

# Monocular Vision-based Aircraft Ground Obstacle Classification\*

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**Abstract**—This article presents the first steps towards extending the applicability of the author's monocular vision-based aircraft sense and avoid method for steady ground obstacles. The goal is to decide if a ground obstacle is a collision threat or not. The focus of the development is real-time on board applicability that's why simple calculations are proposed. After extending the calculation formulae for steady obstacles the results of a software-in-the-loop simulation campaign are presented for car and tower obstacles. The results are all acceptable so further developments will target a proper avoidance strategy and real flight tests.

## I. INTRODUCTION

Sense and avoid (S&A) capability is a crucial ability for the future unmanned aerial vehicles (UAVs). It is vital to integrate civilian and governmental UAVs into the common airspace according to [1] for example. Usually S&A is understood as the sensing and avoidance of aerial vehicles, however in case of low level flight with small UAVs the avoidance of ground obstacles - such as transmission towers, tower-cranes, smokestacks or even tall trees - can be also vital to integrate them into the airspace. During landing the presence of ground vehicles on the runway can also be dangerous and should lead to a go-around. This means that a small UAV's S&A system should be prepared also to detect and avoid ground obstacles. Considering the size, weight and power constraints a monocular vision-based solution can be cost and weight effective therefore especially good for small UAVs [2], [3], [4]. Placing a vision system onboard can also help the obstacle avoidance and landing of manned aircraft (A/C) as the EU-Japan H2020 research project [5] shows through its research goals.

In the literature, the detection and avoidance of ground obstacles is discussed for example in [6], [7], [8], [9] and [10]. Article [6] proposes a stereo vision-based obstacle avoidance scheme for ground vehicles. [7] discusses path planning to avoid obstacles with known position. [8] discusses monocular SLAM-based obstacle avoidance applying also an altitude sonar. Real flight test results are also presented with a quadrotor helicopter and applying ground station-based calculations. [9] proposes a laser range finder-based method

to detect and avoid static and dynamic obstacles with a UAV using a reactive path planner. Real flight test results are presented. [10] proposes an obstacle range estimation solution based-on A/C velocity and the numerical differentiation of yaw angle and obstacle bearing angle. This solution can give uncertain results because of the numerical differentiation in case of noisy measurements. Another restriction is that a close to zero pitch angle is assumed. Then the article proposes a control solution to avoid multiple obstacles and presents real flight test results.

Previous works of the authors of this article [11], [12], [13] focused on the S&A of aerial vehicles applying monocular camera system with onboard image processing and restricting A/C movement to constant velocity and straight trajectories. No other assumption was done and no numerical differentiation is required in the proposed solution which can be an advantage compared to [10]. That's why this article focuses on the modification of previous results considering steady obstacles to see how effective the derived solution can be in the classification of ground obstacles. Constant own velocity and straight trajectory are still assumed. In the simulation test campaign two obstacle categories are considered, tower-like objects and cars as these are the main contingencies.

The new contribution of this paper relative to the author's previous work is the modification of formulae to consider steady obstacles and the consideration of obstacle vertical position as all previous developments were restricted to the horizontal plane.

The structure of the paper is as follows. Section II summarizes the previous developments of the authors and presents their extension for steady obstacles and into the vertical plane. Section III briefly describes the software-in-the-loop (SIL) simulation setup, then evaluates the results of collision possibility and parameter estimation in case of a car and a tower (cylinder). Finally, section IV concludes the paper.

## II. TTCPA AND CPA ESTIMATION IN CASE OF STEADY OBSTACLES

The results of previous developments in [11], [12], [13] are summarized here and modified to consider steady obstacles.

In any S&A task it is very important to calculate the position of the intruder/obstacle relative to a well defined coordinate system. As now straight A/C trajectories are assumed it is straightforward to calculate every parameter relative to a trajectory coordinate system aligned with the own A/C path and moving together with the own A/C. Fig. 1 shows all the applied coordinate systems.

