

# Computer Chinese Chess

Tsan-sheng Hsu

徐讚昇

*tshsu@iis.sinica.edu.tw*

<http://www.iis.sinica.edu.tw/~tshsu>

# Abstract

- An introduction to research problems and opportunities in Computer Games.
  - Using Computer Chinese chess (象棋) as examples.
  - Show how theoretical research can help in solving the problems.
    - ▷ *Data-intensive computing: tradeoff between computing on the spot and using pre-stored knowledge.*
- Phases of games
  - Open game (開局): database
  - Middle game (中局): Search
  - End game (殘局): knowledge
- Topics:
  - Introduction
  - Construction of a huge knowledge base that is consistent
  - Playing rules for repetition of positions
  - Construction of huge endgame databases
  - Benchmark

# Introduction

## ■ Why study Computer Games:

- Intelligence requires knowledge.
- Games hold an inexplicable fascination for many people, and the notion that computers might play games has existed at least as long as computers.
- Reasons why games appeared to be a good domain in which to explore machine intelligence.
  - ▷ *They provide a structured task in which it is very easy to measure success or failure.*
  - ▷ *They did not obviously require large amount of knowledge.*

## ■ A course on teaching computers to play games was introduced at NTU in 2007.



電腦對局理論

# Predictions for 2010 – Status

- My personal opinion about the status of Prediction-2010 [van den Herik 2002] at October, 2010, right after the Computer Olympiad held in Kanazawa, Japan.

| solved           | over champion                             | world champion | grand master | amateur      |
|------------------|---|----------------|--------------|--------------|
| Awari            | Chess                                     | Go (9 * 9)     | Bridge       | Go (19 * 19) |
| Othello          | Draughts (10 * 10)                        | Chinese chess  | Shogi        |              |
| Checkers (8 * 8) | Scrabble<br>Backgammon<br>Lines of Action | Hex<br>Amazons |              |              |

- ▷ Over champion means definitely over the best human player.
- ▷ World champion means equaling to the best human player.
- ▷ Grand master means beating most human players.

- color code

- Red: right on the target.
- Blue: not so.
- Black: have some progress towards the target.

# Introduction

## ■ Western chess (西洋棋) programs.

- One of the important areas since the dawn of computing research.
  - ▷ *J. von Neumann, 1928, "Math. Annalen"*
  - ▷ *C.E. Shannon, 1950, Computer Chess paper*
  - ▷ *Alan Turing, 1953, chapter 25 of the book "Faster than thought", entitled "Digital Computers Applied to Games"*
- Beat the human champion at 1997.
- Many techniques can be used in computer Chinese chess programs.

## ■ Computer Chinese chess programs.

- About 7-dan.
- Computing research history: more than 30 years late.
  - ▷ *Started from about 1981.*

# Chess Related Researches

## ■ Chess related research:

- Open game.
  - ▷ *Databases.*
  - ▷ *Many pseudo theories with heavy human involvements.*
- Middle game searching.
  - ▷ *Traditional game tree searching.*
  - ▷ *In search of a good evaluating function.*
- Endgame.
  - ▷ *Heuristics and knowledge.*
  - ▷ *Computer constructed databases.*

# Properties of Chinese Chess

## ■ Several unique characteristics about Chinese chess.

- The usage of Cannon.
  - ▷ *It is possible to attack or protect a piece at a longer range.*
- Categories of defending and attacking pieces.
- The positions of Pawns.
  - ▷ *The mobility of the pawn is limited before crossing the river.*
  - ▷ *It cannot move backward.*
- Complex Chinese chess rules ( 棋規 ).
- Palace and the protection of kings.
- The value of each piece ( 子力價值 ). is highly dynamic:
  - ▷ *Although Knight  is roughly equal to Cannon  , Rook  + Knight  + Cannon  is better than Rook  + 2 Cannons   .*
  - ▷ *Knowledge inferencing among material combinations [Chen et al. 2007,2011].*

# Research Opportunities

## ■ Some research opportunities.

- Open game theories.
  - ▷ *Learning from a vast amount of prior human knowledge.*
  - ▷ *In great need of some breakthrough.*
- Much larger searching space:
  - ▷ *Western chess:*  $10^{123}$
  - ▷ *Chinese chess:*  $10^{150}$
  - ▷ *Deeper searching depth and longer game.*
- Game tree searching.
  - ▷ *The usage of materials.*
  - ▷ *Knowledge inferencing among material combinations.*
- Endgame: contains lots of pieces.
  - ▷ *The size of useful endgames is huge compared to that of Western chess.*
- Rules in facing of repetitions.

# **Construction of a huge knowledge base that is consistent**

# Motivations

- Computing of the material values is a crucial part of a good evaluating function for Chinese chess.
- Static material values:
  - King: 100
  - Guard/Minister: 2
  - Rook: 10
  - Knight/Cannon: 5
  - Pawn: 1
- Meanings:
  - A knight is about equal to a cannon.
  - A rook is about equal to two knights, two cannons, or a cannon plus a knight.
  - Three defending pieces are better than a knight, but two of them are as good.

# Dynamic piece value

- Values of pieces are dynamic depending on the combination.
  - It is better to have different types of attacking pieces.
    - ▷ Cannons can “jump” over pieces, rooks can attack in straight-lines, and knights can attack in a very different way.
    - ▷ Guards are better in protecting the king in facing a rook attack.
    - ▷ Guards are not good in protecting the king in facing a cannon attack.
- Examples:
  - Example 1:
    - ▷ KCPGMMKGGMM is a red-win endgame.
    - ▷ KNPGMMKGGMM is a draw endgame.
  - Example 2:
    - ▷ KPPKGG and KPPKMM are red-win endgames.
    - ▷ KPPKGM is a draw endgame.
  - Example 3:
    - ▷ KNPKGM and KNPKGG are red-win endgames.
    - ▷ KNPKMM is a difficult endgame for red to win.

# Usage of Endgame Knowledge

- Computer constructed endgame databases are too large to be loaded into the main memory during searching.
  - only useful at the very end of games.
- Human experts:
  - Studies the degree of “advantageous” by considering only positions of pawns and material combinations.
  - Lots of endgame books exist.

# Books



# Format

- **Granularity: 12 different levels by considering material combinations (子力組合) only.**

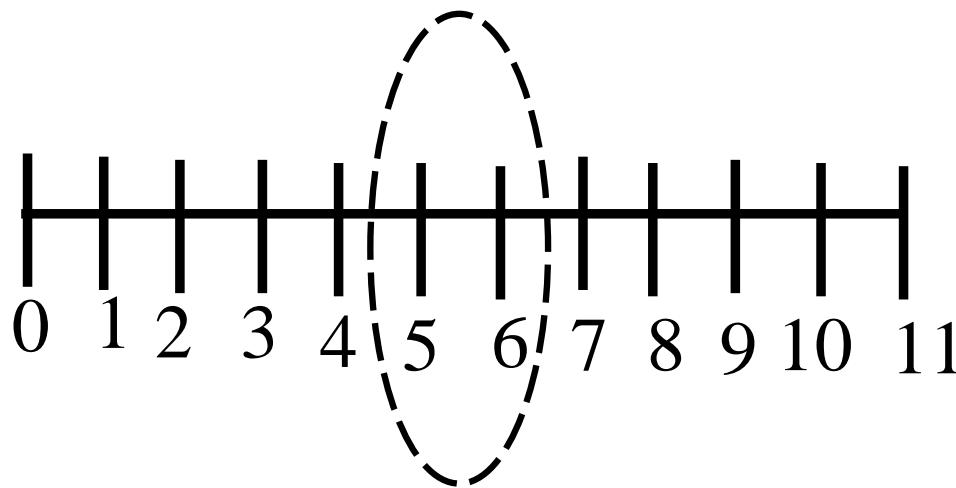
- ▷ 紅必勝(0): *The red side is almost sure to win.*
- ▷ 紅大優(1): *The red side is almost sure to win, but may be draw if the black side takes a very good position.*
- ▷ 紅佔優(2): *The red side has advantage, but has a chance to lose if the black side is in a very good position.*
- ▷ 紅巧勝(3): *The red side may win in some good positions, but in most cases it is a draw.*
- ▷ 紅難勝(4): *The red side has an advantage, but is very difficult to win.*
- ▷ 均勢(5): *Either side has a chance to win, i.e., tie.*
- ▷ 必和(6): *No side can win, i.e., draw.*
- ▷ 黑難勝(7): *The black side has an advantage, but is very difficult to win.*
- ▷ 黑巧勝(8): *The black side may win in some good positions, but in most cases it is a draw.*
- ▷ 黑佔優(9): *The black side has advantage, but has a chance to lose if the red side is in a very good position.*
- ▷ 黑大優(10): *The black side is almost sure to win, but may be draw if the red side takes a very good position.*
- ▷ 黑必勝(11): *The black side is almost sure to win.*

# Motivations

- There are many existing heuristics about Chinese Chess endgames.
  - Books.
  - Computer records.
  - Annotations from human experts.
  - ...
- Previously, efforts are spent to collect heuristics.
- Now, our problem is to compile a **consistent** set of heuristics.
  - Granularity.
  - Errors and contradictions.
    - ▷ *Input error.*
    - ▷ *Cognition error.*
    - ▷ *Approximation and conversion error.*
- Questions:
  - How to compile a consistent set of heuristics?
  - How can you choose the “right” one when you have two different selections?
  - How can you easily detect a potential conflict?
    - ▷ *It is difficult to be 100% sure that there is no conflict.*

# Comments

- Numerical scale.



- We do not assume every endgame has a fixed value by simply considering its material combination.
  - Many critical endgames have different values according to their positions.
- It is an **art** to integrate the values from material combinations into the evaluating function.

# Sources (I)

## ■ Books: about 10,000 combinations

- 象棋實用殘局
- 新殘棋例典 1, 2, 3, 4, 5, 6
- 象棋基本實用殘局詳解
- 圖說象棋殘局
- 象棋殘局基礎
- 巧勢殘局
- 馬兵專集
- 馬兵專集增補
- 炮兵專集
- ...

# Sources (II)

- Computer constructed endgames: about 2,500.
- Endgames input by a human expert: about 17,000.
  - Using a web interface to manually input results of endgames with very few total number of attacking pieces.
- Using expert systems and rules to do inference: about 110,000.
  - Differ from collected endgames by one piece after removing some meaningless ones.
  - Bo-Nian Chen and Pangfeng Liu and Shun-Chin Hsu and Tsan-sheng Hsu, "Knowledge Inferencing on Chinese Chess Endgames," *Proceedings of the 6th International Conference on Computers and Games (CG)*, Springer-Verlag LNCS# 5131, pages 180–191, 2008.
- Total: 140,320 out of the possible 2,125,764 feasible combinations.

# Problems

## ■ Human mistakes.

- Different conclusions from different sources, e.g., books.
  - ▷ *Different conclusions were made in different eras.*
  - ▷ *Different conclusions were made by different authors.*
  - ▷ *Some books discuss an endgame extensively with detailed positions, but have no general conclusions.*

## ■ Algorithmic mistakes.

- Our algorithm for computer inferred endgame values has a roughly 90% of correctness.

