

CS 261 – Data Structures

AVL Trees

Binary Search Tree

- Complexity of BST operations:
 - proportional to the length of the path from a node to the root
- Unbalanced tree: operations may be $O(n)$
 - E.g.: adding elements in a sorted order

Balanced Binary Search Tree

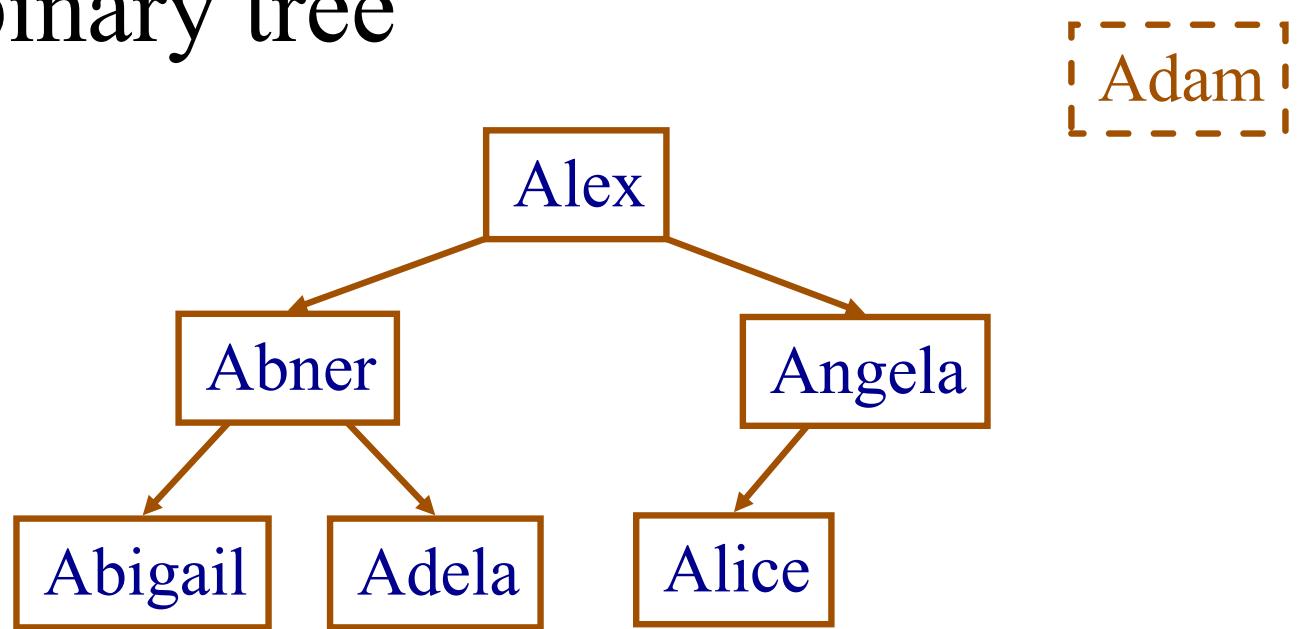
- Balanced tree: the length of the longest path is roughly $\log n$
- BALANCE IS IMPORTANT!

Complete Binary Tree is Balanced

- Has the smallest height for any binary tree with the same number of nodes
- The longest path guaranteed to be $\leq \log n$
- \Rightarrow Keep the tree complete

Requiring Complete Trees

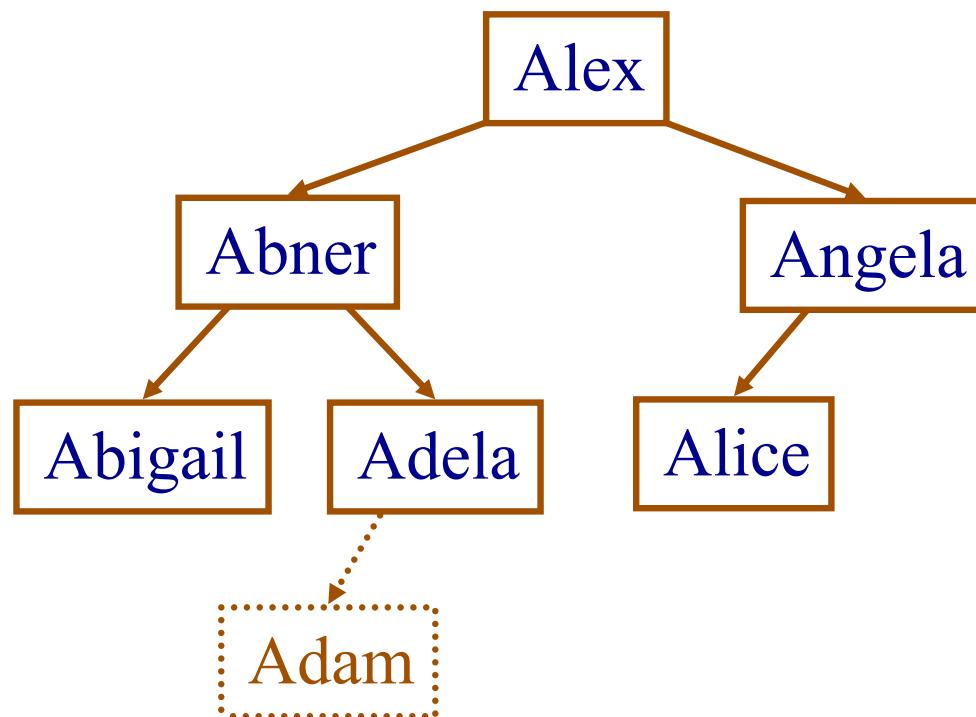
- However, it is very costly to maintain a complete binary tree