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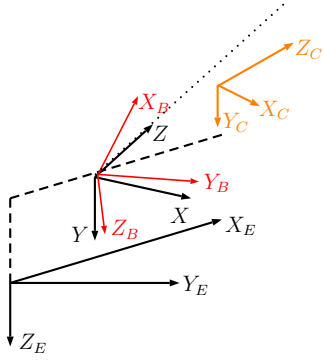


Fig. 1: The applied coordinate systems

$X_E, Y_E, Z_E$  is the Earth (assumed to be fixed, non-moving, non-rotating),  $X, Y, Z$  is the trajectory ( $Z$  axis parallel with the straight trajectory (dotted line) and moves together with the A/C),  $X_B, Y_B, Z_B$  is the body (moves with trajectory system and rotates relative to it) and  $X_C, Y_C, Z_C$  is the camera coordinate system (with fixed position and orientation relative to the body system).

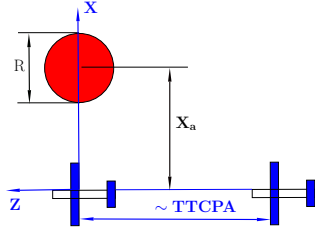


Fig. 2: Define TTCPA,  $CPA = X_a/R$  (obstacle red circle, own aircraft blue from right)

Fig. 2 shows the definition of time to closest point of approach (TTCPA) and closest point of approach (CPA) in case of a steady obstacle relative to the trajectory system ( $X, Z$  in the figure). TTCPA is the flight time from a given position until the obstacle crosses the trajectory system  $X$  axis and  $X_a$  is the absolute distance between A/C and obstacle at this point.  $R$  is the characteristic size of the obstacle and so  $CPA = X_a/R$  is the closest distance relative to the obstacle size.

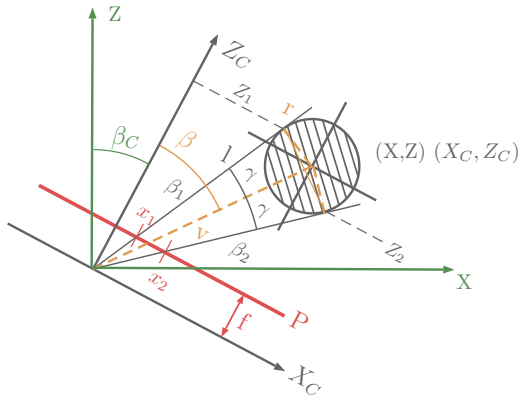


Fig. 3: Oblique camera disc projection model

Assuming that the origin of camera system coincides

with the origin of the trajectory one and its orientation is measured relative to the trajectory system (camera-body-trajectory-camera transformation is discussed in subsection II-A) the projection model of the obstacle in the horizontal plane (assuming a disc cross section) is shown in Fig. 3.

The consideration of this disc projection model together with the  $\beta_C$  camera angle and  $X_C, Z_C$  position in the camera system leads to the following formulae in [12].

$$\begin{aligned}\bar{S}_x &= S_x (\cos \beta_1 + \cos \beta_2) = \frac{2fR}{Z_C} \\ \bar{x} &= x \left( 1 - \frac{\bar{S}_x^2}{16f^2} \right) = f \frac{X_C}{Z_C}\end{aligned}\quad (1)$$

Where  $S_x$  and  $x$  are the horizontal size and centroid position of the disc in the image plane  $P$ ,  $\beta_1, \beta_2$  are the horizontal view angles of the edges of the disc image and  $f$  is camera focal length. The position can be expressed by  $V_x, V_z$  side and forward relative velocities in trajectory system and  $X_a, t_{CPA}$  (the latter is TTCPA) as follows:

$$\begin{aligned}X_C &= X_a \cos \beta_C - (V_x \cos \beta_C - V_z \sin \beta_C) t_{CPA} \\ Z_C &= X_a \sin \beta_C - (V_x \sin \beta_C + V_z \cos \beta_C) t_{CPA}\end{aligned}\quad (2)$$

Substituting the expressions of  $X_C$  and  $Z_C$  into the reciprocal and ratio of the expressions for  $\bar{x}$  and  $\bar{S}_x$  in (1) and considering  $CPA_x = \frac{X_a}{R}$  one gets:

$$\begin{aligned}\frac{1}{\bar{S}_x} &= \frac{CPA_x \sin \beta_C}{2} \frac{1}{f} - \frac{V_x \sin \beta_C + V_z \cos \beta_C}{2fR} t_{CPA} \\ \frac{\bar{x}}{\bar{S}_x} &= \frac{CPA_x}{2} \cos \beta_C - \frac{V_x \cos \beta_C - V_z \sin \beta_C}{2R} t_{CPA}\end{aligned}\quad (3)$$

