

# Automata Theory.

\* Alphabet  $\Sigma = \{0, 1\}$

\* string.  $x = 0101101$   $|x| = 7$

Empty string  $\rightarrow \epsilon$

## Powers of an alphabet.

$\Sigma^k \rightarrow$  set of all string of length  $k$ .

$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \dots$

$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$

$\Sigma^0 = \{\epsilon\}$

$\Sigma^1 = \{0, 1\}$

$\Sigma^2 = \{00, 01, 10, 11\}$

$\Sigma^* \rightarrow$  Klei's closure.

$\Sigma^+ \rightarrow$  Klei's plus.

\* Language

$$L \subseteq \Sigma^*$$

## Finite Automata.

12-11-2022.

## Application.

\* In compilers.

lexical analysis  $\rightarrow$  separate statement into tokens.

Parse generators  $\rightarrow$

## Finite automata.

• On/off switch



## Kind of Automata.

Chomsky hierarchy.

- Type 0  $\rightarrow$  Unrestricted grammar.
- Type 1  $\rightarrow$  Context sensitive grammar.
- Type 2  $\rightarrow$  Context Free grammar.
- Type 3  $\rightarrow$  Regular grammar

Turing machine.  
linear bound.  
Push down automata.  
Finite automata.

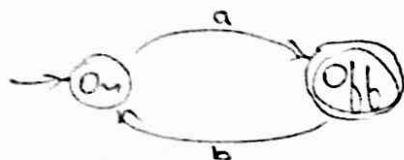
## Finite Automata.

$F$ : Quintuple.

$M = \{Q, \Sigma, \delta, q_0, F\}$

$$\delta: (Q \times \Sigma) \rightarrow Q$$

Eg



$Q = \{on, off\}$

$\Sigma = \{a, b\}$

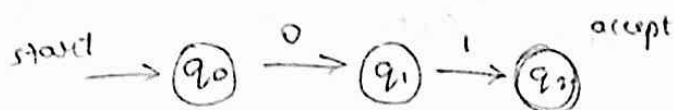
$\delta(on, a) = off$

$\delta(off, b) = on$

$q_0 = on$

$F = \{off\}$

$Q \rightarrow$  set of states.  
 $\Sigma \rightarrow$  set of alphabets.  
 $\delta \rightarrow$  set of transitions.  
 $q_0 \rightarrow$  start state.  
 $F \rightarrow$  final state / accept state



$$M: \{Q, \Sigma, \delta, q_0, f\}$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\delta: (q_0, 0) = q_1$$

$$(q_1, 1) = q_2$$

	0	1
$\rightarrow q_0$	$q_1$	-
$q_1$	-	$q_2$
$* q_2$	-	-

As there are blank spaces, this machine is non-deterministic.

\* Language with strings of 'n' 0's and 'n' 1's

$$L = \{\epsilon, ab, aabb, abab, bbaa, baba, baab, abba, \dots\}$$

$$L = \{w : \{a, b\}^*\}$$

\*  $\rightarrow$  0 or more.

\* language of strings containing 'a' & 'b' and the string ends with 'a'.

$$L = \{w = ya, y : \{a, b\}^*\}$$

\* language where all 'a's' precede 'b's'.

$$L = \{w = a^i b^j, i \geq 1, j \geq 1\}$$

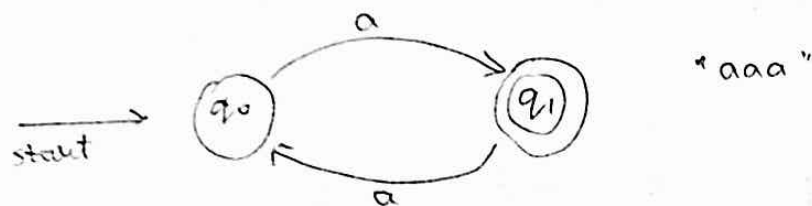
# Configuration of Finite State Machine FSM.

- \* current state
- \* string to be processed.

$(q, w)$

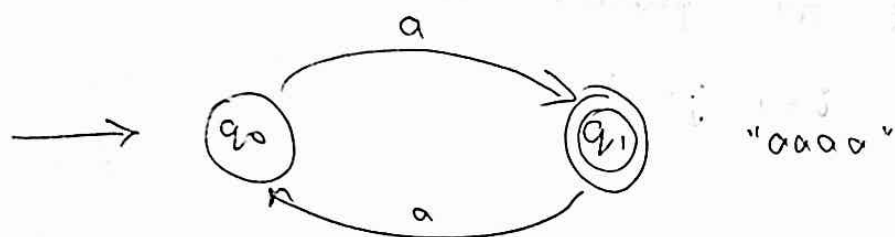
Initial configuration  $\rightarrow (q_0, w)$

Final configuration  $\rightarrow (q_f, \epsilon)$



IC  $(q_0, aaa) \vdash (q_1, aa)$   
 $(q_1, aa) \vdash (q_0, a)$   
 $(q_0, a) \vdash (q_1, \epsilon)$  FC

Final configuration has the final state  $q_1$  hence the string "aaa" is accepted by the machine.  
Hence the machine is deterministic finite state machine.



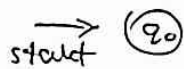
String "aaaa" is not accepted by the machine.

# Pattern recognition.

## DFSM

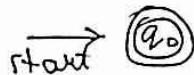
\* Empty language

$$L = \{\emptyset\}$$



\* Empty string

$$L = \{\epsilon\}$$



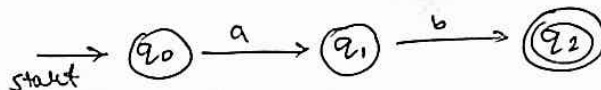
\* Exactly 1 'a'

$$L = \{a\}$$



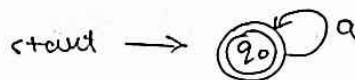
\* Accepts "ab"

$$L = \{ab\}$$



Non-deterministic

\* Any number of 'a's



## Steps.

1. Minimum string.
2. I/p alphabets.
3. Skeleton of DFA.
4. Other transitions.
5. Complete TD. Transition diagram.

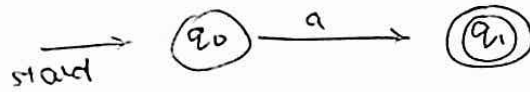
Draw a DFA to accept the strings of 'a's' having at least one 'a'

⇒ 1) Minimum string : a.

$$L = \{w : n_a \geq 1 \quad w \in (a)^+\}$$

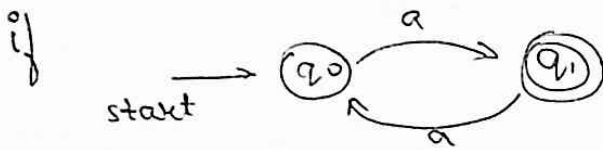
2) Input alphabet  $\Sigma = \{a\}$

3) Skeleton



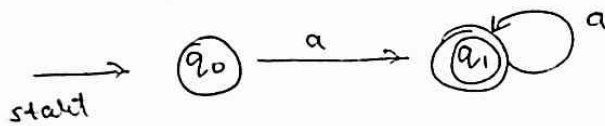
4)  $\delta(q_0, a) = q_1$

	a
→ q <sub>0</sub>	q <sub>1</sub>
* q <sub>1</sub>	



given a string "aa" the machine does not accept the string as q<sub>0</sub> is not the final state.

hence



	a
→ q <sub>0</sub>	q <sub>1</sub>
* q <sub>1</sub>	q <sub>1</sub>

5)  $M = (Q, \Sigma, \delta, q_0, F)$

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a\}$$

$$\delta : \delta(q_0, a) = q_1$$

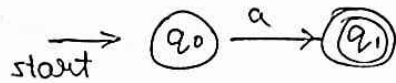
$$\delta(q_1, a) = q_1$$

$$q_0 : q_0$$

$$F : q_1$$

Draw a DFA to accept strings of a's and b's having at least 1 a.

