Purpose-oriented Study on Commits For Better Software Quality

Jincheng He

Department of Computer Science University of Southern California jinchenh@usc.edu

Abstract—Nowadays, it is common to see software being developed with support from online version control systems (VCS), such as Git. This makes it easier to track the development as well as to evaluate the quality of the software. At the same time, open source makes the projects more vulnerable [] because the developers can be from different organizations with different level of skills. Thus, open source software (OSS) quality investigation, control and improvements are one of the critical issues in the area of software engineering, and repository mining is one of the approaches to conduct them. Thus far, by repository mining, various researches have been conducted to assess the quality of software, but there is still space for investigations about analyzing how developer behaviors impact software quality and to extend the concept of software quality. In this research area, little work has been done in classification-based study on commits, focusing on purposes of commits, commit message and code patterns, to analyze their relations with and impact on software quality. In this white paper, we list fields where and how further research can be done for the sake of a better software quality.

Index Terms—Software Engineering, Software Maintenance, Software Quality, Open Source Software

I. Introduction

A. Open Source Software and Version Control System

It has been a long time since developing software in an open source repository became a common way of developing software. In those project, some of them are of industrial scale. As the scale has grown far beyond the level that an individual can control and manage, how to efficiently conduct quality control and project management is one of the critical issue in open source software development.

Most industry-scale software are developed by iterative contributions from the project teams [], through ICSM, Agile, DevOps or other process models. In the iterations, version control systems, such as Git and SVN, play a critical role by enabling and facilitate the concurrent contributions from developers. Each revision, or commitment (referred as "commit" in the rest of the paper) contains diffs which are the lines developers change.

These changes can be made by developers from different area of the world, at different times, with different purposes and have different level of impacts on the software [1], correspondingly having negative or positive impact on the software quality. Thus, it is necessary to investigate how these differences influence the software quality to understand it and control it better during the development and maintenance phases.

Focusing on the different purposes of commits, this research white paper investigate how different types of commits, with respect to their purposes, impact the software quality and propose what we can do to control it.

B. Level of Commit Impacts

In all commits to a project, some commits can be less while others being more impactful on the project and software quality. Some projects have multiple modules, one of which is core modules while others are less critical to the entire project. Moreover, some commits many contains only a few documentation fixes while others do hundreds of lines of modifications. These commits in this situation can have different level of impact on the entire project.

The level of impact can be defined in various ways to specify what to investigate. For example, in some previous study, researchers defined impactful commits by whether they are in the core module [2], [3]. We believe that the more critical are the commits, the earlier they should be taken care of, in the sense of quality control and management.

C. Purposes of Commits

While the level of impact can be different, the type of commits can also be different. For example, some commits add a few lines of documentation or comments to code while others can refactoring the code structure or made module-level modifications.

It is common for developers to upload single-purpose commits¹. However, in commits where changes such as refactoring, adding new dependencies, minor fixing happen, the commits tend to grow beyond its intended task. Each commit includes a prescriptive message documenting the changes made², in practice with varying degrees of efficacy.

In addition, it has been shown that different types, by purpose, of commits have impact on one aspect of the software quality, which is compilability [1]. Thus, it is worth working on to investigate how different types of commits impact other aspects of software quality and how they are related to the other metadata of software to acquire a new series guidelines by which developers can following to help improve the overall software quality.

¹https://www.freshconsulting.com/atomic-commits/

²https://git-scm.com/book/en/v2/Distributed-Git-Contributing-to-a-Project

Previous research has produced and refined taxonomies for commit categorization [?], [1], [4]–[8] which is an option for this research for understanding the purposes of commits.

D. Commit Message

One critical piece of the project metadata is the commit message. When developers push some change to the online repository, a commit message is usually required to explain what they have change in the commit they want to push.

These messages provide important clues for understanding the purposes, thus the types of those commits. As a result, in order to conduct this categorizational study, a further insight is needed in analyzing commit message, about how they are written by human, how they are generated by some agents and how they are related to the software quality, for example, readability and maintenability. [TODO: Some more references to be added here.]

E. Code Pattern

The commit messages are summaries from developers about their work while the code is more fundamental and significant part of the commits. How code should be written, for the sake of better software quality, has long been a hard problem to attack. [TODO: Add some more references here.] A good example of this kind of work can be Dr. Liscov and her contribution to code hierarchy.

Also, there are some work aiming at how to generate code automatically, which can be seen in testing code generation tools [TODO: Some more contents to be added here.]

Both hand-typed code and auto-generated code are related to this research. For hand-written code, it is possible to use them to train a prediction model by using NLP techniques to automatically extract keywords from code changes, thus supporting the categorization. On the other hand, auto-generation can be one of the applicational practice of the research results, since one of the goal of the research is to build guidelines of how to better contribute to software with respect to different types of changes.

F. Software Quality

The final goal of the research is to improve software quality in the sense of how to contribute to open source software. Thus, defining the way to assess software quality of projects is one of the most important issues of this research.

As we know, software quality can be evaluated from different directions. For example, COCOMO and COCOMO II [TODO: Add some more contents and references here] evaluate software with respect to their cost. Some tools, such PMD, SonarQube, FindBugs and CAST evaluate software by defining metrics based on software metadata and some algorithms, reflecting the level of security, vulnerability and architecture. [TODO: Some more references here, including the official site links].