In this system of equations the unknowns are  $CPA_x$  and  $t_{CPA}$  and the time varying terms are  $\bar{x}$ ,  $\bar{S}_x$ ,  $t_{CPA}$ . The other terms such as  $f$ ,  $\beta_C$ ,  $V_x$ ,  $V_z$  and  $R$  are all constant. Considering this and  $t_{CPA} = t_C - t$  one gets ( $t$  is actual time,  $t_C$  is the time when intruder is closest to own A/C (it is constant)):

$$\begin{aligned}\frac{1}{\bar{S}_x} &= \frac{\sin \beta_C}{f} \frac{CPA_x}{2} - a_1 t_C + a_1 t = c_1 + a_1 t \\ \frac{\bar{x}}{\bar{S}_x} &= \cos \beta_C \frac{CPA_x}{2} - a_2 t_C + a_2 t = c_2 + a_2 t\end{aligned}\quad (4)$$

Making a simple least squares optimal line fit to the measured  $\frac{1}{\bar{S}_x}$ ,  $\frac{\bar{x}}{\bar{S}_x}$ ,  $t$  parameters will give  $a_1, a_2, c_1, c_2$  and the following system of equations.

$$\begin{bmatrix} \frac{\sin \beta_C}{f} & -a_1 \\ \cos \beta_C & -a_2 \end{bmatrix} \begin{bmatrix} \frac{CPA_x}{2} \\ t_C \end{bmatrix} = \begin{bmatrix} c_1 \\ c_2 \end{bmatrix}\quad (5)$$

$CPA_x$  and  $t_C$  (and so  $t_{CPA}$ ) can be easily obtained from this. Considering now a steady obstacle means that there will be no side velocity component ( $V_x = 0$ ) and the forward component  $V_z$  is known as the own ground relative velocity. This leads to simplified expressions in (3) but has no effect on (4) and (5).

However, knowing the value of  $V_z$  makes it possible to estimate the  $R$  absolute size of the obstacle considering  $a_1, a_2$  (if  $V_x = 0$ ).

$$\begin{aligned} a_1 &= \frac{V_z \cos \beta_C}{2fR}, & a_2 &= \frac{-V_z \sin \beta_C}{2R} \\ R &= \frac{V_z}{4} \left( \frac{\cos \beta_C}{a_1 f} - \frac{\sin \beta_C}{a_2} \right) \end{aligned} \quad (6)$$

Knowing the size of the obstacle makes it possible to estimate the absolute side distance  $X_a$  and considering  $t_{CPA}$  and the forward velocity  $V_z$  the forward absolute distance  $Z_a$  can be also estimated.

$$X_a = R \cdot CPA_x \quad Z_a = V_z \cdot t_{CPA}$$

Considering the vertical situation in the S&A of A/C intruders the vertical image centroid position  $y$  and size  $S_y$  together with similar formulae as in the horizontal situation can be considered. However, for ground obstacles the vertical parameters can be different. Considering the possible avoidance strategies the A/C can fly around the obstacle or ascend above it. From this point of view its better to estimate the altitude of the top point of obstacle relative to the own A/C. The related image parameter is the top coordinate  $y_T$  as shown in Fig.s 4, 5.

The figures show that considering the horizontal parameters in case of a tower-like object the average  $S_x$  width and the horizontal centroid ( $x$  coordinate of  $C$ ) can be determined together with the coordinate of the top point  $y_T$ . In case of a car-like object the full horizontal size gives  $S_x$  and the other coordinates are also the  $x$  centroid position and  $y_T$ .

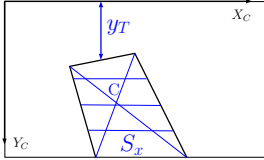


Fig. 4: Image parameters of a tower

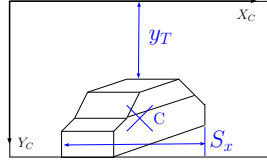


Fig. 5: Image parameters of a car

Considering the vertical pinhole camera projection formula one can determine the absolute  $Y_a$  distance relative to the trajectory coordinate system if knows the forward distance  $Z_a$  and the focal length of the camera.

