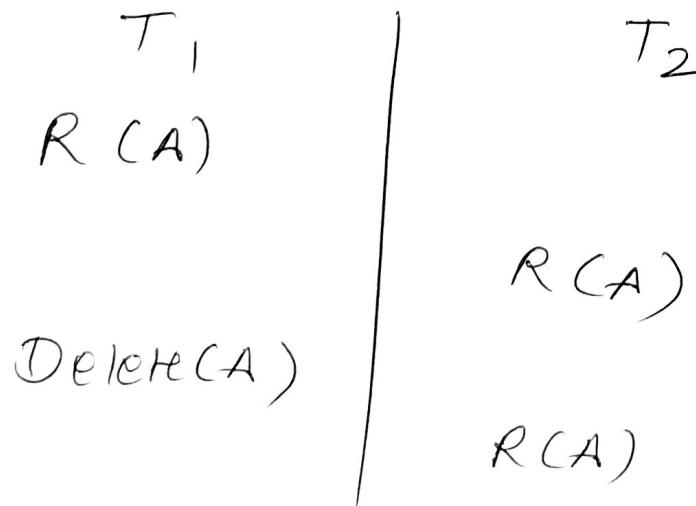
- Lost update Problem



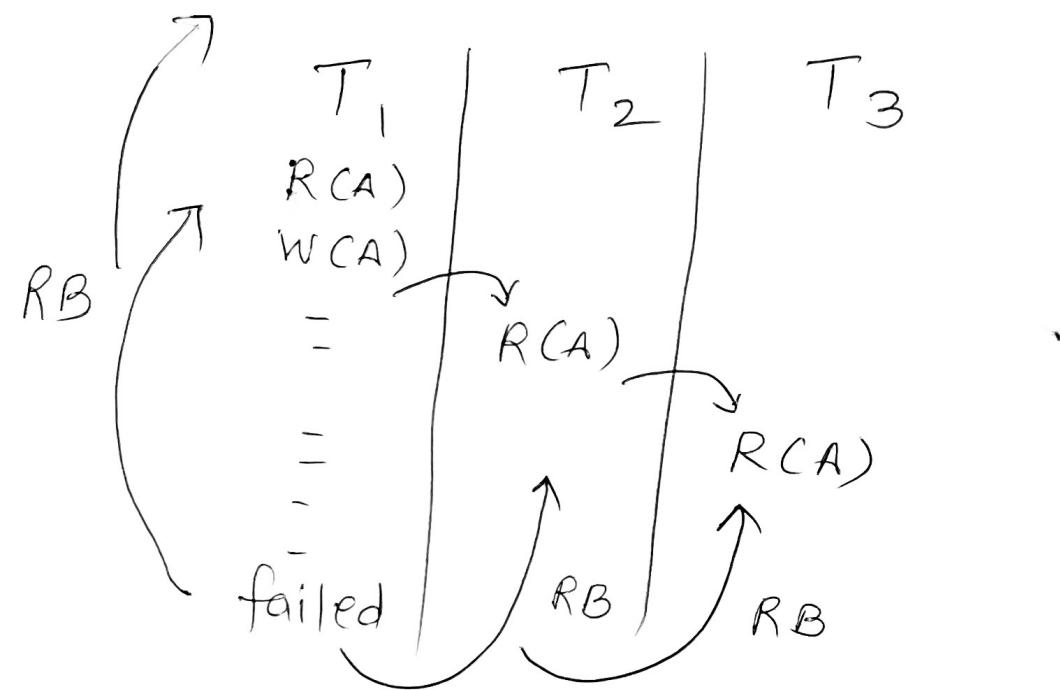
- Unrepeatable Read Problem



