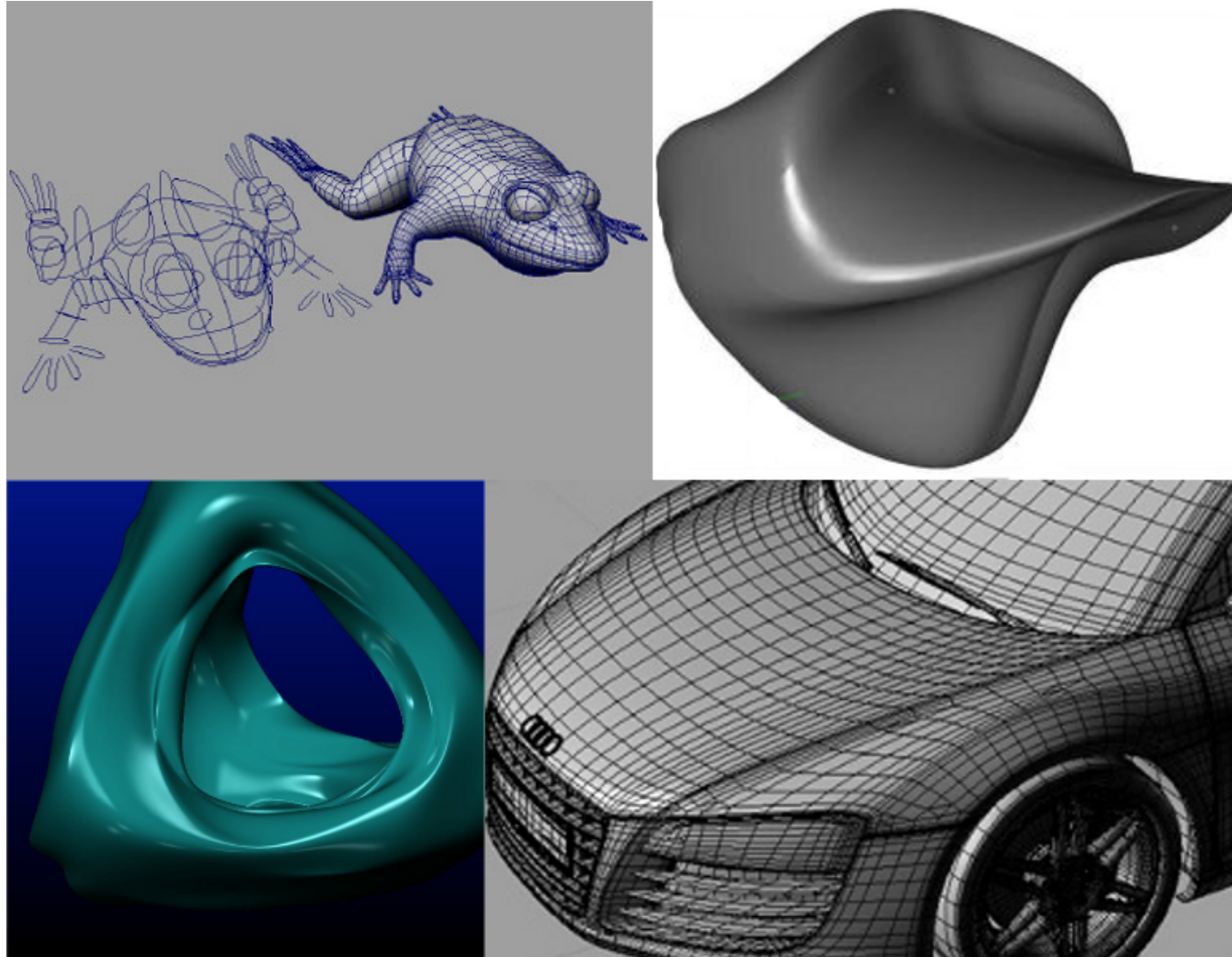


*Interactive Computer Graphics:  
Lecture 11*

Introduction to Spline Curves

# *Splines*



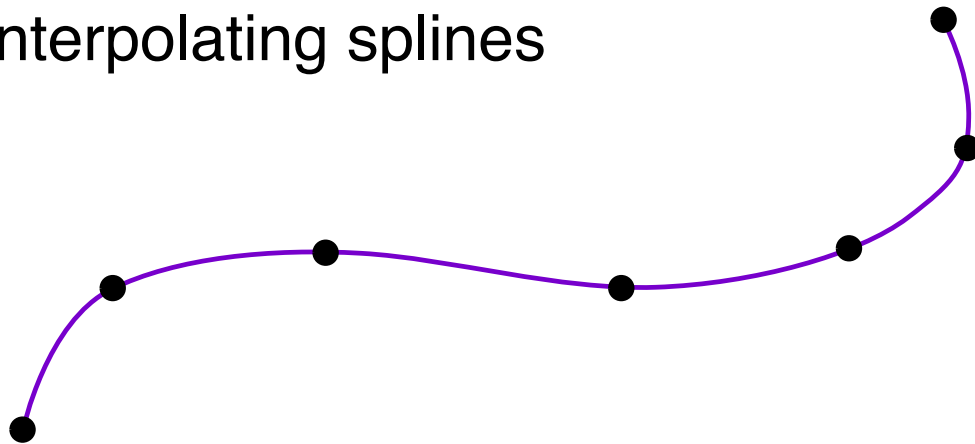
# *Splines*

- The word spline comes from the ship building trade where planks were originally shaped by bending them round pegs fixed in the ground.
- Originally it was the pegs that were referred to as splines.