$$y_T = f \frac{Y_a}{Z_a}, \quad Y_a = \frac{y_T Z_a}{f} \quad (7)$$

#### A. Note about ego motion transformation

As the camera system orientation is characterized relative to the trajectory system in Fig. 3 and all the formulae are derived accordingly, the image parameters from the real camera system should be transformed to this system. This means a camera-body-trajectory-camera transformation chain. The measured image point vector  $P^C = [x \ y_T \ f]$  should be first normalized as  $\bar{P}^C = P^C / \|P^C\|_2$ . Then it should be rotated with the  $T_{BC}$  camera to body transformation matrix

to get  $\bar{P}^B$ . In the next step a body to trajectory transformation can be done considering  $\phi, \theta, \psi - \psi_0$  where  $\phi, \theta, \psi$  are the body to the earth Euler angles and  $\psi_0$  is the horizontal direction of the trajectory system relative to the Earth. It is assumed that the trajectory system is only horizontally rotated relative to the Earth. This results in  $\bar{P}^T$ . This should be transformed back to the camera system with  $T_{CB} = T_{BC}^T$  to obtain image coordinates  $Q$  as represented related to Fig. 3. The final step is the back scaling of this unit vector to have the  $f$  focal length as its third coordinate:  $\bar{Q} = \frac{Q}{Q(3)} f$ . The image size coordinates are also transformed. The transformed coordinates are again denoted by  $S_x, x$ .

However, in case of a steady object's top vertical coordinate (7) applies the forward  $Z_a$  distance along the  $Z$  axis of the trajectory system. This means that  $y_T$  should be determined relative to the trajectory system instead of the camera and so  $\bar{P}^T$  should be directly scaled back ( $P^T = \frac{\bar{P}^T}{\bar{P}^T(3)} f$ ) to get  $y_T$ .

### III. SIL SIMULATION RESULTS

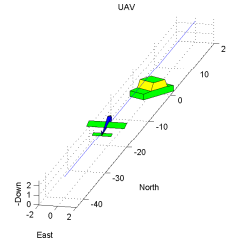


Fig. 6: SIL simulation of car approach

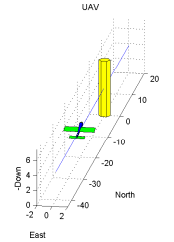


Fig. 7: SIL simulation of tower approach

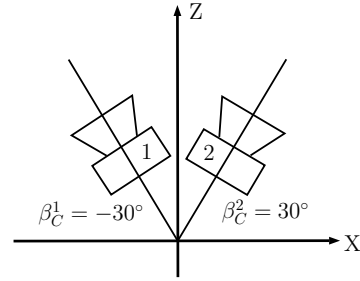


Fig. 8: The sketch of the onboard two camera system

A SIL simulation test campaign was done considering car-like (see Fig. 6) and tower-like (see Fig. 7) obstacles also and an own A/C equipped with a two camera system (see Fig. 8). The horizontal field of view (FOV) of the cameras was  $\pm 35^\circ$  while the vertical was  $+45^\circ$  and  $-25^\circ$  which means a downward looking camera. The full 6DOF aircraft nonlinear dynamics is simulated together with a trajectory tracking autopilot. The point clouds representing the car or tower are projected into the camera coordinate system considering relative position and orientation. The camera model FPS is set to 8. Pixelization errors are considered and the features  $x, y_T, S_x$  are finally unpacked from the projected point cloud considering also ego motion compensation. Then the TTCPA, CPA and other parameter estimation formulae are applied.

The A/C was flown on a straight trajectory towards the obstacle. A Monte Carlo simulation was run for each (car / tower) case. The parameters of the simulations are summarized in Table I.  $w, l, h$  are car width, length and height respectively,  $\varnothing, h$  are tower diameter and height,  $V_o$  is the own velocity,  $\gamma$  is the glide slope (descending is positive),  $\chi_C$  is the orientation of the car relative to the trajectory ( $0^\circ$  front facing the A/C,  $90^\circ$  side facing the A/C),  $k_a$  is the multiplier giving  $flight\ altitude = k_a \cdot h$ .