Add to tree

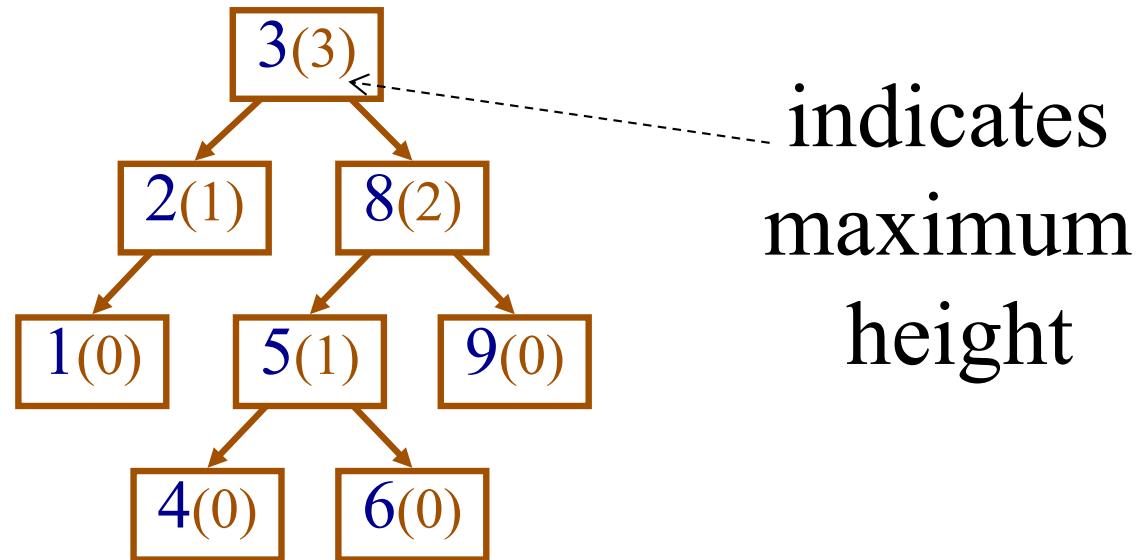
Requiring Complete Trees

- However, it is very costly to maintain a complete binary tree



Height-Balanced Trees

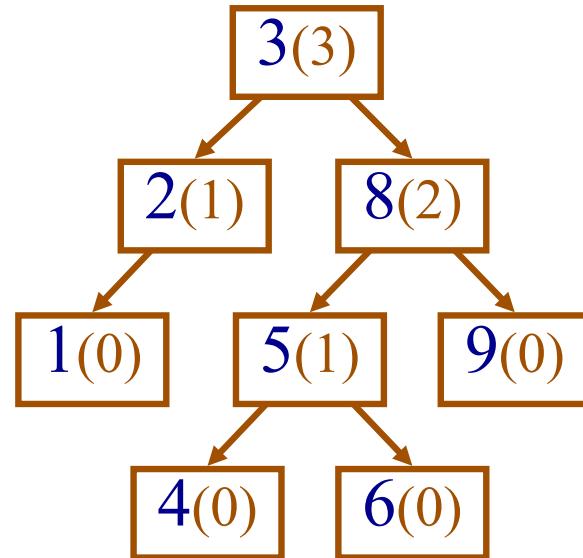
- For each node, the height difference between the left and right subtrees is ≤ 1



indicates
maximum
height

Height-Balanced Trees

- Are locally balanced, but globally (slightly) unbalanced



Height-Balanced Trees

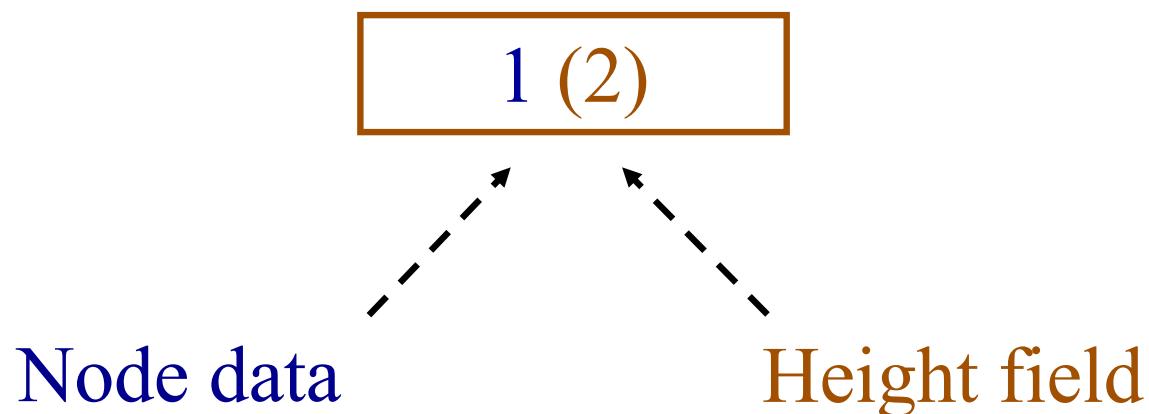
- Mathematically, the longest path has been shown to be, at worst, 44% longer than $\log n$
- Algorithms that run in time proportional to the path length are still $O(\log n)$
 - Why?

AVL Trees

- Named after the inventors' initials:
 - Adelson-Velskii and Landis
- Maintain the height balanced property of Binary Search Trees

AVL Trees

- Add an integer height field to each node:
 - Null child has a height of -1
 - A node is *unbalanced* when the absolute height difference between the left and right subtrees is *greater than one*



AVL Implementation

```
struct AVLNode {  
    TYPE           val;  
    struct AVLNode *left;  
    struct AVLNode *rght;  
    int             hght; /* Height of node*/  
};
```

Get Height

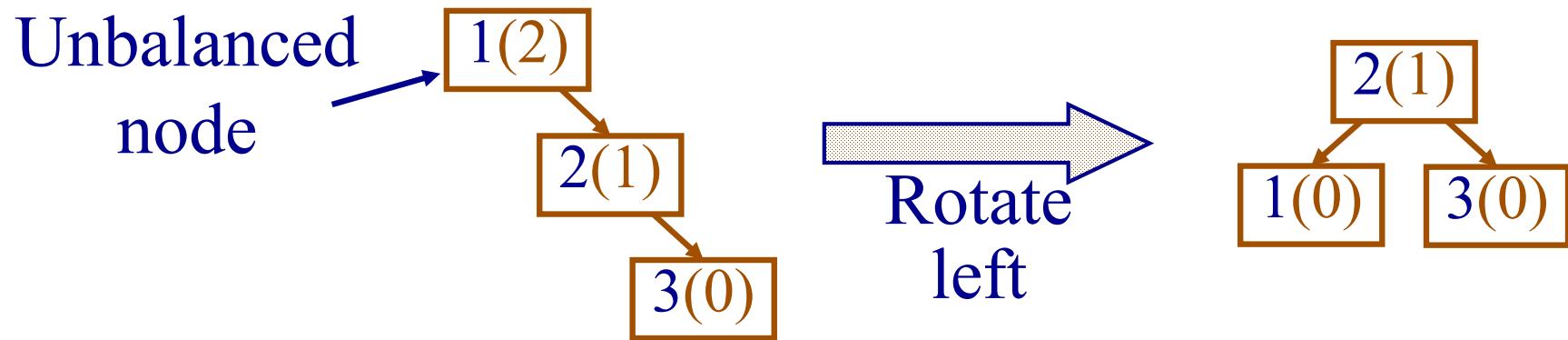
```
int _height(struct AVLNode *cur)
{
    if(cur == 0)
        return -1
    else return cur->hght;
}
```

Compute Height

```
void _setHeight(struct AVLNode *cur) {  
    int lh = _height(cur->left);  
    int rh = _height(cur->right);  
    if(lh < rh)  
        cur->hght = 1 + rh;  
    else  
        cur->hght = 1 + lh;  
}
```

