

#### 请现场的同学们:

打开雨课堂,点击页面右下角喇叭按钮调至静音状态

本次课程是

## 线上+线下

## 融合式教学

#### 请远程上课的同学们:

1. 打开雨课堂,点击页面右下角喇 叭按钮调至静音状态

2. 打开"瞩目" (会议室: 182

943 865; 密码: 见学堂公告),

进入会议室,并关闭麦克风



Database Concepts (II)

## **Data Models**

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## **Outline**

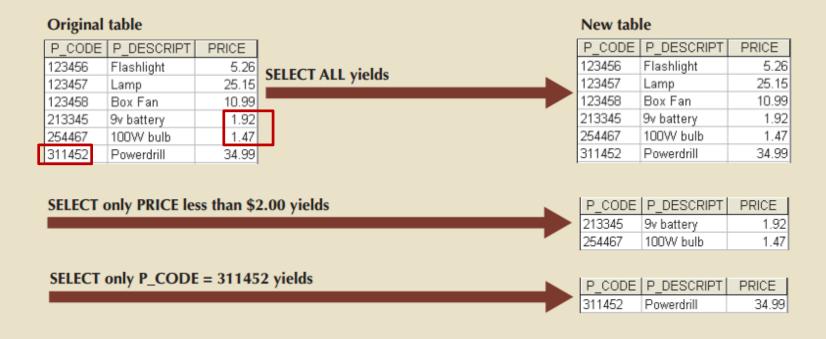
- Introduction to data models
- → Relational data model\*
  - No-SQL data models

#### Relational Set Operators (1 of 13)

- Selection (restrict)
  - Unary operator that yields a horizontal subset of a table
- Projection
  - Unary operator that yields a vertical subset of a table
- Union
  - Combines all rows from two tables, excluding duplicate rows
  - Union-compatible: tables share the same number of columns, and their corresponding columns share compatible domains
- Intersection
  - Yields only the rows that appear in both tables
  - Tables must be union-compatible to yield valid results

## Relational Set Operators (2 of 13)

#### FIGURE 3.4 SELECT

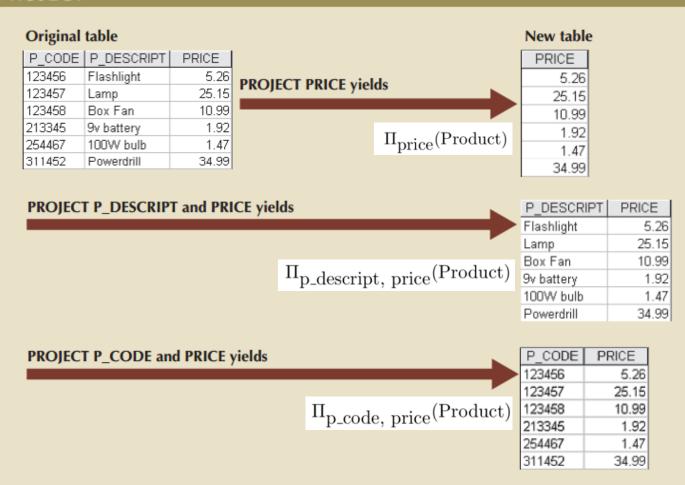


$$\sigma_{\text{price}<2}(\text{Product})$$
  $\sigma_{\text{p-code}=311452}(\text{Product})$ 

σ<sub>predicate</sub>(**R**) The Selection operation works on a single relation R and defines a relation that contains only those tuples of R that satisfy the specified condition (*predicate*).

## Relational Set Operators (3 of 13)

#### FIGURE 3.5 PROJECT



 $\Pi_{a_1,\ldots,a_n}(R)$  The Projection operation works on a single relation R and defines a relation that contains a vertical subset of R, extracting the values of specified attributes and eliminating duplicates.

