# Writing Compilers in Python (with PLY)

Dave Beazley <a href="http://www.dabeaz.com">http://www.dabeaz.com</a>

October 12, 2006

#### Overview

- Crash course on compilers
- Lex/yacc
- An introduction to PLY
- Blood and guts (Rated R)
- Various PLY features (more gore)
- Examples

#### Disclaimer

- Compilers is an advanced topic
- Please stop me for questions!

#### Motivation

- Writing a compiler is hard
- Writing Python code seems to be easy
- So why not write a compiler in Python?

# Compilers 101

```
# Some program
print "Hello World"
b = 3 + 4 * 5
for c in range(10):
print c

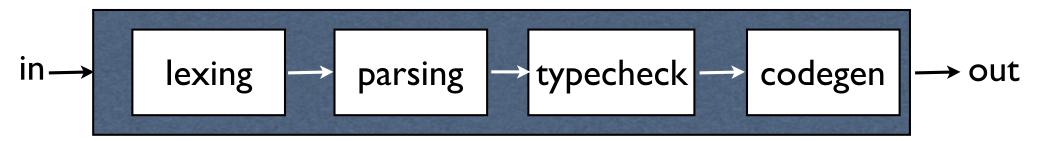
compiler

????

Profit!
```

- What is a compiler?
- A program that processes other programs
- Typically implements a programming lang.
- Examples:
  - gcc, javac, SWIG, Doxygen, Python

# Compiler Design



- Compiler broken into stages
- Lexing/parsing related to reading input
- Type checking is error checking/validation
- Code generation does something

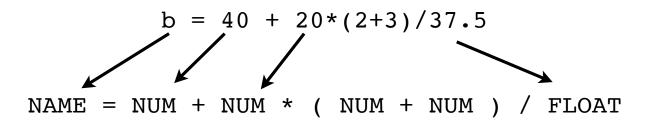
### Example

Parse and generate code for the following:

$$b = 40 + 20*(2+3)/37.5$$

# Lexing

- Splits input text into tokens
- Makes sure the input uses right alphabet



Detects illegal symbols

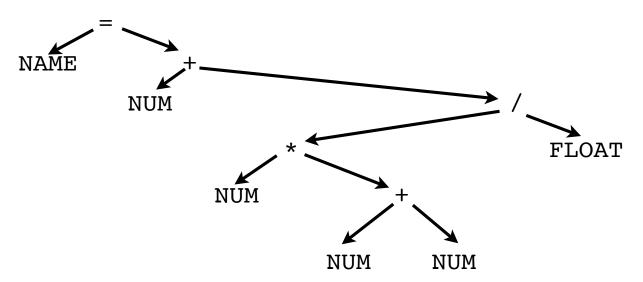
# Parsing

Makes sure input is structurally correct

$$b = 40 + 20*(2+3)/37.5$$

Builds program structure (e.g., parse tree)

NAME = NUM + NUM \* ( NUM + NUM ) / FLOAT



### Parsing

Detects syntax errors

```
b = 40 + "hello" (Syntax OK)
b = 3 * 4 7 / (Syntax error)
```

- If a program parses, it is at least well-formed
- Still don't know if program is correct

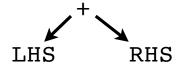
```
b = 40 + "hello" (???)
```

# Type checking

Enforces underlying semantics

$$b = 40 + 20*(2+3)/37.5$$
 (OK)  
 $c = 3 + "hello"$  (TYPE ERROR)  
 $d[4.5] = 4$  (BAD INDEX)

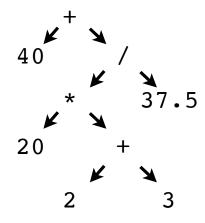
• Example: + operator



- 1. LHS and RHS must be the same type
- 2. If different types, must be convertible to same type

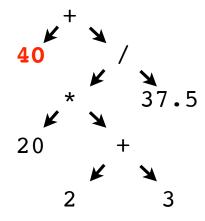
- Processing the parse tree in some way
- Usually a traversal of the parse tree

$$b = 40 + 20*(2+3)/37.5$$



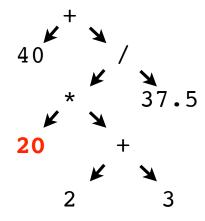
- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5
LOAD R1, 40
```



- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5
LOAD R1, 40
LOAD R2, 20
```



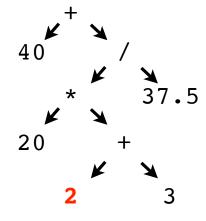
- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

LOAD R2, 20

LOAD R3, 2
```



- Processing the parse tree in some way
- Usually a traversal of the parse tree

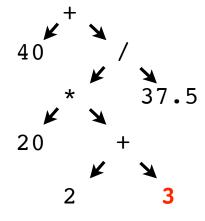
```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

LOAD R2, 20

LOAD R3, 2

LOAD R4, 3
```



- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

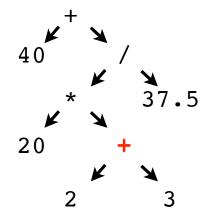
LOAD R1, 40

LOAD R2, 20

LOAD R3, 2

LOAD R4, 3

ADD R3, R4, R3 ; R3 = (2+3)
```



- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

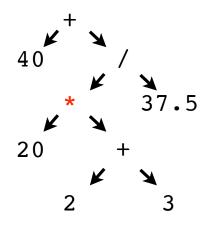
LOAD R2, 20

LOAD R3, 2

LOAD R4, 3

ADD R3, R4, R3 ; R3 = (2+3)

MUL R2, R3, R2 ; R2 = 20*(2+3)
```



- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

LOAD R2, 20

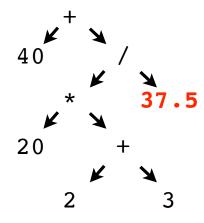
LOAD R3, 2

LOAD R4, 3

ADD R3, R4, R3 ; R3 = (2+3)

MUL R2, R3, R2 ; R2 = 20*(2+3)

LOAD R3, 37.5
```



- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

LOAD R2, 20

LOAD R3, 2

LOAD R4, 3

ADD R3, R4, R3; R3 = (2+3)

MUL R2, R3, R2; R2 = 20*(2+3)

LOAD R3, 37.5

DIV R2, R3, R2; R2 = 20*(2+3)/37.5
```

- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

LOAD R2, 20

LOAD R3, 2

LOAD R4, 3

ADD R3, R4, R3 ; R3 = (2+3)

MUL R2, R3, R2 ; R2 = 20*(2+3)

LOAD R3, 37.5

DIV R2, R3, R2 ; R2 = 20*(2+3)/37.5

ADD R1, R2, R1 ; R1 = 40+20*(2+3)/37.5
```

- Processing the parse tree in some way
- Usually a traversal of the parse tree

```
b = 40 + 20*(2+3)/37.5

LOAD R1, 40

LOAD R2, 20

LOAD R3, 2

LOAD R4, 3

ADD R3, R4, R3; R3 = (2+3)

MUL R2, R3, R2; R2 = 20*(2+3)

LOAD R3, 37.5

DIV R2, R3, R2; R2 = 20*(2+3)/37.5

ADD R1, R2, R1; R1 = 40+20*(2+3)/37.5

STORE R1, "b"
```

#### Comments

- Concept is mostly straightforward
- Omitting many horrible details
- More covered in a compilers course.

# Parsing (revisited)

- Parsing is probably most annoying problem
- Not a matter of simple text processing
- Not obvious
- Not fun

#### Lex & Yacc

- Programming tools for writing parsers
- Lex Lexical analysis (tokenizing)
- Yacc Yet Another Compiler Compiler (parsing)
- History:
  - Yacc: ~1973. Stephen Johnson (AT&T)
  - Lex: ~1974. Eric Schmidt and Mike Lesk (AT&T)
  - Both are standard Unix utilities
  - GNU equivalents: flex and bison
  - Part of IEEE POSIX 1003.2 standard
  - Implementations available for most programming languages

scanner.1

token specification

parser.y

grammar specification

```
scanner.1
                                   parser.y
   /* scanner.l */
   #include "header.h"
   int lineno = 1;
   용 }
   응용
   [ \t]*; /* Ignore whitespace */
                           { lineno++; }
   \n
   [0-9]+
                           { yylval.val = atoi(yytext);
                             return NUMBER; }
   [a-zA-Z_{-}][a-zA-Z0-9_{-}]*  { yylval.name = strdup(yytext);
                             return ID; }
                           { return PLUS; }
                           { return MINUS; }
                           { return TIMES; }
                           { return DIVIDE; }
                           { return EQUALS; }
```

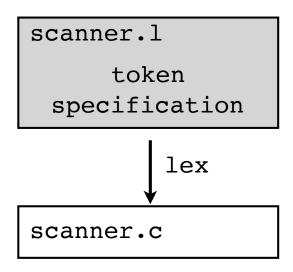
```
scanner.1
                                   parser.y
       /* parser.y */
 spe
       용 {
       #include "header.h"
       용}
       %union {
          char *name;
          int
                val;
       %token PLUS MINUS TIMES DIVIDE EQUALS
       %token<name> ID;
       %token<val> NUMBER;
       용용
       start : ID EQUALS expr;
       expr : expr PLUS term
              expr MINUS term
              term
```

scanner.1

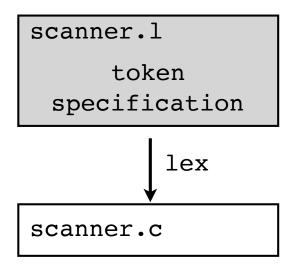
token specification

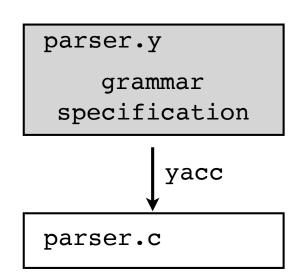
parser.y

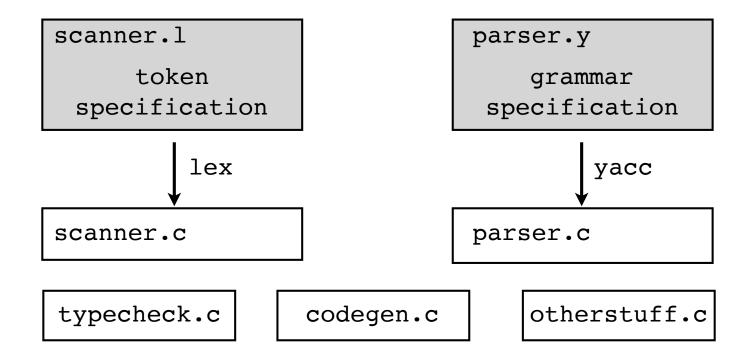
grammar specification

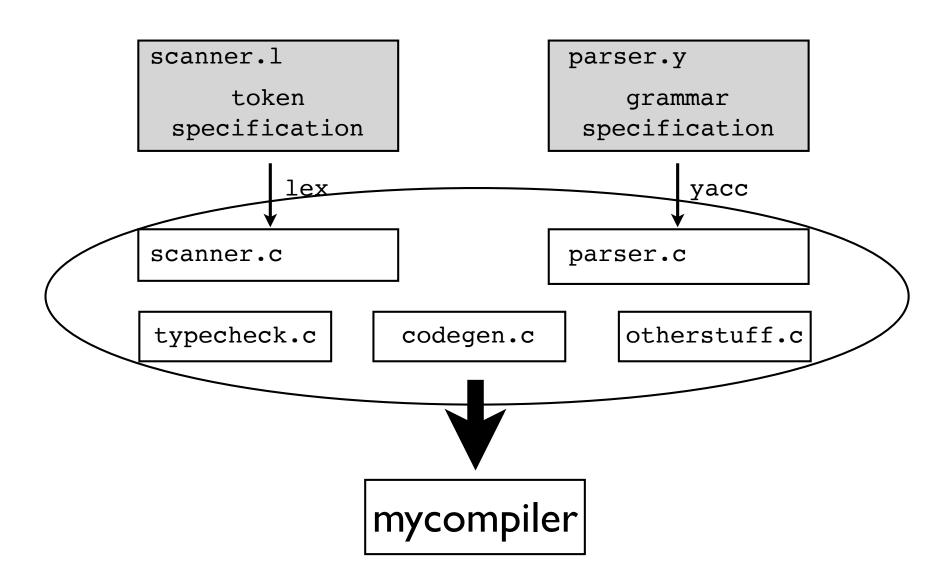


parser.y
grammar
specification









#### Lex/Yacc Comments

- Code generators
- Create a parser from a specification
- Classic versions create C code.
- Variants target other languages

