# Indexing (a brief hands-on)

#### **Index Definition in SQL**

```
    □ Create an index
        create index <index-name> on <relation-name>(<attribute-list>)
        - example
        create index title_index on movie(title)
    □ To drop an index
        drop index <index-name> on <relation-name>
        - example
        drop index title_index on movie
```

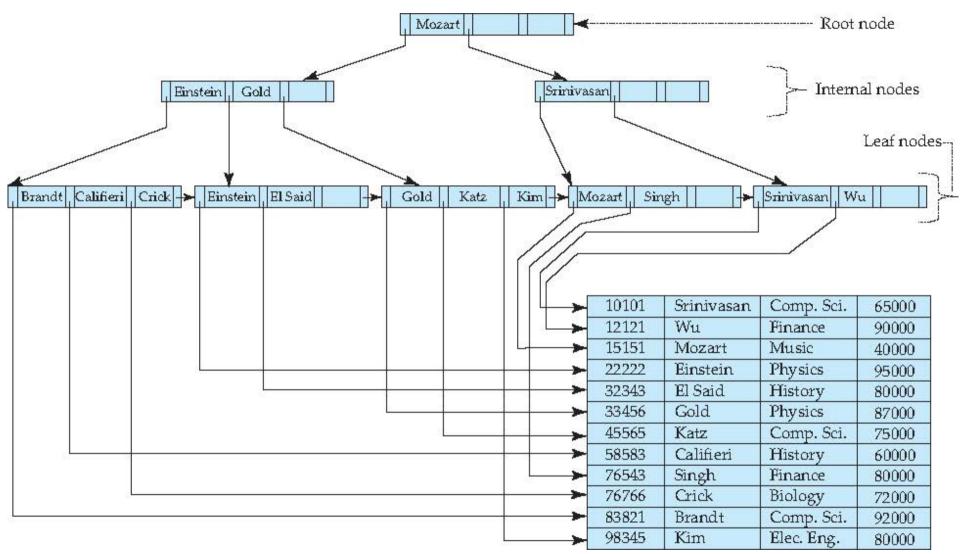
## Why index?

- ☐ To improve the performance of SELECT operations
  - create indexes on one or more of the columns that are tested in the query.
- Index entries
  - act like pointers to the table rows, allowing the query to quickly determine which rows match a condition in the WHERE clause
- All columns can be indexed.
  - tempting to do so
  - however, unnecessary indexes waste space and time
    - to determine which indexes to use may be difficult for the optimizer
    - indexes add to the cost of inserts, updates, and deletes
  - find the right balance

## **Index Definition in MySQL**

☐ MySQL indexes are (mostly) stored in B-trees.

## **Example of B+-Tree**



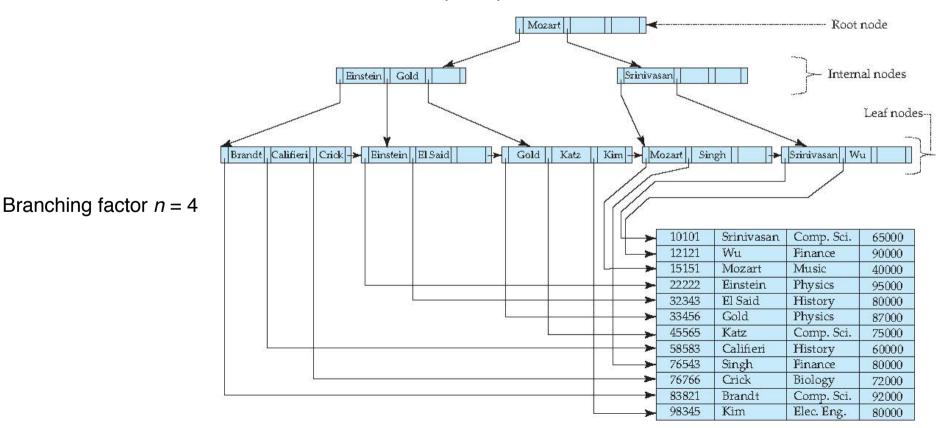
branching factor:

n=4 (maximum 4 children per node)

#### **B**+-Tree Index Files

A B+-tree with branching factor *n* is a rooted tree satisfying the following properties:

- ☐ All paths from root to leaf are of the same length
- Each node that is not a root or a leaf has between  $\lceil n/2 \rceil$  and n children.
- $\square$  A leaf node has between  $\lceil (n-1)/2 \rceil$  and n-1 values



