

# Partially Optimal Cubic Subspace Clustering

Research Project Machine Learning

Volodymyr Drobitko

Technische Universität Dresden

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- 1 Introduction
- 2 Partial Optimality for Cubic Clique Partition Problem
- 3 Cubic Subspace Instance Construction
- 4 Experiments and Evaluation
- 5 Research Results

# Problem Statement (1)

Finite sample set  $S$ , cost function  $c: \binom{S}{3} \rightarrow \mathbb{R}$ .

Instance of the **Cubic Clique Partition Problem**:

$$\min_{y: \binom{S}{2} \rightarrow \{0,1\}} \sum_{abc \in \binom{S}{3}} c_{abc} y_{ab} y_{bc} y_{ac}$$

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Find a **partially optimal solution**, i.e. fix some labels  $y_{ab}$  for distinct  $a, b \in S$

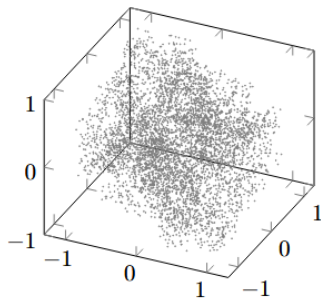
$$\begin{cases} y_{ab} = 1 & \text{join } a, b \\ y_{ab} = 0 & \text{cut } a, b \\ y_{ab} = ? & \text{unknown} \end{cases}$$

in such way that there still exists an optimal solution.

## Problem Statement (2)

**Subspace Instances** of the Cubic Clique Partition Problem

Samples  $S$ : points  $S \subset \mathbb{R}^3$



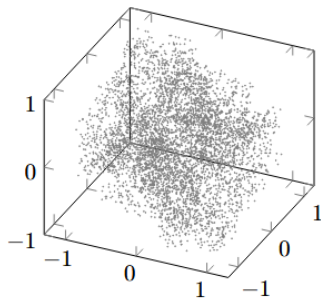
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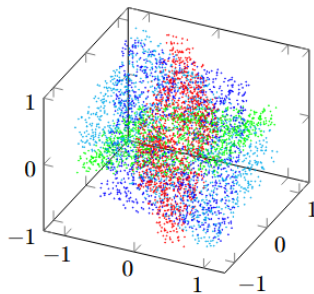
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(a) Samples  $S$



(b) Optimal labeling  $y^*$

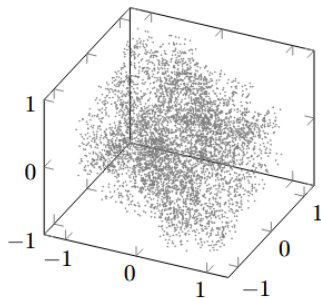
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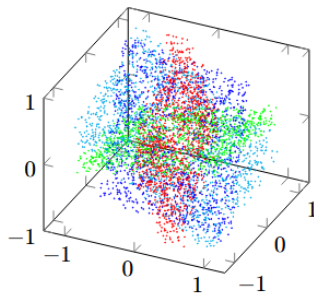
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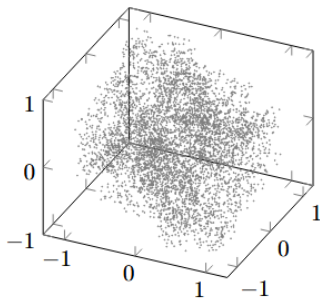
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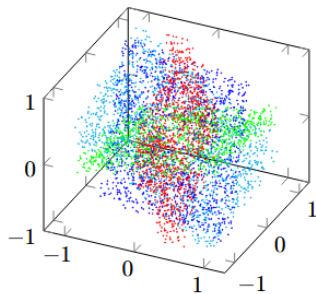
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Cost function  $c$ ? (no concrete plane information given)



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- ➋ Construct subspace instances of increasing difficulty  
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(significant noise tolerance)
- ➌ Apply algorithm to the subspace instances, assess partial optimality, accuracy and computation time  
→ experiments and evaluation (prove the quality of  $c$ )

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Apply partial optimality conditions → solve subproblems

