

Lecture 9

Unstructured Search

- Grover's algorithm
- Amplitude amplification

1 Recap & Preview

Deutsch-Jozsa
 $f : \{0, 1\}^n \mapsto \{0, 1\}$.
constant or balanced.

$$\left. \begin{array}{c} 0 \cdots 0 \\ \vdots \\ 1 - 1 \end{array} \right\} 2^n = N$$

 $s : 2^n$ binary string.

Simon's problem
 $f : \{0, 1\}^n \mapsto X$.
 $f(x) = f(y)$ iff $x = y$ or
 $x = y \otimes s$.

Less requirement than Deutsch-Jozsa but still structured.

Phase estimation
 $U |\psi\rangle = e^{i\theta} |\psi\rangle$. Find θ .

A genuinely quantum problem.
 $O(1/\epsilon)$ queries, w.p. $\geq \frac{8}{\pi^2}$.
Going beyond Hadamard.
QFT from $Z_2 \otimes \cdots \otimes Z_2$ to Z_{2^n} .

Application: Order finding
 \Rightarrow Shor's algorithm.

For all of these, we have structural assumptions:

- Deutsch-Jozsa and Simon's problem: Special f .
- Order finding and Shor's algorithm: Cyclic group \mathbb{Z}_N .

How about we characterize "very general functions"?

Start with boolean functions.

Total function: A function $f : \{0, 1\}^N \rightarrow \{0, 1\}$ which has definition on all 2^N inputs.

Deutsch-Jozsa: $N = 2^n$, But the definition domain is only $\{s = s_1 \cdots s_{2^n} \mid \# \text{ of } 1 \text{ in } s_i \text{ is } 0, 2^{n-1}, \text{ or } 2^n\}$.

If a function is defined on a proper subset of $\{0, 1\}^n$. it's called a partial function.

A very typical problem is to compute the OR function (**AND is symmetric**).

$$f : \{0, 1\}^n \rightarrow \{0, 1\}, N = 2^n. \quad OR(s_1, \dots, s_N) = s_1 \cup s_2 \dots \vee s_N = \begin{cases} 0 & \text{if } s_1, \dots, s_n = 0, \\ 1 & \text{otherwise.} \end{cases}$$

Classically: Need $\Theta(n)$ queries to compute the value of OR.

Quantum: $|i, z\rangle \xrightarrow{U_f} |i, z \oplus s_i\rangle, \forall i \in [n], z \in \{0, 1\} (*)$.

Equivalent to having an oracle:

$$f(x) = s_x, \forall x \in [N] \quad (f : [N] \rightarrow \{0, 1\}) \quad |x, z\rangle \xrightarrow{U_f} |x, f(x) \otimes z\rangle, \quad \forall x \in [N], z \in \{0, 1\}.$$

Main focus today: $O(\sqrt{N})$ quantum queries suffice.

2 Grover's algorithm

Phase kick-back: $|x\rangle|-\rangle \xrightarrow{U_f} (-1)^{f(x)}|x\rangle|-\rangle$.

“phase query”: $|x\rangle \mapsto (-1)^{f(x)}|x\rangle \quad |\psi\rangle = \frac{1}{\sqrt{N}} \sum_{x=1}^N |x\rangle \xrightarrow{U} \frac{1}{\sqrt{N}} \left(\sum_{x, f(x)=0} |x\rangle - \sum_{x, f(x)=1} |x\rangle \right)$

How can we find x such that $f(x) = 1$?

This looks like a reflection.