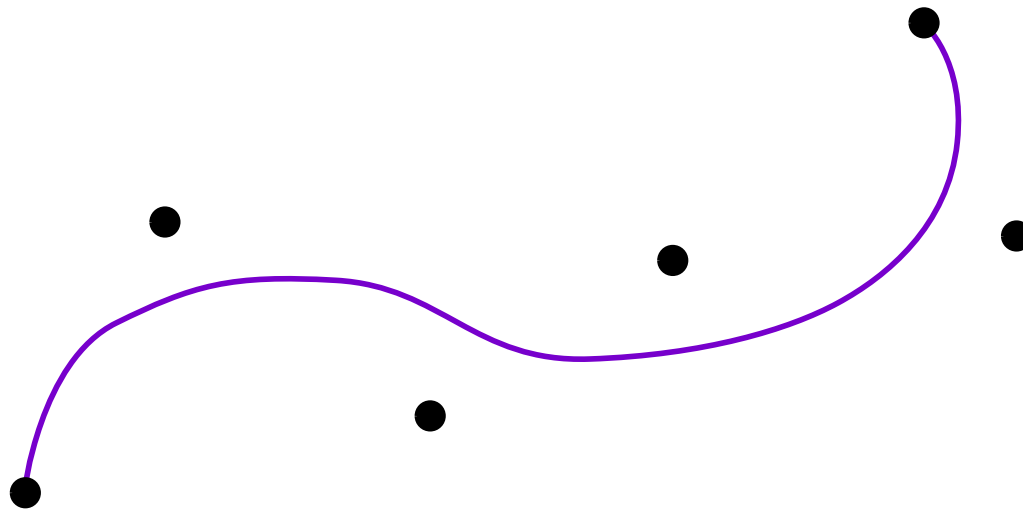
# *Interpolating Splines*

- Modern splines are smooth curves defined from a small set of points often called *knots*.
- In one main class of splines, the curve must pass through each point of the set.
- These are called interpolating splines



# *Approximating Splines*

- In other cases the curves do not pass through the points.
- The points act as control points which the user can move to adjust the shape of the curve interactively



## *Non-Parametric Spline*

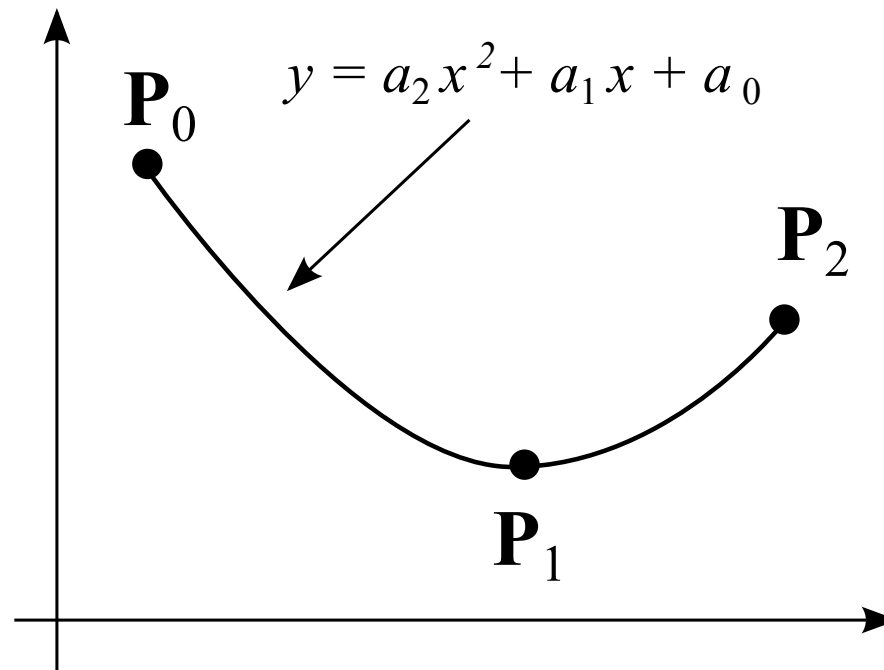
- The simplest splines are just equations in  $x$  and  $y$  (for two dimensions)
- The most common is the polynomial spline:

$$y = a_2x^2 + a_1x + a_0$$

- Given three points we can calculate  $a_2$ ,  $a_1$  and  $a_0$

## *A Non-Parametric (Parabolic) Spline*

- Example of a degree 2 (parabolic) non-parametric spline:



- There is no control using non parametric splines. Only one curve (a parabola) fits the data.

# *Parametric Splines*

- If we write our spline in a vector form we get:

$$\mathbf{P} = \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0$$

which has a parameter  $\mu$

- By convention, as  $\mu$  ranges from 0 to 1 the point  $\mathbf{P}$  traces out a curve.



