

# TRANSFER LEARNING BASED WILDLIFE RECOGNITION FOR TELE-OBSERVATION IN FIELD OCCLUSION ENVIRONMENT

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## ABSTRACT

Timely and credible species recognition of wildlife at their habitats is helpful for ecological monitoring. Thanks to the powerful feature extraction ability of convolutional neural networks(CNN), the performance of species identification has been significantly improved. However the CNN based approach still does not achieve their full potential. The cluttered backgrounds and rich feature changes of wild environment bring great challenges to wildlife recognition. In this paper, we propose a novel approach to improve the anti-occlusion ability of CNN model, which is achieved by training a improved anti-occlusion loss function. The anti-occlusion constraint in our proposed loss function works to reduce the distance of feature expression before and after a sample has been occluded. We validated the effect of occlusion based on public dataset cifar-10, which confirms that its necessary to improve the anti-occlusion ability of CNN model. Based on single-labeled training dataset and generalization dataset, comprehensive comparative evaluation proves that our proposed loss can effectively improve the generalization ability of CNN model during the identification of wildlife.

**Index Terms**— customized loss function, anti-occlusion, wildlife monitoring, transfer learning, CNN

## 1. INTRODUCTION

The growing population and urbanization activities have continually threatened the survival of wildlife. Timely and credible species recognition of wildlife at their habitats becomes more and more necessary for ecological monitoring. There are some citizen scientists organizations dedicated to collect images of wildlife. While at the habitats of wildlife(such as nature reserves), "Camera-Trap" is becoming an increasingly popular monitoring tool due to its effectiveness and reliability. Camera-Trap is a kind of motion-triggered device that can capture images and videos of wildlife, which is placed at a



**Fig. 1.** Display of imagery data with object occlusion problem. It shows that it's necessary to improve the anti-occlusion ability of CNN model.

fixed location by reserchers and replacement regularly. However, manually handling such continuous and numerous imagery data is very cumbersome for scientists, both time consuming and monotonous. Therefore, modern technologies for wildlife identification can not only improve the efficiency of ecological monitoring, but also reduce the artificial burden.

Unlike the classification task based on public datasets, wildlife identification is facing with many challenges due to various conditions: (1) Object occlusion caused by lush plants, shown as Fig. 1. (2) Wild animals have similar body shape and body color which cause high between-class similarity. (3) It's hard to obtain large number of labeled samples.

Wildlife identification attracts more and more researchers due to the needs of wildlife protection and ecological monitoring. Guobin Chen et al.[1] first apply CNN in recognition of camera-trap imagery at 2014, they build a five layers CNN model and got better performance than traditional bag of visual words method. In addition, Nguyen et al. demonstrated the feasibility of a deep learning approach towards constructing scalable automated wildlife monitoring system[2] by identifying three most common animals (bird, rat and bandicoot) in Australia with a 5 layers CNN. Jason et al.[3] evaluated five detection components against their own wildlife

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dataset, and aiming to increase the reliability and automation of animal censusing studies.

## 2. RELATED WORK

Object recognition has been actively studied for the last few decades[4, 6, 5, 7]. Although the recognition based on the public dataset reaches the saturation level, it has to be acknowledged that the public dataset has the advantages of rich sample and high resolution. While the two conditions are difficult to satisfy for small sample datasets.

Transfer learning both can preserve the model's recognize ability and adapt to the characteristics of the new dataset. On the basis of the high-capacity CNN architectures, we can obtain representations of own datasets through transfer learning. Junwei Han et al.[8] finetune pre-trained architecture to introduce rotation-invariant and fisher discriminative into CNN model, which improves the efficiency of objection detection. Lee et al.[9] predict the quality of distorted videos with temporal features which composed of spatial features and hand-crafted features. Object Recognition with CNN also widely used in medical field. Riel et al.[10] transfer features pre-trained by CNN model for cancer detection.

On the basis of transfer learning, in order to make the model more suitable for specific task, designing custom loss function becomes the popular research direction. De Cheng et al.[11] train a CNN model with an improved triplet loss function that serves to pull the instances of the same person closer. Bailer et al.[12] introduce a thresholded loss into siamese networks for optical flow estimation, which are demonstrated performs better than existing losses.