Maintaining the Height Balanced Property

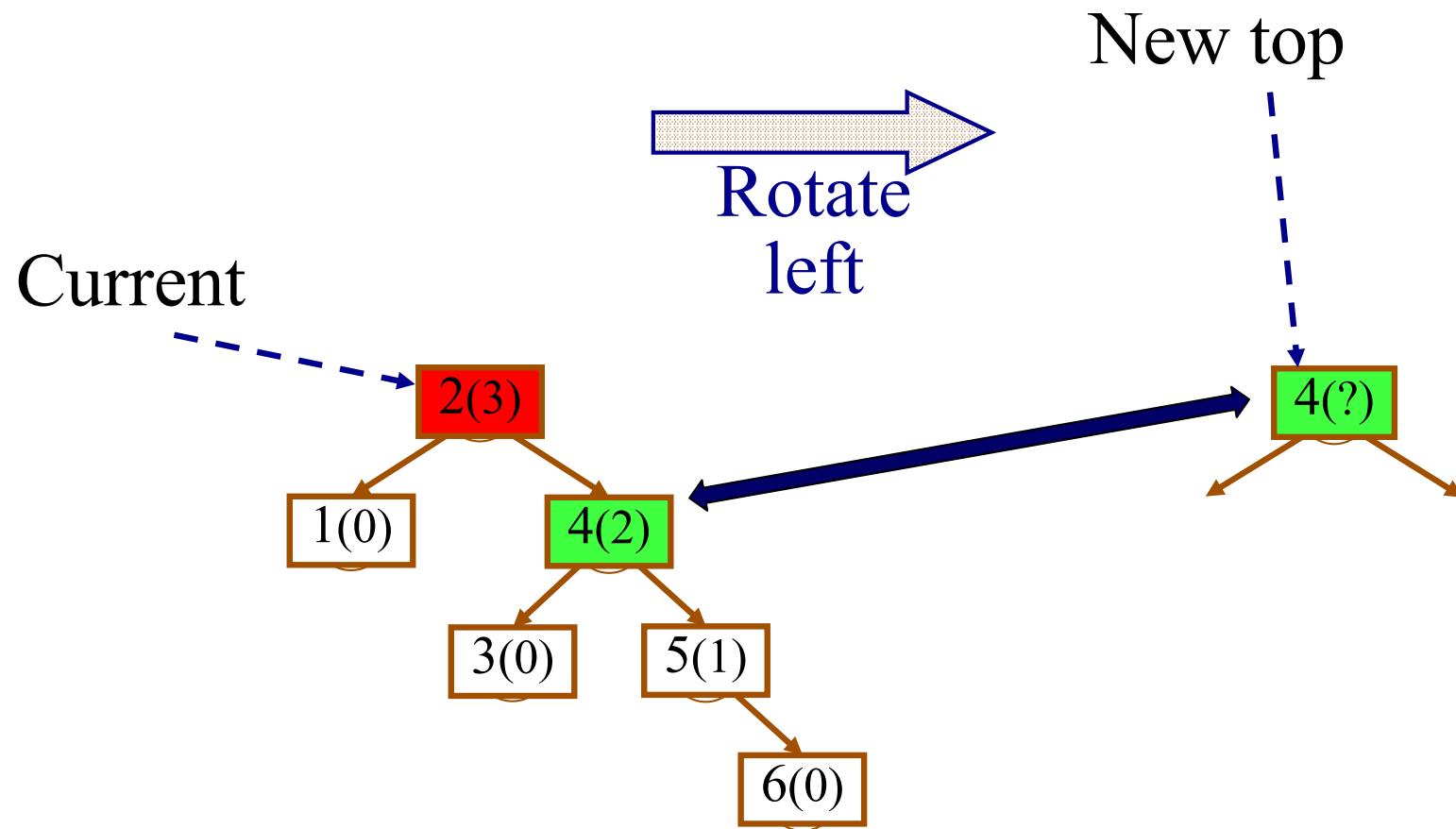
- When unbalanced, perform a “rotation” to balance the tree



