



FINTECH

RISK
MANAGEMENT

www.fintech-ho2020.eu

FIN-TECH project H2020

Management board meeting
1 February 2019

Topics for discussion

- ▶ Project deliverables and milestones
- ▶ Establishment of the Fin-TECH network, Executive and Advisory Board
- ▶ Establishment of website and social media channels
- ▶ Proposed amendments to the Grant Agreement: UCL subcontracting proposal, ZHAW request to train Hungary, key personnel amendments
- ▶ Financial plan
- ▶ Fund transfer issues

Deliverables - I

825215 (FIN-TECH) CSA
Call: H2020-ICT-2018-2020
Topic: ICT-35-2018 Unit: CNECT/F/03

Summary for publication

Deliverables, Ethics, DMP, Other Reports

Milestones

Critical Risks

Publications

Dissemination

Patents (IPR)

Innovation

SME Impact

Gender

ABS Regulation

Deliverables, Ethics, DMP, Other Reports

For each Deliverable, a single file (max 52MB) can be uploaded

Deliverables

WP No	Del Ref.	Del No	Title	Description	Lead Benef.	Nature	Dissemination	Est. Del. Date (an)
WP1	D1.1	D1	Network Establishment	Establishment of the FIN-TECH network and of th...	UNIPV	Report	Public	31 Jan 2019
WP7	D7.1	D20	Establishment of website and social media channels.	Establishment of website and social media chann...	FIRAM...	Website	Public	31 Jan 2019
WP4	D6.1	D16	Research and development environment	The creation of a coding technical infrastru...	FIRAM...	Website	Public	31 Mar 2019
WP5	D5.1	D13	Repository of training syllabus and slides in big data analytics	Repository of training syllabus and slides in b...	UNIPV	Website	Public	30 Jun 2019
WP6	D6.2	D17	Repository of coding syllabus, scripts and datasets for big data analytics	Repository of coding syllabus, scripts and data...	FIRAM...	Website	Public	30 Jun 2019
WP5	D5.2	D14	Repository of training syllabus and slides in artificial intelligence	Repository of training syllabus and slides in a...	UNIPV	Website	Public	31 Mar 2020
WP6	D6.3	D18	Repository of coding syllabus, scripts and datasets for artificial intelligence	Repository of coding syllabus, scripts and data...	FIRAM...	Website	Public	31 Mar 2020
WP5	D5.3	D15	Repository of training syllabus and slides in blockchain	Repository of training syllabus and slides in b...	UNIPV	Website	Public	30 Sep 2020
WP6	D6.4	D19	Repository of coding syllabus, scripts and datasets for blockchain	Repository of coding syllabus, scripts and data...	FIRAM...	Website	Public	30 Sep 2020
WP1	D1.2	D2	Technical output	Integration of research repositories; common sy...	UNIPV	Report	Public	31 Dec 2020
WP1	D1.3	D3	Financial output	Financial reporting to the partners and to the EC...	UNIPV	Report	Public	31 Dec 2020
WP2	D2.1	D4	Repository of research papers (BDA)	Repository of research papers from the Big Data...	UCL	Website	Public	31 Dec 2020
WP2	D2.2	D5	Repository of white papers (BDA)	Repository of white papers from the Big Data An...	UCL	Website	Public	31 Dec 2020
WP2	D2.3	D6	Repository of regulatory reports (BDA)	Repository of regulatory reports from the Big D...	UCL	Website	Public	31 Dec 2020