## ■ Granularity.

- Some books only record results using a win-loss-draw format, not in 10 levels as we do.
- Perfect endgame databases obtained by retrograde analysis contain winning rates, not a 12-level value.
  - ▷ *How to convert rates to levels?*

# How to detect conflicts – Basics

## ■ Piece additive rule:

- The result of an endgame cannot get worse by
  - ▷ *gaining extra pieces on your side;*
  - ▷ *losing pieces on your opponent's side.*
- The result of an endgame cannot get better
  - ▷ *by losing pieces on your side;*
  - ▷ *if your opponent gains piece.*

## ■ Rule of defensive pieces, i.e., Elephant and Guard.

- The result of an endgame cannot **normally** be greatly changed by gaining/losing an extra defensive piece.

## ■ Rule of draw and tie:

- It is a **draw** if no side can win.
- It is a **tie** if either side can win.
- An endgame cannot usually be turned from tie into draw by using the piece additive rule.

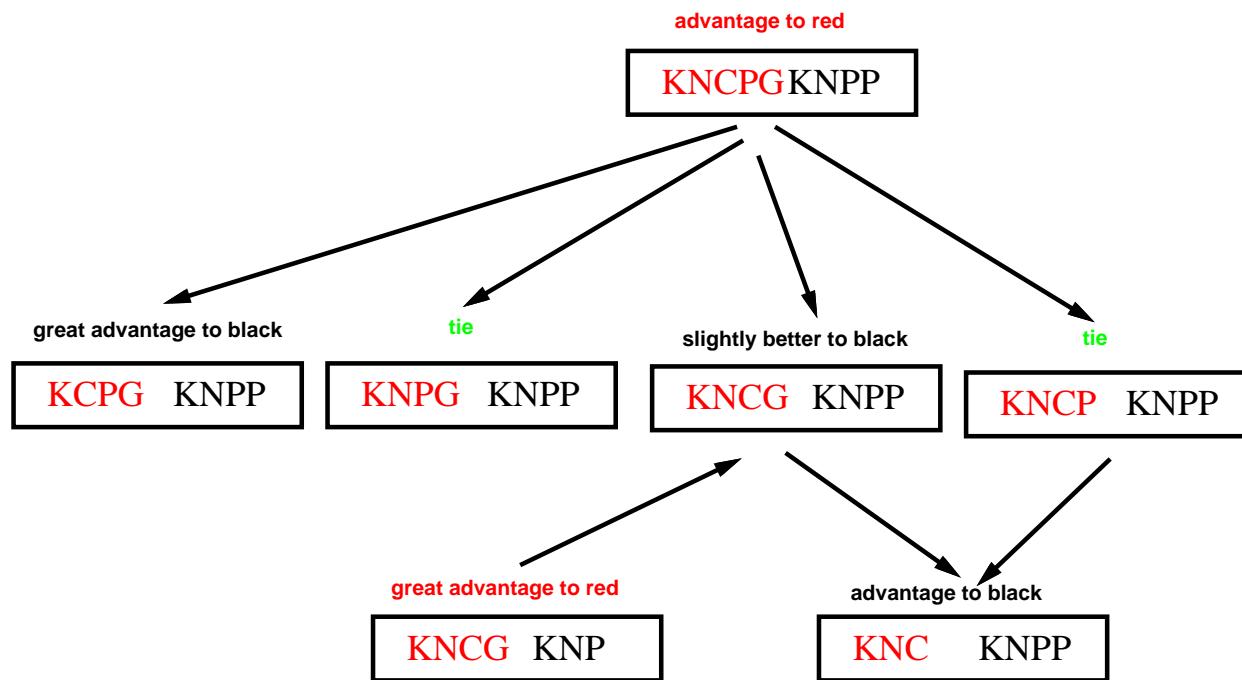
# How to detect conflicts – Process

- Procedure: check rules for endgames that we have already collected.
  - Piece additive rule.
  - Rule of defensive pieces, i.e., Elephant and Guard.
  - Rule of draw and tie.
- Using relations between endgames, not just endgames themselves to check for potential conflicts.
  - Similar activities applied for human cognitive process.

# A graphic view

## ■ A graph theoretical model.

- vertex: an endgame
- edge: between two vertices  $u$  and  $v$  if they follow the piece additive rule.
  - ▷ the direction from  $u$  to  $v$  if the value of  $u$  must be no worse than that of  $v$ .

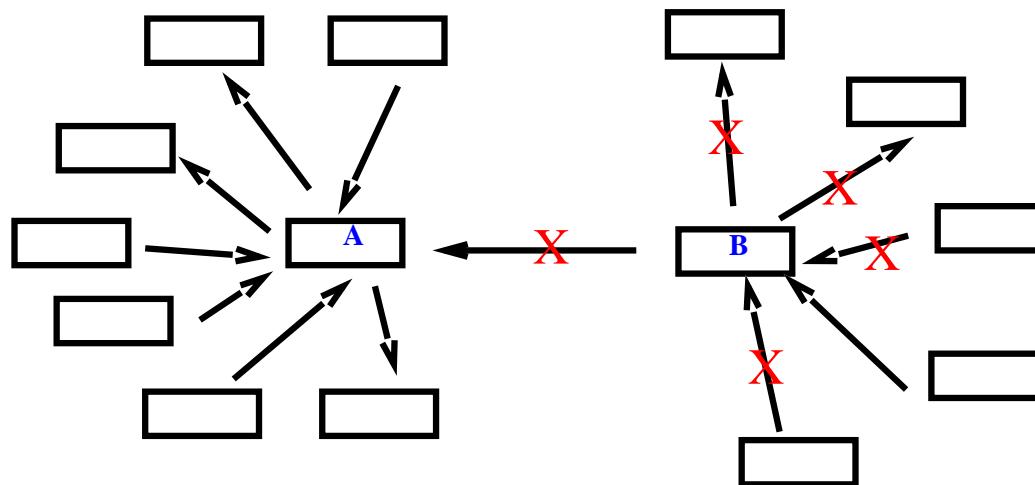


# High level ideas

- Assume the major part of the heuristics are correct.
- A **conflict** is an edge such that the values between them does not follow the piece additive rule.

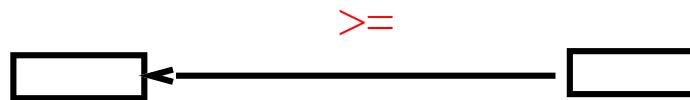


- The vertex who has a large percentage of conflicts is more likely to be incorrect.

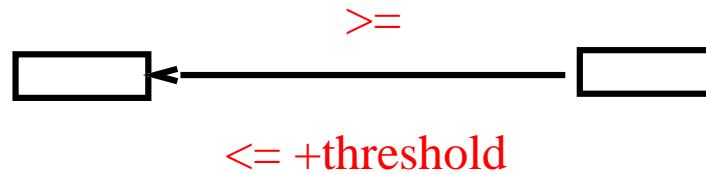


# Enhanced ideas

- A **potential conflict** is an edge such that the difference in values between the endpoints is more than a threshold, say 3, and the two connected endgames follow the rule of the defensive pieces.
- Original relation.



- Enhanced relation.

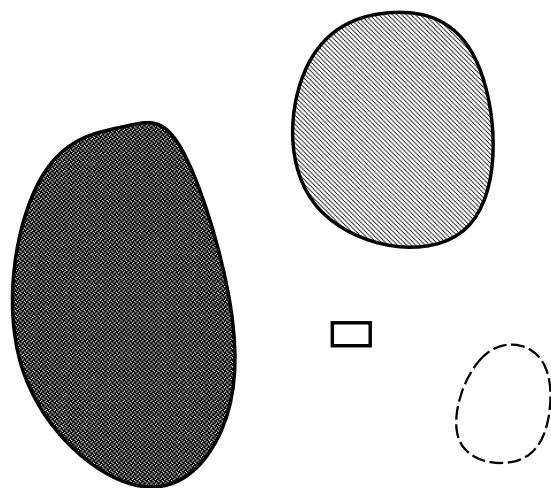


# Algorithm

- For each endgame, compute the percentage of conflicts, which is the ratio between the number of “corrected” relations and the number of total relations.
- Identify the ones with the large percentage of conflicts and either use human or an automatic procedure to re-assign its value.

# Potential problems for our approach

- A cluster of endgames all with consistent errors.
- A sparse or isolated cluster where inter-relation is few.
- A vertex can have a wide range of possible values due to the fact the values of its neighbors are much higher or lower than it.
- Solution: randomly select endgames in different clusters and verify them by human experts.



# Remarks

- Out of about 140,320 endgames, there are about 1/12 severe errors (ones whose corrected values differ from the original values by at least 3).
- A total of 1/3 endgames are revised.
- A period of 1 year is spent to obtain a consistent set of heuristics where it is almost impossible to manually check the consistency of the collection of endgames previously.

# Ongoing work

- More testing and analysis are needed.
- Using graph theoretical techniques to further process the data.
  - Assign a different weight to a different type of relations, and use the weight to find the ones that are most likely to be incorrect.
  - More inferencing rules that are not just between direct neighbors.
    - ▷ *Piece exchanges: you cannot get better by exchanging a stronger piece with a weaker piece.*
    - ▷ *Depending on the piece involved, assign a confidence factor, e.g., adding a rook and adding a knight have different levels of confidence.*
- Use expert system to do a better job in self-correcting.
- Test how much it can improve the performance of a Chinese chess program.
- Further usage:
  - Tutoring
  - E-learning
  - Knowledge abstraction

# Rules for repetitions

# Chinese Chess Special Rules (1/2)

- A player cannot avoid the losing of the game or important pieces by forcing the opponent to do repeated counter-moves.
  - Checking the opponent's king repetitively without chance of checkmate.
    - ▷ *Asia rule example #2.*
  - Chasing an unprotected opponent's piece repetitively without chance of capturing it.
    - ▷ *Asia rule example #19.*
  - Threatening (to checkmate) repetitively without chance of realizing the threat.
    - ▷ *Asia rule example #31.*
- Not a problem for Western chess.
  - Cycles mean draw.
  - It is difficult to force a repetition when one side is not willing to do it.
- A big problem for Chinese chess.
  - The king cannot move out of the palace.

