

An Intro:

→ \exists many real life practical problems for which no efficient algorithm is known. [whose intrinsic difficulty no one has yet managed to prove]

E.g.: TSP, Optimal graph coloring, the knapsack problem, Hamiltonian cycles, integer programming, Finding the longest simple path in a graph, Satisfying a boolean formula.

To prove: An efficient algorithm to solve any one of the problems listed above would automatically provide us with efficient algorithms for all of them!

→ They are all of similar complexity [but we don't know whether they are easy or hard to solve]

→ New problem → if ur not able to come up with an efficient solution

convincing evidence that at the moment no one else knows how to efficiently solve the problem.

← { If u can show that it is computationally equivalent to one of these problems

* E.g. of problems that are hard to solve, but the validity of a solution can be verified efficiently.

* Factorization:

- Given a composite number
- hard to find a non-trivial divisor
- but any purported divisor can be verified easily.

* Hamiltonian cycle :

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- Given an undirected graph $G = (V, E)$, to find a path that starts with some node, visits each node exactly once and returns to the starting node.
- The graph is 'Hamiltonian' if such a cycle exists.

P and NP

→ An algorithm is efficient if \exists a polynomial $p(n)$ such that the algorithm can solve any instance of size 'n' in a time $O(p(n))$. Such algorithms are called 'polynomial-time' algorithms.

Decision Problems:

- the answer to these problems is either yes/no
- Can be thought of as defining a set X of instances on which the correct answer is "yes"
- yes-instances, any other instance is a no-instance.
- Correct algorithm that solves a decision problem accepts "yes-instances" and rejects "no-instances"

e.g: Find a Hamiltonian cycle in G

- not a decision problem.
- Is graph G Hamiltonian?
- a decision problem.

P :

P is the class of decision problems that can be solved in polynomial time (or) that can be solved by a polynomial-time algorithm.

{ Probabilistic algorithms are not covered by this definition }

$X \rightarrow$ a decision problem

$Q \rightarrow$ a set - the proof space for X

Proof system for $X \rightarrow$ a set F of pairs $\langle x, q \rangle$.

$$(\forall x \in X), (\exists q \in Q) \mid \langle x, q \rangle \in F$$

\rightarrow no such q must exist when $x \notin X$.

$$(\forall x \notin X) (\forall q \in Q) [\langle x, q \rangle \notin F]$$

For. e.g:

① If $X \rightarrow$ set of all Hamiltonian graphs,
 $Q \rightarrow$ set of sequences of graph nodes and
 define $\langle G, \sigma \rangle \in F$ iff the sequence σ
 specifies a Hamiltonian cycle in G .

② If $X \rightarrow$ set of all composite numbers,
 $Q = \mathbb{N} \rightarrow$ proof space.
 $F = \{ \langle n, q \rangle \mid 1 < q < n \text{ and } q \text{ divides } n \}$
 as the proof system

(or)

$$F' = \{ \langle n, q \rangle \mid 1 < q < n \text{ and } \gcd(n, q) \neq 1 \}$$

Class NP \rightarrow corresponds to the decision problems that
 have an efficient proof system (i.e) each
 yes-instance must have at least one succinct
 certificate, whose validity can be
 verified quickly.

NP \rightarrow stands for "non-deterministic polynomial time"

- (i) Any polynomial-time solvable problem is also in NP
- (ii) We don't know how to prove the existence of
 even a single problem in NP that can't be
 solved in polynomial time.
- (iii) NP-definition is asymmetric.
 (i.e.) succinct certificates for yes-instances
 but no such requirement for
 no-instances. (e.g): non-Hamiltonian
 graph? (not in NP)

NP- Definition:

④

- NP is the class of decision problems X that admit a proof system $F \subseteq X \times Q$ such that \exists a polynomial $p(n)$ and a polynomial-time algorithm 'A' such that:

- o For all $x \in X$ \exists a $q \in Q$ such that $(x, q) \in F$ and moreover $|q| = O(p(n))$ where 'n' is the size of x .
- o For all pairs $\langle x, q \rangle$, algorithm 'A' can verify whether or not $\langle x, q \rangle \in F$. In other words $F \in P$.

Theorem: $P \subseteq NP$.

Proof:

- No evidence / proof needed, as the decision problem can be handled by ourselves.
- let X - an arbitrary decision problem
 $X \in P$
- let $Q = \{0\}$ - a trivial proof space.

Define: $F = \{ \langle x, 0 \rangle \mid x \in X \}$

- \therefore An yes-instance admits one succinct certificate '0' and no- instances have no certificates at all.
- It suffices to verify that $x \in X$ and $q=0$ to establish that $(x, q) \in F$.
- can be done in polynomial time because we assumed that $X \in P$.

IS $P = NP$?

(Open question)

Conjecture $P \neq NP$

↑
We will study the consequences of this!!!

Polynomial Reductions

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Defn:

Let A and B be two problems. We say that A is polynomially Turing reducible to B if there exists an algorithm for solving A in a time that would be polynomial if we could solve arbitrary instances of problem B at unit cost. This is denoted $A \leq_T^P B$. When $A \leq_T^P B$ and $B \leq_T^P A$ both hold, we say that A and B are polynomially Turing equivalent and we write $A \equiv_T^P B$.

\Rightarrow If $A \leq_T^P B$ and $B \leq_T^P C$ then $A \leq_T^P C$.

HAM \Rightarrow problem of finding a Hamiltonian cycle in a graph. (if one exists)

HAMD \Rightarrow Deciding whether or not a graph is Hamiltonian.

Theorem: $HAM \equiv_T^P HAMD$.

