

# REGTECH WORKSHOP I

## RegTech questionnaire

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# THE EUROPEAN FINTECH OPERATORS LANDSCAPE

- How do FinTech companies look like
- What is their profile as financial services provide?
- Are they mainly focused on technological issues or financial services?
- What is their relationship (if any) with regulators and supervisors?
  - Are they aware of sandboxes and innovation hubs?

Launching a questionnaire for FinTechs to understand who they are and how they relate to regulators and supervisors (both national and European authorities).

## Questionnaire

### Your profile as a fintech company

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1. How would you describe your main domain of activity?

- ☐ FinTech
- ☐ Traditional financial services
- ☐ Tech
- ☐ Other

'FinTech' describes an activity, service or product related to financial services that includes a technological feature.

'Tech' describes an activity, service or product related to the technological application that can - among others - also have applications in the financial services and markets.

2. How many years has your company been active in its main domain?

- ☐ < 1
- ☐ 1 - 3
- ☐ 3 - 5
- ☐ 5 - 10
- ☐ 10+

3. Which FinTech area characterises best your main domain?

- ☐ Big data
- ☐ Artificial intelligence
- ☐ Blockchain
- ☐ Cybersecurity
- ☐ Lending
- ☐ Payments
- ☐ Asset management

**Innovation Hubs** are "point of contact for firms to raise enquiries with competent authorities on FinTech-related issues" and seek guidance.

ESAs (2019) found 21 innovation hubs are active in member states  
+ 3 in Eastern Europe

**Sandboxes** "are schemes to enable firms to test, pursuant to a specific testing plan agreed and monitored by a dedicated function of the competent authority, innovative financial products, financial services or business models".

ESAs also found 5 regulatory sandboxes active in Denmark,  
Lithuania, Netherlands, Poland and the UK (+ Norway planned)

A variety of approaches to both IH and SB exists.

## Aims of the questionnaire:

- providing a better picture of the FinTech operators (FinTech companies, Tech, "traditional" intermediaries) landscape;
- enhancing opportunities for dialogue, evaluating the areas of improvement and the criticalities in the relation with regulators and supervisors.

To complete the questionnaire,  
just click **HERE**.  
Your participation is fully appreciated!



# THANK YOU



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