Events UCL

## Data Analytics: a P2P lending user case - Financial Conduct Authority  - London - 26/03/2019

- o Parties participating, their roles and their responsibilities:

    - o University College London (UCL)

    - o Financial Conduct Authority (FCA), Innovate Division

    - o Fintech Start-up  Refinery

- o **The role of the participants**: Head of division, Researchers team, IT & Security head.

- o **How will they stay involved?** Personal relations and scientific collaborations have been established. One employee of FCA has started a part-time PhD with the UCL team. Communications are on weekly basis. FCA members participate to UCL

- o **what is their feedback on the use cases presented?** The FCA innovation team has expressed great interest in the UCL academic activities and great curiosity for the European Project that they see as an important academic initiative that is moving in the same direction of coordination initiatives that are put forward by the European central banks and regulators. However, their value the academic side because there is a larger intellectual freedom, open communication and independence.

- o **are the selected use cases in the end the ones that meet the expectations and requirements at most?** The use-cases were discussed in details and a lot of interest was raised. Plans to have a two-way interaction with Academics and FCA presenting their respective use-cases were made.

## Regulation and FinTech, - Financial Conduct Authority  - London - 05/03/2019

- o Parties participating, their roles and their responsibilities:

    - o University College London (UCL)

    - o Financial Conduct Authority (FCA), Innovate Division

- o **The role of the participants**: Head of division, Researchers team, IT & Security head.

- o **How will they stay involved?** A preparation work was undertaken by both UCL team and FCA team. UCL presented research results on Fintech and regulation reporting on some of the cutting edge research activities done in the group. FCA also presented research outputs and case studies on this topic.

- o **what is their feedback on the use cases presented?** There was great interest from both sides. We identified network approaches and systemic risk as a common ground interest. We identified the issue of lack of access to data form academia as an enormous limitation to advancement in the field.  Discussions on generation of artificial data via natural networks were undertaken.

- o **are the selected use cases in the end the ones that meet the expectations and requirements at most?** The use-cases were perfectly centred onto the FCA interest and vice-versa. But this was not by chance or luck. A large preparation work was done before the meeting.

Workshop AI, Financial Automation and Market Risk, - London - 19/05/2020

- o Parties participating, their roles and their responsibilities:

    - o All Fintech2020 participating organizations, plus:

    - o Financial Conduct Authority (FCA)

    - o Barclays

    - o HSBC

    - o Santander

    - o Bank of England

    - o National University of Singapore

    - o Asian Development Bank

    - o FINRA

    - o An Najah National University

- o **The role of the participants**: Regulators, Central Bankers, Head of divisions, Professors, Researchers, IT, Security, technologists, students.

- o **How will they stay involved?** The initiative was a great success with strong covering on social media, on the UCL website, and creating a community that is still actively interacting. Slides related to the presentations held are uploaded on the project platform.

- o **what is their feedback on the use cases presented?** Comments were extremely positive. The main feature that has been appreciated by participants is the high quality and well mixed relators, coming both from the academia and the industry, and the variety of topics dealt with.

- o **are the selected use cases in the end the ones that meet the expectations and requirements at most?** Use-cases and research topics were selected in order to cover interest and heterogeneity of the participants. Feedback has been overwhelming with attendees and speakers actively engaging in area outside their comfort zone.