- ⇒ 1) Minimum string : a  
 2) Input alphabet  $\Sigma = \{a, b\}$   
 3) skeleton



At least one 'a'.

4)  $\delta(q_0, a) = q_1$

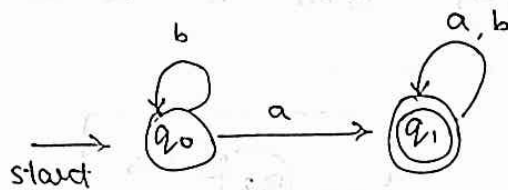
	a	b
$q_0$	$q_1$	
$q_1$		

the strings can be of order

$$b^* a (a+b)^*$$

eg: baa  
 bbbbabab  
 bbab  
 aab

given b to  $q_0$  the machine should not reach final state.



	a	b
$q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_1$

Language

$$L = \{w : n_a \geq 1, w \in (a+b)^*\}$$

5)  $M = \{Q, \Sigma, \delta, q_0, F\}$

$Q = \{q_0, q_1\}$

$\Sigma = \{a, b\}$

$\delta =$

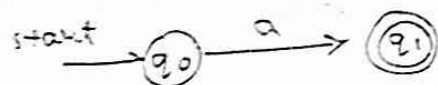
16-11-2022

Design a DFA to accept the one 'a' string with alphabets (a, b).

→ Minimum string: a

1)  $\Sigma = \{a, b\}$

2) Skeleton

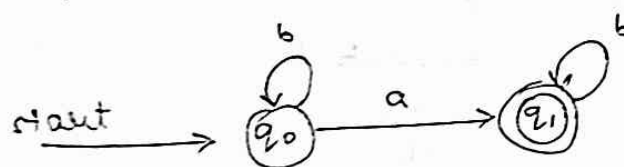


3)  $\delta(q_0, a) = q_1$

	a	b
$q_0$	$q_1$	
$q_1$		

the string can be

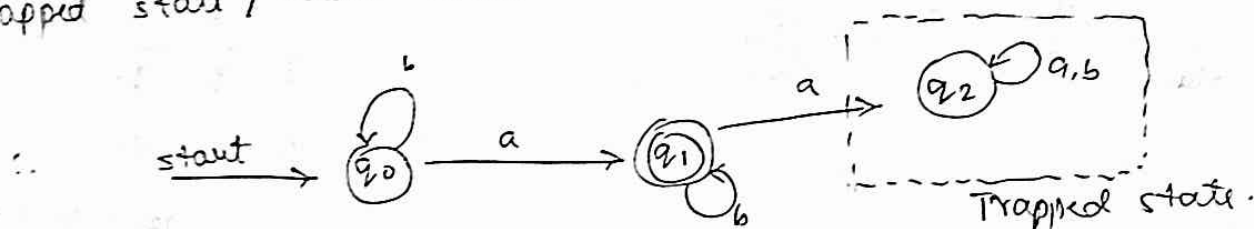
$$b^* a b^*$$



	a	b
$q_0$	$q_1$	$q_0$
$q_1$		$q_1$

$q_1$  cannot take 'a' so this state is called

Trapped state / Dead state.



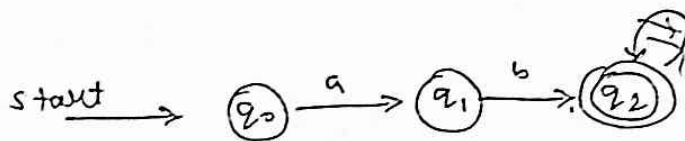
$\therefore L = \{w : n_a = 1, w \in (a, b)^*\}$

	a	b
$q_0$	$q_1$	$q_0$
* $q_1$	$q_2$	$q_1$
TS $q_2$	$q_2$	$q_2$



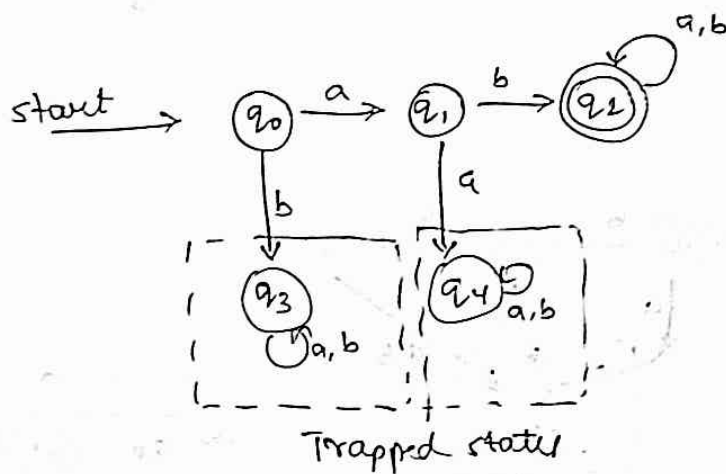
Design a DFA starting with string "ab."

- ⇒ 1) Minimum string = ab  
 2)  $\Sigma = \{a, b\}$   
 3) Skeleton

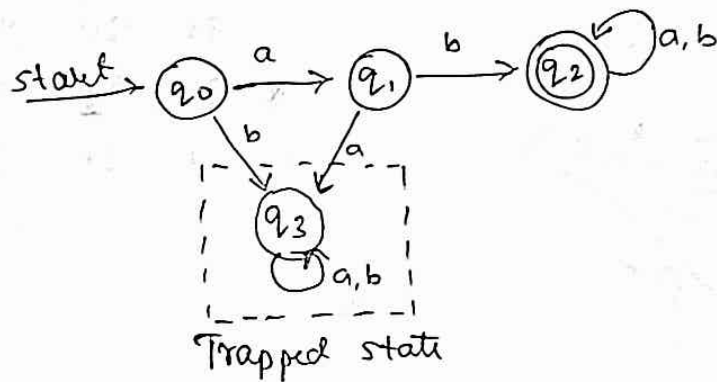


	a	b
q <sub>0</sub>	q <sub>1</sub>	
q <sub>1</sub>		q <sub>2</sub>
q <sub>2</sub>		

$ab(a+b)^*$



	a	b
→ q <sub>0</sub>	q <sub>1</sub>	q <sub>3</sub>
q <sub>1</sub>	q <sub>4</sub>	q <sub>2</sub>
* q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>
TS q <sub>3</sub>	q <sub>3</sub>	q <sub>3</sub>
TS q <sub>4</sub>	q <sub>4</sub>	q <sub>4</sub>



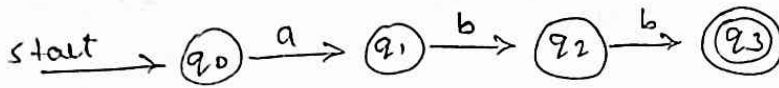
	a	b
→ q <sub>0</sub>	q <sub>1</sub>	q <sub>3</sub>
q <sub>1</sub>	q <sub>3</sub>	q <sub>2</sub>
* q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>
TS q <sub>3</sub>	q <sub>3</sub>	q <sub>3</sub>

Draw a DFA ending with string  $abb$ .