# Partial Optimality Algorithm

## **Partial Optimality Algorithm:**

**Input:** clustering  $y$  without fixed labels

**while** condition applied **do**

    apply subproblem-CUT-condition exhaustively

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**end while**

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## Reduction to subproblems:

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- 2 JOIN-Conditions: fix JOIN labels for elements of the sample subset; add the join-cost to  $c_\emptyset$ ; solve the problem where the subset is considered as one sample;

## Subproblem-CUT and Subset-JOIN

**Subproblem-CUT:** cut sample subsets  $R_1, R_2, \dots, R_k$  that are only connected via non-negative costs (applied if  $k > 1$ )

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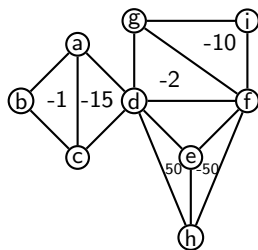
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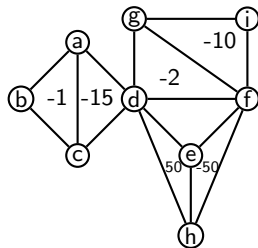


$$c_{\emptyset} = 0$$

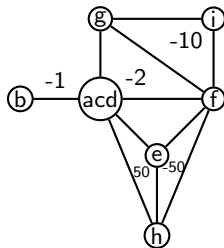
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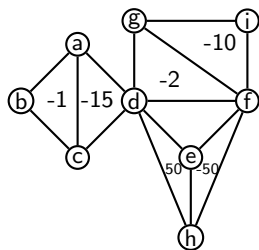


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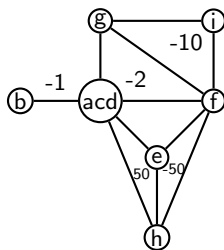
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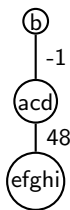
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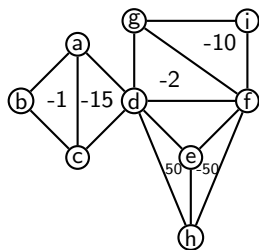


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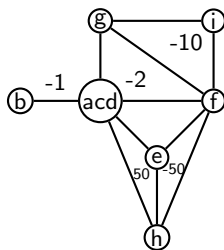
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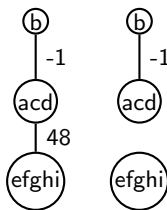
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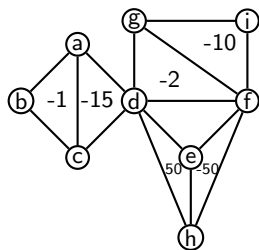


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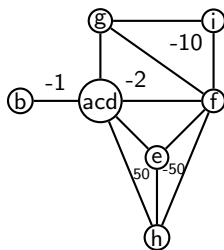
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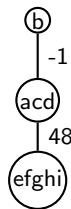
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## Other JOIN-conditions

**Pair-JOIN-1:** join samples  $i, j$  if their overall joining reward  $\geq$  the sum of rewards and penalties for joining some subset  $R$  with  $i \in R$  and  $\bar{R}$  with  $j \in \bar{R}$  ( $\approx$  i-j min-cut)

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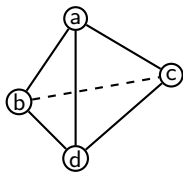
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**Triple-JOIN:** join samples  $i, j, k$  if the condition holds (similar to Pair-JOIN-1) ( $\approx$  i-jk min-cut)

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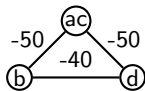
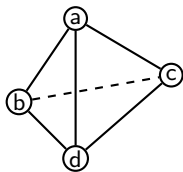
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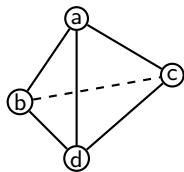


Pair-JOIN-2

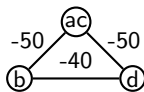
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Subset-JOIN



