

**Subject:** FILED [CRD] OMISSIONS: (852,029,489,38) LOSSES REGISTERED || MORGAN STANLEY [TICKER: MS] [C.16]  
**From:** B D2022 <ms6071044426@yahoo.com>  
**Date:** 4/19/2022, 1:39 PM

To: Sec News <webmaster@sec.gov> "shareholderproposals@sec.gov" <shareholderproposals@sec.gov>, Secretarys-Office@sec.gov, Rule-Comments <rule-comments@sec.gov>, "publicinfo@sec.gov" <publicinfo@sec.gov>, philadelphia@sec.gov, "Pamela Gibbs [SEC]" <OMW@sec.gov>, Ombudsman@sec.gov, "Carl Hoecker [SEC]" <OHG@sec.gov>, "oca@sec.gov" <oca@sec.gov>, NYROBankruptcy@SEC GOV, Newyork <newyork@sec.gov>, Sec News <news@sec.gov>, losangeles@sec.gov, Mshareholderproposals <MShareholderProposals@sec.gov>, foia@sec.gov, "dfrw@sec.gov" <dfrw@sec.gov>, denver@sec.gov, "dcaletters@sec.gov" <dcaletters@sec.gov>, eshugg@usisfsecc.com, CommissionerRoisman@sec.gov, "CommissionerPeirce@sec.gov" <CommissionerPeirce@sec.gov>, CommissionerLee@sec.gov, CommissionerCrenshaw@sec.gov, "CHICAGO@SEC GOV" <CHICAGO@SEC GOV>, "CHAIR@SEC GOV" <CHAIR@SEC GOV>, "boston@sec.gov" <boston@sec.gov>, atlanta@sec.gov, "megan.discullo@pwc.com" <megan.discullo@pwc.com>, "larissa.vonlockner@pwc.com" <larissa.vonlockner@pwc.com>, "ryan.a.cangialosi@pwc.com" <ryan.a.cangialosi@pwc.com>, "kivalena.star@pwc.com" <kivalena.star@pwc.com>, "jordana.strosberg@pwc.com" <jordana.strosberg@pwc.com>, "will.b.hedges@pwc.com" <will.b.hedges@pwc.com>, "jennifer.vanossi@pwc.com" <jennifer.vanossi@pwc.com>, "ashpolicy@fdic.gov" <ashpolicy@fdic.gov>, "assessments@fdic.gov" <assessments@fdic.gov>, assetmarketing@fdic.gov, careers@fdic.gov, Depositorservices@fdic.gov, "ebia@fdic.gov" <ebia@fdic.gov>, "supervision@fdic.gov" <supervision@fdic.gov>, "media@chi.frb.org" <media@chi.frb.org>, CC: "JPMCInvestorrelations@jpmlchase.com" <JPMCInvestorrelations@jpmlchase.com>, "fbofamarkets@bfa.com" <fbofamarkets@bfa.com>, "gسامونليرquest@gs.com" <gسامونليرquest@gs.com>, "Finra Webmaster <webmaster@finra.org>, TRACE Data Services <tracedataservices@finra.org>, "Rosanna Rivera [FINRA]" <rrivera8@bloomberg.net>, "rosalyn.marcus@finra.org" <rosalyn.marcus@finra.org>, "ray.pellecchia@finra.org" <rpdsupport@finra.org>, nancy.condon@finra.org, michelle.ong@finra.org, "Kenneth Thompson [FINRA]" <kthompson84@bloomberg.net>, "Kimberly Berry [FINRA]" <kerry28826@bloomberg.net>, "Joshua Wong [FINRA]" <jwong554@bloomberg.net>, "John Sagan [FINRA]" <jsagan8@bloomberg.net>, "Josephine Vella@finra.org" <josephine.vella@finra.org>, Jessica.Brach@finra.org, iard@finra.org, hesham.ibrahim@finra.org, FINRA CAT Helpdesk <help@finratract.com>, "finrawebmaster@finra.org" <finrawebmaster@finra.org>, FINRA Product Management <finraproductmanagement@finra.org>, FINRA Corporate Notification <finraoperatorennotation@finra.org>, "Dian Zhu [FINRA]" <dian.zhu@finra.org>, "Chris Gurky [FINRA]" <csgurky@bloomberg.net>, "Cassandra Kirk [FINRA]" <ckirk21@bloomberg.net>, "Anthony Walker [FINRA]" <awalker231@bloomberg.net>, "Andrew Keller [FINRA]" <akorb1@bloomberg.net>, "Andrew Smith [FINRA]" <asmith1151@bloomberg.net>, "ASHLEY MEAD [FINRA]" <amead11@bloomberg.net>, "Austin McCrary [FINRA]" <amccrary@bloomberg.net>, "Colin Brooks [MORGAN STANLEY]" <colin.brooks@morganstanley.com>, MSHY15@MORGANSTANLEY.COM, "JAMES GORMAN [MORGAN STANLEY]" <james.gorman@morganstanley.com>, PRIYA.RAGHURAM@MORGANSTANLEY.COM, "ebrook57@bloomberg.net" <ebrook57@bloomberg.net>, "newsoffice@mit.edu" <newsoffice@mit.edu>, THE NEW YORK TIMES <NYTNEWS@nytimes.com>, mutualfunds@statefarm.com, David Moore <david.moore.ct95@statefarm.com>, "tips@insider.com" <tips@insider.com>, "ups@insider.com" <ups@insider.com>