In this research, the tool-based software quality metrics is major representation of quality we plan to use. In a more general sense, we use compilability [TODO: Add something about robustness, maintenability, feasibility], and on the completion of this research, plan to use categories and category distributions of commits as a novel set of software quality metrics.

G. Outline of This Research White Paper

In this white paper, we evaluate previous work on close research areas of this research: commit message, code parttern and software quality representation and propose a purposeoriented categorizational analysis approach on commits and software quality.

Our goal is to construct a reasonable categorization for commits, based on their purposes, investigate its relation with software quality and consequently find a way to improve the software quality.

The sections of this white paper is organized as following:

- Section II-A introduce our current data set, which we will use for the research, following by a plan of what we want to collect in addition to current set to support further analysis.
- Section III discusses previous works in categorizing commits, either by their purposes, sizes and other criteria and how we can apply them to analyze impact on software quality. Furthermore, it also discusses the critical issues in the process of categorization that need extra effort to deal with.
- Section IV discusses previous works in analyzing, extracting information, and auto-generation of commit messages [TODO: some more sub-directions can be added here] and how we can use commit message to help categorization and analyzing quality metrics.
- Section V discusses previous works in code patterns in the context of natural language processing and how we can use them to support the categorization and how they are related to software quality.
- Section VI discusses our way of assessing software quality, including tool-based analysis and extra new metrics.
- Section VII discusses the plan and final goals of this research and how it can be applied practically to serve software development for a better quality.
- Section VIII concludes the white paper.

II. Data

A. Current Data Set

The initial data set is introduced in previous studies [2], [3], which provides compilability and software quality metrics across 68 open-source Java projects owned by Apache, Google, and Netflix with over 130000 commits, around 30000 out of which are considered as impactful commits.

An impactful commit is a commit that have changes in their core module.

Following these studies, we manually tag 314 uncompilable commits and 1600 compilable commits, each of which are at least cross-validated by two different researchers.

We use the following terminology, as shown in Fig. 1, to categorize commits based on their impact on compilability.

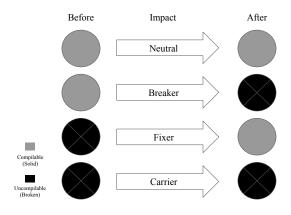


Fig. 1. Four Types of Impactful Commit

As we consider one of the quality aspects is compilability, we also define terms regarding it.

- A core module is a module that contains the majority of the source code, such as the main modules in most Apache library systems.
- A commit is *impactful* if it changes a core module. An impactful commit is *broken* if it creates an uncompilable revision; otherwise, it is *solid*.
- A broken commit is a *breaker* if it breaks the compilability of its solid parent; otherwise, it is a *carrier*.
- A solid commit is a *fixer* if it fixes its broken parent; otherwise it is *neutral*. Fig. 2 shows an example of a commit sequence with these four types of impactful commit.

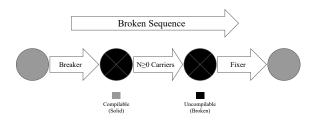


Fig. 2. An Example of a Broken Sequence

We do not categorize orphan or merge commits into breaker, carrier, fixer, or neutral as their impact is not identifiable by comparing two software revisions (i.e., before and after).

For selecting subject systems, we retrieve all Java projects owned by Google and Netflix from GitHub. We select a system only if it 1) requires Ant, Maven, or Gradle for compilation and is not an Android, a Bazel, or an Eclipse project, 2) does not require manual installation of other tools (e.g., Protoc) for compilation, 3) is an official product of the organization, and 4) has a core module containing a substantial amount of code. We target the core module and identify impactfuls in each system and exclude those with fewer than 100 distinct revisions. For

selecting Apache subject systems, we use the same criteria as Netflix and Google, except that we only consider subject systems with fewer than 3000 commits by April 2017.

To develop the commit purpose taxonomy, we analyze 100 commits, using both the code and commit message in deriving the categorization. We consult with Hindle et al. to clarify category definitions, which help to determine the categories included in our final taxonomy. In order to apply this taxonomy to small commits, we create further refinements of the definitions for four kinds of tasks.

We use the resulting categorization taxonomy to tag our dataset of 914 commits. Each commit is labelled and cross-validated by multiple individuals. Initial inconsistencies in tagging arise from ambiguities in the original taxonomy. To resolve these ambiguities, we study each inconsistent tag, identify the source of confusion and further refine the taxonomy definitions. The tag definitions are now more narrow in scope, and overlapping meaning between category definitions is reduced. This methodology ensures that our taxonomy can be applied consistently across varied tagging interpretations.

We also define a threshold for identifying commit size, and use this to label each commit - large or small. Some related works have introduced thresholds to differentiate between large and small commits; however, our dataset exhibits a narrower range in commit size. The average commit size is also reduced due to our focus on the changes within a commit, as opposed to cumulative commit size. Thus, based on our dataset, we create a new threshold which defines commit size as the sum of added and deleted lines of code. The 314 uncompilable commits were split into two parts, with the smallest 157 commits labeled *small*, and the remaining 157 commits labeled large. The resulting threshold – 184 lines of code – is used to label the 600 compilable commits. This threshold is based on the distribution of uncompilable commits in order to motivate discussions on the relative sizes and categorization of uncompilable commits. The final dataset contains 157 each of large and small uncompilable commits, and 138 & 462 large and small compilable commits, resp.