→ 1) minimum string =  $abb$

2)  $\Sigma = \{a, b\}$

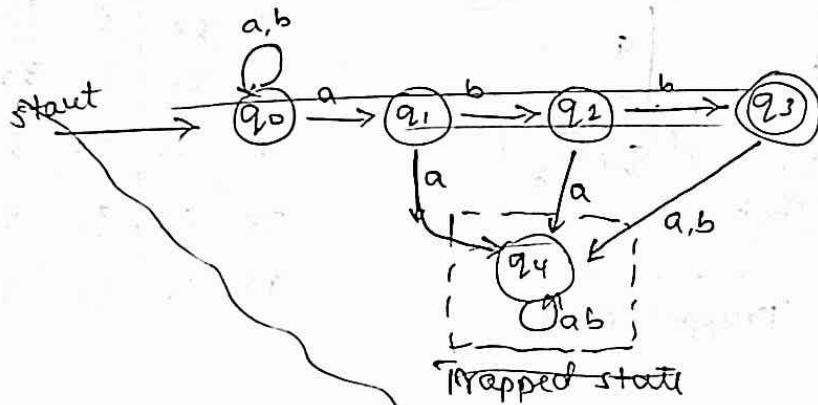
3) Skeleton



	a	b
q <sub>0</sub>	q <sub>1</sub>	q <sub>0</sub>
q <sub>1</sub>		q <sub>2</sub>
q <sub>2</sub>		q <sub>3</sub>
q <sub>3</sub>		

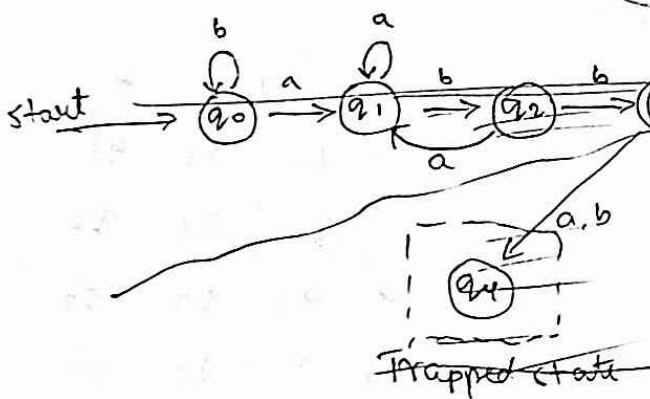
4)

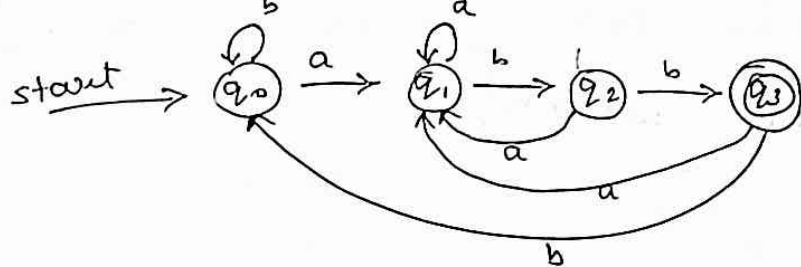
$(a+b)^*abb$



→

	a	b
q <sub>0</sub>	q <sub>1</sub>	q <sub>0</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>2</sub>	q <sub>1</sub>	q <sub>3</sub>
* q <sub>3</sub>	q <sub>4</sub>	q <sub>4</sub>
TS q <sub>4</sub>	q <sub>4</sub>	q <sub>4</sub>





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Ending with string ab	substring "ab"	substring "aab"
		no substring "aab"

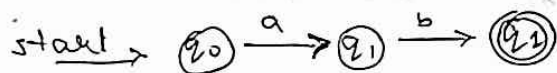
Ending with string 'ab'.

$$\Rightarrow L = \{ w = (a+b)^*ab : w \in (a,b)^* \}$$

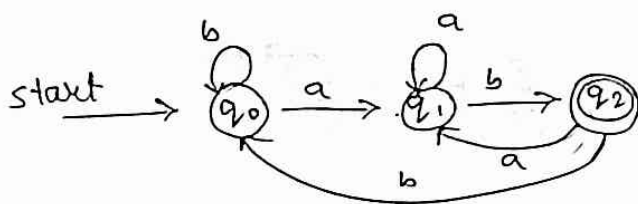
1)  $M = ab$

2)  $\Sigma = \{a, b\}$

3) skeleton



4)



abab —

abaaab —

abbab —

babbaab

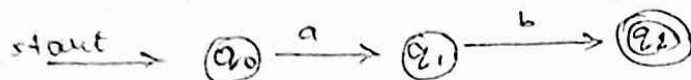
Having skeletoning "ab"

$$\Rightarrow L = \{w = (a+b)^* ab (a+b)^* \mid w \in (a,b)^*\}$$

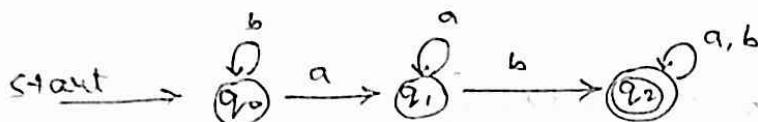
1) Min. = ab

2)  $\Sigma = \{a, b\}$

3) skeleton



4)



aaaa

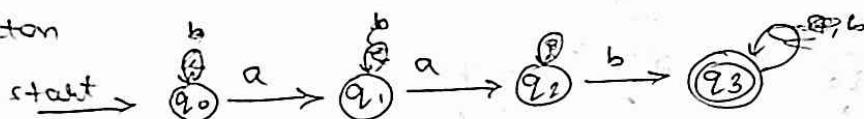
Having skeletoning "aab"

$$\Rightarrow L = \{w = (a+b)^* aab (a+b)^* \mid w \in (a,b)^*\}$$

1) Min. = aab

2)  $\Sigma = \{a, b\}$

3) skeleton



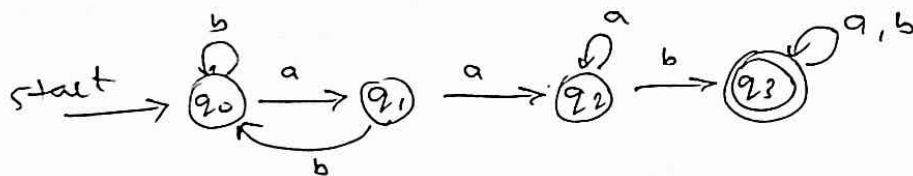
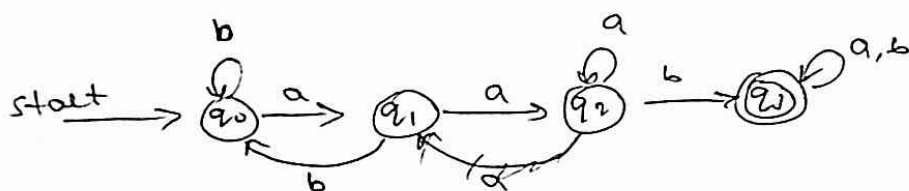
babab