Filing ID: 6109023 [Please retain this number for further inquiries regarding this form]

\_CRD MEMBER MSCO\_8209-BROOKS--4776256 -BROKER-CHECK

\_CRD MEMBER MSCO\_8209-BROOKS--4776256 -6109023[FILED]

individual \_6507985 \_ PRIYA DARSINI RAHURAM - CRD FIRM NUMBER 8209[FILED]  
 NYSCEF 153974/2020: <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>

COLIN R. BROOKS

CRD# 4776256

MORGAN STANLEY & CO, LLC  
 777 S. Flagler Drive, Suite 700  
 West Palm Beach, FL 33401  
 CRD# 8209

LOSSES REGISTERED USING THE 11-30-2020 FILED BY LUDWIG, MONK, & PAUL SMITH  
 \$ 10,164,850,238.82  
 \$ (852,029,489.38)

• <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvg==>

o State Farm Growth Fund	\$ 5,110,893,730
o State Farm Balanced Fund	\$ 2,034,992,761
o State Farm Interim Fund	\$ 433,682,403
o State Farm Municipal Bond Fund	\$ 714,878,356

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TOTAL AUM REPORT 2020:	\$ 8,294,447,250
TOTAL AUM NOV 30, 2021:	\$ 10,164,850,238

## ## TOTAL AUM NOV 24, 2021: \$ 0

• <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvg==>

**Item B.1. Background information.**

a. Full name of Registrant	State Farm Associates Funds Trusts
b. Investment Company Act file number (e.g., 811-01519)	811-01519
c. CIK	0000093715
d. LEI	254900040XUSSLOWSS555

**Item B.2. Address and telephone number of Registrant.**

a. Street 1	One State Farm Plaza
Street 2	
b. City	Bloomington
c. State, if applicable	ILLINOIS
d. Foreign country, if applicable	UNITED STATES OF AMERICA
e. Zip code and zip code extension, or foreign postal code	617100001
f. Telephone number (including country code if foreign)	(800)4470740
g. Public Website, if any	<a href="http://www.statefarm.com">www.statefarm.com</a>

**Item B.3. Location of books and records.**

*Instruction.* Provide the requested information for each person maintaining physical possession of each account, book, or other document required to be maintained by section 31(a) of the Act (15 U.S.C. 80a-30(a)) and the rules under that section.