To analyze software quality evolution over uncompilable commits in relation to the quality of compilable commits, we examine metrics on code complexity, maintainability, and security, provided by SonarQube³ and PMD⁴ and plan to utilize CAST⁵ [TODO: Perhaps Tetrad and COCOMO II]. As uncompilable commits cannot be directly assessed by dynamic software quality analysis, we measure the overall quality change in the compilable commits before and after uncompilable sequences, extrapolating to determine the individual quality of each commit.

³https://www.sonarqube.org/

⁴https://pmd.github.io/

⁵https://www.castsoftware.com/

III. COMMIT CHANGE TYPES

A. Taxonomy for Commit Change Types

Previous works primarily characterize commits based on metadata, including commit size, commit message, which is the comment the developer leave before pushing the changes to an online repository. Some other work use the categories of maintenance tasks to categorize the commits. Alali et al. [9] examine nine open-source software systems to and characterize commit properties by size — lines of code, number of code blocks, and file count - as well as extracted terms from commit messages. Kaur et al. [10] propose a classifier for labeling commit type - bug repair, feature addition, and general — based on commit messages. However, commit message alone is not enough to effectively categorize commits. While a commit message can indicate developer intent, it will not necessarily address all the major code changes. Dragan et al. [5] perform categorization over commit code, stereotyping added and removed methods to form a descriptor for commit change. Solely focusing on code to determine commit characteristics can often introduce additional noise. While commit messages are brief summaries indicating the central goal of the commit, code diffs capture details that do not affect high-level categorization. Further, determining commit type, based entirely on code, becomes difficult on extremely large commits, where a commit message may provide all of the information needed.

Hindle et al. [4] propose a taxonomy based on the maintenance tasks to categorize large commits. This categorization uses maintenance attributes first introduced by Swanson et al. [6]. They further map the categories to the taxonomy of Mauczka et al. [7], dividing changes into high-level classes of software maintenance: *adaptive*, *perfective*, and *corrective*. The taxonomy is then used for automatic categorization [8]. Based on the results and methodology, our work proposes a refinement of the taxonomy presented by Hindle et al, adapted to reduce ambiguity between categories, and to support tagging small commits.

B. A Refined Categorization

To analyze the difference in purpose between breakers and neutrals, we need an accurate categorization for commits. Although we leverage Hindle's categorization, it was originally designed for usage only on large commits. Several change types such as Maintenance, Bug Fix, Debug and Refactoring were too broadly defined when applied to smaller commits, and at times needed to be accompanied by other categories to fully classify the commit change.

Our analysis leads to a refinement of Hindle's categories, and the identification of the commits that warrant defining new categories for comprehensive classification. These modifications result in variations in category frequency from those found by Hindle et al. [4]. This indicates, in part, significant changes in category definitions. For example, out of the 2000 commits that they analyzed, 2% were tagged

