

UNIT

04

ANALOG AND DIGITAL LINKS

11 October 2018

Wednesday

CO4:

Illustrate system design issues with the help of case studies and design problems related to optical links.

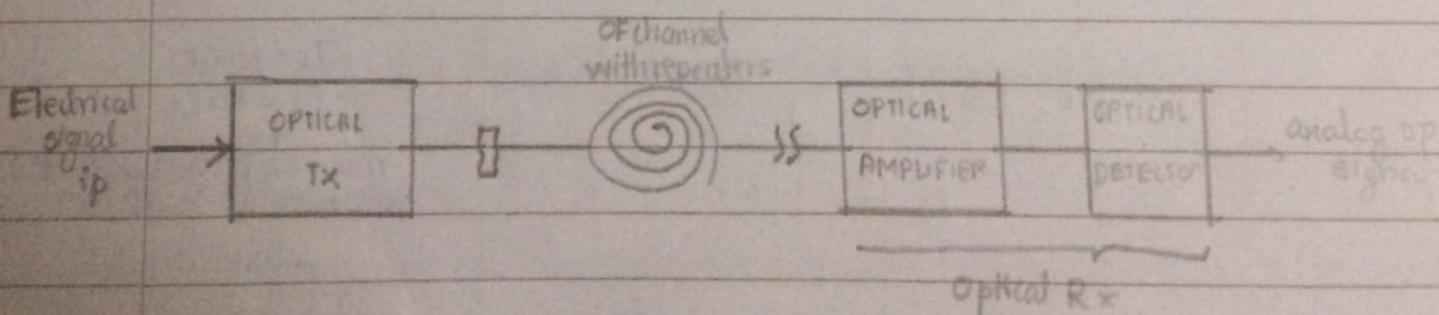
- There are two types of links supporting
  - a. SCS : Single carrier systems
  - b. MCS : Multi carrier systems
- Analog systems characterised by,
  - Bandwidth
  - CNR & SNR
- Digital systems are characterised by,
  - bit rate
  - Pe or BER

THURSDAY

12/10/2018

### Analog optic fiber links

- Determining the correct value of CNR or SNR is an important task in link analysis.
- Signal power is easily estimated but noise estimation is complex.



Tx :

- Non linear distortion - based on the operating part of the active device
- ↓  
determined by driver circuit
- Harmonic distortions : due to non linear operation there will be harmonic components.
- Clipping action of active device due to limited spectral width .
- RIN : Relative Intensity Noise.

Channel :

- This is the fiber link
  - Attenuation loss or path loss
  - Absorption loss
  - Modal loss
    - intramodal
    - intermodal
- Bending loss
- Scattering loss
- GVD in MM
- MD and W.D in SM
- core-cladding losses

Rx: DETECTOR NOISES

- Shot noise
- Thermal noise
- Leakage noise
- Dark current noise
- Surface noise
- Amplifier noise.
- APD gain noise .

### RIN :

Light intensity output of LD exhibits fluctuations in frequency and phase even when operated above threshold region.

- Two fundamental reasons for this noise are.
  - e-h recombination results in random shot noise.
  - Transition from spontaneous emission to stimulated emission near the threshold is not smooth.
- Among the two factors, second one is dominant.
- Mean Square Value of RIN current is

$$\langle i^2_{RIN} \rangle = \sigma^2_{RIN} = RIN (R_o P) B$$

$\hookrightarrow$  Variance

where,

$$RIN = \frac{\langle (\Delta PL)^2 \rangle}{PL^2} = \frac{MSVf \text{ fluctuations of intensity}}{\text{Average laser intensity } dB/Hz}$$

• CNR due to only RIN noise,  $= \frac{C}{\sigma^2_{RIN}}$

• At 1550nm for DFB laserdiode,  $-152 < RIN < -156 \text{ dB/Hz}$

• RIN also depends on the drive current at the input

$$RIN \propto \left( \frac{I_B}{I_{th}} - 1 \right)^{-3}$$

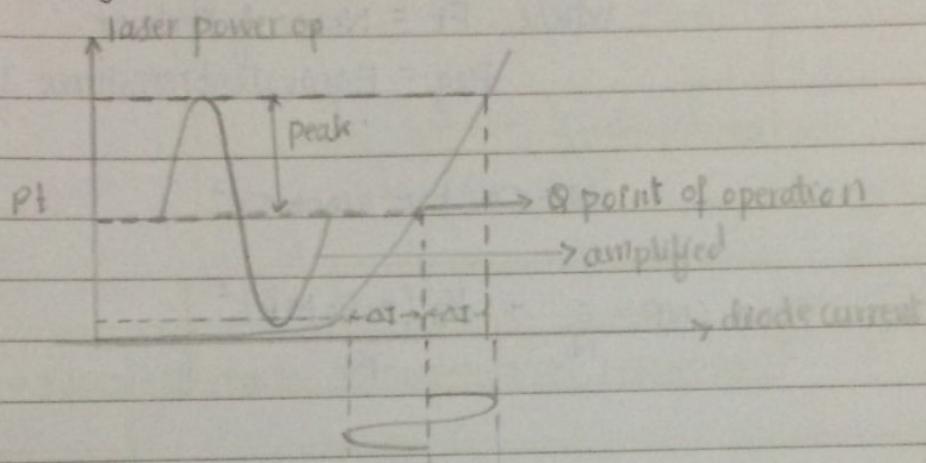
$RIN \downarrow$  as injection current  $\uparrow$

- CNR can be represented in two forms.
  - in terms of 'm'  $\rightarrow$  modulation index.
  - only in terms of C & N power

Friday.

13 Oct 2018

### Analog link and CNR estimation



→ Q point is selected in the middle of characteristic curve to avoid non linear distortion

→ power output of LD corresponding to drive current is

$$P(t) = P_t [1 + m \delta(t)]$$

where,

$$m \rightarrow \text{modulation index.} = \frac{P_{\text{peak}}}{P_t} (< 0.5)$$

• Transmitted power from laser source is detected by a photodetector at the receiver.

$$C = \frac{1}{2} (m R_o M \bar{P})^2$$

↓  
unit gain responsivity of photo detector

- Noise power =  $N$  = Noise contributed by detector + Noise of amplifier.

$$(i) \text{ PD noise} = N_D = \langle iN^2 \rangle = \sigma^2 N$$

$$(ii) RIN = NR = \langle iRIN^2 \rangle = \sigma^2 RIN = RIN (R_o \bar{P}) B$$

$$(iii) \text{ Thermal noise} = \langle ip^2 \rangle = \sigma_T^2 = \frac{4kBT}{Req} BFt$$

where,  $F_t$  = Noise of Receiver

$Req$  = Equivalent resistance of amplifier

$$N = \sigma_N^2 + \sigma^2 RIN + \sigma_T^2$$

$$\therefore CNR = \frac{C}{N} = \frac{1/2 (m R_o \bar{P})^2}{N}$$

⇒ Performance of analog fiber link, is based on CNR

- There are 3 limits, defined for this CNR based on signal strength is received by photodetector.

a) limit - 1 : when input signal is very weak then thermal noise of amplifier is predominant compared to other noises.

i.e

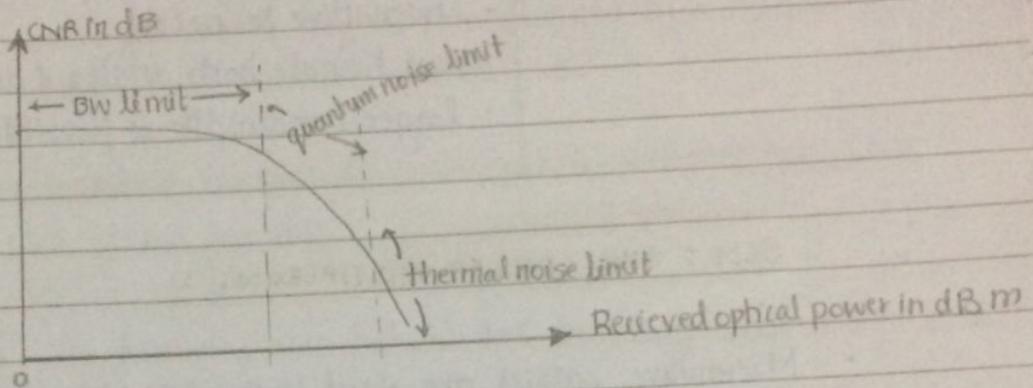
$$N \approx \sigma_T^2 \Rightarrow ((CNR)_{\text{lim 1}} = \frac{C}{\sigma_T^2})$$