Location books

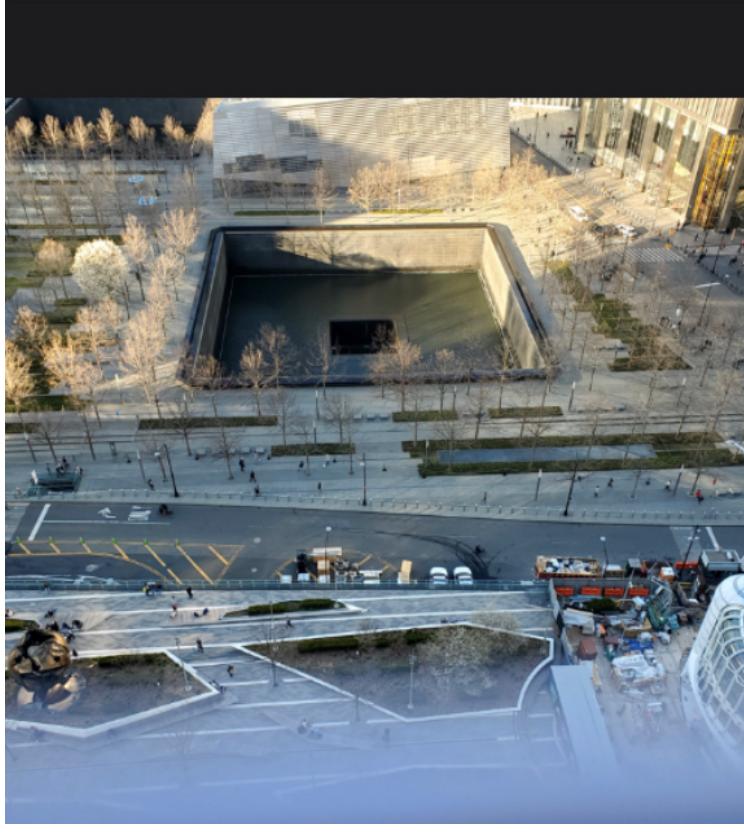
Record: 1

a. Name of person (e.g., a custodian of records)	Terrence Ludwig
b. Street 1	One State Farm Plaza
Street 2	
c. City	Bloomington
d. State, if applicable	ILLINOIS
e. Foreign country, if applicable	UNITED STATES OF AMERICA
f. Zip code and zip code extension, or foreign postal code	617100001
g. Telephone number (including country code if foreign)	(800)7350561
h. Briefly describe the books and records kept at this location:	Financial Statements/ Administrative

**Item B.4. Initial of final filings.**

*Instruction.* Respond "yes" to Item B.4.b only if the Registrant has filed an application to deregister or will file an application to deregister before its next required filing on this form.

- a. Is this the first filing on this form by the Registrant?  
 Yes \* No  
 \* Yes  No



