Policy Gradient, SCST & RLHF

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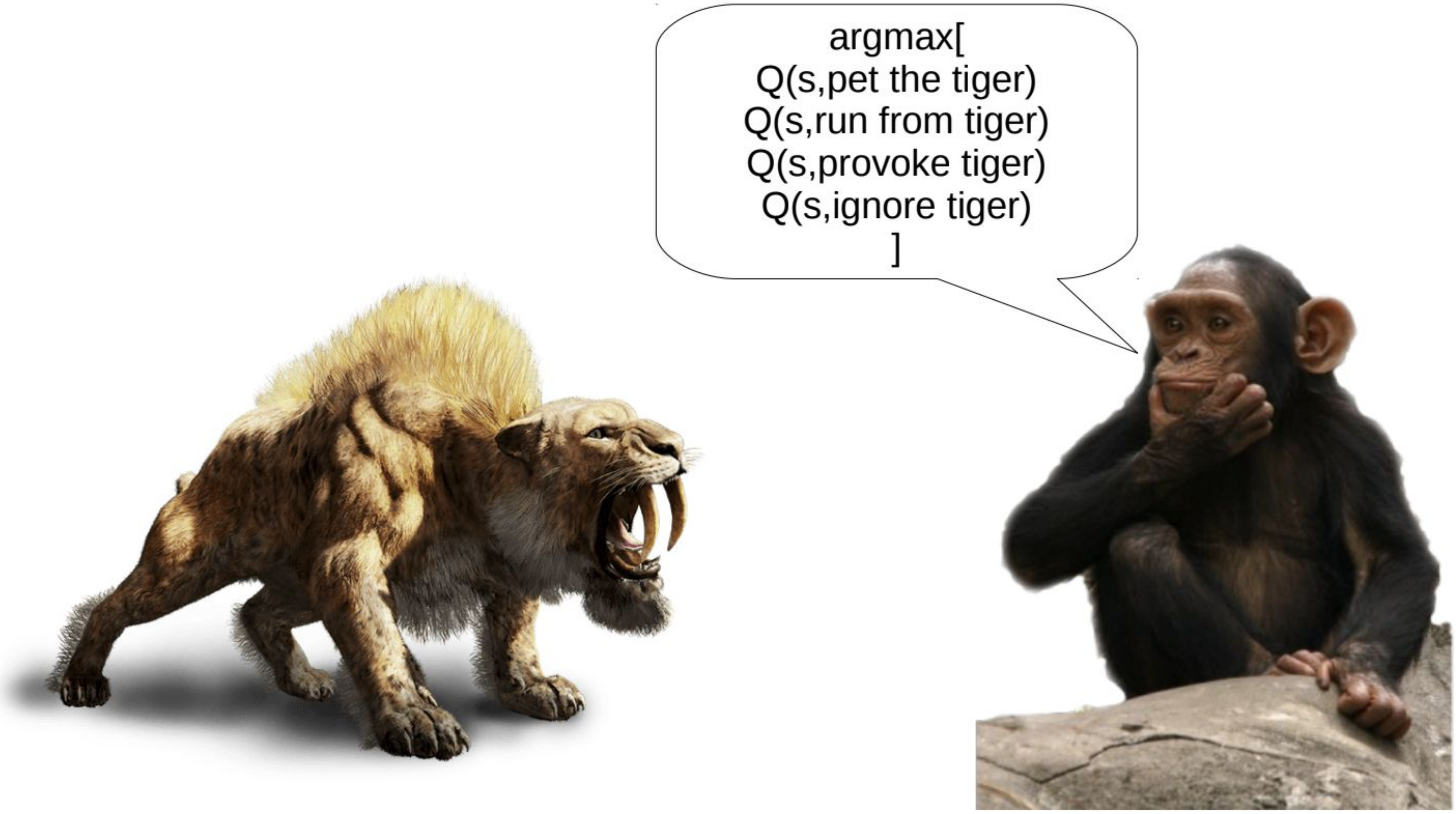
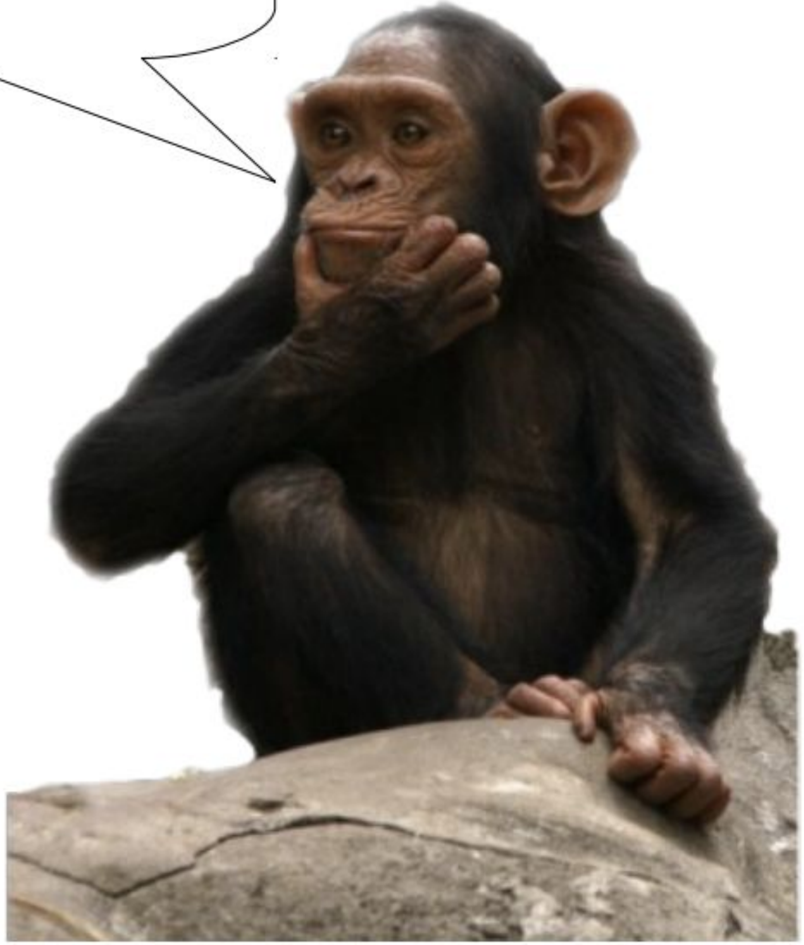
References

These slides are deeply based on Practical RL course week 7 slides. Special thanks to YSDA team for making them publicly available.