baabbb

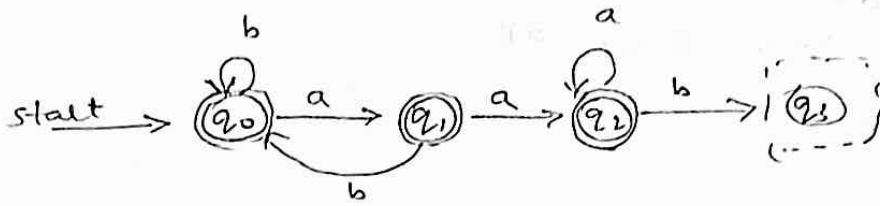
baabba

babaab

babaaab



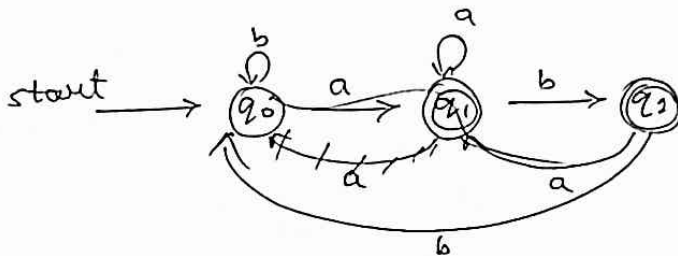
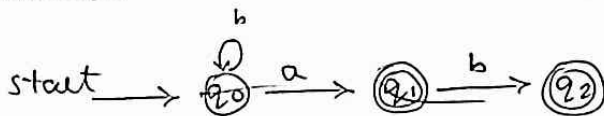
Having no substring 'aab'.



ababbbaa

Obtain a DFA to accept strings of a's & b's ending with 'ab' or 'ba'.

- 1) Min: ab / ba  
 2)  $\Sigma = \{a, b\}$   
 3) skeleton



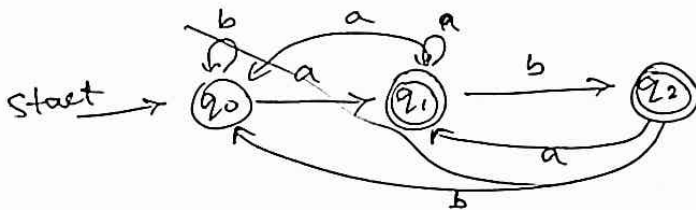
baab

babab

bababb

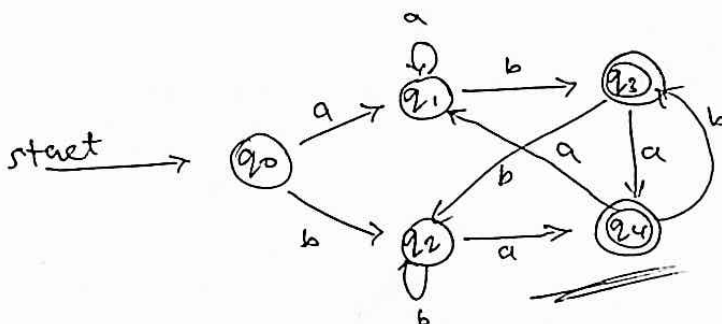
abaaab

baa



aabab

baabaa

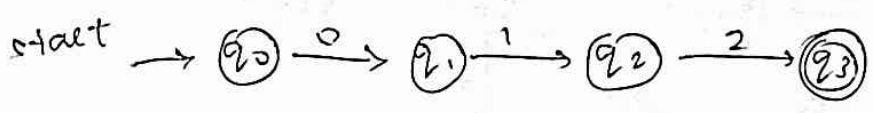


Draw a DFA to accept strings of 0's and 1's having  
three consecutive 0's and no three consecutive 1's.  
• having at least 4 a's.  
• having not more than three a's.  
• having exactly 3 a's.

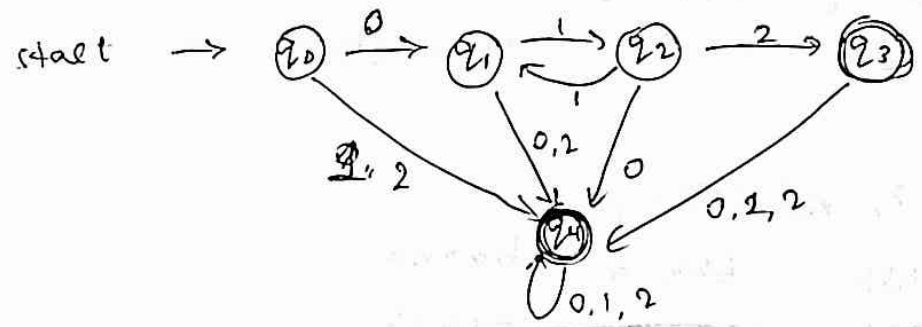
Draw a DFA with beginning string 0 followed by odd no. of 1's and ending with 2.

→ Minimum string: 012  
 $\Sigma = \{0, 1, 2\}$

Skeleton



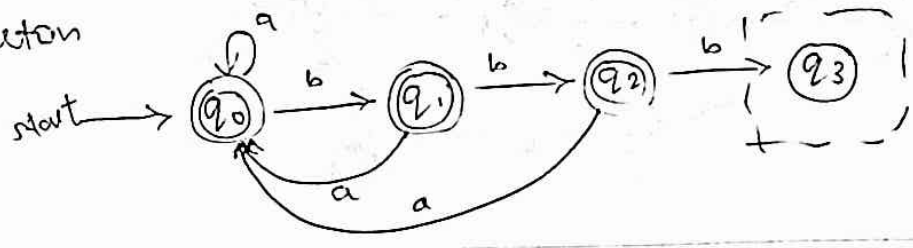
	0	1	2
→ $q_0$	$q_1$	$q_4$	$q_4$
$q_1$	$q_4$	$q_2$	$q_4$
$q_2$	$q_4$	$q_1$	$q_3$
* $q_3$	$q_4$	$q_4$	$q_4$
$q_4$	$q_4$	$q_4$	$q_4$



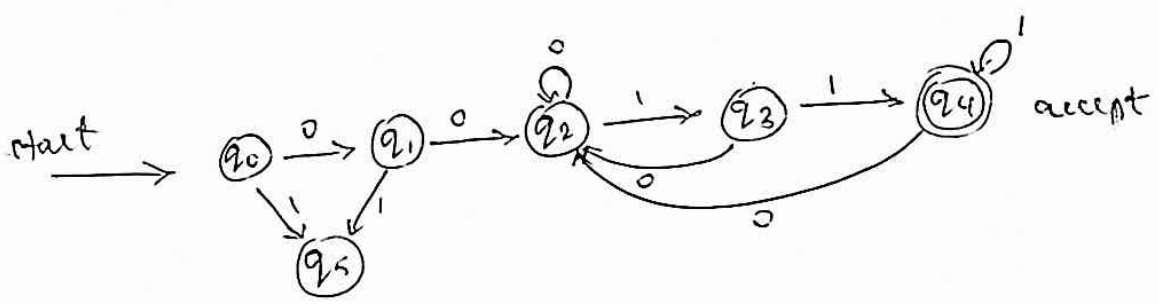
Draw DFA with a's & b's with atleast two consecutive b's.

