



# ENGINEERING PHYSICS

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# ENGINEERING PHYSICS

## Unit III : Application of Quantum Mechanics to Electrical transport in Solids

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### ➤ *Suggested Reading*

1. *Concepts of Modern Physics, Arthur Beiser, Chapters 9 &10*
2. *Learning material prepared by the department-Unit III*

### ➤ *Reference Videos*

1. [Physics Of Materials-IIT-Madras/lecture-26.html](https://www.youtube.com/watch?v=...)

# ENGINEERING PHYSICS

## Unit III : Application of Quantum Mechanics to Electrical transport in Solids

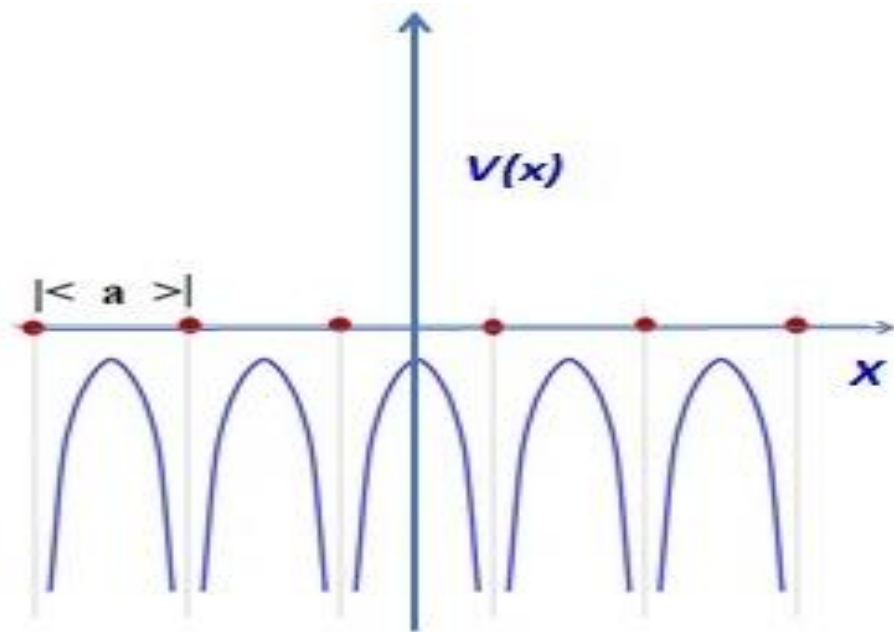
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### *Class #30*

*Motion of electron in periodic potential (one dimensional treatment), Bloch theorem*

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## *Motion of electron in periodic potential (1D)*



*The potential in a 1D lattice is shown to be periodic with a period “ $a$ ”*

*Then if  $V(x)$  is the potential at  $x$  then we can express*

*mathematically this property as  $V(x) = V(x + a)$*

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## Motion of electron in 1D-periodic lattice

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*Consider wave function associated with free electron*

$$\psi(x) = e^{ikx}$$

*If electrons move through a periodic lattice then*

$$\begin{aligned}\psi(x + a) &= e^{ik(x+a)} \\ &= e^{ikx} * e^{ika}\end{aligned}$$

*We know that*  $k = \frac{n\pi}{a}$

$$= e^{ikx} * e^{in\pi}$$

*When the electrons moves through the periodic potential*

$$V(x) = V(x + a) = V(x + 2a) \dots$$

*According Bloch the free electron wave function is modulated by the term  $V_k(x)$  which has the periodicity of the lattice*

*So the wave function is  $\psi_k(x) = V_k(x)e^{ikx}$*

*This is known as Bloch Theorem*

*In a periodic potential at any identical points ( separated by **a** or **na** remains invariant*

$$V_k(x) = V_k(x + a) = V_k(x + na)$$

*Therefore the free electron wavefunction also remains invariant at points separated by **a** or **na** except for a phase factor*

$$\psi(x + a) = e^{ik(x+a)} = e^{ikx} e^{ika} = \psi(x) e^{ika}$$

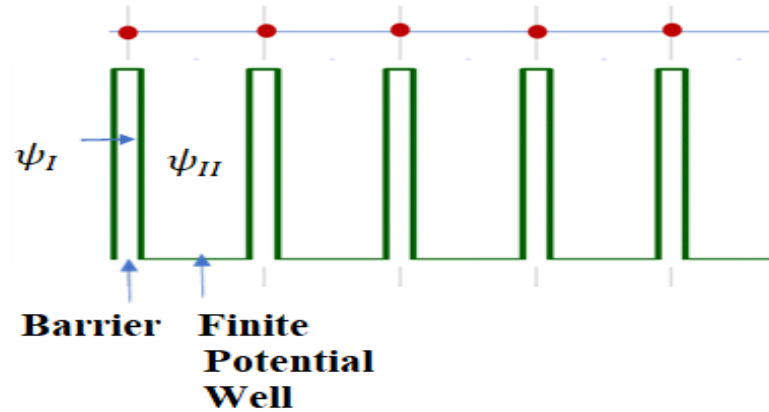
*This shows that the wave function is got a phase factor added and the probability density is also invariant*

