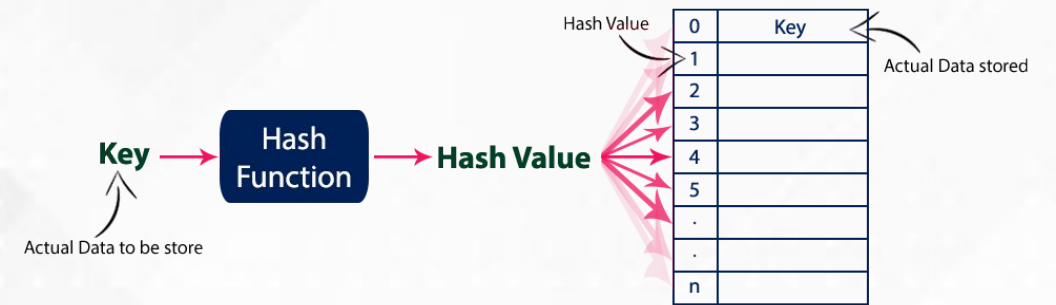


Unit-4

Hashing & File Structure (Hashing)

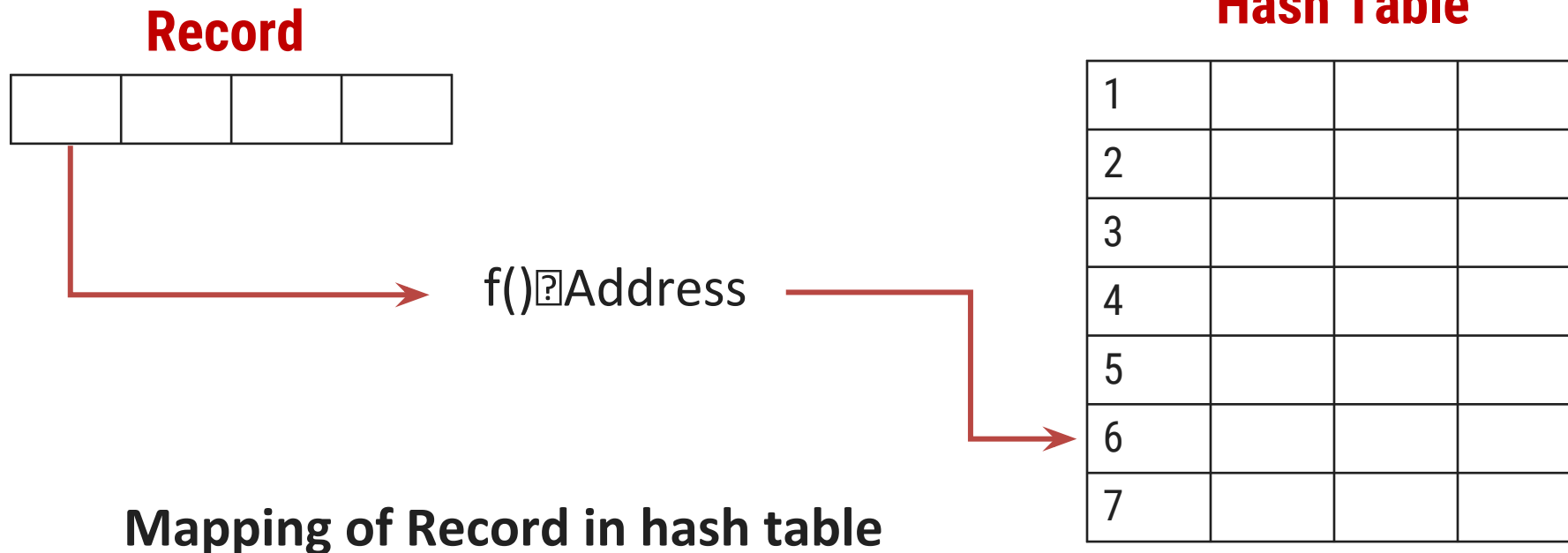


What is Hashing?