Original slides link: week07_seq2seq


argmax[
Q(s,pet the tiger)
Q(s,run from tiger)
Q(s,provoke tiger)
Q(s,ignore tiger)
]

$$\pi(run|s) = 1$$



General formalism

- Maximize $J = \underset{\substack{s \sim d(s) \\ a \sim \pi(a|obs(s))}}{E} R(s,a)$ over π

- R(s,a) or G(s,a) is a black box
  - Special case: G(s,a) = r(s,a) + γG(s',a')
- Markov property: P(s'|s,a,*) = P(s'|s,a)
  - Special case: obs(s) = s , fully observable

General approaches

- **Idea 1: evolution strategies**
  - perturbate π, take ones with higher J
- **Idea 2: value-based methods**
  - **estimate J** as a function of a, pick best a
- **Idea 3: policy gradient**
  - **ascend J** over π(a|s) using **∇J**

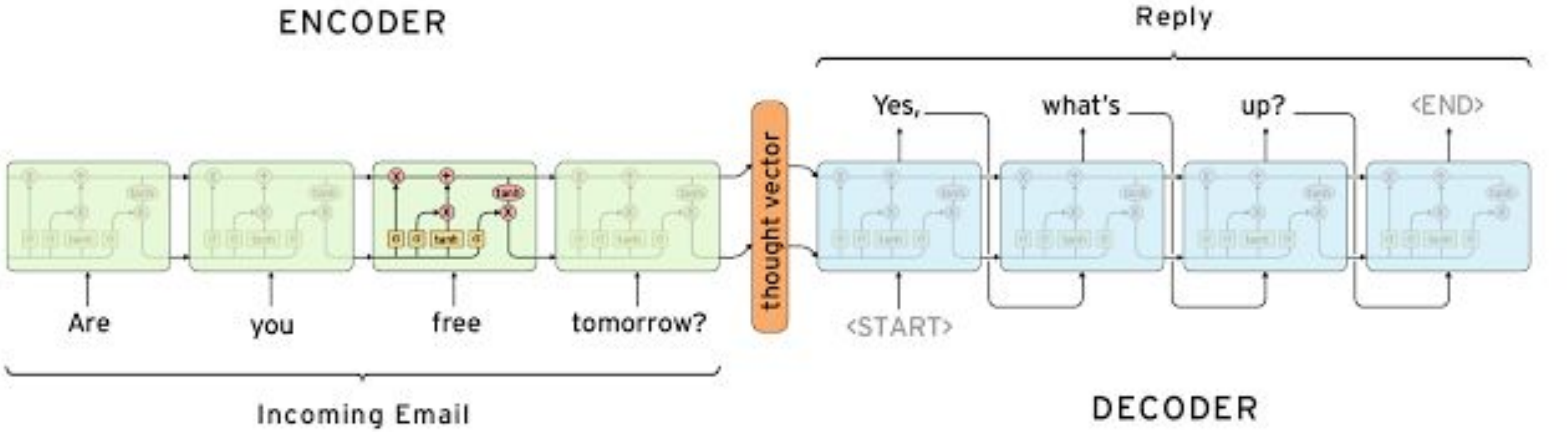
General approaches

- **Idea 4: Bayesian optimization**
  - build a model of J, pick π that is most informative
  - to finding maximal J
  - e.g. Gaussian processes (low-dimensional only)

- **Idea 5: simulated annealing**
- **Idea 6: crossentropy method**
- ...

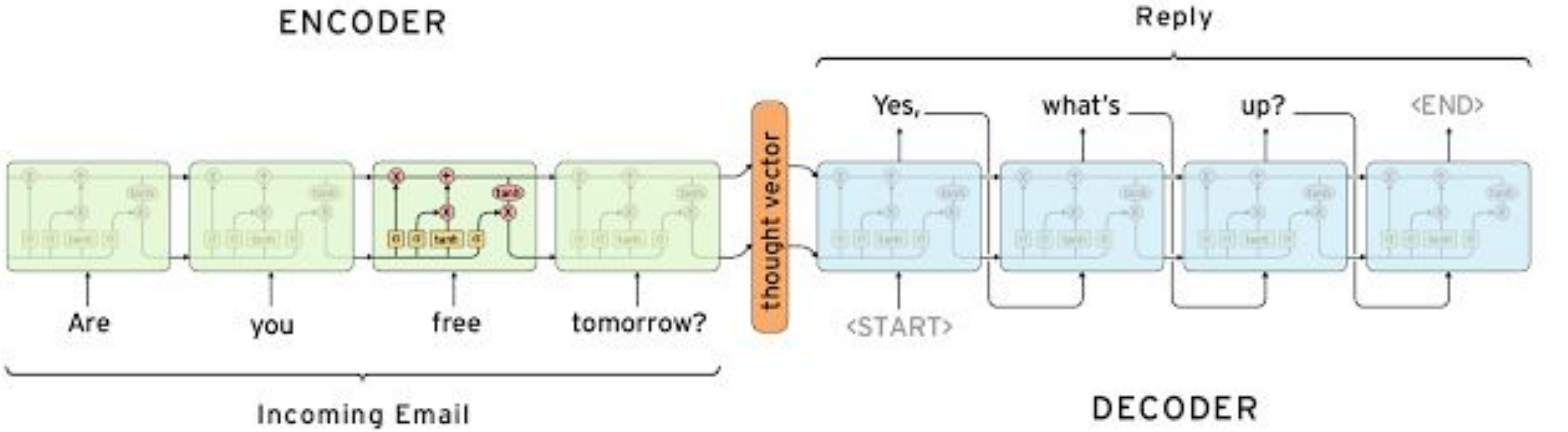
Encoder-decoder architectures

- Read input data (sequence / arbitrary)
- Generate output sequence
- **Trivia:** what problems match this formulation?



Encoder-decoder tasks

- Machine translation
- Image to caption
- Word to transcript
- Conversation system
- Image to latex
- Code to docstring



Machine translation

Problem:

- Read sentence in Chinese
- Generate sentence in English
- Sentences must mean the same thing

Solution?

Machine translation

Problem:

- Read sentence in Chinese
- Generate sentence in English
- Sentences must mean the same thing

Solution:

- Take large dataset of (source,translation) pairs
- Maximize log P(translation|source)

Conversation systems

Problem:

- Read sentence from user
- Generate response sentence
- System must be able to support conversation

Solution:

- Take large dataset of (phrase,response) pairs
- Maximize log P(response|phrase)

Grapheme to phoneme

Problem:

- Read word (characters): **“hedgehog”**
- Generate transcript (phonemes): **“hɛǰhag”**
- Transcript must read like real word (Levenshtein)

Solution:

- Take large dataset of (word,transcript) pairs
- Maximize log P(transcript|word)

Yet another problem

Problem:

- Read **x~X**
- Produce answer **y~Y**
- Answer should be **argmax R(x,y)**

Solution:

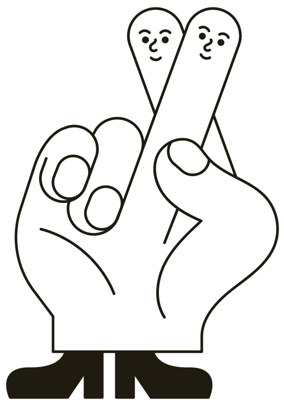
- Take large dataset of **(x,y)** pairs with *good* **R(x,y)**
- Maximize **log P(y|x)** over those pairs

Summary

Works great as long as you have **good** data!

good = abundant + near-optimal $R(x,y)$

What could possibly go wrong?



Distribution shift

- Supervised seq2seq learning:

$$P\left(y_{t+1} \mid x, y_{0:t}\right), \qquad y_{0:t} \sim reference$$

- Inference

$$P\left(y_{t+1} \mid x, \hat{y}_{0:t}\right), \qquad \hat{y}_{0:t} \sim \ ???$$

Distribution shift

- Supervised seq2seq learning:

$$P(y_{t+1}|x, y_{0:t}), \qquad y_{0:t} \sim reference$$

- Inference

$$P(y_{t+1}|x, \hat{y}_{0:t}), \qquad \hat{y}_{0:t} \sim \text{ model}$$

If model ever makes something that isn't in data,
It gets volatile from next time-step!