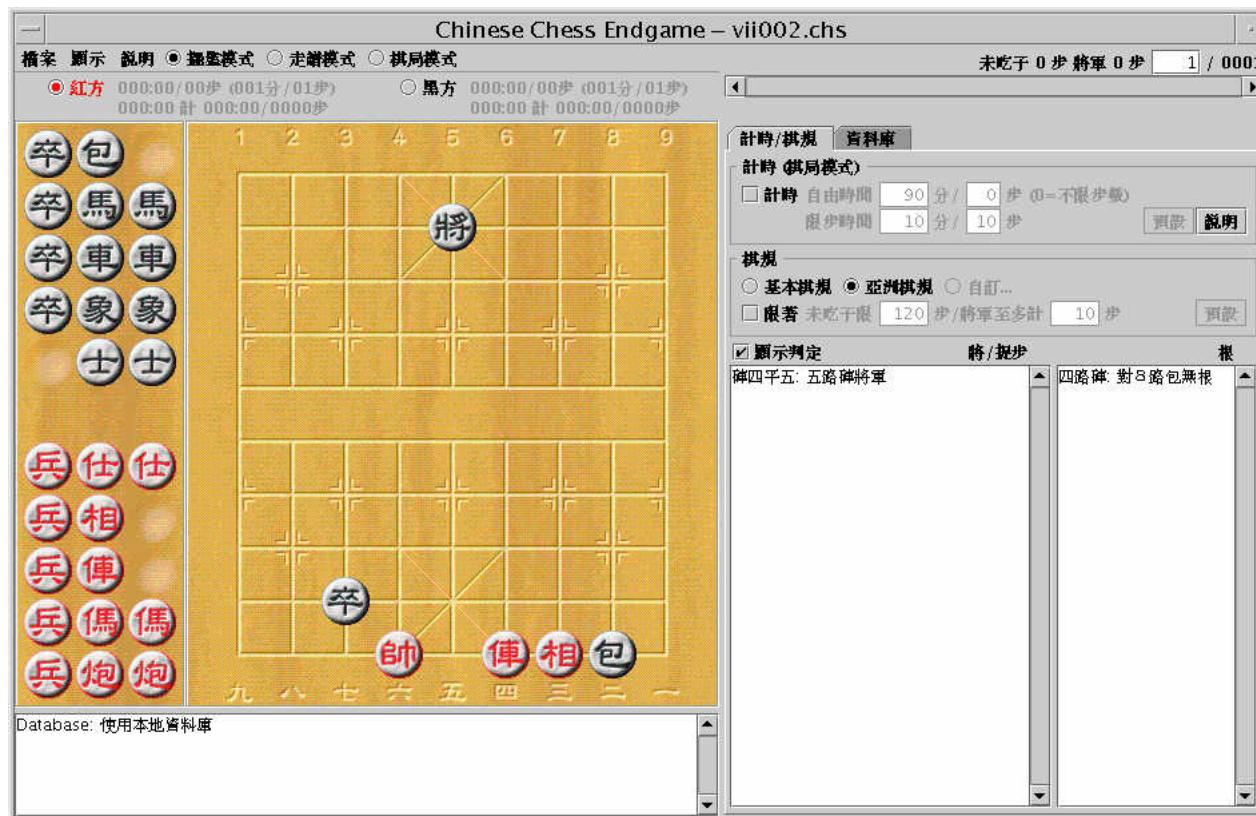
# Chinese Chess Special Rules (2/2)

- You can always “chase” a protected opponent piece because the opponent can decide to exchange it with your attacking piece.
- Sometimes it is difficult to check whether a piece is *truly* or *falsely* protected.
  - Asia rule example #39.
  - Asia rule example #105.

# Asia Rule Example #2

## ■ Checking the opponent's king repetitively with no hope of checkmate.

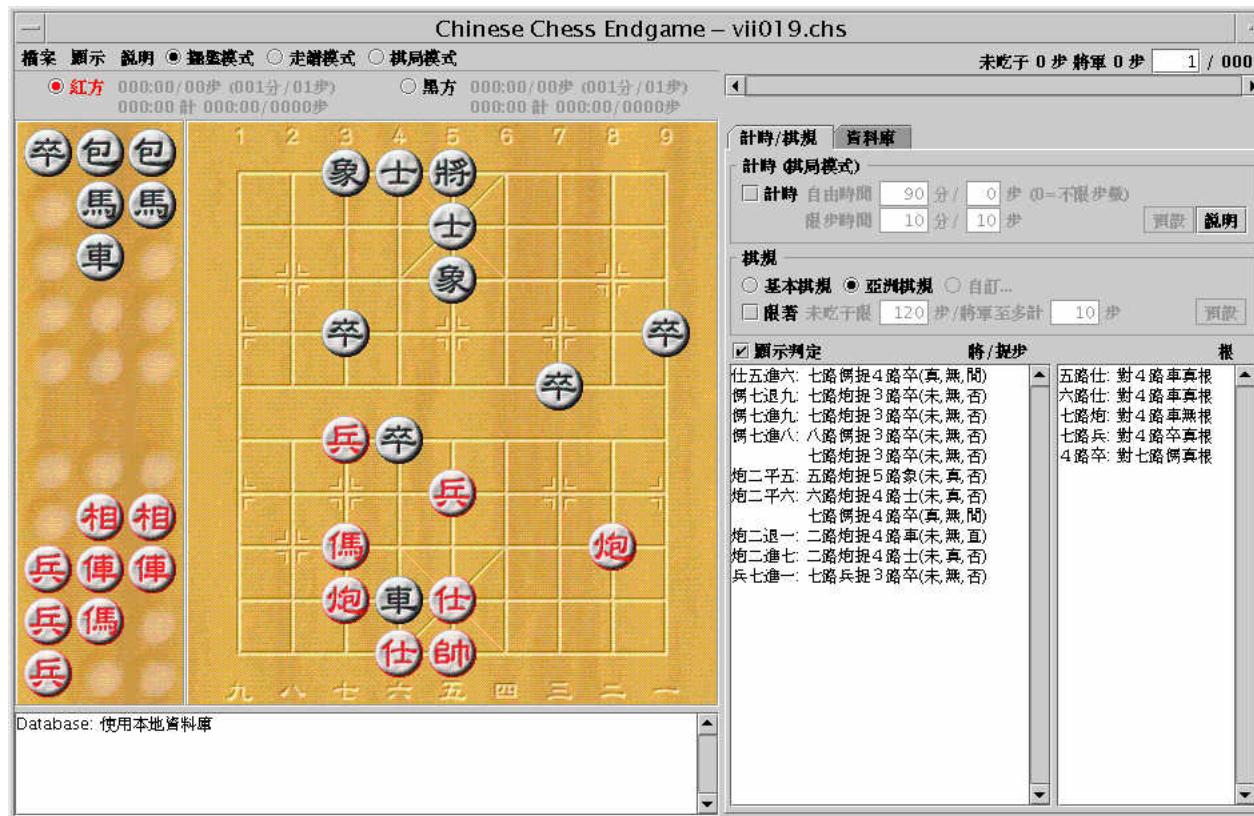
- ▷ *R4=5,K5=6,R5=4,K6=5,...*
- ▷ *Red Rook checks Black King.*



# Asia Rule Example #19

- Chasing an unprotected opponent's piece repetitively with no hope of capturing it.

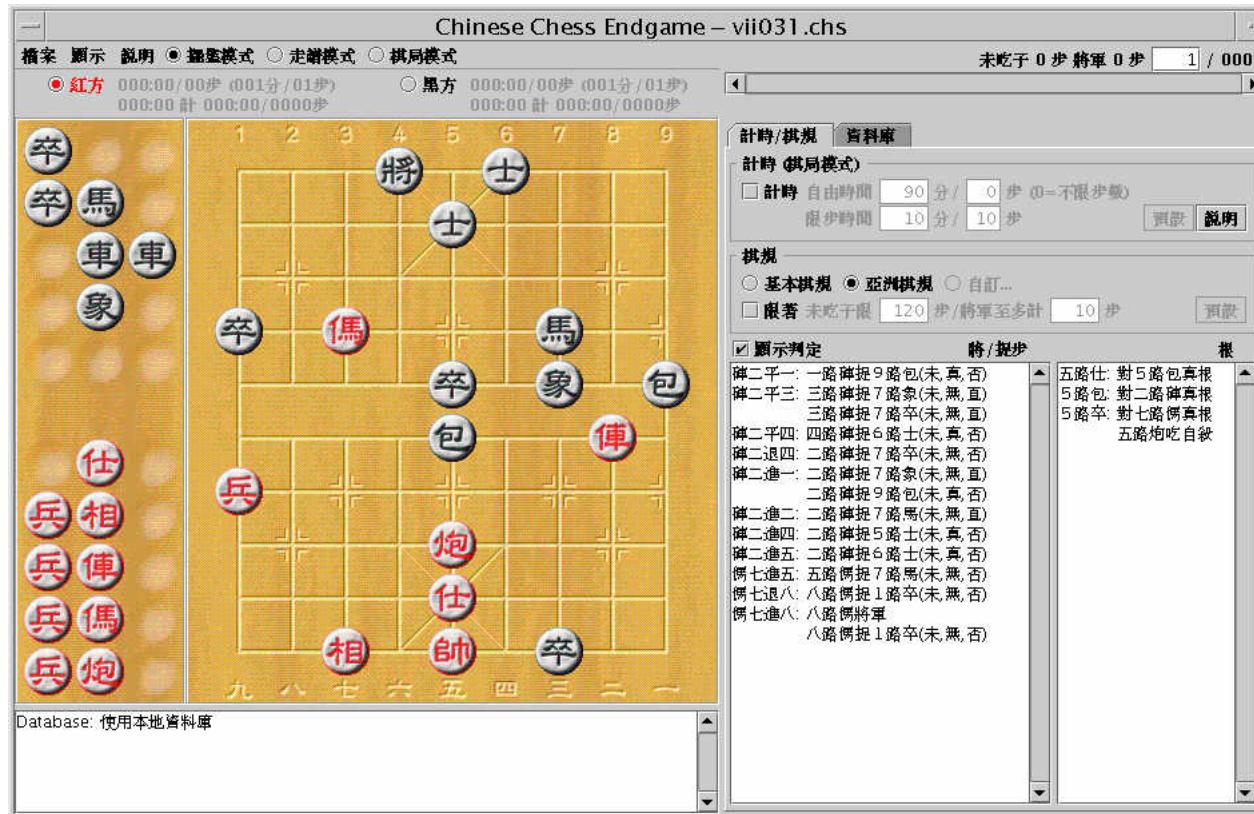
- ▷ C2–1,R4–2,C2+2,R4+2,...
- ▷ Red Cannon at the 2nd column chases Black Rook.



# Asia Rule Example #31

- Threatening (to checkmate) repetitively with no hope of realizing the threat.