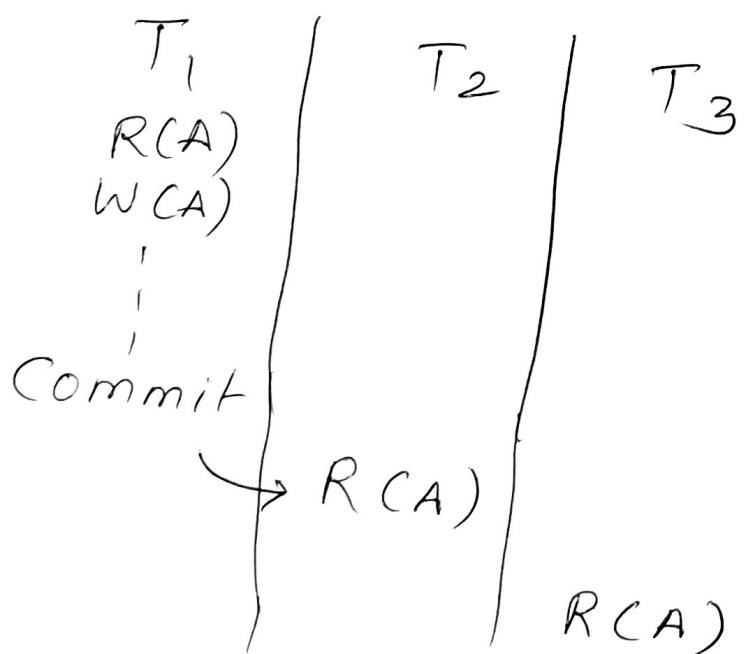
- Phantom Read Problem:



## Cascading Schedule

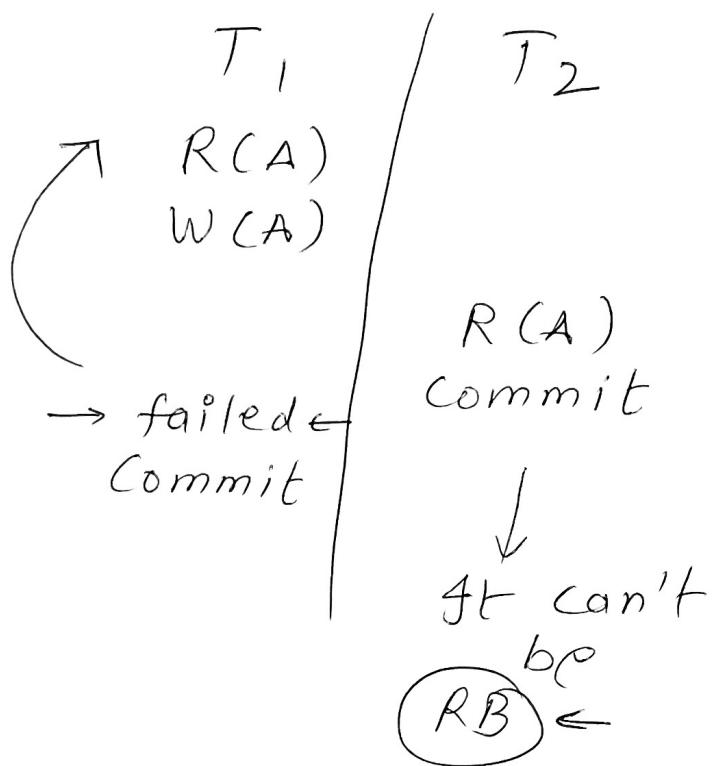
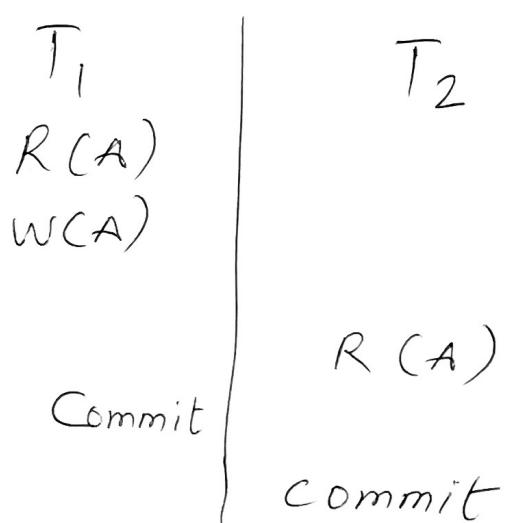