Left Rotation

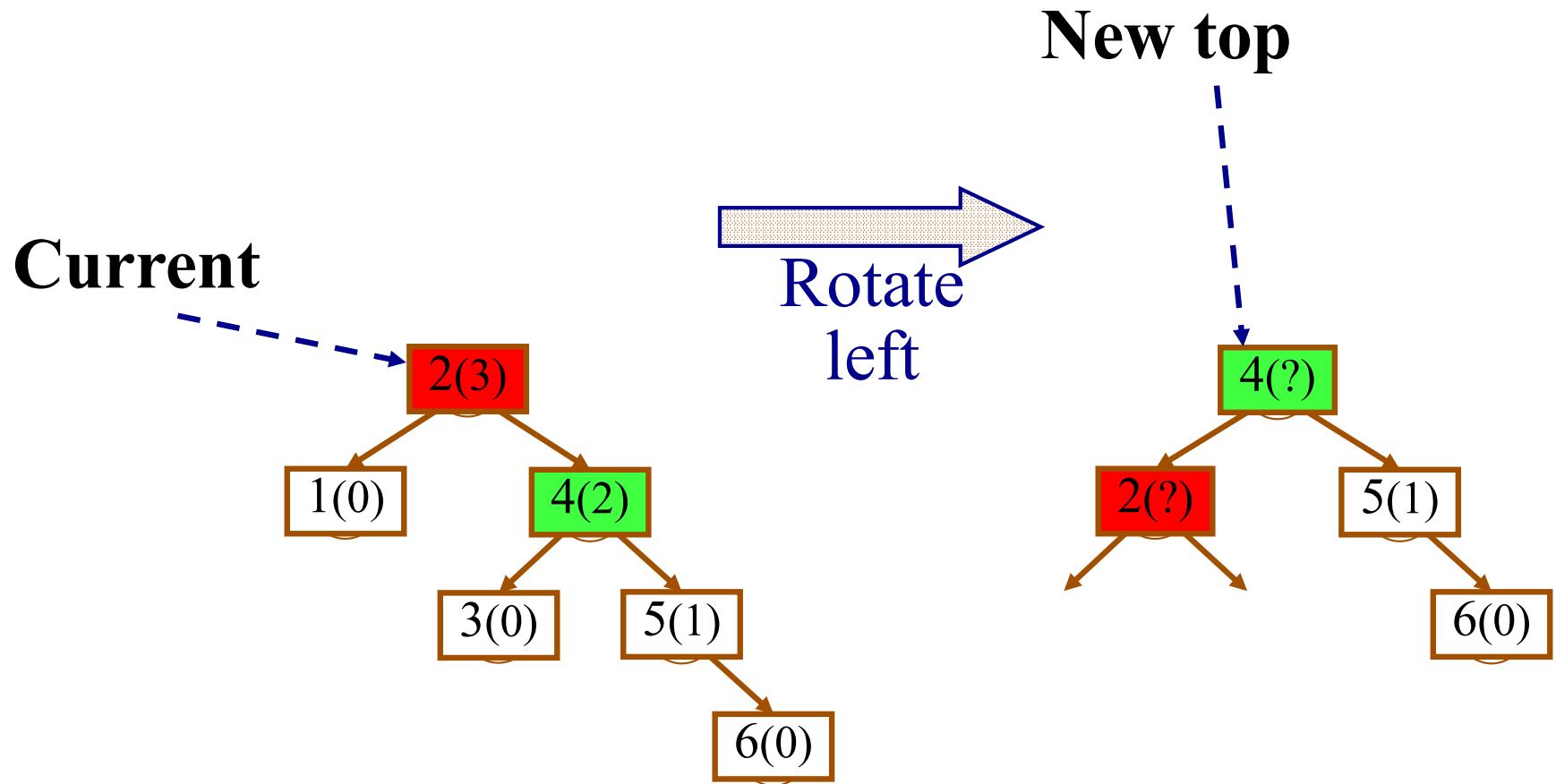
1. Input: **current**

2. New top = **current's right child**



Left Rotation

1. Input: **current**
2. New top = **current's right child**
3. New top's new left child = **current**



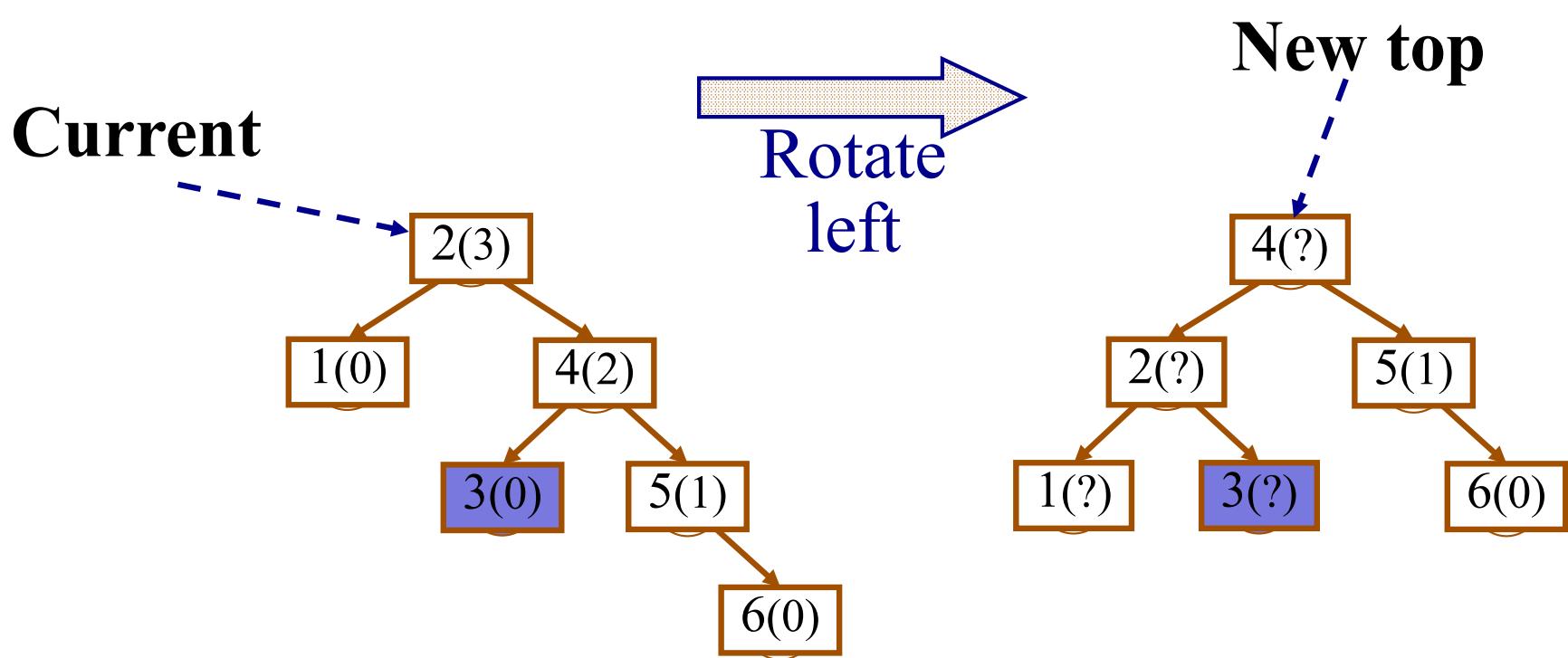
Left Rotation

1. Input: **current**

2. New top = **current's right child**

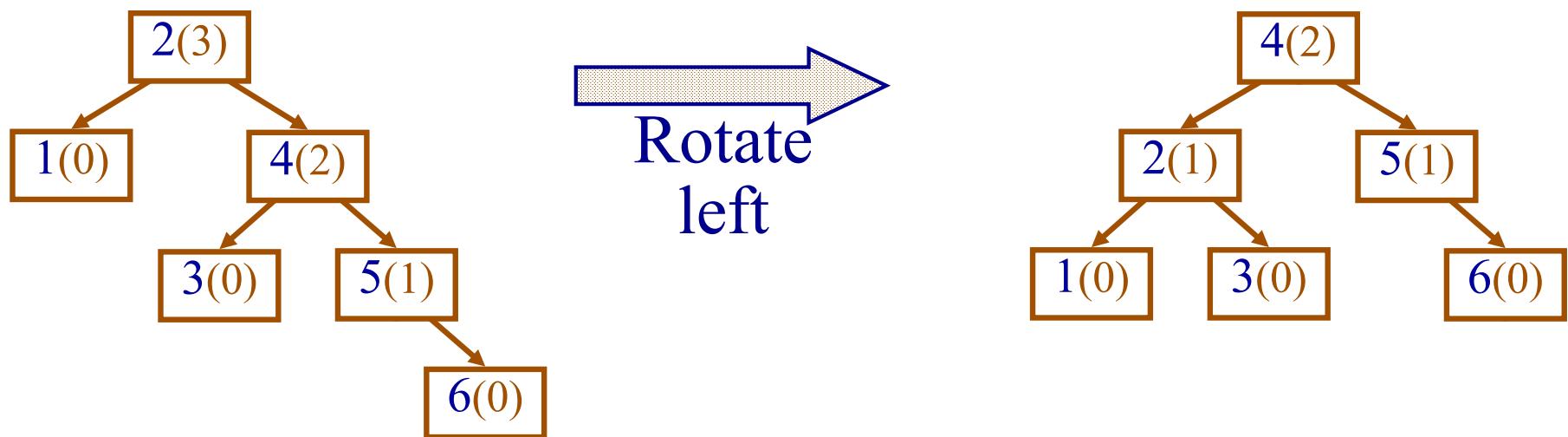
3. New top's new left child = **current**