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## ## SEMI-ANNUAL HOLDING REPORT
** State Farm Associates' Funds Trust
** [N-CSRS]          0001193125-20-200810
** REPORT DATE:      2020-05-31
** FILING DATE:     2020-07-28
## ## https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dncsrs.htm
```

GROSS NEGLIGENCE, UNFAIR DEALINGS, ETC...

**Item C.16. Brokers.**

*Instructs to Item C.16 and Item C.17.*  
To help Registrants distinguish between agency and principal transactions, and to promote consistent reporting of the information required by these items, the following criteria should be used:

1. If a security is purchased or sold in a transaction for which the confirmation specifies the name of the confirmee to be paid by the Registrant, the transaction should be considered an agency transaction and included in determining the answers to Item C.16.
2. If a security is purchased or sold in a transaction for which the confirmation specifies only the net amount to be paid or received by the Registrant and such net amount is equal to the market value of the security at the time of the transaction, then the transaction should be considered a principal transaction and included in determining the amounts in Item C.17.
3. If a security is purchased by the Registrant in a direct/primary offer, the acquisition should be considered a principal transaction and included in answering Item C.17 even though the Registrant has knowledge of the amount the underwriters are receiving from the issuer.
4. If a security is sold by the Registrant in a tender offer, the sale should be considered a principal transaction and included in answering Item C.17 even though the Registrant has knowledge of the amount the offeror is paying to soliciting brokers or dealers.
5. If a security is purchased directly from the issuer (such as a bank CD), the purchase should be considered a principal transaction and included in answering Item C.17.
6. The value of called or maturing securities should not be counted in either agency or principal transactions and should not be included in determining the amounts shown in Item C.16 and Item C.17. This means that the acquisition of a security may be included, if purchased in a principal transaction, but the disposition of a repurchase agreement at its expiration date should not be included.
7. The purchase or sales of securities in transactions not described in paragraphs (1) through (6) above should be evaluated by the Fund based upon the guidelines set forth in Item C.16. Persons or companies from whom the investment company purchased or to whom it sold portfolio instruments on a principal basis may be persons entities not registered under the Exchange Act as securities dealers.

a. For each of the ten brokers that received the largest dollar amount of brokerage commissions (excluding dealer concessions in underwritings) by virtue of direct or indirect participation in the Fund's portfolio transactions, provide the information below:

## Brokers Record 1

i. Full name of broker	Instinet, LLC
ii. SEC file number	009-23693
iii. CRD number	000007897
iv. LEI, if any	N/A
v. State, if applicable	DELAWARE
vi. Foreign country, if applicable	UNITED STATES OF AMERICA
vii. Gross commissions paid by the Fund for the reporting period	\$127,059,760,000,000

## Brokers Record 2

i. Full name of broker	Morgan Stanley & Co. LLC
ii. SEC file number	008-15869
iii. CRD number	000006209
iv. LEI, if any	N/A
v. State, if applicable	DELAWARE
vi. Foreign country, if applicable	UNITED STATES OF AMERICA
vii. Gross commissions paid by the Fund for the reporting period	\$21,307,100,000,000

## Brokers Record 3

i. Full name of broker	Cantor Fitzgerald
ii. SEC file number	008-00201
iii. CRD number	000000134
iv. LEI, if any	N/A
v. State, if applicable	NEW YORK
vi. Foreign country, if applicable	UNITED STATES OF AMERICA