#### **PLY**

- Python Lex-Yacc
- I 00% Python version of lex/yacc toolset
- History:
  - Late 90's. "Write don't you rewrite SWIG in Python?"
  - 2000 :"No! Now stop bugging me about it!"
  - 2001 : Dave teaches a compilers course at UofC. An experiment. Students write a compiler in Python.
  - 2001 : PLY-1.0 developed and released.
  - 2002 2005 : Occasional maintenance and bug fixes.
  - 2006 : Major update to PLY-2.x (in progress).
- This is the first talk about it

#### PLY Overview

- Provide same functionality as lex/yacc
  - Identical parsing algorithm (LALR(I))
  - Extensive error checking.
  - Comparable debugging features (sic)
  - Keep it simple (ha!)
- Make use of Python features

# PLY Package

PLY consists of two Python modules

```
ply.lex
ply.yacc
```

- You simply import the modules to use them
- However, PLY is <u>not</u> a code generator
- This is where it gets interesting

# lex.py example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
           'DIVIDE', EQUALS' ]
t_ignore = ' \t'
t PLUS = r' + r'
t_MINUS = r' - '
t TIMES = r' \ *'
t_DIVIDE = r'/'
t EQUALS = r'='
t NAME = r'[a-zA-Z][a-zA-Z0-9]*'
def t NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t
lex.lex() # Build the lexer
```

# lex.py specification

- Tokens denoted by t\_TOKEN declarations
- Tokens are defined by regular expressions

```
t_NAME = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t
```

- May be a simple variable or a function
- For functions, regex is in docstring.

# lex.py construction

lex() function is used to build the lexer

```
import ply.lex as lex
... token specifications ...
lex.lex() # Build the lexer
```

- Uses introspection to read spec
- Token information taken out of calling module
- Big difference between Unix lex and PLY

# lex.py construction

• lex() function is used to build the lexer

```
import ply.lex as lex
... token specifications ...

lex.lex()  # Build the lexer

Sick introspection hack

try: raise RuntimeError
except RuntimeError:
e,b,t = sys.exc_info()
f = t.tb_frame
f = f.f_back
mdict = f.f_globals
```

# lex.py Validation

- lex.lex() performs extensive error checking
- Bad tokens, duplicate tokens, malformed functions, etc.

Goal: informative debugging messages

# lex.py use

Two functions: input(), token()

```
import ply.lex as lex
...
lex.lex()  # Build the lexer
...
data = "x = 3*4+5-6"
lex.input(data)  # Feed some text
while 1:
    tok = lex.token()  # Get next token
    if not tok: break
    print tok
```

Call token() repeatedly to fetch tokens

# Example

# yacc.py preliminaries

- yacc.py is a module for creating a parser
- Assumes you have defined a BNF grammar

# yacc.py example

```
import ply.yacc as yacc
                # Import lexer information
import mylexer
tokens = mylexer.tokens # Need token list
def p_assign(p):
    '''assign : NAME EQUALS expr'''
def p expr(p):
    '''expr : expr PLUS term
            expr MINUS term
            term'''
def p term(p):
    '''term : term TIMES factor
           term DIVIDE factor
            factor'''
def p factor(p):
    '''factor : NUMBER'''
                      # Build the parser
yacc.yacc()
```

# yacc.py rules

- All rules defined by p\_funcname(p) funcs
- Grammar specified in docstrings

Rules may be split apart or combined

```
def p_expr_plus(p):
    'expr : expr PLUS term'
def p_expr_minus(p):
    'expr : expr MINUS term'
def p_expr_term(p):
    'expr : term'
```

# yacc.py construction

• yacc() function builds the parser

```
import ply.yacc as yacc
... rule specifications ...
yacc.yacc() # Build the parser
```

- Uses introspection (as before)
- Generates parsing tables and diagnostics

```
% python myparser.py
yacc: Warning. no p_error() function is defined
yacc: Generating LALR parsing table...
```

# yacc.py performance

- yacc.yacc() is expensive (several seconds)
- Parsing tables written to file parsetab.py
- Only regenerated when grammar changes
- Avoids performance hit on repeated use

# yacc.py validation

- yacc.yacc() also performs validation
- Duplicate rules, malformed grammars, infinite recursion, undefined symbols, bad arguments, etc.
- Provides the same error messages provided by Unix yacc.

# yacc.py parsing

yacc.parse() function

```
yacc.yacc() # Build the parser
...
data = "x = 3*4+5*6"
yacc.parse(data) # Parse some text
```

This implicitly feeds data into lexer

# Example

# A peek inside

- PLY is based on LR-parsing. LALR(I)
- AKA: Shift-reduce parsing
- Widely used.
- Table driven.
- Speed is independent of grammar size

# LR Parsing

- Three basic components:
  - A stack of grammar symbols and values.
  - Two operators: shift, reduce
  - An underlying state machine.
- Example

stack input

X = 3 + 4 \* 5 \$end

#### Action:

#### Grammar

(8) factor : NUMBER

#### **PLY Rules**

-> p\_factor(p)

stack input

X = 3 + 4 \* 5 \$end

#### Action:

#### Grammar

#### **PLY Rules**

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
(3)
             expr MINUS term
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
             term DIVIDE factor
(6)
             factor
(7)
                                     -> p_factor(p)
(8) factor : NUMBER
```