## *Calculating simple parametric splines*

We can now solve for the vector constants  $\mathbf{a}_0$ ,  $\mathbf{a}_1$  and  $\mathbf{a}_2$  as follows:

- Suppose we want the curve to start at point  $\mathbf{P}_0$

$$\mathbf{P}_0 = \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0$$

- We have  $\mu = 0$  at the start so

$$\mathbf{P}_0 = \mathbf{a}_0$$

## *Calculating simple parametric splines*

- Suppose we want the spline to end at  $\mathbf{P}_2$
- We have that at the end  $\mu = 1$
- Thus

$$\begin{aligned}\mathbf{P}_2 &= \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0 \\ &= \mathbf{a}_2 + \mathbf{a}_1 + \mathbf{a}_0 \\ \Rightarrow \mathbf{P}_2 &= \mathbf{a}_2 + \mathbf{a}_1 + \mathbf{P}_0\end{aligned}$$

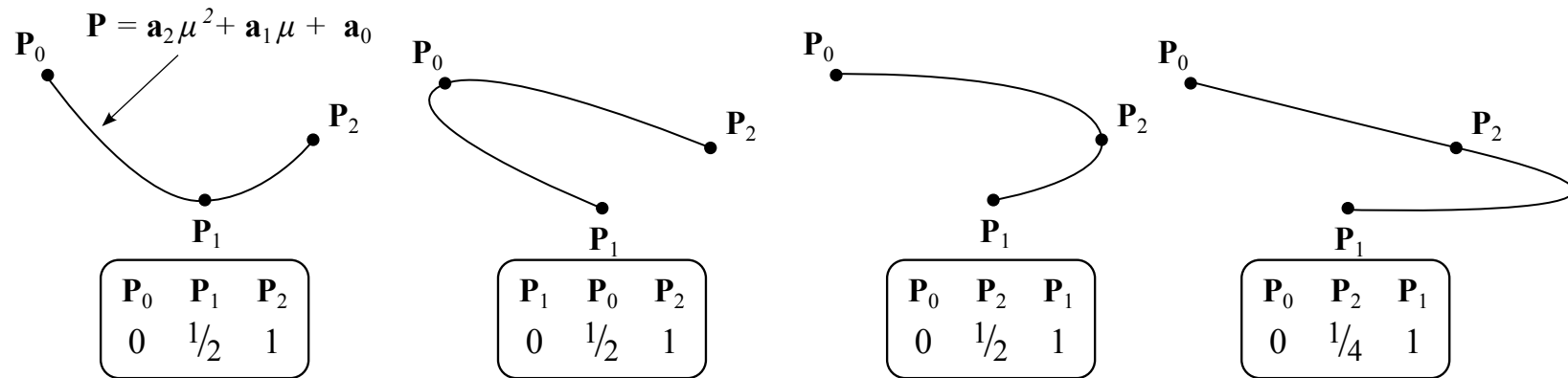
## *Calculating simple parametric splines*

- And in the middle (say  $\mu = 1/2$ ) we want it to pass through  $\mathbf{P}_1$

$$\begin{aligned}\mathbf{P}_1 &= \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0 \\ \Rightarrow \mathbf{P}_1 &= \frac{1}{4}\mathbf{a}_2 + \frac{1}{2}\mathbf{a}_1 + \mathbf{P}_0\end{aligned}$$

- We have enough equations to solve for  $\mathbf{a}_1$  and  $\mathbf{a}_2$ .
- Notice that the method is the same whether we are working in 2 or 3 dimensions, we just have to solve separately for each of the ordinates in the vectors  $\mathbf{a}_1$  and  $\mathbf{a}_2$ .

# *Possibilities using parametric splines*

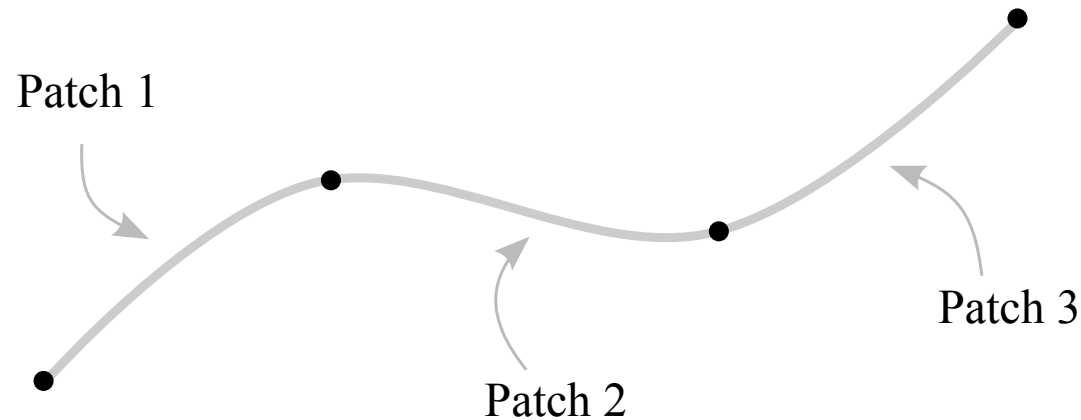


## *Higher order parametric splines*

- Parametric polynomial splines must have an order to match the number of knots.
  - 3 knots - quadratic polynomial
  - 4 knots - cubic polynomial
  - etc.
- Higher order polynomials are undesirable since they tend to oscillate

# *Spline Patches*

- To get round the problem, we can piece together a number of patches, each patch being a parametric spline.



