## code

## December 22, 2021

```
[]: import numpy as np
     import torch
     import os
     from sklearn import svm
     import pywt
     import matplotlib.pyplot as plt
     plt.rcParams.update({'font.size': 22})
     from sklearn.manifold import TSNE
     import torch.nn as nn
     from torch.nn.functional import softmax, relu
     from torch.utils.data import DataLoader, TensorDataset
     from tqdm import tqdm
     from sklearn.metrics import accuracy_score
     path_figures = './figures'
     if not os.path.exists(path_figures):
         os.makedirs(path_figures)
     path model = './models'
     if not os.path.exists(path_model):
         os.makedirs(path model)
```

load dataset and check data shape

```
[]: data_path = './data'
X_train_valid = np.load(os.path.join(data_path, 'X_train_valid.npy'))
y_train_valid = np.load(os.path.join(data_path, 'y_train_valid.npy'))
X_test = np.load(os.path.join(data_path, 'X_test.npy'))
y_test = np.load(os.path.join(data_path, 'y_test.npy'))
person_train_valid = np.load(os.path.join(data_path, 'person_train_valid.npy'))
person_test = np.load(os.path.join(data_path, 'person_test.npy'))

print(X_train_valid.shape)
print(y_train_valid.shape)
print(y_test.shape)
print(y_test.shape)
print(person_train_valid.shape)
print(person_test.shape)
```

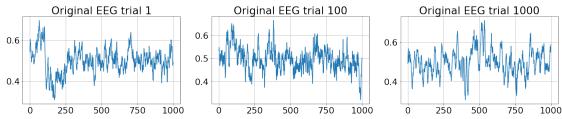
```
def func_categorical_label(y):
    y[y == 769] = 0
    y[y == 770] = 1
    y[y == 771] = 2
    y[y == 772] = 3
    return y
# minmax normalization
def func normalize(x):
    return (x - np.min(x))/(np.max(x) - np.min(x))
X_train_valid = func_normalize(X_train_valid)
X_test = func_normalize(X_test)
# change the label from subject index (769, ...) to (0, 1, 2, 3)
y_train_valid = func_categorical_label(y_train_valid)
y_test = func_categorical_label(y_test)
(2115, 22, 1000)
(2115,)
(443, 22, 1000)
(443,)
```

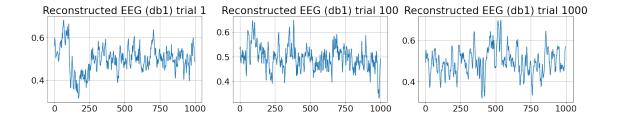
Compute wavelet transform embedding with various levels

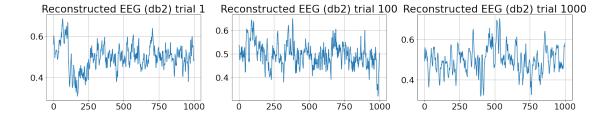
(2115, 1) (443, 1)

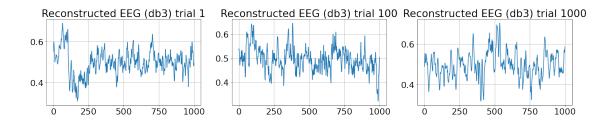
```
[]: def func_plotEEG(X, trial_list, fig_name, path_figures, subplot_title):
         # plot EEG signal according to the trial list
         numOfSample = len(trial_list)
         time_step = X.shape[2]
         fig, axes = plt.subplots(1, numOfSample)
         fig.set_size_inches(8*numOfSample,4)
         for i in range(numOfSample):
             axes[i].plot(np.arange(time_step), X[trial_list[i], 0, :]) # only plot_
      \rightarrow the first node
             axes[i].grid(True)
             axes[i].title.set_text(subplot_title + ' trial '+ str(trial_list[i]))
         fig.savefig(os.path.join(path_figures, fig_name), bbox_inches='tight')
     def func_fitsvm(X, y):
         X = np.reshape(X, (X.shape[0], X.shape[1]*X.shape[2]))
         clf = svm.SVC()
         clf.fit(X, y)
         return clf
     def func_dwt(X, db_level):
```