TABLE I REFINED CATEGORIZATION

Туре	Hindle's Definition	Our Explanation	
Branch			
Dianen	If the change is primarily to do with branching or working off the main development trunk of the		
	version control system.		
Bug fix	One or more bug A change that is reported in		
Dug IIX	fixes.	the developing log, change log	
	naes.	or with expressions in commit	
		message that indicates it is a	
		correction of unexpected be-	
		havior.	
Build	If the focus of the chan		
Duna	If the focus of the change is on the build or config- uration system files. (such as Makefiles).		
Clean up	Cleaning up the source code or related files. This		
Cican up	includes activities such as removing non-used func-		
	tions.		
Legal	A change of license, copyright or authorship.		
Cross	A cross cutting concern is addressed (like logging).		
Data	A change to data files required by the software		
Data	(different from a change to documentation).		
Debug	A commit that adds debugging code.		
Documentation	A change to the system's documentation.		
External	Code that was submitted to the project by developers		
LACTIO	who are not part of the core team of the project.		
Feature Add	An addi-	New function/methods/class	
1 catale Add	tion/implementation	implemented, impacting	
	of a new feature.	functionality.	
Indentation			
Initialization	Re-indenting or reformatting of the source code. A module being initialized or imported (usually one		
111111111111111111111111111111111111111	of the first commits to t		
Internationa-		support for languages other-	
lization	than-English.	support for imaginges office	
Source	2	esult of the way the source	
Control	controls system works, or the features provided to its		
	users (for example, tagging a snapshot).		
Maintenance	A commit that per-	Functional changes without	
	forms activities com-	feature add and do not have	
1			
	mon during mainte-	evidence to be considered a	
		evidence to be considered a bug fix, including performance	
	mon during mainte-		
	mon during mainte- nance cycle (different	bug fix, including performance	
	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features).	bug fix, including performance Improvement and feature ex- tension.	
Merge	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features).	bug fix, including performance Improvement and feature extension.	
Merge	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of em; it might also be the result	
Merge	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of em; it might also be the result essarily related changes com-	
	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of em; it might also be the result essarily related changes comport the version control system.	
Merge Module Add	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory)	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of em; it might also be the result essarily related changes com-	
Module Add	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bi the version control syste of different and non-nec mitted simultaneously to If a module (directory) project.	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of tem; it might also be the result essarily related changes compose the version control system. To files have been added to a	
Module Add Module Move	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bithe version control syste of different and non-nec mitted simultaneously to If a module (directory) project.	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compositive version control system. To files have been added to a are moved or renamed.	
Module Add Module Move Module	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bi the version control syste of different and non-nec mitted simultaneously to If a module (directory) project.	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compositive version control system. To files have been added to a are moved or renamed.	
Module Add Module Move Module Remove	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or fi	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compose the version control system. For files have been added to a lare moved or renamed.	
Module Add Module Move Module Remove Platform Spe-	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or f	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compositive version control system. To files have been added to a lare moved or renamed. The specific platform (such as	
Module Add Module Move Module Remove Platform Specific	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bi the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or file A change needed for a different hardware or of	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of mr; it might also be the result essarily related changes compose the version control system. Files have been added to a mare moved or renamed. The appendix of the provided HTML representation of the provided HTML represe	
Module Add Module Move Module Remove Platform Spe-	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por-	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of mr; it might also be the result essarily related changes compose the version control system. Files have been added to a mare moved or renamed. The provided renamed in the provided renamed in the provided renamed. The provided renamed is a specific platform (such as perating system). Relocation of part of code. Re-	
Module Add Module Move Module Remove Platform Specific	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por- tions of the source	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of em; it might also be the result essarily related changes compose the version control system. For files have been added to a lare moved or renamed. The appecific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of	
Module Add Module Move Module Remove Platform Specific	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por-	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of the period of the version control system. To files have been added to a green moved or renamed. The specific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and cre-	
Module Add Module Move Module Remove Platform Specific Refactoring	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously te If a module (directory) project. When a module or files Deletion of module or fi A change needed for a different hardware or op Refactoring of por- tions of the source code.	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of the state of the result essarily related changes compose the version control system. To files have been added to a are moved or renamed. The specific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function.	
Module Add Module Move Module Remove Platform Specific	mon during maintenance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to the version control systematical simultaneously to the project. When a module (directory) project. When a module or files Deletion of module or files of different hardware or or greater thardware or or greater thardware or or the source code. One or more files are	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compose the version control system. Files have been added to a are moved or renamed. The aspecific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. Tenamed, but remain in the	
Module Add Module Move Module Remove Platform Specific Refactoring	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bithe version control syste of different and non-nec mitted simultaneously te If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or or Refactoring of por- tions of the source code. One or more files are same module (directory)	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compose the version control system. Files have been added to a lare moved or renamed. The specific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. Tenamed, but remain in the large extension.	
Module Add Module Move Module Remove Platform Specific Refactoring	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously te If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or or Refactoring of por- tions of the source code. One or more files are same module (directory) A change related to the	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compose the version control system. Files have been added to a are moved or renamed. The aspecific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. Tenamed, but remain in the	
Module Add Module Move Module Remove Platform Specific Refactoring Rename Testing	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por- tions of the source code. One or more files are same module (directory) A change related to the benchmarking.	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compose the version control system. Files have been added to a lare moved or renamed. The aspecific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. Tenamed, but remain in the lare in the serior of the structure of the structure of the serior of the se	
Module Add Module Move Module Remove Platform Specific Refactoring	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por- tions of the source code. One or more files are same module (directory) A change related to the benchmarking. An token (such as an i	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of em; it might also be the result essarily related changes compose the version control system. Files have been added to a lare moved or renamed. The aspecific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. Tenamed, but remain in the late of the structure of the structu	
Module Add Module Move Module Remove Platform Specific Refactoring Rename Testing Token Replace	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por- tions of the source code. One or more files are same module (directory) A change related to the benchmarking. An token (such as an imany files (e.g. change	bug fix, including performance Improvement and feature extension. Tranch into the main trunk of the strict might also be the result essarily related changes compose the version control system. For files have been added to a lare moved or renamed. The aspecific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. The renamed, but remain in the control of the strict manner or a function.	
Module Add Module Move Module Remove Platform Specific Refactoring Rename Testing	mon during mainte- nance cycle (different from bug fixes, yet, not quite as radical as new features). Code merged from a bit the version control syste of different and non-nec mitted simultaneously to If a module (directory) project. When a module or files Deletion of module or files A change needed for a different hardware or of Refactoring of por- tions of the source code. One or more files are same module (directory) A change related to the benchmarking. An token (such as an imany files (e.g. change	bug fix, including performance Improvement and feature extension. Tanch into the main trunk of the period of the version control system. To files have been added to a green moved or renamed. To files have been added to a green moved or renamed. To files have been added to a green moved or renamed. To files. The specific platform (such as perating system). Relocation of part of code. Restructuring. Extract a part of code out of a function and create a new function. The files required for testing or didentifier) is renamed across the name or a function). To files of the software (such as green moved).	

as maintenance while in our analysis it's more than 40%. This result is surprising, as other studies [11]–[13] report that 75% of software development budgets are dedicated to maintenance. Another contributing factor for the variation in category frequency is our inclusion of small commits. Along with the new taxonomy, we provide results that describe the relationship between commit size and purpose.

Table I shows the refined taxonomy. Specifications for types are as follows:

Bug Fix: In small commits, the lack of descriptive code makes it hard to differentiate between Bug Fix and Maintenance categorization. As a result, we have to rely on commit messages and project change logs. For example, if a commit message mentions fixing an existing issue, it should be tagged a Bug Fix. We also thoroughly examine previous software revisions, to see if the commit is added in response to flaws in recent code. While we take these steps to better identify Bug Fixes, some commits are still too vague to be clearly tagged Maintenance or Bug Fix. Further improvement and definition of rules are needed to make the tagging more definitive on small commits.

Feature Add: We found that Hindle's definition of a Feature Add is under-specified for smaller commits. This may be because new features are easily identifiable in larger commits via the commit message, whereas in small code changes, new features can be a single non-utility function or method. We include this in our refined definition of Feature Add.