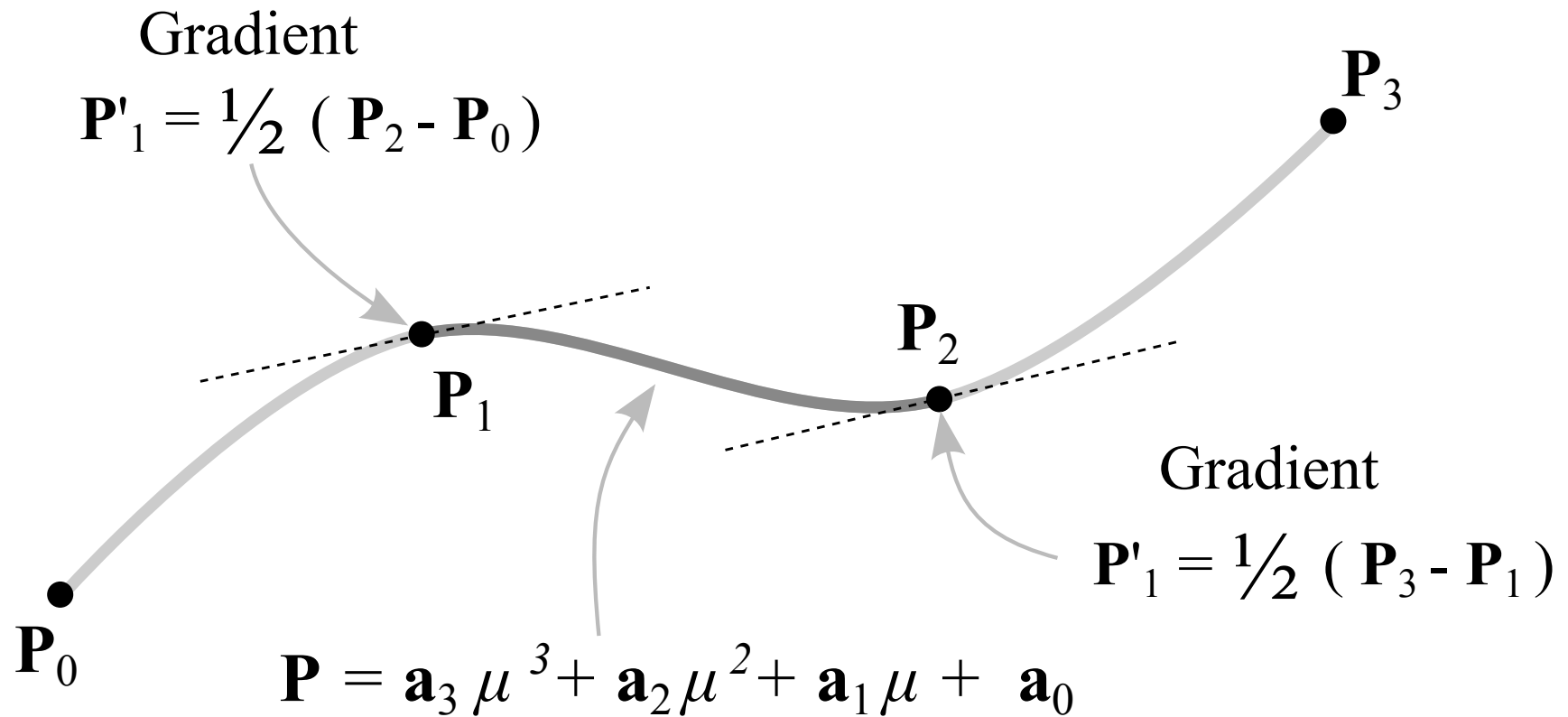
# *Cubic Spline Patches*

- The simplest, and most effective way to calculate parametric spline patches is to use a cubic polynomial.

$$\mathbf{P} = \mathbf{a}_3\mu^3 + \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0$$

- This allows us to join the patches together smoothly

## Choosing the gradients



Indices here are  $\{0, 1, 2, 3\}$  but can be any successive set of four numbers taken from the available control points



## *Calculating a Cubic Spline Patch*

- Each patch has the form:  $\mathbf{P} = \mathbf{a}_3\mu^3 + \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0$
- For the patch which joins points  $\mathbf{P}_i$  and  $\mathbf{P}_{i+1}$ , we have

$$\mu = 0 \text{ at } \mathbf{P}_i$$

$$\mu = 1 \text{ at } \mathbf{P}_{i+1}$$

- Substituting these values we get

$$\mathbf{P}_i = \mathbf{a}_0$$

$$\mathbf{P}_{i+1} = \mathbf{a}_3 + \mathbf{a}_2 + \mathbf{a}_1 + \mathbf{a}_0$$

## *Calculating a Cubic Spline Patch*

- Differentiating  $\mathbf{P} = \mathbf{a}_3\mu^3 + \mathbf{a}_2\mu^2 + \mathbf{a}_1\mu + \mathbf{a}_0$  we get

$$\mathbf{P}' = 3\mathbf{a}_3\mu^2 + 2\mathbf{a}_2\mu + \mathbf{a}_1$$

- Substituting for  $\mu = 0$  at  $\mathbf{P}_i$  and  $\mu = 1$  at  $\mathbf{P}_{i+1}$  we get

$$\begin{aligned}\mathbf{P}'_i &= \mathbf{a}_1 \\ \mathbf{P}'_{i+1} &= 3\mathbf{a}_3 + 2\mathbf{a}_2 + \mathbf{a}_1\end{aligned}$$

## *Calculating a Cubic Spline Patch*

- Putting these four equations into matrix form we get:

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 \end{pmatrix} \begin{pmatrix} \mathbf{a}_0 \\ \mathbf{a}_1 \\ \mathbf{a}_2 \\ \mathbf{a}_3 \end{pmatrix} = \begin{pmatrix} \mathbf{P}_i \\ \mathbf{P}'_i \\ \mathbf{P}_{i+1} \\ \mathbf{P}'_{i+1} \end{pmatrix}$$

- The initial matrix is always the same whether the points  $\mathbf{P}$  are in 2-D or in 3-D

## Calculating a Cubic Spline Patch

- Finally, inverting the matrix gives us the values of  $\mathbf{a}_0, \dots, \mathbf{a}_3$  that we want

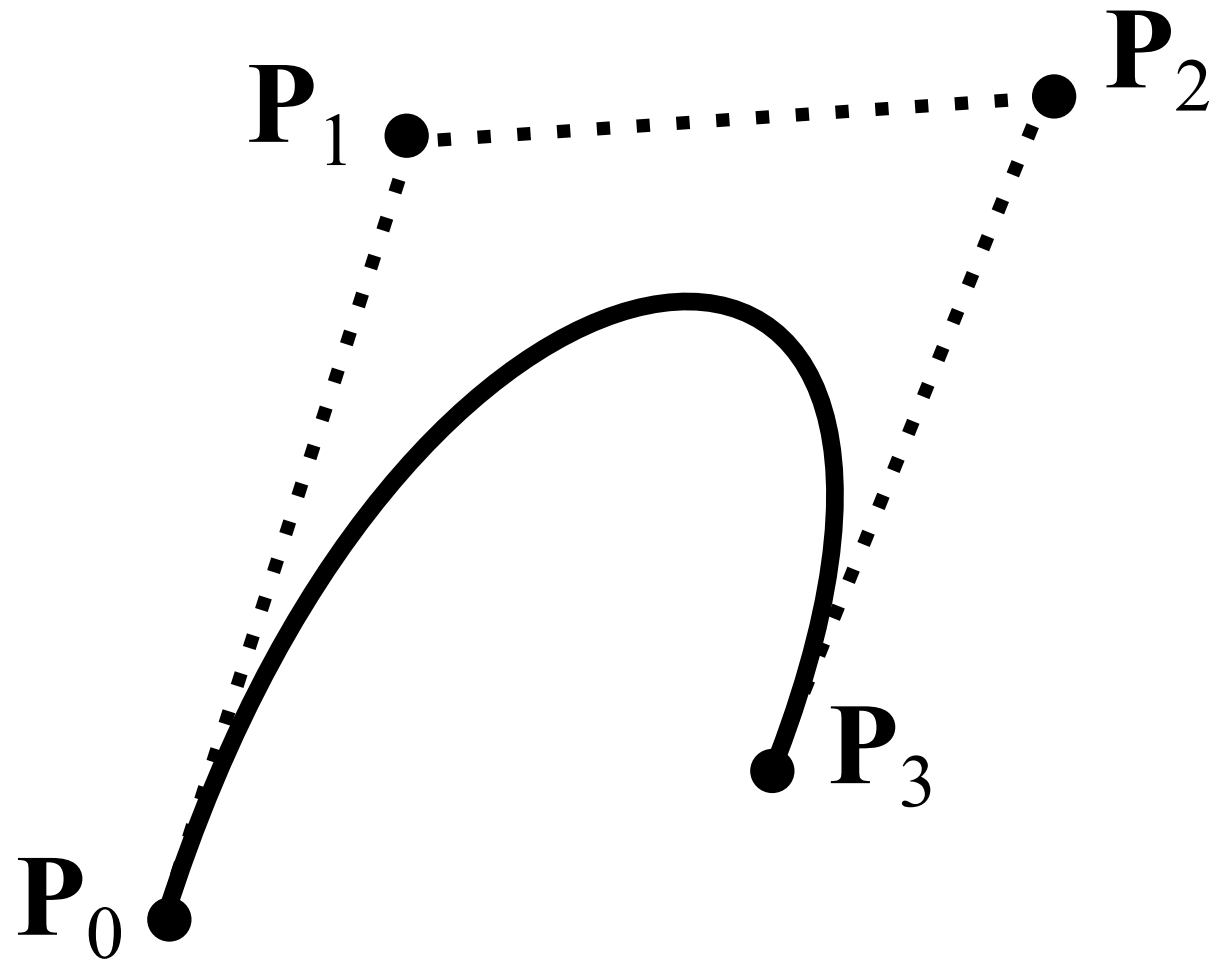
$$\begin{pmatrix} \mathbf{a}_0 \\ \mathbf{a}_1 \\ \mathbf{a}_2 \\ \mathbf{a}_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -3 & -2 & 3 & -1 \\ 2 & 1 & -2 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{P}_i \\ \mathbf{P}'_i \\ \mathbf{P}_{i+1} \\ \mathbf{P}'_{i+1} \end{pmatrix}$$

- Notice that the matrix is the same
  - for every patch
  - whether the data are 2-D, 3-D, ...