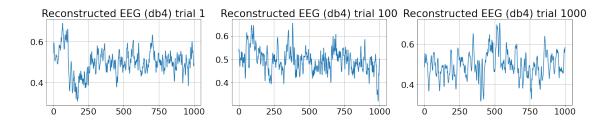
```
(cA, cD) = pywt.dwt(X, db_level)
   recon_X_cA = pywt.idwt(cA, None, db_level)
   recon_X_cD = pywt.idwt(cD, None, db_level)
   return cA, cD, recon_X_cA, recon_X_cD
cA_db1, _, recon_db1_X_train_valid, _ = func_dwt(X_train_valid, 'db1')
cA_db2, _, recon_db2_X_train_valid, _ = func_dwt(X_train_valid, 'db2')
cA_db3, _, recon_db3_X_train_valid, _ = func_dwt(X_train_valid, 'db3')
cA_db4, _, recon_db4_X_train_valid, _ = func_dwt(X_train_valid, 'db4')
trial list = [1,100,1000]
func_plotEEG(X_train_valid, trial_list, 'org_X_train_valid.pdf', path_figures,_
→'Original EEG')
func_plotEEG(recon_db1_X_train_valid, trial_list, 'recon_db1_X_train_valid.
→pdf', path_figures, 'Reconstructed EEG (db1)')
func_plotEEG(recon_db2_X_train_valid, trial_list, 'recon_db2_X_train_valid.
→pdf', path_figures, 'Reconstructed EEG (db2)')
func_plotEEG(recon_db3_X_train_valid, trial_list, 'recon_db3_X_train_valid.
→pdf', path_figures, 'Reconstructed EEG (db3)')
func plotEEG(recon db4 X train valid, trial list, 'recon db4 X train valid.
⇒pdf', path figures, 'Reconstructed EEG (db4)')
svm_db1 = func_fitsvm(cA_db1, y_train_valid)
svm_db2 = func_fitsvm(cA_db2, y_train_valid)
svm_db3 = func_fitsvm(cA_db3, y_train_valid)
svm_db4 = func_fitsvm(cA_db4, y_train_valid)
```











```
[]: test_cA_db1, _, recon_db1_X_test, _ = func_dwt(X_test, 'db1')
    test_cA_db2, _, recon_db2_X_test, _ = func_dwt(X_test, 'db2')
    test_cA_db3, _, recon_db3_X_test, _ = func_dwt(X_test, 'db3')
    test_cA_db4, _, recon_db4_X_test, _ = func_dwt(X_test, 'db4')

def func_testReshape(X):
    return np.reshape(X, (X.shape[0],X.shape[1]*X.shape[2]))

pred_db1 = svm_db1.predict(func_testReshape(test_cA_db1))
    pred_db2 = svm_db2.predict(func_testReshape(test_cA_db2))
    pred_db3 = svm_db3.predict(func_testReshape(test_cA_db3))
    pred_db4 = svm_db4.predict(func_testReshape(test_cA_db4))
```

```
[]: print('wavelet db1 accuracy:', accuracy_score(pred_db1, y_test))
print('wavelet db2 accuracy:', accuracy_score(pred_db2, y_test))
print('wavelet db3 accuracy:', accuracy_score(pred_db3, y_test))
print('wavelet db4 accuracy:', accuracy_score(pred_db4, y_test))
```

wavelet db1 accuracy: 0.3927765237020316 wavelet db2 accuracy: 0.3927765237020316

```
wavelet db3 accuracy: 0.39503386004514673 wavelet db4 accuracy: 0.3837471783295711
```

Compute TSNE embedding

```
[]: | # compute the tsne-embedding and use SVM for feature selection
     tsne_train_valid = TSNE(n_components=3).fit_transform(np.reshape(X_train_valid,_
     →(X_train_valid.shape[0], X_train_valid.shape[1]*X_train_valid.shape[2])))
     tsne_test = TSNE(n_components=3).fit_transform(np.reshape(X_test, (X_test.
     ⇒shape[0], X test.shape[1]*X test.shape[2])))
     svm_tsne = svm.SVC()
     svm_tsne.fit(tsne_train_valid, y_train_valid)
     pred_tsne = svm_tsne.predict(tsne_test)
     print('tsne accuracy', accuracy_score(pred_tsne, y_test))
    /home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
    packages/sklearn/manifold/_t_sne.py:780: FutureWarning: The default
    initialization in TSNE will change from 'random' to 'pca' in 1.2.
      warnings.warn(
    /home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
    packages/sklearn/manifold/_t_sne.py:790: FutureWarning: The default learning
    rate in TSNE will change from 200.0 to 'auto' in 1.2.
      warnings.warn(
    /home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
    packages/sklearn/manifold/_t_sne.py:780: FutureWarning: The default
    initialization in TSNE will change from 'random' to 'pca' in 1.2.
      warnings.warn(
    /home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
    packages/sklearn/manifold/_t_sne.py:790: FutureWarning: The default learning
    rate in TSNE will change from 200.0 to 'auto' in 1.2.
      warnings.warn(
    tsne accuracy 0.2618510158013544
[]: # implement the neural network embedding
     def evaluate(model, validation_set, loss_fn):
         with torch.no grad():
            numOfCorrectLabels = 0
             total loss = 0
             for data, label in validation_set:
                 model_input = torch.reshape(data, (data.shape[0], 1, data.shape[1],
      →data.shape[2])) # expand one dimension for the channel for the EGG
                 model input = model input.to('cuda')
                 label = label.to('cuda')
                 pred_onehot, x_embd = model(model_input)
```

```
pred = torch.argmax(pred_onehot, dim=1)
            eval_loss = loss_fn(pred_onehot, label.to(torch.long))
            total_loss += eval_loss.item()
            numOfCorrectLabels += (pred == label).float().sum()
        accuracy = numOfCorrectLabels / len(validation_set.dataset)
        total_loss /= len(validation_set.dataset)
    return accuracy, total_loss
class ShallowCNN(nn.Module):
    """ Simple feed forward network with one hidden layer."""
    def __init__(self):
        super(ShallowCNN, self).__init__()
        self.conv1 = nn.Conv2d(1, 40, (1, 25), padding='valid')
        self.conv2 = nn.Conv2d(1, 40, (880, 1), padding='valid')
        self.pool1 = nn.AvgPool2d(kernel_size=(1,75), stride=(1, 15))
        self.ln1 = nn.Linear(2440, 4)
    def forward(self, x):
        x = self.conv1(x)
        # print(x.shape) # bs x 40 x 22 x 976
        x = torch.reshape(x, (x.shape[0], 1, 880, 976))
        x = relu(self.conv2(x))
        # print(x.shape) # bs x 40 x 1 x 976
        x = torch.reshape(x, (x.shape[0], 40, 976))
        x = relu(self.pool1(x))
        x_embd = torch.flatten(x, start_dim=1) # flatten the batched array
        # print(x_embd.shape) # bs x 2440
        pred = softmax(self.ln1(x_embd))
        # print(x.shape) # bs x 4
        return pred, x_embd
# create train and validation set for shallow CNN
n \text{ split} = 0.8
bs = 4
num epoch = 1000
lr = 1e-4
numOfSample = X_train_valid.shape[0]
X_train = torch.Tensor(X_train_valid[:int(n_split*numOfSample)])
y_train = torch.Tensor(y_train_valid[:int(n_split*numOfSample)])
X_valid = torch.Tensor(X_train_valid[int(n_split*numOfSample):])
y_valid = torch.Tensor(y_train_valid[int(n_split*numOfSample):])
```

```
EEG_train_dataset = DataLoader(TensorDataset(X_train, y_train), batch_size=bs,__
⇔shuffle=True)
EEG valid dataset = DataLoader(TensorDataset(X valid, y valid), batch size=bs,
⇒shuffle=True)
print('Training input shape', X_train.shape)
print('Validation input shape', X_valid.shape)
model = ShallowCNN()
model.to('cuda')
CE loss = nn.CrossEntropyLoss()
optimizer = torch.optim.Adam(model.parameters(), lr=lr)
# start training
base_valid_acc = 0
train_acc_list, train_loss_list, valid_acc_list, valid_loss_list = [], [], [],
for epoch_idx in range(num_epoch):
   for data, label in EEG_train_dataset:
        optimizer.zero_grad()
        model_input = torch.reshape(data, (data.shape[0], 1, data.shape[1],__
 →data.shape[2])) # expand one dimension for the channel for the EGG
       model_input = model_input.to('cuda')
        label = label.to('cuda')
       pred_onehot, x_embd = model(model_input)
       pred = torch.argmax(pred_onehot, dim=1)
       loss = CE_loss(pred_onehot.to(torch.float32), label.to(torch.long)) #__
→pred onhot, label just digit (long tensor!!!)
        # print(one hot(label.to(torch.int64)).to(torch.float32).shape)
        loss.backward()
        optimizer.step()
    if epoch_idx % 10 == 0:
        train_acc, train_loss = evaluate(model, EEG train_dataset, CE_loss)
        valid_acc, valid_loss = evaluate(model, EEG_valid_dataset, CE_loss)
        train_acc list.append(train acc), valid_acc list.append(valid acc)
        train_loss_list.append(train_loss), valid_loss_list.append(valid_loss)
        print(f" EPOCH {epoch_idx}. Progress: {epoch_idx/num_epoch*100}%. ")
       print(f" Train accuracy: {train_acc}. Valid accuracy: {valid_acc}")
        print(f" Train CE loss: {train_loss}. Valid CE loss: {valid_loss}")
        if valid_acc > base_valid_acc:
```

```
base_valid_acc = valid_acc
            torch.save(model.state_dict(), os.path.join(path_model,_
 → 'model_shallowCNN_bs_{}_lr_{}_epoch_{}.pth'.format(str(bs), str(lr), u

str(num_epoch))))
Training input shape torch.Size([1692, 22, 1000])
```