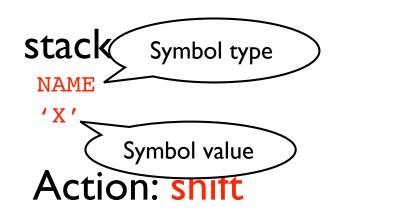
**PLY Rules** 

Action: shift

#### Grammar

#### 

- (3) | expr MINUS term
- (4) term
- (5) term : term TIMES factor -> p\_term(p)
- (6) | term DIVIDE factor
- (7) | factor
- (8) factor: NUMBER -> p\_factor(p)



#### input

**PLY Rules** 

= 3 + 4 \* 5 \$end

#### Grammar

#### 

- (4) | term
- (5) term : term TIMES factor -> p\_term(p)
- (6) | term DIVIDE factor
- (7) | factor

# stack input

NAME 'X'

= 3 + 4 \* 5 \$end

#### Action:

#### Grammar

#### **PLY Rules**

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
             expr MINUS term
(3)
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
                                     -> p_factor(p)
(8) factor : NUMBER
```

# stack NAME = 3 + 4 \* 5 \$end

'X'

Action:

#### Grammar

#### (1) assign : NAME EQUALS expr -> p\_assign(p)

**PLY Rules** 

- (2) expr : expr PLUS term -> p\_expr(p)
- (3) | expr MINUS term
- (4) | term
- (5) term : term TIMES factor -> p\_term(p)
- (6) | term DIVIDE factor
- (7) | factor

```
stack

NAME EQUALS

'X' '='

3 + 4 * 5 $end
```

**PLY Rules** 

Action: shift

#### Grammar

#### 

- (3) | expr MINUS term
- (4) term
- (5) term : term TIMES factor -> p\_term(p)
- (6) | term DIVIDE factor
- (7) | factor
- (8) factor: NUMBER -> p\_factor(p)

```
stack
NAME EQUALS
'X' '='
```

#### Action:

### Grammar PLY Rules

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
            expr MINUS term
(3)
(4)
            term
(5) term
          : term TIMES factor
                                     -> p term(p)
            term DIVIDE factor
(6)
(7)
            factor
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack
NAME EQUALS
'X' '='

3 + 4 * 5 $end
```

#### Action:

### Grammar PLY Rules

```
(1) assign : NAME EQUALS expr
                                    -> p assign(p)
                                    -> p_expr(p)
(2) expr : expr PLUS term
            expr MINUS term
(3)
(4)
            term
(5) term
          : term TIMES factor
                                    -> p term(p)
            term DIVIDE factor
(6)
(7)
            factor
(8) factor: NUMBER
                                     -> p factor(p)
```

```
stack

NAME EQUALS NUMBER

'X' '=' 3

+ 4 * 5 $end
```

**PLY Rules** 

Action: shift

#### Grammar

### (1) assign : NAME EQUALS expr -> p\_assign(p)

- (2) expr : expr PLUS term -> p\_expr(p)
- (3) expr MINUS term
- (4) term
- (5) term : term TIMES factor -> p\_term(p)
- (6) | term DIVIDE factor
- (7) | factor
- (8) factor: NUMBER -> p\_factor(p)

```
stack
                                         input
NAME EQUALS NUMBER
                                                + 4 * 5 $end
 'X'
                      def t_NUMBER(t):
Action: shift
                         r'\d+'
                         t.value = int(t.value)
                         return t
Grammar
                                               Kules
(1) assign : NAME EQUALS expr
                                      -> p assign(p)
(2) expr
           : expr PLUS term
                                      -> p_expr(p)
(3)
             expr MINUS term
(4)
             term
           : term TIMES factor
(5) term
                                      -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor: NUMBER
                                      -> p factor(p)
```

```
stack
NAME EQUALS NUMBER
'X' '=' 3
input
+ 4 * 5 $end
```

#### Action:

# Grammar PLY Rules

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
            expr MINUS term
(3)
(4)
            term
(5) term
          : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
(7)
            factor
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack
NAME EQUALS NUMBER
'X' '=' 3
input
+ 4 * 5 $end
```

#### Action:

### Grammar PLY Rules

```
(1) assign : NAME EQUALS expr
                                    -> p assign(p)
(2) expr : expr PLUS term
                                     -> p_expr(p)
            expr MINUS term
(3)
(4)
            term
(5) term
          : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
(7)
            factor
(8) factor: NUMBER
                                     -> p_factor(p)
```

```
stack

NAME EQUALS NUMBER

'X' '=' 3 + 4 * 5 $end
```

# Action: reduce using rule 8

#### Grammar

```
(1) assign : NAME EQUALS expr
                                    -> p assign(p)
(2) expr : expr PLUS term
                                     -> p_expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
(7)
            factor
(8) factor: NUMBER
                                     -> p_factor(p)
```

#### **PLY Rules**