4. Current's new right child = **new top's left child**

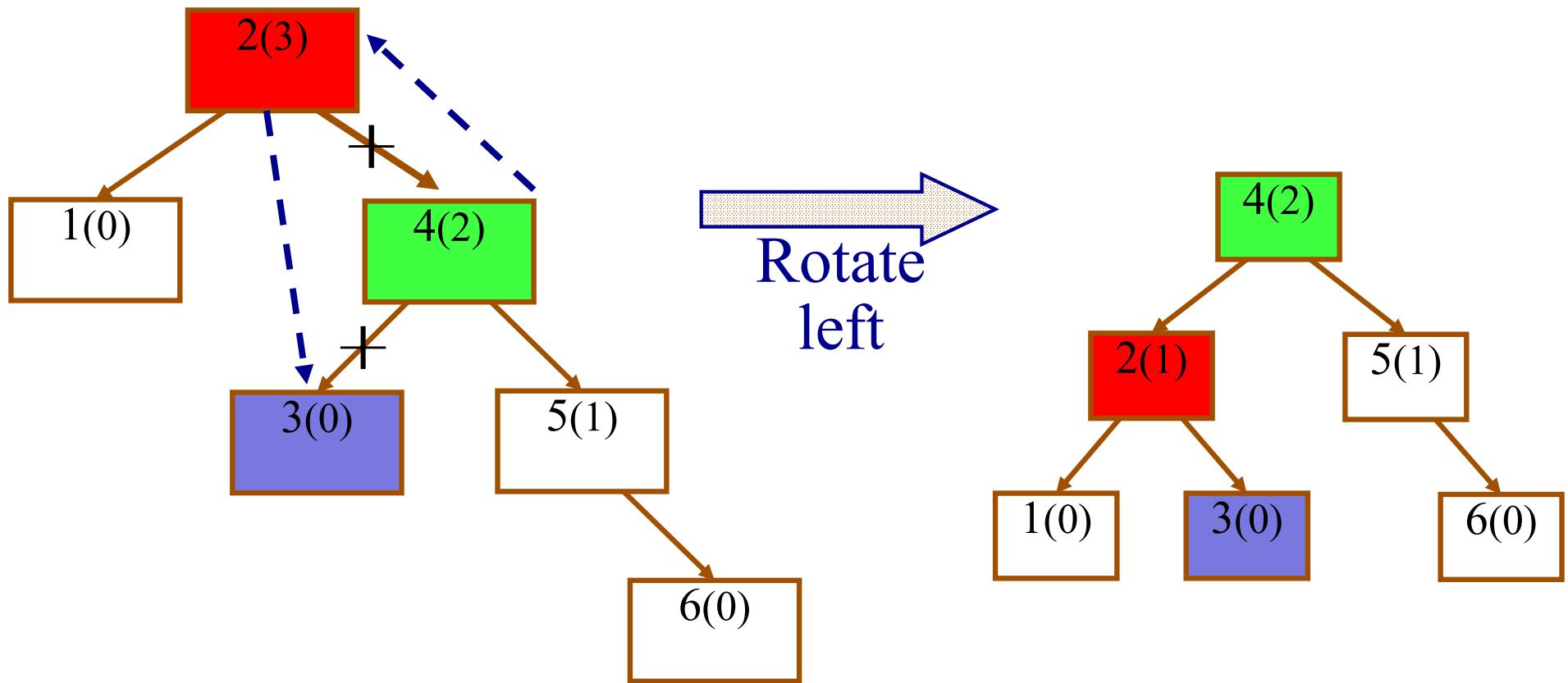


Left Rotation

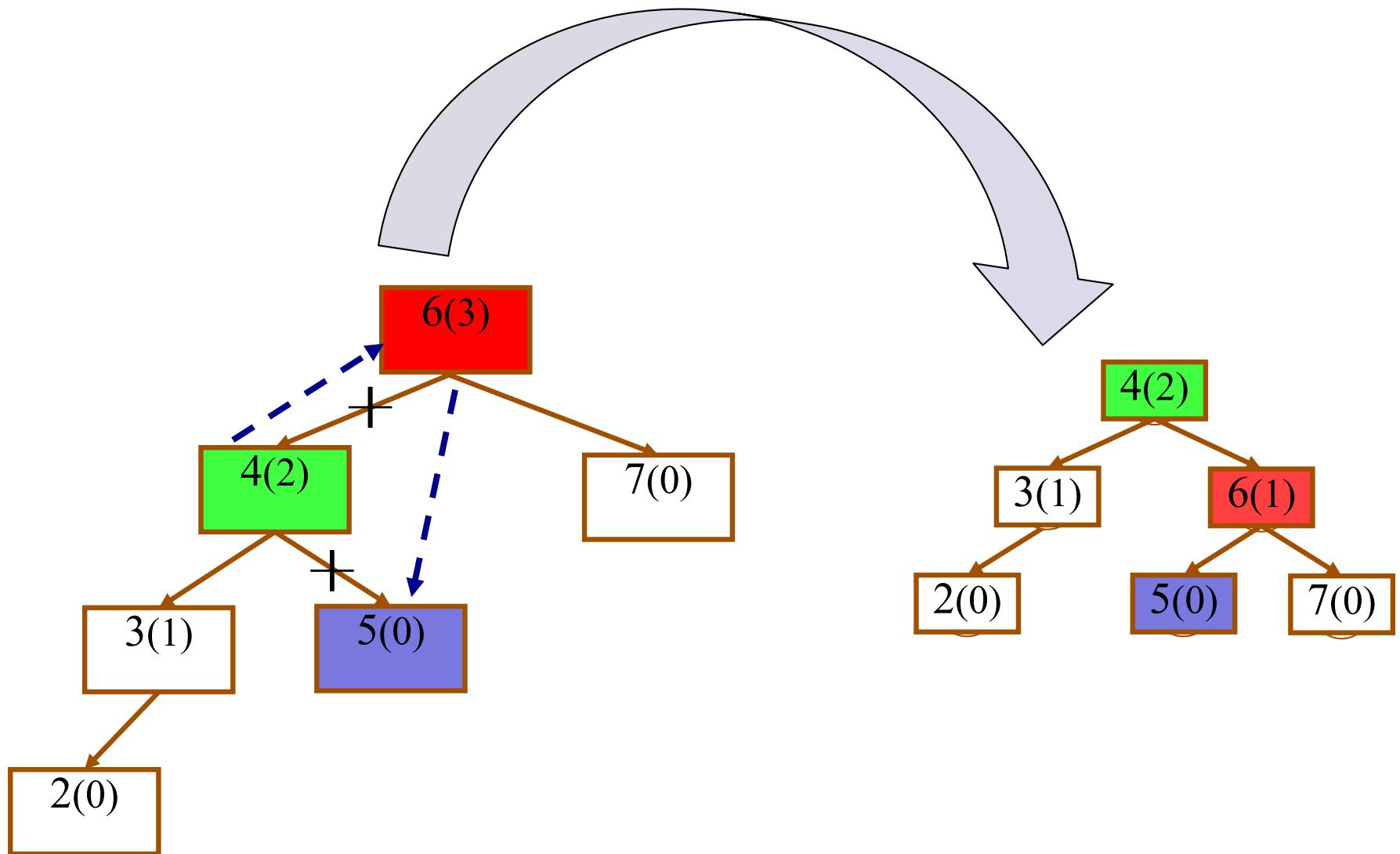
1. Input: **current**
 2. New top = **current's right child**
 3. New top's new left child = **current**
 4. Current's new right child = **new top's left child**
 5. Set height of current
 6. Set height of new top node