(b) limit 2 : For well designed photodetectors, bulk and surface dark currents are negligible at a verge of signal strength

$$((CNR)_{\text{lim 2}} = \frac{1/2 (m^2 R_o \bar{P})}{2q_F M I_B})$$

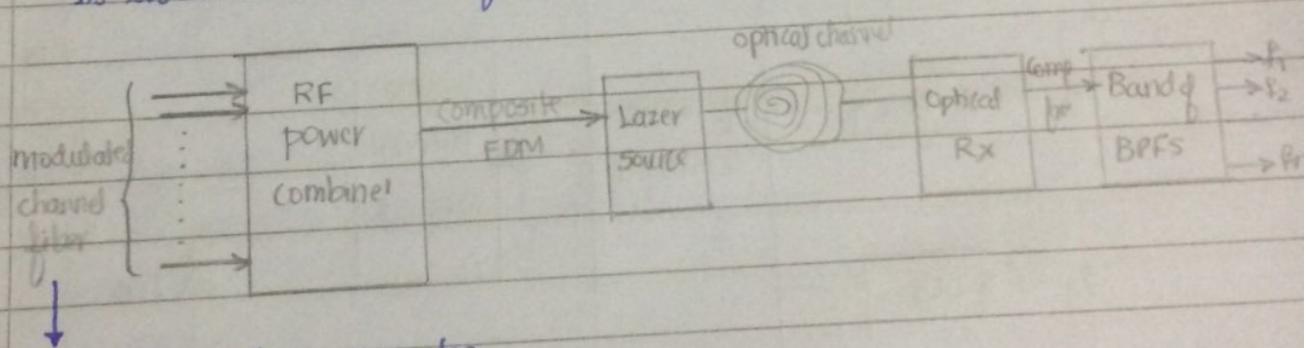
(c) limit 3 : For strong input signals, RIN is predominant

$$(\text{CNR}) \text{ limit } 3 = \frac{1}{2} \frac{(\text{MMI})^2}{\text{RIN} \cdot \text{B}}$$



#### ⇒ MULTI-CHANNEL TRANSMISSION METHODS :

- To better use the available bandwidth of fiber, Multiplexing technique is used to transmit information.



modulation schemes can be  
VSB - AM, FM, SCM

MODULATION FORMAT	CHARACTERISTICS
1. VSB - AM	<ul style="list-style-type: none"> <li>cost effective</li> <li>compatible with OFC systems</li> <li>very sensitive to noise and NL distortions.</li> </ul>
2. FM	<ul style="list-style-type: none"> <li>requires larger bandwidth than AM</li> <li>provides highest CNR</li> </ul>

- Better noise suppression
- minimum non linear distortion.

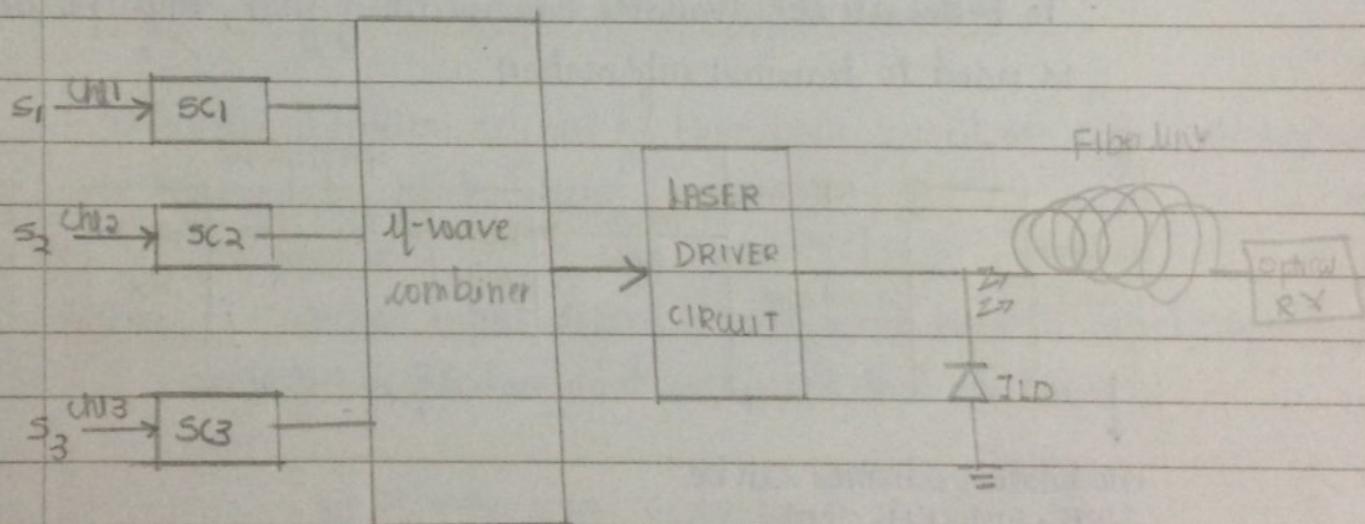
SCM

- operates at higher frequency than AM & FM
- insensitive to noise
- can handle both analog & digital signals.
- larger bandwidth is possible

SCM : SUB CARRIER MULTIPLEXING :

13/10/2018

- Microwave carrier are used instead of optical carrier for modulating the different channel.
- If signals are transmitted over an optical fiber then  $bw > 10GHz$ .



ADVANTAGES :

- wide bandwidth available
- flexibility for upgradation of components/equipments
- useful in multimedia communication for number of users
- used in network monitoring management systems.

## ROF or RF OF :

- ROF : Radio over optical fibers
- (Principle) : "light super signal is modulated by a microwave carrier and transmitted over fiber".
- provides wireless coverage in area where wireless backhaul or reverse link is not possible.
- Example : In tunnels, area behind tall buildings, mounting and secluded areas like jungles, desert, sea etc

## ADVANTAGES :

- low path loss : Since fiber is used <sup>as</sup> the medium.
- no EMI and RFI on the links.
- Fadings eliminated - both short term and long term
- noise effects reduced

## APPLICATIONS

- 3G, 4G, 5G and LTE of mobile systems
- Wi-Fi
- CATV
- RF - L band communication between base station or ground stations and polar orbit satellites.
- FTTA : Fiber To The Antennas  
ie fiber is used as the interconnecting link between Tx / antenna.

## ADVANTAGES OF FTTH :

- Better coupling - Avoid standing waves
- Protection of system against lightning
- in mobile systems, simple base station design is possible.

## DIGITAL LINKS :

Important considerations in link design .

- We use line coupling methods to power the randomness, redundancy and signal security.
- FEC can be used (FORWARD ERROR CORRECTION) codes are used to get better end to end fidelity
- Pe and BER are limited by noise and dispersion effects
- Use of SM fibers for long distance communication.
- In high speed applications ( rate  $> 400 \text{ Mbps}$ ) consider the effects of
  - modal noise
  - spectral noise
  - mode partition noise
  - laser chirping
  - reflection noise.
- Selecting proper wavelength for transmission - fixing  $\lambda$  from 3 windows  
This has bearing on the following
  - link length
  - $\sigma_\lambda$
  - scattering of losses
- Optimising the performances of Tx, link and Rx

Generally optimise at last 2 unit

→ Selection of photodetector for QL of detection of detector with good sensitivity - PDS [  $\frac{\text{PIN}}{\text{APDS}}$  ]

25/10/2018

Example for Analog link power budget

Compute the maximum link length and various power margins.