### **B+-Tree Node Structure**

☐ Typical node

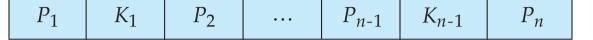


- K<sub>i</sub> are the search-key values
- P<sub>i</sub> are pointers to children (for non-leaf nodes) or pointers to records or buckets of records (for leaf nodes).
- ☐ The search-keys in a node are ordered

$$K_1 < K_2 < K_3 < \ldots < K_{n-1}$$

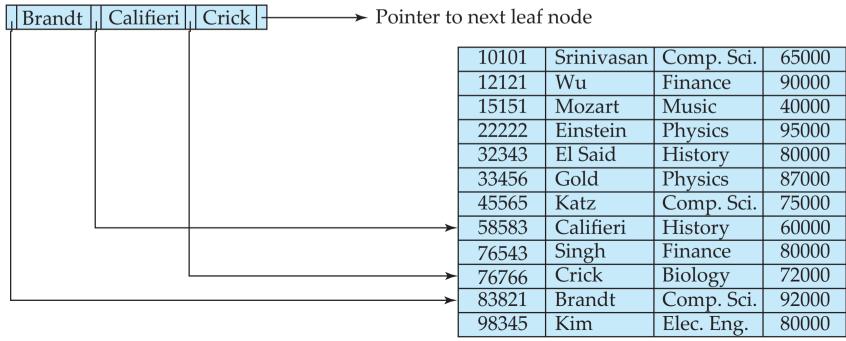
#### **Leaf Nodes in B+-Trees**

Properties of a leaf node:



- □ For i = 1, 2, ..., n-1, pointer  $P_i$  points to a file record with search-key value  $K_i$ ,
- ☐ If  $L_i$ ,  $L_j$  are leaf nodes and i < j,  $L_i$ 's search-key values are less than or equal to  $L_i$ 's search-key values
- $\square$   $P_n$  points to next leaf node in search-key order

leaf node



## Index use in MySQL

- MySQL uses indexes as follows
  - to find the rows matching a WHERE clause quickly
  - to eliminate rows from consideration
  - to retrieve rows from other tables when performing joins
  - to find the MIN() or MAX() value for a specific indexed column
  - to sort or group a table if the sorting or grouping is done on a leftmost prefix of a usable index

#### What to consider

- Considerations
  - If there is a choice between multiple indexes, MySQL normally uses the index that finds the smallest number of rows (the most selective index).
  - in a multiple-column index, any leftmost prefix can be used
- ☐ Indexes are less important
  - on small tables,
  - on big tables mainly accessed by "report queries" processing most or all of the rows
    - when a query needs to access most of the rows, reading sequentially is faster than working through an index.

## **Index Types in MySQL**

- ☐ The primary key index
  - The primary key always has an associated index,
  - for a table without an obvious primary key, an option is
    - create a separate column with auto-increment values to use as the primary key.
- □ Column Indexes
  - The most common type of index involves a single column,
  - The B-tree data structure lets the index quickly
    - find a specific value, a set of values, or a range of values, corresponding to operators such as =, >, <, BETWEEN, IN, and so on, in a WHERE clause.

☐ 3 tables: movie, casting, person:

- The movie database
- movie(mid, title, production\_year)
- casting(mid, pid)
- person(pid, name, gender)

movie

2017

	1110VIC	<b>.</b>	<b>.</b>
	mid	title	production_year
	2438281	Accordion Player	1888
	2546319	Brighton Street Scene	1888
	2831850	Hyde Park Corner	1889
	3004390	Man Walking Around the Corner	1887
	3127044	Passage de Venus	1874
	3205178	Roundhay Garden Scene	1888
}	3214201	Sallie Gardner at a Gallop	1878
	3462286	Traffic Crossing Leeds Bridge	1888

Not all rows from the 3 tables are shown here

#### Full content are:

movie: 887.047 rows

casting: 6.995.056 rows

person: 2.422.836 rows

casting				
pid	mid			
1158190     1158190     2197644     2743436     3489247	2438281   3205178   3205178   3205178   3205178			

person +	<b></b>	+
pid	name	gender
1158190     2197644     2743436     3489247	Le Prince, Adolphe   Whitley, Joseph   Hartley, Annie   Whitley, Sarah	m

☐ 3 new tables copying data from the existing

```
create table moviel as (select * from movie);
create table casting1 as (select * from casting);
create table person1 as (select * from person);
```