For simplicity, consider a **unique marked item** w s.t. $f(w) = 1, \quad f(x) = 0 \quad \forall x \neq w$.

$$\left. \begin{array}{l} U|w\rangle = -|w\rangle \\ U|x\rangle = |x\rangle \quad \forall x \neq w. \end{array} \right\} U = I - 2|w\rangle\langle w|.$$

$$(I - 2|w\rangle\langle w|)|w\rangle = |w\rangle - 2|w\rangle\langle w|w\rangle = -|w\rangle.$$

$$(I - 2|w\rangle\langle w|)|x\rangle = |x\rangle - 2|w\rangle\langle w|x\rangle = |x\rangle$$

$$\begin{aligned} (I - 2|w\rangle\langle w|)(I - 2|w\rangle\langle w|) &= I - 2|w\rangle\langle w| - 2|w\rangle\langle w| + 4|w\rangle\langle w|w\rangle\langle w| \\ &= I. \end{aligned}$$

We also consider $|\psi\rangle = \frac{1}{\sqrt{N}} \sum_{x=1}^N |x\rangle$.

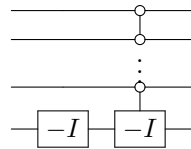
We consider the unitary $V = 2|\psi\rangle\langle\psi| - I$. V is independent of the queries, and V can be efficiently implemented with cost $O(\log N)$.

Say $N = 2^n$. Otherwise find the smallest power of 2 larger than N ($2^n < N < 2^{n+1}$), and set $f(N + 1) \dots, f(2^{n+1}) = 0$.

$$\frac{1}{\sqrt{2^n}} \sum_{x=1}^{2^n} |x\rangle = H^{\otimes n} |0\rangle \quad V = H^{\otimes n} R_0 H^{\otimes n}, \text{ where } R_0 = 2|0^n\rangle\langle 0^n| - I.$$

$$H^{\otimes n} (2|0^n\rangle\langle 0^n| - I) H^{\otimes n}$$

$$R_0 : |0^n\rangle \rightarrow |0^n\rangle, |x\rangle \rightarrow -|x\rangle \quad \forall x \in \{0, 1\}^n / \{0^n\}.$$



$$\begin{aligned} |0^n\rangle|0\rangle &\mapsto -|0^n\rangle|0\rangle \mapsto |0^n\rangle|0\rangle \\ |x\rangle|0\rangle &\mapsto -|x\rangle|0\rangle \mapsto -|x\rangle|0\rangle \quad (x \neq 0^n) \end{aligned}$$

As a conclusion, V can be implemented with cost $O(\log N)$. Same as preparing $|\psi\rangle = H^{\otimes n}|0\rangle$.

Grover algorithm:

- - Prepare $|\psi\rangle$ $U|\psi\rangle = (I - 2|\omega\rangle\langle\omega|)|\psi\rangle = |\psi\rangle - 2\langle\omega|\psi\rangle|\omega\rangle = |\psi\rangle - \frac{2}{\sqrt{N}}|\omega\rangle.$
- - **Repeat** $t = \lceil \frac{\pi}{4}\sqrt{n} \rceil$ times $U|\omega\rangle = -|\omega\rangle$
 Apply U ; $V|\psi\rangle = (2|\psi\rangle\langle\psi| - I)|\psi\rangle = |\psi\rangle$
 Apply V ; $V|\omega\rangle = (2|\psi\rangle\langle\psi| - I)|\omega\rangle = 2(\langle\psi|\omega\rangle|\psi\rangle - |\omega\rangle) = \frac{2}{\sqrt{N}}|\psi\rangle - |\omega\rangle$
- - Measure in the computational basis

Therefore, the subspace span $\{|\psi\rangle, |\omega\rangle\}$ is invariant under U and V .

However, $\langle\psi|\omega\rangle \neq 0$. It will read better to consider an **orthonormal** basis span $\{|\omega\rangle, |\omega^\perp\rangle\}$:

$$|\omega^\perp\rangle = \frac{|\psi\rangle - \langle\omega|\psi\rangle|\omega\rangle}{\text{normalization}}, \quad \langle\omega|\omega^\perp\rangle = \langle\omega|\psi\rangle - \langle\omega|\psi\rangle\langle\omega|\omega\rangle = 0.$$