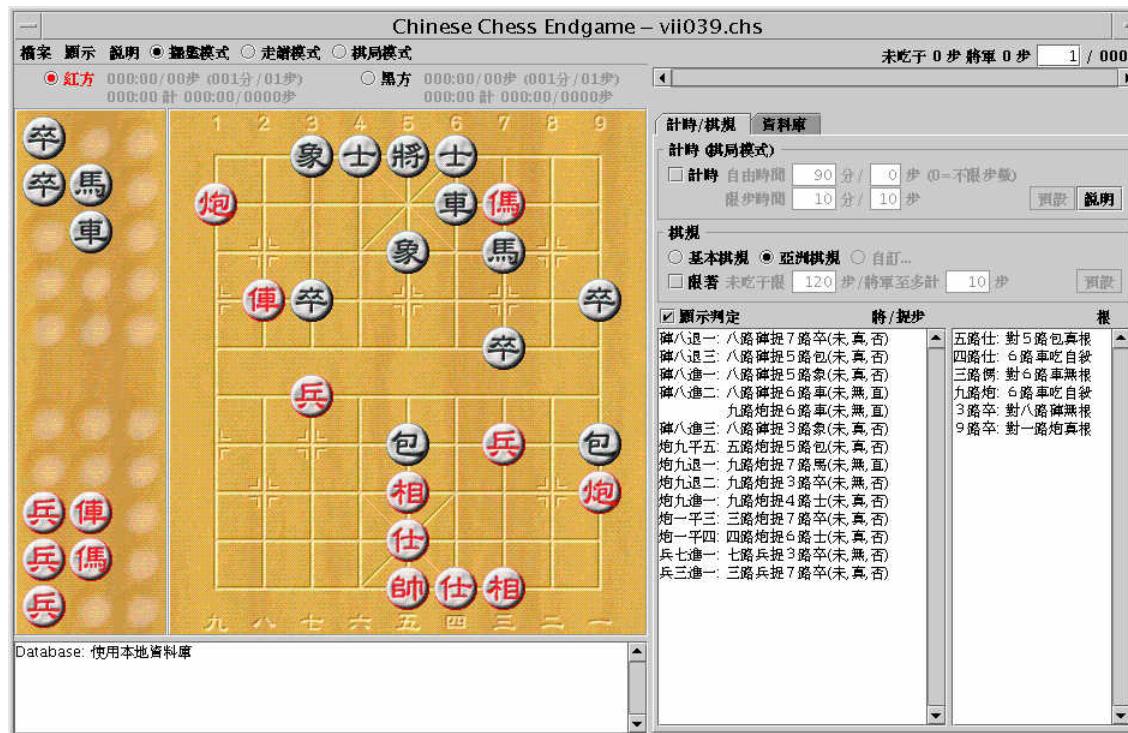
- $R2=1, C9=8, R1=2, C8=9, \dots$
- Black Cannon at the 9th column threatens to checkmate.



# Asia Rule Example #39

- Sometimes it is difficult to check whether a piece is truly or falsely protected: the definition of a protector is complicated.

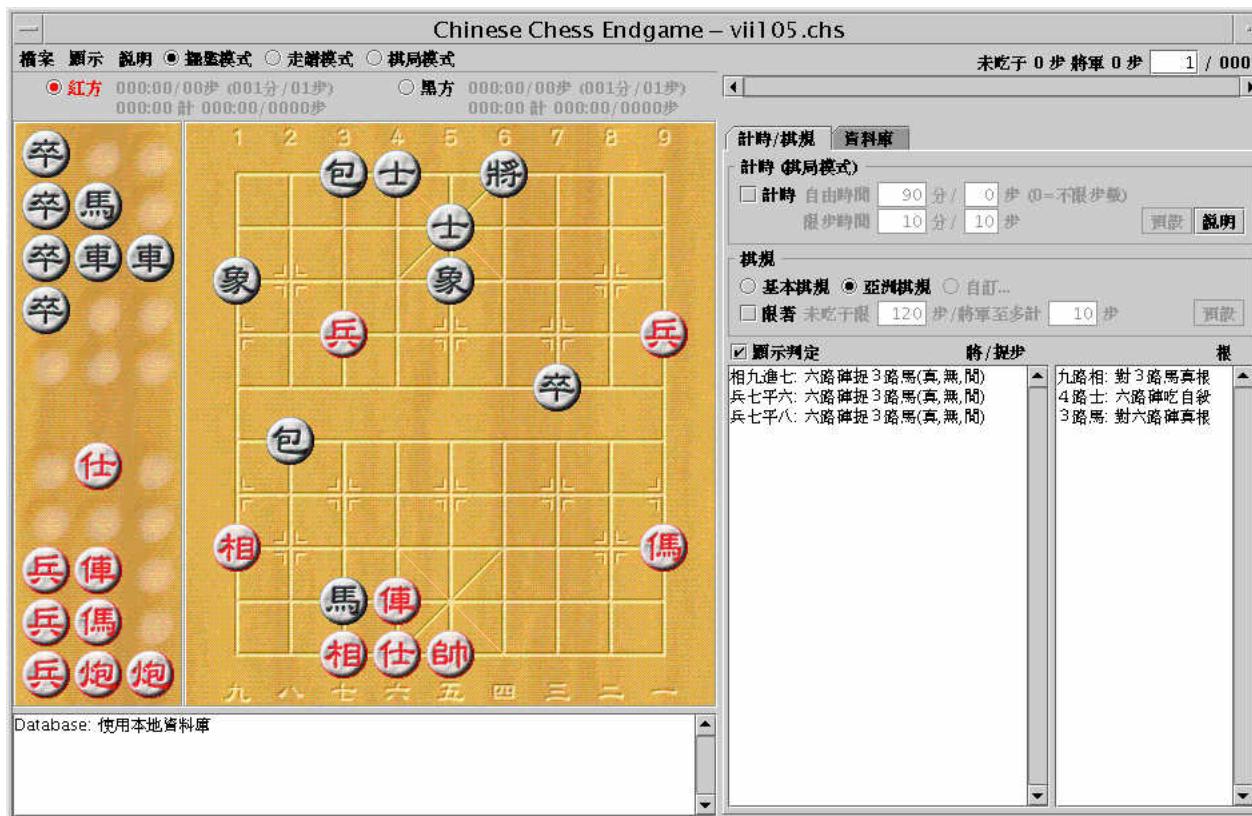
- ▷ *R8+2,G6+5,R8-3,G5-6,...*
- ▷ *Red Knight at the 2nd column is not protected.*
- ▷ *Black Rook at the 6th column cannot threaten.*



# Asia Rule Example #105

- Sometimes it is difficult to check whether a piece is truly or falsely protected: you can block a protector.

- ▷  $P7=6, M1+3, P6=7, M3-1, \dots$
- ▷ The protector of Black Knight at the 7th column is blocked.



# Types of rules

## ■ Two main categories:

- Asian version (2003)

- ▷ *Supported by Asian Chinese Chess Association.*
- ▷ *Simple and effective.*
- ▷ *Is not really “fair” in certain complex cases.*
- ▷ *Taiwan version (2007) is based on Asian version.*

- PRC version (1999)

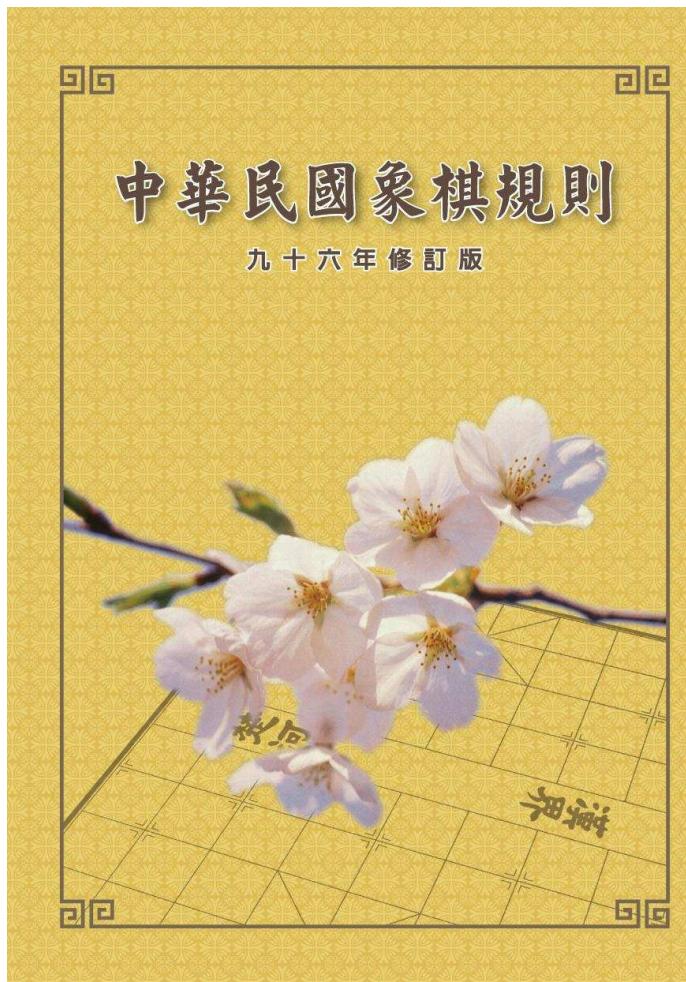
- ▷ *Supported by the PRC Chinese Chess Association.*
- ▷ *A national standard.*
- ▷ *Developing still in progress: latest version dated 1999.*
- ▷ *Try to be as complete and ”fair” as possible.*

## ■ Problems in computer implementation:

- “Rules” are vague.
- Often illustrated with examples.

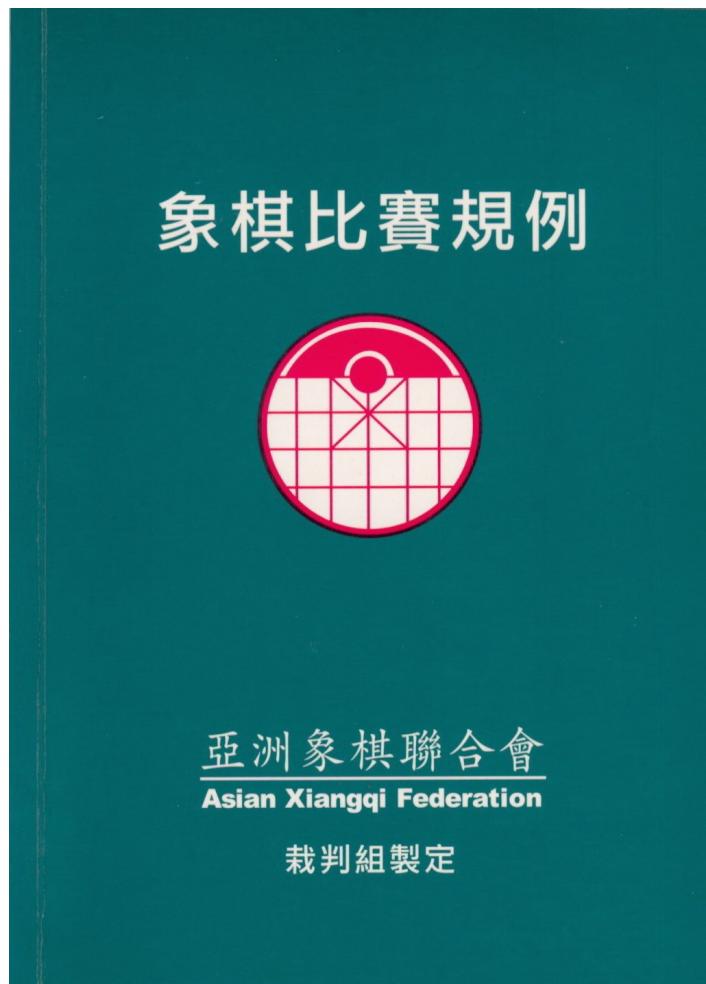
# Rules: Taiwan Version

- 41 pages (2007).



# Rules: Asian Version

- 96 pages (2003).



# Rules: PRC Version

- 329 pages (1999).



# Rules: Problems About the PRC Version

- 317 pages (2000).



