

## Lecture 17

### Linear Combination of Unitaries

#### - Going Beyond Unitary

Suppose we have  $N$  unitaries  $U_1, \dots, U_N$ , and coefficients  $\alpha_1 \dots \alpha_N \geq 0$ , Denote  $\alpha = \sum_{i=1}^N \alpha_i$ .

**Goal:** Implement a linear function  $M = \sum_{i=1}^N \alpha_i U_i$

This is not a unitary in general – we have to pay some cost.

**Theorem(LCU).** Let  $V$  be a unitary such that  $V|0^n\rangle = \frac{1}{\sqrt{\alpha}} \sum_{i=1}^N \sqrt{\alpha_i} |i\rangle$

$U$  be the unitary  $U = \sum_{i=1}^N |i\rangle \langle i| \otimes U_i$

Then  $W := (V^\dagger \otimes I)U(V \otimes I)$  satisfies:

$$W|0^n\rangle|\psi\rangle = \frac{1}{\alpha}|0^n\rangle M|\psi\rangle + |\Phi^\perp\rangle$$

for all states  $|\psi\rangle$ , where  $M = \sum_{i=1}^N \alpha_i U_i$  and  $\Pi|\Phi^\perp\rangle = 0$ , where  $\Pi = |0^n\rangle\langle 0^n| \otimes I$ .

*Proof.*

$$\begin{aligned} W|0^n\rangle|\psi\rangle &= (V^\dagger \otimes I)U(V \otimes I)|0^n\rangle|\psi\rangle \\ &= (V^\dagger \otimes I)U\left(\frac{1}{\sqrt{\alpha}} \sum_{i=1}^N \sqrt{\alpha_i} |i\rangle |\psi\rangle\right) \\ &= (V^\dagger \otimes I)\left(\frac{1}{\sqrt{\alpha}} \sum_{i=1}^N \sqrt{\alpha_i} |i\rangle \otimes U_i |\psi\rangle\right) \\ &= \underbrace{\Pi(V^\dagger \otimes I)}_{\langle 0^n | V^\dagger = \langle V | 0^n \rangle} \left(\frac{1}{\sqrt{\alpha}} \sum_{i=1}^N \sqrt{\alpha_i} |i\rangle \otimes U_i |\psi\rangle\right) + \underbrace{(I - \Pi)(V^\dagger \otimes I)\left(\frac{1}{\sqrt{\alpha}} \sum_{i=1}^N \sqrt{\alpha_i} |i\rangle \otimes U_i |\psi\rangle\right)}_{:=|\Phi^\perp\rangle, \Pi|\Phi^\perp\rangle=0 \text{ because } \Pi(I-\Pi)=0} \\ &= (|0^n\rangle \left(\frac{1}{\sqrt{\alpha}} \sum_{j=1}^N \sqrt{\alpha_j} \langle j| \right) \otimes I) \left(\frac{1}{\sqrt{\alpha}} \sum_{i=1}^N \sqrt{\alpha_i} |i\rangle \otimes U_i |\psi\rangle\right) + |\Phi^\perp\rangle \\ &= |0^n\rangle \frac{1}{\alpha} \sum_{i=1}^N \alpha_i U_i |\psi\rangle + |\Phi^\perp\rangle \\ &= \frac{1}{\alpha} |0^n\rangle M |\psi\rangle + |\Phi^\perp\rangle \end{aligned}$$

□

In this theorem, the unitary operator  $W$  can be regarded as a **probabilistic implementation** of  $M$ :

If we measure the first  $n$  qubits of  $W |0^n\rangle |\psi\rangle$  and observe  $\underbrace{0 \dots 0}_n$ , the state of the second register is proportional to  $M |\psi\rangle$ .

Success probability:  $(\|M |\psi\rangle\| / \alpha)^2$

Quantum speedup: amplitude amplification. **Repeat**  $O(\alpha / \|M |\psi\rangle\|)$  rounds of alternative reflections. This requires reflection about  $|\psi\rangle$ , which can be implemented by two uses of a unitary preparing  $|\psi\rangle$ : If  $U_0 |0^n\rangle = |\psi\rangle$ , apply  $U_0^\dagger (I - 2|0\rangle\langle 0|) U_0$ .

Furthermore the theorem can be generalize to  $M = \sum_{i=1}^N \alpha_i T_i$  where  $T_i$  is a **block of unitary**.

**Theorem(Non-unitary LCU).** Let  $M = \sum_{i=1}^N \alpha_i T_i$  with  $\alpha_i > 0$  for some linear operator  $T_i$  s.t.  $U_i |0^t\rangle |\phi\rangle = |0^t\rangle T_i |\phi\rangle + |\Phi_i^\perp\rangle$  for all states  $|\phi\rangle$ , where each  $U_i$  is a unitary,  $t$  is a non-negative integer, and  $(|0^t\rangle\langle 0^t| \otimes I) |\Phi_i^\perp\rangle = 0$ .

Given an algorithm  $U_B$  for creating a state  $|b\rangle$ , there is a quantum algorithm that **exactly prepares the state**  $M |b\rangle / \|M |b\rangle\|$  with constant probability, using  $O(\alpha / \|M |b\rangle\|)$  times of  $U_B$ ,  $U$  and  $V$ , where

$$U = \sum_i |i\rangle\langle i| \otimes U_i, \quad V |0^n\rangle = \frac{1}{\alpha} \sum_i \sqrt{\alpha_i} |i\rangle, \quad \alpha = \sum_i \alpha_i.$$

and an output bit indicating whether it was successful or not.