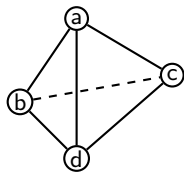
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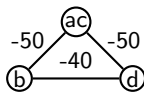
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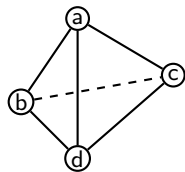
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**Pair-CUT:** cut samples  $i, j$  if the direct joining penalty  $\geq$  the sum of rewards for joining some subset  $R$  with  $i \in R$  and  $\bar{R}$  with  $j \in \bar{R}$  ( $\approx$  i-j min-cut)

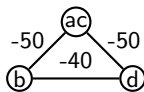
# Pyramid Instance and CUT-conditions

$$c_{\{b,c,d\}} = 10$$

$$c_{\{a,b,c\}} = c_{\{a,b,d\}} = c_{\{a,c,d\}} = -50$$



Pair-JOIN-2



Subset-JOIN



$$c_{\emptyset} = -140$$

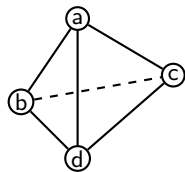
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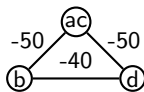
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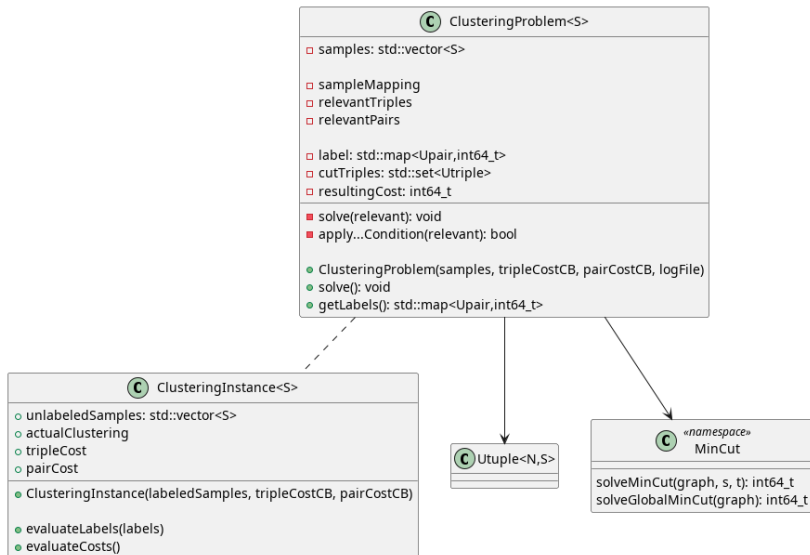
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**Triple-CUT:** cut samples  $i, j, k$  if the condition holds (similar to Pair-CUT) ( $\approx$  i-jk min-cut)

Samples in the pyramid with  $c_{\{b,c,d\}} = 100$  are unjoinable!  
Triple-CUT is applied to the triple  $bcd$

# Program Structure

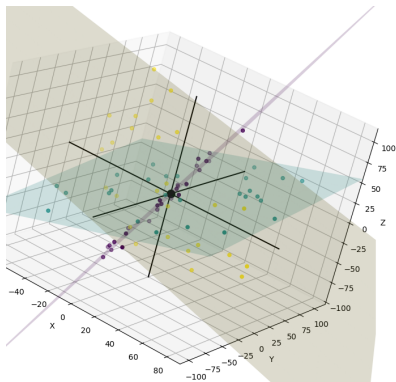


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# Plane and Point Generation

## Plane Generation:

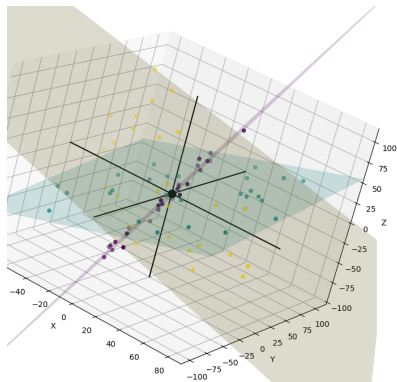
- generate 3 planes  
as distinct normal vectors  
 $\vec{n}_1, \vec{n}_2, \vec{n}_3$  (normalized)