```
stack

NAME EQUALS factor

'X' '=' None

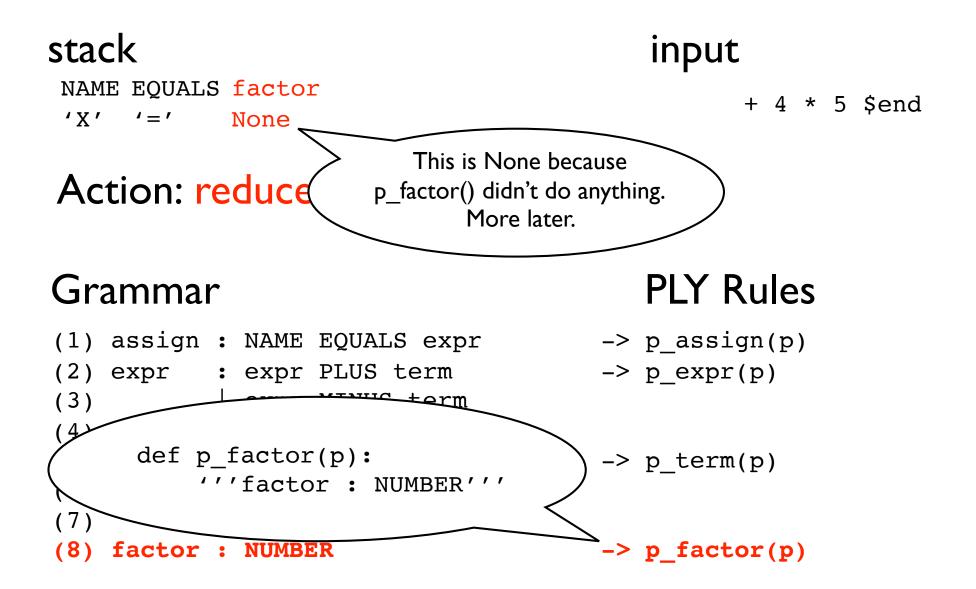
+ 4 * 5 $end
```

**PLY Rules** 

### Action: reduce using rule 8

#### Grammar

#### (1) assign : NAME EQUALS expr -> p assign(p) (2) expr : expr PLUS term -> p expr(p) (3) expr MINUS term (4) term (5) term : term TIMES factor -> p term(p) (6) term DIVIDE factor factor (7) (8) factor : NUMBER -> p\_factor(p)



```
stack input
```

```
NAME EQUALS factor
'X' '=' None + 4 * 5 $end
```

#### Action:

### Grammar PLY Rules

- (4) term
- (5) term : term TIMES factor -> p\_term(p)
- (6) | term DIVIDE factor
- (7) | factor
- (8) factor: NUMBER -> p\_factor(p)

```
stack

NAME EQUALS factor

'X' '=' None

+ 4 * 5 $end
```

#### Action:

#### Grammar PLY Rules

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
             expr MINUS term
(3)
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
(6)
             term DIVIDE factor
(7)
             factor
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack

NAME EQUALS factor

'X' '=' None + 4 * 5 $end
```

**PLY Rules** 

# Action: reduce using rule 7

#### Grammar

#### (1) assign : NAME EQUALS expr -> p assign(p) (2) expr : expr PLUS term -> p expr(p) (3) expr MINUS term (4)term (5) term : term TIMES factor -> p term(p) (6) term DIVIDE factor **(7)** factor (8) factor: NUMBER -> p factor(p)

```
stack
NAME EQUALS term
'X' '=' None
+ 4 * 5 $end
```

**PLY Rules** 

-> p factor(p)

# Action: reduce using rule 7

#### Grammar

(8) factor: NUMBER

#### 

```
stack
NAME EQUALS term
'X' '=' None
+ 4 * 5 $end
```

#### Action:

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
             expr MINUS term
(3)
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor: NUMBER
                                     -> p factor(p)
```

```
stack
NAME EQUALS term
'X' '=' None
+ 4 * 5 $end
```

#### Action:

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
(2) expr : expr PLUS term
                                     -> p_expr(p)
(3)
             expr MINUS term
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack

NAME EQUALS term

'X' '=' None

+ 4 * 5 $end
```

# Action: reduce using rule 4

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
(2) expr : expr PLUS term
                                     -> p_expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
            factor
(7)
(8) factor: NUMBER
                                     -> p factor(p)
```

```
stack

NAME EQUALS expr

'X' '=' None

+ 4 * 5 $end
```

**PLY Rules** 

-> p factor(p)

# Action: reduce using rule 4

factor

#### Grammar

(8) factor: NUMBER

(7)

#### 

```
stack

NAME EQUALS expr

+ 4 * 5 $end
```

#### Action:

None

1 X "

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
             expr MINUS term
(3)
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor: NUMBER
                                     -> p factor(p)
```

```
stack
                                           input
NAME EQUALS expr
                                                  + 4 * 5 $end
 ′ X ′
             None
```

Action: ????

#### Grammar

# **PLY Rules**

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
(3)
             expr MINUS term
(4)
             term
(5) term
           : term TIMES factor
                                     -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor : NUMBER
                                     -> p factor(p)
```

**PLY Rules** 

-> p factor(p)

Action: shift

None

'X'

#### Grammar

(8) factor : NUMBER

#### 

```
stack input
```

```
NAME EQUALS expr PLUS
'X' '=' None '+'
```

**PLY Rules** 

#### Action: shift

#### Grammar

(7) | factor

(8) factor: NUMBER -> p\_factor(p)



**PLY Rules** 

#### Action: shift

#### Grammar

# (1) assign : NAME EQUALS expr -> p assign(p)

- (2) expr : expr PLUS term -> p\_expr(p)
- (3) | expr MINUS term
- (4) term
- (5) term : term TIMES factor -> p\_term(p)
- (6) term DIVIDE factor
- (7) | factor
- (8) factor: NUMBER -> p\_factor(p)

```
stack input
```

```
NAME EQUALS expr PLUS NUMBER
'X' '=' None '+' 4

* 5 $end
```

**PLY Rules** 

# Action: reduce using rule 8

#### Grammar

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
(2) expr : expr PLUS term
                                     -> p expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
             factor
(7)
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack input
```

```
NAME EQUALS expr PLUS factor
'X' '=' None '+' None * 5 $end
```

**PLY Rules** 

# Action: reduce using rule 7

#### Grammar

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
(2) expr : expr PLUS term
                                     -> p expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
(7)
            factor
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack
                                         input
                                  TIMES
NAME EQUALS expr PLUS term
                                                    * 5 $end
 'X'
             None '+'
                       None
```

#### Action: shift

#### Grammar

# **PLY Rules**