#### Relational Set Operators (4 of 13)

#### FIGURE 3.6 UNION

	P_CODE	P_DESCRIPT	PRICE
	123456	Flashlight	5.26
_	123457	Lamp	25.15
	123458	Box Fan	10.99
Ī	213345	9v battery	1.92
	254467	100W bulb	1.47
	311452	Powerdrill	34.99



P_CODE	P_DESCRIPT	PRICE
345678	Microwave	160.00
345679	Dishwasher	500.00
123458	Box Fan	10.99



$$\Pi_{\text{p\_code},\text{p\_descript},\text{price}}(\text{Product1}) \cup \Pi_{\text{p\_code},\text{p\_descript},\text{price}}(\text{Product2})$$

RUS The union of two relations R and S defines a relation that contains all the tuples of R, or S, or both R and S, duplicate tuples being eliminated. R and S must be union-compatible.

#### FIGURE 3.7 INTERSECT

		_			_		
STU_FNAME	STU_LNAME	INTERSECT	EMP_FNAME	EMP_LNAME	yields _	STU_FNAME	STU_LNAME
George	Jones		Franklin	Lopez		Franklin	Johnson
Jane	Smith		William	Turner			
Peter	Robinson		Franklin	Johnson			
Franklin	Johnson		Susan	Rogers			
Martin	Lopez			-			

$$\Pi_{\text{stu\_fname},\text{stu\_lname}}(\text{STU}) \cap \Pi_{\text{emp\_fname},\text{emp\_lnname}}(\text{EMP})$$

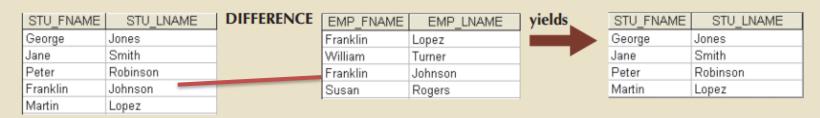
R ∩ S The Intersection operation defines a relation consisting of the set of all tuples that are in both R and S. R and S must be union-compatible.

## Relational Set Operators (5 of 13)

#### Set difference

- Yields all rows in one table that are not found in the other table
- Tables must be union-compatible to yield valid results

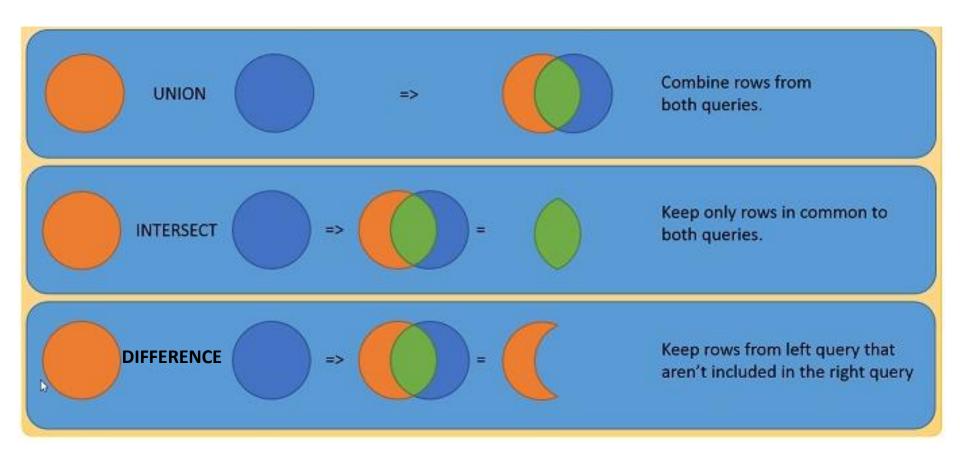
#### FIGURE 3.8 DIFFERENCE



$$\Pi_{\text{stu\_fname,stu\_lname}}(\text{STU}) - \Pi_{\text{emp\_fname,emp\_lnname}}(\text{EMP})$$

R - S The Set difference operation defines a relation consisting of the tuples that are in relation R, but not in S. R and S must be union-compatible.