## Cascadeless Schedule

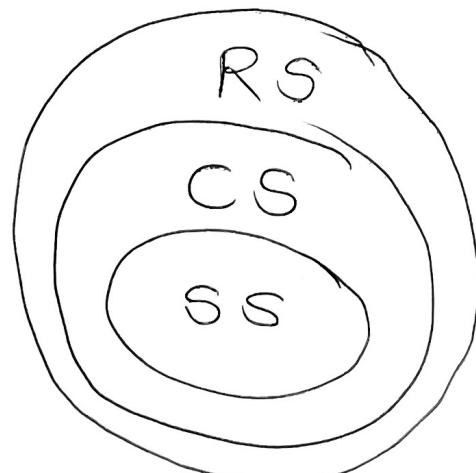
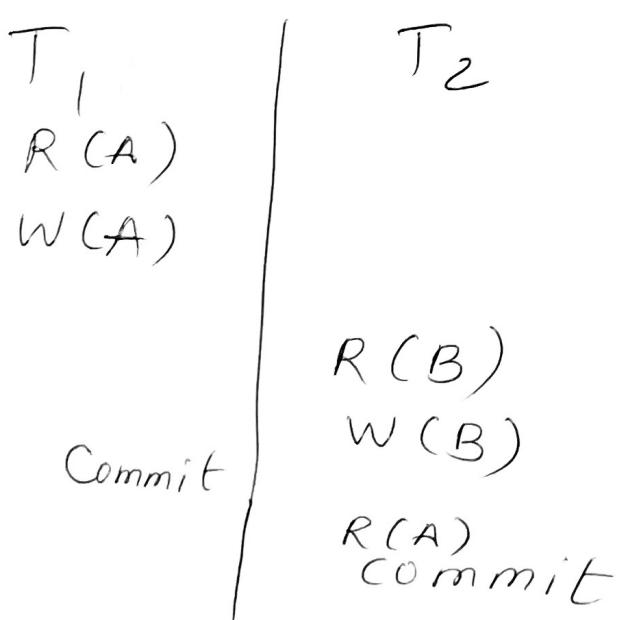
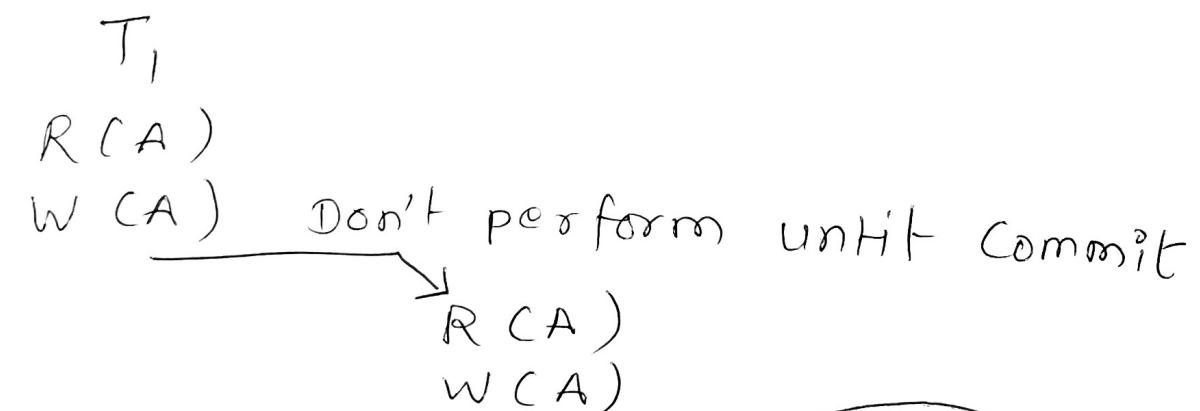


# Recoverable

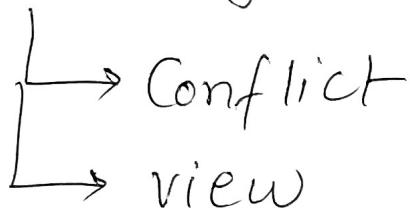
# Vs Nonrecoverable



## Strict Schedule "



## • Serializability



Given: Parallel schedule

Convert to its equivalent

Serial copy

## • Conflict Serializability

Conflicts (x)