Deliverables - II

H20215 (FIN-TECH)
Call: H2020-ICT-2018-2020
Topic: ICT-35-2018

CSA
Unit: CNECT/F/03

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Deliverables

WP No	Del Ref.	Del No	Title	Description	Lead Benef.	Nature	Dissemination	Est. Del. Date
WP1	D1.2	D2	Technical output	Integration of research repositories; common sy...	UNIPV	Report	Public	31 Dec 2020
WP1	D1.3	D3	Financial output	Financial reporting to the partners and to the EC...	UNIPV	Report	Public	31 Dec 2020
WP2	D2.1	D4	Repository of research papers (BDA)	Repository of research papers from the Big Data...	UCL	Website	Public	31 Dec 2020
WP2	D2.2	D5	Repository of white papers (BDA)	Repository of white papers from the Big Data An...	UCL	Website	Public	31 Dec 2020
WP2	D2.3	D6	Repository of regulatory reports (BDA)	Repository of regulatory reports from the Big D...	UCL	Website	Public	31 Dec 2020
WP3	D3.1	D7	Repository of research papers (AI)	Repository of research papers from the Artificial...	UBER	Website	Public	31 Dec 2020
WP3	D3.2	D8	Repository of white papers (AI)	Repository of white papers from the Artificial ...	UBER	Website	Public	31 Dec 2020
WP3	D3.3	D9	Repository of regulatory reports (AI)	Repository of regulatory reports from the Artif...	UBER	Website	Public	31 Dec 2020
WP4	D4.1	D10	Repository of research papers (BC)	Repository of research papers from Blockchain r...	UP1	Website	Public	31 Dec 2020
WP4	D4.2	D11	Repository of white papers (BC)	Repository of white papers from Blockchain rese...	UP1	Website	Public	31 Dec 2020
WP4	D4.3	D12	Repository of regulatory reports (BC)	Repository of regulatory reports from Blockchain...	UP1	Website	Public	31 Dec 2020
WP7	D7.2	D21	Event participation repository	In this task the work package leader will promo...	FIRAM...	Website	Public	31 Dec 2020
WP7	D7.3	D22	Feedback repository	The purpose of this task is to continuously mon...	B-Hive	Website	Public	31 Dec 2020
WP7	D7.4	D23	Evaluation lab repository	In order to cross-validate the risk management ...	B-Hive	Website	Public	31 Dec 2020

Milestones - I

<p>825215 (FIN-TECH) CSA</p> <p>THE INSTITUTE FOR INNOVATION & RESEARCH IN MEDICINE HORIZON 2020</p> <p>Call: H2020-ICT-2018-2020 Topics: ICT-35-2018 Unit: CHCCT/F/AD3</p>	Summary for publication	Deliverables, Ethics, IAW, other Reports	Milestones	Critical Risks	Publications	Dissemination	Patents (IPR)	Innovation	SME Impact	Gender	ABS Regulation	

Milestones

Number	Name	Lead Beneficiary	Delivery Date (Annex 3)	Achieved	Delivery Date (Actual)
1	Establishment of the FIN-TECH network and of the Advisory Board	UNPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
2	Completion of the technical plan	UNPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
3	Completion of the financial plan	UNPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
4	Completion of initial workshop	UNPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
5	Launch of repository of university papers (BOA)	UCL	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
6	Launch of a repository of white papers by fintechs (BOA)	UCL	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
7	Launch of a repository of regulatory papers (BOA)	UCL	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
8	Launch of research repository of university papers (AI)	UBER	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
9	Launch of a repository of white papers by fintechs (AI)	UBER	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
10	Launch of a repository of regulatory papers (AI)	UBER	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
11	Launch of research repository of university papers (BC)	UP1	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
12	Launch of a repository of white papers by fintechs (BC)	UP1	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
13	Launch of a repository of regulatory papers (BC)	UP1	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>
14	Launch of repository of training session materials (syllabus and slides) for big data analytics	UNPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>

Milestones - II

825215 (FIN-TECH) CSA
HORIZON 2020
The European Union's research and innovation programme
 Call: H2020-ICT-2018-2020
 Topic: ICT-35-2018 Unit: CNECT/F/03

Summary for publication

Deliverables Ethics Other reports

Milestones

Critical Risks

Publications

Dissemination

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ABS Regulation

Milestones

Number	Name	Lead Beneficiary	Delivery Date (Annex I)	▲	Achieved	Delivery Date (Actual)
15	Launch of repository of training session materials (syllabus and slides) for artificial intelligence	UNIPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
16	Launch of repository of training session materials (syllabus and slides) for blockchain	UNIPV	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
17	Launch of repository for coding session materials (syllabus, scripts, datasets) for big data analytics	FIRAMIS GmbH	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
18	Launch of repository for coding session materials (syllabus, scripts, datasets) for artificial intelligence	FIRAMIS GmbH	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
19	Launch of repository for coding session materials (syllabus, scripts, datasets) for blockchain	FIRAMIS GmbH	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
20	Launch of external communication channels: web site and social media	FIRAMIS GmbH	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
21	Launch of event participation repository	FIRAMIS GmbH	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
22	Launch of feedback repository	FIRAMIS GmbH	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
23	Establishment of the evaluation lab	B-Hive	31 Jan 2019	<input type="checkbox"/>	<input type="checkbox"/>	
24	Launch of a coding research and development environment	FIRAMIS GmbH	31 Mar 2019	<input type="checkbox"/>	<input type="checkbox"/>	
25	Completion of training hubs' activities for BDA part 1	UNIPV	31 Mar 2019	<input type="checkbox"/>	<input type="checkbox"/>	
26	Conclusion of coding session 1	FIRAMIS GmbH	31 Mar 2019	<input type="checkbox"/>	<input type="checkbox"/>	
27	Completion of training hubs' activities for BDA part 2	UNIPV	30 Jun 2019	<input type="checkbox"/>	<input type="checkbox"/>	