TABLE I: Car and tower obstacle simulation parameters

Car	$w=1.9m$	$l=4.5m$	$h=1.5m$	
$V_o$ [m/s]	$\gamma$ [deg]	CPA	$\chi_C$ [deg]	alt. $k_a$
15	0	0	0	0
30	3	5	30	1
-	6	10	60	2
-	-	-	90	4
Tower	$h = 5 \cdot \varnothing$			
$V_o$ [m/s]	$\gamma$ [deg]	CPA	$\varnothing$ [m]	alt. $k_a$
15	0	0	1.5	0
30	3	5	3	0.5
-	6	10	6	1
-	-	-	-	1.5

A threshold of 3 second was set for the collision decision in each simulation so when the estimated TTCPA value gets below this a decision is done and all the estimated parameters are compared to the real ones (errors are calculated). The CPA threshold was selected to be 7 to decide about the CPA 0 and 5 cases as collision and about the CPA 10 cases as no collision. Note that only the decision was done, no avoidance maneuver was started to be able to calculate the real TTCPA and CPA values and so obtain the estimation errors.

The test results are plotted in histograms for the different estimation errors in Fig. 9 to 14 for the car and in Fig. 15 to 20 for the tower. The first plot shows the real TTCPA value when the decision is done. The second shows the ratio of the estimated and the real CPA values (if the real values are close to zero this ratio is not calculated). The third shows the side distance estimation errors in percent (if the real values are close to zero this ratio is not calculated). The fourth shows the size estimation errors in percent. The fifth shows the forward distance estimation errors in percent. Finally, the sixth shows the vertical distance estimation errors in meters. This is not in percent because the real values are close to zero several times and so the error percentages get very large.

In case of the car 288 simulations were done. Regarding the TTCPA values (Fig. 9) most of the real values (when the estimate gets below 3 secs) is between 2.5 and 3.5 secs which means that the estimation error is about  $\pm 0.5s$  in most of the cases which is a really good result. The CPA ratios (Fig. 10) are below 1.2 in most of the cases. The minimum values are about 0.3 and 0.5 for 15m/s and 30m/s own velocities respectively. The figure shows that CPA is usually underestimated which gives conservative results. This is underlined by the fact that collision is decided even for  $CPA = 10$  in all cases. This means that the CPA threshold can be decreased to 6 (considering the maximum

1.2 overestimation) to avoid car in all  $CPA = 5$  cases and do not avoid it in  $CPA = 10$  cases. Considering the side distance errors (Fig. 11) they are between  $-60/+50\%$  and  $-60/+20\%$  which are very large ranges however, the majority of the values is between  $\pm 20\%$  which can be acceptable. The object size estimation errors (Fig. 12) can be large for 15m/s own velocity and are below 20% for 30m/s. This can be caused by the larger movements between two image frames in the latter case which makes the line fitting in (4) more accurate. The majority of the forward distance errors (Fig. 13) is between  $\pm 20\%$  which can be acceptable. The majority of the vertical distance estimation errors (Fig. 14) is between  $\pm 2m$  which is a really good result.

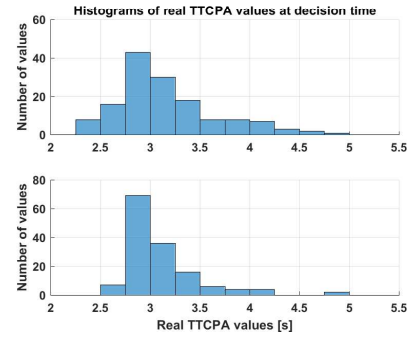


Fig. 9: Histogram of real TTCPA at decision for car (upper for 15m/s lower for 30m/s  $V_o$ )

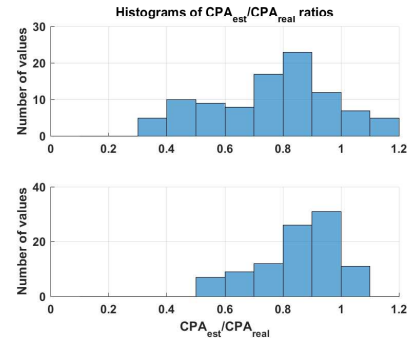


Fig. 10: Histogram of CPA ratios at decision for car (upper for 15m/s lower for 30m/s  $V_o$ )

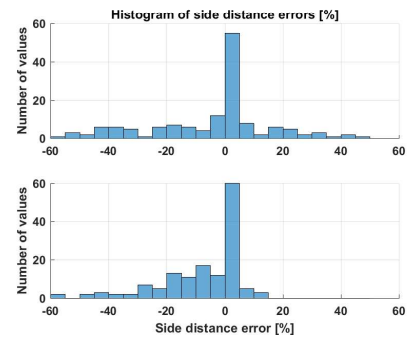


Fig. 11: Histogram of side distance estimation errors at decision for car (upper for 15m/s lower for 30m/s  $V_o$ )