# Current solutions

- **Current treatment of special rules:**

- **Avoid them at all: do not play repeated positions.**
  - ▷ *May lose advantage.*
  - ▷ *Must allow loops in endgame construction.*
- **Special cases:**
  - ▷ *Only one side has attacking pieces: all are implemented.*
  - ▷ *One side has only a pawn and some defending pieces: can be affected by special rules.*
- **Partial treatment:**
  - ▷ *Implement only the rules related to “checking.”*
  - ▷ *Implement some “chasing” rules.*
  - ▷ *Verify whether special rules can affect an endgame.*

- **We need a throughout understanding of special rules to build larger endgame databases.**

# Special Rules: Previous Results

- Partial treatment may build imperfect databases.
  - [Fang, Hsu & Hsu 2000].
  - Up to 17.3% for the checking rule in KRKNMM (  vs.    ) [Fang, Hsu & Hsu 2002].
  - Jih-tung Pai [Private communication 2003] implemented a variation of [Fang, Hsu & Hsu 2002].
- Look for necessary conditions when databases can be stained by special rules.
  - Selected 50+ databases are verified [Fang 2004].

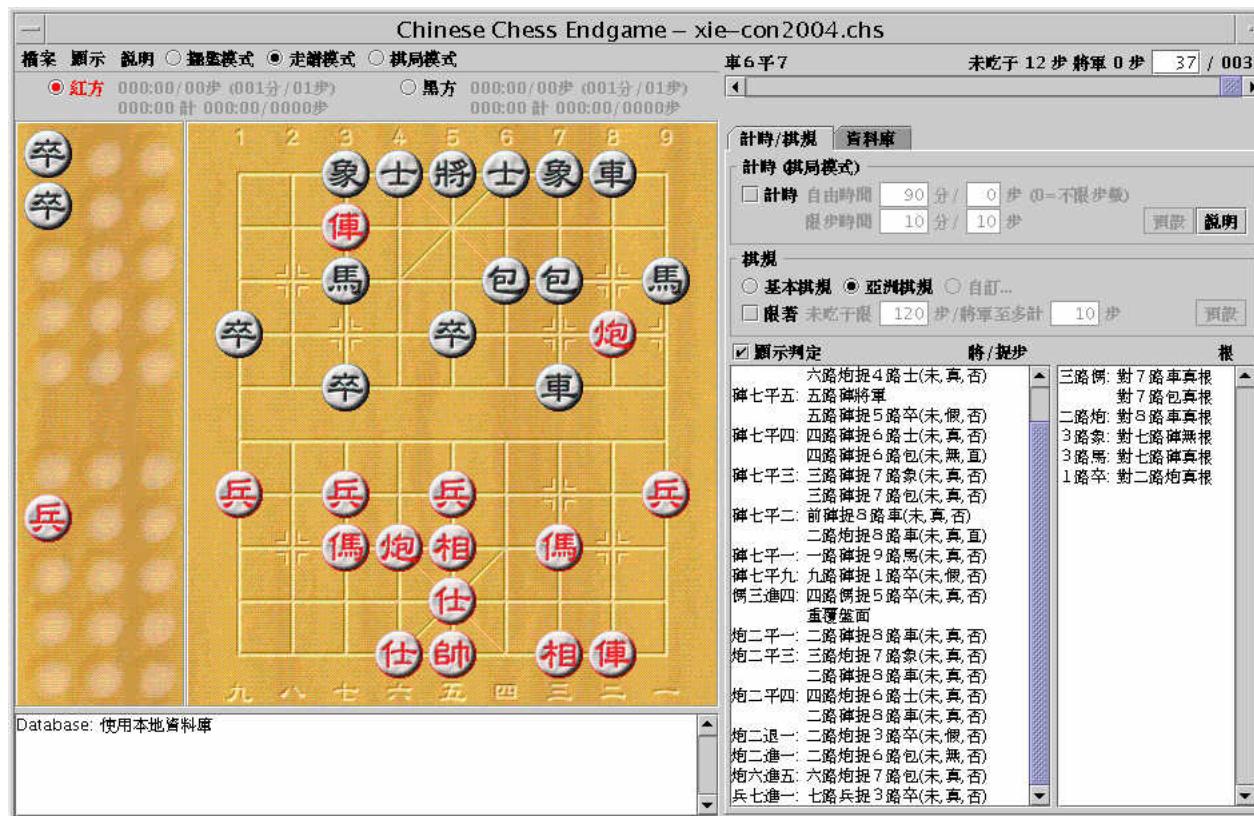
# Special Rules: Work in Progress

- May affect the correctness of evaluation functions.
  - Xie Xie vs. Contemplation in the first WCCCC (Year 2004).
    - ▷ *Less than 3 % of the games played.*
  - About 5% of the games played in the 10th Computer Olympiad (October 2005) need to utilize special rules.
- Usage of logic and graph theory in an algorithmic context to describe the Asian version.
  - To explain all examples.
  - To abstract hidden experts' knowledge.
  - To obtain fast computer implementations.
- Still a long way to go for the PRC version.

# Xie Xie vs. Contemplation at WCCCC 2004

- Red: Contemplation.
- N3+4,R7–6,N4–3,R6–7,...

- ▷ Red Knight at 3rd column is protected.
- ▷ The game ended in a draw.



# Snapshot of the rules

any pieces in between; otherwise it is FALSE.

- $\text{is\_stallmate}(P)$  (困斃) =  $(\text{move\_gen}(P) = \emptyset)$ .

7. Let  $R = (P_1, P_2)$  be a ply. Let  $P_1 = (B_1, \text{player})$ .

- $\text{is\_suicide}(R)$

(自殺步：走之前沒有困斃、王見王或被將，但走之後對手可以一步之內使你困斃、王見王或被將，因此必輸)

$$= (\neg \text{is\_stallmate}(P_1) \wedge \exists m \in \text{move\_gen}(P_2), \text{is\_stallmate}((P_2, m))) \vee$$

$$(\neg \text{is\_KFK}(P_1) \wedge \text{is\_KFK}(P_2)) \vee$$

( $\exists$  a piece  $p$ ,

$$p \notin \text{pieces\_attacking\_king}((B_1, \neg \text{player})) \wedge p \in \text{pieces\_attacking\_king}(P_2)).$$

8. Let  $R = (P_1 = (B_1, \text{player}), P_2 = (B_2, \neg \text{player}))$  be a ply. Let  $p$  and  $\text{kind}(q) \neq \text{KING}$  be two pieces in  $B_1$  where  $\text{capturing\_move}(P_1, p, q) \in \text{move\_gen}(P_1)$ .

- $\text{is\_forced\_capture}(R)$

(將軍抽子：走之前沒有將到對手的王，但走吃子步之後將軍)

$$= (\text{pieces\_attacking\_king}(P_1) = \emptyset) \wedge (\text{is\_in\_check}(P_2)),$$

9. Let  $B_1$  be a board configuration. Let  $p, q$  and  $r$  be three pieces in  $B_1$ ,  $\text{capturing\_move}(P_1, p, q) \in \text{move\_gen}(P_1)$ , and  $\text{capturing\_move}(P_2, r, p) \in \text{move\_gen}(P_2)$ , where  $\text{owner}(p) = \text{player}$ ,  $P_1 = (B_1, \text{player})$ , and  $P_2 = \text{position\_after}(\text{capturing\_move}(P_1, p, q))$ . Let  $P_2 = (B_2, \neg \text{player})$  and let  $P_3 = \text{position\_after}(\text{capturing\_move}(P_2, r, p))$ .

- $\text{can\_be\_protected}(B_1, p, q, r)$

(在  $p$  可以吃到  $q$  的盤面組合  $B_1$  中，若  $p$  吃  $q$ ，則  $r$  可以自由離線回吃  $p$ ；目前自由離線的定義為：不能是自殺步。因此，若對手是將軍抽子，代表是可以自由離線。)

# Construction of huge endgame databases

# Endgame Databases

## ■ Chinese chess endgame database:

- Indexed by a sublist of pieces  $S$ , including both Kings.

| K    | G     | M        | R    | N      | C      | P    |
|------|-------|----------|------|--------|--------|------|
| King | Guard | Minister | Rook | Knight | Cannon | Pawn |
| 帥 將  | 仕 士   | 相 象      | 傌 車  | 傌 黑    | 炮 包    | 兵 卒  |

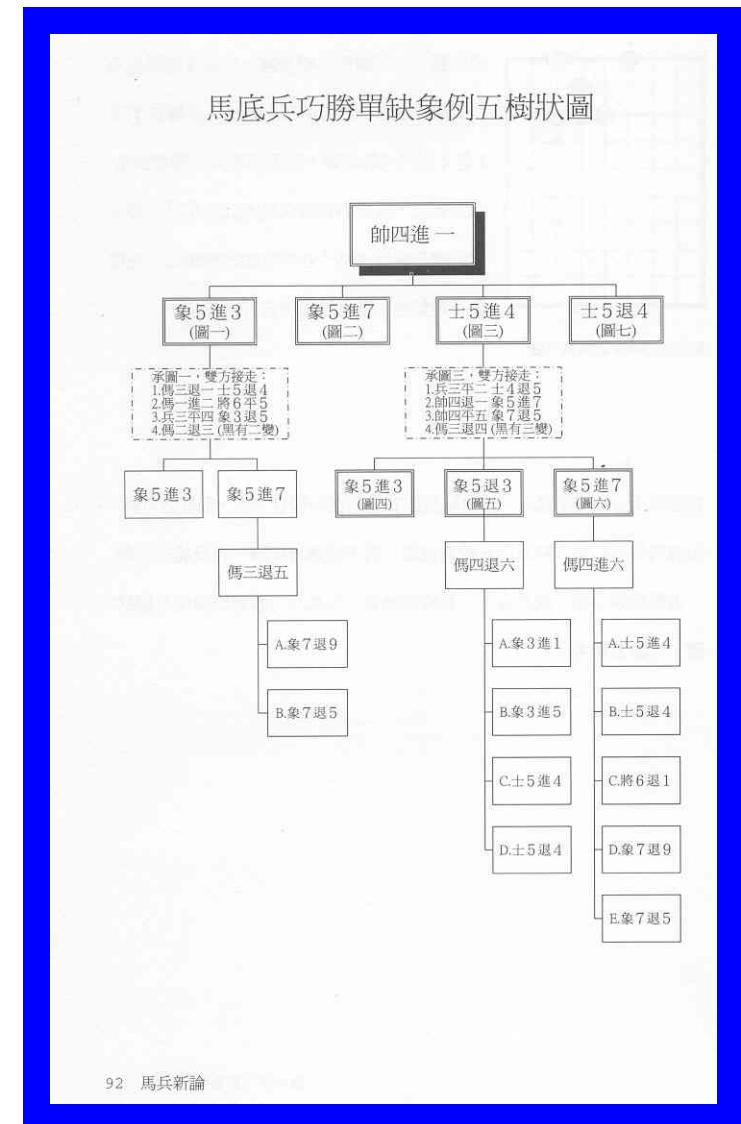
▷  $KCPGGMMKGGMM$  ( 炮 兵 仕 仕 相 相 vs. 士 士 象 象 ):  
the database consisting of RED Cannon and Pawn, and Guards and Ministers from both sides.

