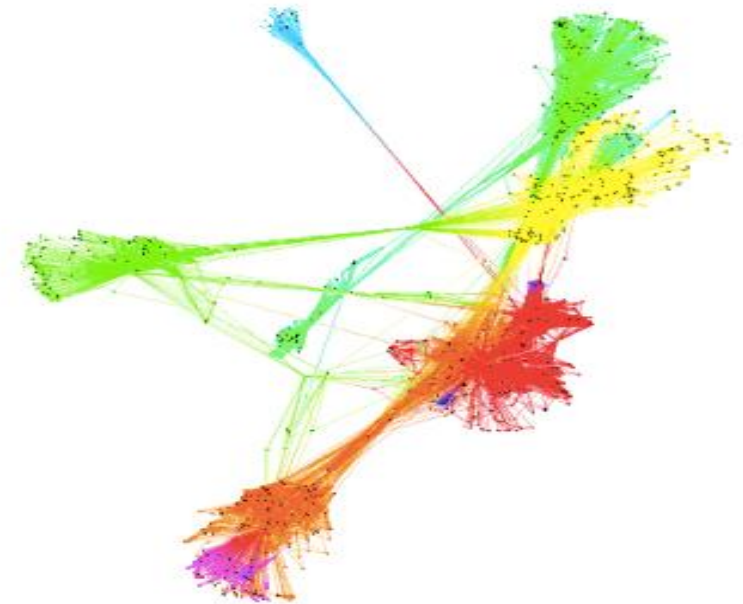


Evaluation of the FIN-TECH project

Alexander Deierling, Co-Founder, Firamis GmbH

Software Partner for Applied Financial Data Science & AI

InvestTech | RiskTech | RegTech | SupTech

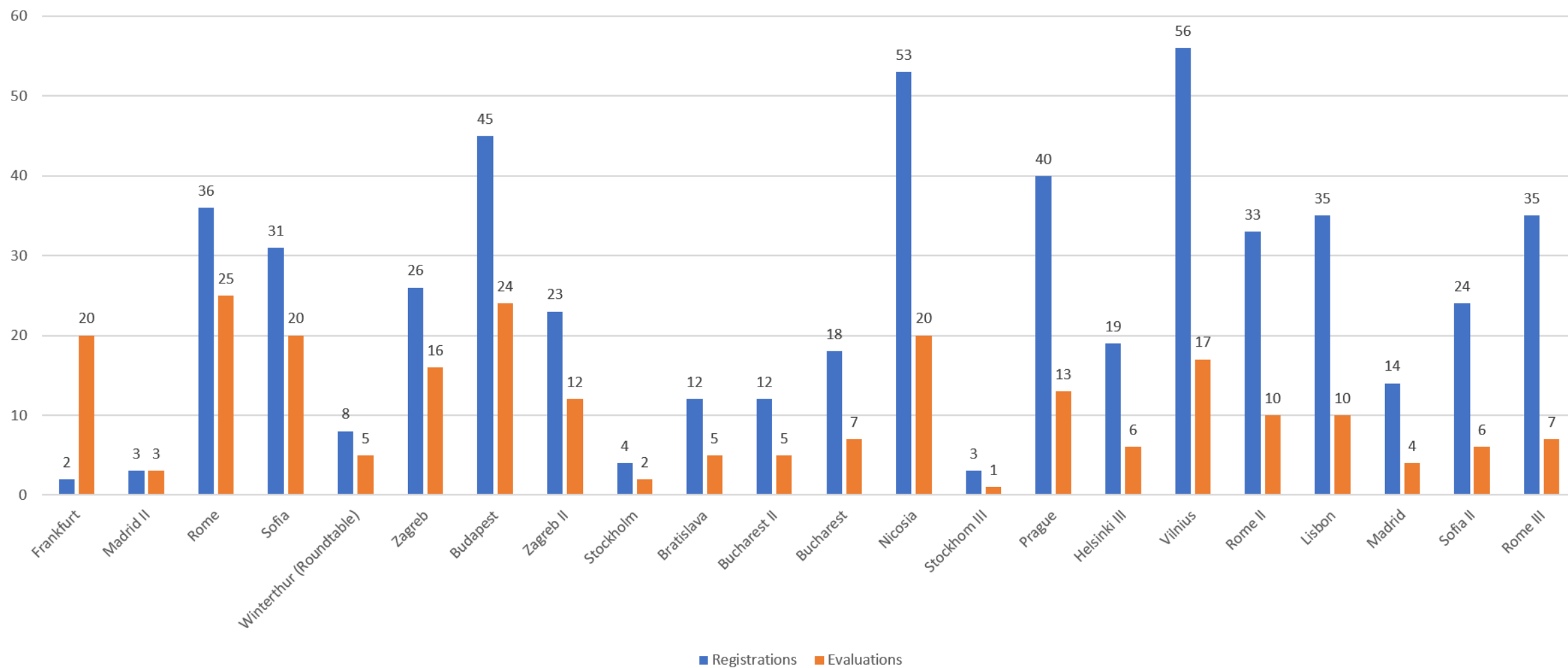


- feedback evaluation: continuous monitoring and improvement of the project through feedback from participants to all workshops: supervisors, regulators, fintechs, banks.
- project evaluation: through an intermediate and a final report, prepared in cooperation with banks and insurers, based on all project's feedback; and with the international advisory board, based on all deliverables.

- all participants are asked to give their feedback
- 44 workshops have taken place until the end of June 2019
- national and international supervisors as well as fintechs and banks participated
- out of 1.203 participants, 318 gave their feedback (quote of 26,43%)

