

# Six-band

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In the basics  $|p_z, \uparrow\rangle, |p_z, \downarrow\rangle, |d_{xz+iyz}, \downarrow\rangle, |d_{xz-iyz}, \uparrow\rangle, |d_{xz+iyz}, \uparrow\rangle, |d_{xz-iyz}, \downarrow\rangle$ , 考虑  $k_z = 0$

$$H = \begin{pmatrix} M(k) & 0 & 0 & -iA_1k_- & -iA_2k_+ & 0 \\ 0 & M(k) & -iA_1k_+ & 0 & 0 & -iA_2k_- \\ 0 & iA_1 & -M(k) & 0 & 0 & 0 \\ iA_1k_+ & 0 & 0 & -M(k) & 0 & 0 \\ iA_2k_- & 0 & 0 & 0 & -M(k) + \delta & 0 \\ 0 & iA_2k_+ & 0 & 0 & 0 & -M(k) + \delta \end{pmatrix} \quad (1)$$

做一个基矢变换

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \quad (2)$$

可以得到

$$H' = \begin{pmatrix} M(k) & -iA_1k_- & -iA_2k_+ & & & \\ iA_1k_+ & -M(k) & 0 & & & \\ iA_2k_- & 0 & -M(k) + \delta & & & \\ & & & M(k) & -iA_1K_+ & 0 \\ & & & iA_1k_- & -M(k) & 0 \\ & & & iA_2k_+ & 0 & -M(k) + \delta \end{pmatrix} \quad (3)$$

先考虑其中一个块的内容，其能量本征值满足

$$E^3 + (M(k) - \delta)E^2 - [M(k)^2 + A_1^2k^2 + A_2^2k^2]E - M(k)^3 + \delta M(k)^2 - M(k)[A_1k^2 + A_2^2k^2] + \delta A_1^2k^2 = 0 \quad (4)$$

考虑 vortex，并写到动量空间的极坐标下之后可以得到

$$H_{BdG} = \begin{pmatrix} H_k - \mu & i\Delta_e e^{-i\theta}(\partial_k - \frac{i}{k}\partial_\theta) \\ i\Delta_e e^{i\theta}(\partial_k + \frac{i}{k}\partial_\theta) & \mu - H_k \end{pmatrix} \quad (5)$$

将其投影到  $H_k$  的本征空间可以得到

$$\begin{pmatrix} E_1 - \mu & & & \Delta_{11} & C_{12} & C_{13} \\ & E_2 - \mu & & C_{21} & \Delta_{22} & C_{23} \\ & & E_3 - \mu & C_{31} & C_{32} & \Delta_{33} \\ \Delta_{11}^\dagger & C_{21}^\dagger & C_{31}^\dagger & \mu - E_1 & & \\ C_{12}^\dagger & \Delta_{22}^\dagger & C_{32}^\dagger & & \mu - E_2 & \\ C_{13}^\dagger & C_{23}^\dagger & \Delta_{33}^\dagger & & & \mu - E_3 \end{pmatrix} \quad (6)$$

其中  $\Delta_{ii} = i\Delta_e e^{-i\theta}(\partial_k - iA_k^{11} - \frac{i\partial_\theta + A_\theta^{11}}{k})$ ,  $C_{ij} = \Delta_e e^{-i\theta}(A_k^{ij} - \frac{i}{k}A_\theta^{ij})$  将其变换到 basics  $|\psi_{1e}\rangle, |\psi_{1h}\rangle, |\psi_{2e}\rangle, |\psi_{2h}\rangle, |\psi_{2e}\rangle, |\psi_{2h}\rangle$  可以得到

$$\begin{pmatrix} E_1 - \mu & \Delta_{11} & 0 & C_{12} & 0 & C_{13} \\ \Delta_{11}^\dagger & \mu - E_1 & C_{21}^\dagger & 0 & C_{31}^\dagger & 0 \\ 0 & C_{21} & E_2 - \mu & \Delta_{22} & 0 & C_{23} \\ C_{12}^\dagger & 0 & \Delta_{22}^\dagger & \mu - E_2 & C_{32}^\dagger & 0 \\ 0 & C_{31} & 0 & C_{32} & E_3 - \mu & \Delta_{33} \\ C_{13}^\dagger & 0 & C_{23}^\dagger & 0 & \Delta_{33}^\dagger & \mu - E_3 \end{pmatrix} \quad (7)$$

