

Project 1 - Initial Design Document

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1 Our git Repository

<https://github.com/wjmzbmr/nachos>

2 Implementation of KThread.join()

2.1 Correctness Invariants

- A thread should not join to itself and a finished thread should not join to other threads.
- The method need to be made atomic, by disabling interrupting at first, and restore it when the method returns.
- Whether being joined or not, a thread must finish executing normally.
- (Modification) The join should also transfer the priority when using priority scheduler.

2.2 Declaration

- In class KThread, add a member variable waiterQueue(a queue of Thread), which stores the joined threads.
- Modification in KThread.join() and Thread.finish(). And a initialize code block in KThread.

2.3 Description

The pseudocodes for modifications of both methods are listed below.

```

procedure JOIN()
    Disable Interruption
    if this != currentThread and this.status != statusFinished then
        add currentThread to waiterQueue
        Let the currentThread sleeps
    end if
    Restore Interruption
end procedure

```

```

procedure FINISH()
    ...
    currentThread.status = statusFinished
    Wake up threads in waiterQueue.
    sleep()
end procedure

```

(Modification)

```
procedure INIT
    Disable Interruption
    waiterQueue.acquire(this)
    Restore Interruption
end procedure
```

2.4 Description of Tests

1. Standard case testing

Fork a thread, see if it can be joined by the main thread and the thread terminates before `join()` returns.

2. A thread joining multiple threads

Fork two threads. The main thread joins them one by one.

3. Additional test

Fork two threads, one joining another. The main thread joins them both. This tests whether a thread can be joined by multiple threads (although not required).

3 Another Implementation of Condition Variable

3.1 Correctness Invariants

`sleep()`

- The current thread must hold the lock before the method, and get the lock again after the method.
- The operation that releases the lock and put the current thread into the waiting queue must be atomic.

`wake()`

- The current thread must hold the lock before the method.
- The operation that wake up a thread which called `sleep()` before must be atomic.

`wakeAll()`

- The current thread must hold the lock before the method.
- The operation that wake up all the threads which called `sleep()` before must be atomic.

3.2 Declaration

- In class `Condition2`, add a member variable `waiterQueue` (a queue of `Thread`), which stores the waiting threads.
- a method `sleep()`, same functionality as in the class `Condition`.
- a method `wake()`, same functionality as in the class `Condition`.
- a method `wakAll()`, same functionality as in the class `Condition`.

3.3 Description

Following are the pseudocodes for all the methods above.

```
procedure SLEEP()
  Lib.assertTrue(conditionLock.isHeldByCurrentThread())
  Disable Interruption
  Add currentThread to waiterQueue
  Release the lock
  let currentThread sleep
  Acquire the lock
  Restore Interruption
end procedure
```

```
procedure WAKE()
  Lib.assertTrue(conditionLock.isHeldByCurrentThread())
  Disable Interruption
  if WaiterQueue is not empty then
    Wake up and remove one thread in the waiterQueue.
  end if
  Restore Interruption
end procedure
```

```
procedure WAKEALL()
  Lib.assertTrue(conditionLock.isHeldByCurrentThread())
  Disable Interruption
  while WaiterQueue is not empty do
    Wake up and remove one thread in the waiterQueue.
  end while
  Restore Interruption
end procedure
```

3.4 Testing strategy

1. Using Condition2 to implement the producer and consumer problem

Write a simple program which use Condition2 to implement the producer and consumer problem and check whether or not the results are meeting our expectation. The producer just increment a counter. The consumer decrements it. This is essentially a Semaphore.

4 Implementation of the Alarm

4.1 Correctness Invariants

waitUntil()

- The operation that moving the currentThread into the waiting queue and sleep it must be atomic.

timerInterrupt()

- Every threads whose waiting time is over must be waken up.

- The operation that wakes up all those threads must be atomic.

4.2 Declaration

- A new class `WaitingThread`, which records a thread which are waiting together with its designated waking up time. It should be comparable by its waking up time.
- A new member priority queue `waiterQueue` in the class `Alarm`, which stores all the `WaitingThread` according to their waking up time, so we can retrieve the thread with minimum waking up time quickly.
- Modification in `timerInterrupt()`.
- Modification in `waitUntil()`.

