

## Chapter 2 Manifolds

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We are concerned mainly with smooth manifolds.

## 5. Smooth Functions on a Euclidean Space

### 5.1. Topological Manifolds

**Definition 5.1** A topological space is **second countable** if it has a countable base.

**Definition 5.2** A **neighborhood** of a point  $p$  in a topological space  $M$  is any open set  $U \subset M$  such that  $p \in U$ .

**Definition 5.3** An **open cover** of a topological space  $M$  is a collection  $\{U_\alpha\}_{\alpha \in A}$  of open sets such that  $M = \bigcup_{\alpha \in A} U_\alpha$ .

**Definition 5.4** A topological space  $M$  is **locally Euclidean of dimension  $n$**  if every point  $p \in M$  has a neighborhood  $U$  such that there is a homeomorphism  $\phi$  from  $U$  to an open subset of  $\mathbb{R}^n$ .

The pair  $(U, \phi : U \rightarrow \mathbb{R}^n)$  is called a **chart** of  $M$  at  $p$ ,  $U$  is called the **coordinate neighborhood** or **coordinate open set** of  $p$ , and  $\phi$  is called the **coordinate map** or **coordinate system** on  $U$ . A chart  $(U, \phi)$  is **centered** at  $p \in U$  if  $\phi(p) = 0$ .

**Definition 5.5** A **topological manifold** is a Hausdorff, second countable, locally Euclidean space. It is of **dimension  $n$**  if it is locally Euclidean of dimension  $n$ .

**Corollary 5.6** (invariance of dimension) An open subset of  $\mathbb{R}^n$  is not homeomorphic to an open subset of  $\mathbb{R}^m$  if  $n \neq m$ .

If a topological space has several connected components, it is possible for each component to have a different dimension.

**Example 5.7** The Euclidean space  $\mathbb{R}^n$  is covered by a single chart  $(\mathbb{R}^n, \mathbb{1}_{\mathbb{R}^n})$ , where  $\mathbb{1}_{\mathbb{R}^n} : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is the identity map. Every open subset of  $\mathbb{R}^n$  is also a topological manifold, with chart  $(U, \mathbb{1}_U)$ .

**Proposition 5.8** The Hausdorff condition and second countability are “hereditary” properties, i.e.,

- (i) A subspace of a Hausdorff space is Hausdorff,
- (ii) A subspace of a second-countable space is second countable.

**Example 5.9** (A cusp) The graph of  $y = x^{\frac{2}{3}}$  in  $\mathbb{R}^2$  is a topological manifold. By virtue of being a subspace of  $\mathbb{R}^2$ , it is Hausdorff and second countable. It is locally Euclidean of dimension 1, since it is homeomorphic to  $\mathbb{R}$  via  $(x, x^{\frac{2}{3}}) \mapsto x$ .

**Example 5.10** (A cross) The cross in  $\mathbb{R}^2$  with subspace topology is not locally Euclidean at the intersection  $p$ , and so cannot be a topological manifold.

*Solution.* Suppose the cross is locally Euclidean of dimension  $n$  at  $p$ . Then there is a neighborhood  $U$  of  $p$  homeomorphic to an open ball  $B := B(0, \varepsilon) \subset \mathbb{R}^n$  with  $p$  mapping to 0. Then  $U - \{p\}$  is homeomorphic to  $B - \{0\}$ . Since  $B - \{0\}$  is connected if  $n \geq 2$  or has two connected components if  $n = 1$ , but  $U - \{p\}$  has 4 connected components,  $U - \{p\}$  cannot be homeomorphic to  $B - \{0\}$ , contradicting the assumption that  $U$  is homeomorphic to  $B$ .

## 5.2. Compatible Charts

Suppose  $(U, \phi : U \rightarrow \mathbb{R}^n)$  and  $(V, \psi : V \rightarrow \mathbb{R}^n)$  are two charts of a topological manifold. Since  $U \cap V$  is open in  $U$ , the image  $\phi(U \cap V)$  is open in  $\mathbb{R}^n$ . Similarly,  $\psi(U \cap V)$  is open in  $\mathbb{R}^n$ .

**Definition 5.11** Two charts  $(U, \phi : U \rightarrow \mathbb{R}^n), (V, \psi : V \rightarrow \mathbb{R}^n)$  of a topological manifold are  **$C^\infty$ -compatible** if the two maps

$$\phi \circ \psi^{-1} : \psi(U \cap V) \rightarrow \phi(U \cap V), \quad \psi \circ \phi^{-1} : \phi(U \cap V) \rightarrow \psi(U \cap V) \quad (1)$$

are  $C^\infty$ . These two maps are called the **transition functions** between the charts  $(U, \phi)$  and  $(V, \psi)$ . If  $U \cap V$  is empty, then the two charts are automatically  $C^\infty$ -compatible. To simplify the notation, we will write  $U_{\alpha\beta}$  for  $U_\alpha \cap U_\beta$  and  $U_{\alpha\beta\gamma}$  for  $U_\alpha \cap U_\beta \cap U_\gamma$ . Since we are interested only in  $C^\infty$ -compatible charts, we often omit mention of “ $C^\infty$ ” and speak simply of “compatible charts”.

**Definition 5.12** A  $C^\infty$  **atlas** or simply an **atlas** on a locally Euclidean space  $M$  is a collection  $\mathfrak{U} = \{(U_\alpha, \phi_\alpha)\}$  of pairwise  $C^\infty$ -compatible charts that cover  $M$ , i.e.,  $M = \bigcup_\alpha U_\alpha$ .

**Example 5.13** The Unit circle  $S^1$  in the complex plane  $\mathbb{C}$  may be described as the set of points  $\{e^{it} \in \mathbb{C} \mid 0 \leq t \leq 2\pi\}$ . Let  $U_1$  and  $U_2$  be the open sets of  $S^1$  defined by

$$\begin{aligned} U_1 &= \{e^{it} \in \mathbb{C} \mid -\pi < t < \pi\}, \\ U_2 &= \{e^{it} \in \mathbb{C} \mid 0 < t < 2\pi\}, \end{aligned} \quad (2)$$

and  $\phi_\alpha : U_\alpha \rightarrow \mathbb{R}$  for  $\alpha = 1, 2$  by

$$\begin{aligned} \phi_1(e^{it}) &= t, \quad -\pi < t < \pi, \\ \phi_2(e^{it}) &= t, \quad 0 < t < 2\pi, \end{aligned} \quad (3)$$

where  $\phi_1$  and  $\phi_2$  are both homeomorphisms from  $U_1$  and  $U_2$  to open subsets of  $\mathbb{R}$ . Thus,  $(U_1, \phi_1)$  and  $(U_2, \phi_2)$  are charts on  $S^1$ . The intersection  $U_1 \cap U_2$  consists of two connected components,

$$\begin{aligned} A &= \{e^{it} \mid -\pi < t < 0\}, \\ B &= \{e^{it} \mid 0 < t < \pi\}, \end{aligned} \quad (4)$$

with

$$\begin{aligned} \phi_1(U_1 \cap U_2) &= \phi_1(A \sqcup B) = \phi_1(A) \sqcup \phi_1(B) = (-\pi, 0) \sqcup (0, \pi), \\ \phi_2(U_1 \cap U_2) &= \phi_2(A \sqcup B) = \phi_2(A) \sqcup \phi_2(B) = (\pi, 2\pi) \sqcup (0, \pi), \end{aligned} \quad (5)$$

where  $\sqcup$  denotes the disjoint union. The transition functions are given by

$$\begin{aligned} (\phi_2 \circ \phi_1^{-1})(t) &= \begin{cases} t + 2\pi & \text{for } t \in (-\pi, 0) \\ t & \text{for } t \in (0, \pi) \end{cases}, \\ (\phi_1 \circ \phi_2^{-1})(t) &= \begin{cases} t - 2\pi & \text{for } t \in (\pi, 2\pi) \\ t & \text{for } t \in (0, \pi) \end{cases}, \end{aligned} \quad (6)$$

which means that the charts  $(U_1, \phi_1)$  and  $(U_2, \phi_2)$  are  $C^\infty$ -compatible and then form a  $C^\infty$  atlas.

**Remark 5.14** Although the  $C^\infty$  compatibility of charts is clearly reflexive and symmetric, it is not transitive. For example, Suppose  $(U_1, \phi_1)$  is  $C^\infty$ -compatible with  $(U_2, \phi_2)$  and  $(U_2, \phi_2)$  is  $C^\infty$ -compatible with  $(U_3, \phi_3)$ . Then the composite

$$\phi_3 \circ \phi_1^{-1} = (\phi_3 \circ \phi_2^{-1}) \circ (\phi_2 \circ \phi_1^{-1}) \quad (7)$$

is  $C^\infty$ , but only on  $\phi_1(U_{123})$ , not necessarily on  $\phi_1(U_{13})$ .

**Definition 5.15** A chart  $(V, \psi)$  is **compatible** with an atlas  $\{(U_\alpha, \phi_\alpha)\}$  if it is compatible with all the charts  $(U_\alpha, \phi_\alpha)$  of the atlas.

**Lemma 5.16** Let  $\{(U_\alpha, \phi_\alpha)\}$  be an atlas on a locally Euclidean space  $M$ . If two charts  $(V, \psi)$  and  $(W, \sigma)$  are both compatible with the atlas  $\{(U_\alpha, \phi_\alpha)\}$ , then they are compatible with each other.

*Proof.* Let  $p \in V \cap W$ . Since  $\{(U_\alpha, \phi_\alpha)\}$  is an atlas for  $M$ ,  $p \in U_\alpha$  for some  $\alpha$ , then  $p \in V \cap W \cap U_\alpha$ . The composite

$$\sigma \circ \psi^{-1} = (\sigma \circ \phi_\alpha^{-1}) \circ (\phi_\alpha \circ \psi^{-1}) \quad (8)$$

is  $C^\infty$  on  $\psi(V \cap W \cap U_\alpha)$ , hence at  $\psi(p)$ . Since  $p$  is arbitrary, the composite  $\sigma \circ \psi^{-1}$  is  $C^\infty$  on  $\psi(V \cap W)$ . Similarly,  $\psi \circ \sigma^{-1}$  is  $C^\infty$  on  $\psi(V \cap W)$ . Thus, the charts  $(V, \psi)$  and  $(W, \sigma)$  are compatible.  $\square$

### 5.3. Smooth Manifolds

**Definition 5.17** An atlas  $\mathfrak{M}$  on a locally Euclidean space  $M$  is **maximal** if it is not contained in a larger atlas, i.e., if  $\mathfrak{M} \subseteq \mathfrak{U}$ , then  $\mathfrak{M} = \mathfrak{U}$ .

**Definition 5.18** A **smooth manifold** or  **$C^\infty$  manifold** is a topological manifold  $M$  together with a maximal atlas  $\mathfrak{M}$ . The maximal atlas  $\mathfrak{M}$  is called the **differential structure** on  $M$ . A manifold is said to have dimension  $n$  if all of its connected components have dimension  $n$ . A 1-dimensional manifold is called a **curve**, a 2-dimensional manifold is called a **surface**, and an  $n$ -dimensional manifold is called an  **$n$ -manifold**.

In practice, to check that a topological manifold  $M$  is a smooth manifold, it is not necessary to exhibit a maximal atlas. The existence of *any* atlas on  $M$  will do.