Validation input shape torch.Size([423, 22, 1000])

/tmp/ipykernel\_1222/3743213622.py:49: UserWarning: Implicit dimension choice for softmax has been deprecated. Change the call to include  $\dim = X$  as an argument. pred = softmax(self.ln1(x\_embd))

EPOCH O. Progress: 0.0%.

Train accuracy: 0.25591015815734863. Valid accuracy: 0.2600472867488861

Train CE loss: 0.3465652133274304. Valid CE loss: 0.34738125896904765

EPOCH 10. Progress: 1.0%.

Train accuracy: 0.25591015815734863. Valid accuracy: 0.2600472867488861

Train CE loss: 0.3465599419095556. Valid CE loss: 0.347365885479794

EPOCH 20. Progress: 2.0%.

Train accuracy: 0.256501168012619. Valid accuracy: 0.2600472867488861

Train CE loss: 0.34643832060462193. Valid CE loss: 0.347255830787316

EPOCH 30. Progress: 3.0%.

Train accuracy: 0.3705673813819885. Valid accuracy: 0.3356974124908447

Train CE loss: 0.33522355577624435. Valid CE loss: 0.3403340093351143

EPOCH 40. Progress: 4.0%.

Train accuracy: 0.40070921182632446. Valid accuracy: 0.368794322013855

Train CE loss: 0.32534531998859795. Valid CE loss: 0.3360229293787169

EPOCH 50. Progress: 5.0%.

Train accuracy: 0.45035460591316223. Valid accuracy: 0.38770684599876404

Train CE loss: 0.3191464067773616. Valid CE loss: 0.3325724011335531

EPOCH 60. Progress: 6.0%.

Train accuracy: 0.4403073191642761. Valid accuracy: 0.3995271921157837

Train CE loss: 0.3181444317031978. Valid CE loss: 0.33129514320522335

EPOCH 70. Progress: 7.00000000000001%.

Train accuracy: 0.4858156144618988. Valid accuracy: 0.41134750843048096

Train CE loss: 0.3120472551660335. Valid CE loss: 0.32764245897320143

EPOCH 80. Progress: 8.0%.

Train accuracy: 0.5159574747085571. Valid accuracy: 0.4160756468772888

Train CE loss: 0.30707724786960205. Valid CE loss: 0.3245218012913463

EPOCH 90. Progress: 9.0%.

Train accuracy: 0.5395981073379517. Valid accuracy: 0.4160756468772888

Train CE loss: 0.303457887354472. Valid CE loss: 0.3225215982038079

EPOCH 100. Progress: 10.0%.