Advisory Board

- ▶ The expert advisors will receive all the deliverables of the project. They will be invited to present the preliminary results of their evaluation during the second workshop.
- ▶ The appointed advisors are:
 - Bihong Huang, Asian Development Bank
 - Shatha Qamhieh, Nablus University Palestine
 - Gabriel Aparici, Central bank of Chile
 - Ugo Bechis, Blockchain advisor
 - Chen Ying, University of Singapore
 - leong Meikei, Hong Kong ASTRI Institute
 - Daniel Heller, Blockchain advisor
 - Michael Juenemann, RegTech Association

Executive Board - I

- ▶ The Executive Board prepares the deliberations of the Management Board.
- ▶ The members are the workpackage leaders and the project coordinator:
 - Paolo Giudici, University of Pavia - Coordinator, WP1 and WP5
 - Tomaso Aste, University College of London - WP2
 - Wolfgang Karl Härdle, Humboldt Berlin - WP3
 - Christophe Henot, University of Paris 1 - WP4
 - Jochen Papenbrock, Firamis - WP6
 - Dave Remue, B-Hive - WP7

Executive Board - II

- ▶ The proposal is to add the responsables for European activities to the Executive Board:
Valentino Pediroda, MODEFINANCE
Joerg Osterrieder, ZHAW
Javier Arroyo, Complutense University of Madrid
Ronald Hochreiter, Vienna University of Economics and Business
Vasile Strat, Bucharest University

Project management

- ▶ The project managers assist the project coordinator in executing the deliberations of the Management Board.
- ▶ The appointed project managers are:
Arianna Agosto, University of Pavia - Financial manager
Paolo Pagnottoni, University of Pavia - Technical manager
Anca Mirela Toma, University of Pavia - Communication manager

Financial plan - I

Table 3.2.3a: Financial Plan

Responsibility	Budget	Beneficiary partners	Controls
Training hubs (29)	50K	All partners (except Firamis and MF)	72 hours of teaching completed (24 per topic).
Coding lab with management board meeting (6)	50K	Firamis, MF, ZHAW, UCM, Paris 1, WU	4 hours of coding sessions completed; all partners present with at least one participant during the management board meeting
Workshops (3)	50K	UNIPV, BUES, B-HIVE	All partners present with at least one participant; all advisory members present
Management	250K	UNIPV	All deliverables completed; all events (workshops, training hubs, coding sessions) completed

Financial plan - II

Research WP leadership (3)	50K	UCL, HU, Paris 1	All three research repositories completed
Training hubs WP leadership	50K	UNIPV	Slide repository completed
Coding hub WP leadership	50K	Firamis	Research and development environment completed with scripts and datasets
Dissemination WP leadership	100K	Firamis, B-HIVE	Web site, social media channels, event participation, feedback repository completed; Evaluation lab and evaluation lab repository completed

Fund transfer issues - I

- ▶ (Complete) financial information in the provided template is necessary for the payment

PARTNERS	NOTES
B-Hive	
Panteion University	
University of Warsaw	not signed
University of Luxembourg	not in the suggested template
Jozef Stefan Institute	

Fund transfer issues - II

- It is also important that partners provide the required training schedule or "proof" (such as emails) of contact with the Regulators concerning the training session:

PARTNERS	TRAINING SCHEDULE
Humboldt University Berlin	OK
Kaunas University of Technology	OK
Politecnico of Milan	OK
WU Vienna	OK
Universidad Complutense de Madrid	OK
University of Warsaw	OK
Bucharest University of Economic Studies	OK
University of Rijeka	OK
Varna University of Economics	OK

Fund transfer issues - III

- ▶ At the date of writing, the Coordinator has still to receive 2 signed Consortium Agreements (UCL, which is proposing an amendment, and University of Bratislava).

Proposed amendments to the GA

- ▶ UCL: subcontracting
- ▶ ZHAW: Hungary training budget
- ▶ Key personnel: update with effectively involved personnel

See you in Bucharest on 15 November 2019!