→ Minimum string = a  
 $\Sigma = \{a, b\}$

Skeleton



0's & 1's with atleast 2 0's & ending with atleast 2 1's.

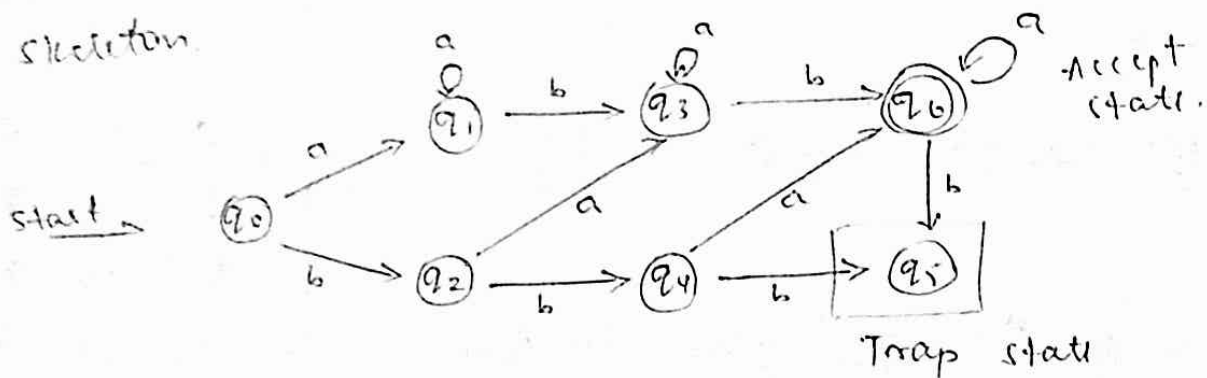


$$L = \{w: n_a(w) \geq 1, n_b(w) = 2\}$$

Minimum = abb, bab, bba

$$\Sigma = \{a, b\}$$

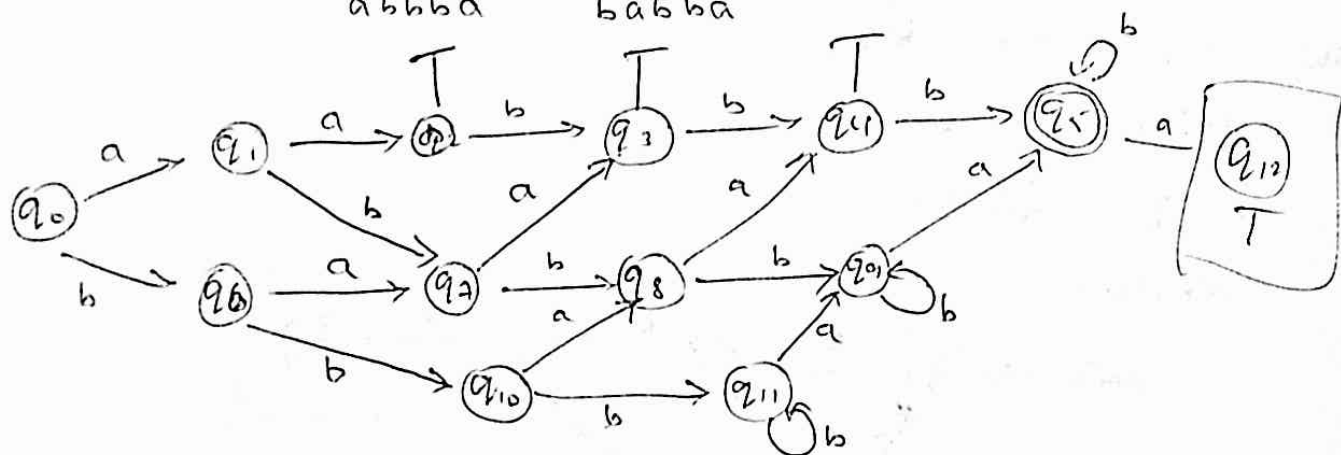
skeleton



$$L = \{w: n_a(w) = 2, n_b(w) \geq 3\}$$

Minimum:

aabbb	bbbaa	baabb
ababb	bbaba	babab
abbab	bbaab	
abbba	babba	





(ij)

i  $\rightarrow$  No. of scanned

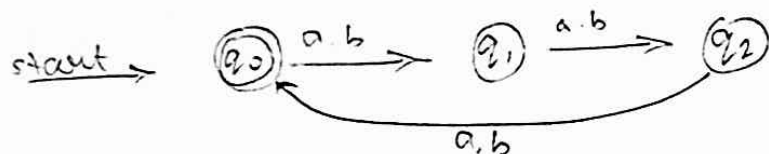
j  $\rightarrow$

Draw DFA

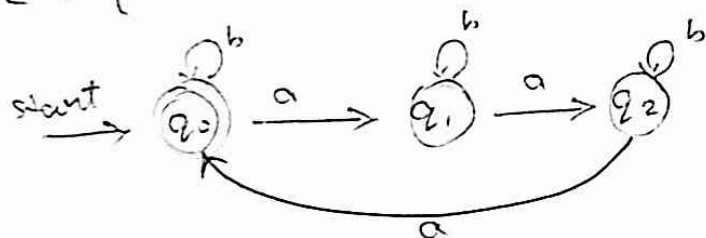
# string length mod k

$$L = \{w : |w| \bmod 3 = 0\}$$

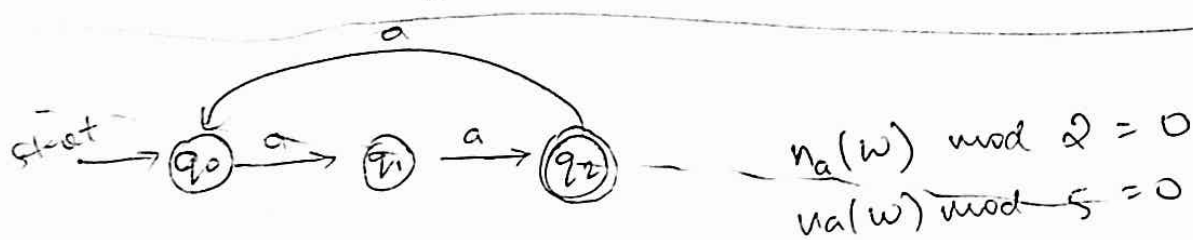
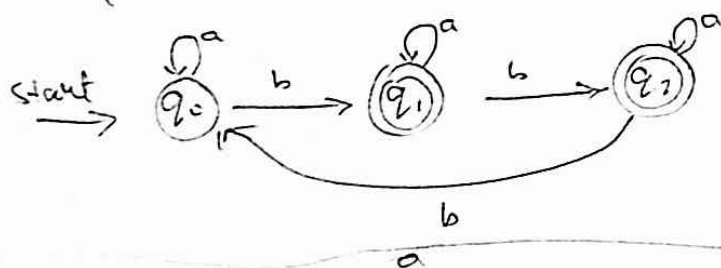
$$L = \{\epsilon, aaa, aab, aba, baa, bba, bab, abb, bbb, bbbbbb, aaaaaa, \dots\}$$