	T <sub>1</sub>	T <sub>2</sub>	
R(A)	R(A)	R(B)	①
R(A)	R(A)	w(B)	②
R(A)	R(A)		③

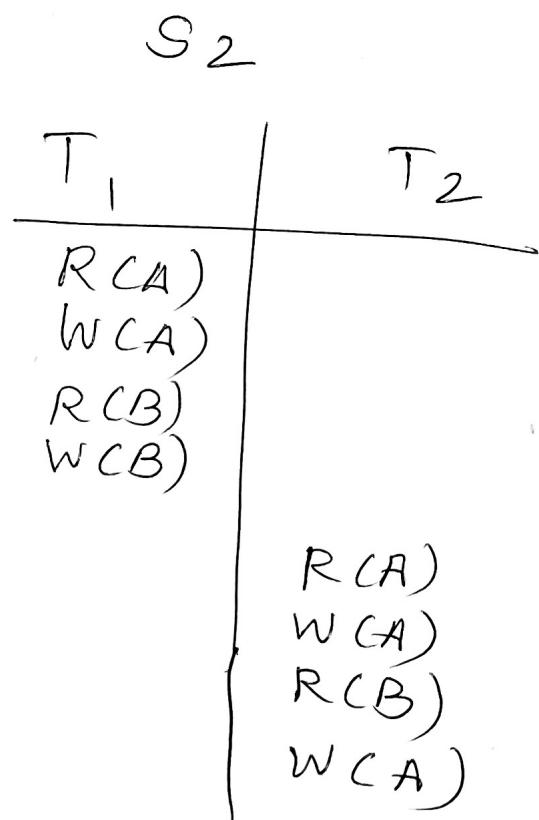
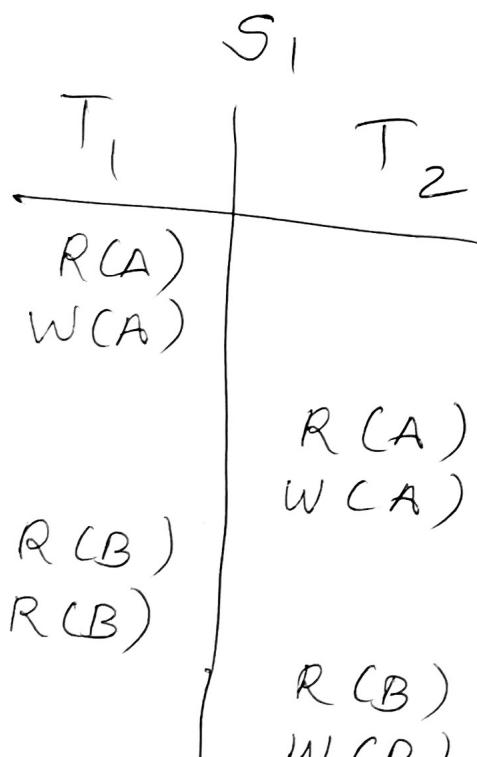
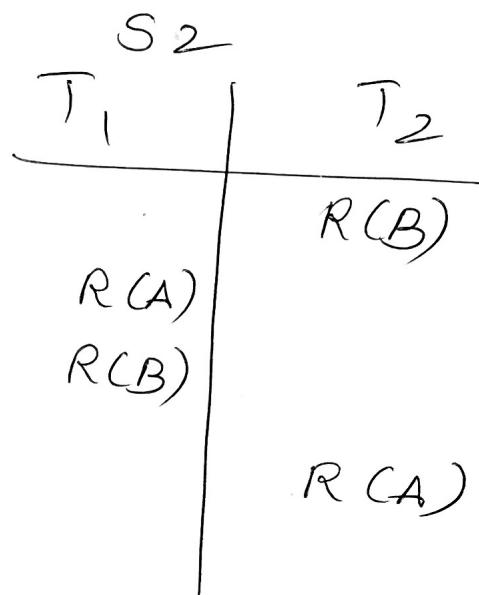
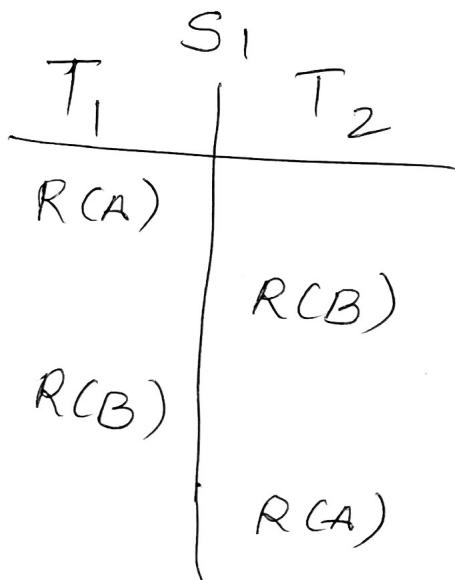
Conflicts (v)

	T <sub>1</sub>	T <sub>2</sub>	
R(A)	R(A)	w(A)	①
w(A)		w(A)	②
w(A)		w(A)	③
R(A)		R(A)	③