## $R \cap S = R - (R - S)$ by Venn diagram



9

#### Relational Set Operators (6 of 13)

- Cartesian Product
  - Yields all possible pairs of rows from two tables

#### FIGURE 3.9 PRODUCT

P_CODE	P_DESCRIPT	PRICE
123456	Flashlight	5.26
123457	Lamp	25.15
123458	Box Fan	10.99
213345	9v battery	1.92
254467	100W bulb	1.47
311452	Powerdrill	34.99

**PRODUCT** 

STORE	AISLE	SHELF
23	W	5
24	K	9
25	Z	6



P_CODE	P_DESCRIPT	PRICE	STORE	AISLE	SHELF
123456	Flashlight	5.26	23	W	5
123456	Flashlight	5.26	24	K	9
123456	Flashlight	5.26	25	Z	6
123457	Lamp	25.15	23	W	5
123457	Lamp	25.15	24	K	9
123457	Lamp	25.15	25	Z	6
123458	Box Fan	10.99	23	W	5
123458	Box Fan	10.99	24	K	9
123458	Box Fan	10.99	25	Z	6
213345	9v battery	1.92	23	W	5
213345	9v battery	1.92	24	K	9
213345	9v battery	1.92	25	Z	6
311452	Powerdrill	34.99	23	W	5
311452	Powerdrill	34.99	24	K	9
311452	Powerdrill	34.99	25	Z	6
254467	100W bulb	1.47	23	W	5
254467	100W bulb	1.47	24	K	9
254467	100W bulb	1.47	25	Z	6

$$\Pi_{\text{p\_code, p\_descript, price}}(\text{Product}) \times \Pi_{\text{store, aisle, shelf}}(\text{Stores})$$

**R** × **S** The Cartesian product operation defines a relation that is the concatenation of every tuple of relation R with every tuple of relation S.

## Relational Set Operators (7 of 13)

- Joins allow information to be intelligently combined from two or more tables
  - Natural join: links tables by selecting only the rows with common values in their common attribute
  - Equijoin: links tables on the basis of an equality condition that compares specified columns of each table
  - Theta join: links tables using an inequality comparison operator
  - Inner join: only returns matched records from the tables that are being joined
  - Outer join: matched pairs are retained and unmatched values in the other table are left null
    - Left outer join: yields all of the rows in the first table, including those that do not have a matching value in the second table
    - Right outer join: yields all of the rows in the second table, including those that do not have matching values in the first table

## Relational Set Operators (8 of 13)

#### FIGURE 3.10 TWO TABLES THAT WILL BE USED IN JOIN ILLUSTRATIONS

Table name: CUSTOMER

CUS_CODE	CUS_LNAME	CUS_ZIP	AGENT_CODE
1132445	Walker	32145	231
1217782	Adares	32145	125
1312243	Rakowski	34129	167
1321242	Rodriguez	37134	125
1542311	Smithson	37134	421
1657399	Vanloo	32145	231

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AGENT_CODE	AGENT_PHONE
125	6152439887
167	6153426778
231	6152431124
333	9041234445

CUS_CODE	CUS_LNAME	CUS_ZIP	AGENT_CODE	AGENT_PHONE
1217782	Adares	32145	125	6152439887
1321242	Rodriguez	37134	125	6152439887
1312243	Rakowski	34129	167	6153426778
1132445	Walker	32145	231	6152431124
1657399	Vanloo	32145	231	6152431124

#### Customer $\bowtie$ Agent

**R**  $\bowtie$  **S** The Natural join is an Equijoin of the two relations R and S over all common attributes x. One occurrence of each common attribute is eliminated from the result.

## Relational Set Operators (9 of 13)

#### FIGURE 3.11 NATURAL JOIN, STEP 1: PRODUCT

CUS_CODE	CUS_LNAME	CUS_ZIP	CUSTOMER.AGENT_CODE	AGENT.AGENT_CODE	AGENT_PHONE
1132445	Walker	32145	231	125	6152439887
1132445	Walker	32145	231	167	6153426778
1132445	Walker	32145	231	231	6152431124
1132445	Walker	32145	231	333	9041234445
1217782	Adares	32145	125	125	6152439887
1217782	Adares	32145	125	167	6153426778
1217782	Adares	32145	125	231	6152431124
1217782	Adares	32145	125	333	9041234445
1312243	Rakowski	34129	167	125	6152439887
1312243	Rakowski	34129	167	167	6153426778
1312243	Rakowski	34129	167	231	6152431124
1312243	Rakowski	34129	167	333	9041234445
1321242	Rodriguez	37134	125	125	6152439887
1321242	Rodriguez	37134	125	167	6153426778
1321242	Rodriguez	37134	125	231	6152431124
1321242	Rodriguez	37134	125	333	9041234445
1542311	Smithson	37134	421	125	6152439887
1542311	Smithson	37134	421	167	6153426778
1542311	Smithson	37134	421	231	6152431124
1542311	Smithson	37134	421	333	9041234445
1657399	Vanloo	32145	231	125	6152439887
1657399	Vanloo	32145	231	167	6153426778
1657399	Vanloo	32145	231	231	6152431124
1657399	Vanloo	32145	231	333	9041234445