vii. Gross commissions paid by the Fund for the reporting period	\$5,500,000,000,000
viii. Aggregate brokerage commissions paid by Fund during the reporting period:	\$153,866,860,000,000

**Item C.17. Principal transactions.**

a. For each of the ten entities acting as principals with which the Fund did the largest dollar amount of principal transactions (include all short-term obligations, and U.S. government and tax-free securities). Underwritten offerings: provide the information below:

**Advisers Investment Trust**

<https://www.sec.gov/edgar/browse/?CIK=1516523>

sec filer: 811-22538  
cik id: 1516523  
report period: 2021-09-30

- [https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml)

BOND PERIOD: from 12:01 a.m. on January 1, 2021 to 12:01 a.m. on January 1, 2022

- <https://www.sec.gov/Archives/edgar/data/0001516523/000119312520320913/d80254d4017g.htm>

**HEARING REQUESTS**

Investment Company Act Release No. 34411

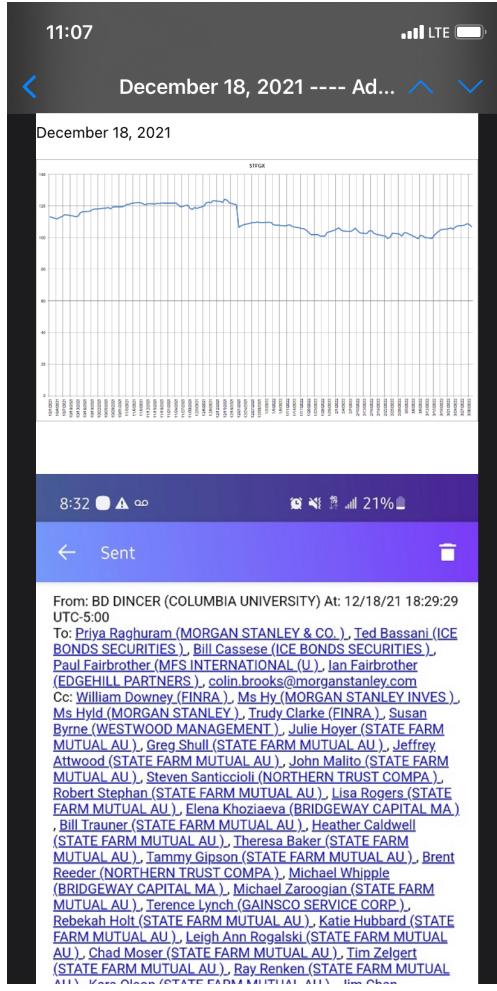
- <https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

ATTACHED TCR FILED AND EMAILED TO THE PARTIES, NOVEMBER 16TH, 2021

STFGX ----- TCR REPORT FILED ON NOVEMBER 13, 2021 --- DISTRIBUTED TO ITS C.16 BROKERS

----- INSIDER INFORMATION "AS KNOWN" UNFAIR DEALINGS - OMITTED AND

----- ON DECEMBER 18, 2021 --- DISTRIBUTED AGAIN AS A C.16 FIDUCIARY HAVE FAILED IN THEIR RESPONSIBILITIES TO KEEP THE PUBLIC INFORMED.



From: BD DINCER (COLUMBIA UNIVERSITY) At: 12/18/21 18:29:29 UTC-5:00  
 To: Priya Raghuram (MORGAN STANLEY & CO.), Ted Bassani (ICE BONDS SECURITIES), Bill Cassese (ICE BONDS SECURITIES), Paul Fairbrother (MFS INTERNATIONAL (U)), Ian Fairbrother (EDGEHILL PARTNERS), colin.brooks@morganstanley.com  
 Cc: William Downey (FINRA), Ms Hy (MORGAN STANLEY INVESTMENTS), Ms Hyd (MORGAN STANLEY), Trudy Clarke (FINRA), Susan Byrne (WESTWOOD MANAGEMENT), Julie Hoyer (STATE FARM MUTUAL AU), Greg Shull (STATE FARM MUTUAL AU), Jeffrey Attwood (STATE FARM MUTUAL AU), John Malito (STATE FARM MUTUAL AU), Steven Santicoli (NORTHERN TRUST COMPA), Robert Stephan (STATE FARM MUTUAL AU), Lisa Rogers (STATE FARM MUTUAL AU), Elena Khozjaeva (BRIDGEWAY CAPITAL MA), Bill Trauner (STATE FARM MUTUAL AU), Heather Caldwell (STATE FARM MUTUAL AU), Theresa Baker (STATE FARM MUTUAL AU), Tammy Gipson (STATE FARM MUTUAL AU), Brent Reeder (NORTHERN TRUST COMPA), Michael Whipple (BRIDGEWAY CAPITAL MA), Michael Zarogian (STATE FARM MUTUAL AU), Terence Lynch (GAINSCO SERVICE CORP), Rebekah Holt (STATE FARM MUTUAL AU), Katie Hubbard (STATE FARM MUTUAL AU), Leigh Ann Rogalski (STATE FARM MUTUAL AU), Chad Moser (STATE FARM MUTUAL AU), Tim Zelgert (STATE FARM MUTUAL AU), Ray Renken (STATE FARM MUTUAL AU)

#### [EX-99.906CERT]

// pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (Subsections (a) and (b) of Section 1350, Chapter 63 of Title 18, United States Code)

/ Joe R. Monk Jr  
 / Paul J. Smith

- <https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99906cert.htm>

#### [EX-99.CERT]

// The registrant's other certifying officer(s) and I are responsible for establishing and maintaining disclosure controls and procedures (as defined in Rule 30a-3(c) under the Investment Company Act of 1940) and internal control over financial reporting (as defined in Rule 30a-3(d) under the Investment Company Act of 1940) for the registrant:

/ Joe R. Monk Jr  
 / Paul J. Smith

- <https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99cert.htm>

852,029,489,38 IN LOSSES REGISTERED AS OF 3/31/2021

HOWEVER REPORTED AS EARNING ON THE BOOKS OF ITS INSTITUTIONAL SALES DESK AT MORGAN STANLEY.

11-30-21: REPORT PRICE	3/31/2022 PRICE		ASSETS ON THE BASIS OF PRICE CHANGE	ASSETS REPORTED 11-30-21
118.50	106.88	STFGX	LOSS (642,041,002.77)	6,547,492,153.90
92.93	87.17	STFBX	LOSS (149,384,029.87)	2,410,114,218.05
10.13	9.73	SFTX	LOSS (18,899,996.89)	478,642,421.36
8.91	8.40	SFBDX	LOSS (41,704,459.84)	728,601,445.50
