

#### **Database System Concepts, 5th Ed.**

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## Chapter 3: SQL

- Data Definition
- Basic Query Structure
- Set Operations
- Aggregate Functions
- Null Values
- Nested Subqueries
- Complex Queries
- Views
- Modification of the Database
- Joined Relations\*\*



## History

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system.



## **Data Definition Language**

Allows the specification of not only a set of relations but also information about each relation, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints
- The set of indices to be maintained for each relations.
- Security and authorization information for each relation.
- The physical storage structure of each relation on disk.



## **Domain Types in SQL**

- **char(n).** Fixed length character string, with user-specified length *n*.
- varchar(n). Variable length character strings, with user-specified maximum length n.
- int. Integer (a finite subset of the integers that is machine-dependent).
- **smallint.** Small integer (a machine-dependent subset of the integer domain type).
- numeric(p,d). Fixed point number, with user-specified precision of p digits, with n digits to the right of decimal point.
- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- float(n). Floating point number, with user-specified precision of at least n digits.
- More are covered in Chapter 4.



#### **Create Table Construct**

• An SQL relation is defined using the **create table** command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint<sub>1</sub>), ..., (integrity-constraint<sub>k</sub>))
```

- r is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation r
- $D_i$  is the data type of values in the domain of attribute  $A_i$

#### • Example:

```
create table branch
(branch_name char(15) not null,
branch_city char(30),
assets integer)
```



#### **Integrity Constraints in Create Table**

- not null
- primary key  $(A_1, ..., A_n)$

Example: Declare *branch\_name* as the primary key for *branch* 

```
create table branch
(branch_name char(15),
branch_city char(30),
assets integer,
primary key (branch_name))
```

**primary key** declaration on an attribute automatically ensures **not null** in SQL-92 onwards, needs to be explicitly stated in SQL-89



#### **Drop and Alter Table Constructs**

- The **drop table** command deletes all information about the dropped relation from the database.
- The alter table command is used to add attributes to an existing relation:

#### alter table r add A D

where A is the name of the attribute to be added to relation r and D is the domain of A.

- All tuples in the relation are assigned *null* as the value for the new attribute.
- The alter table command can also be used to drop attributes of a relation:

#### alter table r drop A

where A is the name of an attribute of relation r

• Dropping of attributes not supported by many databases



## **Basic Query Structure**

- SQL is based on set and relational operations with certain modifications and enhancements
- A typical SQL query has the form:

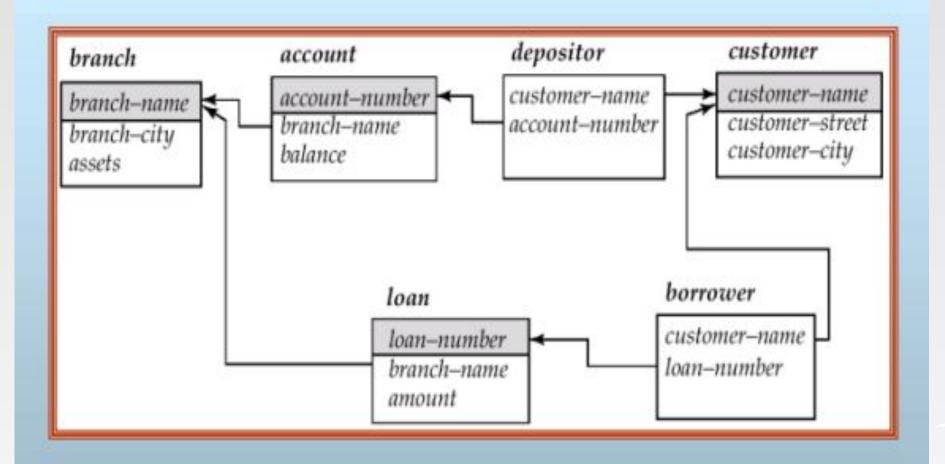
$$\begin{array}{l} \mathbf{select}\,A_1,A_2,...,A_n\\ \mathbf{from}\;r_1,r_2,...,r_m\\ \mathbf{where}\;P \end{array}$$

- $A_i$  represents an attribute
- $\bullet$   $R_i$  represents a relation
- *P* is a predicate.
- This query is equivalent to the relational algebra expression.

$$\prod_{A_1,A_2,\mathbb{N},A_n} (\sigma_P(r_1 \times r_2 \times \mathbb{N} \times r_m))$$

• The result of an SQL query is a relation.

# Schema Used in Examples





#### The select Clause

- The **select** clause list the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all branches in the *loan* relation: select *branch\_name*from *loan*
- In the relational algebra, the query would be:

```
\prod_{branch\ name}(loan)
```

- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
  - E.g.  $Branch\_Name \equiv BRANCH\_NAME \equiv branch\_name$
  - Some people use upper case wherever we use bold font.



#### The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword **distinct** after select.
- Find the names of all branches in the *loan* relations, and remove duplicates
   select distinct branch\_name
   from *loan*
- The keyword **all** specifies that duplicates not be removed.

```
select all branch_name from loan
```



#### The select Clause (Cont.)

• An asterisk in the select clause denotes "all attributes"

```
select *
from loan
```

- The select clause can contain arithmetic expressions involving the operation, +,
   -, \*, and /, and operating on constants or attributes of tuples.
- The query:

```
select loan_number, branch_name, amount * 100 from loan
```

would return a relation that is the same as the *loan* relation, except that the value of the attribute *amount* is multiplied by 100.



#### The where Clause

- The where clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- To find all loan number for loans made at the Perryridge branch with loan amounts greater than \$1200.

```
select loan_number
from loan
where branch name = 'Perryridge' and amount > 1200
```

- Comparison results can be combined using the logical connectives **and**, **or**, and **not**.
- Comparisons can be applied to results of arithmetic expressions.



#### The where Clause (Cont.)

- SQL includes a **between** comparison operator
- Example: Find the loan number of those loans with loan amounts between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)

select loan\_number
from loan
where amount between 90000 and 100000





#### The from Clause

- The **from** clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product *borrower X loan*

```
select *
from borrower, loan
```

• Find the name, loan number and loan amount of all customers having a loan at the Perryridge branch.

```
select customer_name, borrower.loan_number, amount
    from borrower, loan
    where borrower.loan_number = loan.loan_number and
        branch_name = 'Perryridge'
```



#### The Rename Operation

- The SQL allows renaming relations and attributes using the **as** clause: old-name **as** new-name
- Find the name, loan number and loan amount of all customers; rename the column name *loan number* as *loan id*.

```
select customer_name, borrower.loan_number as loan_id, amount
from borrower, loan
where borrower.loan number = loan.loan number
```





#### **Tuple Variables**

- Tuple variables are defined in the **from** clause via the use of the **as** clause.
- Find the customer names and their loan numbers for all customers having a loan at some branch.

```
select customer_name, T.loan_number, S.amount
from borrower as T, loan as S
where T.loan number = S.loan number
```

• Find the names of all branches that have greater assets than some branch located in Brooklyn.

```
select distinct T.branch_name
from branch as T, branch as S
where T.assets > S.assets and S.branch_city = 'Brooklyn'
```

•Keyword **as** is optional and may be omitted borrower **as**  $T \equiv borrower T$ 



#### **String Operations**

- SQL includes a string-matching operator for comparisons on character strings. The operator "like" uses patterns that are described using two special characters:
  - percent (%). The % character matches any substring.
  - underscore (\_). The \_ character matches any character.
- Find the names of all customers whose street includes the substring "Main".

