# Partially Optimal Cubic Subspace Clustering

Research Project Machine Learning

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Introduction

2 Partial Optimality for Cubic Clique Partition Problem

3 Cubic Subspace Instance Construction

Experiments and Evaluation

Conclusion

Finite sample set S, cost function  $c: \binom{S}{3} \to \mathbb{R}$ . Instance of the **Cubic Clique Partition Problem**:

$$\min_{\mathbf{y}: \; \binom{S}{2} \to \{0,1\}} \sum_{abc \in \binom{S}{3}} c_{abc} \, \mathbf{y}_{ab} \, \mathbf{y}_{bc} \, \mathbf{y}_{ac}$$

subject to  $\mathbf{y}_{ab} + \mathbf{y}_{bc} - 1 \leq \mathbf{y}_{ac}$  for all distinct  $a,b,c \in \mathcal{S}$ .

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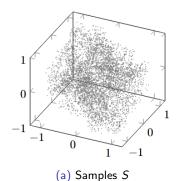
subject to  $y_{ab} + y_{bc} - 1 \le y_{ac}$  for all distinct  $a, b, c \in S$ .

Find a **partially optimal solution**, i.e. fix some labels  $y_{ab}$  for distinct  $a, b \in S$ 

$$\begin{cases} \mathbf{y}_{ab} = 1 & \text{join } a, b \\ \mathbf{y}_{ab} = 0 & \text{cut } a, b \\ \mathbf{y}_{ab} = ? & \text{unknown} \end{cases}$$

in such way that there still exists an optimal solution.

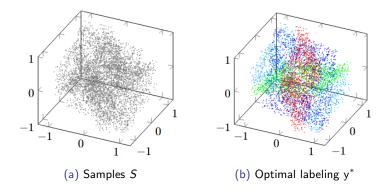
**Subspace Instances** of the Cubic Clique Partition Problem Samples S: points  $S \subset \mathbb{R}^3$ 



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Point generation: 3 distinct planes containing the origin, noise  $\sigma$ 

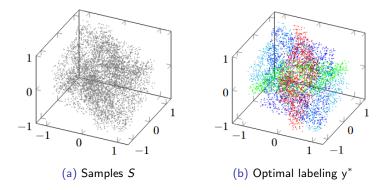


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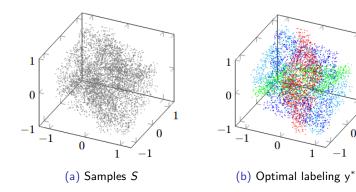
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Cost function c? (no concrete plane information given)



#### **Related Work:**

TODO: 1 + 2 (citation at the end!)

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   → point generation, appropriate cost function c
   (high accuracy, significant noise tolerance)

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#### Tasks and Solutions:

- Read [?], implement the partial optimality algorithm
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- ② Construct subspace instances of increasing difficulty → point generation, appropriate cost function c (high accuracy, significant noise tolerance)
- Apply algorithm to the subspace instances, assess partial optimality, accuracy and computation time
  - $\rightarrow$  experiments and evaluation (prove the quality of c)

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Apply partial optimality connditions  $\rightarrow$  solve subproblems

## Partial Optimality Algorithm

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Partial Optimality Algorithm:
Input: labeling y without fixed labels
while condition applied do
apply subproblem-CUT-condition exhaustively
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end while
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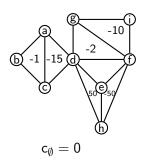
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- ② JOIN-Conditions: fix JOIN labels for elements of the sample subset; add the join-cost to  $c_{\emptyset}$ ; solve the problem where the subset is considered as one sample;

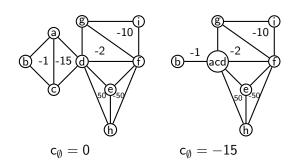
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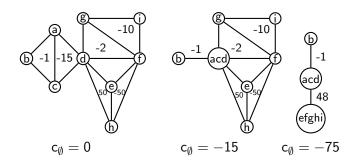
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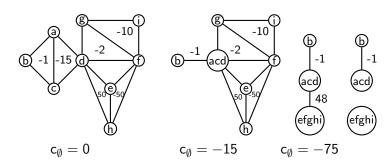
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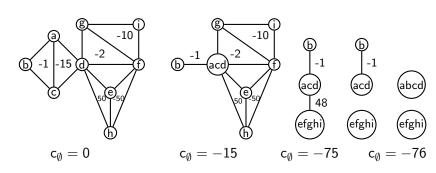
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**Pair-JOIN-1:** join samples i, j if their overall joining reward  $\geq$  the sum of rewards and penalties for joining some subset R with  $i \in R$  and  $\overline{R}$  with  $j \in \overline{R}$  ( $\approx$  i-j min-cut)