**Proposition 5.19** Any atlas  $\mathfrak{U} = \{(U_\alpha, \phi_\alpha)\}$  on a locally Euclidean space  $M$  is contained in a unique maximal atlas.

*Proof.* Adjoin to the atlas  $\mathfrak{U}$  all charts  $(V_i, \psi_i)$  that are compatible with  $\mathfrak{U}$ . By Lemma 5.16 the charts  $(V_i, \psi_i)$  are compatible with each other. So the enlarged collection  $\mathfrak{U} \cup \{(V_i, \psi_i)\}$  is an atlas on  $M$ . Any chart compatible with the new atlas is compatible with  $\mathfrak{U}$ , and so is contained in the new atlas. Thus, the new atlas is maximal.

Let  $\mathfrak{M}_1, \mathfrak{M}_2$  be two maximal atlases containing  $\mathfrak{U}$ . Then all the charts of  $\mathfrak{M}_1$  are compatible with  $\mathfrak{U}$ , then must belong to  $\mathfrak{M}_2$ , i.e.,  $\mathfrak{M}_1 \subseteq \mathfrak{M}_2$ . Similarly,  $\mathfrak{M}_2 \subseteq \mathfrak{M}_1$ . Thus,  $\mathfrak{M}_1 = \mathfrak{M}_2$ , i.e., the maximal atlas containing  $\mathfrak{U}$  is unique.  $\square$

In summary, to show that a topological manifold  $M$  is a  $C^\infty$  manifold, it is sufficient to check that

- (i)  $M$  is Hausdorff and second countable,
- (ii)  $M$  has a  $C^\infty$  atlas (not necessarily maximal).

From now on, a “manifold” will mean a  $C^\infty$  manifold, with the terms “smooth” and “ $C^\infty$ ” used interchangeably.

**Definition 5.20** In the context of manifolds, we denote the standard coordinates on  $\mathbb{R}^n$  by  $r^1, \dots, r^n$ . If  $(U, \phi : U \rightarrow \mathbb{R}^n)$  is a chart of a manifold, we let  $x^i = r^i \circ \phi$  be the  $i$ th component of  $\phi$  and write  $\phi = (x^1, \dots, x^n)$  and  $(U, \phi) = (U, x^1, \dots, x^n)$ . For  $p \in U$ ,  $x^1(p), \dots, x^n(p)$  is a point in  $\mathbb{R}^n$ . The functions  $x^1, \dots, x^n$  are called **coordinates** or **local coordinates** on  $U$ . By abuse of notation, we sometimes omit the  $p$ . So the notation

$(x^1, \dots, x^n)$  stands alternately for local coordinates on  $U$  or for a point in  $\mathbb{R}^n$ . A chart  $(U, \phi)$  **about**  $p \in M$  is a chart in the differential structure of  $M$  such that  $p \in U$ .

### 5.4. Examples of Smooth Manifolds

**Example 5.21** (Euclidean space) The Euclidean space  $\mathbb{R}^n$  is a smooth manifold with a single chart  $(\mathbb{R}^n, r^1, \dots, r^n)$ , where  $r^1, \dots, r^n$  are the standard coordinates on  $\mathbb{R}^n$ .

**Example 5.22** (Open subsets of a manifold) Any open subset  $V \subset M$  of a manifold  $M$  is also a manifold. If  $\{(U_\alpha, \phi_\alpha)\}$  is an atlas on  $M$ , then  $\{(U_\alpha \cap V, \phi_\alpha|_{U_\alpha \cap V})\}$  is an atlas on  $V$ , where  $\phi_\alpha|_{U_\alpha \cap V} : U_\alpha \cap V \rightarrow \mathbb{R}^n$  denotes the restriction of  $\phi_\alpha$  to  $U_\alpha \cap V$ .

**Example 5.23** (Manifolds of dimension zero) In a manifold of dimension zero, every singleton subset is homeomorphic to  $\mathbb{R}^0$  and so is open. Thus, a zero-dimensional manifold is a discrete set. By second countability, this discrete set is countable.

**Example 5.24** (Graph of a smooth function) For a subset  $A \in \mathbb{R}^n$  and a function  $f : A \rightarrow \mathbb{R}^m$ , the **graph** of  $f$  is defined to be the subset

$$\Gamma(f) = \{(x, f(x)) \in A \times \mathbb{R}^m\}. \quad (9)$$

If  $U$  is an open subset of  $\mathbb{R}^n$  and  $f : U \rightarrow \mathbb{R}^m$  is  $C^\infty$ , then the two maps

$$\begin{aligned} \phi : \Gamma(f) &\rightarrow U \\ (x, f(x)) &\mapsto x, \end{aligned} \quad (10)$$

and

$$\begin{aligned} (1, f) : U &\rightarrow \Gamma(f) \\ x &\mapsto (x, f(x)), \end{aligned} \quad (11)$$

are continuous and inverse to each other, and so are homeomorphisms. The graph  $\Gamma(f)$  of  $f : U \rightarrow \mathbb{R}^m$  has an atlas with a single chart  $(\Gamma(f), \phi)$ , and is therefore a  $C^\infty$  manifold.

**Example 5.25** (General linear group) For any two positive integers  $m, n$ , let  $\mathbb{R}^{m \times n}$  be the vector space of all  $m \times n$  matrices. The **general linear group**  $\text{GL}(n, \mathbb{R})$  is defined by

$$\text{GL}(n, \mathbb{R}) = \{A \in \mathbb{R}^{n \times n} \mid \det A \neq 0\} = \det^{-1}(\mathbb{R} - \{0\}). \quad (12)$$

Since the determinant function is continuous,  $\text{GL}(n, \mathbb{R})$  is an open subset of  $\mathbb{R}^{n \times n} \simeq \mathbb{R}^{n^2}$  and is therefore a manifold of dimension  $n^2$ .

The **complex general linear group**  $\text{GL}(n, \mathbb{C})$  is defined to be the group of nonsingular  $n \times n$  complex matrices. Since an  $n \times n$  matrix  $A$  is nonsingular if and only if  $\det A \neq 0$ ,  $\text{GL}(n, \mathbb{C})$  is an open subset of  $\mathbb{C}^{n \times n} \simeq \mathbb{R}^{2n^2}$  and is therefore a manifold of dimension  $2n^2$ .

**Example 5.26** (Unit circle in the  $(x, y)$ -plane) We now view  $S^1$  as the unit circle in the real plane  $\mathbb{R}^2$  with defining equation

$$x^2 + y^2 = 1. \quad (13)$$

We can cover  $S^1$  with four open sets

$$\begin{aligned} U_1 &= \{(x, \sqrt{1-x^2}) \mid -1 < x < 1\}, \\ U_2 &= \{(x, -\sqrt{1-x^2}) \mid -1 < x < 1\}, \\ U_3 &= \{(\sqrt{1-y^2}, y) \mid -1 < y < 1\}, \\ U_4 &= \{(-\sqrt{1-y^2}, y) \mid -1 < y < 1\}, \end{aligned} \quad (14)$$

with maps

$$\begin{aligned} \phi_1(x, y) &= \phi_2(x, y) = x, \\ \phi_3(x, y) &= \phi_4(x, y) = y. \end{aligned} \quad (15)$$

The transition functions are given by

$$\begin{aligned} (\phi_3 \circ \phi_1^{-1})(x) &= \phi_3(x, \sqrt{1-x^2}) = \sqrt{1-x^2}, \\ (\phi_4 \circ \phi_1^{-1})(x) &= \phi_4(x, \sqrt{1-x^2}) = \sqrt{1-x^2}, \\ (\phi_3 \circ \phi_2^{-1})(x) &= \phi_3(x, -\sqrt{1-x^2}) = -\sqrt{1-x^2}, \\ (\phi_4 \circ \phi_2^{-1})(x) &= \phi_4(x, -\sqrt{1-x^2}) = -\sqrt{1-x^2}, \end{aligned} \quad (16)$$

etc. which are all  $C^\infty$ . Thus  $\{(U_i, \phi_i)\}_{i=1}^4$  is a  $C^\infty$  atlas on  $S^1$ .

**Proposition 5.27** (An atlas for a product manifold) If  $\{(U_\alpha, \phi_\alpha)\}$  and  $\{(V_i, \psi_i)\}$  are  $C^\infty$  atlases for the manifolds  $M$  and  $N$  of dimensions  $m$  and  $n$ , respectively, then the collection

$$\{(U_\alpha \times V_i, \phi_\alpha \times \psi_i : U_\alpha \times V_i \rightarrow \mathbb{R}^m \times \mathbb{R}^n)\} \quad (17)$$

of charts is a  $C^\infty$  atlas on  $M \times N$ . Therefore  $M \times N$  is a  $C^\infty$  manifold of dimension  $m + n$ .

*Proof.* Since  $\{(U_\alpha, \phi_\alpha)\}$  and  $\{(V_i, \psi_i)\}$  are  $C^\infty$  atlases for the manifolds  $M$  and  $N$ , respectively, the charts  $(U_\alpha, \phi_\alpha)$  and  $(V_i, \psi_i)$  are  $C^\infty$ -compatible and cover  $M$  and  $N$ , respectively, i.e.,  $M = \bigcup_\alpha U_\alpha$  and  $N = \bigcup_i V_i$ .

For any  $p \times q \in M \times N$ , there are  $p \in U_\alpha$  and  $q \in V_i$ , then  $p \times q \in U_\alpha \times V_i$ , i.e.,  $M \times N = \bigcup_{\alpha, i} (U_\alpha \times V_i)$ .

For  $(U_\alpha, \phi_\alpha : U_\alpha \rightarrow \tilde{U}_\alpha \subset \mathbb{R}^m)$  and  $(V_i, \psi_i : V_i \rightarrow \tilde{V}_i \subset \mathbb{R}^n)$ , the product map  $\phi_\alpha \times \psi_i : U_\alpha \times V_i \rightarrow \tilde{U}_\alpha \times \tilde{V}_i \subset \mathbb{R}^m \times \mathbb{R}^n \simeq \mathbb{R}^{m+n}$  is a homeomorphism as the product of homeomorphisms.

For  $U_\alpha \times V_i, U_\beta \times V_j \subset M \times N$ , and suppose  $(U_\alpha \times V_i) \cap (U_\beta \times V_j) \neq \emptyset$ . The transition functions

$$\begin{aligned} (\phi_\beta \times \psi_j) \circ (\phi_\alpha \times \psi_i)^{-1} &= (\phi_\beta \circ \phi_\alpha^{-1}) \times (\psi_j \circ \psi_i^{-1}), \\ (\phi_\alpha \times \psi_i) \circ (\phi_\beta \times \psi_j)^{-1} &= (\phi_\alpha \circ \phi_\beta^{-1}) \times (\psi_i \circ \psi_j^{-1}), \end{aligned} \quad (18)$$

are  $C^\infty$  because the compositions are  $C^\infty$  and the products of  $C^\infty$  functions are  $C^\infty$ . Thus, the collection  $\{(U_\alpha \times V_i, \phi_\alpha \times \psi_i)\}$  is a  $C^\infty$  atlas on  $M \times N$ . The dimension of  $M \times N$  is  $m + n$ .  $\square$

**Example 5.28** The infinite cylinder  $S^1 \times \mathbb{R}$  and the torus  $S^1 \times S^1$  are smooth manifolds of dimensions 2.