$$L = \{w : n_a(w) \bmod 3 = 0, (a,b) \in w\}$$



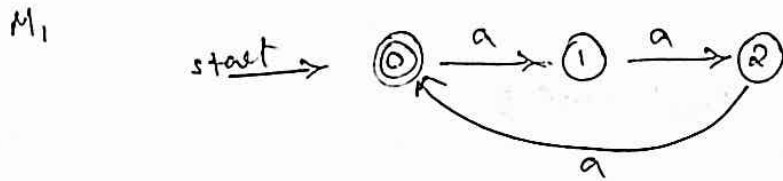
$$L = \{w : n_b(w) \bmod 3 \neq 0, (a,b) \in w\}$$



$$\begin{aligned} n_a(w) \bmod 2 &= 0 \\ n_b(w) \bmod 5 &= 0 \end{aligned}$$

a)  $|n| \bmod 3 = 0$

$|n| \bmod 2 = 0$



$Q_1 = \{0, 1, 2\}$



$Q_2 = \{0, 1\}$

$Q_1 \times Q_2 = \{(0,0), (0,1), (1,0), (1,1), (2,0), (2,1)\}$

$\delta_{\overline{M_1}, \overline{M_2}}((0,0), a) = \begin{matrix} \delta(0,a) \\ 1 \end{matrix} \quad \begin{matrix} \delta(0,a) \\ 1 \end{matrix} \quad (1,1)$

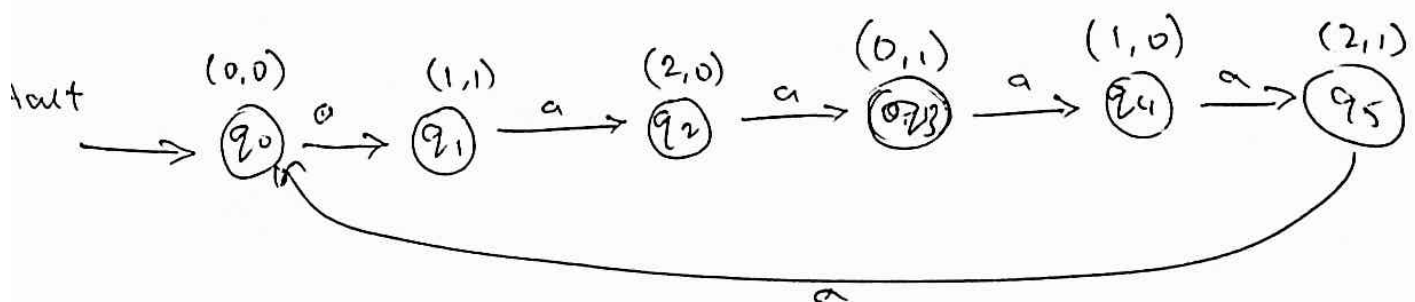
$\delta_{\overline{M_1}, \overline{M_2}}((0,1), a) = \begin{matrix} \delta(1,a) \\ 2 \end{matrix} \quad \begin{matrix} \delta(1,a) \\ 0 \end{matrix} \quad (2,0)$

$\delta_{\overline{M_1}, \overline{M_2}}((2,0), a) = \begin{matrix} \delta(2,a) \\ 0 \end{matrix} \quad \begin{matrix} \delta(0,a) \\ 1 \end{matrix} \quad (0,1)$

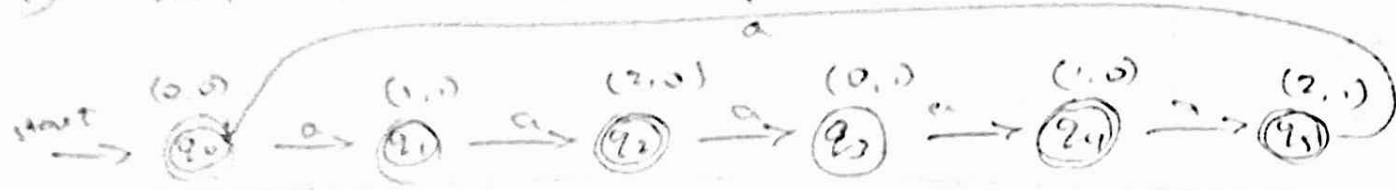
$\delta_{\overline{M_1}, \overline{M_2}}((0,1), a) = \begin{matrix} \delta(0,a) \\ 1 \end{matrix} \quad \begin{matrix} \delta(1,a) \\ 0 \end{matrix} \quad (1,0)$

$\delta_{\overline{M_1}, \overline{M_2}}((1,0), a) = \begin{matrix} \delta(1,a) \\ 2 \end{matrix} \quad \begin{matrix} \delta(0,a) \\ 1 \end{matrix} \quad (2,1)$

$\delta_{\overline{M_1}, \overline{M_2}}((2,1), a) = \begin{matrix} \delta(2,a) \\ 0 \end{matrix} \quad \begin{matrix} \delta(1,a) \\ 0 \end{matrix} \quad (0,0)$



$$i) |w| \bmod 3 \geq |w| \bmod 2$$



$$0=0$$

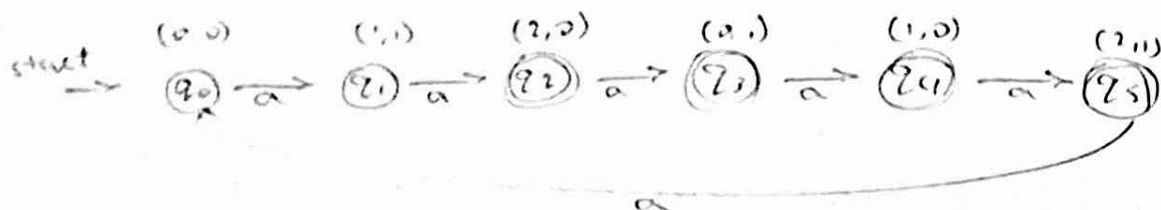
$$1=1$$

$$2>0$$

$$0 \neq 1$$

$$1>0$$

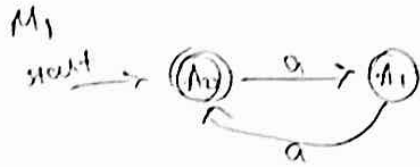
$$ii) |w| \bmod 3 \neq |w| \bmod 2$$



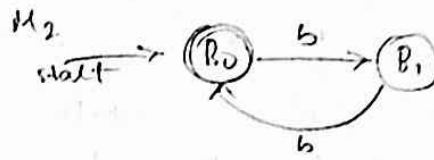
$n_a(w)$  mod  $k$  problems with logical operators. 25-11-2027.