```
select customer_name
from customer
where customer street like '% Main%'
```

Match the name "Main%"

```
like 'Main\%' escape '\'
```

- SQL supports a variety of string operations such as
  - concatenation (using "||")
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.



#### **Ordering the Display of Tuples**

• List in alphabetic order the names of all customers having a loan in Perryridge branch

```
select distinct customer_name
from borrower, loan
where borrower.loan_number = loan.loan_number and
    branch_name = 'Perryridge'
order by customer name
```

- We may specify **desc** for descending order or **asc** for ascending order, for each attribute; ascending order is the default.
  - Example: order by customer\_name desc



#### **Set Operations**

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations  $\cup$ ,  $\cap$ , -.
- Each of the above operations automatically eliminates duplicates; to retain all duplicates use the corresponding multiset versions union all, intersect all and except all.

Suppose a tuple occurs m times in r and n times in s, then, it occurs:

- m + n times in r union all s
- min(m,n) times in r intersect all s
- $\max(0, m-n)$  times in r except all s



## **Set Operations**

• Find all customers who have a loan, an account, or both:

```
(select customer_name from depositor)
union
(select customer name from borrower)
```

• Find all customers who have both a loan and an account.

```
(select customer_name from depositor)
intersect
(select customer_name from borrower)
```

• Find all customers who have an account but no loan.

```
(select customer_name from depositor)
except
(select customer_name from borrower)
```



## **Aggregate Functions**

• These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum valuemax: maximum value

sum: sum of values

**count:** number of values



#### **Aggregate Functions (Cont.)**

• Find the average account balance at the Perryridge branch.

```
select avg (balance)
  from account
  where branch name = 'Perryridge'
```

• Find the number of tuples in the *customer* relation.

```
select count (*)
from customer
```

• Find the number of depositors in the bank.

```
select count (distinct customer_name)
from depositor
```



## **Aggregate Functions – Group By**

• Find the number of depositors for each branch.

```
select branch_name, count (distinct customer_name)
    from depositor, account
    where depositor.account_number = account.account_number
    group by branch_name
```

Note: Attributes in **select** clause outside of aggregate functions must appear in **group by** list





## **Aggregate Functions – Having Clause**

• Find the names of all branches where the average account balance is more than \$1,200.

select branch\_name, avg (balance)
 from account
 group by branch\_name
 having avg (balance) > 1200

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups



#### **Null Values**

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
- *null* signifies an unknown value or that a value does not exist.
- The predicate **is null** can be used to check for null values.
  - Example: Find all loan number which appear in the *loan* relation with null values for *amount*.

select loan\_number
from loan
where amount is null

- The result of any arithmetic expression involving *null* is *null* 
  - Example: 5 + null returns null
- However, aggregate functions simply ignore nulls
  - More on next slide



## **Null Values and Aggregates**

Total all loan amounts

**select sum** (amount) **from** loan

- Above statement ignores null amounts
- Result is *null* if there is no non-null amount
- All aggregate operations except **count(\*)** ignore tuples with null values on the aggregated attributes.



#### **Set Comparison**

• Find all branches that have greater assets than some branch located in Brooklyn.

• Same query using > some clause



## **Example Query**

• Find the names of all branches that have greater assets than all branches located in Brooklyn.

```
select branch_name
    from branch
    where assets > all
        (select assets
        from branch
        where branch_city = 'Brooklyn')
```



## **Nested Subqueries**

- SQL provides a mechanism for the nesting of subqueries.
- A **subquery** is a **select-from-where** expression that is nested within another query.
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality.



#### **Example Query**

• Find all customers who have both an account and a loan at the bank.

• Find all customers who have a loan at the bank but do not have an account at the bank



#### **Example Query**

• Find all customers who have both an account and a loan at the Perryridge branch

• Note: Above query can be written in a much simpler manner. The formulation above is simply to illustrate SQL features.



#### Views

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know a customer's name, loan number and branch name, but has no need to see the loan amount. This person should see a relation described, in SQL, by

- A view provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a view.



#### **View Definition**

- A view is defined using the create view statement which has the form
   create view v as < query expression >
  - where  $\leq$  query expression> is any legal SQL expression. The view name is represented by v.
- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- When a view is created, the query expression is stored in the database; the expression is substituted into queries using the view.



## **Example Queries**

• A view consisting of branches and their customers