Maintenance: This is the most under-specified type in the original taxonomy. Cross validation indicates more than half of the commits with inconsistent tags are with Maintenance. Thus, we consult with Hindle et al. regarding this tag. According to our discussion, this should be better translated to "minor perfective changes" which is different from the maintenance tasks we generally use. Since the original definition can cause confusion due to little specification, we define it as function & efficiency improvements, additions of utility functions, or minor modifications without new methods or error corrections. For example, memory cleaning is tagged as Maintenance because it is a performance improvement.

Refactoring: We specify Refactoring as relocation, restructuring of code without altering how the code functions, such as extracting code to form a new function, relocating code hunks within or across files, or other changes to reduce code redundancies. They do not change functionality or efficiency.

Note that by our definition, breakers and neutrals can not be merge or orphan commits, as we study the impact of a commit by comparing two revisions: the one it changes and the one it produces. As a result, there are no commits in the Branch and Initialization categories.

C. The Difference Between Categories with Respect to Software Quality

D. Independent Change

To improve our tagging, we first note that the original change type definitions overlap. For example, a documentation change may occur as part of a feature add commit. This

Feature Add

A new feature can be added to the project. For example, a new class that introduces a new functionality.

Debug

New debugging code can be added when the new feature is added.

Documentation 1

Debugging code may be documented when it is added.

Documentation 2

Other than documentations for the debugging code, the feature itself can also be documented when added.

Documentation 3

While adding a feature, developers may also add documentations to previous code which is irrelevant from the new feature.

Maintenance

While adding a new feature, other changes such as a maintenance change may be applied to other part of the code which is irrelevant to the feature.

Fig. 3. Explanation For Independent Change

increases tagging ambiguity and complexity, which we solve by introducing the concept of an *independent change*.

We call a change an independent change when it is not a part of other changes. Fig. 3 explains this concept. It illustrates a commit with multiple changes, in which a new feature contains three sub-changes. Each block represents a code change. In the figure, a new feature change contains debugging code, documentation 1 for the functionality of the feature, documentation 2 for the debugging code, an irrelevant documentation 3, and an irrelevant maintenance change. In this case, the debugging code and documentation 1 and 2 are sub-changes to the new feature. As a result, we only assign a "Feature Add" tag to the new feature instead of assigning tags to all sub-changes. As documentation 3 and maintenance are both unrelated to the new feature, they will be assigned Documentation and Maintenance tags. The new feature, documentation 3, and maintenance groups are independent changes, with the associated three independent tags forming a label the commit. With the introduction of independent change types, we reduce the ambiguity in the initial taxonomy which results in fewer cross-validation errors and improved tagging efficiency.

TABLE II
RATIO OF COMPILABLE COMMITS OVER NEUTRALS AND BREAKERS

When reviewing manual tagging, we analyze commits with different numbers of tags separately. The commits are divided into two groups: *single-tagged* and *multi-tagged*. *Single-tagged* commits contain only one independent change, and *multi-tagged* more than one.

Fig. 4 shows the tag-distributions of single and multi-tagged commits by commit purpose. In the figure, black bars represent the rates when a certain commit is tagged a single category while gray bars are used to denote multi-tagged. For example, approximately 80% commits are tagged Testing and more than 95% of them are multi-tagged commits. As shown in the figure, Build, Feature Add, Indentation, Refactoring, Testing arise more frequently in multi-tagged commits, indicating these tags tend to appear together with other tags. More than 95% of testing commits have multiple tags, which is consistent with development practices of including the testing code along with most changes. Documentation is more frequent in singletagged commits, indicating that many documentation changes are added independently. As the change of documentation is usually used to explain changed code, this may also imply that contributors often forget to add enough documentation when they accomplish a commit. For other categories there are no apparent differences between single-tagged and multi-tagged commits. In addition to comparing these two sets, we also study how many tags each commit has and its distribution.

1) Tag Distribution: We analyze the differences between the breaker set and the neutral set in terms of tag distributions. Recall that we call a commit a breaker if it breaks the compilability of a compilable revision. If a commit changes an uncompilable revision and produce another uncompilable revision we do not consider it as a breaker. We also call a commit a neutral if it changes a compilable revision and produces another compilable revision. If a commit fixes compile errors of an uncompilable commit we do not consider it as a neutral.

Fig. 5 shows the tag-distributions of breakers and neutrals with regard to their commit purpose. Each bar stands for the occurrence rates of that category. For example, around 40% of breakers and neutrals are tagged Testing. Since a commit could have multiple independent changes, values for one color may add up to more than 100%. The presence ratios for Bug Fix, Documentation, is higher in the neutrals while Feature Add, Build, Refactoring, Clean up and Maintenance is higher in breakers. The breakers have 1.90 tags on average while neutrals have 1.56 on average.

Again, we perform odd ratio test (the Fisher's Exact Test) to study the correlation between categories and compilability. According to the test result shown in Table II, we found that Bug Fix, Build, Documentation, Feature Add, Maintenance, Module Move, Module Remove, Refactoring, Rename have significant differences between breakers and neutrals, which is consistent with the results of Fig.5.