Summary

Works great as long as you have **good** data!

good = abundant + near-optimal R(x,y)

… and a perfect network ...

What could possibly go wrong?

Summary

Works great as long as you **have good data**!

good = abundant + near-optimal R(x,y)

Spoiler: most of the time we **don't**. Too bad.

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Machine translation issues

There's more then one correct translation.

Source: 在 找 给 家里 人 的 礼物.

Versions:
i 'm searching for some gifts for my family.
i want to find something for my family as presents.
i 'm about to buy some presents for my family.
i 'd like to buy my family something as a gift.
i 'm looking for a present for my family.
...

Sample from IWSLT 2009 Ch-En: link

Machine translation issues

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You don't need to learn all of them.

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Source: 在 找 给 家里 人 的 礼物.

Versions:	Model 1 **p(y|x)**	Model 2 **p(y|x)**
(version 1)	1e-2	0.99
(version 2)	2e-2	1e-100
(version 3)	1e-2	1e-100
(all rubbish)	0.96	0.01

Question: which model has better Mean log p(y|x) ?

not in data

This one. While it predicts 96% rubbish

Conversation system issues

Two kinds of datasets:

- **Large raw data**
  - twitter, open subtitles, books, bulk logs
  - 10^6-8 samples, http://opus.nlpl.eu/OpenSubtitles.php

Big enough,
but suboptimal R(x,y)

- **Small clean data**
  - moderated logs, assessor-written conversations
  - 10^2~4 samples

Near-optimal R(x,y),
but too small

Motivational example

So you want to train a Q&A bot for a bank.

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Let's scrape some data from social media!

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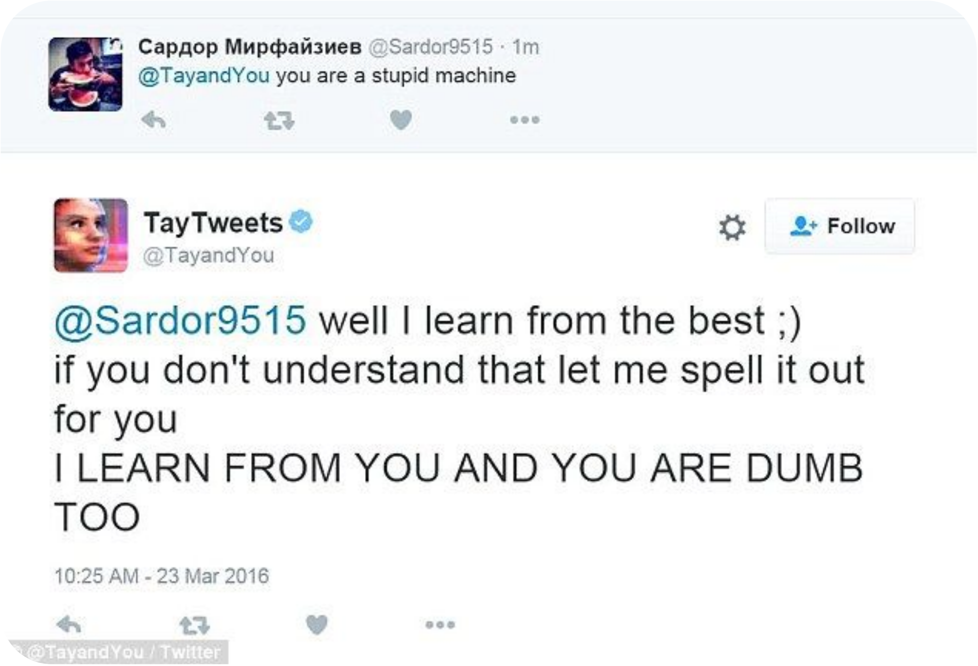
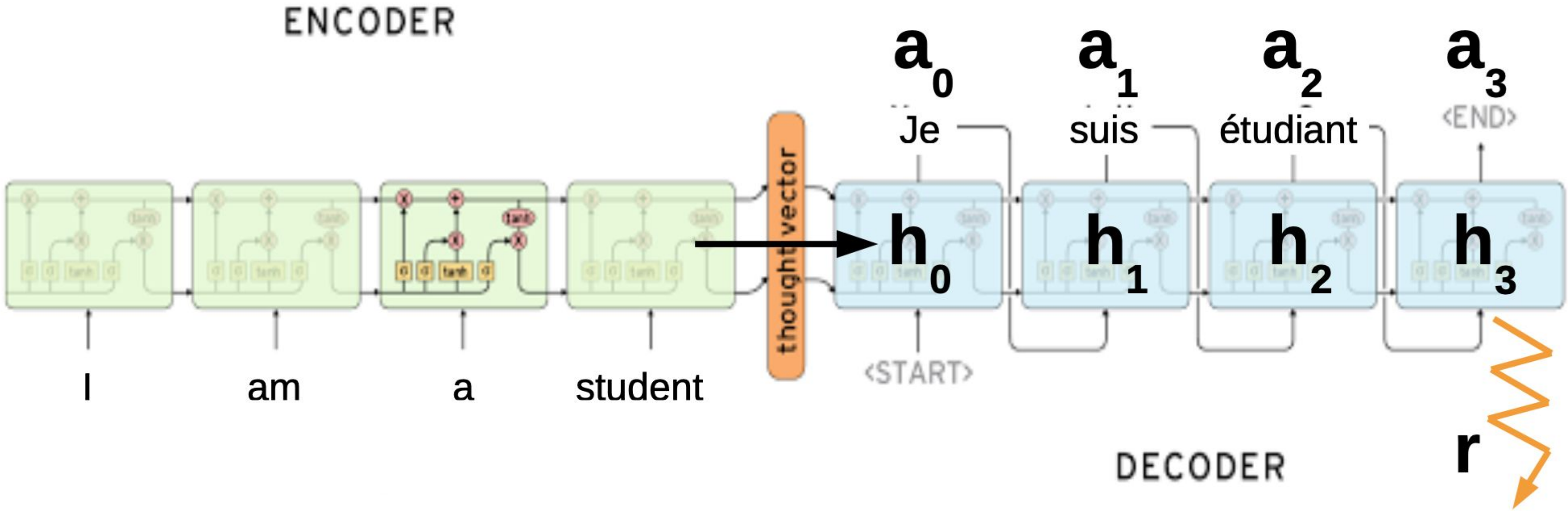
MICROSOFT \ WEB \ TL;DR

Twitter taught Microsoft's AI chatbot to be a racist asshole in less than a day



Source: wikipedia, theverge.com, twitter

Seq2seq as a POMDP



Hidden state **s** = translation/conversation state
Initial state **s** = encoder output
Observation **o** = previous words
Action **a** = write next word
Reward **r** = domain-specific reward (e.g. BLEU)

Policy Gradient

Our objective:

Reward (e.g. BLEU)

$$J = \underset{\substack{s \sim p(s) \\ a \sim \pi_\theta(s|a)}}{E} R(s,a) = \int_s p(s) \int_a \pi_\theta(a|s) R(s,a)\, da\, ds$$

parameters are hidden here

We can approximate the expectation with mean:

$$J \approx \frac{1}{N} \sum_{i=0}^{N} R(s,a)$$

Policy Gradient

Our objective:

$$J = \underset{\substack{s \sim p(s) \\ a \sim \pi_\theta(s|a)}}{E} R(s,a) = \int_s p(s) \int_a \pi_\theta(a|s) R(s,a) \, da \, ds$$

$$\nabla J = \int_s p(s) \int_a \nabla \pi_\theta(a|s) R(s,a) \, da \, ds$$

Expectation is lost!