```
create view all_customer as
    (select branch_name, customer_name
    from depositor, account
    where depositor.account_number =
        account.account_number)
    union
    (select branch_name, customer_name
    from borrower, loan
    where borrower.loan_number = loan.loan_number)
```

• Find all customers of the Perryridge branch

```
Create view test as
```

```
select customer_name
from all_customer
where branch_name = 'Perryridge'
```



# **Views Defined Using Other Views**

- One view may be used in the expression defining another view
- A view relation  $v_1$  is said to *depend directly* on a view relation  $v_2$  if  $v_2$  is used in the expression defining  $v_1$
- A view relation  $v_1$  is said to *depend on* view relation  $v_2$  if either  $v_1$  depends directly to  $v_2$  or there is a path of dependencies from  $v_1$  to  $v_2$
- A view relation v is said to be *recursive* if it depends on itself.



# View Expansion

- A way to define the meaning of views defined in terms of other views.
- Let view  $v_1$  be defined by an expression  $e_1$  that may itself contain uses of view relations.
- View expansion of an expression repeats the following replacement step:

#### repeat

Find any view relation  $v_i$  in  $e_1$ Replace the view relation  $v_i$  by the expression defining  $v_i$ until no more view relations are present in  $e_1$ 

• As long as the view definitions are not recursive, this loop will terminate



#### **Modification of the Database – Deletion**

• Delete all account tuples at the Perryridge branch

```
delete from account
where branch_name = 'Perryridge'
```

Delete all accounts at every branch located in the city 'Needham'.



# **Example Query**

• Delete the record of all accounts with balances below the average at the bank.

- Problem: as we delete tuples from deposit, the average balance changes
- Solution used in SQL:
  - 1. First, compute avg balance and find all tuples to delete
  - 2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)



#### **Modification of the Database – Insertion**

• Add a new tuple to *account* 

```
insert into account
values ('A-9732', 'Perryridge', 1200)
```

or equivalently

```
insert into account (branch_name, balance, account_number)
values ('Perryridge', 1200, 'A-9732')
```

• Add a new tuple to *account* with *balance* set to null

```
insert into account
  values ('A-777', 'Perryridge', null )
```



#### **Modification of the Database – Insertion**

• Provide as a gift for all loan customers of the Perryridge branch, a \$200 savings account. Let the loan number serve as the account number for the new savings account

```
insert into account
select loan_number, branch_name, 200
from loan
where branch_name = 'Perryridge'
insert into depositor
select customer_name, loan_number
from loan, borrower
where branch_name = 'Perryridge'
and loan.account_number = borrower.account_number
```

The select from where statement is evaluated fully before any of its results are inserted into the relation (otherwise queries like insert into table1 select \* from table1 would cause problems)



# **Modification of the Database – Updates**

- Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.
  - Write two **update** statements:

```
update account
set balance = balance * 1.06
where balance > 10000
```

```
update account
set balance = balance * 1.05
where balance < 10000</pre>
```

- The order is important
- Can be done better using the **case** statement (next slide)



# **Case Statement for Conditional Updates**

• Same query as before: Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.



## **Update of a View**

• Create a view of all loan data in the *loan* relation, hiding the *amount* attribute

```
create view loan_branch as
select loan_number, branch_name
from loan
```

• Add a new tuple to branch loan

```
insert into branch_loan
  values ('L-37', 'Perryridge')
```

This insertion must be represented by the insertion of the tuple

