

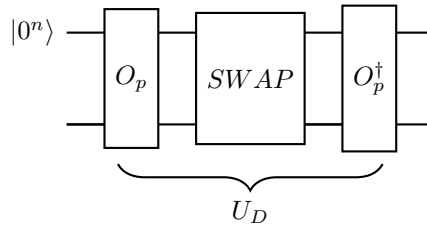
Lecture 11

Discrete-time Quantum Walk

- Qubitization
- Hitting time

1 Recap

Last lecture, we introduced quantum walks: $O_p |0^n\rangle |j\rangle = \sum_k \sqrt{P_{jk}} |k\rangle |j\rangle$. We consider



UD: 1 step quantum walk.

Proposition 1.1. $\forall i, j \in [N], \langle 0^n | \langle i | U_D | 0^n \rangle | j \rangle = D_{ij}$. Intuitively, $U_D = \begin{pmatrix} D & \cdot \\ \cdot & \cdot \end{pmatrix} = |0^n\rangle \langle 0^n| \otimes D + \dots$. Such a form is called a **block encoding** of D .

2 Qubitization

Next: What can we obtain by using the block encoding?

Denote the eigendecomposition of D as $D = \sum_i \lambda_i |v_i\rangle \langle v_i|$. For each $|v_i\rangle$,

$$U_D |0^n\rangle |v_i\rangle = |0^n\rangle D |v_i\rangle + |\tilde{\perp}_i\rangle = \lambda_i |0^n\rangle |v_i\rangle + |\tilde{\perp}_i\rangle \quad (*)$$

Here $|\tilde{\perp}_i\rangle$ is an unnormalized state satisfying $\Pi |\tilde{\perp}_i\rangle = 0$, where

$$\Pi = |0^n\rangle \langle 0^n| \otimes I$$

(*) should give a normalized state, so we may write $|\tilde{\perp}_i\rangle = \sqrt{1 - \lambda_i^2} |\perp_i\rangle$, where $|\perp_i\rangle$ is a normalized state.

Suppose $\lambda_i \neq \pm 1$. First, notice that $U_D^\dagger = U_D$

$$(ABC)^\dagger = C^\dagger (AB)^\dagger = C^\dagger B^\dagger A^\dagger$$

$$U_D^\dagger = (\text{Op SWAP Op}^\dagger)^\dagger = (\text{Op}^\dagger)^\dagger \text{SWAP}^\dagger \text{Op}^\dagger = \text{Op SWAP Op}^\dagger = U_D$$

Apply U_D to both sides of (*), we have $(U_D^2 = U_D^\dagger U_D = I)$

$$\begin{aligned} |0^n\rangle |v_i\rangle &= \lambda_i U_D |0^n\rangle |v_i\rangle + \sqrt{1 - \lambda_i^2} U_D |\perp_i\rangle \\ &= \lambda_i \left(\lambda_i |0^n\rangle |v_i\rangle + \sqrt{1 - \lambda_i^2} |\perp_i\rangle \right) + \sqrt{1 - \lambda_i^2} U_D |\perp_i\rangle. \\ \Rightarrow (1 - \lambda_i^2) |0^n\rangle |v_i\rangle &= \sqrt{1 - \lambda_i^2} \lambda_i |\perp_i\rangle + \sqrt{1 - \lambda_i^2} U_D |\perp_i\rangle \\ \Rightarrow U_D |\perp_i\rangle &= \sqrt{1 - \lambda_i^2} |0^n\rangle |v_i\rangle - \lambda_i |\perp_i\rangle. \end{aligned} \quad (**)$$

Conclusion: Denoting $\beta_i = \{|0^n\rangle |v_i\rangle, |\perp_i\rangle\}$, $\mathcal{H}_i = \text{span}\{\beta_i\}$ is an invariant subspace of U_D .

When $\lambda_i = \pm 1$, $\text{span}\{|0^n\rangle |v_i\rangle\}$ is already an invariant subspace.

The matrix representation of U_D w.r.t. β_i is

$$[U_D]_{\beta_i} = \begin{pmatrix} \lambda_i & \sqrt{1 - \lambda_i^2} \\ \sqrt{1 - \lambda_i^2} & -\lambda_i \end{pmatrix} \begin{matrix} |0^n\rangle |v_i\rangle \\ |\perp_i\rangle \end{matrix}$$

In literature, this phenomenon is known as **qubitization** - each eigenvector $|v_i\rangle$ is “qubitized” into two-dimensional space \mathcal{H}_i .