$$\psi(x + a)^2 = \psi(x)^2$$

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## Motion of electron in 1D-periodic potential

*Potentials in real crystals - approximated as series rectangular  
potentials wells and barriers*





*Schrodinger equations in region I and II*

$$\frac{d^2\psi_I}{dx^2} + \frac{2mE}{\hbar^2}\psi_I = 0$$

$$\frac{d^2\psi_{II}}{dx^2} - \frac{2m(V_0 - E)}{\hbar^2}\psi_{II} = 0$$

*The total energy  $E (< V)$  - define two real quantities  $K$  and  $\alpha$*

$$K^2 = \frac{2mE}{\hbar^2} \quad \text{and} \quad \alpha^2 = \frac{2m(V_0 - E)}{\hbar^2}$$

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## Kronig Penny model, Allowed energy bands

$$\frac{d^2\psi_I}{dx^2} + K^2\psi_I = 0$$

$$\frac{d^2\psi_{II}}{dx^2} - \alpha^2\psi_{II} = 0$$

*The wave function of the electron is a modulated wave*

*given by Bloch function  $\psi_k(x) = V_k(x)e^{ikx}$*

*$V_k(x)$  is a periodic function , satisfies  $V_k(x + a) = V_k(x)$*

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## Kronig Penny model, Allowed energy bands

*Solving SWE equation for the wave functions and applying the boundary conditions with the Bloch theorem applied results in the transcendental equation*

$$P \frac{\sin(Ka)}{Ka} + \cos(Ka) = \sin(ka)$$

*Where  $P = \frac{ma}{\hbar^2} V_o * c$  and  $K = \sqrt{\frac{2m}{\hbar^2} E}$*

*$P$  is the scattering power of the potential barrier &  $V_o * c$  gives the barrier strength.*

*The solutions to the transcendental equation can be obtained by numerical methods of graphical solutions of plotting the LHS and RHS in an overlapping graph*

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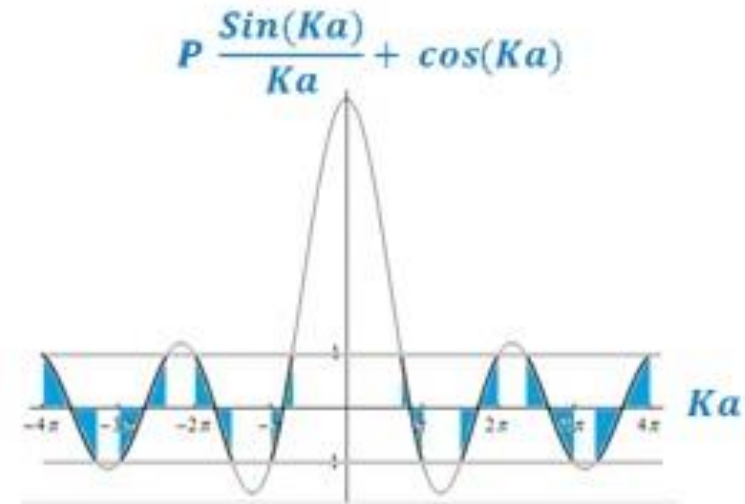
## Kronig Penny model, Allowed energy bands

*Plot LHS of the equation as a function of  $Ka$  is as shown*

*The solution to the equation exists only when the values of LHS lies in the interval  $\pm 1$  (which are the limits of the RHS).*

*It can be seen that only certain values of  $Ka$  results in allowed energies of the electrons as shown by the shaded regions.*

*This is the origin of the allowed and forbidden energies of electrons in a material – the band theory of solids*



**Identify the concepts which are correct ....**

- 1. Electrons move in a periodic potential due to the regular arrangement of ionic cores.*
- 2. The potential of the electron at the positive ionic site is maximum and zero between the site .*
- 3. The wave function of the electrons is not affected by the periodic potential*
- 4. The potential in the real crystal is approximated by rectangular potentials.*
- 5. Electrons cannot occupy all energy states*



# THANK YOU

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