$$\begin{aligned} U &= I - 2|\omega\rangle\langle\omega|, & \begin{pmatrix} |w\rangle & |w^\perp\rangle \end{pmatrix} \\ U|w\rangle &= (I - 2|\omega\rangle\langle\omega|)|w\rangle = -1|w\rangle + 0|w^\perp\rangle \\ U|w^\perp\rangle &= (I - 2|\omega\rangle\langle\omega|)|w^\perp\rangle = 0|w\rangle + 1|w^\perp\rangle \end{aligned} \quad U = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} |w\rangle \\ |w^\perp\rangle \end{pmatrix}$$

$$|\psi\rangle = \frac{1}{\sqrt{N}}|\omega\rangle + \sqrt{1 - \frac{1}{N}}|\omega^\perp\rangle = \sin\theta|\omega\rangle + \cos\theta|\omega^\perp\rangle \quad \left(\theta = \arcsin \frac{1}{\sqrt{N}}\right).$$

$$V = 2|\psi\rangle\langle\psi| - I = 2 \begin{pmatrix} \sin\theta & \\ & \cos\theta \end{pmatrix} \begin{pmatrix} \sin\theta & \cos\theta \end{pmatrix} - I = \begin{pmatrix} 2\sin^2\theta - 1 & 2\sin\theta\cos\theta \\ 2\sin\theta\cos\theta & 2\cos^2\theta - 1 \end{pmatrix} = \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

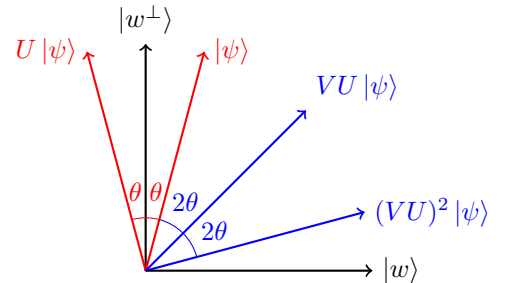
$$VU = \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ -\sin 2\theta & \cos 2\theta \end{pmatrix}.$$

$$\begin{aligned} (VU)^t &= \begin{pmatrix} \cos(2(t-1)\theta) & \sin(2(t-1)\theta) \\ -\sin(2(t-1)\theta) & \cos(2(t-1)\theta) \end{pmatrix} \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ -\sin 2\theta & \cos 2\theta \end{pmatrix} \\ &= \begin{pmatrix} \cos(2(t-1)\theta)\cos 2\theta - \sin(2(t-1)\theta)\sin 2\theta & \cos(2(t-1)\theta)\sin 2\theta + \sin(2(t-1)\theta)\cos 2\theta \\ -\sin(2(t-1)\theta)\cos 2\theta - \cos(2(t-1)\theta)\sin 2\theta & -\sin(2(t-1)\theta)\sin 2\theta + \cos(2(t-1)\theta)\cos 2\theta \end{pmatrix} \\ &= \begin{pmatrix} \cos 2t\theta & \sin 2t\theta \\ -\sin 2t\theta & \cos 2t\theta \end{pmatrix}. \end{aligned}$$

$$(VU)^t|\psi\rangle = \begin{pmatrix} \cos 2t\theta & \sin 2t\theta \\ -\sin 2t\theta & \cos 2t\theta \end{pmatrix} \begin{pmatrix} \sin\theta \\ \cos\theta \end{pmatrix} = \begin{pmatrix} \cos 2t\theta\sin\theta + \sin 2t\theta\cos\theta \\ -\sin 2t\theta\sin\theta + \cos 2t\theta\cos\theta \end{pmatrix} = \begin{pmatrix} \sin(2t+1)\theta \\ \cos(2t+1)\theta \end{pmatrix} \begin{pmatrix} |w\rangle \\ |w^\perp\rangle \end{pmatrix}$$

$$\implies \Pr(\omega) = \sin^2((2t+1)\theta)$$

$\Pr(w)$ is close to 1 when $(2t+1)\theta \approx \frac{\pi}{2} \Rightarrow t \approx \frac{\pi}{4\theta} - \frac{1}{2} \approx \frac{\pi}{4}\sqrt{N}$.