Train accuracy: 0.5585106611251831. Valid accuracy: 0.4137115776538849

Train CE loss: 0.2995318135612118. Valid CE loss: 0.3209464968519008

EPOCH 110. Progress: 11.0%.

Train accuracy: 0.563829779624939. Valid accuracy: 0.44208037853240967

Train CE loss: 0.2981065193952962. Valid CE loss: 0.31802469534231415

- EPOCH 120. Progress: 12.0%.
- Train accuracy: 0.5963357090950012. Valid accuracy: 0.44208037853240967
- Train CE loss: 0.2908208518515806. Valid CE loss: 0.3167192563942984
- EPOCH 130. Progress: 13.0%.
- Train accuracy: 0.6247044801712036. Valid accuracy: 0.4728132486343384
- Train CE loss: 0.28533038644925923. Valid CE loss: 0.3130400221680355
- Train accuracy: 0.5721040368080139. Valid accuracy: 0.4680851101875305
- Train CE loss: 0.29118366363341647. Valid CE loss: 0.3125943413299308
- EPOCH 150. Progress: 15.0%.
- Train accuracy: 0.6270685791969299. Valid accuracy: 0.4728132486343384
- Train CE loss: 0.2813230064250617. Valid CE loss: 0.31086270338536437
- EPOCH 160. Progress: 16.0%.
- Train accuracy: 0.6589834690093994. Valid accuracy: 0.4893617033958435
- Train CE loss: 0.2759812071250122. Valid CE loss: 0.30861511622196675
- EPOCH 170. Progress: 17.0%.
- Train accuracy: 0.6678487062454224. Valid accuracy: 0.5059101581573486
- Train CE loss: 0.2740505235555888. Valid CE loss: 0.30597928469344515
- EPOCH 180. Progress: 18.0%.
- Train accuracy: 0.6317967176437378. Valid accuracy: 0.4893617033958435
- Train CE loss: 0.27691933826210935. Valid CE loss: 0.31018901702641877
- EPOCH 190. Progress: 19.0%.
- Train accuracy: 0.7027186751365662. Valid accuracy: 0.5106382966041565
- Train CE loss: 0.2650116086710711. Valid CE loss: 0.30300060922090605
- EPOCH 200. Progress: 20.0%.
- Train accuracy: 0.7139480113983154. Valid accuracy: 0.5177304744720459
- EPOCH 210. Progress: 21.0%.
- Train accuracy: 0.7281323671340942. Valid accuracy: 0.5295508503913879
- Train CE loss: 0.2602756080122986. Valid CE loss: 0.30110329784118256
- EPOCH 220. Progress: 22.0%.
- Train accuracy: 0.7364066243171692. Valid accuracy: 0.5248227119445801
- Train CE loss: 0.257524453121156. Valid CE loss: 0.3005332593094936
- EPOCH 230. Progress: 23.0%.
- Train accuracy: 0.7429078221321106. Valid accuracy: 0.5248227119445801
- Train CE loss: 0.25509153257165956. Valid CE loss: 0.29889662454596083
- EPOCH 240. Progress: 24.0%.
- Train accuracy: 0.7618203163146973. Valid accuracy: 0.5319148898124695
- Train CE loss: 0.25201310340676186. Valid CE loss: 0.29928419000995354
- EPOCH 250. Progress: 25.0%.
- Train accuracy: 0.7665484547615051. Valid accuracy: 0.5366430282592773
- Train CE loss: 0.2496520101883169. Valid CE loss: 0.2988627133076354
- EPOCH 260. Progress: 26.0%.
- Train accuracy: 0.7677304744720459. Valid accuracy: 0.5484633445739746
- Train CE loss: 0.2488061875175359. Valid CE loss: 0.2966887907215326
- EPOCH 270. Progress: 27.0%.
- Train accuracy: 0.7801418304443359. Valid accuracy: 0.5295508503913879
- Train CE loss: 0.2470721311814396. Valid CE loss: 0.2991078291660787