DATA AVAILABLE:

→ BER =  $10^{-9}$  (ie. one bit will be in error for  $10^9$  bits ~~Ix~~)

→ Data Max =  $B_{\text{max}} = 200 \text{ Mbps}$

→ Operating wavelength  $\lambda = 850 \text{ nm}$

→ Source → GaAlAs LED = power output of  $P_S = -13 \text{ dBm}$   
(average power level)

→ Fiber core diameter =  $50 \mu\text{m}$

→ Detector in PIN PD, sensitivity =  $-42 \text{ dBm}$  (Pmin)

→ 1dB coupling loss between source and fiber.

→ 1dB coupling loss between fiber and photodiode.

→ System loss margin = 6dB

→ Attenuation of fiber is =  $3.5 \text{ dB/km}$  (composite plastic fiber)

SOLUTION:

→ Total power loss that occurs between source and destination

$$P_L = P_S - P_R$$

$$= -13 \text{ dBm} - (-42 \text{ dBm})$$

$$= 29 \text{ dBm.}$$

→ Neglecting the splicing, coupler and connector losses (not given)

$P_L = \text{Loss of coupling at source} + \text{Loss of coupling at detector} +$   
power margin + attenuation loss of fiber

$$29 \text{ dB} = 1 \text{ dB} + 1 \text{ dB} + 6 \text{ dB} + (3.5) \downarrow L$$

$\downarrow$   
F. L

$\therefore$  Maximum link length possible =  $L = 6 \text{ km}$ .

There are two methods by which power budget of an analog link can be represented.

(i) conventional method

(ii) spread sheet method.

COMPONENT / LOSS PARAMETER	OUTPUT / SENSITIVITY / LOSS	POWER MARGIN IN dB
LED power output ( $P_s$ )	-13 dBm	
PIN PD sensitivity ( $P_n$ )	-42 dBm	
Allowable loss ( $P_s - P_n$ )		29 dBm
Connectivity loss at ip and output	1 dB each	$29 \text{ dB} - 2 \text{ dB} = 27 \text{ dB m}$
Loss Margin Tolerable	6 dB	$27 - 6 \text{ dB} = 21 \text{ dB}$
loss due to fiber attenuation and others will be		21 dB.

## RISE TIME BUDGET:

- This gives dispersion limitations of optic fiber used in digital links.
- Total rise time for the digital link is

$$t_{sgs} = \left( \sum_{i=1}^N t_i^2 \right)^{1/2} \rightarrow (1)$$

where  $t_i \rightarrow$  rise time contributed by each of the components of link.  
 $N =$  Number of components in the link

- In digital links we consider four basic parameters for determining rise time budget.

- (i)  $t_{tx} \rightarrow$  transmitter rise time
- (ii)  $t_{gvd} \rightarrow$  Group velocity dispersion rise time
- (iii)  $t_{mod} \rightarrow$  modal dispersion rise time.
- (iv)  $t_{rx} \rightarrow$  Receiver rise time

} MM fibers

} SIF or GRIN

For single mode fibers, GVD is the only dispersion and no other dispersion.

- Rise time specifications for a digital link is based on data format like NRZ or RZ, considering various factors.

- For NRZ, Total transitional time degradation  $\leq 70\%$  of  $T_{bit}$
- For RZ, Total transition time degradation  $\leq 35\%$  of  $T_{bit}$

$$\boxed{\gamma = \frac{1}{\text{Bit rate}}}$$

- (i)  $t_{tx} :-$  (TRANSMITTER RISE TIME)

This is associated with driver circuits of the source and source equalisation circuit.

- Generally, this is specified based on
  - window region of operation
  - types of source
  - $\sigma_r$

### $t_{rx}$ (RECEIVER RISE TIME)

Several considerations are

- \* types of PD      → PIN  
→ APD
- \* Responsivity and wavelength
- \* Modulation Bandwidth
  - Electrical } 3dB
  - Optical }
- \* Modulation format
- \* Front end passive and active circuits
- \* Response of Receiver front end is modeled as a Low Pass filter with step input.

$$g(t) = [1 - \exp(-2\pi B_{rx} t)] u(t)$$

↴ step input  
 ↴ 3 dB bandwidth of RX

$$\begin{aligned} \rightarrow u(t) &= 1 \quad \text{for } t \geq 0 \\ &= 0 \quad \text{for } t < 0 \end{aligned}$$

- \* Generally rise time of receiver is defined as the transition time of response to change from 10% to 90% of amplitude levels.

Approximately	$t_{rx} \approx \frac{350}{B_{rx}}$
---------------	-------------------------------------

if  $B_{rx}$  is in MHz, then  $t_{rx}$  will be in ns

ii (tgvd : (GROUP VELOCITY DISPERSION RISE TIME))

This is very difficult to accurately be computed accurately for a link because

- number of splices or joints
  - even if we use same fiber there will be microvariations in R-I profile, modal characterisation, dispersion characteristics etc which cumulatively gets added.
  - mode mixing and spreading characteristics of fiber further complicate the calculations.
  - fiber misalignment losses further adds to this complication.
- Finally GVD rise time is a function of dispersion factor, which depends on whether fiber is dispersion compensated / flattened or shifted type.

26-10-18

7v

$$tgvd \equiv 1 D L \sigma_x$$

where,

$\Delta$  : Average dissipation factor

L : link length

$\sigma_x$  : spectral width.

Considering two types of fibers,

(i) Non dispersion shifted fibers

(ii)

$$D(\lambda) = \frac{\lambda_{S0}}{\lambda} \left[ 1 - \left( \frac{\lambda_0}{\lambda} \right)^4 \right]$$

where,

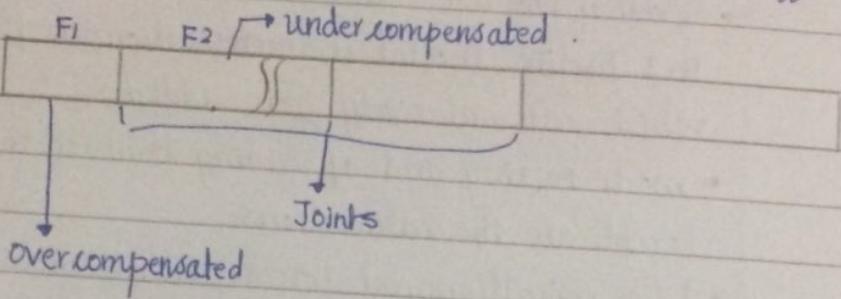
$\lambda_0$  : Slope of the dispersion curve

$\lambda_0$  : zero dispersion wavelength

(ii) For dispersion shifted fibers

$$D(\lambda) = (\lambda - \lambda_0)S_0$$

To make  $D$  even over the given link length, splice different dispersion fibers together



\*  $B_m$ : Modal dispersion bandwidth :

It is the wavelength region over which  $D$  is uniform for a given length.

$$B_m(L) = \frac{B_0}{L^q}$$

where,

$B_m$  → Bandwidth over 1 km of fiber

$0.5 < q < 1$  → loading factor

typically  $q \approx 0.7$

• Bandwidth of fiber connector expressed in terms of optical ↓  
electrical bandwidth

(3dB)

$$\rightarrow f_{3dB} = B_{3dB}$$
$$= \frac{0.44}{t_{FWHM}} = B_m$$

→  $t_{FWHM}$  → Rise time resulting from modal dispersion of  
(full width half max rise time)

$$\left. \begin{aligned} B_{3dB} &= B_{3dB} \\ &= \frac{0.44}{t_{FWHM}} = \frac{0.44}{t_{mod}} = B_m \end{aligned} \right.$$

$$\therefore t_{mod} = \frac{0.44}{B_m} = \frac{0.44 L^q}{B_0}$$

If  $B_m$  is in MHz,  $t_{mod}$  is in nsec,  
then,

$$t_{mod} = \frac{440 L^q}{B_0}$$

Total rise time budget for the given digital link is

$$\rightarrow t_{sys} = \left[ \sum_{i=1}^4 (t_i L^2) \right]^{1/2}$$

$$\begin{aligned} \rightarrow t_{sys} &= [t_{tx}^2 + t_{mod}^2 + t_{GVD}^2 + t_{rx}^2]^{1/2} \\ &= \left[ t_{tx}^2 + \left( \frac{440 L^q}{B_0} \right)^2 + (DL \sigma \lambda)^2 + \left( \frac{350}{B_{rx}} \right)^2 \right]^{1/2} \end{aligned}$$

NOTE:

→ For SM fibers in window 1,  $D = D_{mat}$

→ In windows 2 and 3,  $D$  further gets reduced.