$$L = \{ n_a(w) \bmod 2 = 0 \text{ if } n_b(w) \bmod 2 = 0 \}$$

$$\Sigma = \{a, b\}$$

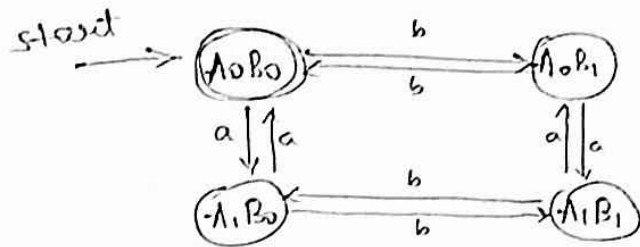


$$Q_1 = \{A_0, A_1\}$$



$$Q_2 = \{B_0, B_1\}$$

$$Q = Q_1 \times Q_2 = \{(A_0 B_0), (A_0 B_1), (A_1 B_0), (A_1 B_1)\}$$



even a's odd L's

odd a's even L's

odd a's odd L's

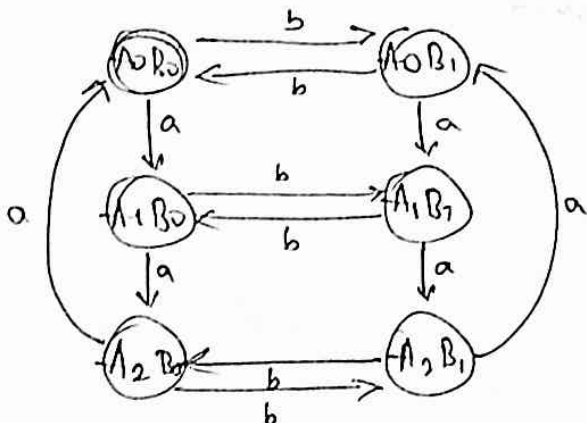
$$L = \{ n_a(w) \bmod 3 = 0 \text{ and } n_b(w) \bmod 2 = 0 \}$$

$$Q_1 = \{A_0, A_1, A_2\}$$

$$Q_2 = \{B_0, B_1\}$$

$$Q = Q_1 \times Q_2$$

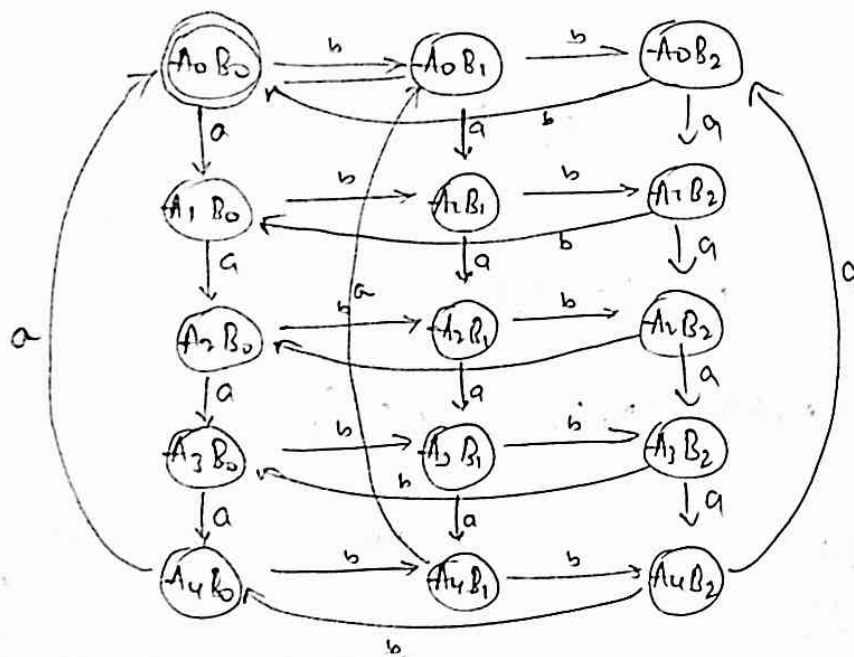
$$= \{(A_0 B_0), (A_0 B_1), (A_1 B_0), (A_1 B_1), (A_2 B_0), (A_2 B_1)\}$$



$$\forall L = \{ w_a(w) \bmod 5 = 0 \text{ and } w_b(w) \bmod 3 = 0 \}$$

$$Q_1 = \{ A_0, A_1, A_2, A_3, A_4 \}$$

$$Q_2 = \{ B_0, B_1, B_2 \}$$



Getting DFA from NFA.

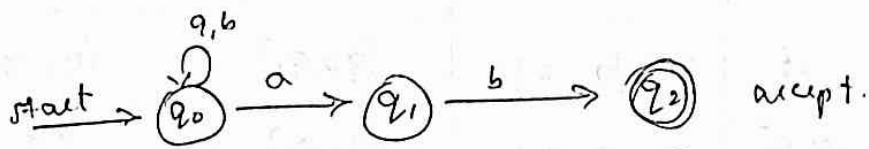
step-1 : start state of NFA is also the start state of DFA.

step-2 :  $\Sigma =$

step-3 : Identifying all the states.

step-4 : Final state in DFA are the ones which contain the final state in NFA.

step-5 : Find all the transitions



T.T	a b	b
q <sub>0</sub>	{q <sub>0</sub> , q <sub>1</sub> }	q <sub>0</sub>
q <sub>1</sub>	∅	q <sub>2</sub>
q <sub>2</sub>	∅	∅

Step-1 start state  $\Rightarrow$  q<sub>0</sub>

Step-2  $\Sigma = \{a, b\}$ .

Step-3  $Q_0 = \{\emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_1, q_2\}, \{q_0, q_2\}, \{q_0, q_1, q_2\}\}$

Step-4 Final state.

$\{\{q_2\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\}$

Step-5  $\delta(\emptyset, a) = \emptyset$        $\delta(\emptyset, b) = \emptyset$

$\delta(\{q_0\}, a) = \{q_0, q_1\}$        $\delta(\{q_0\}, b) = q_0$

$\delta(\{q_1\}, a) = \emptyset$        $\delta(\{q_1\}, b) = q_2$

$\delta(\{q_2\}, a) = \emptyset$        $\delta(\{q_2\}, b) = \emptyset$

$\delta(\{q_0, q_1\}, a) = \delta(\{q_0\}, a) \cup \delta(\{q_1\}, a)$   
 $= \{q_0, q_1\} \cup \emptyset$   
 $= \{q_0, q_1\}$

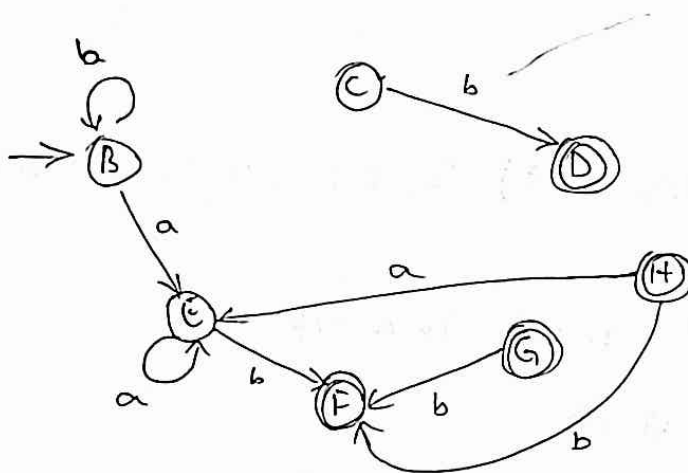
$\delta(\{q_0, q_1\}, b) = \delta(\{q_0\}, b) \cup \delta(\{q_1\}, b)$   
 $= \{q_0\} \cup \{q_2\}$   
 $= \{q_0, q_2\}$