Since  $M \times N \times P = (M \times N) \times P$  is the successive product of spaces, if  $M, N, P$  are manifolds, then so is  $M \times N \times P$ . Thus, the  $n$ -dimensional torus  $S^1 \times \cdots \times S^1$  is a manifold of dimension  $n$ .

**Remark 5.29** Let  $S^n$  be the unit sphere

$$(x^1)^2 + (x^2)^2 + \cdots + (x^{n+1})^2 = 1 \quad (19)$$

in  $\mathbb{R}^{n+1}$ . Using Example 5.26, it is easy to write down a  $C^\infty$  atlas for  $S^n$ , showing that  $S^n$  has a differential structure. The manifold  $S^n$  with this differential structure is called the **standard  $n$ -sphere**.

## 6. Smooth Maps on a Manifold

By the  $C^\infty$  compatibility of charts in an atlas, the smoothness of a map between two manifolds is independent of the choice of charts and is therefore well defined.

### 6.1. Smooth Functions on a Manifold

**Definition 6.1** Let  $M$  be a smooth manifold of dimension  $n$ . A function  $f : M \rightarrow \mathbb{R}$  is said to be **smooth** or  $C^\infty$  at a point  $p \in M$  if there is a chart  $(U, \phi)$  about  $p$  such that the composite

$$f \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R} \quad (20)$$

is  $C^\infty$  at  $\phi(p)$ . The function  $f$  is said to be  $C^\infty$  on  $M$  if it is  $C^\infty$  at every point of  $M$ .

**Remark 6.2** The definition of smoothness of a function  $f$  at a point is independent of the chart  $(U, \phi)$ , for if  $f \circ \phi^{-1}$  is  $C^\infty$  at  $\phi(p)$ , and  $(V, \psi)$  any other chart about  $p$ , then on  $\psi(U \cap V)$ , the composite

$$f \circ \psi^{-1} = (f \circ \phi^{-1}) \circ (\phi \circ \psi^{-1}) \quad (21)$$

is  $C^\infty$  at  $\psi(p)$ .

In Definition 6.1,  $f : M \rightarrow \mathbb{R}$  is not assumed to be continuous. However, if  $f$  is  $C^\infty$  at  $p \in M$ , then  $f \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}$ , being a  $C^\infty$  function at  $\phi(p)$  in an open subset of  $\mathbb{R}^n$ , is continuous at  $\phi(p)$ . As a composite of continuous functions,  $f = (f \circ \phi^{-1}) \circ \phi$  is continuous at  $p$ . Since we are interested only in functions that are  $C^\infty$  on an open set, there is no loss of generality in assuming at the outset that  $f$  is continuous.

**Proposition 6.3** (Smoothness of a real-valued function) Let  $M$  be a smooth manifold of dimension  $n$  and  $f : M \rightarrow \mathbb{R}$  a real-valued function on  $M$ . The following are equivalent:

- (i) The function  $f : M \rightarrow \mathbb{R}$  is  $C^\infty$ .

- (ii) The manifold  $M$  has an atlas such that for every chart  $(U, \phi)$  in the atlas, the composite

$$f \circ \phi^{-1} : \mathbb{R}^n \supset \phi(U) \rightarrow \mathbb{R} \quad (22)$$

is  $C^\infty$ .

- (iii) For every chart  $(V, \psi)$  on  $M$ , the composite

$$f \circ \psi^{-1} : \mathbb{R}^n \supset \psi(U) \rightarrow \mathbb{R} \quad (23)$$

is  $C^\infty$ .

*Proof.* We can prove the proposition as a cycle chain of implications.

(ii)  $\Rightarrow$  (i): This follows directly from the definition of a  $C^\infty$  function, since by (ii) every point  $p \in M$  has a chart  $(U, \phi)$  about it such that  $f \circ \phi^{-1}$  is  $C^\infty$  at  $\phi(p)$ .

(i)  $\Rightarrow$  (iii): Let  $p \in M$  and  $(V, \psi)$  be a chart about  $p$ . By Remark 6.2,  $f \circ \psi^{-1}$  is  $C^\infty$  at  $\psi(p)$ . Since  $p$  is arbitrary,  $f \circ \psi^{-1}$  is  $C^\infty$  on  $\psi(V)$ .

(iii)  $\Rightarrow$  (ii): Obvious.  $\square$

**Definition 6.4** Let  $F : N \rightarrow M$  be a map and  $h$  a function on  $M$ . The **pullback** of  $h$  by  $F$ , denoted by  $F^*h$ , is the composite function

$$F^*h = h \circ F : N \rightarrow \mathbb{R}. \quad (24)$$

In this terminology, a function  $f$  on  $M$  is  $C^\infty$  on a chart  $(U, \phi)$  if and only if its pullback  $(\phi^{-1})^*f$  is  $C^\infty$  on the subset  $\phi(U) \subset \mathbb{R}^n$ .

## 6.2. Smooth Maps Between Manifolds

**Definition 6.5** Let  $N$  and  $M$  be manifolds of dimensions  $n$  and  $m$ , respectively. A continuous map  $F : N \rightarrow M$  is  $C^\infty$  at a point  $p \in N$  if there are charts  $(V, \psi)$  about  $F(p) \in M$  and  $(U, \phi)$  about  $p \in N$  such that the composite

$$\psi \circ F \circ \phi^{-1} : \mathbb{R}^n \supset \phi(F^{-1}(V) \cap U) \rightarrow \mathbb{R}^m \quad (25)$$

is  $C^\infty$  at  $\phi(p)$ . The continuous map  $F : N \rightarrow M$  is said to be  $C^\infty$  on  $N$  if it is  $C^\infty$  at every point of  $N$ .

In Definition 6.5, we assume  $F : N \rightarrow M$  is continuous to ensure that  $F^{-1}(V)$  is open in  $N$ . Thus,  $C^\infty$  maps are by definition continuous.

**Remark 6.6** (Smooth maps into  $\mathbb{R}^m$ ) In case  $M = \mathbb{R}^m$ , we can take  $(\mathbb{R}^m, \mathbb{1}_{\mathbb{R}^m})$  as a chart about  $F(p)$  in  $M$ . According to Definition 6.5,  $F : N \rightarrow \mathbb{R}^m$  is  $C^\infty$  at  $p \in N$  if and only if there is a chart  $(U, \phi)$  about  $p \in N$  such that the composite

$$F \circ \phi^{-1} : \mathbb{R}^n \supset \phi(U) \rightarrow \mathbb{R}^m \quad (26)$$

is  $C^\infty$  at  $\phi(p)$ . Letting  $m = 1$ , we recover the definition of a function being  $C^\infty$  at a point in Definition 6.1.

**Proposition 6.7** Suppose  $F : N \rightarrow M$  is  $C^\infty$  at  $p \in N$ . If  $(U, \phi)$  is any chart about  $p \in N$  and  $(V, \psi)$  is any chart about  $F(p) \in M$ , then  $\psi \circ F \circ \phi^{-1}$  is  $C^\infty$  at  $\phi(p)$ .



*Proof.* Since  $F$  is  $C^\infty$  at  $p$ , there are charts  $(U_\alpha, \phi_\alpha)$  about  $p \in N$  and  $(V_i, \psi_i)$  about  $F(p) \in M$  such that  $V_i \circ F \circ \phi_\alpha^{-1}$  is  $C^\infty$  at  $\phi_\alpha(p)$ . By the  $C^\infty$  compatibility of charts in a differential structure, both  $\phi_\alpha \circ \phi^{-1}$  and  $\psi \circ \psi_i^{-1}$  are  $C^\infty$ . Hence, the composite

$$\psi \circ F \circ \phi^{-1} = (\psi \circ \psi_i^{-1}) \circ (V_i \circ F \circ \phi_\alpha^{-1}) \circ (\phi_\alpha \circ \phi^{-1}) \quad (27)$$

is  $C^\infty$  at  $\phi(p)$ .  $\square$

**Proposition 6.8** (Smoothness of a map in terms of charts) Let  $N$  and  $M$  be manifolds of dimensions  $n$  and  $m$ , respectively and  $F : N \rightarrow M$  a continuous map. The following are equivalent:

- (i) The map  $F : N \rightarrow M$  is  $C^\infty$ .
- (ii) There are atlases  $\mathfrak{U}$  for  $N$  and  $\mathfrak{V}$  for  $M$  such that for every chart  $(U, \phi) \in \mathfrak{U}$  and  $(V, \psi) \in \mathfrak{V}$ , the composite

$$\psi \circ F \circ \phi^{-1} : \mathbb{R}^n \supset \phi(U \cap F^{-1}(V)) \rightarrow \mathbb{R}^m \quad (28)$$

is  $C^\infty$ .

- (iii) For every chart  $(U, \phi)$  on  $N$  and  $(V, \psi)$  on  $M$ , the composite

$$\psi \circ F \circ \phi^{-1} : \mathbb{R}^n \supset \phi(U \cap F^{-1}(V)) \rightarrow \mathbb{R}^m \quad (29)$$

is  $C^\infty$ .

*Proof.* We can prove the proposition as a cycle chain of implications.

(ii)  $\Rightarrow$  (i): Let  $p \in N$  and  $(U, \phi) \in \mathfrak{U}$  be a chart about  $p$  and  $(V, \psi) \in \mathfrak{V}$  a chart about  $F(p)$ , then  $\psi \circ F \circ \phi^{-1}$  is  $C^\infty$  at  $\phi(p)$ . By Definition 6.5,  $F : N \rightarrow M$  is  $C^\infty$  at  $p$ . Since  $p$  is arbitrary,  $F : N \rightarrow M$  is  $C^\infty$  on  $N$ .

(i)  $\Rightarrow$  (iii): Let  $(U, \phi)$  be a chart on  $N$  and  $(V, \psi)$  a chart on  $M$  such that  $U \cap F^{-1}(V) \neq \emptyset$ . Let  $p \in U \cap F^{-1}(V)$ , then  $(U, \phi)$  is a chart about  $p$  and  $(V, \psi)$  is a chart about  $F(p)$ . By Proposition 6.7,  $\psi \circ F \circ \phi^{-1}$  is  $C^\infty$  at  $\phi(p)$ . Since  $p$  is arbitrary,  $\phi(p)$  is arbitrary,  $\psi \circ F \circ \phi^{-1}$  is  $C^\infty$  on  $\phi(U \cap F^{-1}(V))$ .

(iii)  $\Rightarrow$  (ii): Obvious.  $\square$

**Proposition 6.9** (Composition of  $C^\infty$  maps) If  $F : N \rightarrow M$  and  $G : M \rightarrow P$  are  $C^\infty$  maps between manifolds, then the composite  $G \circ F : N \rightarrow P$  is  $C^\infty$ .