- ❑ **Sequential search** requires, on the average **$O(n)$ comparisons** to **locate an element**, so many comparisons are not desirable for a large database of elements.
- ❑ **Binary search** requires much fewer comparisons on the average **$O(\log n)$** but there is an additional requirement that the **data should be sorted**. Even with best sorting algorithm, sorting of elements require $O(n \log n)$ comparisons.
- ❑ There is **another** widely used **technique** for **storing of data** called **hashing**. It does away with the requirement of keeping data sorted (as in binary search) and its best case timing complexity is of constant order $O(1)$. In its worst case, hashing algorithm starts behaving like linear search.
- ❑ **Best case** timing **behavior** of searching using hashing = **$O(1)$**
- ❑ **Worst case** timing Behavior of searching using hashing = **$O(n)$**

What is Hashing?

- In hashing, **the record** for a key value "**key**", is **directly referred** by **calculating** the **address** from the key value.
- **Address** or location of an element or record x, is **obtained** by **computing** some arithmetic **function** f.
- **f(key)** gives the address of x in the table.



Hash Table Data Structure

□ There are two different forms of hashing.

1. **Open hashing or external hashing**

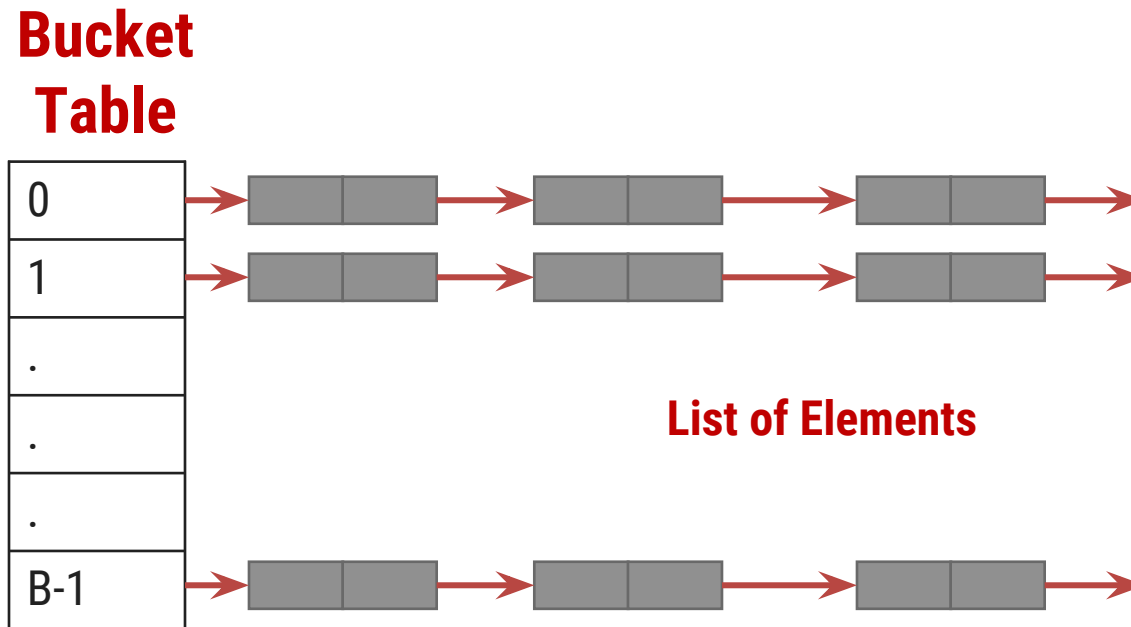
- Open or external hashing, allows records to be stored in unlimited space (could be a hard disk).
- It places no limitation on the size of the tables.

2. **Close hashing or internal hashing**

- Closed or internal hashing, uses a fixed space for storage and thus limits the size of hash table.