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#### Other JOIN-conditions

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**Triple-JOIN:** join samples i, j, k if the condition holds (similar to Pair-JOIN-1) ( $\approx$  i-jk min-cut)

$$c_{bcd} = 10$$

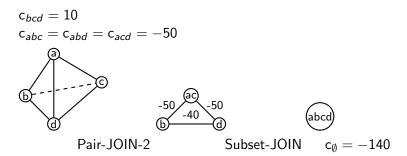
$$c_{abc} = c_{abd} = c_{acd} = -50$$

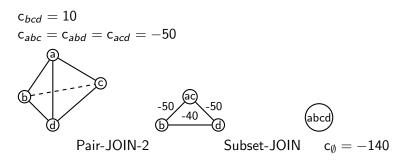


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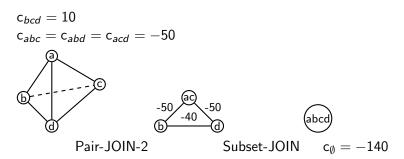
B

Pair-JOIN-2



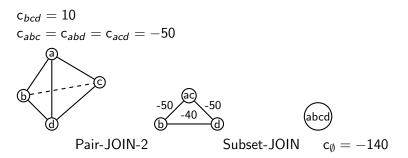


**Pair-CUT:** cut samples i,j if the direct joing penalty  $\geq$  the sum of rewards for joining some subset R with  $i \in R$  and  $\overline{R}$  with  $j \in \overline{R}$  ( $\approx$  i-j min-cut)



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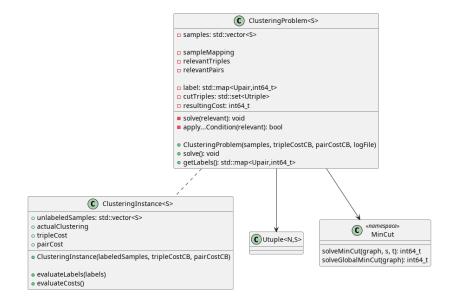


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Samples in the pyramid with  $c_{bcd}=100$  are unjoinable! Triple-CUT is applied to the triple bcd

#### Program Structure



Introduction

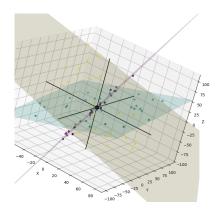
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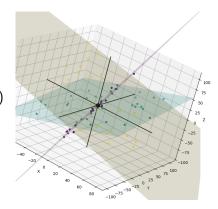
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• generate 3 planes as distinct normal vectors  $\vec{n}_1, \vec{n}_2, \vec{n}_3$  (normalized)



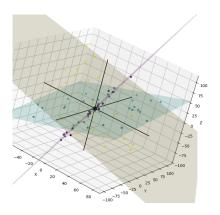
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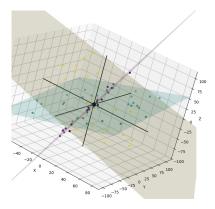
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**Point Generation** on the plane  $(\vec{n}, \vec{r_1}, \vec{r_2})$ , parameters  $(D, \sigma)$ :

- random variables  $k_1, k_2 \in [-D, D]$  (uniform distribution)
- random variable  $k_n$  (normal distribution based on  $\sigma$ )
- generate point  $p = k_1 \vec{r_1} + k_2 \vec{r_2} + k_n \vec{n}$