*Proof.* Let  $(U, \phi), (V, \psi), (W, \sigma)$  be charts on  $N, M, P$ , respectively. Then

$$\sigma \circ (G \circ F) \circ \phi^{-1} = (\sigma \circ G \circ \psi^{-1}) \circ (\psi \circ F \circ \phi^{-1}). \quad (30)$$

Since  $F$  and  $G$  are  $C^\infty$ , by Proposition 6.8(i)  $\Rightarrow$  (iii),  $\sigma \circ G \circ \psi^{-1}$  and  $\psi \circ F \circ \phi^{-1}$  are  $C^\infty$ . As a composite of  $C^\infty$  maps of open subsets of Euclidean spaces,  $\sigma \circ (G \circ F) \circ \phi^{-1}$  is  $C^\infty$ . By Proposition 6.8(iii)  $\Rightarrow$  (i),  $G \circ F : N \rightarrow P$  is  $C^\infty$ .  $\square$

### 6.3. Diffeomorphisms

**Definition 6.10** A **diffeomorphism** of manifolds is a bijective  $C^\infty$  map  $F : N \rightarrow M$  whose inverse  $F^{-1}$  is also  $C^\infty$ .

According to the next two propositions, coordinate maps are diffeomorphisms, and conversely, every diffeomorphism of an open subset of a manifold with an open subset of Euclidean space can serve as a coordinate map.

**Proposition 6.11** If  $(U, \phi)$  is a chart on a manifold  $M$  of dimension  $n$ , then the coordinate map  $\phi : U \rightarrow \phi(U) \subset \mathbb{R}^n$  is a diffeomorphism.

*Proof.* By definition,  $\phi$  is a homeomorphism, so it suffices to check that both  $\phi$  and  $\phi^{-1}$  are  $C^\infty$ .

We use the atlas  $\{(U, \phi)\}$  with a single chart on  $U$  and atlas  $\{(\phi(U), \mathbb{1}_{\phi(U)})\}$  with a single chart on  $\phi(U)$ . Since

$$\mathbb{1}_{\phi(U)} = \mathbb{1}_{\phi(U)} \circ \phi \circ \phi^{-1} : \phi(U) \rightarrow \phi(U) \quad (31)$$

is the identity map, it is  $C^\infty$ . By Proposition 6.8(ii)  $\Rightarrow$  (i),  $\phi$  is  $C^\infty$ .

Similarly,  $\phi^{-1}$  is  $C^\infty$  because

$$\mathbb{1}_U = \phi \circ \phi^{-1} \circ \mathbb{1}_{\phi(U)} : U \rightarrow U \quad (32)$$

is  $C^\infty$ . □

**Proposition 6.12** Let  $U$  be an open subset of a manifold  $M$  of dimension  $n$ . If  $F : U \rightarrow F(U) \subset \mathbb{R}^n$  is a diffeomorphism onto an open subset of  $\mathbb{R}^n$ , then  $(U, F)$  is a chart in the differential structure of  $M$ .

*Proof.* For any chart  $(U_\alpha, \phi_\alpha)$  in the maximal atlas of  $M$ , both  $\phi_\alpha$  and  $\phi_\alpha^{-1}$  are  $C^\infty$  by Proposition 6.11. As composites of  $C^\infty$  maps,  $F \circ \phi_\alpha^{-1}$  and  $\phi_\alpha \circ F^{-1}$  are  $C^\infty$ . Hence,  $(U, F)$  is compatible with the maximal atlas, i.e.,  $(U, F)$  is a chart in the differential structure of  $M$ . □

## 6.4. Smoothness in Terms of Components

**Proposition 6.13** (Smoothness of a vector-valued function) Let  $N$  be a manifold and  $F : N \rightarrow \mathbb{R}^m$  a continuous map. The following are equivalent:

- (i) The map  $F : N \rightarrow \mathbb{R}^m$  is  $C^\infty$ .
- (ii) The manifold  $N$  has an atlas such that for every chart  $(U, \phi)$  in the atlas, the composite

$$F \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}^m \quad (33)$$

is  $C^\infty$ .

- (iii) For every chart  $(U, \phi)$  on  $N$ , the composite

$$F \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}^m \quad (34)$$

is  $C^\infty$ .

*Proof.* We can prove the proposition as a cycle chain of implications.

- (ii)  $\Rightarrow$  (i): Let  $\{(\mathbb{R}^m, \mathbb{1}_{\mathbb{R}^m})\}$  be the atlas on  $\mathbb{R}^m$  with a single chart, then

$$F \circ \phi^{-1} = \mathbb{1}_{\mathbb{R}^m} \circ F \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}^m \quad (35)$$

is  $C^\infty$ . By Proposition 6.8(ii)  $\Rightarrow$  (i),  $F : N \rightarrow \mathbb{R}^m$  is  $C^\infty$ .

(i)  $\Rightarrow$  (iii): Let  $\{(\mathbb{R}^m, \mathbb{1}_{\mathbb{R}^m})\}$  be the atlas on  $\mathbb{R}^m$  with a single chart, then by Proposition 6.8(i)  $\Rightarrow$  (iii),

$$\mathbb{1}_{\mathbb{R}^m} \circ F \circ \phi^{-1} = F \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}^m \quad (36)$$

is  $C^\infty$ .

(iii)  $\Rightarrow$  (ii): Obvious.  $\square$

**Proposition 6.14** (Smoothness in terms of components) Let  $N$  be a manifold. A vector-valued function  $F : N \rightarrow \mathbb{R}^m$  is  $C^\infty$  if and only if its components functions  $F^1, \dots, F^m : N \rightarrow \mathbb{R}$  are all  $C^\infty$ .

*Proof.* The map  $F : N \rightarrow \mathbb{R}^m$  is  $C^\infty$ .

$\Leftrightarrow$  For every chart  $(U, \phi)$  on  $N$ ,  $F \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}^m$  is  $C^\infty$ .

$\Leftrightarrow$  For every chart  $(U, \phi)$  on  $N$ , the functions  $F^i \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}$  are  $C^\infty$ .

$\Leftrightarrow$  The functions  $F^i : N \rightarrow \mathbb{R}$  are  $C^\infty$ .  $\square$

**Example 6.15** (Smoothness of a map to a circle).

Prove the map  $F : \mathbb{R} \rightarrow S^1, F(t) = (\cos t, \sin t)$  is  $C^\infty$ .

*Solution.* Let  $\{(U_i, \phi_i) | i = 1, \dots, 4\}$  be the atlas on  $S^1$ . On  $F^{-1}(U_1)$ ,

$$(\phi_1 \circ F)(t) = \cos t \quad (37)$$

is  $C^\infty$ . Similar computations show that  $\phi_i \circ F$  is  $C^\infty$ .

**Proposition 6.16** (Smoothness of a map in terms of vector-valued functions) Let  $F : N \rightarrow M$  be a continuous map between manifolds of dimensions  $n$  and  $m$ , respectively. The following are equivalent:

(i) The map  $F : N \rightarrow M$  is  $C^\infty$ .

(ii) The manifold  $M$  has an atlas such that for every chart  $(V, \psi) = (V, y^1, \dots, y^m)$  in the atlas, the vector-valued function

$$\psi \circ F : F^{-1}(V) \rightarrow \mathbb{R}^m \quad (38)$$

is  $C^\infty$ .

(iii) For every chart  $(V, \psi) = (V, y^1, \dots, y^m)$  on  $M$ , the vector-valued function

$$\psi \circ F : F^{-1}(V) \rightarrow \mathbb{R}^m \quad (39)$$

is  $C^\infty$ .

*Proof.* We can prove the proposition as a cycle chain of implications.

(ii)  $\Rightarrow$  (i): Let  $\mathfrak{V}$  be the atlas for  $M$  and  $\mathfrak{U} = \{(U, \phi)\}$  any arbitrary atlas for  $N$ . Then for each chart  $(V, \psi) \in \mathfrak{V}$ , the collection

$$\left\{ (U \cap F^{-1}(V), \phi|_{U \cap F^{-1}(V)}) \right\} \quad (40)$$

is an atlas for  $F^{-1}(V)$ . Since  $\psi \circ F$  is  $C^\infty$ , by Proposition 6.13(i)  $\Rightarrow$  (iii),

$$\psi \circ F \circ \phi^{-1} : \phi(U \cap F^{-1}(V)) \rightarrow \mathbb{R}^m \quad (41)$$

is  $C^\infty$ . It then follows from Proposition 6.8(ii)  $\Rightarrow$  (i) that  $F : N \rightarrow M$  is  $C^\infty$ .

(i)  $\Rightarrow$  (iii): Being a coordinate map,  $\psi$  is  $C^\infty$ . As a composite of  $C^\infty$  maps,  $\psi \circ F$  is  $C^\infty$ .

(iii)  $\Rightarrow$  (ii): Obvious.  $\square$

Combining Proposition 6.16 and Proposition 6.14, the smoothness of a map  $F : N \rightarrow M$  can be expressed in terms of the smoothness of its components.

**Proposition 6.17** (Smoothness of a map in terms of components) Let  $F : N \rightarrow M$  be a continuous map between manifolds of dimensions  $n$  and  $m$ , respectively. The following are equivalent:

(i) The map  $F : N \rightarrow M$  is  $C^\infty$ .

(ii) The manifold  $M$  has an atlas such that for every chart  $(V, \psi) = (V, y^1, \dots, y^m)$  in the atlas, the components

$$y^i \circ F : F^{-1}(V) \rightarrow \mathbb{R} \quad (42)$$

of  $F$  relative to the chart are all  $C^\infty$ .

(iii) For every chart  $(V, \psi) = (V, y^1, \dots, y^m)$  on  $M$ , the components

$$y^i \circ F : F^{-1}(V) \rightarrow \mathbb{R} \quad (43)$$

of  $F$  relative to the chart are all  $C^\infty$ .

## 6.5. Examples of Smooth Maps

**Example 6.18** (Smoothness of a projection map) Let  $M$  and  $N$  be manifolds and

$$\begin{aligned} \pi : M \times N &\rightarrow M \\ (p, q) &\mapsto p \end{aligned} \quad (44)$$

the projection to the first factor. Prove that  $\pi$  is a  $C^\infty$  map.

*Solution.* Let  $(p, q) \in M \times N$ . Suppose  $(U, \phi) = (U, x^1, \dots, x^m)$  and  $(V, \psi) = (V, y^1, \dots, y^n)$  are charts about  $p \in M$  and  $q \in N$ , respectively. Then

$$(U \times V, \phi \times \psi) = (U \times V, x^1, \dots, x^m, y^1, \dots, y^n) \quad (45)$$

is a chart about  $(p, q) \in M \times N$ . Then

$$(\phi \circ \pi \circ (\phi \times \psi)^{-1})(a^1, \dots, a^m, b^1, \dots, b^n) = (a^1, \dots, a^m) \quad (46)$$

is a  $C^\infty$  map from  $\phi(U \times V) \in \mathbb{R}^{m+n}$  to  $\phi(U) \in \mathbb{R}^m$ . So  $\pi$  is  $C^\infty$  at  $(p, q)$ . Since  $(p, q)$  is arbitrary,  $\pi : M \times N \rightarrow M$  is  $C^\infty$ .

**Example 6.19** (Smoothness of a map to a Cartesian Product) Let  $M_1, M_2$  and  $N$  be manifolds of dimensions  $m_1, m_2$  and  $n$ , respectively. Prove that the map

$$(f_1, f_2) : N \rightarrow M_1 \times M_2 \quad (47)$$

is  $C^\infty$  if and only if  $f_i : N \rightarrow M_i, i = 1, 2$ , are both  $C^\infty$ .