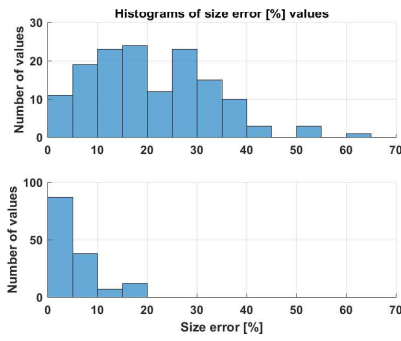


Fig. 12: Histogram of size estimation errors at decision for car (upper for 15m/s lower for 30m/s  $V_o$ )

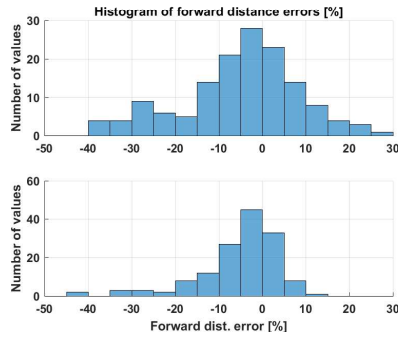


Fig. 13: Histogram of forward distance estimation errors at decision for car (upper for 15m/s lower for 30m/s  $V_o$ )

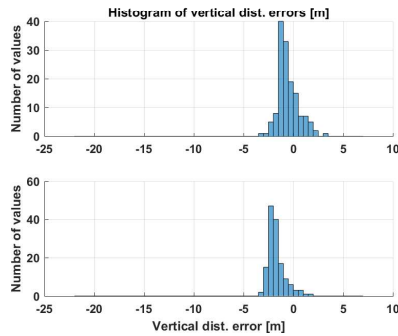


Fig. 14: Histogram of vertical distance estimation errors at decision for car (upper for 15m/s lower for 30m/s  $V_o$ )

Summarizing the results, the TTCPA and CPA estimates can be well used together with the vertical distance estimate to decide about the need for avoidance and design a safe avoidance maneuver. The estimated object size, side and forward distance values can only be used as approximations showing the order of magnitudes of these parameters.

In case of the tower 216 simulations were done. Regarding the TTCPA values (Fig. 15) the results are similar to the car cases. The CPA ratios (Fig. 16) are below 1.2 and above 0.8 in all of the cases. This means a much better estimation compared to the car cases. This is underlined by the good decisions as in this case non-collision is decided for all  $CPA = 10$  values. Considering the side distance errors (Fig. 17) they are between  $-15/+50\%$  and  $-30/+15\%$  which are

better ranges then for the car but still very large. However, the majority of the values is between  $\pm 15\%$  which can be acceptable. The object size estimation errors (Fig. 18) can be large for 15m/s own velocity and are below 20% for 30m/s similarly to the car cases. The majority of the forward distance errors (Fig. 19) is between  $\pm 10\%$  which is better then for the car and is acceptable. The majority of the vertical distance estimation errors (Fig. 20) is between  $\pm 3m$  which is a really good result, but there are outliers as large as 15m. Examining the data in details shows that this is caused by the improper tracking of altitude by the autopilot in some cases. There are transients which cause unnecessary pitching motion and this leads to an uncertain estimation of the vertical distance despite the ego motion compensation (which is not perfect of course). After the altitude stabilizes the vertical distance estimate converges well to the real value, but this is too late in these cases, the collision decision is done earlier.