□ a clear performance difference ...:

```
mysql> select count(*)
from movie natural join casting natural join person
where name like'Pacino%';
...
1 row in set (0.42 sec)

mysql> select count(*)
from movie1 natural join casting1 natural join person1
where name like'Pacino%';
...
1 row in set (18.82 sec)
```

compare the query plans

```
mysql> select count(*)
from movie natural join casting natural join person
where name like 'Pacino%':
                                                                                                      Query cost: 1916247.23
                                                                                                       query block #1
1 row in set (0.42 sec)
                                                                                                       19162 7824.53K rows
                                                                                 947209.72
                                                                                          807.53K rows
                                                                                   nested
                                                                                                          nested
                                                          501144.84 2.42M rows
                                                                            446064.89
                                                                                                    969037.51
                                                                              Non-Unique Key Lookup
                                                                                                     Unique Key Lookup
                                                            Full Table Scan
                                                               person
                                                                                   casting
                                                                                                          movie
                                                                                                         PRIMARY
                                                                                    c idx
```

```
mysql> select count(*)
from moviel natural join casting1 natural join person1
where name like 'Pacino%';
                                                                                            Query cost: 3.242816691e16
                                                                                             query_block #1
1 row in set (18.82 sec)
                                                                                                  6919+16.16G rows
                                                                            3.72387940128e+11
                                                                                     186.19G rows
                                                                                                nested
                                                               2.40M rows
                                                                          372409055 6.98M rows
                                                                                            3.2430987: 4770+86K rows
                                                                            Full Table Scan
                                                                                             Full Table Scan
                                                          Full Table Scan
```

person1

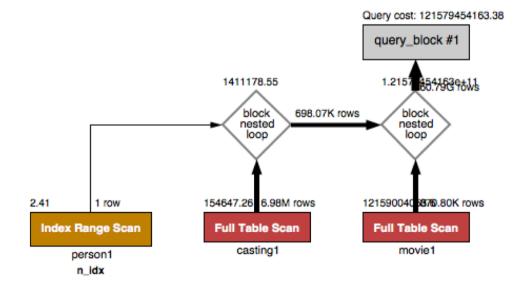
casting1

movie1

☐ adding a special purpose index

```
mysql> create index `n_idx` on person1(`name`);
mysql> select count(*)
from movie1 natural join casting1 natural join person1
where name like'Pacino%';
...
1 row in set (18.79 sec)
```

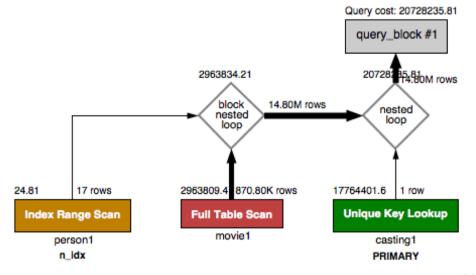
□ not much change here



□ adding a primary key on (mid, pid)

```
mysql> alter table casting1 add constraint PRIMARY KEY (`mid`, `pid`);
mysql> select count(*)
from movie1 natural join casting1 natural join person1
where name like'Pacino%';
...
1 row in set (18.82 sec)
```

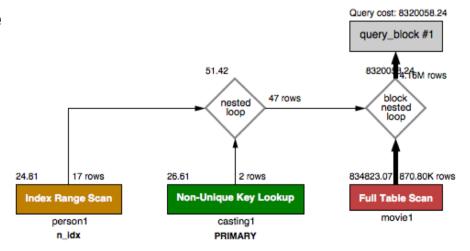
□ not much change here either



□ rather than primary key on (mid, pid) as above we can try with one on (pid, mid)

```
mysql> alter table casting1 drop PRIMARY KEY;
mysql> alter table casting1 add constraint PRIMARY KEY (`pid`,`mid`);
mysql> select count(*) from moviel natural join casting1 natural join
person1 where name like'Pacino%';
...
1 row in set (4.99 sec)
```

☐ this gives a significant difference



- ☐ an finally to avoid the full table scan on movie1:
  - adding a primary key on movie1(mid)

```
mysql> alter table moviel add constraint PRIMARY KEY (`mid`);
mysql> select count(*) from moviel natural join castingl natural join
person1 where name like'Pacino%';
...
1 row in set (0.00 sec)
```

- even better than what we saw in the first place where the tables was "conventionally" indexed by primary keys
- □ why?