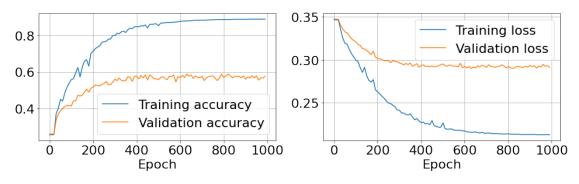
- EPOCH 280. Progress: 28.00000000000004%.
- Train accuracy: 0.7813238501548767. Valid accuracy: 0.5319148898124695
- Train CE loss: 0.24513923952765498. Valid CE loss: 0.29809806448348025
- EPOCH 290. Progress: 28.9999999999996%.
- Train accuracy: 0.8002364039421082. Valid accuracy: 0.5437352061271667
- Train CE loss: 0.24150511840838348. Valid CE loss: 0.2978263693216563
- EPOCH 300. Progress: 30.0%.
- Train accuracy: 0.7996453642845154. Valid accuracy: 0.5602836608886719
- Train CE loss: 0.24136155984644067. Valid CE loss: 0.2961642326474472
- EPOCH 310. Progress: 31.0%.
- Train accuracy: 0.813238799571991. Valid accuracy: 0.5531914830207825
- Train CE loss: 0.23796468610318278. Valid CE loss: 0.29546456119974734
- EPOCH 320. Progress: 32.0%.
- Train accuracy: 0.8102836608886719. Valid accuracy: 0.567375898361206
- Train CE loss: 0.23799170990503143. Valid CE loss: 0.294286533027676
- EPOCH 330. Progress: 33.0%.
- Train accuracy: 0.8209219574928284. Valid accuracy: 0.5531914830207825
- Train CE loss: 0.2361806594099559. Valid CE loss: 0.29559250827658545
- EPOCH 340. Progress: 34.0%.
- Train accuracy: 0.8238770961761475. Valid accuracy: 0.5508274435997009
- Train CE loss: 0.2356053971934262. Valid CE loss: 0.2944891771244383
- EPOCH 350. Progress: 35.0%.
- Train accuracy: 0.8167848587036133. Valid accuracy: 0.5531914830207825
- Train CE loss: 0.23703259486819553. Valid CE loss: 0.29613468621639494
- EPOCH 360. Progress: 36.0%.
- Train accuracy: 0.8327423334121704. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.23327326908461027. Valid CE loss: 0.2924906763624638
- EPOCH 370. Progress: 37.0%.
- Train accuracy: 0.8404255509376526. Valid accuracy: 0.5697399377822876
- Train CE loss: 0.23013619634699314. Valid CE loss: 0.29388034132355495
- EPOCH 380. Progress: 38.0%.
- Train accuracy: 0.8374704718589783. Valid accuracy: 0.5484633445739746
- Train CE loss: 0.23146311392739027. Valid CE loss: 0.2954922739777441
- EPOCH 390. Progress: 39.0%.
- Train accuracy: 0.8481087684631348. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.22877408468976934. Valid CE loss: 0.2915103730016848
- EPOCH 400. Progress: 40.0%.
- Train accuracy: 0.8498817682266235. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.22676298078633933. Valid CE loss: 0.2930841861605362
- EPOCH 410. Progress: 41.0%.
- Train accuracy: 0.8486997485160828. Valid accuracy: 0.5626477599143982
- Train CE loss: 0.22745559405068697. Valid CE loss: 0.2941720997188108
- EPOCH 420. Progress: 42.0%.
- Train accuracy: 0.8534278869628906. Valid accuracy: 0.5815603137016296
- Train CE loss: 0.2251569463851604. Valid CE loss: 0.292191405104689
- EPOCH 430. Progress: 43.0%.
- Train accuracy: 0.8528369069099426. Valid accuracy: 0.567375898361206
- Train CE loss: 0.22593877755158337. Valid CE loss: 0.29257626806872394

- EPOCH 440. Progress: 44.0%.
- Train accuracy: 0.8581560254096985. Valid accuracy: 0.5839243531227112
- Train CE loss: 0.22444917096032035. Valid CE loss: 0.2917566513620652
- EPOCH 450. Progress: 45.0%.
- Train accuracy: 0.8416075706481934. Valid accuracy: 0.5555555820465088
- Train CE loss: 0.22916862269947152. Valid CE loss: 0.29293603818185504
- EPOCH 460. Progress: 46.0%.
- Train accuracy: 0.8617021441459656. Valid accuracy: 0.5839243531227112
- Train CE loss: 0.22305821498798703. Valid CE loss: 0.291736580237711
- EPOCH 470. Progress: 47.0%.
- Train accuracy: 0.8617021441459656. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.2225184076362186. Valid CE loss: 0.29211103000257593
- EPOCH 480. Progress: 48.0%.
- Train accuracy: 0.8634752035140991. Valid accuracy: 0.5626477599143982
- Train CE loss: 0.22129177395474545. Valid CE loss: 0.29309532081545386
- EPOCH 490. Progress: 49.0%.
- Train accuracy: 0.8646572232246399. Valid accuracy: 0.5626477599143982
- Train CE loss: 0.22140493118875698. Valid CE loss: 0.2943045088303568
- EPOCH 500. Progress: 50.0%.
- Train accuracy: 0.8540189266204834. Valid accuracy: 0.5579196214675903
- Train CE loss: 0.22649762872826687. Valid CE loss: 0.2952215034629154
- EPOCH 510. Progress: 51.0%.
- Train accuracy: 0.8682032823562622. Valid accuracy: 0.5697399377822876
- ${\tt Train\ CE\ loss:\ 0.2195659512675964.\ Valid\ CE\ loss:\ 0.29287935092375916}$
- EPOCH 520. Progress: 52.0%.
- Train accuracy: 0.8693853616714478. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.21915991537396226. Valid CE loss: 0.2925944708763285
- EPOCH 530. Progress: 53.0%.
- Train accuracy: 0.8699763417243958. Valid accuracy: 0.5650117993354797
- Train CE loss: 0.21922141567189643. Valid CE loss: 0.2941083903853775
- EPOCH 540. Progress: 54.0%.
- Train accuracy: 0.8711584210395813. Valid accuracy: 0.5579196214675903
- Train CE loss: 0.21940111419974206. Valid CE loss: 0.2933277046708632
- EPOCH 550. Progress: 55.0000000000001%.
- Train accuracy: 0.8717494010925293. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.21809492550843151. Valid CE loss: 0.29237984173686793
- EPOCH 560. Progress: 56.0000000000001%.
- Train accuracy: 0.8723404407501221. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.2177905424125933. Valid CE loss: 0.29271967163040846
- EPOCH 570. Progress: 56.99999999999%.
- Train accuracy: 0.8735224604606628. Valid accuracy: 0.5839243531227112
- Train CE loss: 0.21786639908104077. Valid CE loss: 0.2917687791459104
- EPOCH 580. Progress: 57.999999999999%.
- Train accuracy: 0.8741135001182556. Valid accuracy: 0.5460993051528931
- Train CE loss: 0.21780740517251035. Valid CE loss: 0.29601176593884226
- EPOCH 590. Progress: 59.0%.
- Train accuracy: 0.8758864998817444. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.21711388670665435. Valid CE loss: 0.2908125474661518