```
('L-37', 'Perryridge', null)
```

into the *loan* relation



# **Updates Through Views (Cont.)**

- Some updates through views are impossible to translate into updates on the database relations
- Others cannot be translated uniquely
  - insert into all\_customer values ('Perryridge', 'John')
    - 4 Have to choose loan or account, and create a new loan/account number!
- Most SQL implementations allow updates only on simple views (without aggregates) defined on a single relation



#### Joined Relations\*\*

- **Join operations** take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- **Join condition** defines which tuples in the two relations match, and what attributes are present in the result of the join.
- **Join type** defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

# Join types inner join left outer join right outer join full outer join

# Join Conditionsnaturalon < predicate>using $(A_1, A_1, ..., A_n)$



# Joined Relations – Datasets for Examples

- Relation *loan*
- Relation *borrower*

loan_number	branch_name	amount	customer_name	loan_number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230
L-260	Perryridge	1700	Hayes	L-155
loan			borro	wer

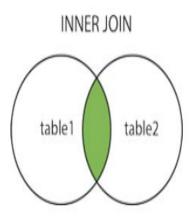
 Note: borrower information missing for L-260 and loan information missing for L-155

# SQL INNER JOIN Keyword

The INNER JOIN keyword selects records that have matching values in both tables.

#### INNER JOIN Syntax

```
SELECT column_name(s)
FROM table1
INNER JOIN table2
ON table1.column_name = table2.column_name;
```





# Joined Relations – Examples

• loan inner join borrower on loan.loan\_number = borrower.loan\_number

loan_number	branch_name	amount	customer_name	loan_number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230

• loan left outer join borrower on loan.loan\_number = borrower.loan\_number

loan_number	branch_name	amount	customer_name	loan_number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230
L-260	Perryridge	1700	null	null

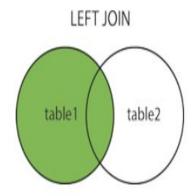
# SQL LEFT JOIN Keyword

The LEFT JOIN keyword returns all records from the left table (table1), and the matching records from the right table (table2). The result is 0 records from the right side, if there is no match.

#### **LEFT JOIN Syntax**

```
SELECT column_name(s)
FROM table1
LEFT JOIN table2
ON table1.column_name = table2.column_name;
```

Note: In some databases LEFT JOIN is called LEFT OUTER JOIN.





# Joined Relations – Examples

#### • loan natural inner join borrower

loan_number	branch_name	amount	customer_name	loan_number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230

#### • loan natural right outer join borrower

loan_number	branch_name	amount	customer_name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-155	null	null	Hayes



# Joined Relations – Examples

• loan full outer join borrower using (loan\_number)

loan_number	branch_name	amount	customer_name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-260	Perryridge	1700	null
L-155	null	null	Hayes

• Find all customers who have either an account or a loan (but not both) at the bank.

```
select customer_name
from (depositor natural full outer join borrower )
where account number is null or loan number is null
```

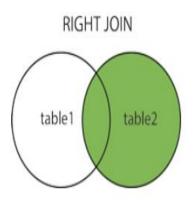
# SQL RIGHT JOIN Keyword

The RIGHT JOIN keyword returns all records from the right table (table2), and the matching records from the left table (table1). The result is 0 records from the left side, if there is no match.

#### **RIGHT JOIN Syntax**

```
SELECT column_name(s)
FROM table1
RIGHT JOIN table2
ON table1.column_name = table2.column_name;
```

Note: In some databases RIGHT JOIN is called RIGHT OUTER JOIN.



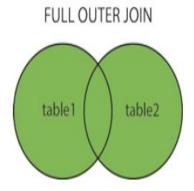
# SQL FULL OUTER JOIN Keyword

The FULL OUTER JOIN keyword returns all records when there is a match in left (table1) or right (table2) table records.

Tip: FULL OUTER JOIN and FULL JOIN are the same.

#### **FULL OUTER JOIN Syntax**