Open Hashing Data Structure

- The basic idea is that the **records [elements]** are **partitioned** into **B classes**, numbered 0,1,2 ... B-1
- A Hashing function **$f(x)$** maps a record with **key x** to an integer value between **0 and B-1**
- Each **bucket** in the **bucket table** is the **head** of the **linked list** of records mapped to that bucket



The open hashing
data organization

Close Hashing Data Structure

- ❑ A closed hash table **keeps the elements in the bucket** itself.
- ❑ Only **one element can be put** in the bucket.
- ❑ If we **try to place an element** in the bucket and find **it already holds** an element, then we say that a **collision** has **occurred**.
- ❑ In **case of collision**, the element should be **rehashed** to alternate empty location within the bucket table.
- ❑ In closed hashing, collision handling is a very important issue.

0	A
1	
2	C
3	
4	
5	B

Hashing Functions

❑ Characteristics of a Good Hash Function

- ❑ A good hash function avoids collisions.
- ❑ A good hash function tends to spread keys evenly in the array.
- ❑ A good hash function is easy to compute.

❑ Different hashing functions

1. Division-Method
2. Midsquare Methods
3. Folding Method
4. Digit Analysis
5. Length Dependent Method
6. Algebraic Coding
7. Multiplicative Hashing

Division-Method

- In this method we use **modular arithmetic system** to **divide** the **key value** by **some integer** divisor **m** (may be table size).
- It gives us the location value, where the element can be placed.
- We can write, **$L = (K \bmod m) + 1$** ,
 - **L** = location in table/file
 - **K** = key value
 - **m** = table size/number of slots in file
- Suppose, **k = 23, m = 10** then
 - $L = (23 \bmod 10) + 1 = 3 + 1 = 4$
 - The key whose **value is 23** is placed in **4th location**.

Midsquare Methods

- In this case, we **square the value of a key** and take the **number of digits required** to form an address, from the **middle position** of squared value.
- Suppose a **key** value is **16**
 - Its **square is 256**
 - Now if we want **address of two digits**
 - We select the address as **56** (i.e. two digits starting from middle of 256)

Folding Method

- Most machines have a **small number of primitive data types** for which there are arithmetic instructions
- Frequently **key** to be used will **not fit** easily in to one of these **data types**
- It is **not possible** to **discard** the **portion** of the **key** that does not fit into such an arithmetic data type
- The **solution** is to **combine** the various **parts of the key** in such a way that all parts of the key affect for final result such an operation is termed folding of the key
- That is the **key** is actually **partitioned** into number of parts, **each part** having the **same length** as that of the required address
- **Add** the **value** of each parts, **ignoring** the final **carry** to get the **required address**

Folding Method

- This is done in two ways
- **Fold-shifting:** Here **actual values** of **each parts** of key are **added**
 - Suppose, the **key** is : **12345678**, and the required address is of two digits,
 - Break the key into: **12, 34, 56, 78**
 - Add these, we get $12 + 34 + 56 + 78 : 180$, ignore first 1 we get **80 as location**
- **Fold-boundary:** Here the **reversed values of outer parts** of key are added
 - Suppose, the **key** is : **12345678**, and the required address is of two digits,
 - Break the key into: **21, 34, 56, 87**
 - Add these, we get $21 + 34 + 56 + 87 : 198$, ignore first 1 we get **98 as location**

Digit Analysis

- This hashing function is a **distribution-dependent**
- Here we make a **statistical analysis** of **digits** of the **key**, and **select** those **digits** (of fixed position) which **occur** quite **frequently**
- Then reverse or **shifts the digits** to get the **address**
- For example,
 - The key is : **9861234**
 - If the statistical analysis has revealed the fact that the **third** and **fifth** position digits occur quite frequently,
 - We **choose** the **digits** in **these positions** from the key
 - So we get, **62**. **Reversing** it we get **26 as the address**

Length Dependent Method

- In this type of hashing function **we use the length of the key** along **with some portion of the key** to produce the address, directly.
- In the **indirect method**, the **length of the key** along with some portion of the key is **used** to obtain **intermediate value**.