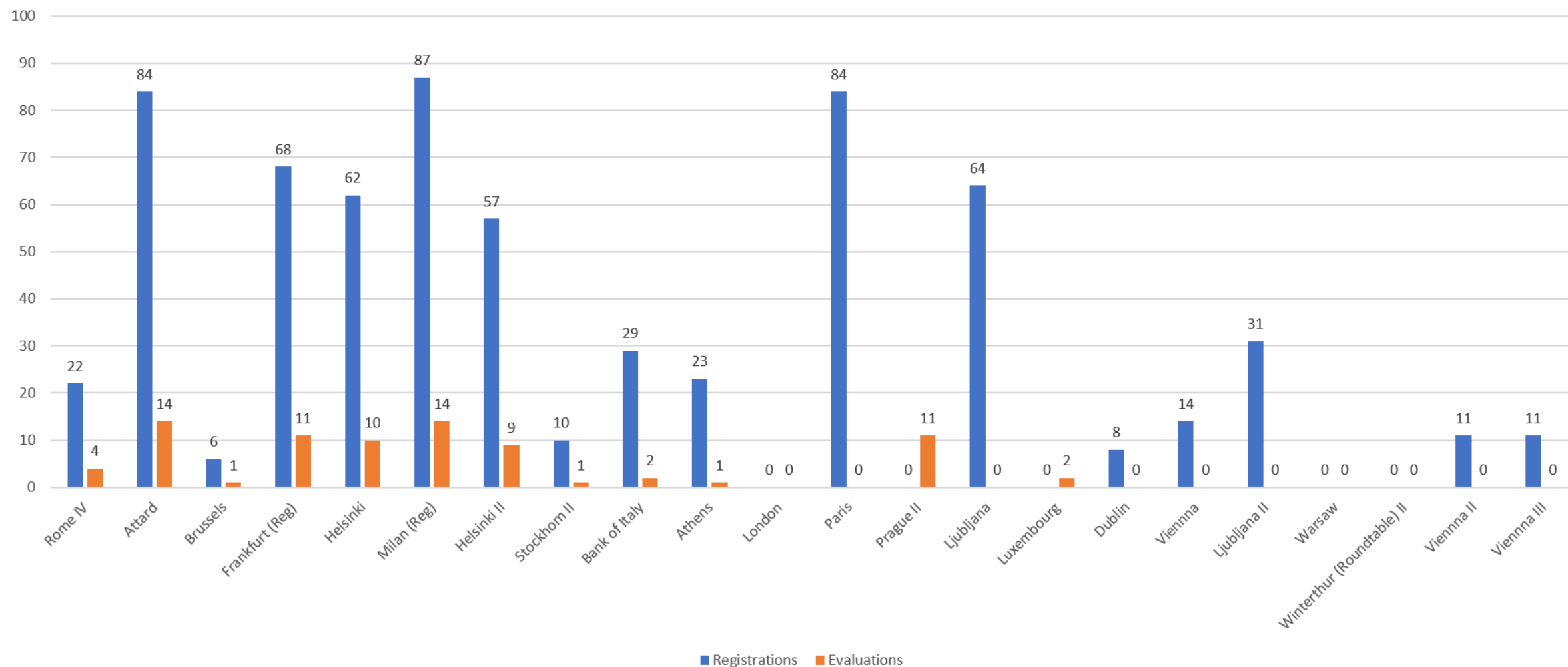
OVERVIEW REGISTRATION / EVALUATION WORKSHOPS IN ABSOLUTE NUMBERS 1/2

FINANCIAL RISK AND ASSET MANAGEMENT WITH INTELLIGENT SYSTEMS



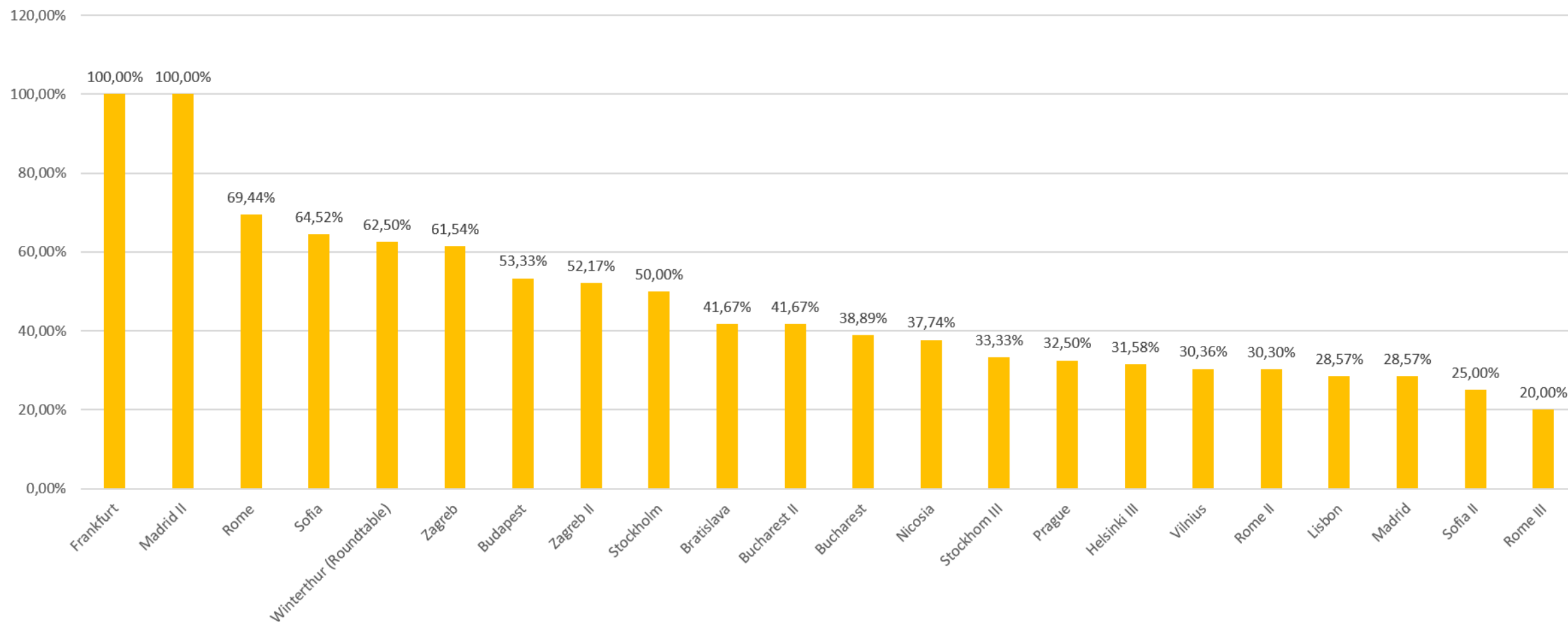
OVERVIEW REGISTRATION / EVALUATION WORKSHOPS IN ABSOLUTE NUMBERS 2/2

FINANCIAL RISK AND ASSET MANAGEMENT WITH INTELLIGENT SYSTEMS



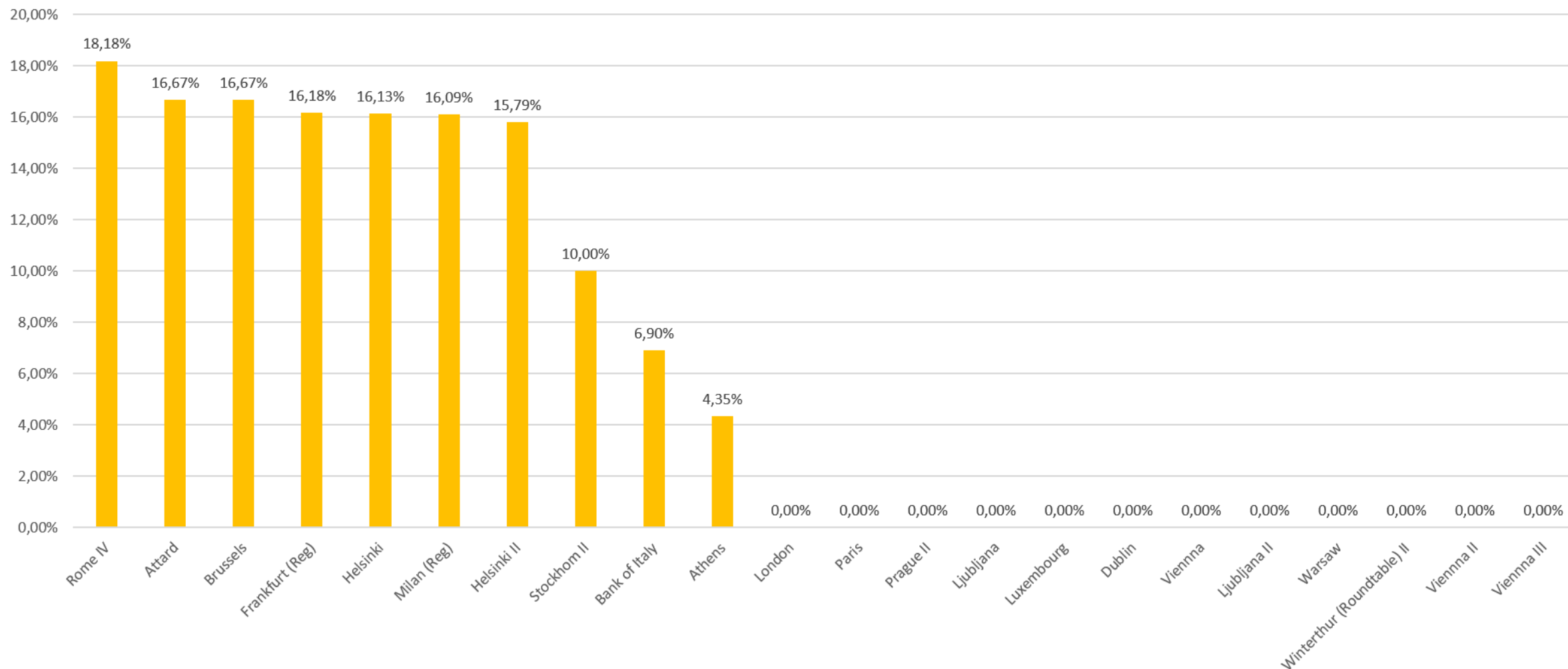
OVERVIEW REGISTRATION / EVALUATION WORKSHOPS 1/2

FINANCIAL RISK AND ASSET MANAGEMENT WITH INTELLIGENT SYSTEMS



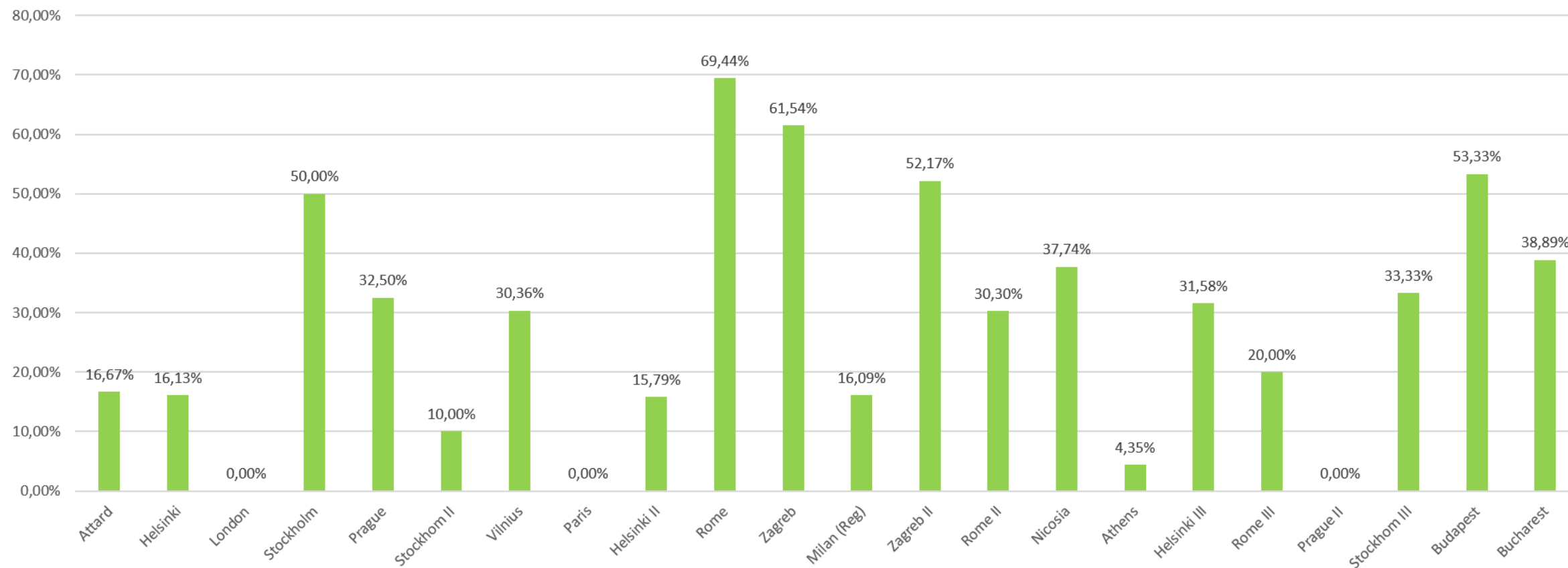
OVERVIEW REGISTRATION / EVALUATION WORKSHOPS 2/2

FINANCIAL RISK AND ASSET MANAGEMENT WITH INTELLIGENT SYSTEMS



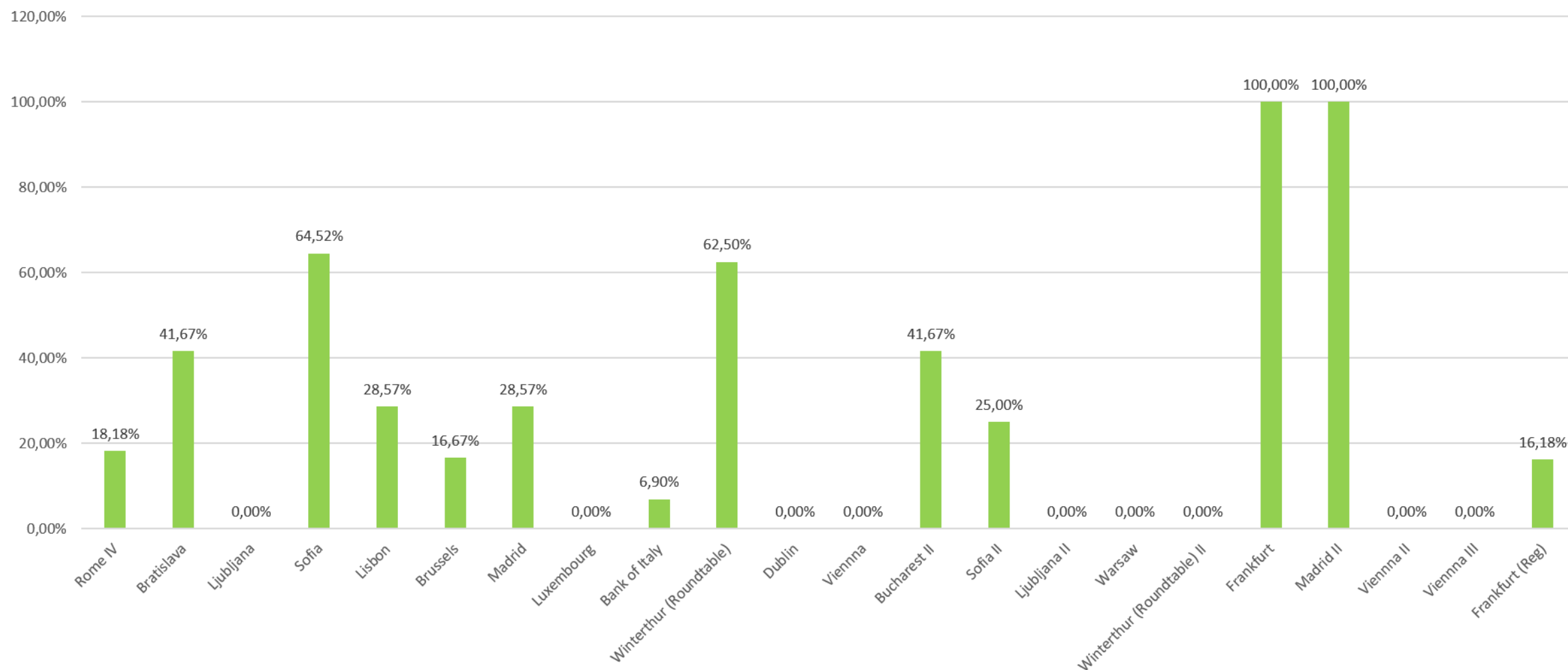
OVERVIEW REGISTRATION / EVALUATION WORKSHOPS BY DATE 1/2

FINANCIAL RISK AND ASSET MANAGEMENT WITH INTELLIGENT SYSTEMS