- A position in a database  $S$ : A legal arrangement of pieces in  $S$  on the board and an indication of who the next player is.
- Perfect information of a position:
  - ▷ What is the best possible outcome, i.e. win/loss/draw, that the player can achieve starting from this position?
  - ▷ What is a strategy to achieve the best possible outcome?
- Given  $S$ , to be able to give the perfect information of all legal positions formed by placing pieces in  $S$  on the board.
- Partial information of a position:
  - ▷ win/loss/draw; DTC; DTZ; DTR.

# Usage of Endgame Databases

- Improve the “skill” of Chinese chess computer programs.
  - KNPKGGMM (   vs.     )
- Educational:
  - Teach people to master endgames.
- Recreational.

# An Endgame Book



# Books



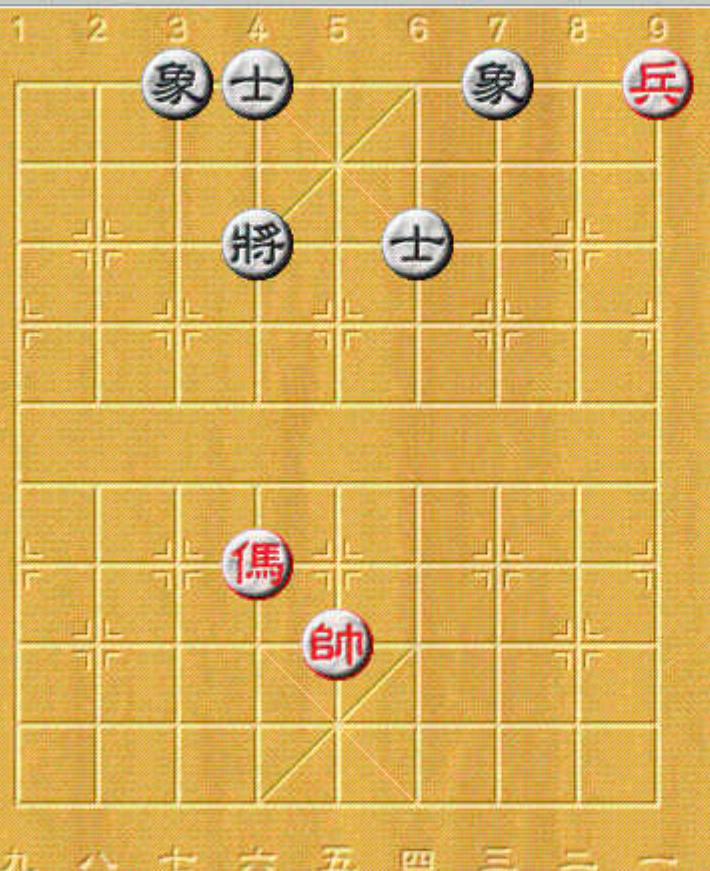
# Chinese Chess Endgame

檔案 顯示 說明 ● 摺盤模式 ○ 走譜模式 ○ 棋局模式

未吃子 0 步 將軍 0 步 1 / 0001

● 紅方 000:00/00步 (001分/01步)  
000:00 計 000:00/0000步

○ 黑方 000:00/00步 (001分/01步)  
000:00 計 000:00/0000步



Query: 2203010 (69, 174758) 66 步贏  
Query: 2203010 (69, 174764) 66 步贏  
Query: 2203010 (69, 174766) 66 步贏  
Query: 2203010 (69, 436299) 和  
Query: 2203010 (69, 174766) 66 步贏

計時/棋規 資料庫

資料庫執子  紅方  黑方

localhost  連線

更新  自動  顯示著手

飼六進七 66 步贏  
飼六進四 和  
飼六進五 和  
飼六進八 和  
飼六退四 和  
飼六退八 和  
飼六退七 和  
飼六退五 和  
兵一平二 和  
帥五平四 和  
帥五平六 和  
帥五退一 和

2203010  
索引  
檔案 (0-161) 69  
編號 - 174766 +  
共 522900 局

結果  
● 紅方 ○ 黑方  
● 勝負 ○ 長將 1  和  
66 步  贏  輸  
第 - 18 + 局  
共 18 局

統計  
棋局總數 105327000  
最大長將 0  
最大步數 (0)66|(0)66

最佳著手  其它著手  重複著手

Chinese Chess Endgame

檔案 顯示 說明 ● 摺盤模式 ○ 走譜模式 ○ 棋局模式

● 紅方 000:00/00步 (001分/01步) ○ 黑方 000:00/00步 (001分/01步)  
 000:00 計 000:00/0000步 000:00 計 000:00/0000步

未吃子 0 步 將軍 0 步 1 / 0001

棋譜/棋規 資料庫

資料庫執行子  紅方  黑方 localhost 連線

更新  自動  顯示著手

倒六進四 和  
倒六進五 和  
倒六進七 和  
倒六進八 和  
倒六退四 和  
倒六退八 和  
倒六退七 和  
倒六退五 和  
兵一平二 和  
帥五平四 和  
帥五平六 和  
帥五退一 和

2203010 索引

棋案 (0-161) 69  
編號 - 203899 +  
共 522900 局 檢索

結果

● 紅方 ○ 黑方  
 勝負  長將 1  和  
 66 步  畫  輪  
 第 - 18 + 局  
 共 15527336 局 檢索

統計

棋局總數 105327000  
 最大長將 0  
 最大步數 (0)66|(0)66

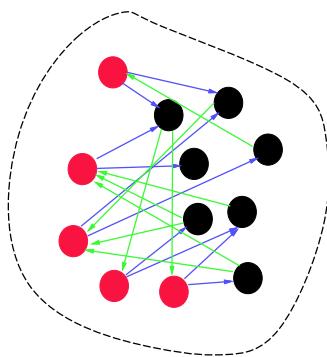
Query: 2203010 (69, 174764) 66 步贏  
 Query: 2203010 (69, 174766) 66 步贏  
 Query: 2203010 (69, 436299) 和  
 Query: 2203010 (69, 174766) 66 步贏  
 Query: 2203010 (69, 203899) 和

最佳著手 其它著手 重複著手

# Definitions

## ■ State graph for an endgame $H$ :

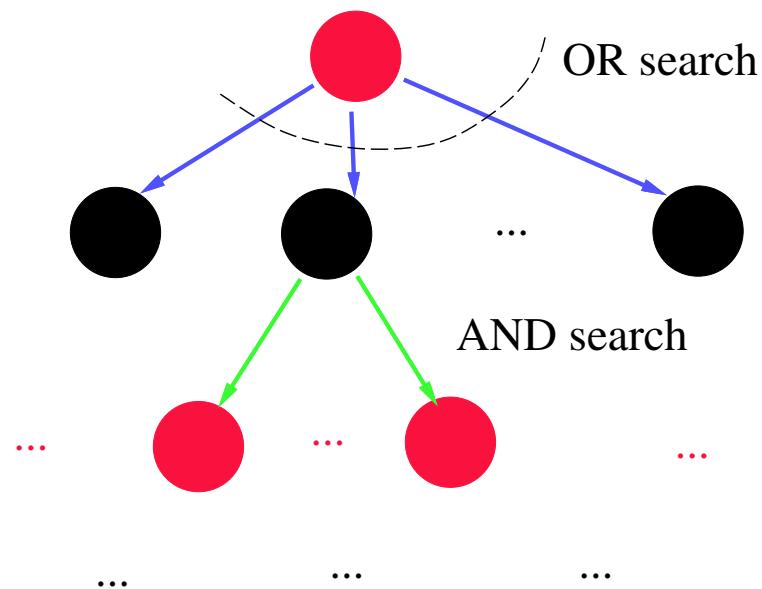
- Vertex: each legal placement of pieces in  $H$  and the indication of who the current player (Red/Black) is.
  - ▷ *Each vertex is called a position.*
  - ▷ *May want to remove symmetry positions.*
- Edge: directed, from a position  $x$  to a position  $y$  if  $x$  can reach  $y$  in one ply.
- Characteristics:
  - ▷ *Bipartite.*
  - ▷ *Huge number of vertices and edges for non-trivial endgames.*
  - ▷ *Example: KCPGGMMKGGMM has  $1.5 * 10^{10}$  positions and about  $3.2 * 10^{11}$  edges.*



# Overview of Algorithms

## ■ Forward searching: doesn't work for non-trivial endgames.

- AND-OR game tree search.
- Need to search to the terminal positions to reach a conclusion.
- Runs in exponential time not to mention the amount of main memory.
- Heuristics: A\*, transposition table, move ordering, iterative deepening
- ...



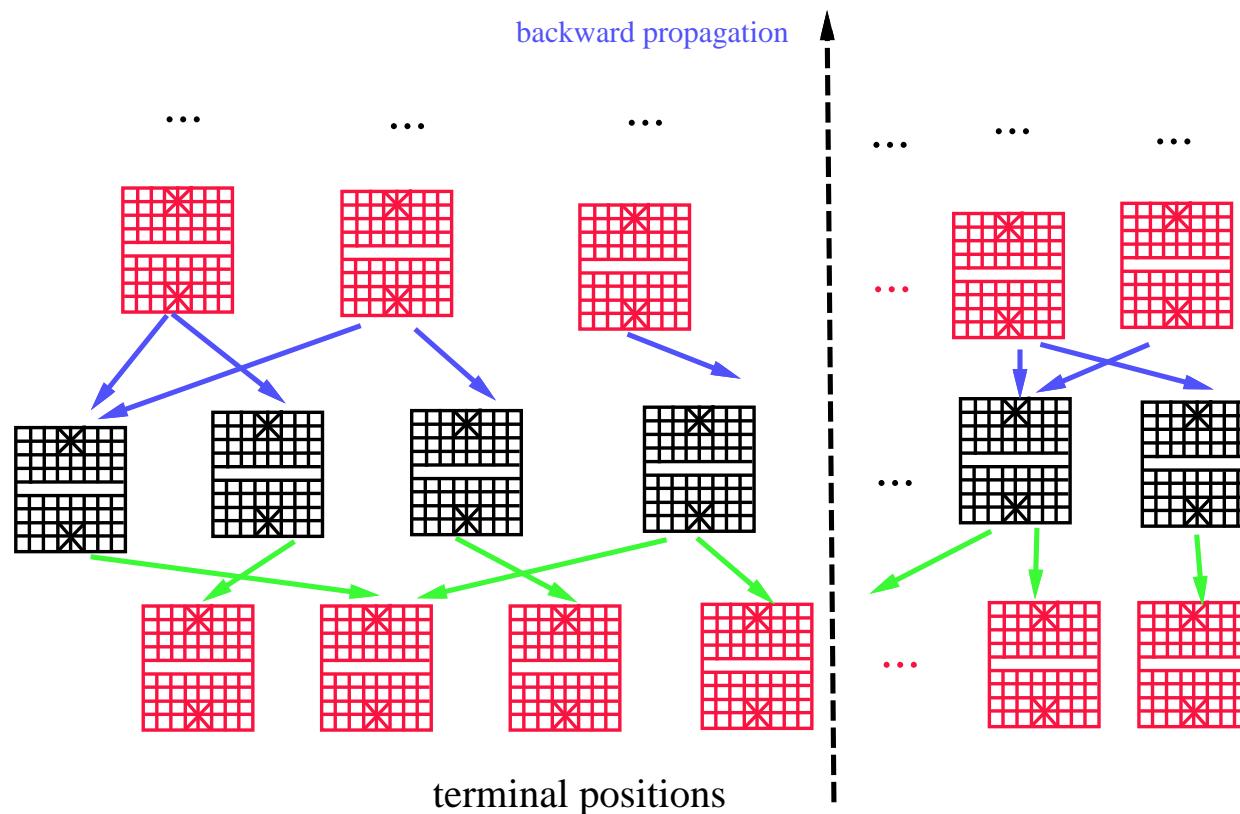
# Retrograde Analysis (1/2)

- First systematic study by Ken Thompson in 1986 for Western chess.
  - Retrograde analysis (回溯分析)
- Algorithm:
  - List all positions.
  - Find all positions that are initially “stable”, i.e., solved.
  - Propagate the values of stable positions backward to the positions that can reach the stable positions in one ply.
    - ▷ *Watch out the and-or rules.*
  - Repeat this process until no more changes is found.