NUMERICAL:

Consider a digital link, which uses LED source with spectral width of 10 nm having NRZ input data, approximate rise time of transmitter is 15 nsec material dispersion related rise time degradation is 6 nsec above the transmitter rise time. Approximate link length is 6 km, with a bandwidth of 25 MHz. Rise time degradation corresponding to receiver system is 14 nsec. Assume  $q = 0.7$  and average modal dispersion rise time is around 3.9 nsec. What is the rise time budget for this digital link and what is the inference?

$$ts_{sgs} = [(15 \text{ nsec})^2 + (21 \text{ nsec})^2 + (13.9 \text{ nsec})^2 + (14 \text{ nsec})^2]^{1/2}$$

$$\approx 30 \text{ nsec}$$

## Inference

Starting with rise time budget, WKT for window 1 digital links maximum limit on rise time degradation must be  $< 35 \text{ nsec}$  (ie 35% of T). Hence given digital link degradation is well within prescribed limits.

 **HOMEWORK**

$$D = 0.07 \text{ nsec/nm-km}$$

$$L = 8 \text{ km}$$

Window 3 region

$$\sigma_x = 2 \text{ nm} \quad \text{for ILD}$$

$$B_0 \approx 50 \text{ MHz}$$

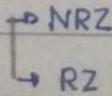
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27 OCT 2018

## TRANSMISSION DISTANCE IN SINGLE MODE FIBERS

Link length depends on

- (a)  $\lambda$  of operation
- (b) Attenuation of fiber
- (c) Type of source at its  $\sigma_\lambda$
- (d) Detector Sensitivity
- (e) Type of Data



- (f) power output source
- (g) GVD
- (h) Datarate

- For SM fibers, GVD is predominant and hence no other dispersion
- $t_{GVD} = 101 L \sigma_\lambda$

Example: SM fiber at 1550nm

$$D_{\text{average}} = 2.5 \text{ psec/nm-km} = 2.5 \times 10^3 \text{ sec/m-km}$$

Compute link length for

(a) RZ data,  $\sigma_\lambda = 3.5 \text{ nm}$  LD source,  $B_{T\max} = 200 \text{ Mbps}$ .  
 $t_{GVD}$  is limited to 35% of bit time.

Solution I

$$t_{GVD} = 35\% \cdot \frac{1}{B_{T\max}}$$

$$= (35\%) \left( \frac{1}{200 \times 10^6} \right) = 1.75 \text{ nsec}$$

$$\text{Bit time } T = \frac{1}{B_{T\max}} = \frac{1}{200 \times 10^6} = 5 \text{ nsec}$$

$$1.75 \text{ nsec} = (2.5 \times 10^{-3}) L (3.5 \times 10^{-9}) \\ \therefore L = 200 \text{ m}$$

II Instead of multimode LD source, if we use SM LD source  $\sigma_\lambda = 1 \text{ nm}$

$$(a) t_{GVD} = 35\% \left( \frac{1}{200 \times 10^6} \right) = 1.75 \text{ nsec}$$

$$L_{RZ} = 700 \text{ m}$$

$$(b) t_{GVD} = 1.4 \text{ nsec}$$

$$L_{NRZ} = 560 \text{ m}$$

CONCLUSIONS :-

- (i) As  $\sigma_\lambda \downarrow$ ,  $L \uparrow$  for both RZ and NRZ data
- (ii) For RZ and NRZ having smaller link lengths, larger data rate is possible because of reduced GVD.



: If  $D$  is reduced by 10 fold, find the improvement in ' $L$ '

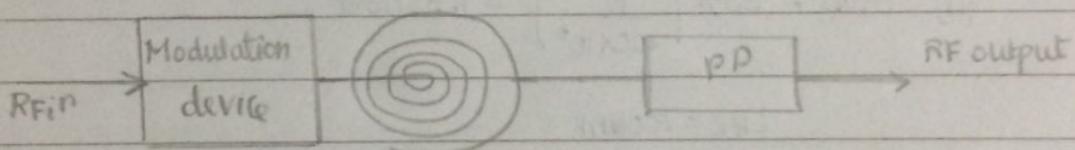
## MWP : MICROWAVE PHOTONICS :- (MICRO PHOTONS)

- This is inter disciplinary which integrates microwaves and photonics.
- Using photonic crystals, we can increase & enhance microwave systems based on SWAP system.  
↳ Size, Weight And Power
- Improvements wrt
  - a. Bandwidth
  - b. Range (Dynamic Range)
  - c. Reducing power requirements
  - d. Reduction in IL (Insertion loss)
  - e. Reflection, impedance matching requirement can be eliminated.

FRIDAY

2/11/2018

### HEART OF MICROWAVE PHOTONICS IN THE MICROWAVE LINK



### FUNCTIONALITIES :

#### i. TIME DELAY :

- \* Rise time constraints
- \* Time delay of active devices

#### ii. PHASE SHIFT :

- \* Phase compensation for long distance communication to preserve mode patterns.

\* micro active components in the circuit introduces unwanted phase change

iii. FILTERING OF UNWANTED FOR COMPONENTS

iv. FREQUENCY CONVERSIONS

Microwave range to optical range conversions and vice versa.

v. MODULATION FORMATS FOR TRANSMISSION

Most of the links use IMDD (Intensity Modulation Direct Detection)

\* Modulation is of two types

(a) DIRECT : Information signal is converted to optical signal and is modulated using an optical carrier (MM)

(b) INDIRECT : Modulation is done at microwave frequency and then translation is done.

CW → Continuous wave modulation

EOM → Electric optical modulators are used.

\* Other advantages :

- Constant attenuation over a given window
- Large dynamic range (increased tunability)
- Immune to EMI/RFI

\* PARAMETERS

i) link gain

ii) Dynamic range (SFDR → Spurious Frequency Dynamic Range)

iii) NF

iv) Fidelity and Sensitivity

## APPLICATIONS OF MICROWAVE PHOTONICS

- GHz - THz frequency generators / function generators
- HSWN : High Speed Wireless Networks
- WSN : Wireless Sensor Networks used in IoT
- Radar and Satellite Communication
- Cellular mobile system
- CATV / HDTV
- Distributed and multiple antenna system etc. MIMO
- OSP : Optical Signal processing
- Optical Computing
- Medical imaging and endoscopy applications

## MODAL NOISE

- Various discontinuities in the connecting medium alters the modal patterns
- This happens in
  - i) couplers / connectors / jumpers
  - ii) splices
- Due to changes in the modal pattern, mean optical power level reduces

which affects Quantum limit of detection

- Variation in power levels create variations in CNR, Pe and BER performances and also increases power penalties

Main reason for increased power penalties are

- (a) Modal noise
- (b) Laser chirping
- (c) Spectral broadening effects
- (d) Mode partition noise effects.

→ Modal noise generally occurs in coherent single mode lasers when signal is coupled to multimode fibers. (ie modal compatibility between two)

→ Not important if Bit Rate  $B_T$  for 100Mbps upto 400Mbps  
But critical if  $B_T > 400\text{Mbps}$ .

→ Frequency and wavelength variations of the source.

→ Mechanical vibrations

3 Nov. 2018

Qn

Modal noise depends on BER performance

Example : APD is the detector  $\lambda = 1200\text{nm}$

$$B_T = 280\text{Mbps}$$

If 'M' is the number of spectral components (speckles) incident on APD  
Then if  $M_1 = 2910$  (very large for dominant LD model)  
then  $\text{BER} = 10^{-6}$  no power penalty required or no modal losses detected

$$\frac{M}{M_{APD}} = 50 \text{ (Reduced speckles)}$$

To achieve  $\text{BER} = 10^{-6}$ , we need 1dB additional power penalty

Similarly

$$M = 20$$

to achieve BER  $10^{-6}$  we need 2dB additional power penalty.

