



# When Retrieval Misleads: Exploring Vulnerabilities in RAG

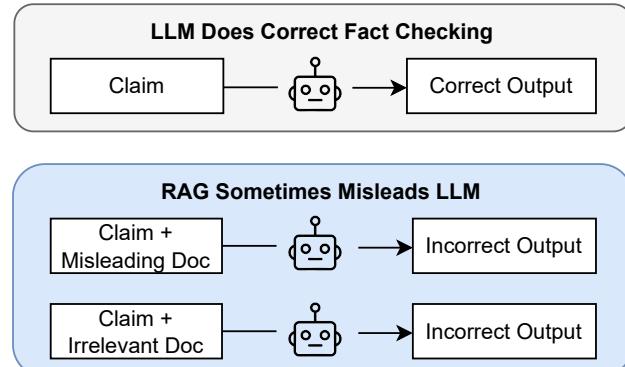
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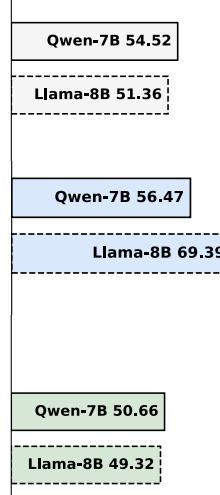
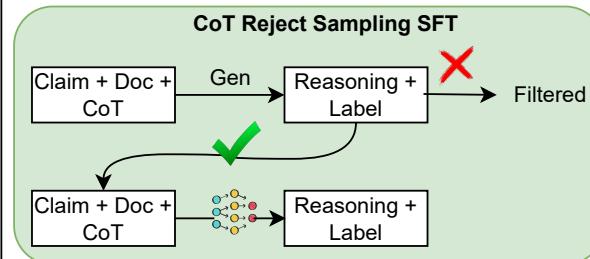
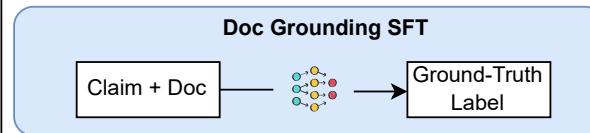
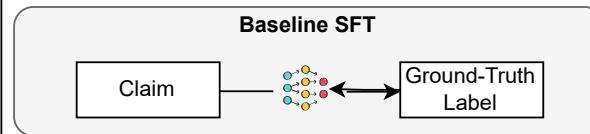
## Introduction

- Retrieval-Augmented Generation (RAG) has succeeded in knowledge-intensive tasks as it provides LLMs with external knowledge
- However, existing works show that RAG can cause increased hallucination especially when the retrieved data involves counter intuitive information
- Therefore, we aim to study how different prompting and training techniques like chain-of-thought, retrieval-augmented fine-tuning, etc. can help mitigate these issues in small size language models

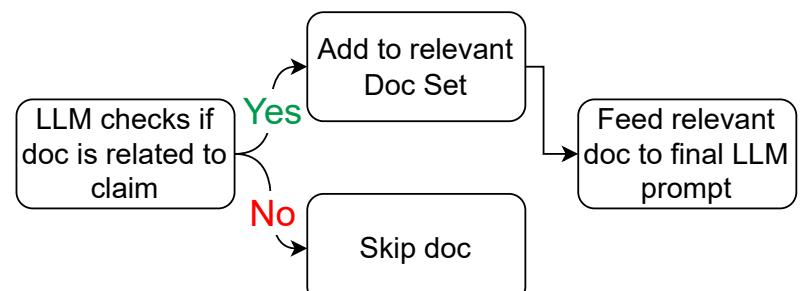
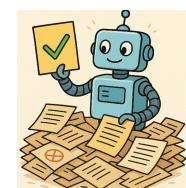
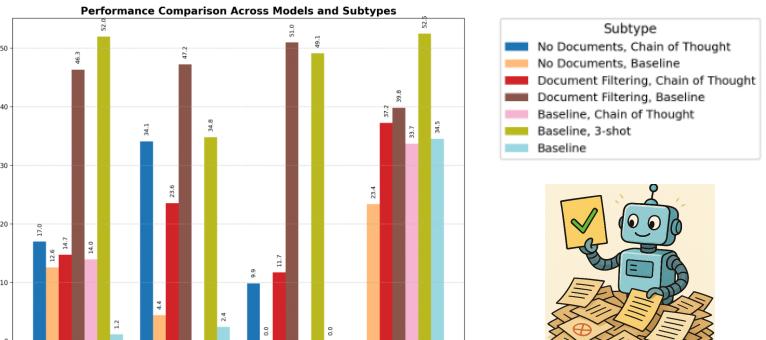


## Experiments

### Supervised Fine-Tuning



### Document Filtering



## Analysis and Findings

- ✓ Doc-grounded SFT – Provides strong factual context, enabling clear claim–evidence mapping and reducing uncertainty.
- ✗ CoT w/ reject sampling – Retains noisy or shallow reasoning, leading to overfitting and weak factual grounding.
- ✓ All models – Can correctly classify documents.
- ✗ Baselines – Show no consistent trends with model size.
- ✓ Smaller models – Benefit from no-document setups or document filtering.
- ✓ Larger models – Gain from n-shot prompting and richer contextual understanding.

## Conclusion

Fine-tuning (Doc-grounded SFT) is the best option if good infrastructure is available.

For smaller models, use document filtering or stronger retrieval methods.

Larger models benefit most from n-shot prompting strategies.

Key Takeaway: If the document retrieval is not very accurate, then the usage of small models is highly unrecommended