# Retrograde Analysis (2/2)

## Critical issues: time and space trade off.

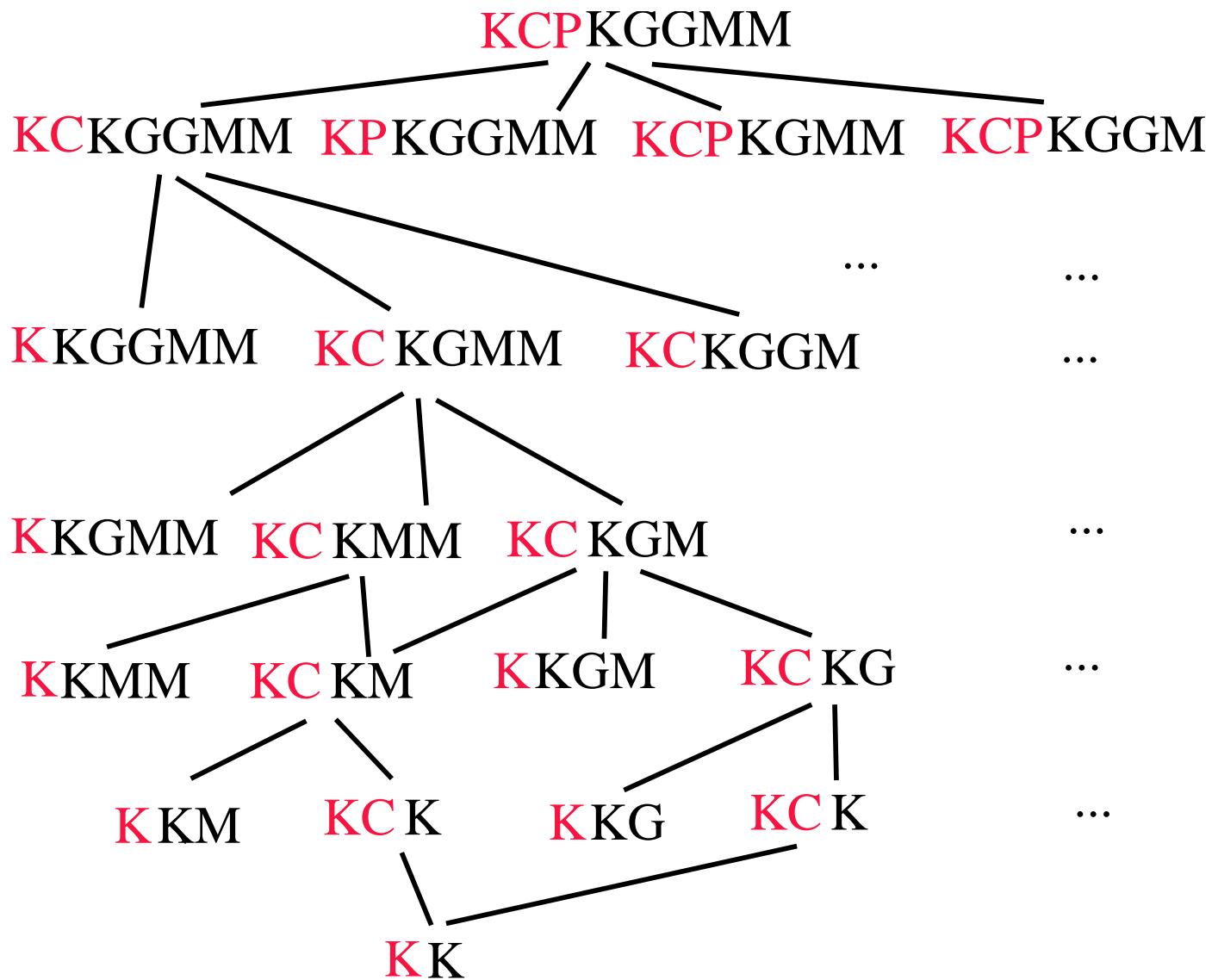
- Information stored in each vertex can be compressed.
- Store only vertices, generate the edges on demand.
- Try not to propagate the same information.



# Stable Positions

- Another critical issue: how to find stable positions?
  - Checkmate, stalemate, King facing King.
  - It maybe the case the best move is to capture an opponent's piece and then win.
    - ▷ *so called “distance-to-capture” (DTC);*
    - ▷ *the traditional metric is “distance-to-mate” (DTM).*
- Need to access values of positions in other endgames.  
For example,
  - KCPKGGM needs to access
    - ▷ *KCKGGMM*
    - ▷ *KPKGGMM*
    - ▷ *KCPKGMM, KCPKGGM*
  - A lattice structure for endgame accesses.
  - Need to access lots of huge databases at the same time.
- [Hsu & Liu, 2002] uses a simple graph partitioning scheme to solve this problem with good practical results.

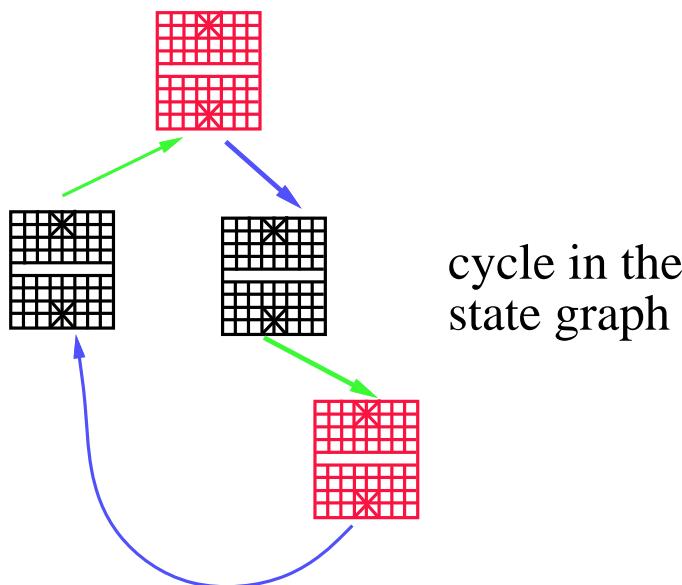
# An Example of the Lattice Structure



# Cycles in the State Graph (1/2)

- Yet another critical issue: cycles in the state graph.

- Can never be stable.
- In terms of graph theory,
  - ▷ a stable position is a pendant in the current state graph;
  - ▷ a propagated position is removed from the state graph;
  - ▷ no vertex in a cycle can be a pendant.



# Cycles in the State Graph (2/2)

- For most games, a cyclic sequence of moves means draw.
  - Positions in cycles are stable.
  - Only need to propagate positions in cycles once.
- For Chinese chess, a cyclic sequence of moves can mean win/loss/draw.
  - Special cases: only one side has attacking pieces.
    - ▷ Threaten the opponent and fall into a repeated sequence is illegal.
    - ▷ You can threaten the opponent only if you have attacking pieces.
    - ▷ The stronger side does not need to threaten an opponent without attacking pieces.
    - ▷ All positions in cycles are draws.
  - General cases: very complicated.

# Previous Results — Retrograde Analysis

## ■ Western chess: general approach.

- Complete 3- to 5-piece, pawn-less 6-piece endgames are built.
- Selected 6-piece endgames, e.g., KQQQKQP.
  - ▷ *Roughly  $7.75 * 10^9$  positions per endgame.*
  - ▷ *Perfect information.*
  - ▷  *$1.5 - 3 * 10^{12}$  bytes for all 3- to 6-piece endgames.*

## ■ Awari: machine and game dependent approach.

- Solved in the year 2002.
- $2.04 * 10^{11}$  positions in an endgame.
  - ▷ *Using parallel machines.*
  - ▷ *Win/loss/draw.*

## ■ Checkers: game dependent approach.

- $1.7 * 10^{11}$  positions in an endgame.
  - ▷ *Currently the largest endgame database of any games using a sequential machine.*
  - ▷ *Win/loss/draw.*
  - ▷ *Solved in the year 2007 with a total endgame size of  $3.9 * 10^{13}$ .*

## ■ Many other games.

# Results — Chinese Chess

- Earlier work by Prof. S. C. Hsu ( 許舜欽 ) and his students, and some other researchers in Taiwan.
  - KRKGGM (  vs.     ) [Fang 1997; master thesis]
    - ▷ *About  $4 * 10^6$  positions; Perfect information.*
- Memory-efficient implementation: general approach.
  - KCPGMKGGM (     vs.     ) [Wu & Beal 2001]
    - ▷ *About  $2 * 10^9$  positions; Perfect information.*
  - KCPGGMMKGGM (       vs.     ) [Wu, Liu & Hsu 2006]
    - ▷ *About  $8.8 * 10^9$  positions;  $2.6 * 10^{-5}$  seconds per position; Perfect information.*
    - ▷ *The largest single endgame database and the largest collection reported.*
  - Verification [Hsu & Liu 2002]
- Special rules: more likely to be affected when endgames get larger.

# Problems and Solutions

- Need to solve the problem of finding cycles in a graph.
  - Modeling using graph theory.
  - Using previous knowledge from graph theory.
- Need to solve the problem of requiring a huge space to store the database being constructed.
  - Carefully partition the database into disjoint portions so that only the needed parts are loaded into the memory.
  - Using combinatorial properties to do the partition.

# Benchmarking

# Introduction

- It is inevitable to revise your Chinese chess program during development.
- There are many **stable** versions,  $P_{t_1}, P_{t_2}, \dots$ , indexed by time stamp  $t_i$ .
  - Stable means bug-free, namely the code does exactly what you intend to do **as far as you know**.
- Question:
  - How can you be sure that  $P_{t_i}$  is better than one of its previous versions  $P_{t_j}$  for some  $j < i$ ?
  - Notation:
    - ▷  $P_i > P_j$  means  $P_i$  is better than  $P_j$ .
    - ▷  $P_i = P_j$  means  $P_i$  is about the same as  $P_j$ .
    - ▷  $P_i \geq P_j$  means  $P_i$  is no worse than  $P_j$ .
- Easy solution:
  - Conduct some games between the two versions and see who wins the most games.
- Is the solution satisfactory?