• Conflict equivalent

$\hookrightarrow S_1 \xrightarrow{\quad} S_2$

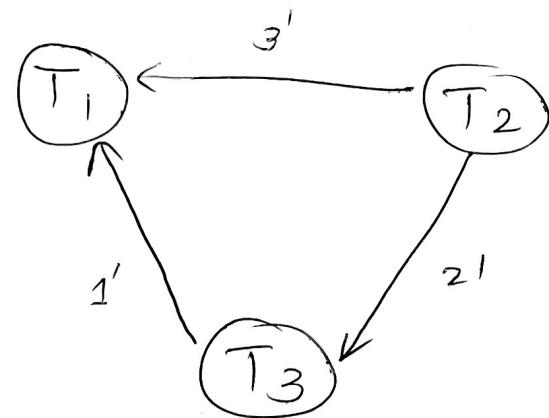
(swap Non conflicting operations)



# Precedence Graph

$T_1$	$T_2$	$T_3$
		$R(A)$ $R(B)$
	$R(A)$ $R(C)$	
		$W(A)$
$R(C)$ $W(B)$ $W(C)$	$W(C)$	

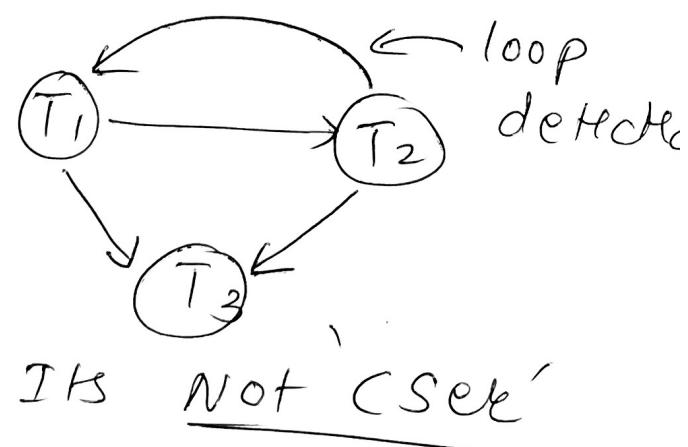
Conflict pairs  
 RW, WR, WW



If loop/cycle exist  
 then Not CSeq.  
 also it has  
 a serial schedule  
 (serializable)

Eg.

$T_1$	$T_2$	$T_3$
$R(x)$		
	$W(x)$	
		$W(x)$



It's Not CSeq'

Now we use view serializability.

$T_1$	$T_2$	$T_3$
$R(x)$		
$w(x)$	$w(x)$	
		$w(x)$
		$w(x)$

- In both  $T_1$ ,  
P<sub>S</sub> Reading ( $x$ )

- In both  $T_3$   
P<sub>S</sub> final write ( $x$ )

- Concurrency Control

→ maintain Consistency  
+

→ Concurrency (Many Transactions)

① Locking method

→ Shared (Read only)

→ Exclusive (Read & write both)

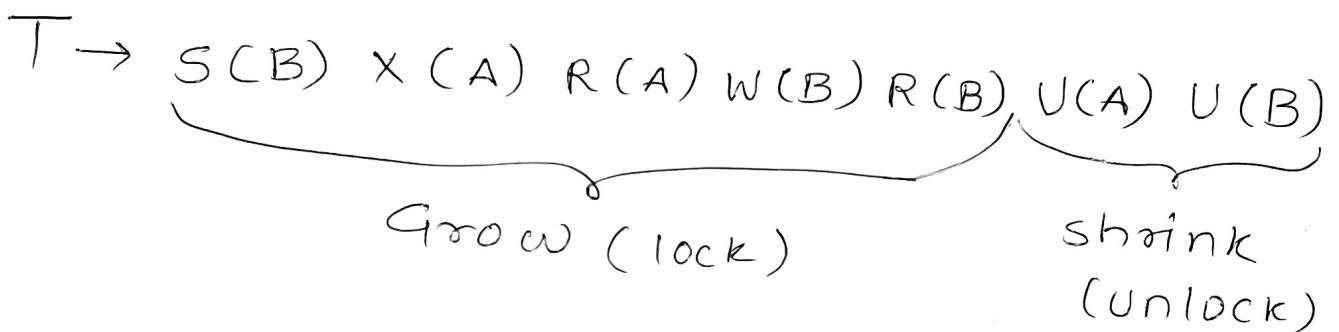
T  
Shared ( $x$ )  
 $R(x)$   
Unlock ( $X$ )