**Triangle**  $abc \in \binom{S}{3}$ 

# **Triangle** $abc \in \binom{S}{3}$





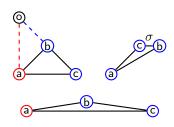
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• Smallest side s < D/2

$$\rightarrow c_{\textit{abc}} = 0$$

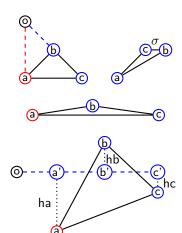
2 Largest angle  $\alpha > 150^{\circ}$ 

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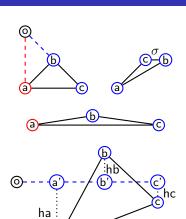
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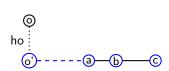
- **1** Smallest side s < D/2→  $c_{abc} = 0$
- 2 Largest angle  $\alpha > 150^{\circ}$  $\rightarrow c_{abc} = 0$
- ⓐ ha, hb, hc: distances to the best fitting plane containing the origin  $ha + hb + hc > 3\sigma + 10^{-6}$ →  $c_{abc} = \frac{(ha + hb + hc) (3\sigma + 10^{-6})}{3D}$



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- ho: distance from the origin to the triangle plane ho >  $\frac{10\sigma}{\#points}$  +  $10^{-6}$   $\rightarrow$  c<sub>abc</sub> = 0





for all points p: hp: distance to the best fitting plane choose p if  $hp < \sigma + 10^{-6}$  and  $|\vec{p}| > 0.3D$  hp': distance to the best fitting plane of all chosen points  $\delta_p = \frac{hp' - (\sigma + 10^{-6})}{D}$ ,  $SAME = \{p \colon \delta_p < 0\}$ ,  $rew = \sum_{p \in SAME} \delta_p$ ,  $|SAME| \le 3 \to c_{abc} = 0$  else  $\to c_{abc} = 2^{|SAME| - 4} rew$ 

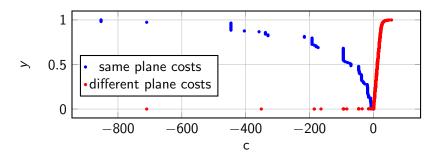
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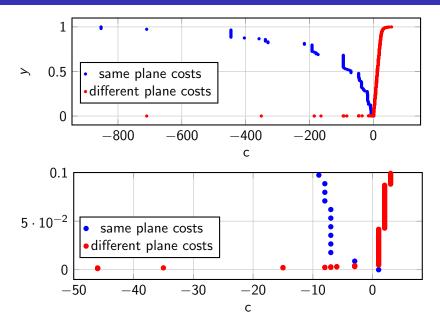
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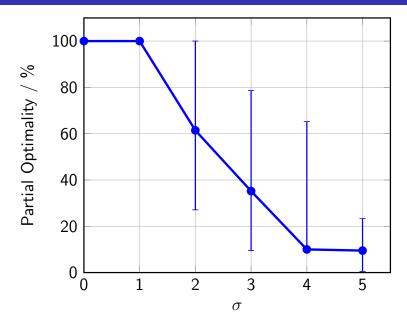
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  - 3x10 points (solve 15 instances)
  - 3x15 points (solve 7 instances)
  - 3x20 points (solve 1 instance)

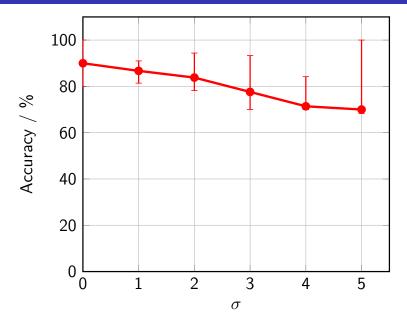
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  - 3x20 points (solve 1 instance)
- Track
  - computation time (s)
  - partial optimality (%)
  - accuracy (%) with respect to the truth (correct labeling)

- WSL2 Ubuntu, Intel Core i7-11370H (3.30 GHz), 16 GB RAM
- Apply the algorithm to the random cubic subspace instances with D=100 and fixed  $\sigma=0,1,2,3,4,5$ :
  - 3x7 points (solve 15 instances)
  - 3x10 points (solve 15 instances)
  - 3x15 points (solve 7 instances)
  - 3x20 points (solve 1 instance)
- Track
  - computation time (s)
  - partial optimality (%)
  - accuracy (%) with respect to the truth (correct labeling)
- Capture
  - 1.quartile (Q1)
  - median (Q2)
  - 3.quartile(Q3)
  - the worst computation time

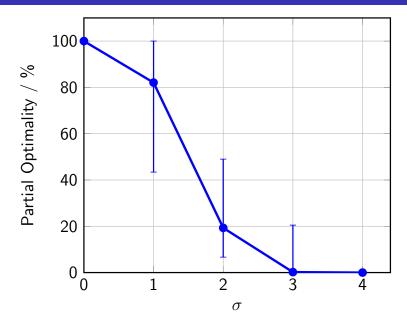
## Partial Optimality (3x7 points)