*Solution.* Let  $p \in N$  and  $(U, \phi)$  be a chart about  $p$ . Let  $(V_1, \psi_1)$  and  $(V_2, \psi_2)$  be charts about  $f_1(p) \in M_1$  and  $f_2(p) \in M_2$ , respectively.

(i) Assuming both  $f_1$  and  $f_2$  are  $C^\infty$ , then they are both continuous. Then

$$\begin{aligned} (\psi_1 \times \psi_2) \circ (f_1, f_2) \circ \phi^{-1} &= (\psi_1 \circ f_1 \circ \phi^{-1}, \psi_2 \circ f_2 \circ \phi^{-1}) \\ &: \phi(U \cap f_1^{-1}(V_1) \cap f_2^{-1}(V_2)) \rightarrow \mathbb{R}^{m_1+m_2} \end{aligned} \quad (48)$$

is  $C^\infty$ . Thus  $(f_1, f_2)$  is  $C^\infty$  at  $p$ . Since  $p$  is arbitrary,  $(f_1, f_2)$  is  $C^\infty$  on  $N$ .

(ii) Conversely, if  $(f_1, f_2)$  is  $C^\infty$ , then

$$(\psi_1 \times \psi_2) \circ (f_1, f_2) \circ \phi^{-1} = (\psi_1 \circ f_1 \circ \phi^{-1}, \psi_2 \circ f_2 \circ \phi^{-1}) \quad (49)$$

is  $C^\infty$ . Thus,  $\psi_1 \circ f_1 \circ \phi^{-1}$  and  $\psi_2 \circ f_2 \circ \phi^{-1}$  are both  $C^\infty$ , then  $f_1$  and  $f_2$  are both  $C^\infty$  at  $p$ . Since  $p$  is arbitrary,  $f_1$  and  $f_2$  are both  $C^\infty$  on  $N$ .

**Example 6.20** Prove that a  $C^\infty$  function  $f(x, y)$  on  $\mathbb{R}^2$  restricts to a  $C^\infty$  function  $S^1$ .

*Solution.* We denote a point on  $S^1$  as  $p = (a, b)$  and  $x, y$  as the standard coordinate functions on  $\mathbb{R}^2$ , i.e.,  $x(a, b) = a$  and  $y(a, b) = b$ . Suppose we can show that  $x$  and  $y$  restrict to  $C^\infty$  functions on  $S^1$ , then the inclusion map

$$\begin{aligned} i : S^1 &\rightarrow \mathbb{R}^2 \\ p &\mapsto (x(p), y(p)) \end{aligned} \quad (50)$$

is  $C^\infty$  on  $S^1$ . Therefore the restriction of  $f$  to  $S^1$ ,  $f|_{S^1} = f \circ i$ , is  $C^\infty$  on  $S^1$ .

Consider the first function  $X$ , we use the atlas

$$\begin{aligned} (U_1, \phi_1) &= \left( \left\{ (x, \sqrt{1-x^2}) \mid -1 < x < 1 \right\}, x \right) \\ (U_2, \phi_2) &= \left( \left\{ (x, -\sqrt{1-x^2}) \mid -1 < x < 1 \right\}, x \right) \\ (U_3, \phi_3) &= \left( \left\{ (\sqrt{1-y^2}, y) \mid -1 < y < 1 \right\}, y \right) \\ (U_4, \phi_4) &= \left( \left\{ (-\sqrt{1-y^2}, y) \mid -1 < y < 1 \right\}, y \right). \end{aligned} \quad (51)$$

Since  $x$  is a coordinate function on  $U_1$  and  $U_2$ ,  $x$  is  $C^\infty$  on  $U_1 \cup U_2$ . The composite

$$(x \circ \phi_3^{-1})(b) = x(\sqrt{1-b^2}, b) = \sqrt{1-b^2} \quad (52)$$

is  $C^\infty$  on  $U_3$ , thus  $x$  is  $C^\infty$  on  $U_3$ .

Similarly, the composite

$$(x \circ \phi_4^{-1})(b) = x(-\sqrt{1-b^2}, b) = -\sqrt{1-b^2} \quad (53)$$

is  $C^\infty$  on  $U_4$ , thus  $x$  is  $C^\infty$  on  $U_4$ .

Since  $x$  is  $C^\infty$  on  $U_1, U_2, U_3, U_4$ , and  $S^1 = U_1 \cup U_2 \cup U_3 \cup U_4$ ,  $x$  is  $C^\infty$  on  $S^1$ .

The same argument shows that  $y$  is  $C^\infty$  on  $S^1$ .

Armed with the definition of a smooth map between manifolds, we can define a Lie group.

**Definition 6.21** A **Lie group** is a  $C^\infty$  manifold  $G$  having a group structure such that the multiplication map

$$\mu : G \times G \rightarrow G \quad (54)$$

and the inverse map

$$\iota : G \rightarrow G, \quad \iota(x) = x^{-1}, \quad (55)$$

are both  $C^\infty$ .

Similarly, A **topological group** is a topological space having a group structure such that the multiplication map and the inverse map are both continuous. Noting that a topological group is required to be a topological space, but not a topological manifold.

**Example 6.22**

- (i) The Euclidean space  $\mathbb{R}^n$  is a Lie group under addition.
- (ii) The set  $C^\times$  of nonzero complex numbers is a Lie group under multiplication.
- (iii) The unit circle  $S^1$  in  $C^\times$  is a Lie group under multiplication.
- (iv) The Cartesian product  $G_1 \times G_2$  of two Lie groups  $(G_1, \mu_1)$  and  $(G_2, \mu_2)$  is a Lie group under coordinatewise multiplication  $\mu_1 \times \mu_2$ .

**Example 6.23** (General linear group) The general linear group

$$\mathrm{GL}(n, \mathbb{R}) = \{A \in [a_{ij}] \in \mathbb{R}^{n \times n} \mid \det(A) \neq 0\} \quad (56)$$

is a manifold, as an open subset of  $\mathbb{R}^{n \times n}$ . Since the  $(i, j)$ -entry of the product of two matrices  $A, B \in \mathrm{GL}(n, \mathbb{R})$ ,

$$(A \times B)_{ij} = \sum_{k=1}^n a_{ik} b_{kj}, \quad (57)$$

is a polynomial in the coordinates of  $A$  and  $B$ , matrix multiplication

$$\mu : \mathrm{GL}(n, \mathbb{R}) \times \mathrm{GL}(n, \mathbb{R}) \rightarrow \mathrm{GL}(n, \mathbb{R}) \quad (58)$$

is a  $C^\infty$  map.

By Cramer's rule from linear algebra, the  $(i, j)$ -entry of  $A^{-1}$  is

$$(A^{-1})_{ij} = \frac{1}{\det A} \cdot (-1)^{i+j} ((j, i) - \text{minor of } A), \quad (59)$$

which is a  $C^\infty$  function provided  $\det A \neq 0$ . Thus, the inverse map

$$\iota : \mathrm{GL}(n, \mathbb{R}) \rightarrow \mathrm{GL}(n, \mathbb{R}) \quad (60)$$

is also  $C^\infty$ . Therefore,  $\mathrm{GL}(n, \mathbb{R})$  is a Lie group.

## 6.6. Partial Derivatives

**Definition 6.24** On a manifold  $M$  of dimension  $n$ , let  $(U, \phi) = (U, x^1, \dots, x^n) = (U, r^1 \circ \phi, \dots, r^n \circ \phi)$  be a chart and  $f : M \rightarrow \mathbb{R}^n$  a  $C^\infty$  function, where  $r^1, \dots, r^n$  are the standard coordinates on  $\mathbb{R}^n$ . For  $p \in U$ , the **partial derivative**  $\frac{\partial f}{\partial x^i}$  of  $f$  with respect to  $x^i$  at  $p$  is defined as

$$\begin{aligned} \left. \frac{\partial}{\partial x^i} \right|_p f &:= \frac{\partial f}{\partial x^i}(p) \\ &:= \frac{\partial(f \circ \phi^{-1})}{\partial r^i}(\phi(p)) \\ &:= \left. \frac{\partial}{\partial r^i} \right|_{\phi(p)} (f \circ \phi^{-1}). \end{aligned} \quad (61)$$

Since  $p = \phi^{-1}(\phi(p))$ , this equation can be rewritten as

$$\frac{\partial f}{\partial x^i}(\phi^{-1}(\phi(p))) = \frac{\partial(f \circ \phi^{-1})}{\partial r^i}(\phi(p)). \quad (62)$$

Thus, as functions on  $\phi(U)$ ,

$$\frac{\partial f}{\partial x^i} \circ \phi^{-1} = \frac{\partial(f \circ \phi^{-1})}{\partial r^i}. \quad (63)$$

The partial derivative  $\frac{\partial f}{\partial x^i}$  is  $C^\infty$  on  $U$  because its pullback by  $\phi^{-1}$ ,  $\frac{\partial f}{\partial x^i} \circ \phi^{-1}$  is  $C^\infty$  on  $\phi(U)$ .

**Proposition 6.25** Suppose  $(U, x^1, \dots, x^n)$  is a chart on a manifold. Then  $\frac{\partial x^i}{\partial x^j} = \delta_j^i$ .

*Proof.* At a point  $p \in U$ , by the definition of  $\frac{\partial}{\partial x^j}|_p$ ,

$$\begin{aligned} \frac{\partial x^i}{\partial x^j}(p) &= \frac{\partial(x^i \circ \phi^{-1})}{\partial r^j}(\phi(p)) \\ &= \frac{\partial(r^i \circ \phi \circ \phi^{-1})}{\partial r^j}(\phi(p)) \\ &= \frac{\partial r^i}{\partial r^j}(\phi(p)) \\ &= \delta_j^i. \end{aligned} \quad (64)$$

□

**Definition 6.26** Let  $F : N \rightarrow M$  be a  $C^\infty$  map, and let  $(U, \phi) = (U, x^1, \dots, x^n)$  and  $(V, \psi) = (V, y^1, \dots, y^m)$  be charts on  $N$  and  $M$  respectively such that  $F(U) \subset V$ . Denote by

$$\begin{aligned} F^i &:= y^i \circ F \\ &= r^i \circ \psi \circ F : U \rightarrow \mathbb{R} \end{aligned} \quad (65)$$

the  $i$ th component of  $F$  in the chart  $(V, \psi)$ . Then the matrix  $\left[\frac{\partial F^i}{\partial x^j}\right]$  is called the **Jacobian matrix** of  $F$  relative to the charts  $(U, \phi)$  and  $(V, \psi)$ . In case  $N$  and  $M$  have the same dimension, the determinant  $\det\left[\frac{\partial F^i}{\partial x^j}\right]$  is called the **Jacobian determinant** of  $F$  relative to the two charts. The Jacobian determinant also written as

$$\frac{\partial(F^1, \dots, F^n)}{\partial(x^1, \dots, x^n)} \quad (66)$$

When  $N$  and  $M$  are open subsets of Euclidean spaces and the charts are  $(U, r^1, \dots, r^n)$  and  $(V, r^1, \dots, r^m)$ , the Jacobian matrix  $\left[\frac{\partial F^i}{\partial r^j}\right]$ , where  $F^i = r^i \circ F$ , is the usual Jacobian matrix from calculus.