<b>TOTAL CHANGE IN PERCENTAGE STOCK PRICES</b>			<b>(852,029,489.38)</b>	<b>10,164,850,238.82</b>
<a href="https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xsFormN-CEN_X01/primary_doc.xml">https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xsFormN-CEN_X01/primary_doc.xml</a>			<b>-8.3821%</b>	
PERIOD REPORTED	2021-11-30			
ASSETS FOR EACH INDIVIDUAL TICKER FUND, REPORTED COURTESY OF MR. LUDWIG - UNDER THE INDENDURE OF SFTX 45-17G WERE NOT COVERED FOR OMISSION				

FILED: NEW YORK COUNTY CLERK 08/11/2020 12:35 PM

NYSCEF DOC. NO. 399

INDEX NO. 153974/2020

RECEIVED NYSCEF: 08/11/2020



Shari S. Laskowitz

August 10, 2020

Writer's Direct Dial: (212) 907-9696

E-Mail: slaskowitz@ingramllp.com

VIA FIRST-CLASS MAIL  
 And EMAIL: [bd2561@columbia.edu](mailto:bd2561@columbia.edu)

Baris Dincer  
 111 Sullivan Street, Apt. 2BR  
 New York, New York 10012

Re: 111 Sullivan Street, Apt. 2BR  
 New York, New York 10012 (the "Premises")

Dear Mr. Dincer:

As you know, we are the attorneys for Sullivan Properties, L.P. ("Landlord") your Landlord at the Premises. Over this past weekend in particular, you have contacted and harassed Landlord's employees, management and owners. Please be advised that under no circumstances should you contact my client or anyone associated with the Landlord directly. If you have a maintenance request or issue with the building, you may contact me via e-mail only. I will only respond to proper requests; any other communications will not be responded to.

By the terms of your own documents, including your numerous court filings, you have filed fallacious claims and/or reports with the Better Business Bureau, the Federal Trade Commission, State Farm Realty Insurance LLC, as well as others. On behalf of the Landlord, we hereby demand you cease and desist from any and all contact and interference with any business affiliates of the Landlord, including, but not limited to, State Farm Realty Insurance LLC, and

\* REPORTED EARLIER, AND ALSO OMITTED TO THE FACT THEY AGAIN HAVE COLLECTED UNREASONABLE PREMIUMS AND INTEREST WITH RESPECT TO THE UNDERLYING ASSETS.

- BOTH MORGAN STANLEY AND STATE FARM VP MANAGEMENT CORP - CRD MEMBER 43036,

[www.finra.org/brokercheck](http://www.finra.org/brokercheck)

[User Guidance](#)



## Registration and Employment History

### Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
12/2011 - Present	State Farm Investment Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States
<b>12/2011 - Present</b>	<b>STATE FARM LLOYDS, INC.</b>	Director	N	Bloomington, IL, United States
03/2016 - 04/2019	State Farm General Insurance Company	Director	N	Bloomington, IL, United States
12/2011 - 01/2016	State Farm Health Insurance Company	Director	N	Bloomington, IL, United States
12/2011 - 01/2016	State Farm Life Insurance Company	Director	N	Bloomington, IL, United States
12/2011 - 01/2016	State Farm Life and Accident Assurance Company	Director	N	Bloomington, IL, United States
01/2011 - 01/2013	STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY	SENIOR VICE PRESIDENT	Y	BLOOMINGTON, IL, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

SPECTRUM PARTNERS LLC/ NO/ 2012 LONGWOOD LANE BLOOMINGTON IL 61704/ ASSISTED LIVING COMPANY/ BOARD MEMBER/ 05/1996-PRESENT/ 0/ BOARD MEMBER-BOARD MEETINGS ONCE A YEAR.

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[www.finra.org/brokercheck](http://www.finra.org/brokercheck)

[User Guidance](#)



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
No information reported.			

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
09/2019 - Present	Sundial Labs	Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Health Insurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Life Insurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Life and Accident Assurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2013 - Present	State Farm Mutual Automobile Insurance Company	EXECUTIVE VICE PRESIDENT, CHIEF AGENCY, SALES MARKETING OFFICER	Y	BLOOMINGTON, IL, United States