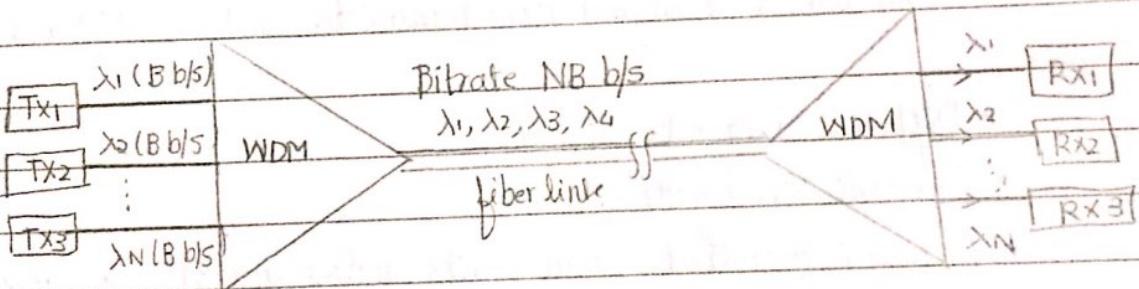
### REMEDIAL MEASURES :

1. Use non coherent source like LED where MN is completely avoided.
2. Use LD source with more number of longitudinal spectral components.
3. As far as possible avoid mechanical vibrations.
4. Keep mode compatibility between source and fibre & use large numerical aperture fiber which generally supports MM operation where 'M' will be sufficiently large.
5. Use all SM source fiber etc such that IM dispersions can be avoided.

COS : Demonstrate awareness about emerging trends in the field of optical communication and networking.

#### WDM PRINCIPLES :

- It is basically a FDM system.
- Many different wavelength signals are simultaneously transmitted through channel of fiber.
- WDM is generally implemented in W2 and W3 regions because
  - i. Attenuation is small
  - ii. Using dispersion shifted or flattened fiber, minimum dispersion can be obtained .
- Proper guard band shaping is required to overcome inter channel interference .



#### WDM FEATURES ARE :

##### (i) CAPACITY UPGRADE :

- point to point link capacity can increase many folds which increases spe efficiency ( $\eta$ )
- Number of wavelengths can be multiplexed depending on data rate of individual channel .

Example:

→ If  $B_f = 2.5 \text{ Gbps}$

$N = 32$

→ \*  $B_f = 10 \text{ Gbps}$

$8 < N < 10$

→ \* according to ITU specifications

$B_f$  of fiber = 2 Tbps (theoretical)

$B_f$  of fiber available = 80 Gbps (practical)

+

→ Due to various constraints like E/O conversion at transmitter  
O/E conversion at receiver.

using number of electrical circuits.

#### (ii) IMPROVED TRANSPARENCY:

Any format of signal from transmitter can be multiplexed.

→ Different data rate signals.

→ Different wavelength signals

→ Different formatted signals like analog, digital, SX, HDX, FDX,  
and fast etc.

#### (iii) FLEXIBILITY OF WAVELENGTH ROUTING.

Using optical routing devices like routers, network switching  
wavelength addressing is possible

## WAVELENGTH SWITCHING :

- Reconfiguration of optical layer possible
- Unwanted delays in switching can be reduced.
- Switching speed can be increased
- Key components are
  - Add/drop MUX
  - Optical Cross Connect
  - Wavelength converters

Some more devices required to implement WDM are

- Optical power splitters or combiners
- Tunable optical sources
- Tunable optical wavelength filters
- Optical amplifiers
- Directional couplers.

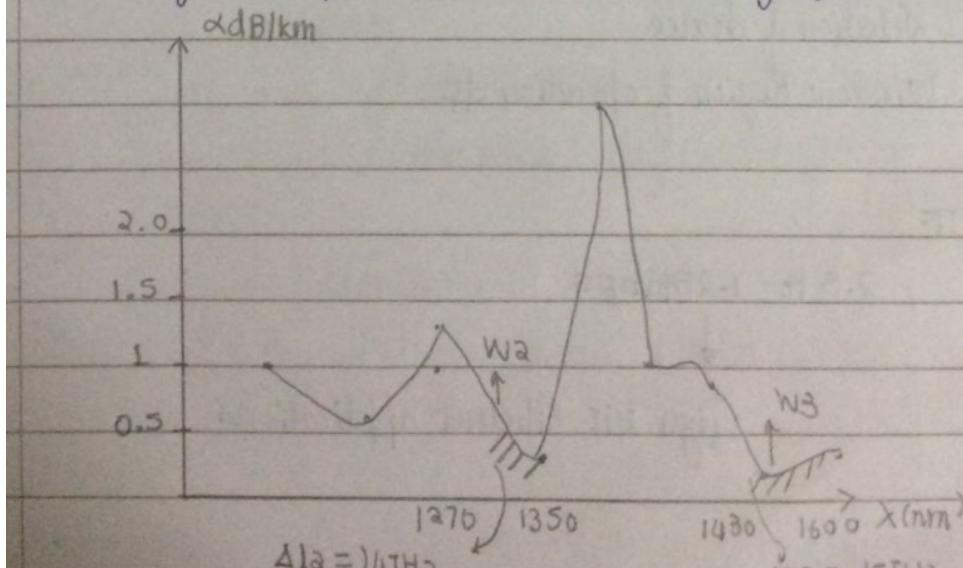
## OPERATION PRINCIPLES OF WDM :

### (i) OPTICAL SAWTOOTH SENSORS

- DFB layer
- $\sigma \lambda = 10^{-3} \text{ nm}$
- Guard Band spacing 0.4 to 1.6 nm

Considering drifts in peak emission wave

- length, temperature/bias variations, aging etc



- While selecting the window region of operation consider minimum fiber attenuation and also use dispersion shifted / flattened fibers to keep both losses at minimum level.
- Total optical bandwidth available in W2 and W3 is 29 THz ( $\approx$  30 THz)

$$\Delta v = \left( \frac{c}{\lambda^2} \right) |\Delta \lambda|$$

↳ RMS spectral width of source.  
↳ Optical Bandwidth

- Channel shaping depends on the operating window  
(x) 1552 nm : Shaping can be 100 GHz (0.8 nm)

Both attenuation and shaping can be 50 GHz (0.4 nm)

Dispersion loss are minimum shaping can be 200 GHz (0.6 nm)

- Normally if shaping is 100 to 200 GHz it is normal WDM if shaping is 50 GHz it is DWDM.

### WDM STANDARDS

ITU under its G.615 charter has given specifications for WDM  
This includes

- a. Bit Rate (x) channel shaping
- b. Selection of Source
- c. Window Region of operation etc

### BIT RATE

2.5 to 1.259 Gbps



Giga bit Ethernet applications

## Unidirectional and Bidirectional operation

Link length

40km and 80km

Number of WDM channels

1271-1611

Channel Spreading Shaping

20nm

- Guide operation of WDM is possible (similar to frequency range system)  
to improve channel capacity and spectral intensity, shaping can be  
100GHz, 50GHz, 20GHz
  - ↳ overlay

12.5 and 6.25GHz

↳ underlay

## CLASSIFICATIONS

WDM

- Normal

→  $W_1 \& W_2$  with Bandwidth  $\approx 20\text{THz}$

- Coarse

→ provides 16 channels according across multiple windows  
additionally using silica fibers.

- Dense WDM

→ User C Band (1530-1565nm)

→ Conventionally we use EDFA for WDM with improved gain and narrow bandwidth, nowadays we use Raman amplifier.



Operates in 'L' band region

(1565 to 1625 nm)



W3

Hence capacity of WDM system can be doubled.