<u>S</u>		<u>X</u>
<u>S</u>	<u>Y</u>	<u>N</u>
<u>X</u>	<u>N</u>	<u>N</u>

T  
Exclusive ( $x$ )  
 $R(x)$   
 $w(x)$   
Unlock ( $x$ )

# 2PL (2 phase Locking)

↓  
Grow      Shrink



	$T_1$	$T_2$
G	$X(P)$ $R(P)$ $W(P)$	
S	$X(Q)$ $R(Q)$ $W(Q)$	$R(P)$
		wait till the shrink phase of $T_1$ starts & releases the lock on ' <u>P</u> '
		(Always serializable).

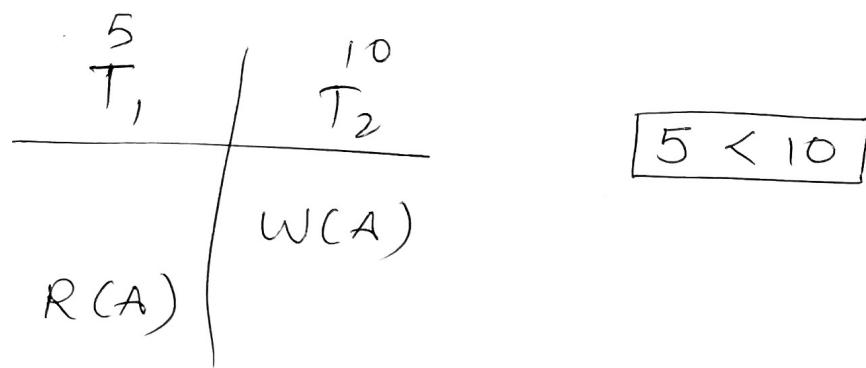
- Time Stamp Ordering

	$T_1$	$T_2$
	$R(A)$	
		$R(A)$
		$W(A)$
	$W(A)$	

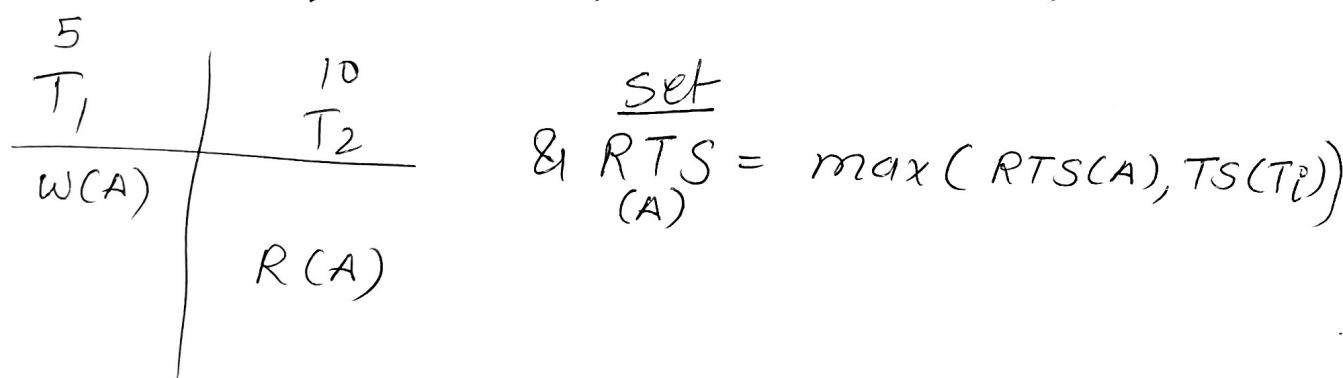
$RTS = 10$   
 $WTS = 5$

•  $T_i^o$  request Read (A)

→ If  $TS(T_i^o) < WTS(A)$  (Roll Back)



→ If  $TS(T_i^o) \geq WTS(A)$  (Allowed)



•  $T_i^o$  request Write (A)

↓  
Not Allowed

$TS(T_i^o) < RTS(A)$

$TS(T_i^o) < WTS(A)$

(Roll back)

↓  
Allowed.

$TS(T_i^o) \geq RTS(A)$

$TS(T_i^o) \geq WTS(A)$

$\frac{WTS}{(A)} = \max(WTS(A), TS(T_i^o))$