What if there are M marked items?

$$|\omega\rangle \mapsto \frac{1}{\sqrt{M}} \sum_{x:f(x)=1} |x\rangle \quad \sin \theta = \langle \psi | w \rangle = \langle \psi | \frac{1}{\sqrt{M}} \sum_{x:f(x)=1} |x\rangle = \frac{1}{\sqrt{N}} \cdot \frac{1}{\sqrt{M}} \cdot M = \sqrt{\frac{M}{N}}.$$

$\Rightarrow O\left(\sqrt{\frac{N}{M}}\right)$ steps suffice for Grover's algorithm.

Remark 1. What if M is unknown? Without knowing M , we may “overshoot”.

Research paper: [Yoder, Low, Chuang](#). Fixed-point quantum search with an optimal number of queries. PRL 2014. [arxiv: 1409.3305](#).

Contribution: An algorithm without overshooting, nor knowing M . **Cost:** $O\left(\sqrt{\frac{N}{M}}\right)$.

Or naively: Guess M using a geometric series: $M = \frac{n}{2} \quad \frac{\pi}{4} \sqrt{\frac{N}{M}}. \quad M \leftarrow M \cdot \frac{3}{4}. \quad \text{Iteration: } \log N.$

3 Amplitude amplification

In general, we can consider a form of having a unitary U acting on l qubits s.t.

$$U |0^l\rangle = \sqrt{p}|1\rangle |\psi_1\rangle + \sqrt{1-p}|0\rangle |\psi_0\rangle$$

where $|\psi_1\rangle$ and $|\psi_0\rangle$ are normalized $(l-1)$ -qubit quantum states.

We can think of $|1\rangle |\psi_1\rangle$ as the “good state” and $|0\rangle |\psi_0\rangle$ as the “bad state”.

Similar to Grover:

1. A reflection with respect to the bad state $|0\rangle |\psi_0\rangle$.
 2. A reflection with respect to $U |0^l\rangle$.
- 1: This is basically putting a “-” in front of $|1\rangle |\psi_1\rangle$ and leaving $|0\rangle |\psi_0\rangle$ alone.

Solution: Put a Z on the first qubit.

$$\begin{aligned} Z|0\rangle &= |0\rangle \\ Z|1\rangle &= -|1\rangle \end{aligned}$$

2: Same to Grover, apply UR_0U^\dagger .

Applying 1 and 2 alternatively for t times, we get

$$\sin((2t+1)\theta) |1\rangle |\psi_1\rangle + \cos((2t+1)\theta) |0\rangle |\psi_0\rangle, \quad \text{where } \theta = \arcsin \sqrt{p} \approx \sqrt{p}.$$

Taking $(2t+1)\theta \approx \frac{\pi}{2} \Rightarrow t = O\left(\frac{1}{\sqrt{p}}\right)$, we can (approximately) get $|1\rangle |\psi_1\rangle$.

This is known as **amplitude amplification**.

Remark 2. In fact, there's also a procedure called **amplitude estimation**, where we can quantitatively output a \tilde{p} s.t. $|\tilde{p} - p| \leq \varepsilon p$, with high probability (say $2/3$). using $O(1/\varepsilon)$ queries to U .

On the other hand: Classically, tossing a coin, getting a head with prob. p , need $O(1/\varepsilon^2)$ tries to estimate such a \tilde{p} . Quantum: Amp Est has quadratic speedup.

Amp Amp: Classically, tossing a coin, getting a head with prob. p , need $O(1/p)$ tries to see the first head.

$$\Pr[\text{fail}] = 1 - (1 - p)^m \quad (1 - p)^{\frac{1}{p}} \approx \frac{1}{e}$$

$$\geq \frac{2}{3} \quad m \sim \frac{1}{p}$$

Quantum: Amp Amp has quadratic speedup.

Amp Est: [Brassard, Hoyer, Mosca, and Tapp](#). Quantum Amplitude Amplification and Estimation. Contemporary Mathematics, 2002. [arxiv: quant-ph/0005055](#).