*Proof.* Denote  $M' = \sum_i \alpha_i V_i$ . By the LCU theorem, denoting  $W = (V^\dagger \otimes I) U (V \otimes I)$ , we have  $W |0^n\rangle |\psi\rangle = \frac{1}{\alpha} |0^n\rangle M' |\psi\rangle + |\Psi^\perp\rangle$ .

Now, consider the action of  $W$  on  $|\psi\rangle = |0^t\rangle |\phi\rangle$ :

$$\begin{aligned} W |0^{n+t}\rangle |\phi\rangle &= \frac{1}{\alpha} |0^n\rangle |(\sum_i \alpha_i V_i) |0^t\rangle |\phi\rangle + |\Psi^\perp\rangle. \\ &= \frac{1}{\alpha} |0^n\rangle |0^t\rangle (\sum_i \alpha_i T_i) |\phi\rangle + \frac{1}{\alpha} |0^n\rangle (\sum_i \alpha_i |\Phi_i^\perp\rangle) + |\Psi^\perp\rangle. \\ &= \frac{1}{\alpha} |0^{n+t}\rangle M(\phi) + |\Theta^\perp\rangle. \end{aligned}$$

where  $|\Theta^\perp\rangle$  satisfies  $(|0^{n+t}\rangle\langle 0^{n+t}| \otimes I) |\Theta^\perp\rangle = 0$ .

Since we want to prepare a state proportional to  $M |b\rangle$ , we play in  $|\phi\rangle = |b\rangle$ . This gives

$$W |0^{n+t}\rangle |b\rangle = \frac{1}{\alpha} |0^{n+t}\rangle M |b\rangle + |\Theta^\perp\rangle = (\frac{1}{\alpha} \|M |b\rangle\|) |0^{n+t}\rangle \frac{M |b\rangle}{\|M |b\rangle\|} + |\Theta^\perp\rangle.$$

By amplitude amplification, we can prepare  $\frac{M |b\rangle}{\|M |b\rangle\|}$  using  $O(\alpha / \|M |b\rangle\|)$  the of  $W = (V^\dagger \otimes I) U (V \otimes I)$  and  $U_B$ .  $\square$

We also prove a lemma for approximation:

**Lemma.** Let  $C$  be a Hermitian with  $\|C^{-1}\| \leq 1$  (ie., all eigenvalues of  $C$  in absolute value  $\geq 1$ ). Let  $D$  be an operator such that  $\|C - D\| \leq \varepsilon < \frac{1}{2}$ . Then the states  $|x\rangle := C|\psi\rangle / \|C|\psi\rangle\|$  and  $|\tilde{x}\rangle = D|\psi\rangle / \|D|\psi\rangle\|$  satisfy

$$\| |x\rangle - |\tilde{x}\rangle \| \leq 4\varepsilon.$$

*Proof.* WLOG, assume  $|\psi\rangle$  is normalized, ie.,  $\| |\psi\rangle \| = 1$ . By the triangle inequality:

$$\| |x\rangle - |\tilde{x}\rangle \| = \left\| \frac{C|\psi\rangle}{\|C|\psi\rangle\|} - \frac{D|\psi\rangle}{\|D|\psi\rangle\|} \right\| \leq \left\| \frac{C|\psi\rangle}{\|C|\psi\rangle\|} - \frac{C|\psi\rangle}{\|D|\psi\rangle\|} \right\| + \left\| \frac{C|\psi\rangle}{\|D|\psi\rangle\|} - \frac{D|\psi\rangle}{\|D|\psi\rangle\|} \right\|.$$

Using the Triangle inequality again, we have:  $\|C(\psi)\| \leq \|D(\psi)\| + \|(C - D)|\psi\rangle\| \leq \|D|\psi\rangle\| + \varepsilon$ , which yields

$$| \|D|\psi\rangle\| - \|C|\psi\rangle\| | \leq \varepsilon \quad \text{and} \quad \|D|\psi\rangle\| \geq \|C|\psi\rangle\| - \varepsilon \geq 1 - \varepsilon.$$

As a result:

$$\left\| \frac{C|\psi\rangle}{\|C(\psi)\|} - \frac{C|\psi\rangle}{\|D(\psi)\|} \right\| = \|C|\psi\rangle\| \cdot \left| \frac{1}{\|C|\psi\rangle\|} - \frac{1}{\|D(\psi)\|} \right| = \frac{|\|D|\psi\rangle\| - \|C(\psi)\||}{\|D|\psi\rangle\|} \leq \frac{\varepsilon}{\|D|\psi\rangle\|} \leq \frac{\varepsilon}{1 - \varepsilon} \leq 2\varepsilon.$$

Similarly:

$$\left\| \frac{C|\psi\rangle}{\|D|\psi\rangle\|} - \frac{D|\psi\rangle}{\|D|\psi\rangle\|} \right\| \leq \frac{|\|C(\psi)\| - \|D|\psi\rangle\||}{\|D|\psi\rangle\|} \leq \frac{\varepsilon}{\|D|\psi\rangle\|} \leq \frac{\varepsilon}{1 - \varepsilon} \leq 2\varepsilon.$$

In all, we have  $\|(x) - |\tilde{x}\rangle\| \leq \left\| \frac{C|\psi\rangle}{\|C|\psi\rangle\|} - \frac{C|\psi\rangle}{\|D|\psi\rangle\|} \right\| + \left\| \frac{C|\psi\rangle}{\|D|\psi\rangle\|} - \frac{D|\psi\rangle}{\|D|\psi\rangle\|} \right\| \leq 4\varepsilon.$

□