## 3. CNN ANTI-OCCLUSION ABILITY TRAINING METHOD

The goal of our method is to train a CNN model with anti-occlusion ability based on customized anti-occlusion loss function. This is achieved by finetune our loss with artificial occlusion dataset on the basis of those existing high-performance CNN architectures.

In this section, we present the proposed anti-occlusion method in details. We first present the improved anti-occlusion loss function in details, then we describe the overall framework of our anti-occlusion method.

### 3.1. Improved Anti-occlusion Loss Function

In the multi-classification task based on neural network, the commonly used loss function is the cross-entropy loss function. In order to adapt the network to our artificial occlusion dataset, we add the anti-occlusion constraint based on the cross-entropy loss function. At the same time, in order to reduce the over-fitting, we add the L2 regularization constraint.

The final loss function  $J_{AB}$  (the letter AB means anti-blocking) is consists of three parts as shown in equation(1):

$$J_{AB} = L(X_{AB}, Y) + \underbrace{\lambda_1 B(X, T_{AB}X)}_{\text{anti-blocking-constraint}} + \underbrace{\lambda_2 R(W_{AB})}_{\text{L2-regularization}} \quad (1)$$

where  $T_{AB} = \{T_{b1}, T_{b2}, \dots, T_{bn}\}$  indicates n occlusion degrees of the original picture correspond to n values of BF( as discribed in section 3.2 ,  $X_{AB} = \{X, T_{AB}X\}$  means the collection of entire artificial occlusion dataset, Y is the label of corresponding X and  $W_{AB}$  means the parameters of CNN model.  $\lambda_1$  and  $\lambda_2$  are two weight coefficients.

The first part is shown in equation(2):

$$L(X_{AB}, Y) = -\frac{1}{N} \sum_{x_i \in X_{AB}} \sum_{c=1}^C y_c^{(x_i)} \log y_{c_-}^{(x_i)} \quad (2)$$

where N means the total number of samples, C is the number of classes,  $y^{(x_i)}$  is the number c element of the label vector y corresponding to  $x_i$  and  $y_{c_-}^{(x_i)}$  is the number c element of inference vector  $y_-$  corresponding to  $x_i$ .

The second part is shown in equation(3):

$$B(X, T_{AB}X) = \frac{1}{2N} \sum_{x_i \in X_{AB}} \left\| O_k^{(x_i)} - \frac{1}{n_B} \sum_{T_b \in T_{AB}} O_k^{(T_b x_i)} \right\|^2 \quad (3)$$

where  $O_k$  is the output of layer k and  $k \in \{fc6, fc7\}$  means our anti-blocking constraint works on two layers: fc6 and fc7, and look at the details, the anti-blocking constraint is used to calculate the difference between the feature of the original image  $x_i$  and the average feature of the images after occlusion.

The third part is shown in equation(4):

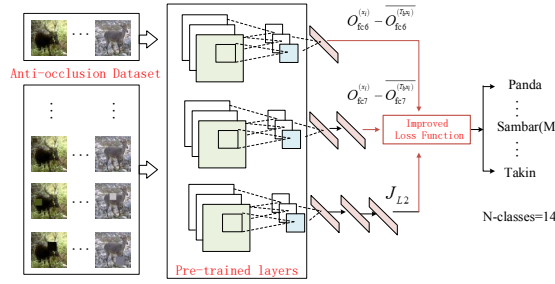
$$R(W_{AB}) = \frac{1}{2} \sum_{x_i \in X_{AB}} \|W_{AB}\|^2 \quad (4)$$

where  $W_{AB}$  means the parameters of CNN model.

### 3.2. Transfer Learning Based Training Framework

The framework of the proposed CNN anti-occlusion training method is illustrated in Fig. 2.

Firstly, in order to make full use of existing high-capacity CNN architectures, we obtained the parameters of several layers by pre-trained with ImageNet[14] dataset. In our experiment, the architecture we pretrained is VGG16[5], we transfer the parameters of it's first 13 layers; Secondly, our anti-occlusion dataset is generated on the bias of wildlife dataset



**Fig. 2.** Illustration of our proposed training method. There are three main contributions: (1) Artificial occlusion treatment. (2) Transfer learning. Finetune a pre-trained convnet to reduce overfitting. (3) Customize loss function. Introducing anti-occlusion constraint to reduce the distance of feature expression before and after a sample has been occluded.