**Example 6.27** (Jacobian matrix of a transition map) Let  $(U, \phi) = (U, x^1, \dots, x^n)$  and  $(V, \psi) = (V, y^1, \dots, y^n)$  be overlapping charts on a manifold  $M$ . The transition map

$$\psi \circ \phi^{-1} : \phi(U \cap V) \rightarrow \psi(U \cap V) \quad (67)$$

is a diffeomorphism of open subsets of  $\mathbb{R}^n$ . Show that the Jacobian matrix  $J(\psi \circ \phi^{-1})$  at  $\phi(p)$  is the matrix  $\left[\frac{\partial y^i}{\partial x^j}\right]$  of partial derivatives at  $p$ .

*Solution.* By definition,  $J(\psi \circ \phi^{-1}) = \left[\frac{\partial(\psi \circ \phi^{-1})^i}{\partial r^j}\right]$ , where

$$\begin{aligned} \frac{\partial(\psi \circ \phi^{-1})^i}{\partial r^j}(\phi(p)) &= \frac{\partial(r^i \circ \psi \circ \phi^{-1})}{\partial r^j}(\phi(p)) \\ &= \frac{\partial(y^i \circ \phi^{-1})}{\partial r^j}(\phi(p)) \\ &= \frac{\partial y^i}{\partial x^j}(p) \end{aligned} \quad (68)$$

## 6.7. The Inverse Function Theorem

**Definition 6.28** A  $C^\infty$  map  $F : N \rightarrow M$  is **locally invertible** or a **local diffeomorphism** at  $p \in N$  if  $p$  has a neighborhood  $U$  on which  $F|_U : U \rightarrow F(U)$  is a diffeomorphism.

**Theorem 6.29** (Inverse function theorem for  $\mathbb{R}^n$ ) Let  $F : W \rightarrow \mathbb{R}^n$  be a  $C^\infty$  map defined on an open subset  $W \subset \mathbb{R}^n$ . For any point  $p \in W$ , the map  $F$  is locally invertible at  $p$  if and only if the Jacobian determinant  $\det\left[\frac{\partial F^i}{\partial r^j}(p)\right] \neq 0$ .

Because the inverse function theorem for  $\mathbb{R}^n$  is a local result, it easily translates to manifolds.

**Theorem 6.30** (Inverse function theorem for manifolds) Let  $F : N \rightarrow M$  be a  $C^\infty$  map between two manifolds of the same dimension, say  $n$ , and  $p \in N$ . Suppose for some chart  $(U, \phi) = (U, x^1, \dots, x^n)$  about  $p \in N$  and  $(V, \psi) = (V, y^1, \dots, y^n)$  about  $F(p) \in M$ ,  $F(U) \subset V$ . Set  $F^i = y^i \circ F$ . Then  $F$  is locally invertible at  $p$  if and only if the Jacobian determinant  $\det\left[\frac{\partial F^i}{\partial x^j}(p)\right] \neq 0$ .

*Proof.*



$$\begin{aligned}
\left[ \frac{\partial F^i}{\partial x^j}(p) \right] &= \left[ \frac{\partial (y^i \circ F)}{\partial x^j}(p) \right] \\
&= \left[ \frac{\partial (r^i \circ \psi \circ F)}{\partial x^j}(p) \right] \\
&= \left[ \frac{\partial (r^i \circ \psi \circ F \circ \phi^{-1})}{\partial r^j}(\phi(p)) \right] \\
&= \left[ \frac{\partial (\psi \circ F \circ \phi^{-1})^i}{\partial r^j}(\phi(p)) \right], \tag{69}
\end{aligned}$$

which is the Jacobian matrix at  $\phi(p)$  of the map

$$\psi \circ F \circ \phi^{-1} : \mathbb{R}^n \supset \phi(U) \rightarrow \psi(V) \subset \mathbb{R}^n \tag{70}$$

between two open subsets of  $\mathbb{R}^n$ . By the inverse function theorem for  $\mathbb{R}^n$ ,

$$\det \left[ \frac{\partial F^i}{\partial x^j}(p) \right] = \det \left[ \frac{\partial (\psi \circ F \circ \phi^{-1})^i}{\partial r^j}(\phi(p)) \right] \neq 0 \tag{71}$$

if and only if  $\psi \circ F \circ \phi^{-1}$  is locally invertible at  $\phi(p)$ . Since  $\psi$  and  $\phi$  are diffeomorphisms, this is equivalent to  $F$  being locally invertible at  $p$ .  $\square$

We usually apply the inverse function theorem in the following form.

**Corollary 6.31** Let  $N$  be a manifold of dimension  $n$ . A set of  $n$  smooth functions  $F^1, \dots, F^n$  defined on a coordinate neighborhood  $(U, x^1, \dots, x^n)$  of a point  $p \in N$  forms a coordinate system about  $p$  if and only if the Jacobian determinant  $\det \left[ \frac{\partial F^i}{\partial x^j}(p) \right] \neq 0$ .

*Proof.* Let  $F = (F^1, \dots, F^n) : U \rightarrow \mathbb{R}^n$ . Then

$$\det \left[ \frac{\partial F^i}{\partial x^j}(p) \right] \neq 0.$$

$\Leftrightarrow F : U \rightarrow \mathbb{R}^n$  is locally invertible at  $p$ . (By Theorem 6.30)

$\Leftrightarrow$  There is a neighborhood  $W$  of  $p \in N$  such that  $F : W \rightarrow F(W)$  is a diffeomorphism. (By Definition 6.28)

$\Leftrightarrow (U, F^1, \dots, F^n)$  is a coordinate chart about  $p$  in the differential structure of  $N$ . (By Proposition 6.12)

$\square$

**Example 6.32** Find all points in  $\mathbb{R}^2$  of which the functions  $x^2 + y^2 - 1, y$  can serve as a coordinate system in a neighborhood.

*Solution.* Define  $F : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by

$$F(x, y) = (x^2 + y^2 - 1, y). \tag{72}$$

The map  $F$  can serve as a coordinate map in a neighborhood of  $p$  if and only if it is a local diffeomorphism at  $p$ . The Jacobian determinant of  $F$  is

$$\begin{aligned}\frac{\partial(F^1, F^2)}{\partial(x, y)} &= \det \begin{bmatrix} 2x & 2y \\ 0 & 1 \end{bmatrix} \\ &= 2x.\end{aligned}\tag{73}$$

By the inverse function theorem,  $F$  is a local diffeomorphism at  $p = (x, y)$  if and only if  $x \neq 0$ , i.e.,  $F$  can serve as a coordinate system at any point  $p$  not on the  $y$ -axis.

## 7. Quotients

### 7.1. The Quotient Topology

**Definition 7.1** For an equivalence relation  $\sim$  on a set  $S$ , the **equivalence class** of  $x \in S$ , denoted by  $[x]$ , is the set of all elements in  $S$  equivalent to  $x$ . An equivalence relation on  $S$  partitions  $S$  into disjoint equivalence classes. The **quotient** of  $S$  by the equivalence relation  $\sim$ , denoted by  $S/\sim$ , is the set of equivalence classes. There is a natural **projection map**  $\pi : S \rightarrow S/\sim$  defined by

$$\pi(x) = [x], \quad x \in S.\tag{74}$$

**Definition 7.2** Assume now that  $S$  is a topological space. The **quotient topology** on  $S/\sim$  is defined as follows: A subset  $U \subset S/\sim$  is open if and only if  $\pi^{-1}(U)$  is open in  $S$ . With this topology,  $S/\sim$  is called the **quotient space** of  $S$  by the equivalence relation  $\sim$ , and the projection map  $\pi : S \rightarrow S/\sim$  is automatically continuous.

*Proof.*

- (i)  $\emptyset = \pi^{-1}(\emptyset)$  is open in  $S$ , so  $\emptyset$  is open in  $S/\sim$ ;  $S = \pi^{-1}(S/\sim)$  is open in  $S$ , so  $S/\sim$  is open in  $S/\sim$ .
- (ii) Let  $U_\alpha$  be open in  $S/\sim$ ,  $\alpha = 1, 2, \dots$ . Then

$$\pi^{-1}\left(\bigcup_{\alpha} U_{\alpha}\right) = \bigcup_{\alpha} \pi^{-1}(U_{\alpha})\tag{75}$$

is open in  $S$ , so  $\bigcup_{\alpha} U_{\alpha}$  is open in  $S/\sim$ .

- (iii) Let  $U_i$  be open in  $S/\sim$ ,  $i = 1, \dots, n$ . Then

$$\pi^{-1}\left(\bigcap_{i=1}^n U_i\right) = \bigcap_{i=1}^n \pi^{-1}(U_i)\tag{76}$$

is open in  $S$ , so  $\bigcap_{i=1}^n U_i$  is open in  $S/\sim$ . □

### 7.2. Continuity of a Map on a Quotient

Let  $\sim$  be an equivalence relation on a topological space  $S$  and  $S/\sim$  the quotient space, with the quotient topology. Suppose a function  $f : S \rightarrow Y$  from  $S$  to another topological space  $Y$  is constant on each equivalence class, i.e.,  $f(x) = f(y)$  whenever  $x \sim y$ . Then  $f$  induces a function  $\bar{f} : S/\sim \rightarrow Y$  defined by

$$\bar{f}([p]) = f(p), \quad p \in S.\tag{77}$$

**Proposition 7.3** The induced map  $\bar{f} : S/\sim \rightarrow Y$  is continuous if and only if the map  $f : S \rightarrow Y$  is continuous.

*Proof.*

- (i) ( $\Rightarrow$ ) If  $\bar{f}$  is continuous, then  $f = \bar{f} \circ \pi$  is continuous because  $\pi$  is continuous.
- (ii) ( $\Leftarrow$ ) Suppose  $f$  is continuous. Let  $V \subset Y$  be open. Then  $f^{-1}(V) = \pi^{-1}(\bar{f}^{-1}(V))$  is open in  $S$ , so  $\bar{f}^{-1}(V)$  is open in  $S/\sim$  by the definition of the quotient topology. Since  $V$  is arbitrary,  $\bar{f}$  is continuous.  $\square$

This proposition gives a useful criterion for checking whether a function  $\bar{f}$  on a quotient space  $S/\sim$  is continuous: simply lift the function  $\bar{f}$  to  $f := \bar{f} \circ \pi$  on  $S$  and check whether  $f$  is continuous.

### 7.3. Identification of a Subset to a Point

**Definition 7.4** Let  $A \subset S$  be a subset of a topological space  $S$ , we can define a relation  $\sim$  on  $S$  by

$$x \sim x \quad \forall x \in S \quad (78)$$

and

$$x \sim y \quad \forall x, y \in A. \quad (79)$$

We say the quotient space  $S/\sim$  is obtained from  $S$  by **identifying  $A$  to a point**.

**Example 7.5** Let  $I = [0, 1]$  and  $I/\sim$  the quotient space obtained from  $I$  by identifying the two endpoints  $\{0, 1\}$  to a point. Denote by  $S^1$  the unit circle in the complex plane. The function  $f : I \rightarrow S^1$ ,  $f(x) = e^{2\pi i x}$ , assumes the same value at 0 and 1, and so induces a function  $\bar{f} : I/\sim \rightarrow S^1$ .