⇒ APPLICATIONS OF WDM:

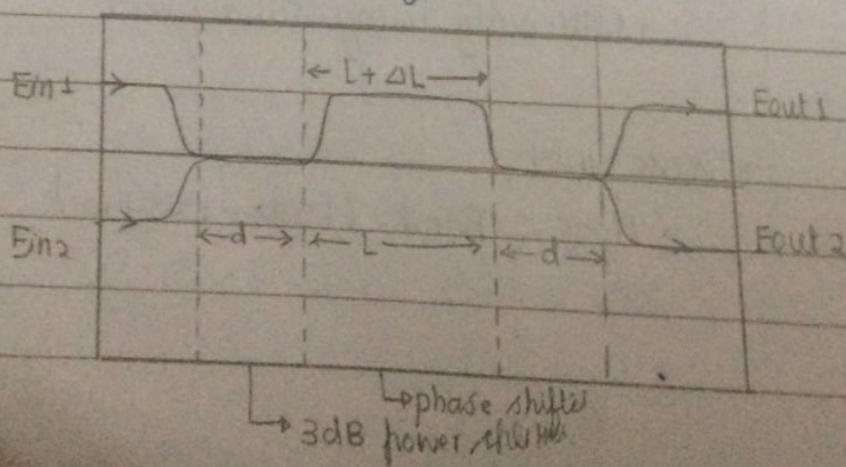
- Ethernet LAN
- 
- Storage area network
- 
- CATV
- 
- Enterprises network.

Saturday

10/11/2018

MACH - ZENDER INTERFEROMETER MUX

Used to construct wavelength defendant Mux.



MZI consists of 3 stages

- two 3dB couplers for power splitting
- a phase shifter to introduce the phase shift between the waves.
- Extra length  $\Delta L$  in one of the paths in phase shifter, introduces a phase shift such that drive power combining at the output, the two powers can combine constructively or destructively.
- Fiber material and bending losses are neglected.

→ Propagation of passive optical components are expressed in terms of propagation matrix 'M'.

→ For coupler length 'd',

$$M_{\text{coupler}} = \begin{bmatrix} \cos kd & j \sin kd \\ j \sin kd & \cos kd \end{bmatrix}$$

$k$  = coupling coefficient

→ For a 3dB coupler (which splits the input power into two equal output powers)

$$\rightarrow 2kd = \frac{\pi}{2}$$

$$\rightarrow kd = \frac{\pi}{4}$$

$$M_{\text{coupler}} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & j \\ j & 1 \end{bmatrix}$$

• Phase difference or shift introduced between 2 lines is

$$\Delta\phi = \frac{2\pi n_1 L}{\lambda} = 2\pi n_2 (L + \Delta L)$$

→  $n_1, n_2$  are R.I.s of the two lines

If two lines/waveguides are small same  $\eta_1 = \eta_2 = \eta_{\text{eff}}$

$$\Delta\phi = k\Delta L$$

where

$$k = \frac{2\pi\eta_{\text{eff}}}{\lambda}$$

- $\Delta\phi$  corresponding to 'M' matrix is

$$M_{\Delta\phi} = \begin{bmatrix} e^{jk\frac{\Delta L}{2}} & 0 \\ 0 & e^{-jk\frac{\Delta L}{2}} \end{bmatrix}$$

- Output and input of MZI are related by 'M' matrix

$$\begin{bmatrix} E_{\text{out},1} \\ E_{\text{out},2} \end{bmatrix} = M \begin{bmatrix} E_{\text{in},1} \\ E_{\text{in},2} \end{bmatrix}$$

where

$$M = M_{\text{coupler}} M_{\Delta\phi}$$

$$\therefore M = j \begin{bmatrix} \sin\left(\frac{k\Delta L}{2}\right) & \cos\left(\frac{k\Delta L}{2}\right) \\ \cos\left(\frac{k\Delta L}{2}\right) & -\sin\left(\frac{k\Delta L}{2}\right) \end{bmatrix}$$

• If  $E_{\text{in},1}$  is at  $\lambda_1$

$E_{\text{in},2}$  is at  $\lambda_2$

$$\therefore E_{\text{out},1} = j \left[ E_{\text{in},1}(\lambda_1) \sin\left(\frac{k\Delta L}{2}\right) + E_{\text{in},2}(\lambda_2) \cos\left(\frac{k\Delta L}{2}\right) \right]$$

Similarly

$$E_{\text{out},2} = j \left[ E_{\text{in},1}(\lambda_1) \cos\left(\frac{k\Delta L}{2}\right) - E_{\text{in},2}(\lambda_2) \sin\left(\frac{k\Delta L}{2}\right) \right]$$

where  $k_j = \frac{2\pi\eta_{\text{eff}}}{\lambda_j}$   $j = 1, 2$

Optical power at outputs are given by

$$\left. \begin{aligned} P_{out,1} &= |E_{out,1}|^2 \\ P_{out,2} &= |E_{out,2}|^2 \end{aligned} \right\} \text{normalized parameters.}$$

Similarly

- Cross products are neglected, since these wavelengths will be outside the PB of individual fiber/waveguides.

$$z = |z| e^{j\phi}$$

$\downarrow$  magnitude       $\rightarrow$  phase.

$$\therefore P_{out,1} = \sin^2\left(\frac{k_1 \Delta L}{2}\right) P_{in,1} + \cos^2\left(\frac{k_2 \Delta L}{2}\right) P_{in,2}$$

$$P_{out,1} = \cos^2\left(\frac{k_1 \Delta L}{2}\right) P_{in,1} + \sin^2\left(\frac{k_2 \Delta L}{2}\right) P_{in,2}$$

- Power output from a given point is based on relative phase difference, i.e.

$$\text{if } \frac{k_1 \Delta L}{2} = \pi, \quad \frac{k_2 \Delta L}{2} = \frac{\pi}{2}$$

then output from port 2 is obtained similarly.

Optical power at outputs are given by

$$\left. \begin{aligned} P_{out,1} &= |E_{out,1}|^2 \\ P_{out,2} &= |E_{out,2}|^2 \end{aligned} \right\} \text{normalized parameters.}$$

Similarly

- Gross products are neglected, since most of the wavelengths will be outside the PB of individual fiber/waveguides.

$$Z = |Z| e^{j\phi} \quad \begin{matrix} \downarrow \\ \text{magnitude} \end{matrix} \quad \begin{matrix} \rightarrow \\ \text{phase.} \end{matrix}$$

$$\therefore P_{out,1} = \sin^2\left(\frac{k_1 \Delta L}{2}\right) P_{in,1} + \cos^2\left(\frac{k_2 \Delta L}{2}\right) P_{in,2}$$

$$P_{out,2} = \cos^2\left(\frac{k_1 \Delta L}{2}\right) P_{in,1} + \sin^2\left(\frac{k_2 \Delta L}{2}\right) P_{in,2}$$

- Power output from a given point is based on relative phase difference is

$$\text{if } \frac{k_1 \Delta L}{2} = \pi, \quad \frac{k_2 \Delta L}{2} = \frac{\pi}{2}$$

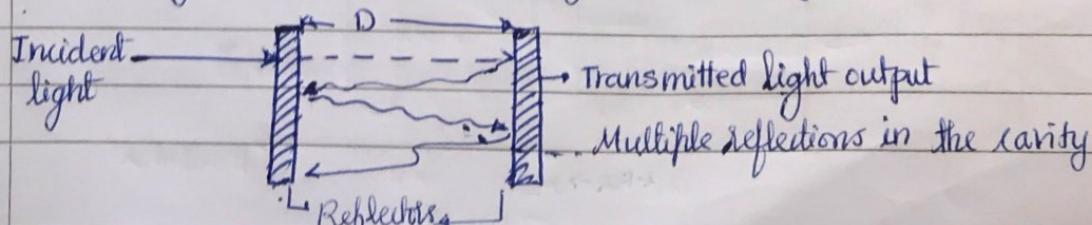
then output from port 2 is obtained similarly.

Wednesday .

14/11/2018

### THIN FILM FILTER

- These are band pass filters used to select particular ' $\lambda$ ' from band of ' $\lambda$ s'
- They are based on "Fabry - Perot Cavity"



- This filter selects a particular
  - $\lambda$  based on cavity resonance
  - Round trip distance of reflected waves is generally integer multiple of  $\lambda$  (ie  $n\lambda$ ) where  $n = 1, 2, 3 \dots$
- This is a bilateral device, light waves gets added if they are in phase.