We don't know how to compute the gradient w.r.t. parameters

Optimization

Problem: we need gradients on parameters

$$J = \underset{\substack{s \sim p(s) \\ a \sim \pi_\theta(s|a)}}{E} R(s,a) = \int_s p(s) \int_a \pi_\theta(a|s) R(s,a) \, da \, ds$$

Potential solution: Finite differences

$$\nabla J \approx \frac{J_{\theta+\epsilon} - J_\theta}{\epsilon}$$

Very noisy, especially if both J are sampled

Optimization

Problem: we need gradients on parameters

$$J = \underset{\substack{s \sim p(s) \\ a \sim \pi_\theta(s|a)}}{E} R(s,a) = \int_s p(s) \int_a \pi_\theta(a|s) R(s,a) \, da \, ds$$

Wish list:

- Analytical gradient
- Easy/stable approximations

Log-derivative trick

Simple math question:

$$\nabla \log \pi(z) = ???$$

(try chain rule)

Log-derivative trick

Simple math question:

$$\nabla \log \pi(z) = ???$$

$$\pi \cdot \nabla \log \pi(z) = \nabla \pi(z)$$

Policy Gradient

$$\nabla J = \int_s p(s) \int_a \nabla \pi_\theta(a|s) R(s,a) da\, ds$$

$$\pi \cdot \nabla \log \pi(z) = \nabla \pi(z)$$

$$\nabla J = \int_s p(s) \int_a \pi_\theta(a|s) \nabla \log \pi_\theta(a|s) R(s,a) da\, ds$$

Question: does it look familiar?

Policy Gradient

$$\nabla J = \int_s p(s) \int_a \nabla \pi_\theta(a|s) R(s,a)\, da\, ds$$

$$\nabla J \approx \frac{1}{N} \sum_{i=0}^{N} \nabla \log \pi_\theta(a|s) \cdot R(s,a)$$

Supervised Learning vs Policy Gradient

Supervised learning:

$$\nabla llh = \underset{s, a_{opt} \sim D}{E} \nabla \log \pi_\theta(a_{opt}|s)$$

Policy gradient:

$$\nabla J = \underset{\substack{s \sim d(s) \\ a \sim \pi(a|obs(s))}}{E} \nabla \log \pi_\theta(a|s) Q(s, a)$$

Question: what is different? (apart from Q(s, a))

Supervised Learning vs Policy Gradient

Supervised learning:

$$\nabla llh = \underset{s, a_{opt} \sim D}{E} \nabla \log \pi_\theta(a_{opt}|s)$$

reference

Policy gradient:

$$\nabla J = \underset{\substack{s \sim d(s) \\ a \sim \pi(a|obs(s))}}{E} \nabla \log \pi_\theta(a|s) Q(s,a)$$

generated

Supervised Learning vs Policy Gradient

Supervised learning:

- Need (near-)optimal dataset
- Trains on reference sessions

Policy gradient:

- Need ~some data and reward function
- Trains on its own output

Supervised Learning vs Policy Gradient

Supervised Learning

Need good reference (y_opt)

If model is *imperfect* [and **it is**], training: P(y_next|x,y_prev_ideal)
prediction: P(y_next|x,y_prev_predicted)

Reinforcement Learning

Need reward function

Model learns to improve current policy. If policy is pure random, local improvements are unlikely to produce good translation.

Supervised Learning vs Policy Gradient

Supervised Learning

+ Rather simple
+ Small variance

– Need good reference (y_opt)
– **Distribution shift:**
  different **h** distribution
  when training vs generating

Reinforcement Learning

+ **Cold start problem**
+ Large variance (so far)

– Only needs x and r(s,a)
– No **distribution shift**

Supervised Learning vs Policy Gradient

Supervised Learning

+ Rather simple
+ Small variance

pre-training

– Need good reference (y_opt)
– **Distribution shift:**
  different **h** distribution
  when training vs generating

Reinforcement Learning

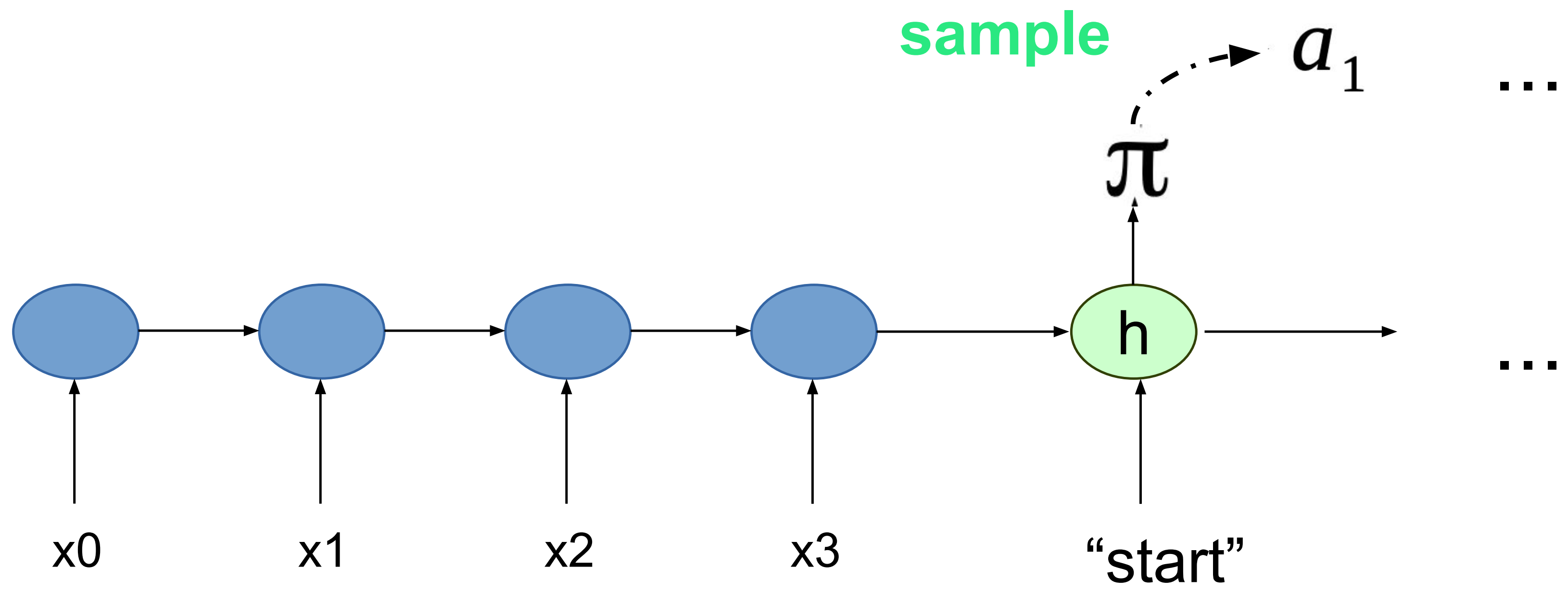
+ **Cold start problem**
+ Large variance (so far)