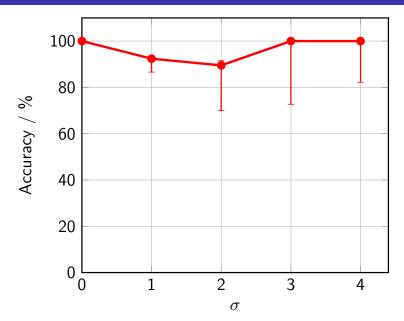
# Accuracy (3x7 points)



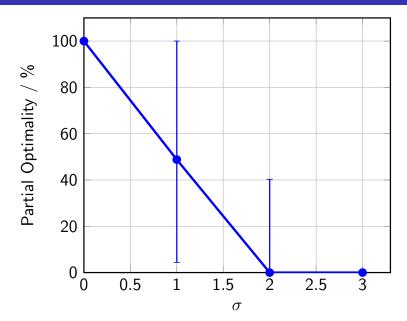
## Partial Optimality (3x10 points)



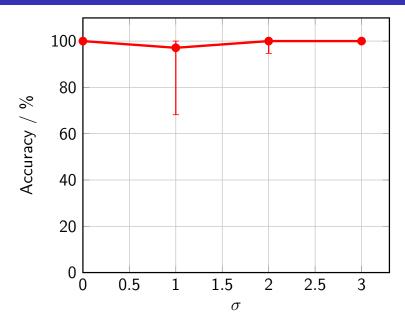
# Accuracy (3x10 points)



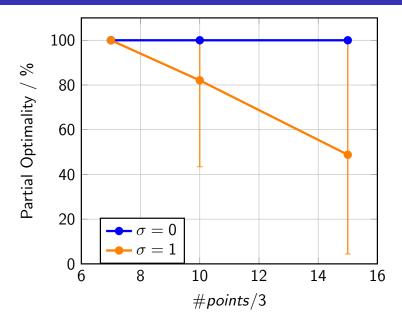
## Partial Optimality (3x15 points)



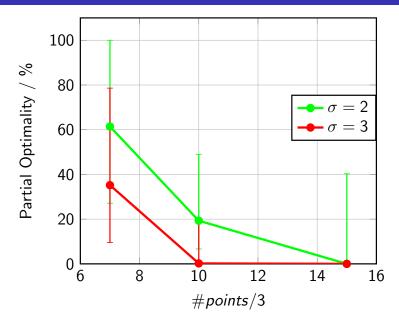
# Accuracy (3x15 points)



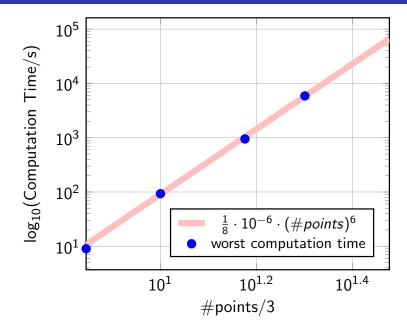
## Partial Optimality



## Partial Optimality



## Computation Time (worst case)



Introduction

2 Partial Optimality for Cubic Clique Partition Problem

3 Cubic Subspace Instance Construction

4 Experiments and Evaluation

- Implementation of the partial optimality algorithm:
  - arbitrary sample type
  - sparse cost representation
  - pair labeling and triple cuts
  - reasonable adjustments of the partial optimality conditions
  - self-explaining logs

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  - high accuracy (over 75%)
  - significant noise tolerance  $(\sigma \geq 1)$
  - $O(k \cdot n^6)$  for n = #points and a small k

#### Conclusion

- Implementation of the partial optimality algorithm:
  - arbitrary sample type
  - sparse cost representation
  - pair labeling and triple cuts
  - reasonable adjustments of the partial optimality conditions
  - self-explaining logs
- Subspace instance generation using linear algebra methods, geometric cost function c:
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#### Future Work:

- optimize and parallelize the algorithm
- overcome the partial optimality loss for c
- determine better parameters for c
- update c with advanced criteria

#### References

#### My program, scripts and presentation:

https://github.com/Vovsanka/ResearchProjectML

TODO: citation