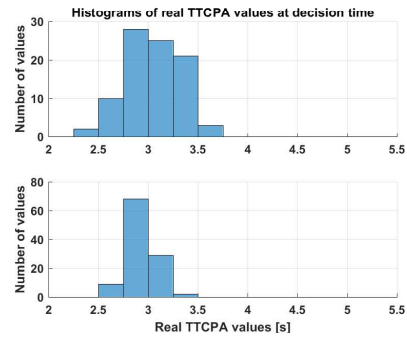


Fig. 15: Histogram of real TTCPA at decision for tower (upper for 15m/s lower for 30m/s  $V_o$ )

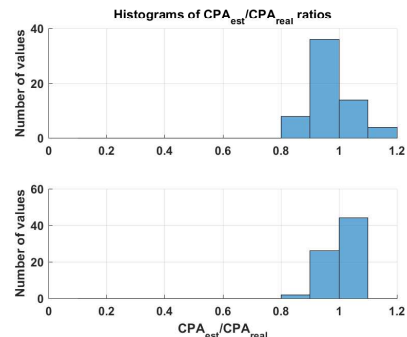


Fig. 16: Histogram of CPA ratios at decision for tower (upper for 15m/s lower for 30m/s  $V_o$ )

Summarizing the results they are better then for the car. The TTCPA and CPA estimates can be well used to decide about the need for avoidance and design a safe avoidance maneuver. The estimated object size, side and forward distance values can again only be used as approximations showing the order of magnitudes of these parameters. The excessive errors in the vertical distance estimate are because of the pitching transient dynamics of the A/C in tracking the trajectory. After the transients the results are acceptable.



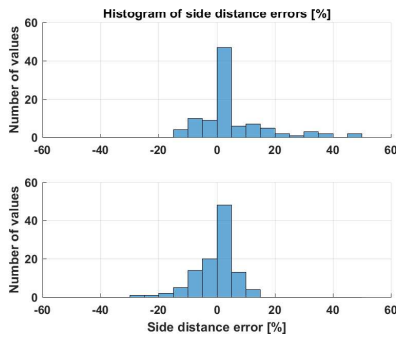


Fig. 17: Histogram of side distance estimation errors at decision for tower (upper for 15m/s lower for 30m/s  $V_o$ )

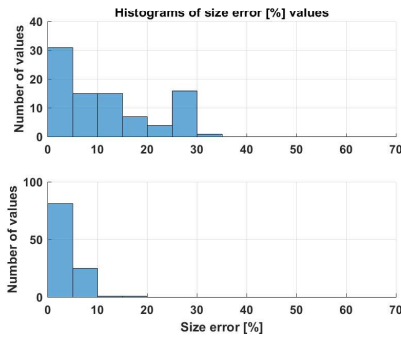


Fig. 18: Histogram of size estimation errors at decision for tower (upper for 15m/s lower for 30m/s  $V_o$ )

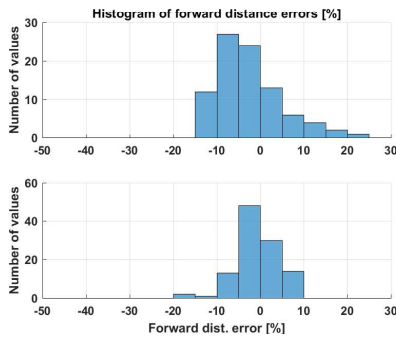


Fig. 19: Histogram of forward distance estimation errors at decision for tower (upper for 15m/s lower for 30m/s  $V_o$ )

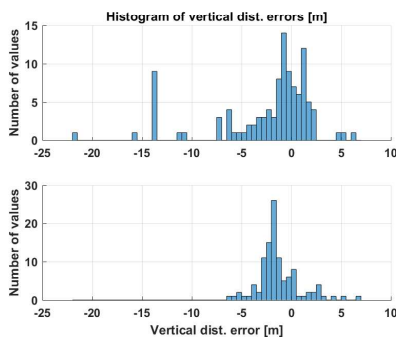


Fig. 20: Histogram of vertical distance estimation errors at decision for tower (upper for 15m/s lower for 30m/s  $V_o$ )

## IV. CONCLUSION

This paper made the first step towards the extension of the author's previous S&A method into the direction of application for ground obstacles. The previously derived formulae are extended to steady ground obstacles and vertical plane parameter estimation. Then a software-in-the-loop (SIL) Monte-Carlo test campaign is done considering a car and a tower obstacle to show the capabilities of the developed method. The time to closest point of approach estimation results are really good, the closest point of approach is usually underestimated but by selecting a proper threshold it can be well used to avoid dangerous (close) obstacles. The size, side, forward and vertical distances of the obstacles can be also estimated but their precision only make it possible to use them as rough approximations of these values. Future plans are to develop a proper avoidance strategy considering also the precision of the estimated parameters. After SIL testing real flight tests with a small UAV and artificial ground obstacles is planned to be done.

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