# *Bezier Curves*

- Bezier curves were developed as a method for CAD design. They give very predictable results for small sets of knots, and so are useful as spline patches.
- The main characteristics of Bezier curves are
  - They interpolate the end points
  - The slope at an end is the same as the line joining the end point to its neighbour

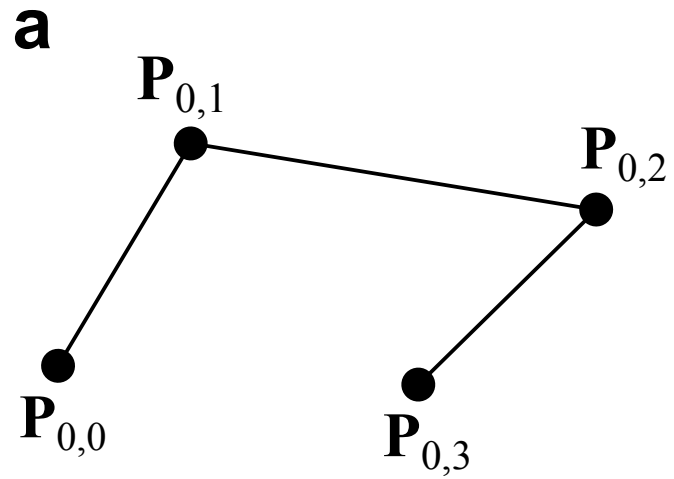
## *A typical Bezier Curve*



# *Casteljau's Algorithm*

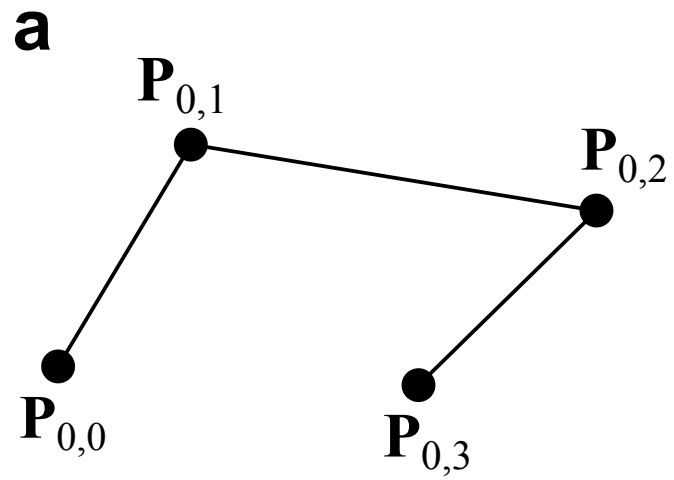
- Bezier curves may be computed and visualised using a geometric construction introduced by Paul de Casteljau.
- Like a cubic patch, we need a parameter  $\mu$  which is
  - 0 at the start of the curve
  - 1 at the end.
- The construction
  - is recursive
  - can be made for any value of  $\mu$

## *Casteljau's Construction $\mu = 0.25$*

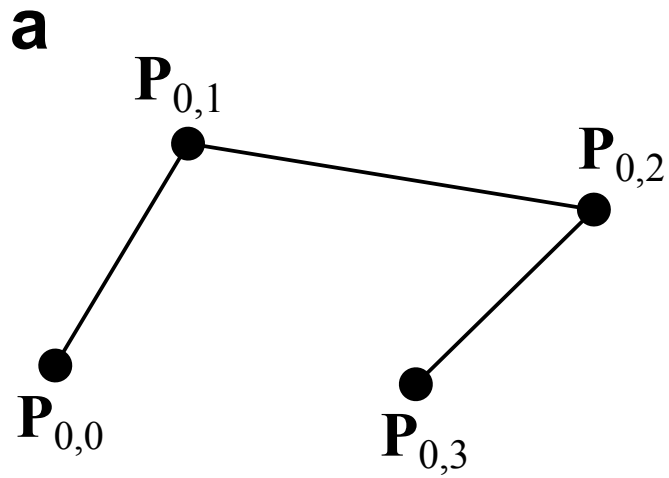




## *Casteljau's Construction $\mu = 0.6$*



## *Casteljau's Construction $\mu = 0.9$*



## *Bernstein Blending Function*

- Splines (including Bezier curves) can be formulated as a blend of the knots.
- Consider the vector line equation

$$\mathbf{P} = (1 - \mu)\mathbf{P}_0 + \mu\mathbf{P}_1$$

- It is a linear ‘blend’ of two points, and could also be considered the two-point Bezier curve!

## Blending Equation

- Any point on the spline is simply a blend of all the other points. For  $N+1$  knots we have:

$$\mathbf{P}(\mu) = \sum_{i=0}^N W(N, i, \mu) \mathbf{P}_i$$

- where  $W$  is the Bernstein blending function

$$W(N, i, \mu) = \binom{N}{i} \mu^i (1 - \mu)^{N-i}$$

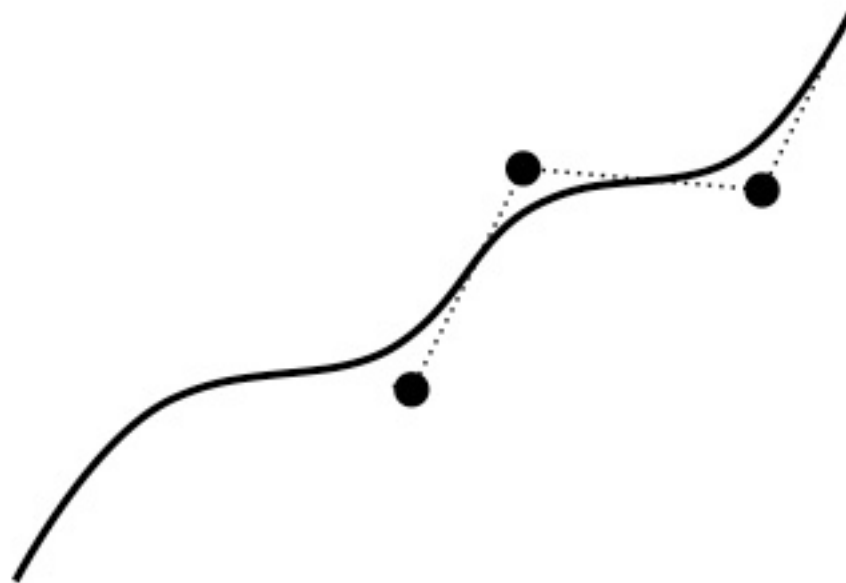
$$\binom{N}{i} = \frac{N!}{(N-i)!i!}$$

## *Blending Equation: Expansions for different N*

$N$	Expansion
1	$(1 - \mu)\mathbf{P}_0 + \mu\mathbf{P}_1$
2	$(1 - \mu)^2\mathbf{P}_0 + 2\mu(1 - \mu)\mathbf{P}_1 + \mu^2\mathbf{P}_2$
3	$(1 - \mu)^3\mathbf{P}_0 + 3\mu(1 - \mu)^2\mathbf{P}_1 + 3\mu^2(1 - \mu)\mathbf{P}_2 + \mu^3\mathbf{P}_3$
$\vdots$	$\vdots$

## *Bezier Curves lack local control*

- Since all the knots of the Bezier curve all appear in the blend they cannot be used for curves with fine detail.
- However they are very effective as spline patches.



# *Four point Bezier Curves and Cubic Patches*

- We can show their equivalence:

Four point Bezier curve    =    Cubic patch going through the first and last knots ( $\mathbf{P}_0$ and $\mathbf{P}_3$ )
-----------------------------------------------------------------------------------------------------------------------------

- It is possible to show their equivalence by
  - Expanding the iterative blending equation
  - Reversing the de Casteljau algorithm

## *Expanding the blending equation*

- For the case of four knots we can expand the Bernstein blending function to get a polynomial in  $\mu$ :

$$\begin{aligned}\mathbf{P}(\mu) &= \sum_{i=0}^3 W(3, i, \mu) \mathbf{P}_i \\ &= (1 - \mu)^3 \mathbf{P}_0 + 3\mu(1 - \mu)^2 \mathbf{P}_1 + 3\mu^2(1 - \mu) \mathbf{P}_2 + \mu^3 \mathbf{P}_3\end{aligned}$$

- This can be multiplied out to give an equation of the form:

$$\mathbf{P}(\mu) = \mathbf{a}_3 \mu^3 + \mathbf{a}_2 \mu^2 + \mathbf{a}_1 \mu + \mathbf{a}_0$$

where

$$\mathbf{a}_0 = \mathbf{P}_0$$

$$\mathbf{a}_1 = 3\mathbf{P}_1 - 3\mathbf{P}_0$$

$$\mathbf{a}_2 = 3\mathbf{P}_2 - 6\mathbf{P}_1 + 3\mathbf{P}_0$$

$$\mathbf{a}_3 = \mathbf{P}_3 - 3\mathbf{P}_2 + 3\mathbf{P}_1 - \mathbf{P}_0$$



## *Expanding the blending equation*

- These equations are linear

$$\mathbf{a}_0 = \mathbf{P}_0$$

$$\mathbf{a}_1 = 3\mathbf{P}_1 - 3\mathbf{P}_0$$

$$\mathbf{a}_2 = 3\mathbf{P}_2 - 6\mathbf{P}_1 + 3\mathbf{P}_0$$

$$\mathbf{a}_3 = \mathbf{P}_3 - 3\mathbf{P}_2 + 3\mathbf{P}_1 - \mathbf{P}_0$$

- Note that  $\mathbf{P}_0$  and  $\mathbf{P}_3$  are the endpoints
- Recall the matrix form used for a cubic spline patch

$$\begin{pmatrix} \mathbf{a}_0 \\ \mathbf{a}_1 \\ \mathbf{a}_2 \\ \mathbf{a}_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -3 & -2 & 3 & -1 \\ 2 & 1 & -2 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}'_0 \\ \mathbf{P}_3 \\ \mathbf{P}'_3 \end{pmatrix}$$

## *Expanding the blending equation*

- These equations are linear

$$\mathbf{a}_0 = \mathbf{P}_0$$

$$\mathbf{a}_1 = 3\mathbf{P}_1 - 3\mathbf{P}_0$$

$$\mathbf{a}_2 = 3\mathbf{P}_2 - 6\mathbf{P}_1 + 3\mathbf{P}_0$$

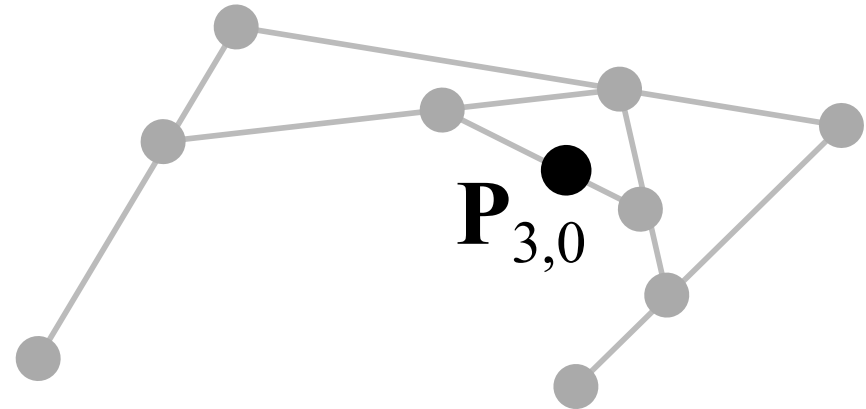
$$\mathbf{a}_3 = \mathbf{P}_3 - 3\mathbf{P}_2 + 3\mathbf{P}_1 - \mathbf{P}_0$$