根据中岛变换，将其变换成上面  $4 \times 4$  和一个  $2 \times 2$  的块对角之中，首先将  $H$  分解为  $H = H_0 + H^1 + H^2$ ，其中  $H_0$  表示对角部分， $H^1$  表示非对角但是在对角块的部分， $H^2$  表示非对角块部分。由  $H' = e^S H e^{-S}$ ，考虑一阶近似，可以得到变换矩阵为

$$S_{ml}^{(1)} = \frac{-H_{ml}^2}{E_m - E_l} \quad (8)$$

同样去一阶近似可以得到

$$H' = H_0 + H^1 + [H^2, S^1] \quad (9)$$

首先考虑上面  $4 \times 4$  的部分，

$$H_{04} = \begin{pmatrix} E_1 - \mu & \Delta_{11} & 0 & C_{12} \\ \Delta_{11}^\dagger & \mu - E_1 & C_{21}^\dagger & 0 \\ 0 & C_{21} & E_2 - \mu & \Delta_{22} \\ C_{12}^\dagger & 0 & \Delta_{22}^\dagger & \mu - E_2 \end{pmatrix} \quad (10)$$

一阶微扰项为

$$H'_{04} = \begin{pmatrix} & \frac{C_{13}C_{23}^\dagger(E_2-E_1)}{(E_1+E_3)(E_2+E_3)} & & \\ \frac{C_{13}^\dagger C_{23}(E_2-E_1)}{(E_1+E_3)(E_2+E_3)} & & \frac{C_{31}^\dagger C_{32}(E_2-E_1)}{(E_1+E_3)(E_2+E_3)} & \\ & \frac{C_{32}^\dagger C_{31}(E_2-E_1)}{(E_1+E_3)(E_2+E_3)} & & \end{pmatrix} \quad (11)$$

首先求解  $H_{04}$  的本征态

$$\begin{pmatrix} E_1 - \mu & i\Delta_e e^{-i\theta}(\partial_k - iA_k^{11} - \frac{i\partial_\theta + A_\theta^{11}}{k}) & 0 & \Delta_e e^{-i\theta}(A_k^{12} - \frac{i}{k}A_\theta^{12}) \\ i\Delta_e e^{i\theta}(\partial_k - iA_k^{11} + \frac{i\partial_\theta + A_\theta^{11}}{k}) & \mu - E_1 & \Delta_e e^{i\theta}(A_k^{12} + \frac{i}{k}A_\theta^{12}) & 0 \\ 0 & \Delta_e e^{-i\theta}(A_k^{21} - \frac{i}{k}A_\theta^{21}) & E_2 - \mu & i\Delta_e e^{-i\theta}(\partial_k - iA_k^{22} - \frac{i\partial_\theta + A_\theta^{22}}{k}) \\ \Delta_e e^{i\theta}(A_k^{21} + \frac{i}{k}A_\theta^{21}) & 0 & i\Delta_e e^{i\theta}(\partial_k - iA_k^{22} + \frac{i\partial_\theta + A_\theta^{22}}{k}) & \mu - E_2 \end{pmatrix} \quad (12)$$

做一个变换

$$U = \frac{1}{\sqrt{k}} \begin{pmatrix} e^{i(j-1)\theta} & & & \\ & -ie^{ij\theta} & & \\ & & e^{i(j-1)\theta} & \\ & & & -ie^{ij\theta} \end{pmatrix} \quad (13)$$

变换后可以得到

$$U^\dagger H U = \begin{pmatrix} E_1 - \mu & \Delta_e(\partial_k - iA_k^{11} + \frac{j-\frac{1}{2}-A_\theta^{11}}{k}) & 0 & -i\Delta_e(A_k^{12} - \frac{i}{k}A_\theta^{12}) \\ \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{11}}{k} + iA_k^{11} - \partial_k) & \mu - E_1 & i\Delta_e(A_k^{12} + \frac{i}{k}A_\theta^{12}) & 0 \\ 0 & -i\Delta_e(A_k^{21} - \frac{i}{k}A_\theta^{21}) & E_2 - \mu & \Delta_e(\partial_k - iA_k^{22} + \frac{j-\frac{1}{2}-A_\theta^{22}}{k}) \\ i\Delta_e(A_k^{21} + \frac{i}{k}A_\theta^{21}) & 0 & \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{22}}{k} + iA_k^{22} - \partial_k) & \mu - E_2 \end{pmatrix} \quad (14)$$

$$= i\Delta_e \partial_k s_0 \tau_y + \frac{1}{2}(E_1 + E_2 - 2\mu)s_0 \tau_z + \frac{1}{2}(E_1 - E_2)s_z \tau_z + \left( \begin{array}{c} \\ \\ \\ \end{array} \right) \quad (15)$$

其中前两项似乎可以看成四维的 JRmodel

$$H = i\Delta_e \partial_k s_0 \tau_y + \frac{1}{2}(E_1 + E_2 - 2\mu)s_0 \tau_z + \frac{1}{2}(E_1 - E_2)s_z \tau_z \quad (16)$$

设其本征态为  $\psi(k)$ , 考虑零能解, 可以得到

$$i\Delta_e \partial_k s_0 \tau_y \psi(k) = [\frac{1}{2}(E_1 + E_2 - 2\mu)s_0 \tau_z + \frac{1}{2}(E_1 - E_2)s_z \tau_z] \psi(k) \quad (17)$$