- EPOCH 600. Progress: 60.0%.
- Train accuracy: 0.8776595592498779. Valid accuracy: 0.5697399377822876
- Train CE loss: 0.21705853872124467. Valid CE loss: 0.2922792081009975
- EPOCH 610. Progress: 61.0%.
- Train accuracy: 0.8794326186180115. Valid accuracy: 0.5815603137016296
- Train CE loss: 0.21608966433973742. Valid CE loss: 0.29061257134656254
- EPOCH 620. Progress: 62.0%.
- Train accuracy: 0.8800236582756042. Valid accuracy: 0.5697399377822876
- Train CE loss: 0.21580384555437887. Valid CE loss: 0.29225298022547513
- EPOCH 630. Progress: 63.0%.
- Train accuracy: 0.8806146383285522. Valid accuracy: 0.588652491569519
- Train CE loss: 0.21555604012440846. Valid CE loss: 0.2897963955047283
- EPOCH 640. Progress: 64.0%.
- Train accuracy: 0.881205677986145. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.21550299815534135. Valid CE loss: 0.29144150934602636
- EPOCH 650. Progress: 65.0%.
- Train accuracy: 0.881205677986145. Valid accuracy: 0.5839243531227112
- Train CE loss: 0.2159918012278018. Valid CE loss: 0.29066266320275924
- EPOCH 660. Progress: 66.0%.
- Train accuracy: 0.8829787373542786. Valid accuracy: 0.5650117993354797
- Train CE loss: 0.2151932483164695. Valid CE loss: 0.2931223445468479
- EPOCH 670. Progress: 67.0%.
- Train accuracy: 0.8835697174072266. Valid accuracy: 0.5791962146759033
- Train CE loss: 0.21468998960287577. Valid CE loss: 0.29172571345142156
- EPOCH 680. Progress: 68.0%.
- Train accuracy: 0.8841607570648193. Valid accuracy: 0.5697399377822876
- $\label{eq:train_CE_loss: 0.21546138717350385. Valid CE loss: 0.29368445988806147} Train_{\rm CE} = 0.21546138717350385. Valid_{\rm CE} = 0.29368445988806147$
- EPOCH 690. Progress: 69.0%.
- Train accuracy: 0.8841607570648193. Valid accuracy: 0.5697399377822876
- Train CE loss: 0.2143544747403891. Valid CE loss: 0.29275279321287256
- EPOCH 700. Progress: 70.0%.
- Train accuracy: 0.8841607570648193. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.2145930081253638. Valid CE loss: 0.2911656215681252
- EPOCH 710. Progress: 71.0%.
- Train accuracy: 0.8841607570648193. Valid accuracy: 0.5531914830207825
- Train CE loss: 0.21458037077816947. Valid CE loss: 0.2942156646550406
- EPOCH 720. Progress: 72.0%.
- Train accuracy: 0.8847517967224121. Valid accuracy: 0.567375898361206
- Train CE loss: 0.21440227579845042. Valid CE loss: 0.2921193001118112
- EPOCH 730. Progress: 73.0%.
- Train accuracy: 0.8859338164329529. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.21387767118906018. Valid CE loss: 0.2917753973751203
- EPOCH 740. Progress: 74.0%.
- Train accuracy: 0.8859338164329529. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.21421186710503085. Valid CE loss: 0.29138329885811953
- EPOCH 750. Progress: 75.0%.
- Train accuracy: 0.8865247964859009. Valid accuracy: 0.5791962146759033
- Train CE loss: 0.2138620476914354. Valid CE loss: 0.29199667771657306