Left Rotation

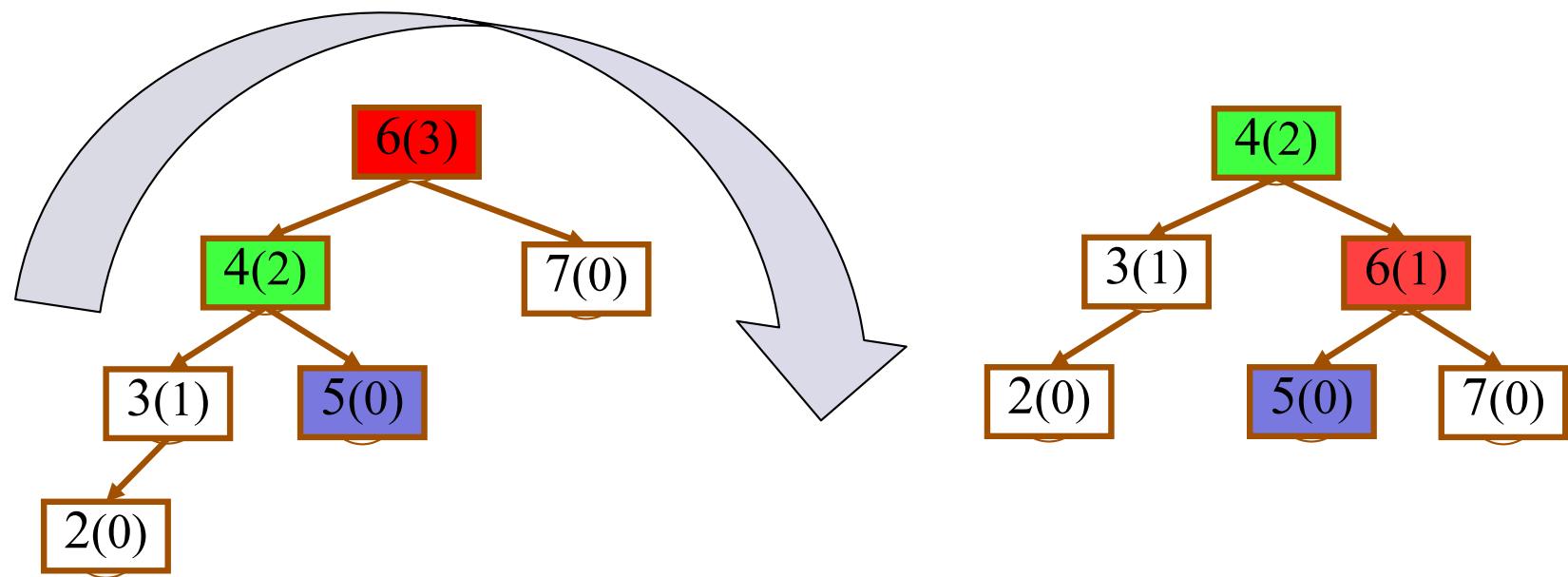


Right Rotation



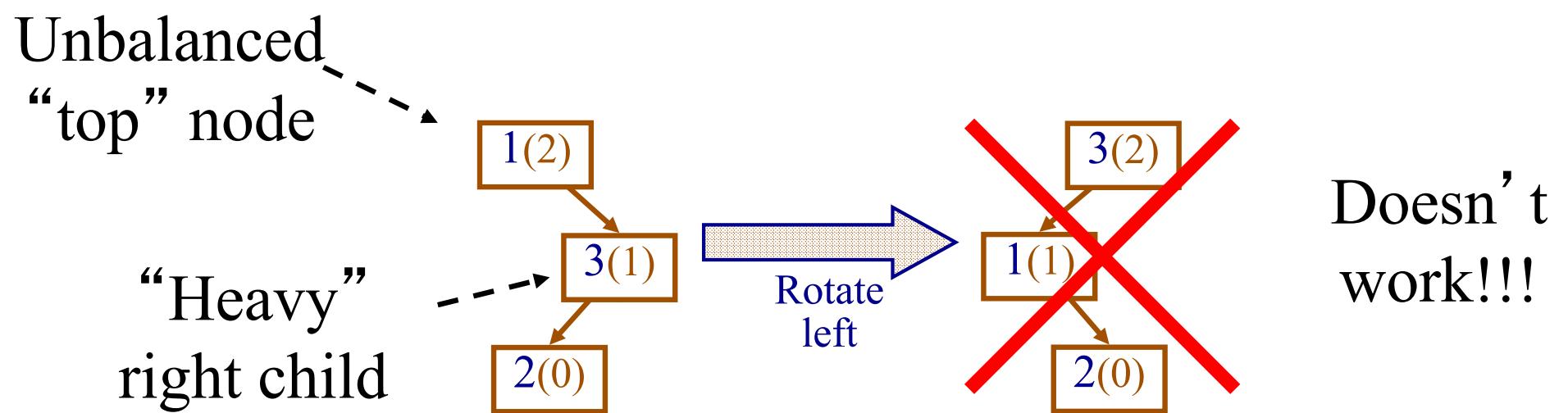
Right Rotation

1. Input: **current**
2. New top = **current's left child**
3. New top's right child = **current**
4. Current's new left child = **new top's right child**
5. Set height of **current**
6. Set height of **new top node**



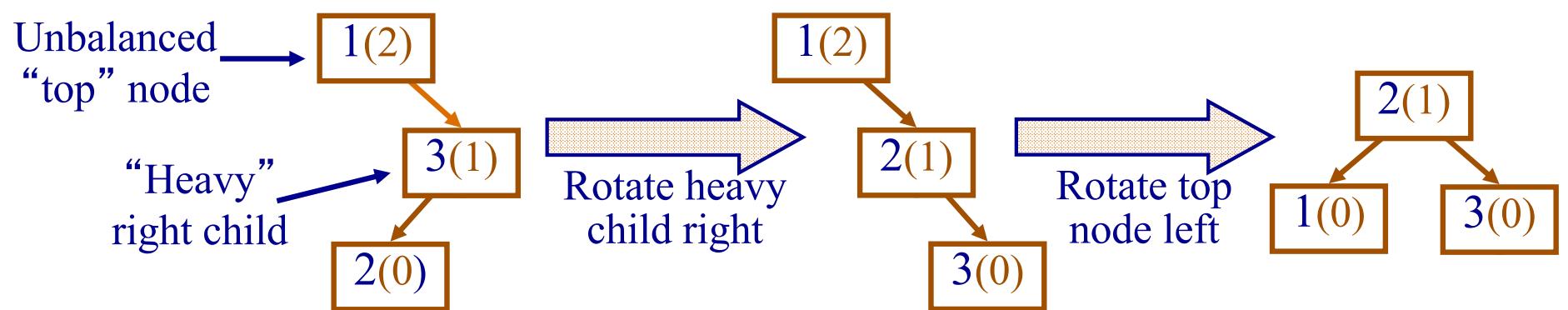
Double Rotation Left

- A single rotation may not fix the problem:
 - When the **right** child is **heavy**, i.e.,
 - its parent is unbalanced
 - has only a right subtree



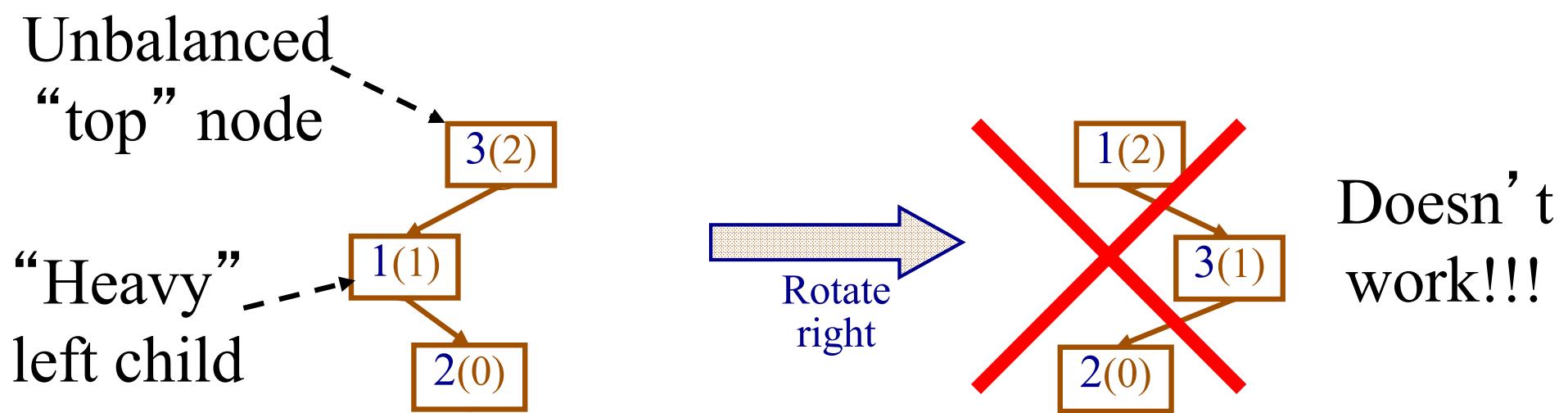
Double Rotation Left

- *Rotate the child* before the regular rotation:
 1. Rotate the heavy right child to the right
 2. Rotate the “top” node to the left



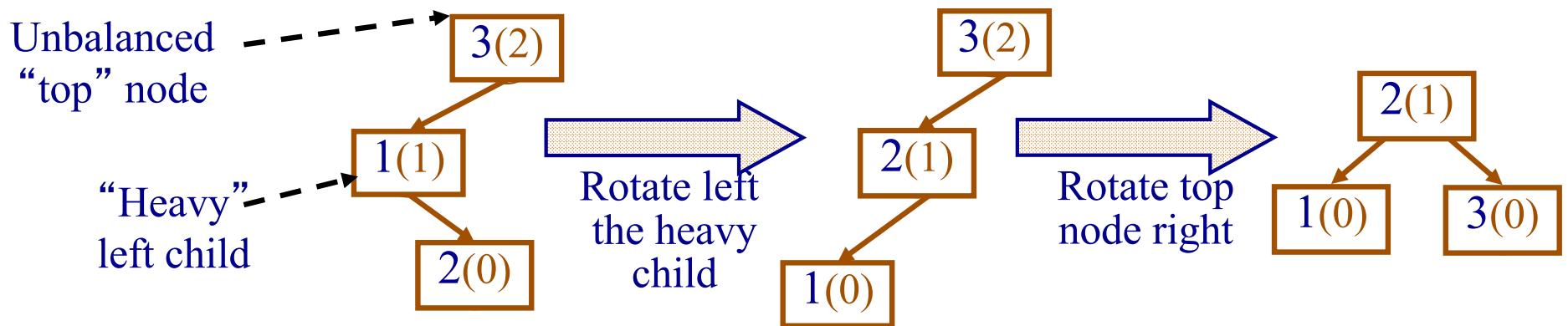
Double Rotation

- A single rotation may not fix the problem:
 - When the **left** child is **heavy**, i.e.,
 - its parent is unbalanced from the left
 - has only a left subtree