OVERVIEW REGISTRATION / EVALUATION WORKSHOPS BY DATE 2/2

FINANCIAL RISK AND ASSET MANAGEMENT WITH INTELLIGENT SYSTEMS





A FINancial supervision and TECHnology compliance training programme

REGTECH WORKSHOP II Big Data Analytics , Frankfurt, June 28th 2019 , TechQuartier Evaluation Form

Role *

Consortium partner

Please evaluate the contents of the workshop with comments on specific parts and suggestions for future events.

Please indicate which use case was best (if there was more than one) *

☐ 1

☐ 2

☐ 3

☐ no use case covered

Please evaluate the use cases' explainability on a 1-5 scale (1=low; 5=high) *

☐ 1

☐ 2

☐ 3

☐ 4

☐ 5

☐ no use case covered

Please evaluate the use cases' predictive accuracy on a 1-5 scale (1=low; 5=high) *

☐ 1

☐ 2

☐ 3

☐ 4

☐ 5

☐ no use case covered

Please evaluate the use cases' perceived utility on a 1-5 scale (1=low; 5=high) *

☐ 1

☐ 2

☐ 3

☐ 4

☐ 5

☐ no use case covered

Please evaluate the overall contents of the workshop on a 1-5 scale (1=low; 5=high) *

☐ 1

☐ 2

☐ 3

☐ 4

☐ 5

☐ no use case covered

Submit

Evaluation form accessible via this link:

<https://www.fintech-ho2020.eu/free/app/evaluation-regtech-frankfurt>

- **please evaluate the overall contents of the workshop on a 1-5 scale**
 - 3,83 (1=low; 5=high)
- please evaluate the use cases' explainability on a 1-5 scale
 - 3,69 (1=low; 5=high)
- please evaluate the use cases' predictive accuracy on a 1-5 scale
 - 3,61 (1=low; 5=high)
- please evaluate the use cases' perceived utility on a 1-5 scale
 - 3,53 (1=low; 5=high)

- by European banks and insurers, in collaboration with the EBF
 - special workshops will take place in Brussels (November 2019) and Frankfurt (June 2020)