- EPOCH 760. Progress: 76.0%.
- Train accuracy: 0.8865247964859009. Valid accuracy: 0.567375898361206
- Train CE loss: 0.21368597229462707. Valid CE loss: 0.29245453058405124
- EPOCH 770. Progress: 77.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.5531914830207825
- Train CE loss: 0.21368510852046046. Valid CE loss: 0.29418014099130113
- EPOCH 780. Progress: 78.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.567375898361206
- Train CE loss: 0.2132912363425496. Valid CE loss: 0.29299471409326466
- EPOCH 790. Progress: 79.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.588652491569519
- Train CE loss: 0.21361399989742477. Valid CE loss: 0.2904347292638558
- EPOCH 800. Progress: 80.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.5697399377822876
- Train CE loss: 0.21370358493874822. Valid CE loss: 0.29203113751490345
- EPOCH 810. Progress: 81.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.5791962146759033
- Train CE loss: 0.21329976054652644. Valid CE loss: 0.2909148756775732
- EPOCH 820. Progress: 82.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.588652491569519
- Train CE loss: 0.21332240488653206. Valid CE loss: 0.2900556142730352
- EPOCH 830. Progress: 83.0%.
- Train accuracy: 0.8882978558540344. Valid accuracy: 0.5815603137016296
- ${\tt Train\ CE\ loss:\ 0.21318204834810667.\ Valid\ CE\ loss:\ 0.29056966389324645}$
- EPOCH 840. Progress: 84.0%.
- Train accuracy: 0.8888888955116272. Valid accuracy: 0.567375898361206
- $\label{eq:train_CE_loss: 0.21318682524470292. Valid CE loss: 0.29237428497760853}$
- EPOCH 850. Progress: 85.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.21316918783576777. Valid CE loss: 0.2919496699427882
- EPOCH 860. Progress: 86.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.5744680762290955
- Train CE loss: 0.21288529369566175. Valid CE loss: 0.29151183324502716
- EPOCH 870. Progress: 87.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.5768321752548218
- Train CE loss: 0.21293719112873077. Valid CE loss: 0.29150672147741835
- EPOCH 880. Progress: 88.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.567375898361206
- Train CE loss: 0.21295745857500298. Valid CE loss: 0.29230808591729923
- EPOCH 890. Progress: 89.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.5791962146759033
- Train CE loss: 0.21291359547463998. Valid CE loss: 0.2915834464643582
- EPOCH 900. Progress: 90.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.5602836608886719
- Train CE loss: 0.21303559085860602. Valid CE loss: 0.2934028189233009
- EPOCH 910. Progress: 91.0%.
- Train accuracy: 0.88947993516922. Valid accuracy: 0.5721040368080139
- Train CE loss: 0.21283016919244266. Valid CE loss: 0.2920232329244591

```
EPOCH 920. Progress: 92.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.567375898361206
     Train CE loss: 0.21312596080010102. Valid CE loss: 0.2929916245153892
     EPOCH 930. Progress: 93.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.5791962146759033
     Train CE loss: 0.21270892443245465. Valid CE loss: 0.29022741374112754
     EPOCH 940. Progress: 94.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.567375898361206
     Train CE loss: 0.2127728832810765. Valid CE loss: 0.2928040350300764
     EPOCH 950. Progress: 95.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.5791962146759033
     Train CE loss: 0.21268857684400347. Valid CE loss: 0.29148765996838294
     EPOCH 960. Progress: 96.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.5555555820465088
     Train CE loss: 0.21272508003892066. Valid CE loss: 0.29469213164444513
     EPOCH 970. Progress: 97.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.567375898361206
     Train CE loss: 0.2128465439129101. Valid CE loss: 0.2924029648163076
     EPOCH 980. Progress: 98.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.567375898361206
     Train CE loss: 0.21281333602348398. Valid CE loss: 0.29296693452424755
     EPOCH 990. Progress: 99.0%.
     Train accuracy: 0.890070915222168. Valid accuracy: 0.5768321752548218
     Train CE loss: 0.21270742508677445. Valid CE loss: 0.29127141248531657
[]: np.save(os.path.join(path_model, 'train_acc_list.npy'), np.asarray(torch.
     →Tensor(train_acc_list).cpu()))
     np.save(os.path.join(path_model, 'train_loss_list.npy'), np.asarray(torch.
     →Tensor(train_loss_list).cpu()))
     np.save(os.path.join(path_model, 'valid_acc_list.npy'), np.asarray(torch.
     →Tensor(valid_acc_list).cpu()))
     np.save(os.path.join(path_model, 'valid_loss_list.npy'), np.asarray(torch.
      →Tensor(valid loss list).cpu()))
[]: def func_plotCNNStats(path figures, train_acc_list, train_loss_list,_
      →valid_acc_list, valid_loss_list):
        fig, axes = plt.subplots(1, 2)
        fig.set_size_inches(8*2,4)
        epoch grid = np.arange(0, 1000, 10)
        axes[0].plot(epoch_grid, train_acc_list, label='Training accuracy')
        axes[0].plot(epoch_grid, valid_acc_list, label='Validation accuracy')
        axes[0].set xlabel('Epoch')
        axes[0].grid(True)
        axes[0].legend()
         # axes[0].title.set_text('Train and validation accuracy')
        axes[1].plot(epoch_grid, train_loss_list, label='Training loss')
```



```
test_numOfCorrectLabels = 0
     test embed list = []
     test_pred_list = []
     for test_data, test_label in EEG_test_dataset:
         # test_data.to('cuda')
         test_input = torch.reshape(test_data, (test_data.shape[0], 1, test_data.
      →shape[1], test_data.shape[2])) # expand one dimension for the channel for
      \rightarrow the EGG
         test_input = test_input.to('cuda')
         test_pred_onehot, test_x_embd = model(test_input)
         test_embed_list.append(test_x_embd.detach().cpu().numpy())
         test_pred = torch.argmax(test_pred_onehot, dim=1).cpu()
         test_pred_list.append(test_pred.cpu().numpy())
         test_numOfCorrectLabels += (test_pred == test_label).float().sum()