#### Relational Set Operators (10 of 13)

#### FIGURE 3.12 NATURAL JOIN, STEP 2: SELECT

CUS_CODE	CUS_LNAME	CUS_ZIP	CUSTOMER.AGENT_CODE	AGENT.AGENT_CODE	AGENT_PHONE
1217782	Adares	32145	125	125	6152439887
1321242	Rodriguez	37134	125	125	6152439887
1312243	Rakowski	34129	167	167	6153426778
1132445	Walker	32145	231	231	6152431124
1657399	Vanloo	32145	231	231	6152431124

#### FIGURE 3.13 NATURAL JOIN, STEP 3: PROJECT

CUS_CODE	CUS_LNAME	CUS_ZIP	AGENT_CODE	AGENT_PHONE
1217782	Adares	32145	125	6152439887
1321242	Rodriguez	37134	125	6152439887
1312243	Rakowski	34129	167	6153426778
1132445	Walker	32145	231	6152431124
1657399	Vanloo	32145	231	6152431124

 $\Pi_{\rm cus\_code},$  cus\_lname, cus\_zip, customer.agent\_code, agent\_code (Customer.agent\_code=agent\_agent\_code Agent)

The Theta join operation defines a relation that contains tuples satisfying the predicate F from the Cartesian product of R and S. The predicate F is of the form R.a<sub>i</sub>  $\theta$  S.b<sub>i</sub> where  $\theta$  may be one of the comparison operators  $(<, \le, >, \ge, =, \ne)$ .

#### Relational Set Operators (11 of 13)

#### FIGURE 3.14 LEFT OUTER JOIN

CUS_CODE	CUS_LNAME	CUS_ZIP	CUSTOMER.AGENT_CODE	AGENT.AGENT_CODE	AGENT_PHONE
1217782	Adares	32145	125	125	6152439887
1321242	Rodriguez	37134	125	125	6152439887
1312243	Rakowski	34129	167	167	6153426778
1132445	Walker	32145	231	231	6152431124
1657399	Vanloo	32145	231	231	6152431124
1542311	Smithson	37134	421		

Table name: CUSTOMER

CUS_CODE	CUS_LNAME	CUS_ZIP	AGENT_CODE
1132445	√Valker	32145	231
1217782	Adares	32145	125
1312243	Rakowski	34129	167
1321242	Rodriguez	37134	125
1542311	Smithson	37134	421
1657399	Vanloo	32145	231

Table name: AGENT

AGENT_CODE	AGENT_PHONE
125	6152439887
167	6153426778
231	6152431124
333	9041234445

Customer  $\bowtie$  Agent

R → S The (left) Outer join is a join in which tuples from R that do not have matching values in the common attributes of S are also included in the result relation. Missing values in the second relation are set to null.

#### FIGURE 3.15 RIGHT OUTER JOIN

CUS_COD	DE CUS_LNAME	CUS_ZIP	CUSTOMER.AGENT_CODE	AGENT.AGENT_CODE	AGENT_PHONE
1217782	Adares	32145	125	125	6152439887
1321242	Rodriguez	37134	125	125	6152439887
1312243	Rakowski	34129	167	167	6153426778
1132445	Walker	32145	231	231	6152431124
1657399	Vanloo	32145	231	231	6152431124
				333	9041234445