Far-eco(will illustrated in section 4.1.2 with different degrees of occlusion factor(as discribed in section 4.1.1; Thirdly, the improved anti-occlusion loss function we designed consists of three parts (will described detailly in Section 3.1, which are derived from the three fully connected layers.

The steps of the training process are detailed in algorithm1.

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**Algorithm 1** CNN anti-occlusion training method

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**Require:** anti-occlusion dataset  $(X_{AB}, Y)$  and pre-trained parameters;

**Ensure:**  $W_{AB}$ : parameters of trained CNN;

- 1: Initialize the parameters of full connected layers;
  - 2: **repeat**
  - 3:   compute standard loss with formula (2);
  - 4:   compute anti-blocking constraint with formula (3);
  - 5:   compute L2 regularization with formula (4);
  - 6:   compute the finally loss with formula (1) ;
  - 7:   update parameters  $W_{AB}$ ;
  - 8: **until** (epoches > threshold)
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## 4. EXPERIMENTS

### 4.1. Verification of the Impact of Occlusion on Recognition

Before comparing the effects of different loss functions, we explore the impact of occlusion based on public dataset. Firstly, we define an occlusion factor "BF" which expressing the degree of occlusion. Then, we process the train set of cifar-10[13] with various degrees of artificial occlusion. By comparing the accuracy of CNNs trained with different occlusion training sets, we can observe the effect of occlusion on recognition.

#### 4.1.1. Definition of Occlusion Factor

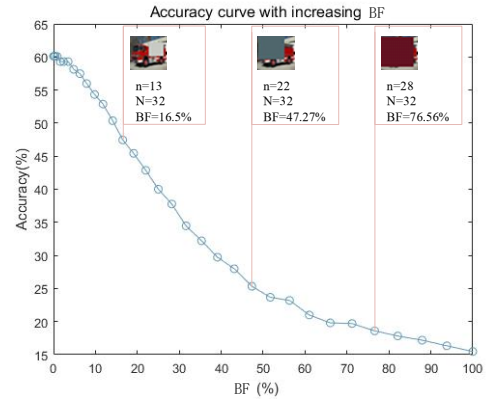
We define the occlusion degree BF as the percentage of pixels covered by artificial occlusion in the total number of pixels.

When the image size is  $N \times N$  and the artificial occlusion size is  $n \times n$ , the occlusion degree BF is expressed as equation(5):

$$BF = \frac{n^2}{N^2} \times 100\% \quad (5)$$

#### 4.1.2. Artificial Occlusion Dataset

Based on the fact that the occlusion comes from backgrounds, we select a random pixel as background in the image, then fill in the selected range with the background pixel. The pixel size  $N$  of Cifar-10[13] dataset is 32, value BF of our artificial occlusion dataset is 0-100%. The 33 groups of training sets train a classical 5 layers CNN model separately. The architecture of the CNN network including 2 convolutional layers and 2 max-pooling layers and finally a 14-way fully connected layer. The hyper-parameter of filters in convolutional layers is  $5 \times 5 \times 6$  and  $5 \times 5 \times 12$ . The two max-pooling are both with the size of  $2 \times 2$ .



**Fig. 3.** Verification of the effect of occlusion based on public dataset. Taking a truck image as example, red box shows 3 artificial occlusion result with different BF. The curve shows the variety of recognition accuracy with the increase of occlusion degree.

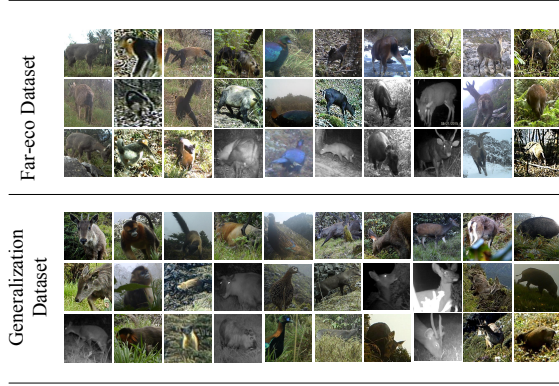
#### 4.1.3. Verification Results

Shown as Fig. 3, we can draw the following conclusions: 1)As the occlusion area increases, the recognition rate gradually decreases. 2)Before the occlusion area accounts for 50%,

the recognition accuracy decreases linearly until about 1/3 of zero occlusion. 3) When the occlusion area is 100%, the recognition accuracy remain 15% rather than 0%.