```
(1) assign : NAME EQUALS expr
                                      -> p assign(p)
(2) expr : expr PLUS term
                                      -> p expr(p)
(3)
             expr MINUS term
(4)
             term
(5) term
           : term TIMES factor
                                      -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor : NUMBER
                                      -> p factor(p)
```

```
stack
                                        input
NAME EQUALS expr PLUS term TIMES
                                     NUMBER
                                                     5 $end
 'X'
            None '+'
                      None
```

#### Action: shift

#### Grammar

# **PLY Rules**

```
(1) assign : NAME EQUALS expr
                                      -> p assign(p)
(2) expr : expr PLUS term
                                      -> p expr(p)
(3)
             expr MINUS term
(4)
             term
(5) term
           : term TIMES factor
                                      -> p term(p)
(6)
             term DIVIDE factor
             factor
(7)
(8) factor : NUMBER
                                      -> p factor(p)
```

```
stack input
```

```
NAME EQUALS expr PLUS term TIMES NUMBER
'X' '=' None '+' None '*' 5
```

#### Action: reduce using rule 8

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
(2) expr : expr PLUS term
                                     -> p expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
            factor
(7)
(8) factor: NUMBER
                                     -> p factor(p)
```

```
stack input
```

```
NAME EQUALS expr PLUS term TIMES factor
'X' '=' None '+' None '*' None $end
```

# Action: reduce using rule 5

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
(2) expr : expr PLUS term
                                     -> p expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
             factor
(7)
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack input
```

```
NAME EQUALS expr PLUS term
'X' '=' None '+' None $end
```

# Action: reduce using rule 2

```
(1) assign : NAME EQUALS expr
                                    -> p assign(p)
(2) expr : expr PLUS term
                                    -> p_expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                    -> p term(p)
(6)
            term DIVIDE factor
            factor
(7)
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack input
```

```
NAME EQUALS expr
'X' '=' None
```

\$end

# Action: reduce using rule I

#### Grammar

#### **PLY Rules**

```
(1) assign : NAME EQUALS expr
                                    -> p assign(p)
(2) expr : expr PLUS term
                                     -> p_expr(p)
(3)
            expr MINUS term
(4)
            term
(5) term : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
            factor
(7)
(8) factor : NUMBER
                                     -> p factor(p)
```

stack input

assign

None

\$end

Action: Done.

- (2) expr : expr PLUS term -> p\_expr(p)
- (3) | expr MINUS term
- (4) term
- (5) term : term TIMES factor -> p\_term(p)
- (6) term DIVIDE factor
- (7) | factor
- (8) factor: NUMBER -> p\_factor(p)

# Yacc Rule Execution

Rules are executed during reduction

```
def p_term_mul(p):
    'term : term TIMES factor'
```

Parameter p refers to values on stack

```
stack: NAME EQUALS expr PLUS term TIMES factor p[1] p[2] p[3]

reduce term: term TIMES factor p[0]

stack: NAME EQUALS expr PLUS term
```

# Example: Calculator

```
def p assign(p):
    '''assign : NAME EQUALS expr'''
    print "Assigning", p[1], "value", p[3]
def p expr plus(p):
    '''expr : expr PLUS term'''
    p[0] = p[1] + p[3]
def p term mul(p):
    '''term : term TIMES factor'''
    p[0] = p[1] * p[3]
def p factor(p):
    '''factor : NUMBER'''
    p[0] = p[1]
```

```
stack
NAME EQUALS NUMBER
'X' '=' 3
input
+ 4 * 5 $end
```

#### Action:

```
(1) assign : NAME EQUALS expr
                                     -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
            expr MINUS term
(3)
(4)
            term
(5) term
          : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
(7)
            factor
(8) factor : NUMBER
                                     -> p factor(p)
```

```
stack
NAME EQUALS NUMBER
'X' '=' 3
input
+ 4 * 5 $end
```

**PLY Rules** 

#### Action:

#### Grammar

```
(1) assign : NAME EQUALS expr
                                    -> p assign(p)
                                     -> p_expr(p)
(2) expr : expr PLUS term
            expr MINUS term
(3)
(4)
            term
(5) term
          : term TIMES factor
                                     -> p term(p)
(6)
            term DIVIDE factor
(7)
            factor
(8) factor: NUMBER
                                     -> p_factor(p)
```

```
stack

NAME EQUALS NUMBER

'X' '=' 3 + 4 * 5 $end
```

**PLY Rules** 

# Action: reduce using rule 8

#### Grammar

#### (1) assign : NAME EQUALS expr -> p assign(p) (2) expr : expr PLUS term -> p\_expr(p) (3) expr MINUS term (4) term (5) term : term TIMES factor -> p term(p) (6) term DIVIDE factor (7) factor (8) factor: NUMBER -> p\_factor(p)

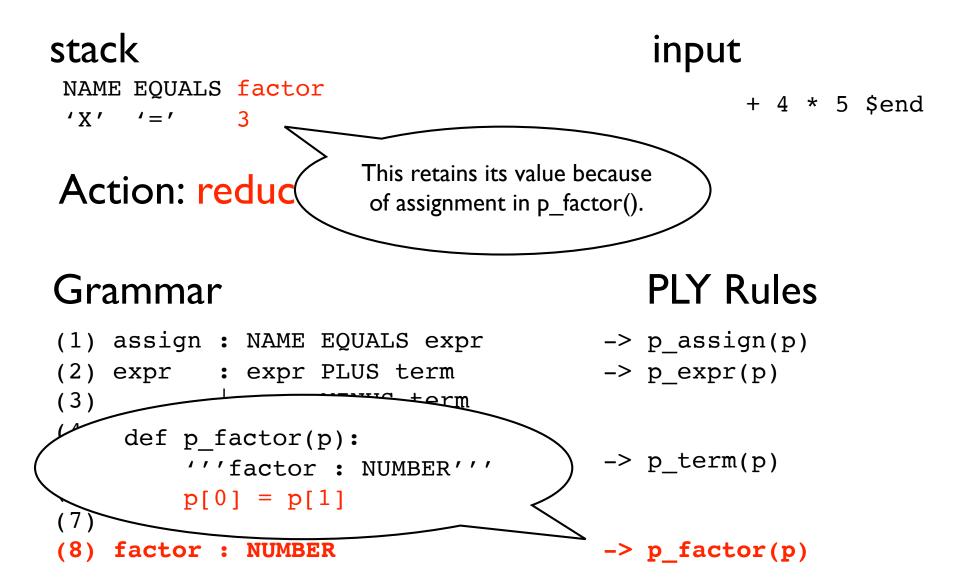
```
stack
NAME EQUALS factor
'X' '=' 3
input
+ 4 * 5 $end
```

**PLY Rules** 

# Action: reduce using rule 8

#### Grammar

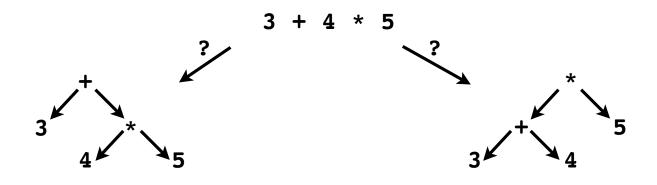
#### (1) assign : NAME EQUALS expr -> p assign(p) (2) expr : expr PLUS term -> p\_expr(p) (3) expr MINUS term (4) term (5) term : term TIMES factor -> p term(p) (6) term DIVIDE factor factor (7) (8) factor: NUMBER -> p\_factor(p)



# Example: Parse Tree