# Potential problems

- Is the relation **transitive**?
  - Thats is, if  $P_i > P_j$  and  $P_j > P_k$ , then can you be sure that  $P_i > P_k$ ?
- Is the relation **platform independent**?
  - CPU speed, memory bandwidth, ...
  - Both versions use the same platform.
  - Both versions use different platforms.
  - Platform may differ over time.
- Is the relation **rule independent**?
  - Fast game (5 seconds per ply), Normal game (60 seconds per ply), ...
  - Chinese chess rules for repetitions.
- Without knowing answers to these potential problems, we do not know which version is "the" best.

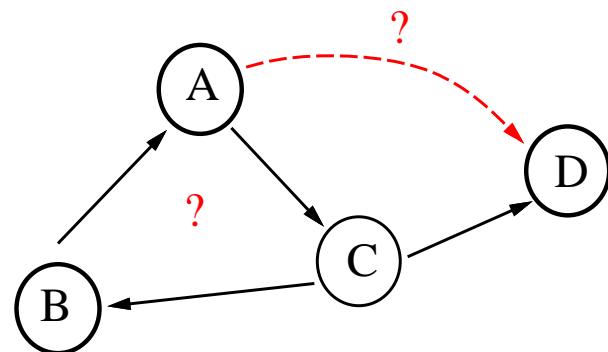
# Motivation and related work

## ■ Scenario:

- Suppose you have a “great idea”  $M$  and a current version  $P_i$ .
- By patching  $P_i$  with  $M$ , you obtain the next version  $P_{i+1}$ .
  - ▷ Let  $P_{i+1} = P_i + M$ .

## ■ Questions:

- Is  $M$  really a “great idea”?
- What version shall I use during a competition?
- How to compare the “strength” of  $P_i$  and  $P_{i+1}$ ?



# Related work

- **The Swiss system for a tournament.**
- **Rating systems, such as ELO, over a period of time.**
  - ▷ *It has been estimated by Levy and Newborn that doubling the computer speed gains approximately fifty to seventy ELO points in playing strength for chess.*
  - ▷ *However, this applies mainly to computer-vs-computer matches, and not to computer-vs-human matches.*
  - ▷ *Remark: cited from Wiki.*
- ...

# Our little experiment

## ■ Two versions of Contemplation.

- Version 814:

- ▷ *The version we used for Computer Olympiad 11 (May 2006).*
  - ▷ *Searching speed: 5.5 M nodes per second during middle game.*

- Version 903:

- ▷ *The latest version (upto May 2007).*
  - ▷ *Adding 6000+ lines of code (for the evaluation function) to version 814.*
  - ▷ *Searching speed: 2.8 M nodes per second during middle game.*

- Version 814 is faster, but version 903 has more domain knowledge.

## ■ Platform:

- Free BSD 6.2 + GCC 4.1.2
- Xeon 5160 3.0 GHZ CPU + 4GB DDR II 677 memory
  - ▷ *This CPU has two cores.*
  - ▷ *Each version uses one core.*

# Testing setup

- Games played:
  - 22 fixed openings.
  - No endgame databases.
  - Testing of middle games.
  - Each program plays first alternatively for each opening.
  - A total of 44 games played in each round.
- Each round:
  - With pondering.
  - Fix the time used in each ply.
    - ▷ *From 5 seconds, 10 seconds, . . . , 60 seconds.*
  - Time that is not used in a ply can be saved to be used in the plys thereafter.
- A total of 12 rounds, i.e., 528 games, were played in four weeks during May 2007.

# Results

| seconds | v903 vs v814 |      |      | scores<br>v903:v814 | difference |
|---------|--------------|------|------|---------------------|------------|
|         | win          | draw | loss |                     |            |
| 5       | 19           | 7    | 18   | 45:43               | +2         |
| 10      | 19           | 7    | 18   | 45:43               | +2         |
| 15      | 15           | 13   | 16   | 43:45               | -2         |
| 20      | 16           | 8    | 20   | 40:48               | -8         |
| 25      | 21           | 10   | 13   | 52:36               | +16        |
| 30      | 21           | 9    | 14   | 51:37               | +14        |
| 35      | 21           | 11   | 12   | 53:35               | +18        |
| 40      | 20           | 7    | 17   | 47:41               | +6         |
| 45      | 19           | 8    | 17   | 46:42               | +4         |
| 50      | 20           | 11   | 13   | 51:37               | +14        |
| 55      | 18           | 13   | 13   | 49:37               | +12        |
| 60      | 22           | 12   | 10   | 56:32               | +24        |

- ▷ *Each win: 2 points.*
- ▷ *Each draw: 1 point.*
- ▷ *Each loss: 0 point.*

# Observation I

## ■ Observation I:

- v814 roughly equals to v903 at rounds that took time less than 20 seconds.
- v814 beats v903 at the 15-second and the 20-second rounds, but loses all other rounds.

## ■ Comments:

- When time is limited, searching deeper and searching smarter is about the same for Contemplation.
- 20-second round is the best “competing environment” for v814 as compared to v903.

## ■ Conjecture:

- Expect a similar behavior on a 40-second round for a platform that is twice slower.

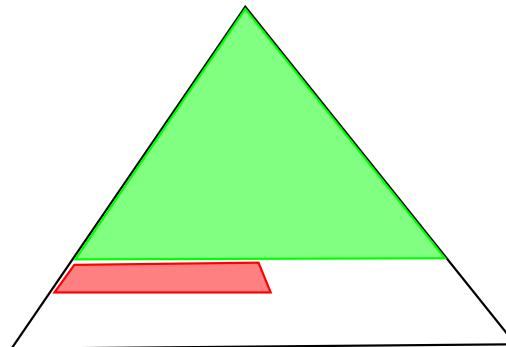
# Observation II

## ■ Observation II:

- The performance of v814 improves on rounds of 40 and 45 seconds.

## ■ Comments:

- The performance curse of v903 vs. v814 is stair-cased.
- The average branching factor  $B_{avg}(v814)$  is about 2 to 3.
  - ▷ *Need 2 to 3 more times of running time in order for the search depth to increase 1.*
- It is only useful to search the entire layer of nodes of the same depth.



# Observation II – conjecture

## ■ Conjecture:

- Relatively speaking, the performance of v903 is more stable.
- If the additional computational power is less than  $B_{avg}$ , then it is better to spend the extra power on the evaluation function.
- Extra power may come from
  - ▷ *multi-threading;*
  - ▷ *faster architecture, CPU or RAM;*
  - ▷ ...

# Observation III

## ■ Observation III:

- v903 out-scores v814 at a large margin for rounds of 25, 30, 35, 50, 55 and 60 seconds.

## ■ Comments:

- Searching depth may not be a dominating factor for playing strange when the depth is “enough.”
- **The law of diminishing returns** (效益遞減) in searching.

## ■ Conjecture:

- Need to balance the time used in searching deeper and the time used in searching smarter.

# Remarks

- Need to fix the “competing environment”  $E$  to define  $P_{i+1} > P_i$ .
  - Revised definition:  $P_{i+1} >_E P_i$ .
- Whether a newly coded  $M$  is a great idea or not depends on the platform and “competing environment” you are using.
  - If  $M$  slows you down too much at the current platform, then your testing score may be worse.
  - Some times later, say next year, if a faster platform is available, then your testing score may become better.
  - You may observe a zig-zag effect on a series of versions.
    - ▷ *It becomes bad for a while, then it becomes good again.*
- Do not abandon  $M$  easily!
- You are a winner of a competition does not guarantee you will be the winner later even if all programs stay unchanged.
  - Faster platforms may be invented.
  - Rules may change.
  - Luck is always part of a game with fun, such as Chinese chess.

# Future work

- More testing and analysis are needed.
  - The growth of games win/draw/loss.
  - The behavior when one plays first/last.
  - The length, time elapsed or plys played, of games.
  - What kinds of games are easily win by a particular version?
  - Are the results **stable**?
  - ...
- Compare results across different platforms.
  - For example, AMD v.s. INTEL.
- With opening databases.
- With endgame databases.

# Concluding Remarks

- Many open problems.
- Research opportunities:
  - Algorithm and complexity.
  - Algorithmic engineering.
  - External memory algorithms.
  - System implementation.
  - Parallel computing.
  - A.I.
    - ▷ *Knowledge extracting.*
    - ▷ *Data mining.*
    - ▷ ...
  - Discrete Math., e.g., Graph theory.
- Commercial opportunities.
- Fun.

# References and further readings (1/3)

- T.-s. Hsu and P.-Y. Liu. Verification of endgame databases. *International Computer Game Association (ICGA) Journal*, 25(3):132–144, 2002.
- K.-c. Wu, S.-C. Hsu, and T.-s. Hsu. The graph history interaction problem in Chinese chess. In H. Jaap van den Herik, Shun-Chin Hsu, Tsan-sheng Hsu, and H.H.L.M. Donkers, editors, *Lecture Notes in Computer Science 4250: Proceedings of the 11th Advances in Computer Games Conference*, pages 165–179, New York, NY, 2005. Springer-Verlag.
- P.-s. Wu, P.-Y. Liu, and T.-s Hsu. An external-memory retrograde analysis algorithm. In H. Jaap van den Herik, Y. Björnsson, and N. S. Netanyahu, editors, *Lecture Notes in Computer Science 3846: Proceedings of the 4th International Conference on Computers and Games*, pages 145–160. Springer-Verlag, New York, NY, 2006.

# References and further readings (2/3)

- B.-N. Chen, P.F. Liu, S.C. Hsu, and T.-s. Hsu. Knowledge inferencing on Chinese chess endgames. In H. Jaap van den Herik, X. Xu, Z. Ma, and M. H.M. Winands, editors, *Lecture Notes in Computer Science 5131: Proceedings of the 6th International Conference on Computers and Games*, pages 180–191. Springer-Verlag, New York, NY, 2008.
- B.-N. Chen, P.F. Liu, S.C. Hsu, and T.-s. Hsu. Conflict resolution of Chinese chess endgame knowledge base. In *Lecture Notes in Computer Science 6048: Proceedings of the 12th Advances in Computer Games Conference*, pages 146–157. Springer-Verlag, New York, NY, 2010.
- B.-N. Chen, B.-J. Shen, and T.-s. Hsu. Chinese drak chess. *International Computer Game Association (ICGA) Journal*, 33(2):93–106, 2010.

# References and further readings (3/3)

- B.-N. Chen, P.F. Liu, S.C. Hsu, and T.-s. Hsu. Knowledge abstraction in Chinese chess endgame databases. In *Lecture Notes in Computer Science 6515: Proceedings of the 7th International Conference on Computers and Games*, pages 176–187. Springer-Verlag, New York, NY, 2011.
- B.-N. Chen, P.F. Liu, S.C. Hsu, and T.-s. Hsu. Aggregating consists endgame knowledge in Chnese Chess. To appear in *Knowledge-Based System*, 2011.