## Relational Set Operators (12 of 13)

#### Division

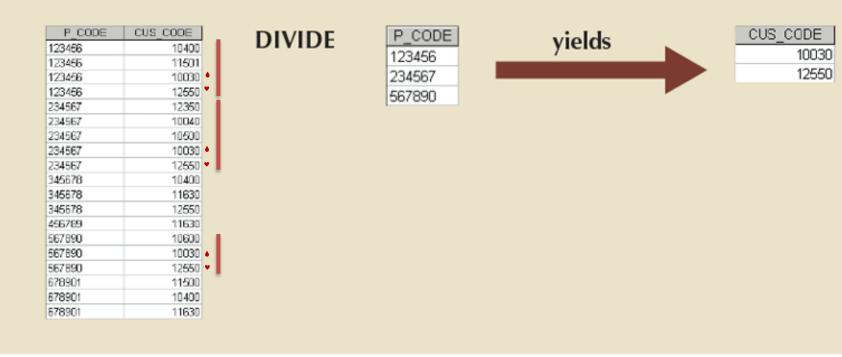
- Uses one double-column table as the dividend and one single-column table as the divisor
- Output is a single column that contains all values from the second column of the dividend that are associated with every row in the divisor

R ÷ S

The Division operation defines a relation over the attributes C that consists of the set of tuples from R that match the combination of **every** tuple in S.

## Relational Set Operators (13 of 13)

#### FIGURE 3.16 DIVIDE



 $(\Pi_{\text{p\_code, cus\_code}} \; (\text{Purchase})) \; \div \; (\Pi_{\text{p\_code}} \; (\text{Product}))$ 

#### How to implement the division operation

#### FIGURE 3.16 DIVIDE

P CODE	CUS CODE
123456	10400
123456	11501
123456	10030
123456	12550
234567	12350
234567	10040
234567	10500
234567	10030
234567	12550
345678	10400
345678	11630
345678	12550
456789	11630
567890	10600
567890	10030
567890	12550
678901	11500
678901	10400
678901	11630

DIVIDE



$$(\Pi_{\text{p\_code, cus\_code}} \; (\text{Purchase})) \; \div \; (\Pi_{\text{p\_code}} \; (\text{Product}))$$

$$T_1 \leftarrow \Pi_{\text{cus\_code}} \text{ (Purchase)}$$

$$T_2 \leftarrow \Pi_{\text{cus\_code}} ( (T_1 \times \Pi_{\text{p\_code}} (\text{Product})) - (\Pi_{\text{cus\_code},\text{p\_code}} (\text{Purchase})) )$$

$$T \leftarrow T_1 - T_2$$

## Data Dictionary and the System Catalog

- Data dictionary
  - Description of all tables in the database created by the user and designer
- System catalog
  - System data dictionary that describes all objects within the database
- Homonyms and synonyms must be avoided to lessen confusion
  - Homonym: same name is used to label different attributes
  - Synonym: different names are used to describe the same attribute

#### RDBMS: Pros & Cons



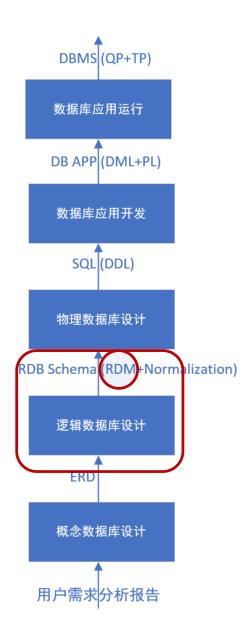
# Pros

- Strong theoretical foundation
- Declarative syntax
- Standard data access language through SQL
- ...



## Cons

- Scalability
- Data has to fit into tables
- ...