```
def p assign(p):
    '''assign : NAME EQUALS expr'''
    p[0] = ('ASSIGN', p[1], p[3])
def p expr plus(p):
    '''expr : expr PLUS term'''
    p[0] = ('+',p[1],p[3])
def p term mul(p):
    '''term : term TIMES factor'''
    p[0] = ('*', p[1], p[3])
def p factor(p):
    '''factor : NUMBER'''
    p[0] = ('NUM', p[1])
```

# Ambiguous Grammars



# Ambiguous Grammars

- Multiple possible parse trees
- Is reported as a "shift/reduce conflict"

```
yacc: Generating LALR parsing table... yacc: 16 shift/reduce conflicts
```

- May also get "reduce/reduce conflict"
- Probably most mysterious aspect of yacc

stack input

NAME EQUALS expr PLUS expr

\* 5 \$end

#### Grammar

#### Possible Actions:

stack input

NAME EQUALS expr PLUS expr

\* 5 \$end

#### Grammar

#### Possible Actions:

reduce using rule 2

stack input

NAME EQUALS expr PLUS expr

```
NAME EQUALS expr
NAME EQUALS expr TIMES
NAME EQUALS expr TIMES NUMBER
NAME EQUALS expr TIMES expr
NAME EQUALS expr
```

#### Grammar

#### Possible Actions:

reduce using rule 2

\* 5 \$end

stack input

```
NAME EQUALS expr PLUS expr
```

\* 5 \$end

```
NAME EQUALS expr
NAME EQUALS expr TIMES
NAME EQUALS expr TIMES NUMBER
NAME EQUALS expr TIMES expr
NAME EQUALS expr
```

#### Grammar

#### Possible Actions:

```
reduce using rule 2 shift TIMES
```

stack input

NAME EQUALS expr PLUS expr

\* 5 \$end

```
NAME EQUALS expr TIMES

NAME EQUALS expr TIMES

NAME EQUALS expr TIMES NUMBER

NAME EQUALS expr TIMES NUMBER

NAME EQUALS expr TIMES NUMBER

NAME EQUALS expr TIMES expr

NAME EQUALS expr PLUS expr TIMES expr

NAME EQUALS expr PLUS expr

NAME EQUALS expr PLUS expr

NAME EQUALS expr
```

#### Grammar

#### Possible Actions:

reduce using rule 2 shift TIMES

# Shift/reduce resolution

- Default action is to always shift
- Can sometimes control with precedence

# Error handling/recovery

Syntax errors first fed through p\_error()

```
def p_error(p):
    print "Syntax error"
```

- Then an 'error' symbol is shifted onto stack
- Stack is unwound until error is consumed

stack input

```
NAME EQUALS expr PLUS expr
'X' '=' 3 '+' 4 5 $end
```

# stack input

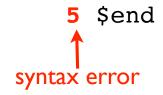
```
NAME EQUALS expr PLUS expr 'X' '=' 3 '+' 4
```

# 5 \$end syntax error

### stack

```
NAME EQUALS expr PLUS expr 'X' '=' 3 '+' 4
```

### input



### Grammar

def p\_error(p):
 print "Syntax error"

\$end

stack input

```
NAME EQUALS expr PLUS expr error
'X' '=' 3 '+' 4
```

```
(1) assign: NAME EQUALS expr
(2) | NAME EQUALS error
(3) expr: expr PLUS expr
(4) | expr MINUS expr
(5) | expr TIMES expr
(6) | expr DIVIDE expr
(7) | NUMBER
```

stack input

```
NAME EQUALS expr PLUS error
'X' '=' 3 '+'
```

stack input

```
NAME EQUALS expr error
'X' '=' 3
```

\$end

stack input

```
NAME EQUALS error
'X' '='
```

\$end

stack input

```
NAME EQUALS error
'X' '='
```

\$end

### Grammar

(7)

```
(1) assign: NAME EQUALS expr
(2) | NAME EQUALS error
(3) expr: expr PLUS expr
(4) | expr MINUS expr
(5) | expr TIMES expr
(6) | expr DIVIDE expr
```

NUMBER

stack input

assign \$end

```
(1) assign: NAME EQUALS expr
(2) | NAME EQUALS error
(3) expr: expr PLUS expr
(4) | expr MINUS expr
(5) | expr TIMES expr
(6) | expr DIVIDE expr
(7) | NUMBER
```

# Debugging Output

- PLY creates a file parser.out
- Contains detailed debugging information
- Reading it involves voodoo and magic
- Useful if trying to track down conflicts

# Debugging Output