- So we get the directions at the endpoints by using  $\mathbf{P}_1$  and  $\mathbf{P}_2$ .
- We have shown the blending equation is the same as a cubic patch

$$\begin{pmatrix} \mathbf{a}_0 \\ \mathbf{a}_1 \\ \mathbf{a}_2 \\ \mathbf{a}_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -3 & -2 & 3 & -1 \\ 2 & 1 & -2 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ 3\mathbf{P}_1 - 3\mathbf{P}_0 \\ \mathbf{P}_3 \\ 3\mathbf{P}_3 - 3\mathbf{P}_2 \end{pmatrix}$$

## *Reversing the de Casteljau algorithm*

We start from the point  $\mathbf{P}_{3,0}$  and work in reverse to express it in terms of its construction line.



$$\begin{aligned}\mathbf{P}_{3,0} &= (1 - \mu)\mathbf{P}_{2,0} + \mu\mathbf{P}_{2,1} \\ &= (1 - \mu) \{ (1 - \mu)\mathbf{P}_{1,0} + \mu\mathbf{P}_{1,1} \} + \mu \{ (1 - \mu)\mathbf{P}_{1,1} + \mu\mathbf{P}_{1,2} \} \\ &= (1 - \mu)^2\mathbf{P}_{1,0} + 2\mu(1 - \mu)\mathbf{P}_{1,1} + \mu^2\mathbf{P}_{1,2} \\ &= (1 - \mu)^2 \{ (1 - \mu)\mathbf{P}_{0,0} + \mu\mathbf{P}_{0,1} \} \\ &\quad + 2\mu(1 - \mu) \{ (1 - \mu)\mathbf{P}_{0,1} + \mu\mathbf{P}_{0,2} \} \\ &\quad + \mu^2 \{ (1 - \mu)\mathbf{P}_{0,2} + \mu\mathbf{P}_{0,3} \}\end{aligned}$$

## *Reversing the de Casteljau algorithm*

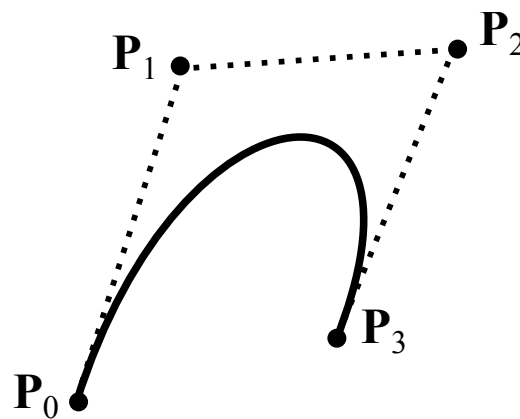
. . . continuing the expansion, we can drop the first subscript (which indicates the recursion level) to get:

$$\begin{aligned}\mathbf{P}(\mu) &= (1 - \mu)^2 \{ (1 - \mu)\mathbf{P}_0 + \mu\mathbf{P}_1 \} \\ &\quad + 2\mu(1 - \mu) \{ (1 - \mu)\mathbf{P}_1 + \mu\mathbf{P}_2 \} \\ &\quad + \mu^2 \{ (1 - \mu)\mathbf{P}_2 + \mu\mathbf{P}_3 \} \\ &= (1 - \mu)^3 \mathbf{P}_0 + 3\mu(1 - \mu)^2 \mathbf{P}_1 + 3\mu^2(1 - \mu) \mathbf{P}_2 + \mu^3 \mathbf{P}_3\end{aligned}$$

This is the same as the expanded Bernstein blending polynomial which we have already shown is equivalent to a cubic spline patch

# Control Points

- We can summarise the four point Bezier Curve by saying that it has
  - two points that are interpolated ( $P_0, P_3$ )
  - two control points ( $P_1, P_2$ )
- The curve starts at  $P_0$  and ends at  $P_3$  and its shape can be determined by moving control points  $P_1, P_2$ .
- This could be done interactively using a mouse.



## *In summary ...*

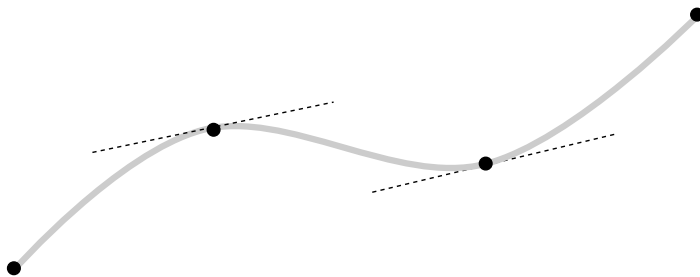
- The simplest and most effective way to draw a smooth curve through a set of points is to use a cubic patch.

No interaction needed?

setting the gradients by  
the central difference

$$\frac{1}{2}(\mathbf{P}_{i+1} - \mathbf{P}_{i-1})$$

is effective.



User wants interactive  
shape adjustment?

The four point Bezier  
formulation is ideal