 - confirmation by:

Deutsche Bank, Allianz, Commerzbank, Deutsche Börse, Quoniam (Union Invest), SIA, Intesa San Paolo, Creval, MPS Group, Credit Agricole
- final report, prepared with the international advisory board

The Fintech questionnaire (https://www.fintech-ho2020.eu/free/app/survey_impact_regulation)

has the following functionality:

- dialogue between regulators and fintechs
- express opinions, viewpoints
- shed light on the areas of improvement and the criticalities in the relation with regulators and supervisors

Obstacles to fintech scaling



The screenshot shows the 'FinTech-Questionnaire' form. At the top is the 'FINTECH RISK MANAGEMENT' logo. The title 'FinTech-Questionnaire' is centered. Below it, the text 'We need your voice!' is followed by a paragraph: 'To enable the dialogue between regulators and operators please answer the following questions to share your profile as a fintech company:'. The form contains three numbered questions with radio button options:

1. How would you describe your main domain of activity?
 - ☐ FinTech
 - ☐ Traditional financial services
 - ☐ Tech
 - ☐ Other

'FinTech' describes an activity, service or product related to financial services that includes a technological feature.
'Tech' describes an activity, service or product related to the technological application that can - among others - also have applications in the financial services and markets.
2. How many years has your company been active in its main domain?
 - ☐ < 1
 - ☐ 1 - 3
 - ☐ 3 - 5
 - ☐ 5 - 10
 - ☐ 10+
3. Which FinTech area characterises best your main domain?
 - ☐ Big data
 - ☐ Artificial intelligence
 - ☐ Blockchain
 - ☐ Cybersecurity
 - ☐ Lending