Proof: To prove: $HAMD \leq_T^P HAM$.

function $HAMD(n: \text{graph})$ {

$\sigma \leftarrow HAM(n)$

 if σ defines a Hamiltonian cycle in n

 return true

 else

 return false

}

\uparrow

Clear that HAMD takes polynomial time provided we count the call on HAM at unit cost.

To prove: $HAM \leq_T^P HAMD$

- (i.e.) we find a Hamiltonian cycle assuming we know how to decide if such cycles exist.

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function HAM( $G = \langle N, A \rangle$ ) {
  if HAMD( $G$ ) == false
    return "No solution!"
  for each  $e \in A$  do {
    if (HAMD( $\langle N, A \setminus \{e\} \rangle$ ))
       $A \leftarrow A \setminus \{e\}$ 
  }
}

```

$\sigma \leftarrow$ sequence of nodes obtained by following the unique cycle in G .

return σ

- Clearly HAM takes polynomial time if we count each call to HAMD at unit cost.

- $\text{HAM} \leq_T^P \text{HAMD}$
 - $\text{HAMD} \leq_T^P \text{HAM}$ } $\Rightarrow \text{HAM} \equiv_T^P \text{HAMD}$.

Hence Proved.

Theorem: Consider two problems A and B.
 If $A \leq_T^P B$ and if B can be solved in polynomial time, then A can also be solved in polynomial time.

Proof: A and B $\Rightarrow A \leq_T^P B$
 let $p(n) \rightarrow$ polynomial and the reduction algorithm for problem 'A' never requires the solution of more than $p(n)$ instances of problem 'B', such that none of those instances are of size larger than $p(n)$

let $\text{Solve}(B) \leftarrow O(t(n))$ algorithm for solving 'B' & $t(n) \rightarrow$ nondecreasing function

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To Solve: 'A'

→ Run the reduction algorithm for 'A'
calling $SolveB()$ whenever necessary.

Time spent in $SolveB = O(p(n) t(p(n)))$

∴ A can be solved in $= O(p(n) t(p(n)) + q(n))$
 $q(n) \rightarrow$ time spent outside $SolveB()$ calls.

If $t(n)$ is a polynomial then

$O(p(n) t(p(n)) + q(n))$ is also a polynomial,
as sums, products and compositions of
polynomials are polynomials.

Hence proved.

→ We know from $HAM \equiv_P^T HAM_D$ that, a polynomial-
time algorithm exists to find Hamiltonian cycles
iff a polynomial time algorithm exists to decide
if a graph is Hamiltonian.

(ie) $HAM_D \in P$ [it is equivalent to saying
that]

→ Typical of many interesting problems which are
polynomially equivalent to a similar decision
problem. \Rightarrow 'decision reducible'.

Defn: Let X and Y be two decision problems
defined on sets of instances I and J
respectively. Problem X is polynomially
many-to-one reducible to problem Y if \exists a
fn. $f: I \rightarrow J$ computable in polynomial time
such that $x \in X$ iff $f(x) \in Y \forall x \in I$ of
problem X . This is denoted as $X \leq_P Y$
and the function f is called the
'reduction function'.

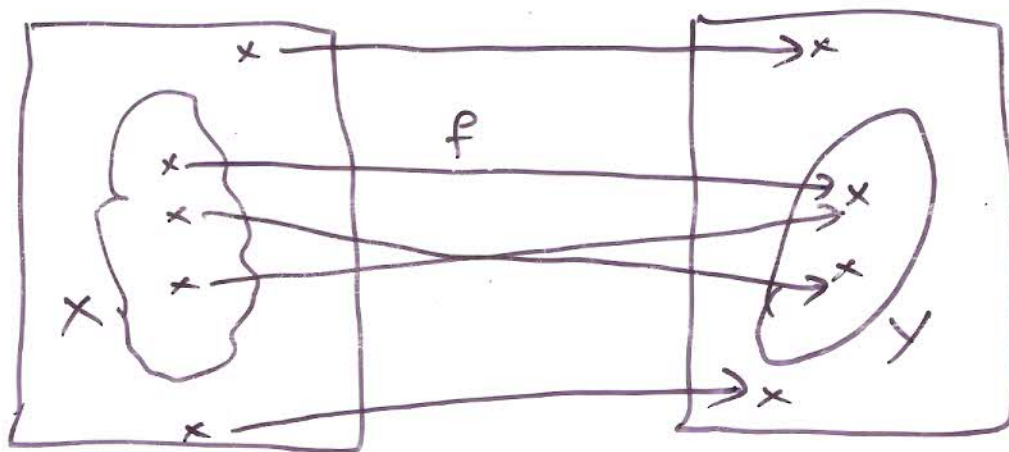
When $X \leq_m^P Y$ and $Y \leq_m^P X$ both hold, we say that X and Y are polynomially many-to-one equivalent and we write $X \equiv_m^P Y$. ⑧

(i.e.) The reduction function maps all yes-instances of X onto yes-instances of problem Y and all no-instances of X onto no-instances of Y .

$f \rightarrow$ to be computable in polynomial time
 $|f(x)|$ must be bounded above by some polynomial in the size of $x \forall x \in I$.

\rightarrow Useful in establishing Turing Reductions:

To decide if $x \in X$, we compute $y = f(x)$ and ask if $y \in Y$.



Many-one Reduction.

Theorem: If X and Y are two decision problems such that $X \leq_m^P Y$, then $X \leq_T^P Y$.

Proof: Decide $Y \rightarrow$ unit cost algorithm for Y .
 $f \rightarrow$ reduction function from A to B
 computable in polynomial time.

Solves x in polynomial time \leftarrow function $\text{Decide}_X(x) \{$
 $y \leftarrow f(x)$
 if $\text{Decide}_Y(y)$ then return true;
 else return false;

}