Algebraic Coding

- Here a **n bit key** value is **represented as a polynomial**.
- The **divisor polynomial** is then **constructed** based on the **address range** required.
- The **modular division** of **key-polynomial** by **divisor polynomial**, to get the address-polynomial.
 - Let **$f(x)$** = polynomial of **n bit key** = $a_1 + a_2x + \dots + a_nx^{n-1}$
 - **$d(x)$** = **divisor polynomial** = $d_1 + d_2x + \dots + d_nx^{n-1}$
 - Required **address** polynomial will be **$f(x) \bmod d(x)$**

Multiplicative Hashing

- This method is based on obtaining an **address** of a **key**, **based on the multiplication value**.
- If **k** is the **non-negative key**, and a **constant c**, ($0 < c < 1$)
 - Compute **kc mod 1**, which is a fractional part of kc.
 - **Multiply** this fractional part **by m** and **take a floor value** to get the **address**

$$\lfloor m (kc \bmod 1) \rfloor$$

$$0 < h(k) < m$$

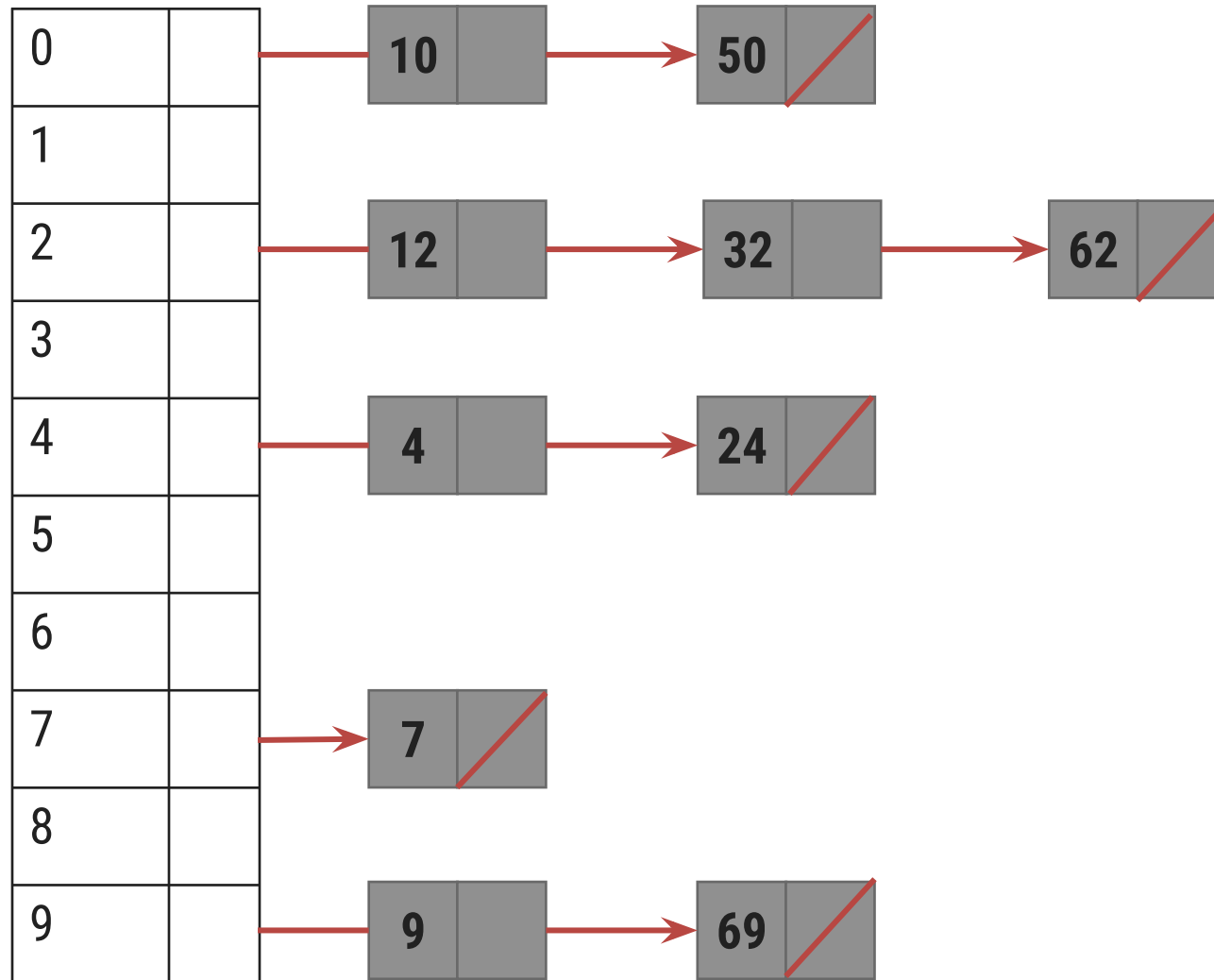
Collision Resolution Strategies

- ❑ Collision resolution is the main problem in hashing.