	Compilablity		
C-4	Neutral(%)	Breaker(%)	p-value
Category Branch	0.00	0.00	p-value
Bug fix	18.17	9.55	0.000
Build	3.67	12.10	0.000
20110	10.00	13.38	
Clean up			0.150
Cross	1.67	1.27	0.781
Data	0.00	0.00	-
Debug	1.17	0.32	0.276
Documentation	13.50	6.05	0.005
External	0.00	0.00	-
Feature Add	16.83	27.71	0.000
Indentation	3.50	2.55	0.552
Initialization	0.00	0.00	-
Internalization	0.17	0.00	1.000
Legal	0.67	1.91	0.101
Maintenance	41.83	55.10	0.000
Merge	0.17	0.00	1.000
Module Add	0.00	0.32	0.344
Module Move	0.17	1.59	0.020
Module Remove	0.17	1.27	0.050
Platform Specific	0.00	0.00	-
Refactoring	4.33	11.78	0.000
Rename	0.00	1.27	0.014
Source Control	1.00	1.91	0.358
Testing	36.83	39.17	0.518
Token Replace	2.00	2.87	0.486
Versioning	0.33	0.00	0.549

F. Single-tagged Commit And Multi-tagged Commits

Recall that we call a commit with multiple independent changes *multi-tagged* and a commit with only one independent change *single-tagged*.

Almost 50% of our analyzed commits are multi-tagged. We summarize the number of tags for each commit independently for the breaker and the neutral set, as shown in Fig. 6. The figure shows the distributions of commits with different numbers of tags in the breakers or neutrals. Each bar stands for the ratio of presence of commits with certain number of tags.

For example, 55% of neutrals only have one tag. The curves are Kernel Density Estimations of these two distributions that are long tail distributions. The number of instances decreases as the number of tags increases. As for its relation with compilability, we can see a neutral is more likely to have a small number of tags while a breaker has a relatively large one. We also use the Kernel Density Estimation (KDE) to estimate these two distributions. The distribution density curve of neutrals is sharper than breakers which means the proportion of commits decreases more sharply in the neutrals than in the breakers with increase of the number of tags. We can interpret it as a commit is more likely to break its compilability when it has more tags.

1) Small And Large Commits: We also analyze the relation between uncompilability and whether a commit is large or small. We draw boxplot of changed Lines of Code (LOC) for breaker set and neutral set which is shown in Fig. 7. Fig. 7(a) shows the distributions of neutrals and breakers

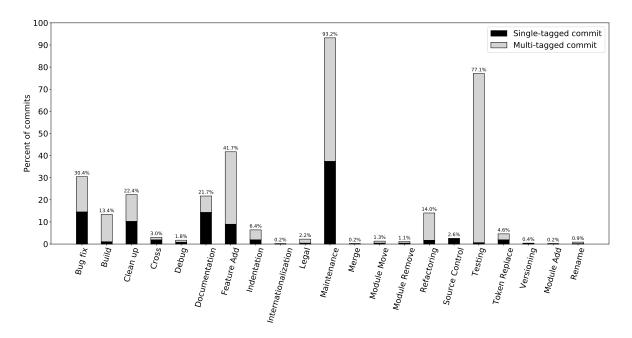


Fig. 4. Change Type Distribution Between Single-tagged and Multi-tagged Commit

respectively. (b) shows distributions of commits with different number of tags respectively. In boxplot, each box represents inter-quartile range (IQR) of data points, the range of major part of data and circle represents outlier of data points which are outside 1.5 IQR. As shown in Fig. 7(a), the distribution of breakers is shifted upward from the distribution of neutrals and it means breakers tend to have larger changed LOC than neutrals. This indicates larger commits are more likely to result in compilation breach.

We also analyze the distribution of changed LOC for commits which contains different number of tags as shown in Fig. 7(b). The result shows that if a commit contains more tags, it tends to have more lines of code changed.

G. Automated Tagging

IV. COMMIT MESSAGE

A. Initiatives of Commit Messages

Commit messages are explanation messages

B. Preprocessing Removal of Useless Information in Commit Messages

C. The Relation Between Commit Message and Software Quality

V. CODE PATTERNS

A. Different Patterns Existing in Code.

B. Different Patterns May Have Different Impact on Software Quality

VI. SOFTWARE QUALITY

A. Tool-based Quality Metrics

Researchers use static analysis tools and other tools to assess the quality of software. For example, PMD, SonarQube and FindBugs give basic statistics of software, such as the lines of code, the number of functions, as well as quality-related metrics, such as vulnerability and code smell. An important approach to evaluate software quality is to use these metrics. [TODO: Some more details here, with more descriptions of some metrics, refer to the official documentation and cite the link here.]

B. Other Independently Defined Quality Aspects

The software quality and quality metrics are not completely shown by the tools.

1) Compilability: A software revision created by a commit is expected to be compilable. However, uncompilability can occur due to careless development — failure to compile the software locally prior to pushing to the shared repository. It can also result from variations in build environments, incompatibility across overlapping changes made by multiple developers,

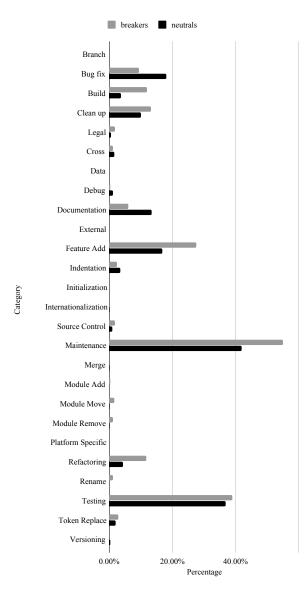


Fig. 5. Tag-distribution for Neutrals and Breakers

or changes in upstream dependencies. The presence of compile errors inhibits bytecode and dynamic software analysis, as well as static analysis when the code is unparsable [2]. Previous studies [2], [14]–[16] have shown that even in popular open-source projects maintained by major software organizations, build-breaking commits can occur.