### FILTER PARAMETERS

#### (a) (T) Transmission Parameters )

"Ability to select a particular wave length from a given set of wavelengths"

$$T = \left[ \frac{1 + 4R \sin^2(\frac{\phi}{2})}{(1-R)^2} \right]^{-1}$$

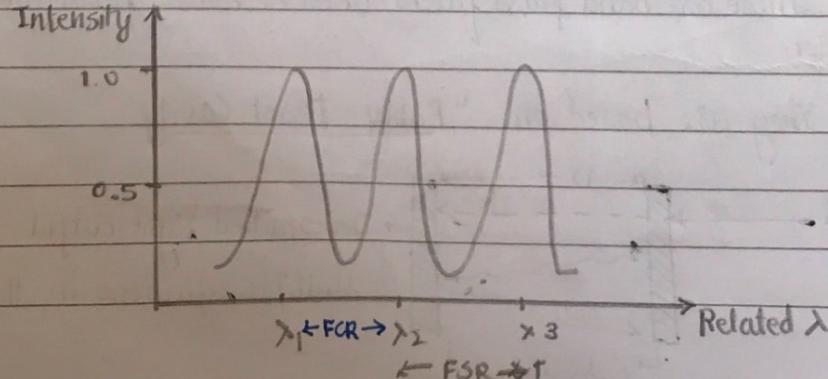
where,

- R → Reflectivity / Reflectivity of the mirrors
- $\phi$  → Round trip delay of the waves related to optical fd  $f = \frac{c}{2n}$
- $\phi = \left(\frac{2\pi}{\lambda}\right) (2\eta D \cos \theta)$

→  $\eta$  = RI of the medium

→  $\theta$  = angle of normal to the incoming light beam

- Filter exhibits multiple resonance and is hence called multi resonance filter.
- Number of passbands produced depends on the condition  $N = 2\eta D$



- As  $R \uparrow$ , the Band pass filter characteristics becomes more selective or sharper.

(b) FSR ( FREE SPECTRAL RANGE )

This defines the spacing between the resonant curves or inter  $\lambda$  spacing. Very important in WDM application.

$$FSR = \frac{\lambda^2}{2\eta D}$$

(c) FWHM ( Full Width Half Maximum )

This defines the passband width of the filter and also gives information regarding number of  $\lambda^c$  that can be accommodated in the P-R.

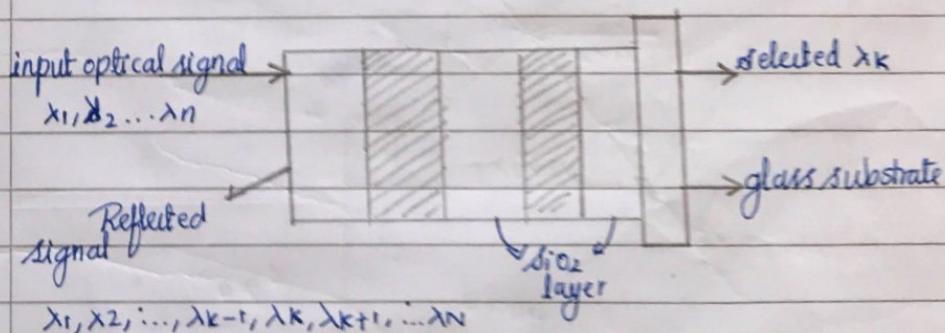
$$\text{Finesse} = \frac{FSR}{FWHM}$$

This is also called "Finesse" of the filter

$$F = \frac{\pi NR}{RR}$$

PRACTICAL CONSIDERATION :

Implemented by depositing multilayer thin coatings of alternatively low RI or high RI regions on a glass substrate.



- Selectivity depends on number of layers. As number of layers  $\uparrow$  it improves selectivity but PMD also increases.

## Specifications :

- Two types of bandwidths are possible.
- Narrow Band

$$\text{Bandwidth} = 50 \text{ GHz}$$

→ smaller  $\lambda$  spacing

- Wide Band

$$\text{Bandwidth} = 80 \text{ GHz}$$

→ wider  $\lambda$  spacing.

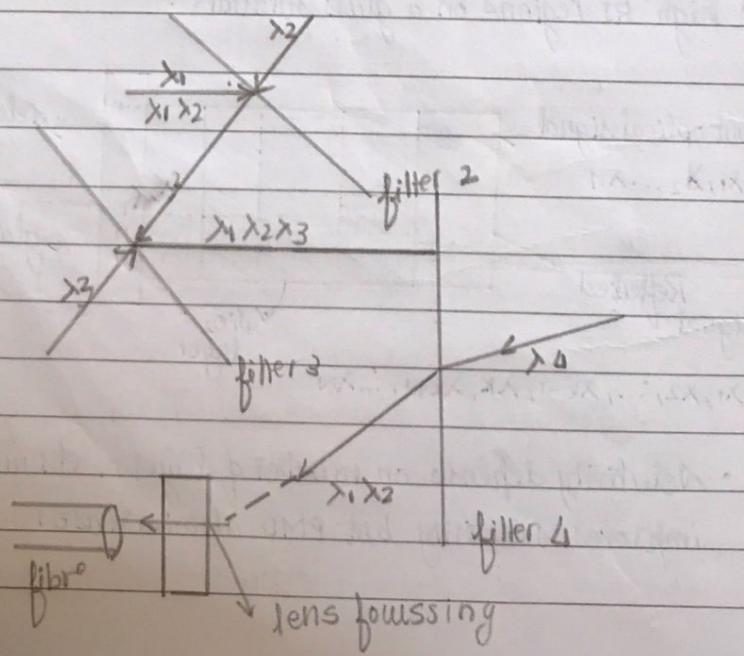
## Parameter :

- $I \cdot L < 3.5 \text{ dB}$
- Chromatic dispersion  $< 50 \text{ ps/nm}$
- PMD loss  $< 0.2 \text{ psec}$
- Isolation between successive wavelengths is  $> 25 \text{ dB}$
- Optical return loss  $> 45 \text{ dB}$
- Polarization dependent loss  $< 0.2 \text{ dB}$

## ⇒ APPLICATIONS OF TFF :

Wavelength of the MUX/ DE-MUX for separately combining 'N'  $\lambda_s$  we require  $(N-1)$  filters.

## Example :



NOTE: TO IMPLEMENT A DEMUX JUST REVERSE THE ARROWS.

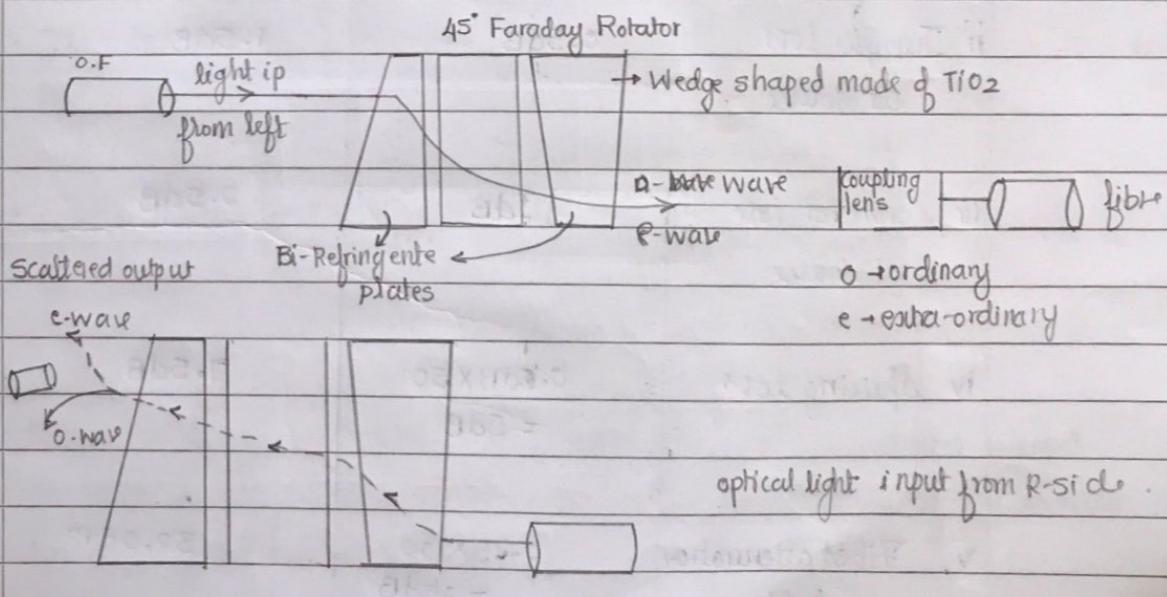
### ISOLATORS AND CIRCULATORS:

Their working is based on polarization and are hence called  
"POLARISATION SENSITIVE DEVICES"

- A light wave is a combination of parallel and perpendicular vibrations of wave which forms two orthogonal plane of polarisation states.
- Faraday rotator is a device which rotates SOP (state of polarisation) by a specific angular waves.
- Wollaston polarizer made of bi-refringent materials splits incident light to parallel and perpendicular waves.
- Half Wave plate polarizer (HPP) rotates SOP clockwise by  $45^\circ$  when signal travels L to R or counter clockwise by  $45^\circ$  when signal travels from R to L.