```
Grammar
Rule 1
           statement -> NAME = expression
Rule 2
           statement -> expression
Rule 3
           expression -> expression + expression
Rule 4
           expression -> expression - expression
Rule 5
           expression -> expression * expression
Rule 6
           expression -> expression / expression
           expression -> NUMBER
Rule 7
Terminals, with rules where they appear
                     : 5
                     . 3
                     : 4
                     : 6
                     : 1
NAME
                     : 1
NUMBER
                     : 7
error
Nonterminals, with rules where they appear
expression
                     : 1 2 3 3 4 4 5 5 6 6
statement
                     : 0
Parsing method: LALR
state 0
    (0) S' \rightarrow . statement
    (1) statement -> . NAME = expression
    (2) statement -> . expression
    (3) expression -> . expression + expression
    (4) expression -> . expression - expression
    (5) expression -> . expression * expression
    (6) expression -> . expression / expression
    (7) expression -> . NUMBER
    NAME
                    shift and go to state 1
    NUMBER
                    shift and go to state 2
                                   shift and go to state 4
    expression
    statement
                                   shift and go to state 3
state 1
    (1) statement -> NAME . = expression
                    shift and go to state 5
```

```
state 10
    (1) statement -> NAME = expression .
   (3) expression -> expression . + expression
    (4) expression -> expression . - expression
    (5) expression -> expression . * expression
   (6) expression -> expression . / expression
                    reduce using rule 1 (statement -> NAME = expression .)
   Send
                    shift and go to state 7
                    shift and go to state 6
                    shift and go to state 8
                    shift and go to state 9
state 11
    (4) expression -> expression - expression .
    (3) expression -> expression . + expression
    (4) expression -> expression . - expression
    (5) expression -> expression . * expression
    (6) expression -> expression . / expression
 ! shift/reduce conflict for + resolved as shift.
  ! shift/reduce conflict for - resolved as shift.
 ! shift/reduce conflict for * resolved as shift.
 ! shift/reduce conflict for / resolved as shift.
                    reduce using rule 4 (expression -> expression - expression .)
                    shift and go to state 7
                    shift and go to state 6
                    shift and go to state 8
                    shift and go to state 9
 1 +
                    [ reduce using rule 4 (expression -> expression - expression .) ]
                    [ reduce using rule 4 (expression -> expression - expression .) ]
                    [ reduce using rule 4 (expression -> expression - expression .) ]
 1 /
                    [ reduce using rule 4 (expression -> expression - expression .) ]
```

# Debugging Output

```
state 11
    (4) expression -> expression - expression .
    (3) expression -> expression . + expression
    (4) expression -> expression . - expression
    (5) expression -> expression . * expression
    (6) expression -> expression . / expression
  ! shift/reduce conflict for + resolved as shift.
  ! shift/reduce conflict for - resolved as shift.
  ! shift/reduce conflict for * resolved as shift.
  ! shift/reduce conflict for / resolved as shift.
                    reduce using rule 4 (expression -> expression - expression .)
    Send
                    shift and go to state 7
                    shift and go to state 6
                    shift and go to state 8
                    shift and go to state 9
                    [ reduce using rule 4 (expression -> expression - expression .) ]
                    [ reduce using rule 4 (expression -> expression - expression .) ]
                    [ reduce using rule 4 (expression -> expression - expression .) ]
                    [ reduce using rule 4 (expression -> expression - expression .) ]
```

shift and go to state 5

# Advanced PLY

- PLY supports more advanced yacc features
  - Empty productions
  - Error handling/recovery
  - Inherited attributes
  - Embedded actions

# Advanced PLY (cont)

- Some Python specific features
  - Lexers/parsers can be defined as classes
  - Support for multiple lexers and parsers
  - Support for optimized mode (-O)

# Class Example

```
import ply.yacc as yacc
class MyParser:
    def p_assign(self,p):
        '''assign : NAME EQUALS expr'''
    def p_expr(self,p):
        '''expr : expr PLUS term
                 expr MINUS term
    def p term(self,p):
        '''term : term TIMES factor
                 term DIVIDE factor
                 factor'''
    def p_factor(self,p):
        '''factor : NUMBER'''
    def build(self):
        self.parser = yacc.yacc(object=self)
```

# Summary

- This has been a quick tour of PLY/yacc
- Have skipped a lot of subtle details.

# Why use PLY?

- Standard lex/yacc well known and used
- Suitable for large grammars
- Decent performance
- Very extensive error checking/validation

# PLY Usage

- Thousands of downloads over five years
- Some applications (that I know of)
  - Teaching compilers
  - numbler.com (Carl Shimer)
  - Parsing Ada source code.
  - Parsing molecule descriptions
  - Reading configuration files

# Resources

PLY homepage

```
http://www.dabeaz.com/ply
```

Mailing list/group

http://groups.google.com/group/ply-hack

stack input

NAME EQUALS NUMBER

\$end

### Grammar Possible Actions:

- (1) assign : NAME EQUALS expr
- (2) NAME EQUALS NUMBER
- (3) expr : expr PLUS expr
- (4) | expr MINUS expr
- (5) expr TIMES expr
- (6) expr DIVIDE expr
- (7) NUMBER

stack input

NAME EQUALS NUMBER

\$end

#### Grammar

```
(1) assign: NAME EQUALS expr
(2) | NAME EQUALS NUMBER
(3) expr: expr PLUS expr
(4) | expr MINUS expr
(5) | expr TIMES expr
(6) | expr DIVIDE expr
(7) | NUMBER
```

#### Possible Actions:

reduce using rule 2

stack input

assign \$end

#### Grammar

#### Possible Actions:

reduce using rule 2

stack input

assign \$end

# Grammar

NAME EQUALS NUMBER

### Possible Actions:

reduce using rule 2 reduce using rule 7

stack input

assign \$end

#### Grammar

NAME EQUALS expr

### Possible Actions:

reduce using rule 2 reduce using rule 7