This also looks like Grover. But we need to make a rotation here.

Note that \mathcal{H}_i is also an invariant subspace for Π :

$$[\pi]_{\beta_i} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

Define $Z_\pi = 2\pi - I$, then $[Z_\pi]_{\beta_i} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

Z_π acts as a reflection operator restricted to each subspace \mathcal{H}_i .

Now: \mathcal{H}_i is an invariant subspace for the iterate $O_D = U_D Z_\pi$, where

$$[O_D]_{\beta_i} = \begin{pmatrix} \lambda_i & \sqrt{1 - \lambda_i^2} \\ \sqrt{1 - \lambda_i^2} & -\lambda_i \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} \lambda_i & -\sqrt{1 - \lambda_i^2} \\ \sqrt{1 - \lambda_i^2} & \lambda_i \end{pmatrix} \quad \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

This is a desired rotation matrix! Applying it k times,

$$[O_D^k]_{\beta_i} = \left[(U_D Z_\pi)^k \right]_{\beta_i} = \begin{pmatrix} T_k(\lambda_i) & -\sqrt{1 - \lambda_i^2} U_{k-1}(\lambda_i) \\ \sqrt{1 - \lambda_i^2} U_{k-1}(\lambda_i) & T_k(\lambda_i) \end{pmatrix}.$$

Here T_k and U_k are Chebyshev polynomials of first and second kinds, respectively.

$$T_k(\cos \theta) = \cos k\theta \quad U_{k-1}(\cos \theta) = \sin k\theta / \sin \theta$$

$$T_1(x) = x, \quad T_2(x) = 2x^2 - 1, \quad T_3(x) = 4x^3 - 3x, \dots$$

$$U_1(x) = 2x, \quad U_2(x) = 4x^2 - 1, \quad U_3(x) = 8x^3 - 4x, \dots$$

Since $\{|0^n\rangle|v_i\rangle\}_{i=1}^N$ spans the range of $\prod(\{|v_i\rangle\})$ is a complete, orthonormal basis), we have: $O_D^k = \begin{pmatrix} T_k(A) & * \\ * & * \end{pmatrix}$. $A = \sum_i \lambda_i |v_i\rangle\langle v_i|$, $T_k(A) = \sum_i T_k(\lambda_i) |v_i\rangle\langle v_i|$. i.e., $O_D^k = (U_D Z_\pi)^k$ is a block encoding of the Chebyshev polynomial $T_k(A)$:

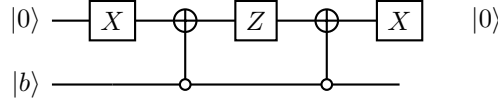
$$\langle 0^n | \langle i | O_D^k | 0^n \rangle | j \rangle = (T_k(A))_{ij}.$$

Now to implement O_D^k ? We know U_D ; need to implement Z_π .

When $n = 1$ $Z_\pi = Z$ (This reduces to amplitude amplification.)

$$\text{For general } n, Z_\pi = 2\pi - I = 2|0^n\rangle\langle 0^n| - I \quad z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

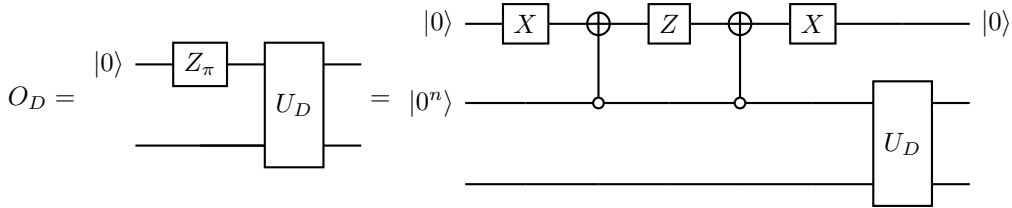
Consider the following circuit with an ancilla qubit:



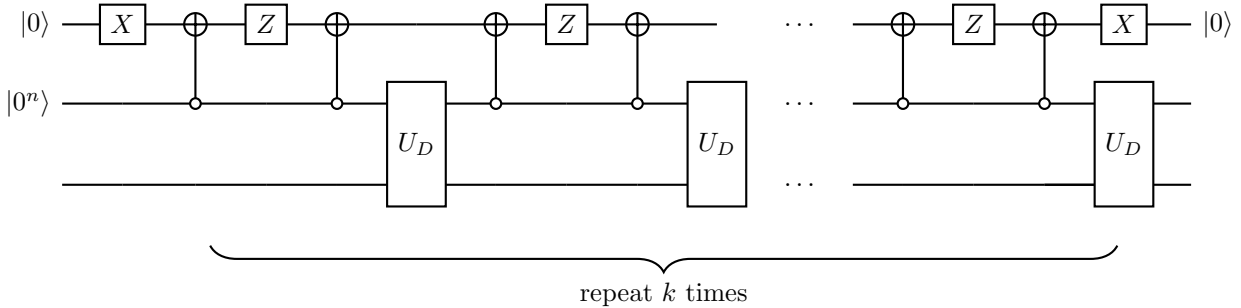
$$\text{If } b = 0^n: \quad |0\rangle|b\rangle \xrightarrow{X} |1\rangle|b\rangle \xrightarrow{\text{CNOT}} |0\rangle|b\rangle \xrightarrow{Z} |0\rangle|b\rangle \xrightarrow{\text{CNOT}} |1\rangle|b\rangle \xrightarrow{X} |0\rangle|b\rangle$$

$$\text{If } b \neq 0^n: \quad |0\rangle|b\rangle \xrightarrow{X} |1\rangle|b\rangle \xrightarrow{\text{CNOT}} |1\rangle|b\rangle \xrightarrow{Z} -|1\rangle|b\rangle \xrightarrow{\text{CNOT}} -|1\rangle|b\rangle \xrightarrow{X} -|0\rangle|b\rangle$$

In other words, it returns $|0\rangle|b\rangle$ if $b = 0^n$, and $-|0\rangle|b\rangle$ if $b \neq 0^n$. This is exactly Z_π . In all:



$$(O_D)^k: X^2 = I.$$



3 Hitting time

In the above, we have shown that $\mathcal{H}_i = \text{span}\{|0^n\rangle|v_i\rangle, |\perp_i\rangle\}$ is an invariant subspace for the iterate $O_D = U_D Z_\pi$, where $[O_D]_{\beta_i} = \begin{pmatrix} \lambda_i & -\sqrt{1-\lambda_i^2} \\ \sqrt{1-\lambda_i^2} & \lambda_i \end{pmatrix}$.

Proposition 3.1. *The eigenvalues of $[O_D]_{\beta_i}$ in the 2×2 matrix block are $e^{\pm i \arccos(\lambda_i)}$.*

Proof. Denote $\lambda_i = \cos \theta_i$. Then we need to find the eigenvalue of

$$[O_D]_{\beta_i} = \begin{pmatrix} \cos \theta_i & -\sin \theta_i \\ \sin \theta_i & \cos \theta_i \end{pmatrix} \quad f(x) = \begin{vmatrix} x - \cos \theta_i & -\sin \theta_i \\ \sin \theta_i & x - \cos \theta_i \end{vmatrix}$$

Its characteristic function is $f(x) = (x - \cos \theta_i)^2 + \sin^2 \theta_i = x^2 - 2 \cos \theta_i x + 1$

$$x = \frac{2 \cos \theta_i \pm \sqrt{4 \cos^2 \theta_i - 4}}{2} = \cos \theta_i \pm i \sin \theta_i = e^{\pm i \theta_i} = e^{\pm i \arccos(\lambda_i)}$$

■

We have proved that if a random walk is ergodic and reversible, then eigenvalues of D and P are the same.

- The largest eigenvalue of D is unique is equal to 1 (with eigenvector π).
- The second largest eigenvalue of D is $1 - \delta$, where $\delta > 0$ is called the **spectral gap**.

Since $\arccos 1 = 0$ and $\arccos(1 - \delta) \approx \sqrt{2\delta}$, the spectral gap of O_D on the unit circle is in fact $O(\sqrt{\delta})$ instead of δ .

This is known as **spectral gap amplification**.

Ex. (Determining marked vertices in the complete graph)

Let $G = (V, E)$ be a complete graph of $N = 2^n$ vertices. We want to distinguish the following two scenarios:

- (1) All vertices are the same, and the random walk is given by the transition matrix:

$$P = \frac{1}{N} e_N e_N^\top \quad e_N = (1, 1, \dots, 1)^\top.$$

(2) There are **M** marked item (vertices). WLOG, we may assume that they are the 1st, 2nd, ..., M -th vertices for better notations (of course we do not have access to this information). In this case, the transition matrix is

$$\tilde{P} = \begin{cases} \delta_{ij} & i \in [M] \\ P_{ij} & i \in \{M+1, \dots, N\} \end{cases}$$