- ❑ If the element to be inserted is mapped to the same location, where an element is already inserted then we have a **collision** and it must be resolved.
- ❑ There are several strategies for collision resolution. The most commonly used are :
 - ❑ **Separate chaining** - used with open hashing
 - ❑ **Open addressing** - used with closed hashing

Separate chaining

- ❑ In this strategy, a **separate list** of all elements mapped to the same value is maintained.
- ❑ Separate chaining is based on **collision avoidance**.
- ❑ If memory space is tight, separate chaining should be avoided.
- ❑ Additional memory space for links is wasted in storing address of linked elements.
- ❑ **Hashing function** should **ensure even distribution** of elements among buckets; otherwise the **timing behaviour** of most operations on hash table **will deteriorate**.

Separate chaining



A Separate Chaining
Hash Table

Example - Separate chaining

Example : The integers given below are to be **inserted** in a **hash table** with **5 locations** using chaining to resolve collisions.

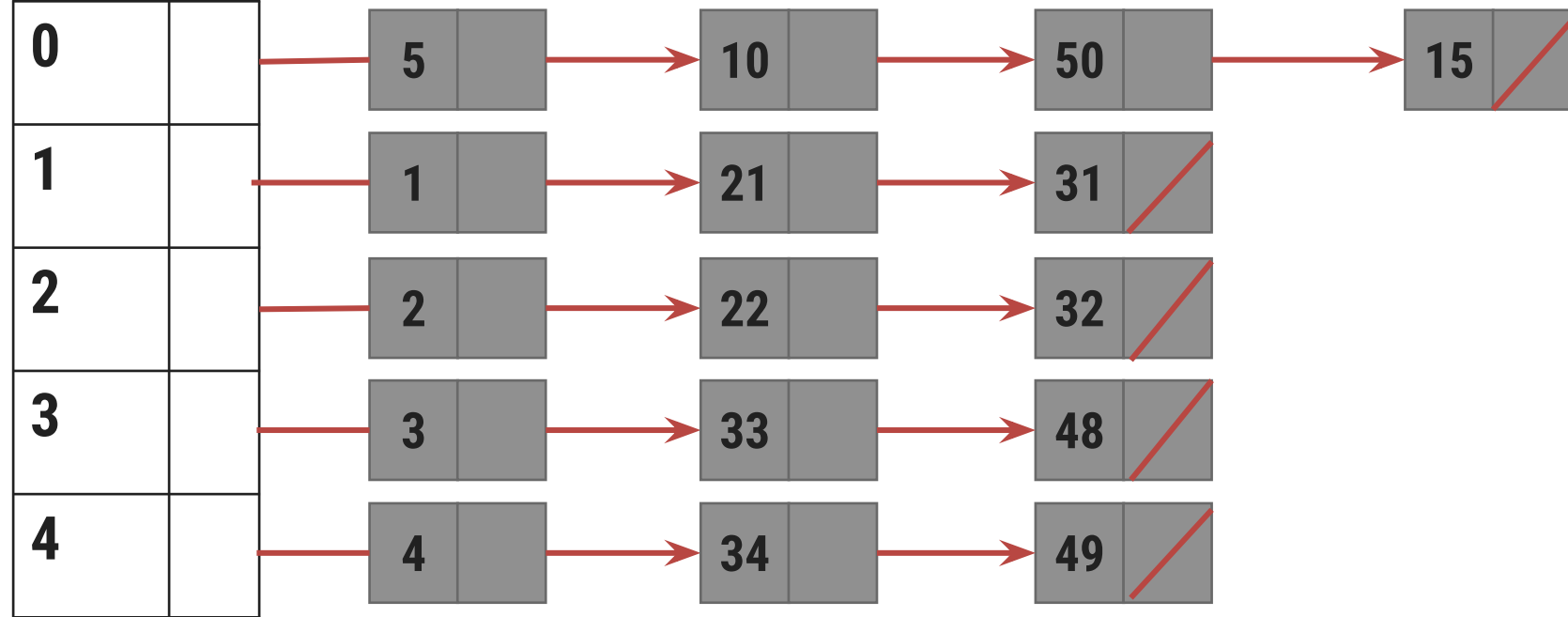
Construct hash table and use simplest hash function.