**Proposition 7.6** The function  $\bar{f} : I/\sim \rightarrow S^1$  is a homeomorphism.

### 7.4. A Necessary Condition for a Hausdorff Quotient

The quotient construction does not in general preserve the Hausdorff property or second countability. Indeed, since every singleton set in a Hausdorff space is closed, if  $\pi : S \rightarrow S/\sim$  is the projection and the quotient  $S/\sim$  is Hausdorff, then for any  $p \in S$ , its image  $\{\pi(p)\}$  is closed in  $S/\sim$ . By the continuity of  $\pi$ , the inverse image  $\pi^{-1}(\{\pi(p)\}) = [p]$  is closed in  $S$ . This gives a necessary condition for a quotient space to be Hausdorff.

**Proposition 7.7** If the quotient space  $S/\sim$  is Hausdorff, then the equivalence class  $[p]$  of any point  $p \in S$  is closed in  $S$ .

**Example 7.8** Define an equivalence relation  $\sim$  on  $\mathbb{R}$  by identifying the open interval  $(0, \infty)$  to a point. Then the quotient space  $\mathbb{R}/\sim$  is not Hausdorff because the equivalence class  $(0, \infty)$  of  $\sim$  in  $\mathbb{R}$  corresponding to the point  $(0, \infty) \in \mathbb{R}/\sim$  is not closed in  $\mathbb{R}$ .

### 7.5. Open Equivalence Relations

**Definition 7.9** A map  $f : X \rightarrow Y$  of topological spaces is **open** if for every open set  $U \subset X$ , the image  $f(U)$  is open in  $Y$ .

**Definition 7.10** An equivalence relation  $\sim$  on a topological space  $S$  is **open** if the projection map  $\pi : S \rightarrow S/\sim$  is open.

Equivalently,  $\sim$  is open if for every open set  $U \subset S$ , the set

$$\pi^{-1}(\pi(U)) = \bigcup_{x \in U} [x] \quad (80)$$

is open in  $S$ .

**Example 7.11** The projection map to a quotient space is in general not open. For example, let  $\sim$  be the equivalence relation on the real line  $\mathbb{R}$  that identifies the two points 1 and  $-1$  to a point. The projection map  $\pi : \mathbb{R} \rightarrow \mathbb{R}/\sim$  is not open.

*Solution.* Let  $V = (-2, 0) \subset \mathbb{R}$  be an open set in  $\mathbb{R}$ . Then

$$\pi^{-1}(\pi(V)) = (-2, 0) \cup \{1\}, \quad (81)$$

which is not open in  $\mathbb{R}$ . Thus,  $\pi$  is not open.

**Definition 7.12** Given an equivalence relation  $\sim$  on  $S$ , the **graph** of  $\sim$  is the subset  $R \subset S \times S$  defined by

$$R = \{(x, y) \in S \times S \mid x \sim y\} \quad (82)$$

**Theorem 7.13** Suppose  $\sim$  is an open equivalence relation on a topological space  $S$ . Then the quotient space  $S/\sim$  is Hausdorff if and only if the graph  $R$  of  $\sim$  is closed in  $S \times S$ .

*Proof.* There is a sequence of equivalent statements:

$R$  is closed in  $S \times S$

$\iff (S \times S) - R$  is open in  $S \times S$

$\iff$  For every  $(x, y) \in S \times S$ , there is a basic open set  $U \times V$  containing  $(x, y)$  such that  $(U \times V) \cap R = \emptyset$

$\iff$  For every pair  $x \not\sim y$  in  $S$ , there exists neighborhoods  $U$  of  $x$  and  $V$  of  $y$  such that no element of  $U$  is equivalent to an element of  $V$

$\iff$  For any two points  $[x] \neq [y]$  in  $S/\sim$ , there exists neighborhoods  $U$  of  $x$  and  $V$  of  $y$  in  $S$  such that  $\pi(U) \cap \pi(V) = \emptyset$  in  $S/\sim$

Since  $\pi$  is open,  $\pi(U)$  and  $\pi(V)$  are disjoint open sets in  $S/\sim$  containing  $[x]$  and  $[y]$ , respectively. Therefore,  $S/\sim$  is Hausdorff.

Conversely, suppose  $S/\sim$  is Hausdorff. Let  $[x] \neq [y]$  in  $S/\sim$ . Then there exist disjoint open sets  $A, B \subset S/\sim$  such that  $[x] \in A$  and  $[y] \in B$ . By the surjectivity of  $\pi$ , we have  $A = \pi(\pi^{-1}(A))$  and  $B = \pi(\pi^{-1}(B))$ . Let  $U = \pi^{-1}(A)$  and  $V = \pi^{-1}(B)$ . Then  $x \in U, y \in V$ , and  $A = \pi(U), B = \pi(V)$  are disjoint open sets in  $S/\sim$ .  $\square$

If the equivalence relation  $\sim$  is equality, then the quotient space  $S/\sim$  is  $S$  itself and the graph  $R$  of  $\sim$  is simply the diagonal

$$\Delta = \{(x, x) \in S \times S\}, \quad (83)$$

where Theorem 7.13 becomes the following well-known characterization of a Hausdorff space by its diagonal.

**Corollary 7.14** A topological space  $S$  is Hausdorff if and only if the diagonal  $\Delta = \{(x, x) \mid x \in S\}$  is closed in  $S \times S$ .

**Theorem 7.15** Let  $\sim$  be an open equivalence relation on a topological space  $S$  with projection  $\pi : S \rightarrow S/\sim$ . If  $\mathcal{B} = \{B_\alpha\}$  is a basis for  $S$ , then its image  $\{\pi(B_\alpha)\}$  under  $\pi$  is a basis for  $S/\sim$ .

*Proof.* Since  $\pi$  is an open map,  $\{\pi(B_\alpha)\}$  is a collection of open sets in  $S/\sim$ . Let  $W$  be an open set in  $S/\sim$  and  $[x] \in W, x \in S$ . Then  $x \in \pi^{-1}(W)$ . Since  $\pi^{-1}(W)$  is open in  $S$ , there exists a basic open set  $B \in \mathcal{B}$  such that

$$x \in B \subset \pi^{-1}(W). \quad (84)$$

Then

$$[x] = \pi(x) \in \pi(B) \subset W, \quad (85)$$

which proves that  $\{\pi(B_\alpha)\}$  is a basis for  $S/\sim$ .  $\square$

**Corollary 7.16** If  $\sim$  is an open equivalence relation on a second-countable space  $S$ , then the quotient space  $S/\sim$  is second countable.

## 7.6. Real Projective Space

**Definition 7.17** The **real projective space**  $\mathbb{R}P^n$  is the quotient space of  $\mathbb{R}^{n+1} - \{0\}$  by the equivalence relation  $\sim$  defined by

$$x \sim y \iff y = tx \text{ for some nonzero real number } t. \quad (86)$$

The **homogeneous coordinates** of a point  $(a^0, \dots, a^n) \in \mathbb{R}^{n+1} - \{0\}$  are the equivalence class  $[a^0, \dots, a^n]$ .

Geometrically, two nonzero points in  $\mathbb{R}^{n+1}$  are equivalent if and only if they lie on the same line through the origin, so  $\mathbb{R}P^n$  can be interpreted as the set of all lines through the origin in  $\mathbb{R}^{n+1}$ . Each line through the origin in  $\mathbb{R}^{n+1}$  meets the unit sphere  $S^n$  in a pair of antipodal points, and conversely, a pair of antipodal points on  $S^n$  determines a unique line through the origin. This suggests that we define an equivalence relation  $\sim$  on  $S^n$  by identifying antipodal points:

$$x \sim y \iff x = \pm y, \quad x, y \in S^n, \quad (87)$$

which gives a bijection  $\mathbb{R}P^n \leftrightarrow S^n/\sim$ .

**Example 7.18** (Real projective space as a quotient of a sphere) For  $x = (x^1, \dots, x^n) \in \mathbb{R}^n$ , let  $\|x\| = \sqrt{\sum_i (x^i)^2}$  be the module of  $x$ . Prove that the map  $f : \mathbb{R}^{n+1} - \{0\} \rightarrow S^n$  given by

$$f(x) = \frac{x}{\|x\|} \quad (88)$$

introduces a homeomorphism  $\bar{f} : \mathbb{R}P^n \rightarrow S^n/\sim$ . (Hint: Find an inverse map

$$\bar{g} : S^n/\sim \rightarrow \mathbb{R}P^n \quad (89)$$

and show that both  $\bar{f}$  and  $\bar{g}$  are continuous.)

*Solution.* Let  $\sim_1$  be the equivalence relation on  $\mathbb{R}^{n+1} - \{0\}$  defined by

$$x \sim y \iff y = tx \text{ for some nonzero real number } t, \quad (90)$$

and the projection map  $\pi_1 : \mathbb{R}^{n+1} - \{0\} \rightarrow \mathbb{R}P^n$ .

Let  $\sim_2$  be the equivalence relation on  $S^n$  defined by

$$x \sim y \iff x = \pm y, \quad x, y \in S^n, \quad (91)$$

and the projection map  $\pi_2 : S^n \rightarrow S^n / \sim_2$ .

(i)  $\bar{f}$  is continuous.

For  $x \sim_1 y$  in  $\mathbb{R}^{n+1} - \{0\}$ , i.e.,  $y = tx$  for some nonzero real number  $t$ , we have

$$\begin{aligned} f(y) &= \frac{y}{\|y\|} \\ &= \frac{tx}{\|tx\|} \\ &= \frac{tx}{|t|\|x\|} \\ &= \text{sgn}(t) f(x) \\ &= \pm f(x), \end{aligned} \quad (92)$$

which means  $f(y) \sim_2 f(x)$  in  $S^n$ . Then the map

$$\pi_2 \circ f : \mathbb{R}^{n+1} - \{0\} \rightarrow S^n / \sim_2 \quad (93)$$

is constant on the equivalence classes of  $\sim_1$  of  $\mathbb{R}^{n+1} - \{0\}$ , so it induces a map

$$\bar{f} : \mathbb{R}P^n \rightarrow S^n / \sim_2, \quad \bar{f}([x]_{\sim_1}) = \pi_2 \circ f(x), \quad (94)$$

which is continuous since  $f$  and  $\pi_2$  are continuous.

(ii)  $\bar{g}$  is continuous.

For  $q \in S^n \subset \mathbb{R}^{n+1} - \{0\}$  with inclusion map

$$i : S^n \rightarrow \mathbb{R}^{n+1} - \{0\}, \quad i(q) = q, \quad (95)$$

define

$$\bar{g} : S^n / \sim_2 \rightarrow \mathbb{R}P^n, \quad \bar{g}([q]_{\sim_2}) = [q]_{\sim_1}. \quad (96)$$

For  $p \sim_2 q$  in  $S^n$ , i.e.,  $p = \pm q$ , we have

$$\begin{aligned} \bar{g}([p]_{\sim_2}) &= [p]_{\sim_1} \\ &= [\pm q]_{\sim_1} \\ &= [q]_{\sim_1}, \end{aligned} \quad (97)$$

so  $\bar{g}$  is well defined. Since

$$\bar{g} \circ \pi_2 : S^n \rightarrow \mathbb{R}P^n, \quad \bar{g} \circ \pi_2(q) = [q]_{\sim_1} = \pi_1 \circ i(q), \quad (98)$$

then

$$\bar{g} \circ \pi_2 = \pi_1 \circ i, \quad (99)$$

which shows that  $\bar{g}$  is continuous.

(iii)  $\bar{f}$  is bijective

(a)  $\bar{f}$  is surjective.