# Plane and Point Generation

## Plane Generation:

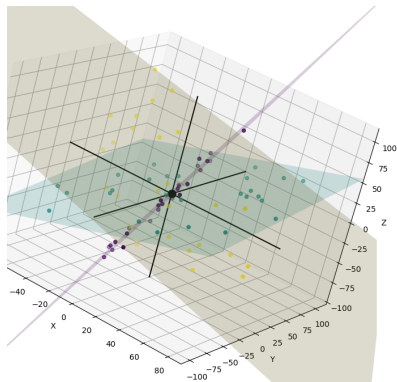
- generate 3 planes as distinct normal vectors  $\vec{n}_1, \vec{n}_2, \vec{n}_3$  (normalized)
- compute a  $\vec{r}_{i,1}$  (normalized) orthogonal to  $\vec{n}_i$  ( $i \in \{1, 2, 3\}$ )



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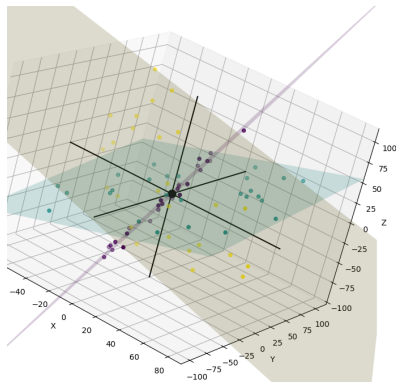




# Plane and Point Generation

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- compute the  $\vec{r}_{i,2}$  (normalized) orthogonal to  $\vec{n}_i$  and  $\vec{r}_{i,1}$



**Point Generation** on the plane  $(\vec{n}, \vec{r}_1, \vec{r}_2)$ , parameters  $(D, \sigma)$ :

- random variables  $k_1, k_2 \in [-D, D]$  (uniform distribution)
- random variable  $k_n$  (normal distribution based on  $\sigma$ )
- generate point  $p = k_1 \vec{r}_1 + k_2 \vec{r}_2 + k_n \vec{n}$

# Cost Function

Triangle  $abc \in \binom{S}{3}$

① Smallest side  $s < D/2 \rightarrow c_{abc} = 0$

② Largest angle  $\alpha > 150^\circ \rightarrow c_{abc} = 0$

③  $ha, hb, hc$ : distances to the best fitting plane

$$ha + hb + hc > 3\sigma + 10^{-6} \\ \rightarrow c_{abc} = \frac{(ha+hb+hc)-(3\sigma+10^{-6})}{3D}$$

④  $ho$ : distance from the origin to the triangle plane

$$ho > \frac{10\sigma}{\#points} + 10^{-6} \rightarrow c_{abc} = 0$$

⑤ for all points  $p$ :  $hp$ : distance to the best fitting plane

choose  $p$  if  $hp < \sigma + 10^{-6}$  and  $|\vec{p}| > 0.3D$

$hp'$ : distance to the best fitting plane of all chosen points

$$\delta_p = \frac{hp' - (\sigma + 10^{-6})}{D}, \text{ SAME} = \{p: \delta_p < 0\}, \text{ rew} = \sum_{p \in \text{SAME}} \delta_p,$$

$$|\text{SAME}| \leq 3 \rightarrow c_{abc} = 0$$

$$\text{else} \rightarrow c_{abc} = 2^{|\text{SAME}|-4} \text{rew}$$

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# Experiments

My laptop characteristics, Random cubic subspace instances with different seeds max point component size 100 (noise are the percents then) no noise 0, small noise 1, significant noise 3, large noise 5, (Table: instance size + noise + instance count)

# Cost Function Evaluation

blue and red dots, conflicts and their effect (picture of the typical cost function evaluation)

# Experiment Results for 3x7 Points

3x7 points: all results + time-optimality-accuracy  
(min-max-average)

## Experiment Results

3x(7x12x17x22) time-optimality-accuracy (min-max-average)  
DIAGRAM!!! mention the coefficients to prove the efficiency,  
partial optimality and accuracy!!!

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# Conclusion

TODO: summarize the research results Partial optimality  
reimplementation, Dedicated Cost Function, Efficiency and  
Accuracy (shown by the experiments)

Future Work :