## **Outline**

- Introduction to data models
- Relational data model\*



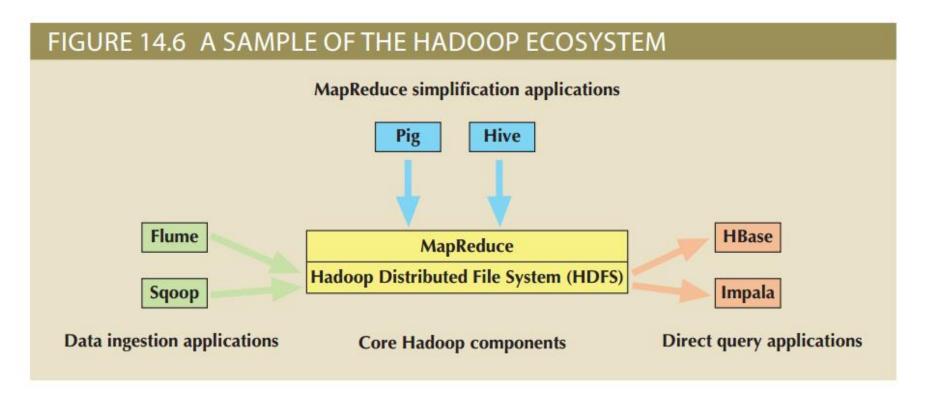
## Big Data

- Other characteristics beyond 3Vs
  - Value: degree data can be analyzed for meaningful insight
  - Veracity: trustworthiness of data
  - Variability: changes in meaning of data based on context
  - Sentimental analysis: attempts to determine if a statement conveys a positive, negative, or neutral attitude about a topic
  - Visualization: ability to graphically resent data to make it understandable

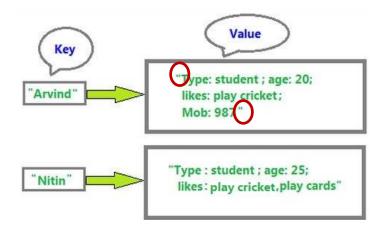
## Hadoop

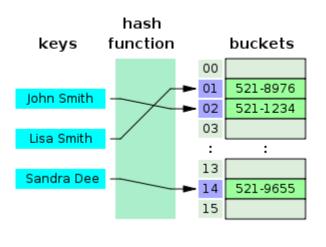
- De facto standard for most Big Data storage and processing
  - Java-based framework for distributing and processing very large data sets across clusters of computers
- Important components
  - Hadoop Distributed File System (HDFS): low-level distributed file processing system that can be used directly for data storage
  - MapReduce: programming model that supports processing large data sets

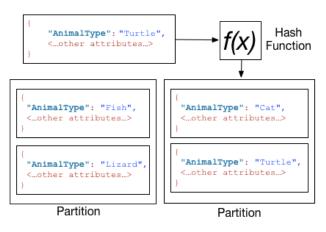
## Hadoop Ecosystem



- Key-value DBMS
  - Schema free
  - High Scalability











- Document-oriented DBMS
  - Self-describing
  - Hierarchical tree data structure
  - Documents have differences in their attributes
    - But belong to same collection

```
<Books>
    <Book ISBN="0553212419">
        <title>Sherlock Holmes: Complete Novels...
        <author>Sir Arthur Conan Doyle</author>
    </Book>
    <Book ISBN="0743273567">
        <title>The Great Gatsby</title>
        <author>F. Scott Fitzgerald</author>
    </Book>
    <Book ISBN="0684826976">
        <title>Undaunted Courage</title>
        <author>Stephen E. Ambrose</author>
    <Book ISBN="0743203178">
        <title>Nothing Like It In the World</title>
        <author>Stephen E. Ambrose</author>
    </Book>
</Books>
```

```
Customer Document
"customer" =
  "id": "Customer:1",
  "firstName": "John"
  "lastName": "Wick",
   "address": (
        "country": "US",
       "city": "New York",
       "state": "NY"
       "street": "21 2nd Street",
  "hobbies": [ Football, Hiking ],
  "phoneNumbers": [
       "type": "Home",
        "number": "212 555-1234"
        "type": "Office",
       "number": "616 565-6789"
```