     test_accuracy = test_numOfCorrectLabels / len(EEG_test_dataset.dataset)
     print('Test accuracy of ShallowCNN is', test_accuracy.numpy())
    /tmp/ipykernel_1222/3743213622.py:49: UserWarning: Implicit dimension choice for
    softmax has been deprecated. Change the call to include dim=X as an argument.
      pred = softmax(self.ln1(x_embd))
    Test accuracy of ShallowCNN is 0.5756208
[]: test_shallowCNN_embed = np.vstack(test_embed_list)
     print(test_shallowCNN_embed.shape)
     pred_shallowCNN = np.vstack(test_pred_list)
     print(pred_shallowCNN.shape)
    (443, 2440)
    (443, 1)
[]: import matplotlib.pyplot as plt
     trial_index_list = [1, 100, 200, 300, 400]
     fig, axes = plt.subplots(5, 6)
     fig.set_size_inches((24, 20))
     perplexity = 30
     for fig_idx, idx in enumerate(trial_index_list):
```

```
# wavelet db1 tsne
  db1_tsne = TSNE(perplexity=perplexity).
→fit_transform(func_testReshape(test_cA_db1))
   scatter = axes[fig idx][0].scatter(db1 tsne[:,0], db1 tsne[:,1], c=pred db1)
  legend = axes[fig_idx][0].legend(*scatter.legend_elements(), prop={'size':
axes[fig_idx][0].add_artist(legend)
  axes[fig_idx][0].set_xticklabels([])
  axes[fig_idx][0].set_yticklabels([])
   # axes[fiq_idx][0].axis('off')
  db2_tsne = TSNE(perplexity=perplexity).
→fit_transform(func_testReshape(test_cA_db2))
   scatter = axes[fig_idx][1].scatter(db2_tsne[:,0], db2_tsne[:,1], c=pred_db2)
  legend = axes[fig_idx][1].legend(*scatter.legend_elements(), prop={'size':
→6}, loc='upper left')
  axes[fig_idx][1].add_artist(legend)
  axes[fig_idx][1].set_xticklabels([])
   axes[fig_idx][1].set_yticklabels([])
   # axes[fig_idx][1].axis('off')
  db3 tsne = TSNE(perplexity=perplexity).
→fit_transform(func_testReshape(test_cA_db3))
   scatter = axes[fig_idx][2].scatter(db3_tsne[:,0], db3_tsne[:,1], c=pred_db3)
  legend = axes[fig_idx][2].legend(*scatter.legend_elements(), prop={'size':
→6}, loc='upper left')
  axes[fig_idx][2].add_artist(legend)
  axes[fig idx][2].set xticklabels([])
  axes[fig_idx][2].set_yticklabels([])
   # axes[fiq_idx][2].axis('off')
  db4_tsne = TSNE(perplexity=perplexity).
→fit_transform(func_testReshape(test_cA_db4))
   scatter = axes[fig idx][3].scatter(db4 tsne[:,0], db4 tsne[:,1], c=pred db4)
  legend = axes[fig_idx][3].legend(*scatter.legend_elements(), prop={'size':
axes[fig_idx][3].add_artist(legend)
  axes[fig_idx][3].set_xticklabels([])
   axes[fig_idx][3].set_yticklabels([])
   \# axes[fig\_idx][3].axis('off')
  tsne_2d = TSNE(perplexity=perplexity).fit_transform(tsne_test)
  scatter = axes[fig_idx][4].scatter(tsne_2d[:,0], tsne_2d[:,1], c=pred_tsne)
  legend = axes[fig_idx][4].legend(*scatter.legend_elements(), prop={'size':
axes[fig_idx][4].add_artist(legend)
```

```
axes[fig_idx][4].set_xticklabels([])
    axes[fig_idx][4].set_yticklabels([])
    # axes[fiq_idx][4].axis('off')
    CNN_tsne = TSNE(perplexity=perplexity).fit_transform(test_shallowCNN_embed)
    scatter = axes[fig_idx][5].scatter(CNN_tsne[:,0], CNN_tsne[:,1],__
 →c=pred_shallowCNN)
    legend = axes[fig_idx][5].legend(*scatter.legend_elements(), prop={'size':
 →6}, loc='upper left')
    axes[fig_idx][5].add_artist(legend)
    axes[fig_idx][5].set_xticklabels([])
    axes[fig idx][5].set yticklabels([])
    # axes[fig_idx][5].axis('off')
    axes[fig_idx][0].set_ylabel('Trial {}'.format(trial_index_list[fig_idx]))
    # break
axes[fig_idx][0].set_xlabel('Wavelet db1+SVM')
axes[fig_idx][1].set_xlabel('Wavelet db2+SVM')
axes[fig_idx][2].set_xlabel('Wavelet db3+SVM')
axes[fig_idx][3].set_xlabel('Wavelet db4+SVM')
axes[fig_idx][4].set_xlabel('t-SNE+SVM')
axes[fig_idx][5].set_xlabel('Shallow CNN')
fig.savefig(os.path.join(path_figures, 'embedding_cmp.pdf'), __
 ⇔bbox_inches='tight')
/home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
packages/sklearn/manifold/_t_sne.py:780: FutureWarning: The default
initialization in TSNE will change from 'random' to 'pca' in 1.2.
  warnings.warn(
/home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
packages/sklearn/manifold/_t_sne.py:790: FutureWarning: The default learning
rate in TSNE will change from 200.0 to 'auto' in 1.2.
  warnings.warn(
/home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
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```

```
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packages/sklearn/manifold/ t sne.py:780: FutureWarning: The default
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  warnings.warn(
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packages/sklearn/manifold/_t_sne.py:790: FutureWarning: The default learning
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  warnings.warn(
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packages/sklearn/manifold/_t_sne.py:780: FutureWarning: The default
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  warnings.warn(
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packages/sklearn/manifold/_t_sne.py:790: FutureWarning: The default learning
rate in TSNE will change from 200.0 to 'auto' in 1.2.
  warnings.warn(
/home/xiaoranzhang/anaconda3/envs/torch env/lib/python3.9/site-
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  warnings.warn(
/home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
packages/sklearn/manifold/_t_sne.py:790: FutureWarning: The default learning
rate in TSNE will change from 200.0 to 'auto' in 1.2.
  warnings.warn(
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packages/sklearn/manifold/_t_sne.py:780: FutureWarning: The default
initialization in TSNE will change from 'random' to 'pca' in 1.2.
 warnings.warn(
```

```
/home/xiaoranzhang/anaconda3/envs/torch_env/lib/python3.9/site-
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rate in TSNE will change from 200.0 to 'auto' in 1.2.
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  warnings.warn(
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