4.3 Description

Following are the pseudocodes for all the methods above.

```
procedure WAITINGTHREAD(WAKE TIME, THREAD)
    return a WaitingThread object with the given wakeTime and Thread
end procedure
```

```
procedure WAITUNTIL(X)
    Disable Interruption
    wakeTime  $\leftarrow$  currentTime + x
    Add WaitingThread(wakeTime,currentThread) to waiterQueue
    let the currentThread sleep.
    Restore Interruption
end procedure
```

```
procedure TIMERINTERRUPT(X)
    Disable Interruption
    while The waiterQueue is not empty do
        t  $\leftarrow$  waiterQueue.peek()
        if t.wakeTime > currentTime then
            break
        end if
        Wake t up
        waiterQueue.poll()
    end while
    Restore Interruption
end procedure
```

4.4 Testing strategy

1. Sleep based sorting

Fork many threads. Let them sleep for 20 10000 ticks. See if those that have sleep long enough (one time slice) wake up in the correct order.

5 Implementation of the Communicator

5.1 Correctness Invariants

speak()

- The speaker will wait if its word are not listener by a listener.
- The operation that setting the spoken word must be atomic.
- The speaker can not setting the spoken word if it has not been taken by a listener.

listen()

- The listener will wait if there is no set word.
- The operation that taking the spoken word must be atomic.

5.2 Declaration

- A state variable temp, to temporarily store the spoken word.
- A lock mutex, which ensure the operation involving the word must be atomic.
- 4 variables, AS,AL,WS,WL, indicate the current number of active speaker, active listener, waiting speaker, waiting listener.
- 4 conditions variables with lock mutex, waitS for waiting speaker, waitL for waiting listener, waitToTake for the active listener who are waiting to take the word, waitTaken for the active speaker waiting for its word to be taken.
- Function speak(word), and procedure listen().

5.3 Description

```
procedure INITIALIZE()  
    AS,AL,WS,WL  $\leftarrow$  0.  
    Initialize mutex, and all conditions with mutex.  
end procedure
```

```

procedure SPEAK(WORD)
    mutex.acquire()
    while NOT (AS == 0 AND AL > 0) do
        WS+=1
        waitS.sleep()
        WS-=1
    end while
    AS+=1
    temp ← word.
    waitToTake.wake()
    waitTaken.sleep() //waiting for word to be taken
    AS-=1
    if WS > 0 then
        waitS.wake()
    end if
    mutex.release()
end procedure

```

```

procedure LISTEN()
    mutex.acquire()
    while NOT (AL == 0) do
        WL+=1;
        waitL.sleep()
        WL-=1
    end while
    AL+=1
    if WS > 0 then
        waitS.wake();
    end if
    waitToTake.sleep();
    take temp;
    waitTaken.wake();
    AL-=1;
    if WL > 0 then
        waitL.wake()
    end if
    mutex.release();
end procedure

```

5.4 Testing strategy

Randomized test

Generate some speakers and listeners on the same communicator randomly, and check whether the correctness conditions are met.

6 Implementation of the PriorityScheduler

6.1 Correctness Invariants

- For the threads waiting for the same resource, the one with higher priority get the resource first, in case of a tie, the one has been waiting for longest time get it first.

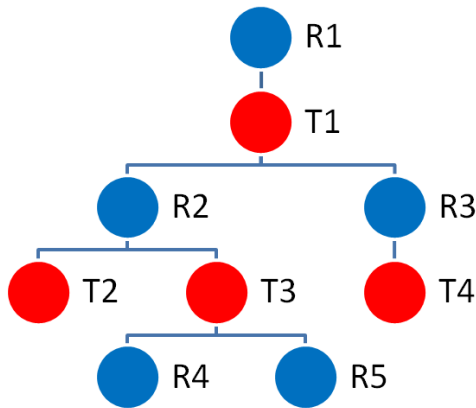
- Every methods do the scheduling must be atomic.
- If a thread is waiting for a particular resource, its priority will be donated to the thread which holds that resource.

6.2 A simple illustration

We know:

- A thread can only wait for one resource, while it may holds access to several resources.
- A resource can only be hold with one thread.

So the relation graph looks like:



And it has a tree structure, so when updating one thread's priority, we can just update the effective priority from bottom to top.

This can be done with a sophisticated structure like Link-cut tree or Splay tree to maintain the DFS order of all the nodes. But since, the tree-depth are normally not very large, I think a simple brute-force update from leaf to root is sufficient.

6.3 Declaration

6.3.1 Scheduler

- Implementation of `getPriority()`, `setPriority()` and `getEffectivePriority`

6.3.2 Kthread

- Notice that the original Kthread Object has a member `schedulingState`, which can be used to record its scheduling state.