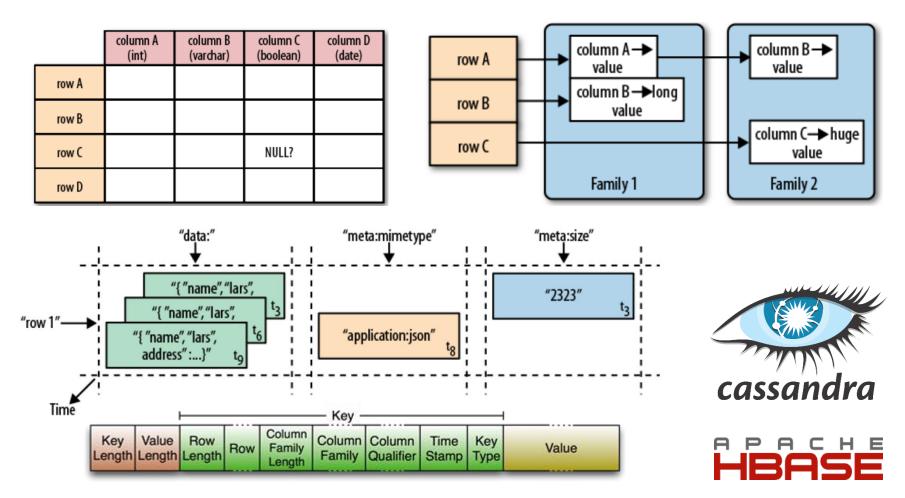
#### Column-oriented DBMS

	URLS						
	url_id Integer PK	uri Varchar(4096)	ref_short_id CHAR(8)		description VARCHAR(400)	content TEXT	
	1	http://hbase.apache.org	3fG4J	HBase Home	Great tool!	<html><head><title>HBase Home&lt;/ti&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;2&lt;/td&gt;&lt;td&gt;http://larsgeorge.com&lt;/td&gt;&lt;td&gt;1337&lt;/td&gt;&lt;td&gt;Lineland&lt;/td&gt;&lt;td&gt;&lt;NULL&gt;&lt;/td&gt;&lt;td&gt;&lt;html&gt;&lt;body&gt;Newest Posts&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;3&lt;/td&gt;&lt;td&gt;http://foobar.com/index.html&lt;/td&gt;&lt;td&gt;Hf34h&lt;/td&gt;&lt;td&gt;&lt;NULL&gt;&lt;/td&gt;&lt;td&gt;Read about it&lt;/td&gt;&lt;td&gt;404 Page not found.&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;4&lt;/td&gt;&lt;td&gt;http://cnn.com/page123.html&lt;/td&gt;&lt;td&gt;00001&lt;/td&gt;&lt;td&gt;Sport News&lt;/td&gt;&lt;td&gt;Soccer News&lt;/td&gt;&lt;td&gt;&lt;html&gt;&lt;body&gt;Results, Reviews,&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;i&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;/tbody&gt;&lt;/table&gt;</title></head></html>	

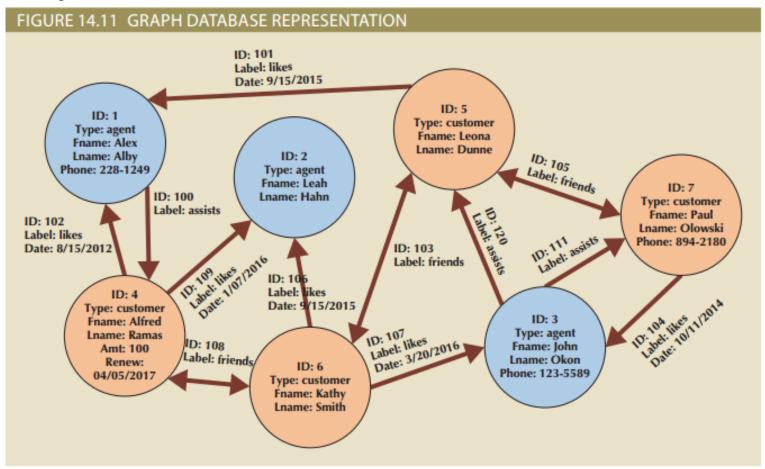
Oriented Storage			8	4					1
ed St	Row 1	1	http://hbase.apache.org	Col 1: url	http://hbase.apache.org	http://larsgeorge.com	http://foobar.com/index.html	http://cnn.com/page12	
rient	Row 2	2	http://larsgeorge.com	Col 2: ref_short_id	3fG4J	1337	Hf34h	00001	
_	Row 3	3	http://foobar.com/index.html	The second secon	HBase Home	Lineland	<null></null>	Sport News	
R				Col 4: description	Great tool!	<null></null>	Read about it	Soccer News	
				Col 5: content	<html><head><title>HBa&lt;/td&gt;&lt;td&gt;&lt;html&gt;&lt;body&gt;Newest Po&lt;/td&gt;&lt;td&gt;404 Page not found.&lt;/td&gt;&lt;td&gt;&lt;html&gt;&lt;body&gt;Results,&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;&lt;/th&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;/tbody&gt;&lt;/table&gt;</title></head></html>				