01/2012 - Present	State Farm Fire and Casualty Company	Sr. Vice President and Director	N	Bloomington, IL, United States
12/2011 - Present	STATE FARM VP MANAGEMENT CORP	SENIOR VICE PRESIDENT AND DIRECTOR	Y	BLOOMINGTON, IL, United States

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NYSCEF 153974/2020 - NO DISCLOSURE BY ANY DIRECTOR/PRINCIPAL OR DIRECTOR AT "STATE FARM REALTY MORTGAGE LLC"

-- ATTACHED [CRD FOR individual\_2992788 - HARBERT RANDALL HOUSTON]

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADgRc\\_PLUS\\_g11P4ge==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADgRc_PLUS_g11P4ge==)

<b>FILED IN NEW YORK COUNTY CLERK'S OFFICE 10/08/2020 01:05 PM</b>		INDEX NO. 153974/2020
RECEIVED NYSCEF: 08/11/2020		
-----X-----		
SULLIVAN PROPERTIES, L.P., MANHATTAN SKYLINE MANAGEMENT CORP., THE ZUCKER ORGANIZATION.,  Plaintiffs,  AND  ADNAN UTIC.,  Plaintiffs-Servant,  AND  PAUL REGAN., DONALD ZUCKER., LAURIE ZUCKER., JOSEPH J. GIAMBOI., TOM ESCHMANN.,  Plaintiffs-Respondents,  V.  BARIS DINCER.,  Defendant,  AND  MELANIE E. LA ROCCA., JEFFREY A. PETIT., STATE FARM REALTY MORTGAGE, L.L.C.,  Respondents, -----X-----		Index No.: 15974/2020  STIPULATION TO AMEND CAPTION [AMENDED]
IT IS HEREBY STIPULATED THAT THE UNDERSIGNED HAS AFFIRMED ALL PREVIOUSLY REFERENCED PARTIES AS MANDATORY IN THE ABOVE REFERENCED MATTER. FOR EASE OF REFERENCE, PARTIES NAMED ABOVE HAVE BEEN IDENTIFIED UNDER QUESTIONABLE INSURANCE LIABILITY COVERAGE, AND PROVISIONS THEREIN:		

NO LEGAL ISSUES. AND NO LOAN - ABSENT OF THE UNREGISTERED SECURITY - NOT REPORTED ON THEIR BALANCE SHEET AS A TIER 3 ASSET.

NO CERTIFICATE OF OCCUPANCY TO LEGALLY COLLECT THE RENT FOR THE PURPORTED GUARANTEE OF THE LETTER OF CREDIT - REMAINS UNDISCLOSED

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=rjL6yoW3Mt2U6UiwWCM9XQ==>

LETTER OF CREDIT FILED - NO INDEMNITY FOR TAX EVASION.  
DOCUMENTS [ UNDISCLOSED ]

**EXHIBIT(S) - AC0 (Motion #001)**  
ACRIS Detailed Document Information (2019000021408)2019010800475901  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=xed1kA9pkRV9TgFxxjt/g==>  
**EXHIBIT(S) - AC1 (Motion #001)**  
ACRIS Detailed Document Information (2028000155422)2020052000291003  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=xvK8s1t7n3kGuHgebPg0fww==>  
**EXHIBIT(S) - AC2 (Motion #001)**  
ACRIS Detailed Document Information (2028000155421)2020052000291002  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=xTGV2D2PgXuxmokgF1E5rw==>  
**EXHIBIT(S) - AC3 (Motion #001)**

ACRIS Detailed Document Information (2020000155422)2020052000291003  
[https://apps.courts.state.ny.us/nycsef/viewDocument?docIndex=au8gh7Dne6hrv390X\\_Plus\\_bdg==](https://apps.courts.state.ny.us/nycsef/viewDocument?docIndex=au8gh7Dne6hrv390X_Plus_bdg==)  
**EVIDENCE(S) — ACR (Motion #001)**  
 ACRIS Detailed Document Information (2020000155423)2020052000291004  
<https://apps.courts.state.ny.us/nycsef/viewDocument?docIndex=vHE1c1k3B8Gv2DF/H0n4e==>  
**EVIDENCE(S) — ACR (Motion #002)**  
 ACRIS.NYC.GOV > ASSIGNMENT OF LEASE AND RENTS ON FILED ON MAY 26TH - <https://a836-acris.nyc.gov/>  
<https://apps.courts.state.ny.us/nycsef/viewDocument?docIndex=gcFDar2eBynPzXKShelQ==>

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b-discreet@outlook.com  
 From: Laskowitz, Shari <b-discreet@outlook.com>  
 Sent: Monday, August 10, 2020 3:07 PM  
 To: Monk Jr, Joe R <jmonkjr@outlook.com>  
 Cc: Bo Diner <Bo.Diner@statefarm.com>  
 Subject: RE: STATE FARM  
 Attachment: Myson\_2020081014143276.PDF

Please see attached.

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/ Joe R. Monk Jr  
/ Paul J. Smith

- <https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99cert.htm>

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