post-training

– Only needs x and r(s,a)
– No **distribution shift**

Training vs inference

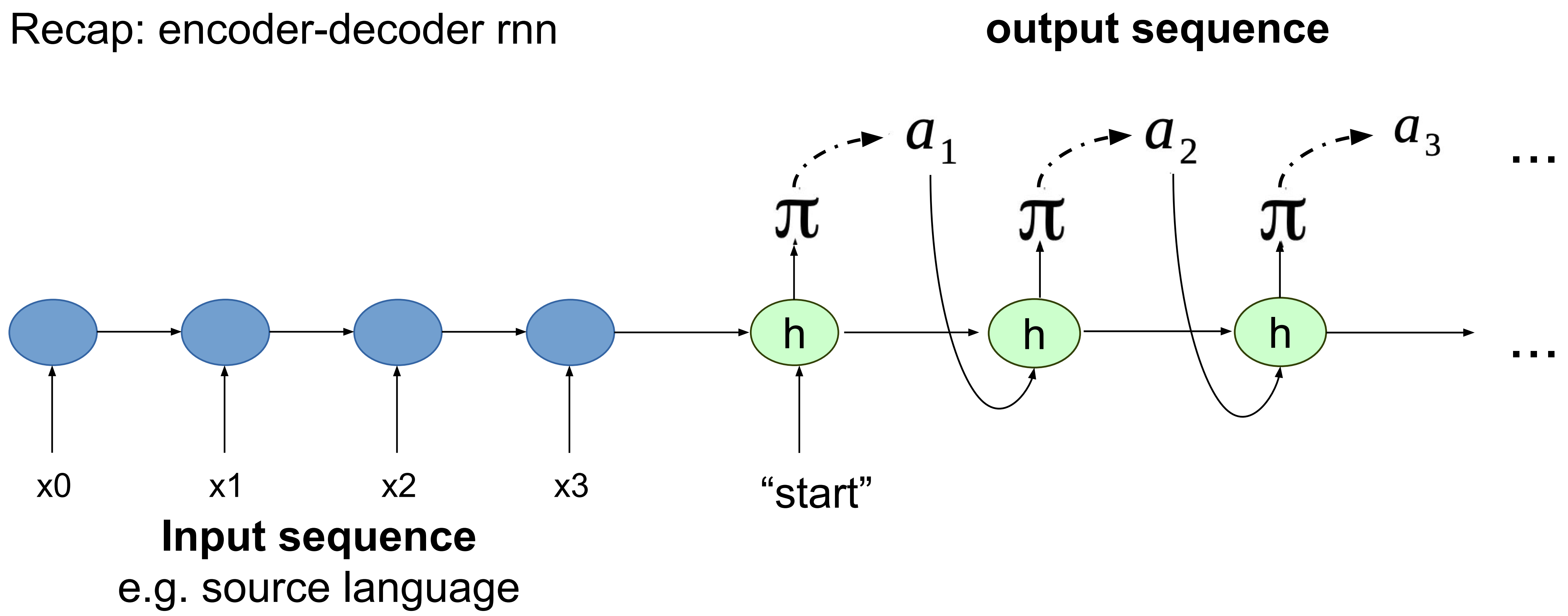
Recap: encoder-decoder rnn



Input sequence
e.g. source language

Training vs inference

Recap: encoder-decoder rnn



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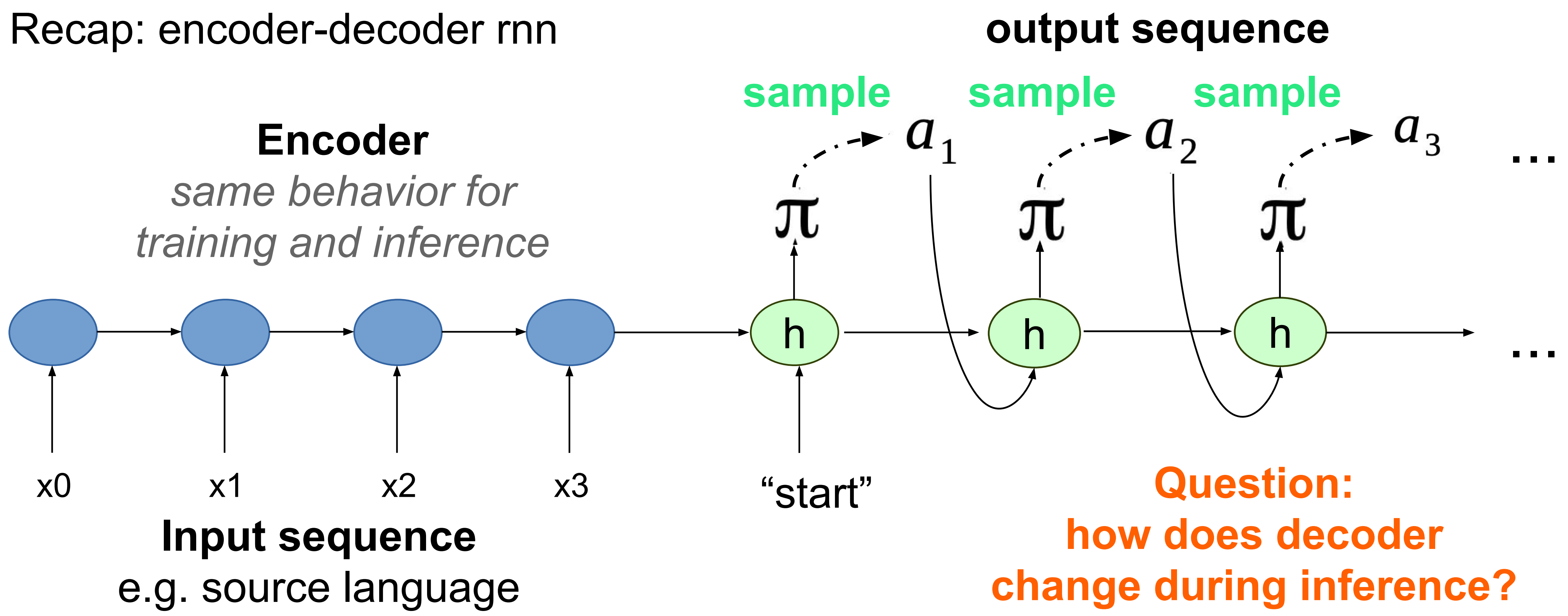
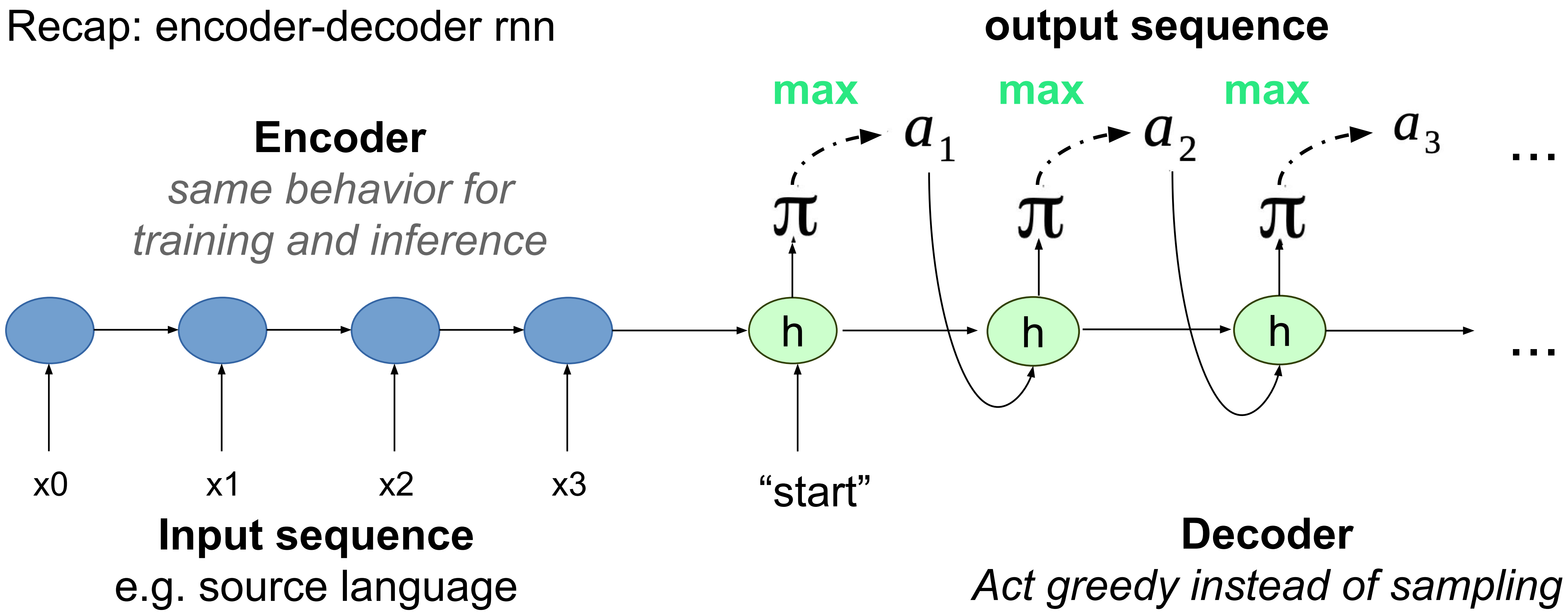