1, 2, 3, 4, 5, 10, 21, 22, 33, 34, 15, 32, 31, 48, 49, 50

An **element** can be **mapped** to a location in the hash table using the mapping **function** **$\text{key} \% 10$**

Hash Table Location	Mapped elements
0	5, 10, 15, 50
1	1, 21, 31
2	2, 22, 32
3	3, 33, 48
4	4, 34, 49

Example - Separate chaining



Hash Table

Open Addressing

- Separate chaining requires additional memory space for pointers.
- Open addressing hashing is an alternate method of handling collision.
- In **open addressing**, if a **collision** occurs, **alternate cells are tried** until an empty cell is found.
 - a. Linear probing
 - b. Quadratic probing
 - c. Double hashing.

Linear Probing

- In **linear probing**, whenever there is a **collision**, **cells are searched sequentially** (with wraparound) **for an empty cell**.
- Fig. shows the result of inserting keys **{5,18,55,78,35,15}** using the hash function ($f(\text{key}) = \text{key} \% 10$) and linear probing strategy.

	Empty Table	After 5	After 18	After 55	After 78	After 35	After 15
0							15
1							
2							
3							
4							
5		5	5	5	5	5	5
6				55	55	55	55
7						35	35
8			18	18	18	18	18
9					78	78	78

Linear Probing

- ❑ Linear probing **is easy to implement** but it suffers from "**primary clustering**"
- ❑ When many **keys** are **mapped** to the **same location** (clustering), linear probing **will not distribute** these keys **evenly** in the hash table.
- ❑ These **keys** will be **stored** in **neighbourhood** of the location where they are mapped.
- ❑ This will **lead to clustering** of keys around the point of collision

Quadratic probing

- One way of **reducing "primary clustering"** is to use quadratic probing to resolve collision.
- Suppose the "**key**" is mapped to the location **j** and the cell **j** is already **occupied**.
- In quadratic probing, the **location j, (j+1), (j+4), (j+9), ...** are examined to find the first empty cell where the key is to be inserted.
- This table **reduces primary clustering**.
- It **does not ensure** that all cells in the table will be examined to **find an empty cell**.
- Thus, it may be **possible** that **key** will **not be inserted** even **if there is an empty cell** in the table.

Double Hashing

- This method requires **two hashing functions** $f_1(\text{key})$ and $f_2(\text{key})$.
- Problem of **clustering** can **easily** be **handled** through double hashing.
- Function **$f_1(\text{key})$** is known as **primary hash function**.
- In case the address obtained by $f_1(\text{key})$ is already occupied by a key, the function $f_2(\text{key})$ is evaluated.
- The second function **$f_2(\text{key})$ is used** to **compute** the **increment** to be added to the address obtained by the first hash function $f_1(\text{key})$ in case of collision.
- The search for an empty location is made successively at the addresses
 - $f_1(\text{key}) + f_2(\text{key})$,
 - $f_1(\text{key}) + 2 * f_2(\text{key})$,
 - $f_1(\text{key}) + 3 * f_2(\text{key}), \dots$



***Thank
You***