6.3.3 PriorityQueue

- Make a subclass of `ThreadQueue` named `PriorityThreadQueue`. Which is supposed to maintain the threads waiting for this resource.
- A member variable `resourceHolder`, which points to the thread which holds the resource.
- A member variable `maxPriority`, which denoting the maximum effective priority in the `waiterQueue`, set as minimum if waiters is empty.
- A binary search tree of `SchedulingState` waiters contains all the waiting threads, and compare them with the priority and the enqueue Time.
- implementation of `nextThread()`, `acquire()`, `waitForAccess()`.

6.3.4 SchedulingState

- member variables thread, priority, enqueueTime, effectivePriority, waitingResource which corresponding to the thread it represents, the priority of that thread, the enqueueTime of that thread, the effective priority of that thread, and the resource this thread is waiting for.
- A member variable resources implemented by a binary search tree, which holds all the resources acquired by this thread.

6.4 Description

6.4.1 Scheduler

```
procedure GETPRIORITY(THREAD)
    return thread.schedulingState.priority
end procedure
```

```
procedure GETEFFECTIVEPRIORITY(THREAD)
    return thread.schedulingState.effectivePriority
end procedure
```

```
procedure SETPRIORITY(THREAD, P)
    if p < priorityMinimum OR p > priorityMaximum then
        return
    end if
    thread.schedulingState.setPriority(p)
end procedure
```

6.4.2 PriorityThreadQueue

```
procedure INITIALIZE()
    resourceHolder  $\leftarrow$  null;
    waiters  $\leftarrow$  new empty TreeSet.
end procedure
```

```
procedure GETMAXPRIORITY()
    if waiters.empty() then
        return priorityMinimum
    end if return waiters.first().effectivePriority
end procedure
```

```

procedure UPDATE()
  tmp ← getMaxPriority()
  if tmp != maxPriority then
    if resourceHolder then resourceHolder.updateResource(this,maxPriority)
    else maxPriority ← tmp
    end if
  end if
end procedure

```

```

procedure UPDATEWAITER(STATE, EP)
  waiters.remove(state)
  state.effectivePriority ← EP
  waiters.add(state)
  update()
end procedure

```

```

procedure WAITFORACCESS(THREAD)
  state ← thread.schedulingState
  state.enqueueTime ← currentTime
  state.waitingResource ← this
  waiterQueue.add(state)
  update()
end procedure

```

```

procedure ACQUIRE(THREAD)
  state ← thread.schedulingState
  resourceHolder ← state
  state.addResource(this)
end procedure

```

```

procedure NEXTTHREAD()
  if resourceHolder != null then
    resourceHolder.removeResource(this)
    resourceHolder ← null
  end if
  if waiterQueue.empty() then
    return null
  end if
  state ← waiterQueue.poll()
  thread ← state.thread
  update()
  state.waitingResource = null
  state.addResource(this); return thread
end procedure

```

6.4.3 SchedulingState

```
procedure INITIALIZE()
    priority, effectivePriority  $\leftarrow$  priorityDefault
    resources  $\leftarrow$  empty TreeSet
    waitingResource  $\leftarrow$  null
end procedure
```

```
procedure UPDATE()
    tmp  $\leftarrow$  priority
    if !resources.empty() then
        tmp  $\leftarrow$  max(tmp, resources.first().maxPriority)
    end if
    if tmp != effectivePriority then
        if waitingResource != null then
            waitingResource.updateWaiter(this,tmp)
        else
            effectivePriority  $\leftarrow$  tmp
        end if
    end if
end procedure
```

```
procedure SETPRIORITY(P)
    priority  $\leftarrow$  p
    update()
end procedure
procedure UPDATERESOURCE(RES, MAXP)
    resources.remove(res)
    res.maxPriority  $\leftarrow$  maxP
    resources.add(res)
    update()
end procedure
procedure ADDRESOURCE(RES)
    resources.add(res)
    update()
end procedure
procedure REMOVERESOURCE(RES)
    resources.remove(res)
    update()
end procedure
```

6.5 Testing strategy

Normal Case Testing

Since this module has essentially been exercised by all tests, we ignore this part.

Priority Inversion

Fork three threads, let their priorities be 0,1,2. 0 holds a lock and attempt to run. 2 sleeps for a while and try to acquire that lock. 1 sleeps a little time and runs. Initially, 0 should be running. Then 1 preempts it. When 2 begins to wait for the lock, 0 should win. Then 0 finishes, 2 finishes and 1 finishes.