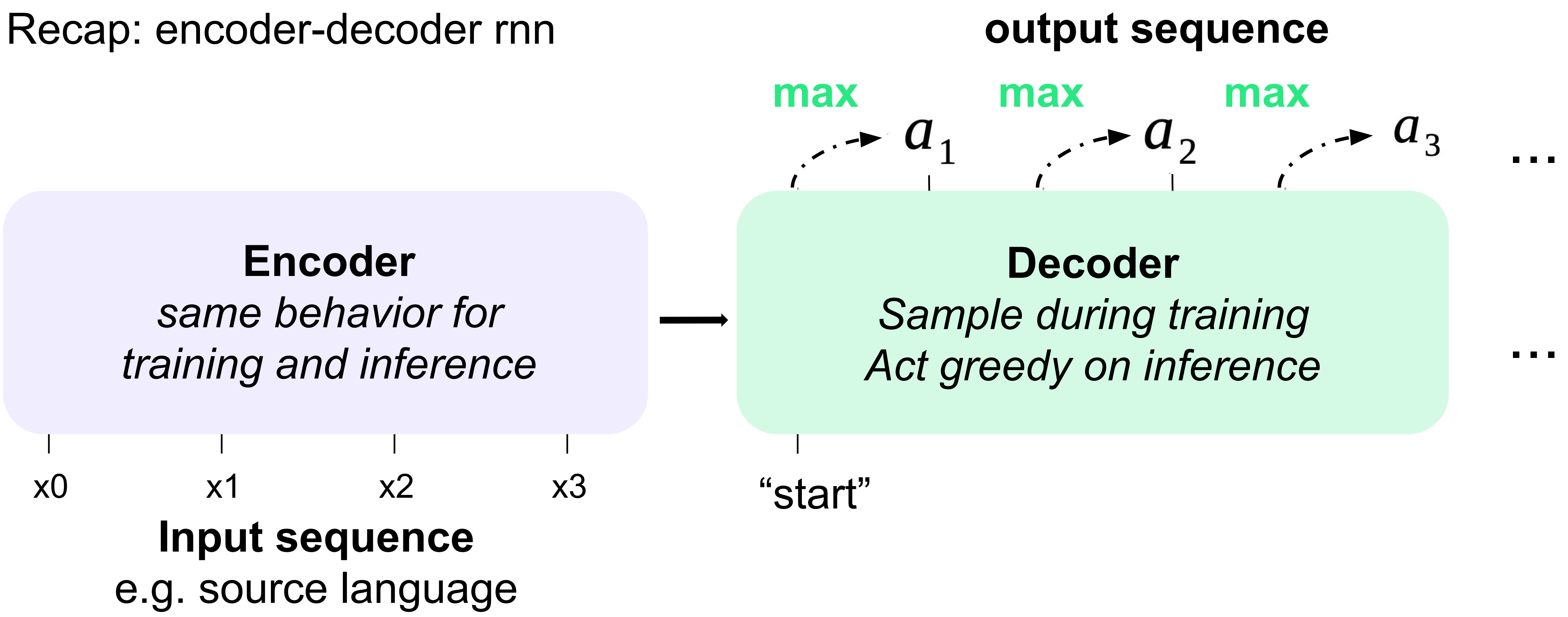
Question: how does decoder change during inference?