Database Concepts: Data Models

#### Column-oriented DBMS



#### Graph DBMS



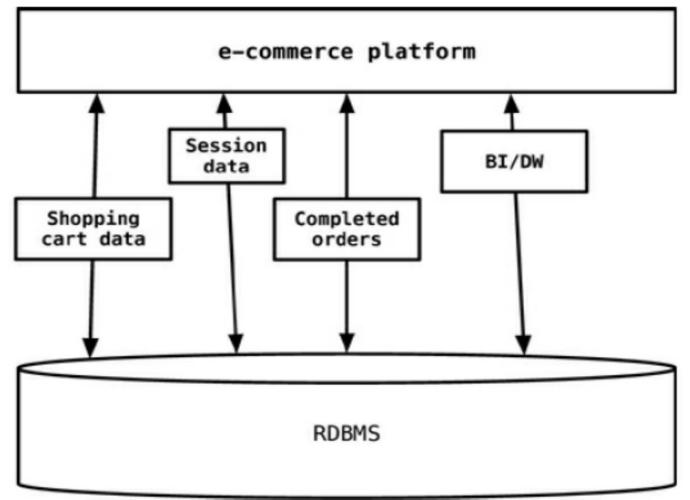


#### NewSQL Databases

- NewSQL databases support:
  - SQL as the primary interface
  - ACID-compliant transactions
- Similar to NoSQL, NewSQL databases also support:
  - Highly distributed clusters
  - Key-value or column-oriented data stores
- Latest technologies to address Big Data problems
  - Have been adopted by relatively few organizations

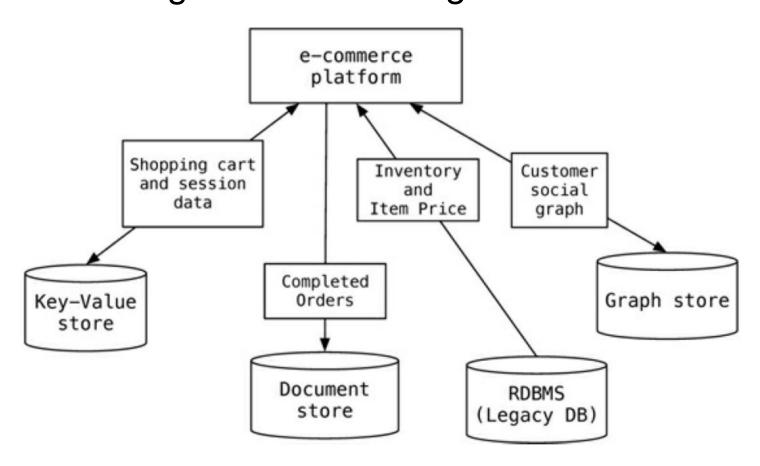
#### Discuss: Use of RDBMS for every aspect of storage?

Use of RDBMS for every aspect of storage for the application



#### **Discuss: Polyglot persistence**

Example implementation of Polyglot persistence coexistence of a variety of data storage and management technologies within an organization's infrastructure



#### **Conclusions**

- Relational data model
  - Data Structures
  - Constraints
  - Operations (Relational Algebra)
- Hadoop
  - HDFS, MapReduce
- No-SQL data models
  - Key-Value
  - Column-Oriented
  - Document
  - Graph

#### Homework

- Read Chapter 3 (3.4--3.5) of DS1.
- Assignment
  - Later in Yuketang
- Further Reading
  - Chapter 14 of DS1.
  - Chapter 5.1 of DS2.
  - Chapter 13 (Polyglot Persistence) in "NoSQL Distilled".

## Thank you!