This test covers both priority setting and donation.

7 the Boat Problem

7.1 Correctness Invariants

- Every adults and children should ended up at Molokai when begin() ends.
- During the boat move, there must be at most one adults or two children on the boat, but not one adult and one children, and not empty as well.

7.2 A simple illustration of the strategy

- Note that it clearly makes no sense for an adult or two children to move from Molokai to Oahu. And if there are only one child and more than one adults at the start, the task is impossible.
- On Oahu, if there are more than two children, let two travel to Molokai. Otherwise if there are adults, let one travel to Molokai. Otherwise let the only child travel to Molokai if exists.
- On Molokai, if there are more than one child here and the schedule is not over, one child travel to Oahu.

7.3 Declaration

- A class Information, has 4 member variables adult, children, waitingChildren and hasBoat. Recording the corresponding information on one location. Which are initially zero. And two instance of it, oahu for Oahu, molokai for Molokai.
- A Communicator communicator, which are previously implemented and used to send one-way message from threads to the begin()
- A Lock boatMutex, which ensure that the mutual access to the boat and the information. And three conditions waitMolokai, waitOahu and waitToGo, for the people waiting on Molokai, the people waiting on Oahu, and the first people waiting for the travel partner on Oahu.
- Modification in begin(), AdultItinerary() and ChildItinerary().
- Constant integers ADULT=0 and CHILD=1.

7.4 Description

```
procedure BEGIN(ADULTS, CHILDREN)
  oahu.hasBoat  $\leftarrow$  true
  Initialize boatMutex, and conditions by boatMutex.
  Initialize communicator
  Create adults Adult threads.
  Create children Children threads.
  while communicator.listen()  $\neq$  adults + children do
    end while
end procedure
procedure CAN(TYPE, SIDE)
  if !side.hasBoat then
    return false
  end if
  if type == ADULT then
    return side.waitingChildren == 0
  else
    return side.waitingChildren  $\leq$  1
  end if
end procedure
procedure REPORT()
  communicator.speaker(molokai.adult + molokai.children)
end procedure
```

```

procedure TRAVEL(TYPE, ROW, RIDE, FROM, TO)
    //row = True, it pilots, otherwise it is a passenger.
    base on type, row and to, call the specific method of bg.(like ChildRowToMolokai()).
    if type == ADULT then
        from.adult -= 1
        to.adult +=1
    else
        from.children -= 1
        if from == oahu then
            from.waitingChildren -= 1
        end if
        to.children +=1
    end if
    if row then
        from.hasBoat=false
    end if
    //this will enforces that between the pilot's arrives and ride's arrive, the boat is at nowhere.
    if ride then
        to.hasBoat=true
        if to == molokai then
            report()
            waitMolokai.wakeAll()
        else
            waitOahu.wakeAll()
        end if
    end if
end procedure

```

```

procedure ADULTITINERARY()
    //Come to live
    oahu.adult += 1
    boatMutex.acquire()
    while !can(ADULT,oahu) OR oahu.children >= 2 do
        if oahu.hasBoat then waitOahu.wakeAll()
        end if
        waitOahu.sleep()
    end while
    travel(ADULT,true,true,oahu,molokai)
    boatMutex.release()
end procedure

```

```

procedure CHILDITINERARY()
  //Come to live
  oahu.children += 1
  where ← oahu
  while do
    boatMutex.acquire()
    if where == oahu then
      while !can(CHILD,oahu) OR (oahu.adult > 0 AND oahu.child = 1) do
        if oahu.hasBoat then waitOahu.wakeAll()
        end if
        waitOahu.sleep()
      end while
      oahu.waitingChildren +=1
      if oahu.child >= 2 then
        if oahu.waitingChildren == 1 then
          waitToGo.sleep()
          travel(CHILD,false,true,oahu,molokai)
        else
          waitToGo.wake()
          travel(CHILD,true,false,oahu,molokai)
        end if
      else
        travel(CHILD,true,true,oahu,molokai)
      end if
    else
      while !can(CHILD,molokai) do
        if molokai.hasBoaat then
          waitMolokai.wakeAll()
        end if
        waitMolokai.sleep()
      end while
      travel(CHILD,true,true,molokai,oahu)
    end if
    boatMutex.release()
  end while
end procedure

```

7.5 Testing strategy

Randomized General Test

Put varying number of adults and children on the shore. See if the code remains correct at the presence of many people. Also check that the main thread is informed of the termination of other threads.