#### i) ISOLATOR

- Allows signal only in one direction used to isolate and protect I/O sources.



### Specifications

- Central wavelength around which isolator operates : 1350 to 1550nm
- Peak isolation loss loss = 40dB
- J-L < 0.5dB (loss informed direction or coupling loss)
- PD loss < 0.1dB
- PMD < 0.25 psec.

Friday

23 Nov 2018

Solution to test III pattern :-

$$\begin{aligned}
 \text{Available power at the output of TLD} &= P_0 = 4\text{mW} \times \eta_g \\
 &\approx 1\text{mW} \times 0.8 \\
 &= 0.8\text{mW} \\
 &= 5.05\text{dB}
 \end{aligned}$$

Spread sheet for power budget

COMPONENT	LOSSES	MARGIN
i. Source-Fiber coupling loss	1dB	1dB
ii. Jumper loss at source	0.5dB	1.5dB
iii. Connected loss at source	1dB	2.5dB
iv. Splicing loss	$0.1\text{dB} \times 50$ = 5dB	7.5dB
v. Fiber attenuation loss	$0.25 \times 100$ = 25dB	32.5dB

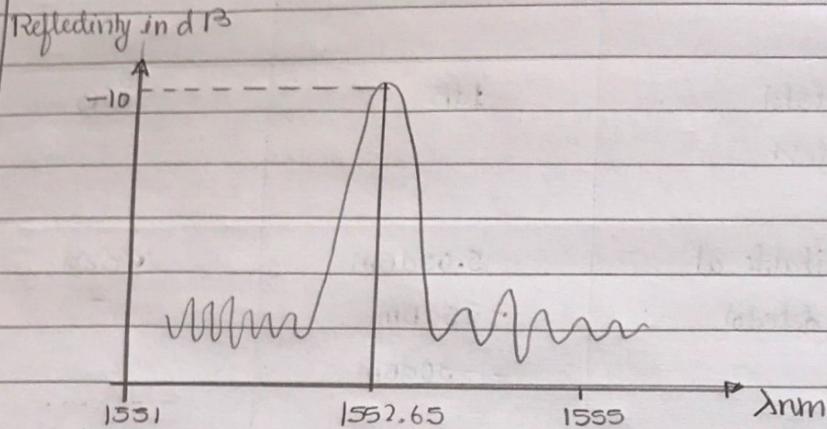
Connected loss at receiver	1dB	33.5dB
Jumper loss at receiver	0.5dB	34dB
fiber to detector coupling loss	1dB	35dB
power available at input of detector	5.05dBm - 35dBm = -30dBm	PdBA
power margin available	-30dB - (-40dBm) = 10dB	

power available at the input detector is 10dB more than the required power, detection is possible

### TOF : Tunable optic fibers

- They are the key components of WDM and DWDM networks used to select the channels at Rx
- Fabricated by (MEMS) or (Bragg's grating) methods
  - Wideband BPF
  - Narrowband BPF
- MEMS technology used often epitaxially grown Semiconductor mirror facets on a single substrate, where using actuators one of the mirrors mirror facet can be varied.

- This varies  $B$  and hence  $\lambda$  can be varied.
- Bragg's grating filters are reflective type using reflective materials, unwanted wavelengths are rejected and only desired  $\lambda$  can be selected.



- BGF use stretching and relaxing process of sharing between the periodic RI profiles, that varies RI and hence  $\lambda$  can be varied.
- Alloy glass is used for fabrication.

If  $\lambda_c$  is centre wavelength of grating then,

$$\lambda_c = 2n_{eff} \bullet \lambda$$

↳ period of grating index variations.

If grating is stretched

$$\Delta\lambda = 2n_{eff} \Delta \lambda$$

- Stretching and contraction can be done by
  - Thermomechanical method
  - piezo electric method
  - stepper motor

- TOF are used in II and III window regions  
(SC and L band)

### SPECIFICATIONS

- Tunable range : 1nm - 100nm ( $\Delta\lambda$ )
- channel spacing (FSR)  $\approx$  150nm • typical
- Selectivity of channel width = 0.2nm to 50nm
  - ↓ narrow band
  - ↑ wide band.
- $I \cdot L < 3\text{dB}$  in the tunable range
- Tuning speed (TS) = 10nm / 1sec (typical)

### DISADVANTAGE

- large bias voltage = 40V

### APPLICATIONS

- Gain tilt monitoring of components in optical amplifier (OA)
- Optical performance monitoring of survivable optical networks
- Receiver of DWDM and WDM networks
- Suppression of ASE (Amplifier Spontaneous noise in EDFA)

Saturday

24/11/2018

### Optical networks :

These networks came into existence because of the requirement in

- |                                    |                 |                              |
|------------------------------------|-----------------|------------------------------|
| → commerce                         | - Banks         | → national and international |
| → <del>bankers</del> finance       | - Markets/Malls | security                     |
| → education                        |                 | entertainment                |
| → <del>scientists</del> scientific |                 |                              |
| → Research                         |                 |                              |
| → Medical                          |                 |                              |
| → Healthcare                       |                 |                              |

## Infrastructure requirements

- High end terminal equipments / computers / peripherals.
- large storage devices
- high speed channels
- large bandwidth
- BER performance
- low interference / noise.

## Two types of optical networks

### i) SONET

- Synchronous optical networks
- Standard by ANSI used in North America

### ii) SDH

- Synchronous Digital Hierarchy
- ITU
- Rest of the globe

SDH formats are based on

#### (a) Speed

SDH level	Actual line rate in Mbps	Common or commercial rate in Mbps
STM-1	155.52	155
STM-2	622.08	622
-16	2488.32	2.5 Gbps
-64	9953.28	10 Gbps
-256	39813.12	40 Gbps

1 /

based on link by that and wavelength of operation

Transmission distance / $\lambda$	fiber type	SDH terminology
$\leq 2 \text{ km}$	G.652	Interc office (I-1)
upto 15km - 1310nm	G.653	Short haul (S-2)
upto 15km - 1550nm	G.653	Short haul (S-2)
upto 40km - 1310nm	G.655	long haul (L-3)
upto 80km - 1550nm	G.655	long haul (L-3)
upto 120km - 1550nm	G.655	very long haul (V-4)
upto 160km - 1550nm	G.655	ultra long haul (U-5)

- G.652 to G.655 optical fiber cables
    - GRIN-MM : non dispersion shifted
    - GRIN-SM : dispersion shifted
    - operated at 1310nm and 1550nm windows.
- ↴    ↘  
 attenuation min                              dispersion minimum .

— Attenuation criteria (dB/km)

0.5 to 3.5 at 1310nm → short Haul

0.2 to 0.35 at 1510nm → long haul, very long haul, ultralong haul

→ BER performance  
for

$$B_{T\max} = 1 \text{ Gbps} \quad BER \approx 10^{-10}$$

$$B_T > 1 \text{ Gbps} \quad BER \approx 10^{-12}$$

→ Sources :

• Monomode or Singlemode ILD<sup>3</sup> & DFBLD<sup>3</sup>

•  $\sigma_\lambda \rightarrow \text{rms}$  0.1 to 0.2nm in DWDM

2nm to 20nm in WDM

- In SH communication multilayered LEDs ie DH structure are used.

### Detectors:

- APDs with  $M=10$  for long haul, very long haul and ultra long haul communication
- $M \approx 100$  for short haul
- low noise devices
  - Bulk current noise
  - Surface leakage noise.