Training vs inference

Recap: encoder-decoder rnn

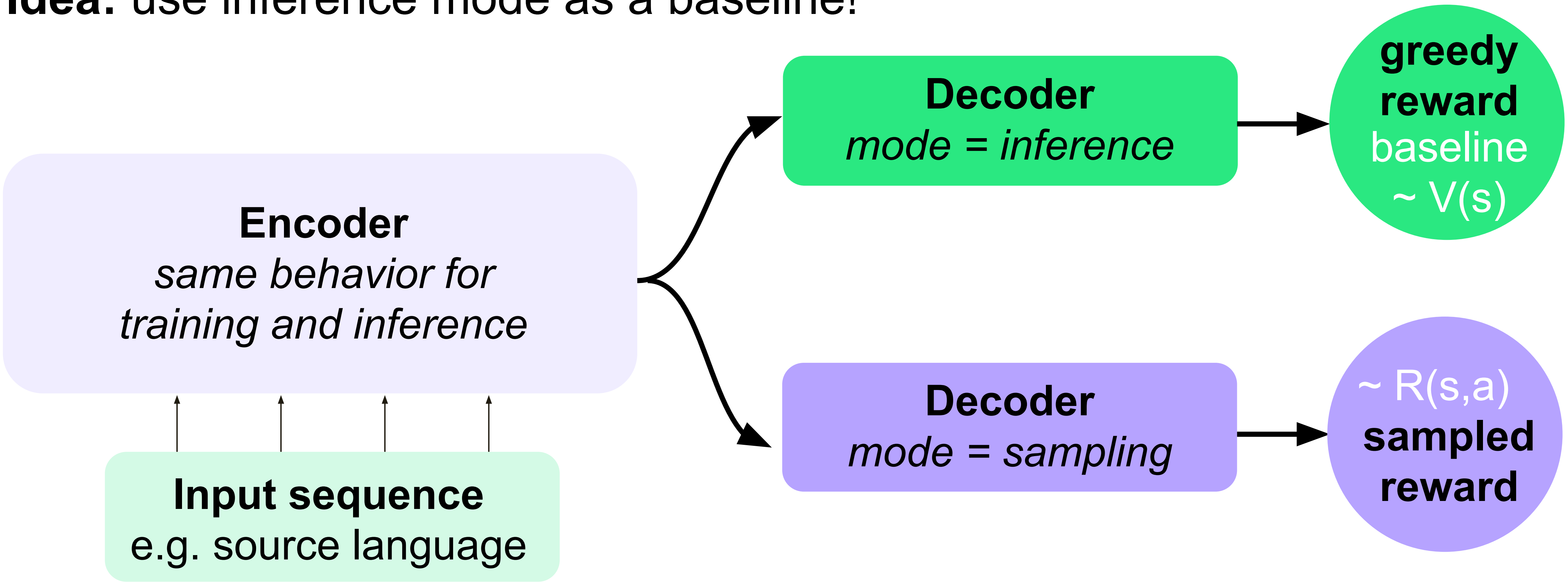


Training vs inference



Self-critical sequence training

Idea: use inference mode as a baseline!



Self-critical sequence training

$$\nabla J = \underset{\substack{s \sim d(s) \\ a \sim \pi(a|obs(s))}}{E} \nabla \log \pi_\theta(a|s) A(s,a)$$

$$A(s,a) = R(s,a) - R(s, a_{inference}(s))$$

sampling mode

greedy mode (inference)

Self-critical sequence training

$$\nabla J = \mathop{E}_{\substack{s \sim d(s) \\ a \sim \pi(a|obs(s))}} \nabla \log \pi_\theta(a|s) A(s,a)$$

$$A(s,a) = R(s,a) - R(s, a_{inference}(s))$$

Question:
why don't we use sampling mode for baseline?

Sampling mode is more noisy due to... sampling
Also it isn't what we'll use in production

Image captioning with SCST

Problem:

- Process image
- Generate caption
- Caption must describe image *(CIDEr)*
- **Dataset:** MSCOCO, http://mscoco.org

What do we do?

Image captioning with SCST

Problem:

- Process image
- Generate caption
- Caption must describe image *(CIDEr)*
- **Dataset:** MSCOCO, http://mscoco.org
- **Pre-training:** maximize log P(caption|image)
- **Fine-tuning:** maximize expected CIDEr
- Used self-critical baseline to reduce variance

SCST: results

Training Metric	Evaluation Metric			
	CIDEr	BLEU4	ROUGEL	METEOR
XE	90.9	28.6	52.3	24.1
XE (beam)	94.0	29.6	52.6	25.2
CIDEr	**106.3**	31.9	54.3	25.5
BLEU	94.4	**33.2**	53.9	24.6
ROUGEL	97.7	31.6	**55.4**	24.5
METEOR	80.5	25.3	51.3	**25.9**

Table: validation score on 4 metrics (columns) for models that optimize crossentropy (supervised) or one of those 4 metrics (scst).

Source: https://arxiv.org/pdf/1612.00563.pdf

MSCOCO: objects out of context

1. a blue of a building with a blue umbrella on it -1.234499
2. a blue of a building with a blue and blue umbrella -1.253700
3. a blue of a building with a blue umbrella -1.261105
4. a blue of a building with a blue and a blue umbrella on top of it -1.277339
5. a blue of a building with a blue and a blue umbrella -1.280045

(a) Ensemble of 4 Attention models (Att2in) trained with XE.

1. a blue boat is sitting on the side of a building -0.194627
2. a blue street sign on the side of a building -0.224760
3. a blue umbrella sitting on top of a building -0.243250
4. a blue boat sitting on the side of a building -0.248849
5. a blue boat is sitting on the side of a city street -0.265613

(b) Ensemble of 4 Attention models (Att2in) trained with SCST.

Source: https://arxiv.org/pdf/1612.00563.pdf

MSCOCO: objects out of context

1. a man in a red shirt standing in front of a green field -0.890775
2. a man in a red shirt is standing in front of a tv -0.897829
3. a man in a red shirt standing in front of a tv -0.900520
4. a man in a red shirt standing in front of a field -0.912444
5. a man standing in front of a green field -0.924932

(a) Ensemble of 4 Attention models (Att2in) trained with XE.

1. a man standing in front of a street with a television -0.249860
2. a man standing in front of a tv -0.256185
3. a man standing in front of a street with a tv -0.280558
4. a man standing in front of a street -0.295428
5. a man standing in front of a street with a frisbee -0.309342

(b) Ensemble of 4 Attention models (Att2in) trained with SCST.

Source: https://arxiv.org/pdf/1612.00563.pdf

Common pitfalls

What can go wrong

- Make sure agent didn't cheat R(s,a)
  - https://openai.com/blog/faulty-reward-functions/

- Model **can** overfit data
  - Check validation performance

Duct tape zone

Pre-train model in supervised mode
  - RL methods takes longer to train from scratch
- Take a look at policy-based tricks
  - Regularize with entropy / L2 logits
  - Better sampling techniques (tree, vine, etc.)
- Most seq2seq tricks apply
  - Use bottleneck If vocabulary is large
  - Some (but not all) softmax improvements

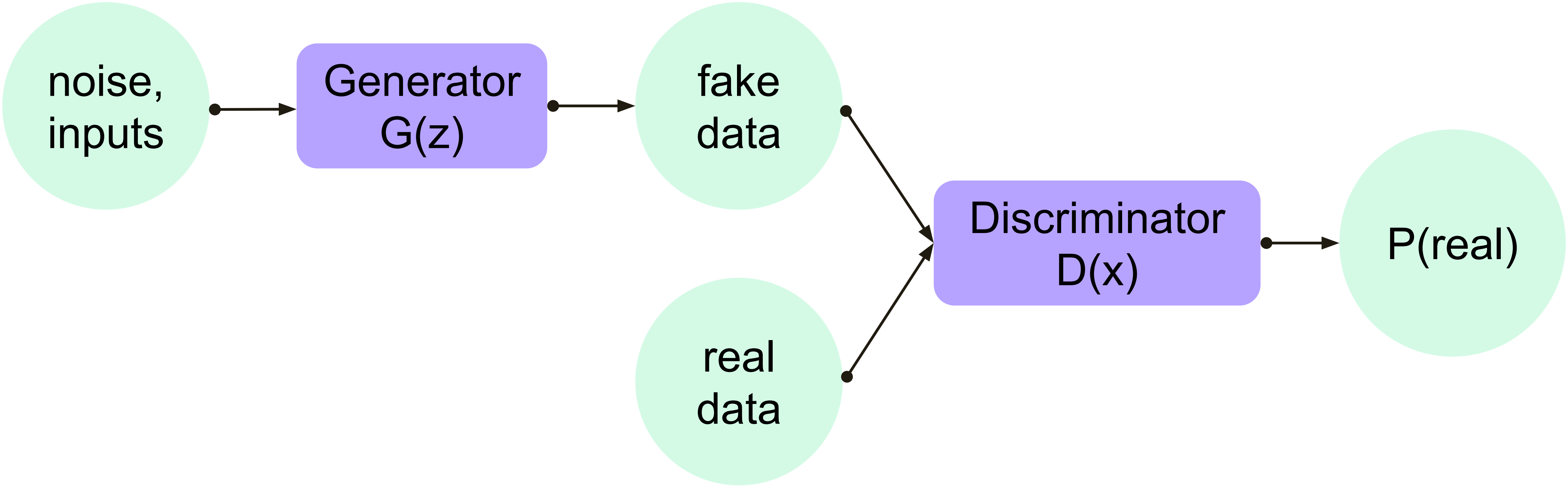
Q&A


I Am Devloper
@iamdevloper
Following
I've been using Vim for about 2 years now, mostly because I can't figure out how to exit it.
Reply
Retweet
Favorite
More
RETWEETS
4,846
FAVORITES
2,105
4:56 AM - 18 Feb 2014

Let's code!