#### 4.2. Wildlife Dataset

In order to measure the generalization ability of models, we train on Far-eco dataset and test on generalization dataset to obtain generalization accuracy.



**Fig. 4.** A miniature of 10 species of the Far-eco dataset and generalization dataset. Each column corresponds to the same species.

**Far-eco dataset** We collected and annotated a standard camera-trap dataset of 14 species in the southwest of China[15], which contains 20600 images. These image data were collected from 24 camera sites in four nature reserves in China. Each image includes only one animal.

**Generalization dataset** The generalization dataset is very different with Far-eco, which includes 4874 images of 11 species. There are 3 species that exist in Far-eco but not in the generalization dataset because they did not appear at the second location.

**Artificial occlusion Far-eco** Preprocess with occlusion as described in Section 4.1.2, making Far-eco an artificial occlusion dataset  $X_{AB} = \{X, T_{AB}X\}$ . During the training process, the occlusion level BF will affect the characteristic expression of  $x_i$ . We set the occlusion processing BF as 1%-20%.

#### 4.3. Comparison of CNN based Approaches

We designed two sets of experiments for comparison, in which there are two different: 1) How large is the input image size; 2) Which loss function we optimize.

As shown in Table 1, we have prepared datasets with 3 kinds of image size, some have been occluded and others are not. There are four different datasets in all, which are dataset A-D. As shown in Table 2, there are 3 kinds of loss

**Table 1.** Datasets.

Image-size \ Occlusion	Yes	No
32*32	–	Dataset-B
64*64	Dataset-A	Dataset-C
128*128	–	Dataset-D

**Table 2.** Loss functions.

Symbol	Expression
$J_L$	$J_L = L(X, Y)$
$J_{L2}$	$J_{L2} = L(X, Y) + \lambda R(W)$
$J_{AB}$	$J_{AB} = L(X_{AB}, Y) + \lambda_1 B(X, T_{AB}X) + \lambda_2 R(W_{AB})$

functions where  $J_L$  is the classical cross-entropy loss function,  $J_{L2}$  is cross-entropy loss function with  $L2$  regularization, and  $J_{AB}$  is our proposal improved anti-occlusion loss.

Therefore, combining the variables in the two tables, we designed 5 experiments. In experiment 4,  $\lambda=0.001$ . In experiment 5,  $\lambda_1=0.001$  and  $\lambda_2=0.005$ . Experiment 1-3 optimize the  $J_L$  loss function, and the input image sizes of them are respectively 32\*32, 64\*64, and 128\*128. As shown in table 3, the generalization accuracy of experiment 2 was the highest in the three sets of experiments, which explain that the input size of 64\*64 is more appropriate for this architecture. Therefore, in experiments 4 and 5 we uniformly used a dataset with the input size of 64\*64. As shown in table 3, experiment 5 obtain the highest generalization accuracy, proving our proposed anti-occlusion constraint can effectively improve the generalization ability of CNN model for wildlife recognition.

**Table 3.** Experiments.

Model	test-accuracy(%) on Far-eco	generalization -accuracy(%)
Dataset-B with $J_L$	75	26.77
Dataset-C with $J_L$	92	37.81
Dataset-D with $J_L$	90	35.85
Dataset-C with $J_{L2}$	91	39.12
Dataset-A with $J_{AB}$ (ours)	95	<b>45.62</b>

## 5. CONCLUSION AND FEATURE WORK

In this paper, we present a novel loss function with anti-occlusion constraint which outperforms compared with other existing losses on the challenging camera-trap dataset. On our generalization dataset which is collected from different regions and times with Far-eco, the CNN model trained by our proposed method can achieve the generalization accuracy of 45.62%, which will be helpful for ecological monitoring. In the future work, we will strive to extract more universal feature expressions of wild animals, which will pave the way for further species analysis and ecological monitoring.

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