两边同时乘以  $s_0 \tau_y$  可以得到

$$\partial_k \psi(k) = \frac{1}{\Delta_e} [\frac{1}{2}(E_1 + E_2 - 2\mu)s_0 \tau_x + \frac{1}{2}(E_1 - E_2)s_z \tau_x] \psi(k) \quad (18)$$

$\psi(k)$  必定是  $\frac{1}{2}(E_1 + E_2 - 2\mu)s_0\tau_x + \frac{1}{2}(E_1 - E_2)s_z\tau_x$  的本征态，可以求得其本征态为

$$\psi_1^+ = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad \psi_1^- = \begin{pmatrix} 1 \\ -1 \\ 0 \\ 0 \end{pmatrix} \quad \psi_2^+ = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 1 \end{pmatrix} \quad \psi_2^- = \begin{pmatrix} 0 \\ 0 \\ 1 \\ -1 \end{pmatrix} \quad (19)$$

由此可得

$$\partial_k \psi_1(k) = \eta \frac{E_1 - \mu}{\Delta_e} \psi_1(k) \quad (20)$$

$$\partial_k \psi_2(k) = \eta \frac{E_2 - \mu}{\Delta_e} \psi_2(k) \quad (21)$$

其中  $\eta = \pm$ , 由此可以得到

$$\psi_1(k) = C e^{\int^k \eta \frac{E_1 - \mu}{\Delta_e} dk'} \quad (22)$$

$$\psi_2(k) = C e^{\int^k \eta \frac{E_2 - \mu}{\Delta_e} dk'} \quad (23)$$

其零能解出现在  $E_1 - \mu$  或  $E_2 - \mu$  改变符号的地方。此时再来求解这个矩阵的本征值

$$\begin{pmatrix} 0 & \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{11}}{k} - iA_k^{11}) & 0 & -i\Delta_e(A_k^{12} - \frac{i}{k}A_\theta^{12}) \\ \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{11}}{k} + iA_k^{11}) & 0 & i\Delta_e(A_k^{12} + \frac{i}{k}A_\theta^{12}) & 0 \\ 0 & -i\Delta_e(A_k^{21} - \frac{i}{k}A_\theta^{21}) & 0 & \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{22}}{k} - iA_k^{22}) \\ i\Delta_e(A_k^{21} + \frac{i}{k}A_\theta^{21}) & 0 & \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{22}}{k} + iA_k^{22}) & 0 \end{pmatrix} \quad (24)$$

将其分块对角，即求解

$$\begin{pmatrix} \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{11}}{k} - iA_k^{11}) & -i\Delta_e(A_k^{12} - \frac{i}{k}A_\theta^{12}) \\ -i\Delta_e(A_k^{21} - \frac{i}{k}A_\theta^{21}) & \Delta_e(\frac{j-\frac{1}{2}-A_\theta^{22}}{k} - iA_k^{22}) \end{pmatrix} \quad (25)$$

$$= \frac{\Delta_e}{k}(j - \frac{1}{2})\sigma_0 + \Delta_e \begin{pmatrix} -\frac{A_\theta^{11}}{k} - iA_k^{11} & -\frac{A_\theta^{12}}{k} - iA_k^{12} \\ -\frac{A_\theta^{21}}{k} - iA_k^{21} & -\frac{A_\theta^{22}}{k} - iA_k^{22} \end{pmatrix} \quad (26)$$

各向同性的时候费米面处  $SU(2)$  的 Berry phase 为

$$\phi_{FS} = \oint_{FS} \vec{A}_{ij} d\vec{k} \quad (27)$$

$$= \int_0^{2\pi} k d\theta \langle \psi_i | \frac{\partial_\theta}{k} | \psi_j \rangle \quad (28)$$

$$= 2\pi A_\theta^{ij} \quad (29)$$

其中  $A_k$  无法通过规范变换全部消除，即除了 Berry phase 似乎还有其他项，但这些项是否为 0 我还无法确定。

## 1 Conclusion

在  $k_z = 0$  的时候，将电子部分哈密顿量化成了  $3 \times 3$  分块对角，然后考虑超导以及 vortex，将其写到 particle-hole space，并且投影到电子哈密顿量的本征态上，然后将  $6 \times 6$  的哈密顿量用中岛变换将非对角块的部分变换到块对角部分，目前只考虑到一阶近似。先考虑  $4 \times 4$  这一块部分，首先不考虑中岛变换过来的微扰项，这一部分似乎可以化成  $4 \times 4$  的 Jackiw-Rebbi，然后按照能量可以变成两套  $2 \times 2$  的 Jackiw-Rebbi。然后进一步考虑其他项的时候，发现除了 SU(2) 的 Berry phase 还有一些  $A_k$  项，感觉无法消掉。