Double Rotation Right

- This case requires *rotating the child* before the regular rotation:
 1. Rotate the heavy left child to the **left**
 2. Rotate the “top” node to the **right**

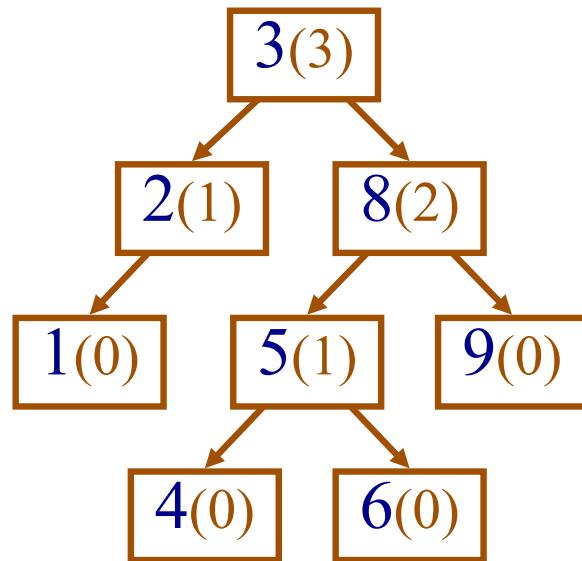


Balancing an Unbalanced Node

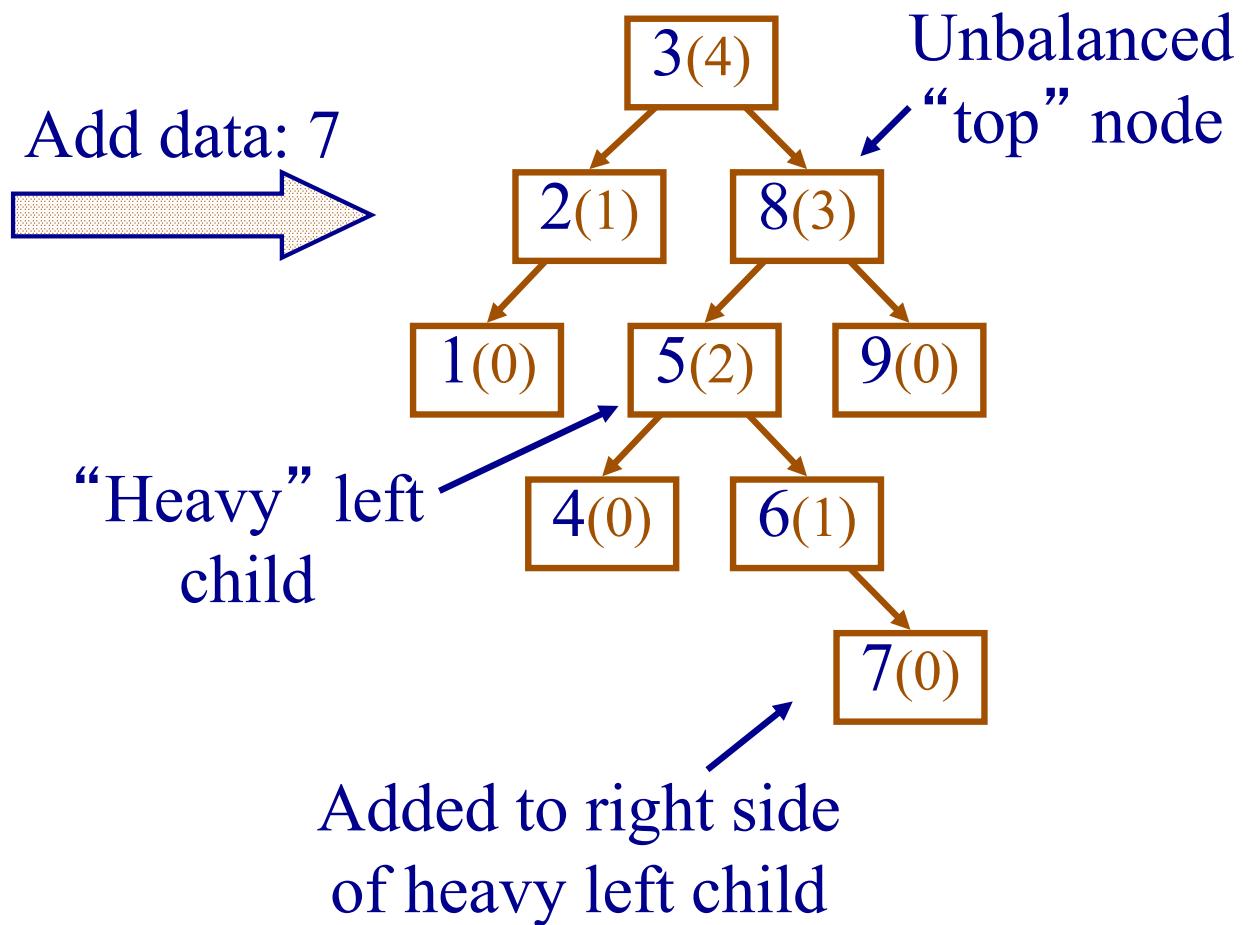
```
If left child is taller than right child /* Rotation right */  
  If left child is heavy /* Double rotation right */  
    Rotate left the heavy left child  
  }  
  Rotate right the node  
}else{ /* Rotation left */  
  If right child is heavy /* Double rotation left */  
    Rotate right the heavy right child  
  }  
  Rotate left the node  
}  
Return node
```