$\delta(\{q_1, q_2\}, a) = \emptyset$        $\delta(\{q_1, q_2\}, b) = \{q_0, q_2\}$

$\delta(\{q_0, q_2\}, a) = \{q_0, q_1\}$        $\delta(\{q_0, q_2\}, b) = \{q_0\}$

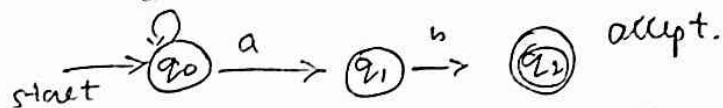
$\delta(\{q_0, q_1, q_2\}, a) = \{q_0, q_1\}$        $\delta(\{q_0, q_1, q_2\}, b) = \{q_0, q_2\}$

T.T		a	b		a	b
A	$\phi$	$\phi$	$\phi$	E	$\{q_0, q_1\}$	$\{q_0, q_2\}$
B	$\rightarrow q_0$	$q_0 q_1$	$q_0 q_1$	F	$\{q_0, q_2\}$	$q_2$
C	$q_1$	$\phi$	$q_2$	G	$\{q_1, q_2\}$	$\{q_0, q_2\}$
D	$\neq q_2$	$\phi$	$\phi$	H	$\{q_0, q_1, q_2\}$	$\{q_0, q_2\}$





# Lazy evaluation.



	a	b
q <sub>0</sub>	{q <sub>0</sub> , q <sub>1</sub> }	{q <sub>0</sub> }
q <sub>1</sub>	∅	{q <sub>2</sub> }
q <sub>2</sub>	∅	∅

$$\Sigma = \{a, b\}$$

start {q<sub>0</sub>} input a & b

$$\delta(q_0, a) = \{q_0, q_1\} \quad \delta(q_0, b) = \{q_0\}$$

{q<sub>0</sub>, q<sub>1</sub>} input a & b

$$\delta(q_0, a) \cup \delta(q_1, a) = \{q_0, q_1\}$$

$$\delta(q_0, b) \cup \delta(q_1, b) = \{q_0, q_2\}$$

{q<sub>0</sub>, q<sub>2</sub>} input a & b

$$\delta(q_0, a) \cup \delta(q_2, a) = \{q_0, q_1\}$$

$$\delta(q_0, b) \cup \delta(q_2, b) = \{q_2\}$$

	a	b
{q <sub>0</sub> }	{q <sub>0</sub> , q <sub>1</sub> }	{q <sub>0</sub> }
{q <sub>0</sub> , q <sub>1</sub> }	{q <sub>0</sub> , q <sub>1</sub> }	{q <sub>0</sub> , q <sub>2</sub> }
{q <sub>0</sub> , q <sub>2</sub> }	{q <sub>0</sub> , q <sub>1</sub> }	{q <sub>2</sub> }

	a	b
A	B	A
B	B	C
C	B	A

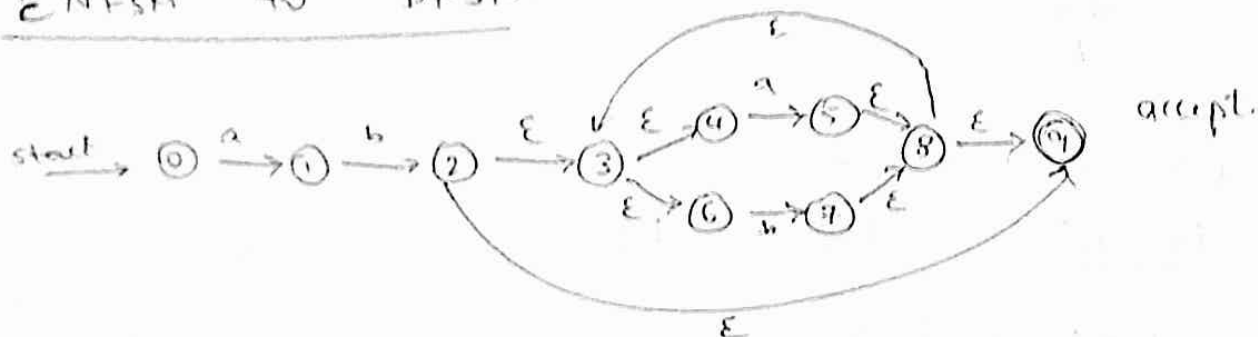
# Definition.

DFSM -  $S: Q \times \Sigma \rightarrow Q$

NFSM -  $\delta: Q \times \Sigma \rightarrow 2^Q$

$\epsilon$ -NFSM -  $S: Q \times (\Sigma \cup \epsilon) \rightarrow 2^Q$

$\epsilon$ -NFSM to DFSM



start state 0

$$\text{eclose}(0) : \{0\} \rightarrow A$$

consider state A

$$\begin{aligned} S(A, a) &= \text{eclose}(\delta_\epsilon(A, a)) \\ &= \text{eclose}(\delta_\epsilon(0, a)) \\ &= \{1\} \rightarrow B \end{aligned}$$

$$\begin{aligned} S(A, b) &= \text{eclose}(\delta_\epsilon(A, b)) \\ &= \text{eclose}(\delta_\epsilon(0, b)) \\ &= \{\emptyset\} \end{aligned}$$

State B

$$\begin{aligned} S(B, a) &= \text{eclose}(\delta_\epsilon(B, a)) \\ &= \text{eclose}(\delta_\epsilon(1, a)) \\ &= \emptyset \end{aligned}$$

$$\begin{aligned} S(B, b) &= \text{eclose}(\delta_\epsilon(1, b)) \\ &= \text{eclose}(\{2\}) \\ &= \{2, 3, 4, 6, 9\} \rightarrow C \end{aligned}$$

State C

$$\begin{aligned} S(C, a) &= \text{eclose}(\delta_\epsilon(C, a)) \\ &= \text{eclose}(\delta_\epsilon(\{2, 3, 4, 6, 9\}), a) \\ &= \text{eclose}(5) \\ &= \{5, 8, 3, 6, 9, 4\} \rightarrow D \end{aligned}$$

$$\begin{aligned} S(C, b) &= \text{eclose}(\delta_\epsilon(C, b)) \\ &= \text{eclose}(\delta_\epsilon(\{2, 3, 4, 6, 9\}), b) \\ &= \text{eclose}(7) \\ &= \{7, 8, 3, 9, 4, 6\} \rightarrow \epsilon \end{aligned}$$

	$\alpha$
A	$\{1\}$
B	$\emptyset$
C	$\{5, 8, 3, 6, 9, 4\}$
D	$\{$
E	