Behnamghader et al. [3] explore the qualitative properties of uncompilable commits. Further insight into the types of commits that most frequently cause build errors can help to inform better development practices. Additionally, this correlation can be used as a part of future methods for predicting and preventing uncompilable commits. Analyzing the degradation of software quality over multiple uncompilable commits highlights the long-term negative impact of careless development, and the importance of fixing build errors immediately after they take place. Also, understanding the purposes of those

uncompilable commits can result in preparing guidelines to avoid such degradation in the future.

Multiple recent studies [2], [3], [14]–[18] have assessed the compilability of software repositories. To our knowledge, none address the effects of developer purpose on uncompilability, or how software quality evolves when the code is uncompilable. Hassan et al. [14] focus on automatically building the last commit for the top 200 Java repositories on GitHub. Seo et al. [17] analyze 26.6 million builds produced over a period of 9 months by Google engineers, reporting the build failure frequency and cause, as well as how long it takes to remediate. Hassan et al. [15] propose a build-outcome prediction model, based on combined features of build-instance metadata and code changes, to predict whether a build will be successful. Macho et al. [18] identify 125 commits in 23 repositories that repair a missing dependency, qualitatively and quantitatively analyze how the fix is applied, and propose an approach to fix dependency build breakage automatically. Tufano et al. [16] study the compilability of 219,395 snapshots of 100 Java projects from the Apache Foundation, analyzing the frequency and possible causes of broken snapshots. Benamghader et al. [3] qualitatively study why developers commit uncompilable code, and design an approach [2] to increase compilation ratio over commit history and to study sequences of uncompilable commits in terms of their length and interval.

To understand how software quality evolves over uncompilability, we identify all the broken sequences in our dataset. Each broken sequence starts with a breaker and ends with a fixer. The broken commits in the sequence cannot be analyzed using software quality tools. However, we can compare software quality between two revisions to understand how software quality evolves over the sequence: the revision produced by the impact-parent of the breaker and the one produced by the fixer. The former is the last solid revision before the sequence begins and the latter is the first solid revision after the sequence ends.

VII. RESEARCH CHALLENGES AND GOALS

A. Feasibility

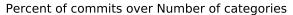
We show the feasibility of this project by the following three aspects:

- Data: Open-source software and version control systems provide sufficient meta-data for analysis.
- Tool: Existing tools, such PMD, SonarQube, FindBugs and CAST provide various quality metrics.
- Techniques: The machine learning and natural language methods required in this research already exist.

With all above provided, we believe this plan will succeed in 3 5 years. The midterm milestone is a reasonable high prediction accuracy from the machine learning model. The final milestone is the completion of the new systematic coding standard and development guidelines.

B. Stage One

Previous researchers didn't study the correlation between the change type and the code as well as their impact on



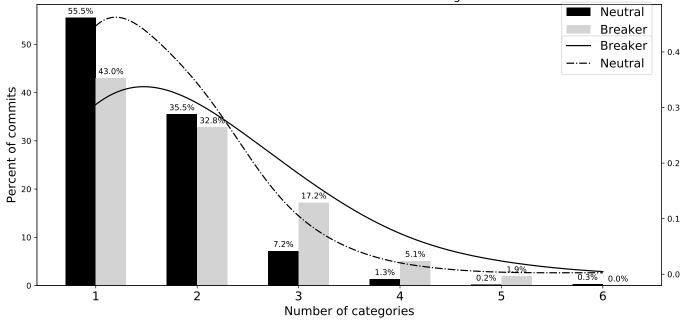


Fig. 6. Compilability With Number of Tags in Each Commit

the software quality. In this stage, we start with establishing categorization of commit changes in open source software repositories. We evaluate the quality of those changes by obtaining quality metrics from static analysis tools. To assess the correlation between the quality and the categories, we plan to train a machine learning model, in addition to standard mathematical correlation analyses.

C. Stage Two

In this stage, although we have categorized the commits changes, we need further insight on the distinguishing different categories. This is because it has been shown that high-level categories have overlaps with each other. In this stage, we will be working on removing the ambiguity of the categories by analyzing the code changes within the commits rather than the commit messages and manual categorizing. Once this is done. We will investigate the correlation between the categories and changes in code to reveal whether they have close connection and how those changes impact software quality.

D. Stage Three

In the final stage, with the refined categories, we will start construct guidelines for developers on how they can better contribute to open source software when they make different type of changes. In addition, we will create an index to indicate how different code patterns impact the software quality. We will conclude this research by completing and validating these two aspects.

VIII. CONCLUSIONS

A. Development Guidelines

B. A Novel Set of Software Quality Evaluation Metrics

ACKNOWLEDGMENT

This material is based upon work supported in part by the U.S. Department of Defense through the Systems Engineering Research Center (SERC) under Contract No. HQ0034-13-D-0004 Research Task WRT 1016 – "Reducing Total Ownership Cost (TOC) and Schedule." SERC is a federally funded University Affiliated Research Center managed by Stevens Institute of Technology.