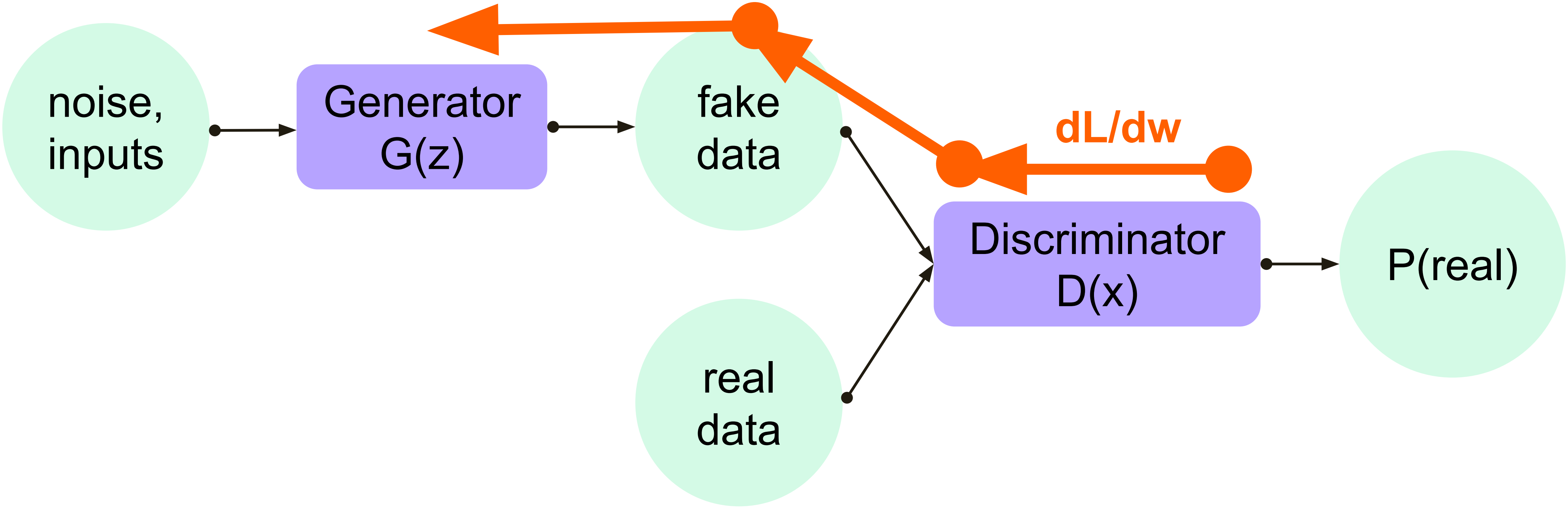
Bonus: discrete GANs

Generalized GAN scheme



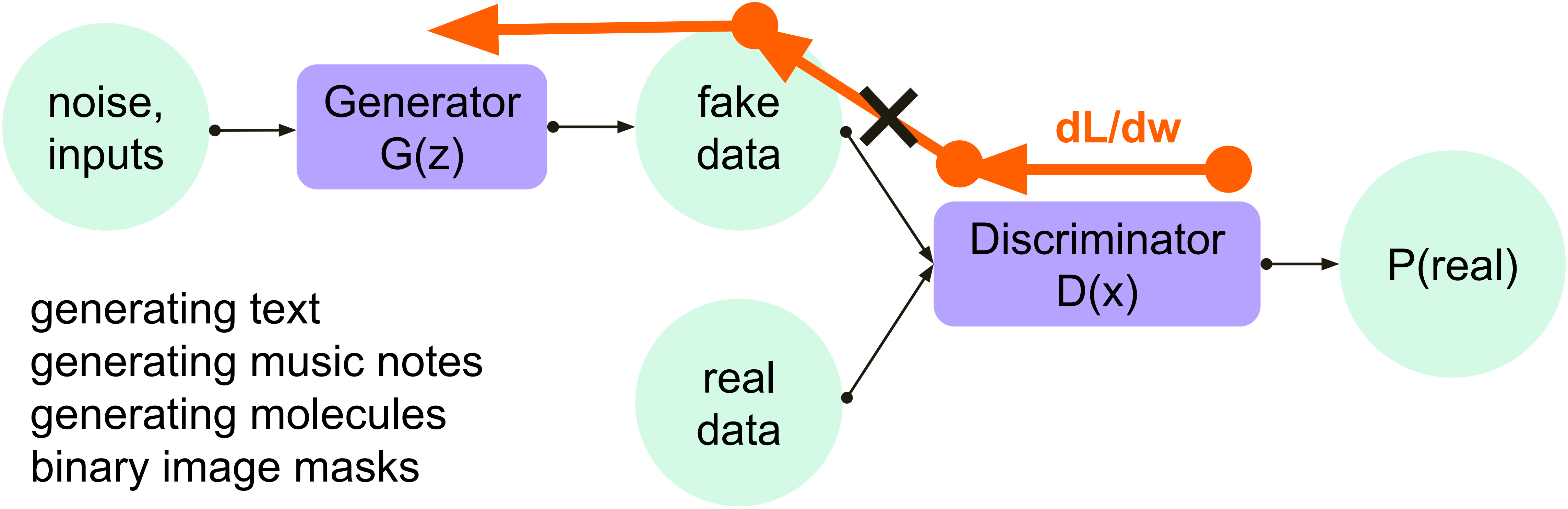
Bonus: discrete GANs

Generalized GAN scheme



Bonus: discrete GANs

Standard scheme fails if G(z) is **discrete**



- generating text
- generating music notes
- generating molecules
- binary image masks

Bonus: discrete GANs

We can train generator
with Reinforcement Learning methods!

$$\nabla J = \underset{\substack{z \sim p(z) \\ x \sim P(x|G_\theta(z))}}{E} \nabla \log P\left(x|G_\theta(z)\right) D(x)$$

Takeaway

We can fit discrete things with policy gradient:

- “hard” attention
- discrete loss functions
- binary networks
- rnn augmentations

Notes:

- It’s less computation-efficient than backprop
- Use SCST and other tricks where possible
- There are alternatives (e.g. gumbel-softmax)

Links

Great RL course (and source of this materials):
Practical RL

Great RL course by David Silver:
https://www.davidsilver.uk/teaching

Great book by Richard S. Sutton and Andrew G. Barto
Reinforcement Learning: An Introduction

@Rads_ai
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с текстовыми
разборами занятий

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Канал стажировок
Яндекса