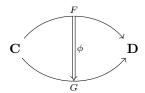
## Category Theory - Lecture 6 (Notes)

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From last time...

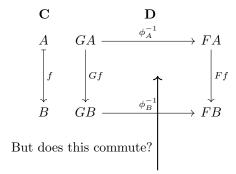
**Proposition 0.1.** Let  $\phi: F \Rightarrow G$  be a natural transformation.



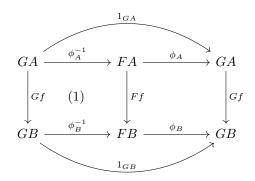
Assume that for all  $A \in \mathbb{C}$ ,  $\phi_A : FA \longrightarrow GA$  is an isomorphism with inverse  $\phi_A^{-1} : GA \longrightarrow FA$ . Then  $\phi^{-1} : G \Rightarrow F$  is defined by

$$(\phi^{-1})_A = (\phi_A)^{-1}$$
 for all  $A \in \mathbf{C}$ 

*Proof.* We show that the naturality, as a family of maps, is given by



We have



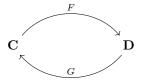
We show that (1) commutes by post-composing it with  $\phi_B$ ,  $\phi_A$ ,  $1_{GA}$ ,  $1_{GB}$  and showing the following equality holds.

$$\phi_A^{-1} F f = G f \phi_B^{-1}$$

1 Equivalence of categories

## 1.1 Motivation

We often have



but asking

$$GFA = A$$
 for all  $A \in \mathbf{C}$   
 $FGX = X$  for all  $X \in \mathbf{D}$ 

is too restrictive. Because we are interested in isomorphisms, not the equality of objects

**Definition 1.1.** Let  $F : \mathbf{C} \longrightarrow \mathbf{D}$  be a functor.

We say F is an equivalence if there exists a functor  $G: \mathbf{D} \longrightarrow \mathbf{C}$  and following natural transformations

$$\eta: 1_{\mathbf{C}} \Rightarrow GF$$
 $\xi: FG \Rightarrow 1_{\mathbf{D}}$ 

**Note 1.1.** The components of  $\eta$  and  $\xi$  are isomorphisms.

$$\underbrace{A \xrightarrow{\eta_A} GFA}_{in \ \mathbf{C}} \qquad \underbrace{FGX \xrightarrow{\xi_X} X}_{in \ \mathbf{D}} \\ \forall A \in \mathbf{C} \qquad \forall X \in \mathbf{D}$$

**Theorem 1.1.** Let  $F: \mathbf{C} \longrightarrow \mathbf{D}$  be a functor.

Then F is an equivalence if and only if F is essentially surjective and fully faithful.

*Proof.*  $(\Rightarrow)$ : Exercise.

 $(\Leftarrow)$ : Assume F is essentially surjective and fully faithful.

Assume 
$$F$$
 is essentially surjective  $\Rightarrow$   $(\forall X \in \mathbf{D})(\exists A \in \mathbf{C})(\exists \text{ isomorphism } FA \longrightarrow X)$ 
 $\downarrow \text{ (axiom of choice)}$ 
 $\Rightarrow$  we have a function  $G: Ob(\mathbf{D}) \longrightarrow Ob(\mathbf{C})$ 
a family of maps  $(\xi_X: FGX \longrightarrow X|X \in \mathbf{D})$ 
such that  $\xi_X: FGX \longrightarrow X$  is an isomorphism  $\forall X \in \mathbf{D}$ 

**Task 1:** Extend G to a functor G and  $\xi$  to natural transformation.

For  $f: X \longrightarrow Y$  in **D**, we need to define  $G(f): GX \longrightarrow GY$  in **C**. We use the fact that F is fully faithful

$$\mathbf{C}(GX,GY) \xrightarrow{F} \mathbf{D}(FGX,FGY)$$
 is bijection.

This means for every  $FGX \xrightarrow{v} FGY$  there is a unique  $GX \xrightarrow{u} GY$  s.t

$$FGX \xrightarrow{Fu} FGY = FGX \xrightarrow{v} FGY$$

So to show that G(gf) = G(g)G(f), we show G(g)G(f) has the same property, i.e

$$F(G(g)G(f)): FGX \longrightarrow FGZ = FGX \xrightarrow{\xi_X} X \xrightarrow{gf} Z \xrightarrow{\xi_Z^{-1}} FGZ$$

We know

$$FGX \xrightarrow{\xi_X} X$$

$$\downarrow^{FG(gf)} \qquad \qquad \downarrow^{gf}$$

$$FGZ \xrightarrow{\xi_Z} Z$$

Consider

$$FGX \xrightarrow{\xi_X} X \xrightarrow{f} Y \xrightarrow{\xi_Y^{-1}} FGY$$

So there is a unique map, which we write  $Gf: GX \longrightarrow GY$  such that

$$FGf = FGX \longrightarrow FGY = FGX \xrightarrow{\xi_X} X \xrightarrow{f} Y \xrightarrow{\xi_Y^{-1}} FGY \quad (*)$$

Here

$$(*) \Leftrightarrow \begin{array}{c} FGX \xrightarrow{\xi_X} & X \\ \downarrow^{FG(gf)} & \downarrow^{gf} \text{ commutes} \\ FGZ \xrightarrow{\xi_Z} & Z \end{array}$$

To check G is a functor, let  $f: X \longrightarrow Y$  and  $g: Y \longrightarrow Z$  be in **D** and show

$$G(qf): GX \longrightarrow GZ = G(q)G(f): GX \longrightarrow GZ$$

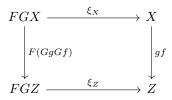
By definition, G(gf) is the unique map

$$u:GX\longrightarrow GZ$$

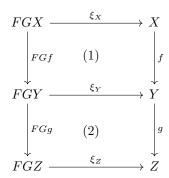
such that

$$F(u): FGX \longrightarrow FGZ = FGX \xrightarrow{\xi_X} X \xrightarrow{f} Z \xrightarrow{\xi_Z^{-1}} FGZ$$

We need to show following diagram commutes



But this follows from



Since both (1), (2) commute. In the same way we show

$$G(1_X) = 1_{GX}$$