For any  $[q]_{\sim_2}$  in  $S^n / \sim_2$ , a presentative  $q \in S^n \subset \mathbb{R}^{n+1} - \{0\}$ ,

$$\begin{aligned} \bar{f}([q]_{\sim_1}) &= \pi_2 \circ f(q) \\ &= \left[ \frac{q}{\|q\|} \right]_{\sim_2} \\ &= [q]_{\sim_2}. \end{aligned} \quad (100)$$

(b)  $\bar{f}$  is injective.

Suppose

$$\bar{f}([x]_{\sim_1}) = \bar{f}([y]_{\sim_1}), \quad x, y \in \mathbb{R}^{n+1} - \{0\}. \quad (101)$$

Then

$$\begin{aligned} \left[ \frac{x}{\|x\|} \right]_{\sim_2} &= \left[ \frac{y}{\|y\|} \right]_{\sim_2} \\ \frac{y}{\|y\|} &= \pm \frac{x}{\|x\|} \\ y &= \pm \frac{\|y\|}{\|x\|} x, \end{aligned} \quad (102)$$

which means  $y \sim_1 x$  in  $\mathbb{R}^{n+1} - \{0\}$ , i.e.,  $[y]_{\sim_1} = [x]_{\sim_1}$ .

(iv)  $\bar{g}$  is bijective

(a)  $\bar{g}$  is surjective.

For any  $[x]_{\sim_1}$  in  $\mathbb{R}P^n$ , a presentative  $x \in \mathbb{R}^{n+1} - \{0\}$ ,

$$\begin{aligned} \bar{g}\left(\left[ \frac{x}{\|x\|} \right]_{\sim_2}\right) &= \left[ \frac{x}{\|x\|} \right]_{\sim_1} \\ &= [x]_{\sim_1}. \end{aligned} \quad (103)$$

(b)  $\bar{g}$  is injective.

Suppose

$$\bar{g}([x]_{\sim_2}) = \bar{g}([y]_{\sim_2}), \quad x, y \in S^n. \quad (104)$$

Then

$$[x]_{\sim_1} = [y]_{\sim_1} \quad (105)$$

$$x = ty \text{ for some nonzero real number } t, \quad (105)$$

which means  $x = \pm y$ , since  $x, y \in S^n$ . Thus,  $[x]_{\sim_2} = [y]_{\sim_2}$ .

(v)  $\bar{f}$  and  $\bar{g}$  are mutually inverse maps.

(a) For  $[x]_{\sim_1} \in \mathbb{R}P^n$ ,

$$\begin{aligned} \bar{g} \circ \bar{f}([x]_{\sim_1}) &= \bar{g}(\pi_2 \circ f(x)) \\ &= \bar{g}\left(\left[\frac{x}{\|x\|}\right]_{\sim_2}\right) \\ &= \left[\frac{x}{\|x\|}\right]_{\sim_1} \\ &= [x]_{\sim_1}. \end{aligned} \quad (106)$$

(b) For  $[q]_{\sim_2} \in S^n / \sim_2$ ,

$$\begin{aligned} \bar{f} \circ \bar{g}([q]_{\sim_2}) &= \bar{f}([q]_{\sim_1}) \\ &= \left[\frac{q}{\|q\|}\right]_{\sim_2} \\ &= [q]_{\sim_2}. \end{aligned} \quad (107)$$

**Example 7.19** (The real projective line  $\mathbb{R}P^1$ ) Each line through the origin in  $\mathbb{R}^2$  meets the unit circle  $S^1$  in a pair of antipodal points. As we've proved,  $\mathbb{R}P^n$  is homeomorphic to  $S^n / \sim$ , which is in turn homeomorphic to the closed upper semicircle with the two endpoints identified. Thus,  $\mathbb{R}P^1$  is homeomorphic to the circle  $S^1$ .

**Example 7.20** (The real projective plane  $\mathbb{R}P^2$ ) We've shown that there is a homeomorphism

$$\mathbb{R}P^2 \simeq S^2 / \sim. \quad (108)$$

Let  $H^2$  be the closed upper hemisphere

$$H^2 = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1, z \geq 0\}. \quad (109)$$

and let  $D^2$  be the closed disk

$$D^2 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 1\}. \quad (110)$$

These two spaces are homeomorphic to each other via the continuous map

$$\begin{aligned} \varphi : H^2 &\rightarrow D^2, \\ \varphi(x, y, z) &= (x, y), \end{aligned} \quad (111)$$

and its inverse

$$\begin{aligned} \psi : D^2 &\rightarrow H^2, \\ \psi(x, y) &= (x, y, \sqrt{1 - x^2 - y^2}). \end{aligned} \quad (112)$$



On  $H^2$ , define an equivalence relation  $\sim$  by identifying the antipodal points on the equator:

$$(x, y, 0) \sim (-x, -y, 0), \quad x^2 + y^2 = 1. \quad (113)$$

On  $D^2$ , define an equivalence relation  $\sim$  by identifying the antipodal points on the boundary:

$$(x, y) \sim (-x, -y), \quad x^2 + y^2 = 1. \quad (114)$$

Then  $\varphi$  and  $\psi$  induce homeomorphisms

$$\bar{\varphi} : H^2 / \sim \rightarrow D^2 / \sim, \quad \bar{\psi} : D^2 / \sim \rightarrow H^2 / \sim. \quad (115)$$

There is a homeomorphism between  $S^2 / \sim$  and  $H^2 / \sim$ .

In summary, there is a sequence of homeomorphisms

$$\mathbb{R}P^2 \xrightarrow{\sim} S^2 / \sim \xrightarrow{\sim} H^2 / \sim \xrightarrow{\sim} D^2 / \sim. \quad (116)$$

**Proposition 7.21** The equivalence relation  $\sim$  on  $\mathbb{R}^{n+1} - \{0\}$  in the definition of  $\mathbb{R}P^n$  is open.

*Proof.* For any open set  $U \subset \mathbb{R}^{n+1} - \{0\}$ , the image  $\pi(U)$  is open in  $\mathbb{R}P^n$  if and only if

$$\pi^{-1}(\pi(U)) = \bigcup_{t \in \mathbb{R}^\times} tU \quad (117)$$

is open in  $\mathbb{R}^{n+1} - \{0\}$ . Since  $tU$  is open in  $\mathbb{R}^{n+1} - \{0\}$  for any nonzero real number  $t$ , the union  $\bigcup_{t \in \mathbb{R}^\times} tU$  is open in  $\mathbb{R}^{n+1} - \{0\}$ . Thus,  $\sim$  is open.  $\square$

**Corollary 7.22** The real projective space  $\mathbb{R}P^n$  is second countable.

**Proposition 7.23** The real projective space  $\mathbb{R}P^n$  is Hausdorff.

*Proof.* Let  $S = \mathbb{R}^{n+1} - \{0\}$  and consider the set

$$R = \{(x, y) \in S \times S \mid y = tx \text{ for some } t \in \mathbb{R}^\times\}. \quad (118)$$

As  $R$  is closed in  $S \times S$ , since  $\sim$  is open, the quotient space  $\mathbb{R}P^n$  is Hausdorff by Theorem 7.13.  $\square$

## 7.7. The Standard $C^\infty$ Atlas on a Real Projective Space

Let  $[a^0, \dots, a^n]$  be homogeneous coordinates on  $\mathbb{R}P^n$ . Although  $a^0$  is not a well-defined function on  $\mathbb{R}P^n$ , the condition  $a^0 \neq 0$  is independent of the choice of a representative for  $[a^0, \dots, a^n]$ . Hence, the condition  $a^0 \neq 0$  makes sense on  $\mathbb{R}P^n$ , and we may define

$$U_0 := \{[a^0, \dots, a^n] \in \mathbb{R}P^n \mid a^0 \neq 0\}. \quad (119)$$

Similarly, for each  $i = 1, \dots, n$ , we define

$$U_i := \{[a^0, \dots, a^n] \in \mathbb{R}P^n \mid a^i \neq 0\}. \quad (120)$$

Define

$$\begin{aligned}\phi_0 : U_0 &\rightarrow \mathbb{R}^n \\ [a^0, \dots, a^n] &\mapsto \left( \frac{a^1}{a^0}, \dots, \frac{a^n}{a^0} \right),\end{aligned}\tag{121}$$

which has a continuous inverse

$$(b^1, \dots, b^n) \mapsto [1, b^1, \dots, b^n]\tag{122}$$

and is therefore a homeomorphism. Similarly, there are homeomorphisms

$$\begin{aligned}\phi_i : U_i &\rightarrow \mathbb{R}^n \\ [a^0, \dots, a^n] &\mapsto \left( \frac{a^0}{a^i}, \dots, \frac{\hat{a}^i}{a^i}, \dots, \frac{a^n}{a^i} \right),\end{aligned}\tag{123}$$

where the caret sign  $\hat{\phantom{a}}$  over  $\frac{a^i}{a^i}$  means that entry is to be omitted. This proves that  $\mathbb{R}P^n$  is locally Euclidean with  $(U_i, \phi_i)$  as charts. On the intersection  $U_0 \cap U_1$ , there are two coordinate systems

$$\begin{array}{ccc} & [a^0, a^1, a^2, \dots, a^n] & \\ \phi_0 \swarrow & & \searrow \phi_1 \\ \left( \frac{a^1}{a^0}, \frac{a^2}{a^0}, \dots, \frac{a^n}{a^0} \right) & & \left( \frac{a^0}{a^1}, \frac{a^2}{a^1}, \dots, \frac{a^n}{a^1} \right) \end{array}$$

We will refer the coordinate functions on  $U_0$  as  $x^1, \dots, x^n$ , and  $y^1, \dots, y^n$  on  $U_1$ . On  $U_0$ ,

$$x^i = \frac{a^i}{a^0}, \quad i = 1, \dots, n,\tag{124}$$

and on  $U_1$ ,

$$y^1 = \frac{a^0}{a^1}, \quad y^i = \frac{a^i}{a^1}, i = 2, \dots, n.\tag{125}$$

Then on  $U_0 \cap U_1$ ,

$$y^1 = \frac{1}{x^1}, \quad y^i = \frac{x^i}{x^1}, i = 2, \dots, n,\tag{126}$$

so

$$\phi_1 \circ \phi_0^{-1}(x) = \left( \frac{1}{x^1}, \frac{x^2}{x^1}, \dots, \frac{x^n}{x^1} \right),\tag{127}$$

and

$$\phi_0 \circ \phi_1^{-1}(y) = \left( \frac{1}{y^1}, \frac{y^2}{y^1}, \dots, \frac{y^n}{y^1} \right),\tag{128}$$

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which are both smooth because  $x^1 \neq 0$  on  $\phi_0(U_0 \cap U_1)$  and  $y^1 \neq 0$  on  $\phi_1(U_0 \cap U_1)$ , then  $(U_0, \phi_0)$  and  $(U_1, \phi_1)$  are compatible. On any other intersection  $U_i \cap U_j$ , an analogous formula holds. Therefore, the collection  $\{(U_i, \phi_i)\}_{i=0, \dots, n}$  is a  $C^\infty$  atlas for  $\mathbb{R}P^n$ , called the **standard atlas**. This concludes the proof that  $\mathbb{R}P^n$  is a manifold of dimension  $n$ .