```
SELECT column_name(s)
FROM table1
FULL OUTER JOIN table2
ON table1.column_name = table2.column_name
WHERE condition;
```



#### Difference between Natural Join and Inner Join

SN	Natural Join	Inner Join		
1.	It joins the tables based on the same column names and their data types.	It joins the tables based on the column name specified in the ON clause explicitly.		
2.	It always returns unique columns in the result set.	It returns all the attributes of both tables along with duplicate columns that match the ON clause condition.		
3.	If we have not specified any condition in this join, it returns the records based on the common columns.	It returns only those rows that exist in both tables.		
4.	The syntax of natural join is given below:  SELECT [column_names   *]  FROM table_name1  NATURAL JOIN table_name2;	The syntax of inner join is given below:  SELECT [column_names   *]  FROM table_name1  INNER JOIN table_name2  ON table_name1.column_name = table_name2.column_name;		



#### DCL

- DCL is the abstract of Data Control Language.
- Data Control Language includes commands such as GRANT, and is concerned with rights, permissions, and other controls of the database system.
- DCL is used to grant/revoke permissions on databases and their contents.



#### **GRANT**

- •It provides the user's access privileges to the database.
- •The MySQL database offers both the administrator and user a great extent of the control options.
- •The administration side of the process includes the possibility for the administrators to control certain user privileges over the MySQL server by restricting their access to an entire database or usage limiting permissions for a specific table.
- •It creates an entry in the security system that allows a user in the current database to work with data in the current database or execute specific statements.

## Syntax:

Statement permissions:

GRANT { ALL | statement [,...n]}

TO security\_account [ ,...n ]

Normally, a database administrator first uses CREATE USER to create an account, then GRANT to define its privileges and characteristics.

## For example:

```
01. CREATE USER vatsa@'localhost' IDENTIFIED BY 'mypass';
```

- 02. GRANT ALL ON MY TABLE TO vatsa@'localhost';
- 03. GRANT SELECT ON Users TO vatsa@'localhost';

#### **REVOKE**

The REVOKE statement enables system administrators and to revoke (back permission) the privileges from MySQL accounts.

```
Syntax:
```

```
REVOKE

priv_type [(column_list)]

[, priv_type [(column_list)]] ...

ON [object_type] priv_level

FROM user [, user] ...

REVOKE ALL PRIVILEGES, GRANT OPTION

FROM user [, user] ...
```

#### For example:

01.

REVOKE INSERT ON \*.\* FROM 'vatsa'@'localhost';



#### **Transaction commands**

- Commit
  - A COMMIT means that the changes made in the current transaction are made permanent and become visible to other sessions.
- Rollback
  - A ROLLBACK statement, on the other hand, cancels all modifications made by the current transaction.



#### **Revision**

- Create database,table,view
- Drop- database,table,view
- Alter table
  - Modify
  - Add
  - Drop
  - Rename
  - Rename table
  - Aliasing
  - Tuple variable
  - IN and not IN
  - Select (distinct,\*,attributes,all)
  - From
  - Where
  - Like

- Orderby clause –asc/desc
- Having
- Union/intesect./except
- Null value
- Join
- Subquries
- Aggigation(avg,sum,min,max,count)
- Natural join
- Inner join
- Left join
- Right join
- Full outer join
- DCL (grant /revock) concept
- Transaction command(commit/rollback) concept



# Figure 3.1: Database Schema

branch (branch name, branch city, assets)

customer (<u>customer\_name</u>, customer\_street, customer\_city)

loan (<u>loan\_number</u>, branch\_name, amount)

borrower (customer name, loan number)

account (account number, branch name, balance)

depositor (<u>customer\_name</u>, <u>account\_number</u>)





# Figure 3.3: Tuples inserted into *loan* and *borrower*

loan_number	branch_name	amount		customer_name	loan_number
L-11	Round Hill	900		Adams	L-16
L-14	Downtown	1500		Curry	L-93
L-15	Perryridge	1500		Hayes	L-15
L-16	Perryridge	1300		Jackson	L-14
L-17	Downtown	1000		Jones	L-17
L-23	Redwood	2000		Smith	L-11
L-93	Mianus	500		Smith	L-23
null	null	1900		Williams	L-17
	loan	8	Johnson	null	
	<i>wan</i>				wer



# Figure 3.4: The *loan* and *borrower* relations

loan_number	branch_name	amount	customer_name	loan_number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230
L-260	Perryridge	1700	Hayes	L-155
loan			borro	wer