REFERENCES

- [1] J. He, S. Min, K. Ogudu, M. Shoga, A. Polak, I. Fostiropoulos, B. Boehm, and P. Behnamghader, "The characteristics and impact of uncompilable code changes on software quality evolution," in 2020 IEEE 20th International Conference on Software Quality, Reliability and Security (QRS), 2020, pp. 418–429.
- [2] P. Behnamghader, P. Meemeng, I. Fostiropoulos, D. Huang, K. Srisopha, and B. Boehm, "A scalable and efficient approach for compiling and analyzing commit history," in *Proceedings* of the 12th ACM/IEEE International Symposium on Empirical Software Engineering and Measurement, ser. ESEM '18. New York, NY, USA: ACM, 2018, pp. 27:1–27:10. [Online]. Available: http://doi.acm.org/10.1145/3239235.3239237
- [3] P. Behnamghader, R. Alfayez, K. Srisopha, and B. Boehm, "Towards better understanding of software quality evolution through commitimpact analysis," in 2017 IEEE International Conference on Software Quality, Reliability and Security (QRS), July 2017, pp. 251–262.
- [4] A. Hindle, D. M. German, and R. Holt, "What do large commits tell us?: A taxonomical study of large commits," in *Proceedings of the 2008 International Working Conference on Mining Software Repositories*, ser. MSR '08. New York, NY, USA: ACM, 2008, pp. 99–108. [Online]. Available: http://doi.acm.org/10.1145/1370750.1370773

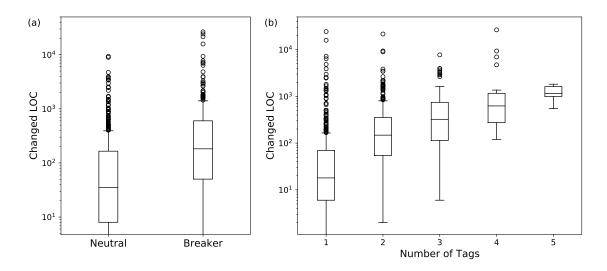


Fig. 7. Commits Distribution Over Lines of Code.

- [5] N. Dragan, M. L. Collard, M. Hammad, and J. I. Maletic, "Using stereotypes to help characterize commits," in 2011 27th IEEE International Conference on Software Maintenance (ICSM), Sep. 2011, pp. 520–523.
- [6] E. B. Swanson, "The dimensions of maintenance," in Proceedings of the 2Nd International Conference on Software Engineering, ser. ICSE '76. Los Alamitos, CA, USA: IEEE Computer Society Press, 1976, pp. 492–497. [Online]. Available: http://dl.acm.org/citation.cfm?id=800253.807723
- [7] A. Mauczka, M. Huber, C. Schanes, W. Schramm, M. Bernhart, and T. Grechenig, "Tracing your maintenance work – a cross-project validation of an automated classification dictionary for commit messages," in *Fundamental Approaches to Software Engineering*, J. de Lara and A. Zisman, Eds. Berlin, Heidelberg: Springer Berlin Heidelberg, 2012, pp. 301–315.
- [8] A. Hindle, D. M. German, M. W. Godfrey, and R. C. Holt, "Automatic classication of large changes into maintenance categories," in 2009 IEEE 17th International Conference on Program Comprehension, May 2009, pp. 30–39.
- [9] A. Alali, H. Kagdi, and J. I. Maletic, "What's a typical commit? a characterization of open source software repositories," in 2008 16th IEEE International Conference on Program Comprehension, June 2008, pp. 182–191.
- [10] A. Kaur and D. Chopra, GCC-Git Change Classifier for Extraction and Classification of Changes in Software Systems. Singapore: Springer Singapore, 2018, pp. 259–267.
- [11] G. L. Dodaro, "Government efficiency and effectiveness: Opportunities to reduce fragmentation, overlap, and duplication and achieve other financial benefits," GOVERNMENT ACCOUNTABILITY OFFICE WASHINGTON DC, Tech. Rep., 2015.
- [12] Q. Redman, "Weapon system design using life cycle costs," Raytheon Presenatation, 2008.
- [13] J. Koskinen, "Software maintenance fundamentals," Encyclopedia of Software Engineering, P. Laplante, Ed., Taylor & Francis Group, 2009.
- [14] F. Hassan, S. Mostafa, E. S. L. Lam, and X. Wang, "Automatic building of java projects in software repositories: A study on feasibility and challenges," in 2017 ACM/IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM), Nov 2017, pp. 38–47.
- [15] F. Hassan and X. Wang, "Change-aware build prediction model for stall avoidance in continuous integration," in 2017 ACM/IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM), Nov 2017, pp. 157–162.
- [16] M. Tufano, F. Palomba, G. Bavota, M. Di Penta, R. Oliveto, A. De Lucia, and D. Poshyvanyk, "There and back again: Can you compile that snapshot?" *Journal of Software: Evolution and Process*,

- vol. 29, no. 4, p. e1838, 2017, e1838 smr.1838. [Online]. Available: https://onlinelibrary.wiley.com/doi/abs/10.1002/smr.1838
- [17] H. Seo, C. Sadowski, S. Elbaum, E. Aftandilian, and R. Bowdidge, "Programmers' build errors: A case study (at google)," in *Proceedings* of the 36th International Conference on Software Engineering, ser. ICSE 2014. New York, NY, USA: ACM, 2014, pp. 724–734. [Online]. Available: http://doi.acm.org/10.1145/2568225.2568255
- [18] C. Macho, S. McIntosh, and M. Pinzger, "Automatically repairing dependency-related build breakage," in 2018 IEEE 25th International Conference on Software Analysis, Evolution and Reengineering (SANER). IEEE, 2018, pp. 106–117.