Example: Add 7 to the tree

Height-Balanced Tree

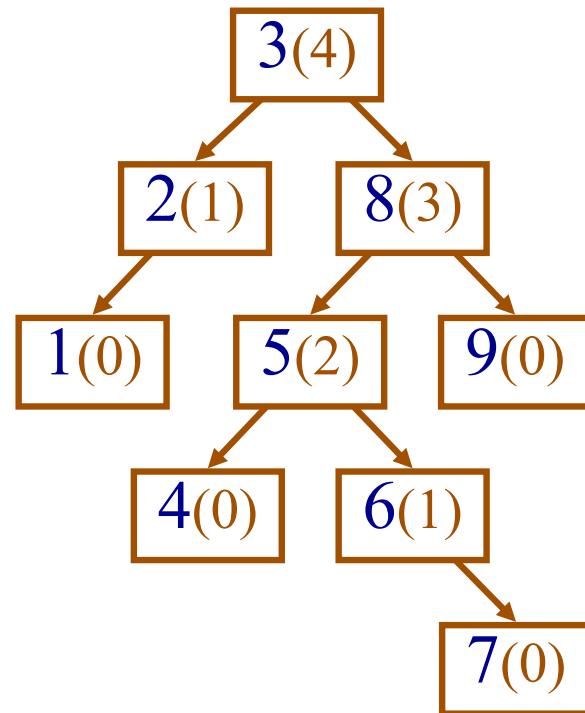


Unbalanced Tree

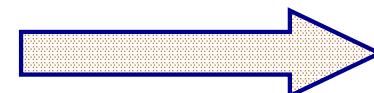


Example – Suppose We Used Single Rotation

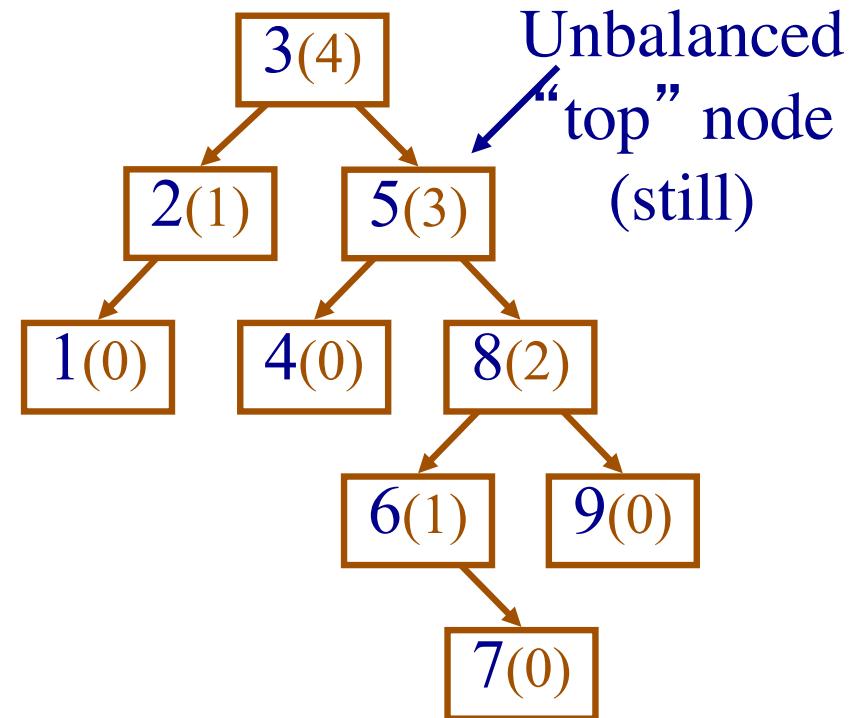
Unbalanced Tree



Single right rotation

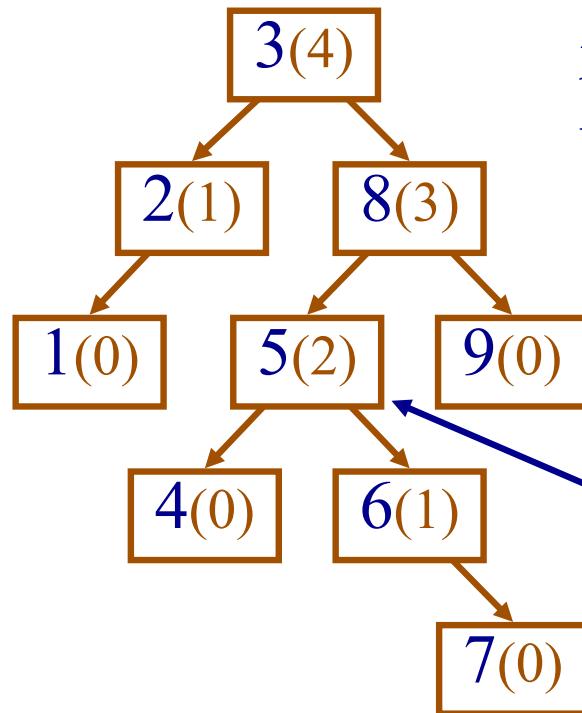


Tree Still Unbalanced

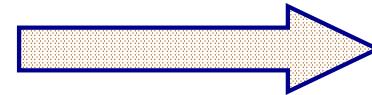


Example – Double Rotation Right

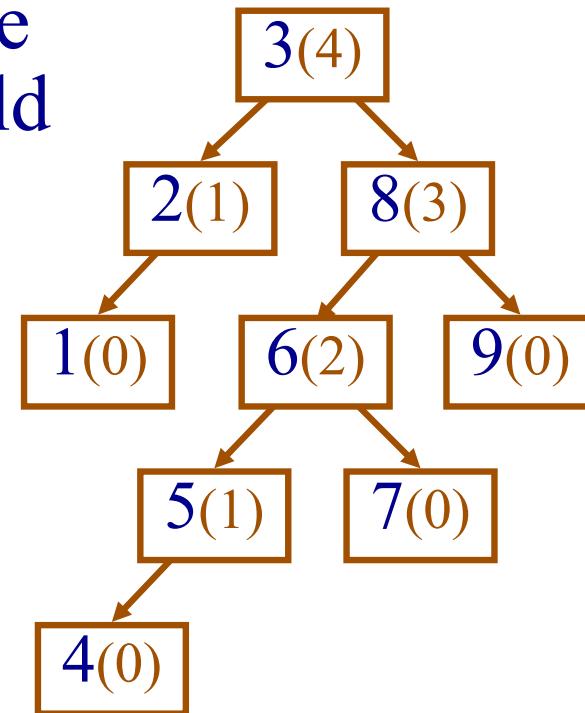
Unbalanced Tree



Rotate left the
heavy left child



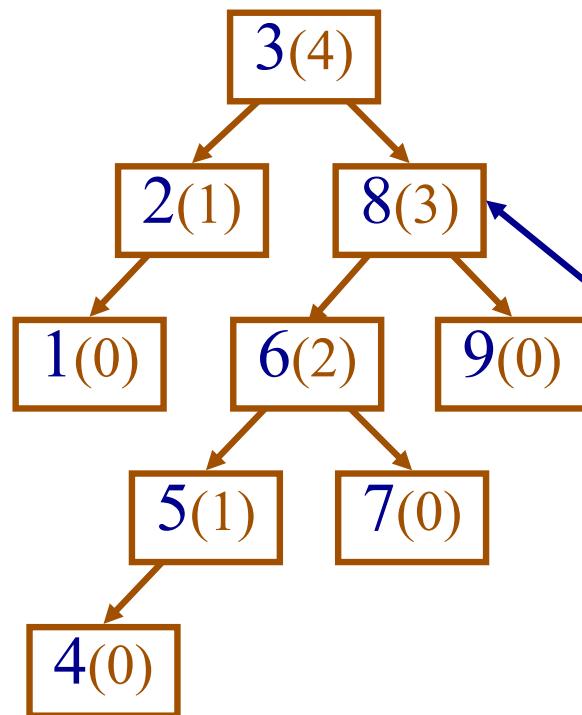
Tree Still Unbalanced, but ...



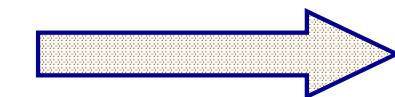
“Heavy” left
child

Example – Double Rotation Right

Unbalanced Tree
(after 1st rotation)

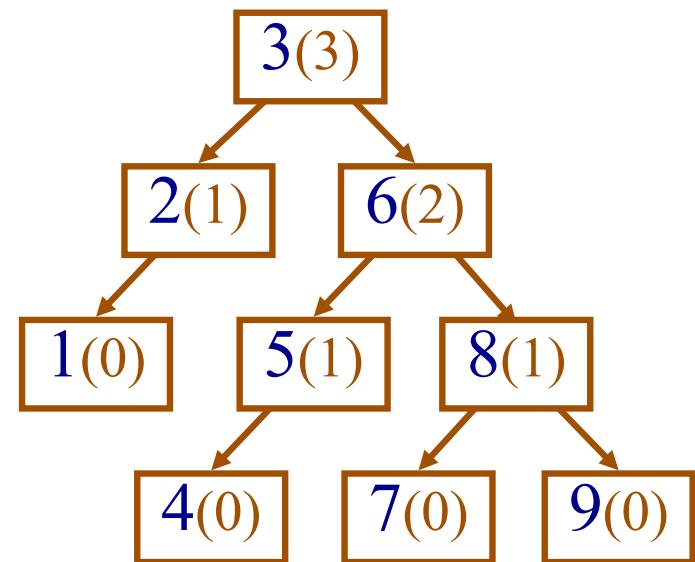


Rotate right
top node



Unbalanced
“top” node

Tree Now Balanced



Your Turn

- Any questions
- Worksheet:
 - Start by inserting values 1-7 into an empty AVL tree
 - Then write code for left and right rotations