# Project 2 - Initial Design Document

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# 1 Our git Repository

https://github.com/wjmzbmr/nachos

## 2 Implementation of System calls for File System

## 2.1 A simple illustration

Since in class FileSystem, we only have method open() and remove(), which means we need to implement unlink on our own by keeping a counter for each file opened.

#### 2.2 Correctness Invariants

#### 2.3 Declaration

#### UserProcess

- A static member processCounter, keeps the number of each process.
- A final member maxBuf, which is the maximum buffer size per read.
- A member processId.
- An array fileList of OpenFile with size 16 to store the opened file.
- Modification in UserProcess(), which set file Descriptor 0 and 1 to stdin and stdout.
- Modification in handleHalt()
- New methods: handleCreate(), handleOpen(), handleRead(), handleWrite(), handleClose(), handleUnlink(). With specified functionality in the task.

#### UserKernel

- A class FileManager, which keeps a counter for each file and whether it should be unlinked.
- A static subclass of FileManager, FileRecord, with two fields: counter and unlinked.
- A HashMap map in FileManager, map the file's name to the FileRecord.
- A Lock mutex in FileManager, ensuring that only one process can access to it.
- method open(), create(), unlink() and close() in FileManager, which will change the information for each file.

## 2.4 Description

The pseudo code follows:

#### UserProcess

```
 \begin{array}{c} \textbf{procedure} \ UserProcess() \\ Disable \ Interruption \\ processId \leftarrow processCounter++ \\ fileList \leftarrow new \ OpenFile[16] \\ fileList[0] \leftarrow UserKernel.console.openForReading() \\ fileList[1] \leftarrow UserKernel.console.openForWriting() \\ Restore \ Interruption \\ \textbf{end procedure} \end{array}
```

```
procedure HANDLEHALT()
  if processId != 0 then
    return -1
  end if
  Machine.halt()
end procedure
```

```
procedure HANDLECREATE(ADR)
   file \leftarrow readVirtualMemoryString(adr,256)
   if file == null then
       return -1
   end if
   idx \leftarrow 0
   while idx < 16 AND fileList[idx] != null do
       idx++
   end while
   if idx == 16 then
       return -1
   end if
   if NOT UserKernel.FileManager.create(file) then
       return -1
   end if
   openFile \leftarrow UserKernel.fileSystem.open(file,true)
   if openFile == null then
       return -1
   end if
   fileList[idx] \leftarrow openFile
   return idx
end procedure
procedure HANDLEOPEN()
   file \leftarrow readVirtualMemoryString(adr,256)
   if file == null then
       return -1
   end if
   idx \leftarrow 0
   while idx < 16 AND fileList[idx] != null do
       idx++
   end while
   if idx == 16 then
       return -1
   end if
   if NOT UserKernel.FileManager.open(file) then
       return -1
   end if
   openFile \leftarrow UserKernel.fileSystem.open(file,false)
   if openFile == null then
       return -1
   end if
   fileList[idx] \leftarrow openFile
   \mathbf{return} \ \mathrm{idx}
end procedure
```

```
procedure HANDLEREAD(IDX,ADR,BUF)

if idx is invalid OR adr is valid OR fileList[idx] is null then

return -1

end if

file ← fileList[idx]

while buf > 0 do

toRead ← min(buf,maxBuf)

read toRead bytes from file, and write it to adr

if if in above an error occur then

return -1

end if

buf ← buf - toRead

end while

end procedure
```

```
procedure HANDLEWRITE(IDX,ADR,BUF)

if idx is invalid OR adr is valid OR fileList[idx] is null then

return -1

end if

file ← fileList[idx]

while buf > 0 do

toRead ← min(buf,maxBuf)

read toRead bytes from adr, and write it to the file

if if in above an error occur then

return -1

end if

buf ← buf - toRead

end while

end procedure
```

```
procedure HANDLECLOSE(IDX)
  if idx is invalid then
    return -1
  end if
  file ← fileList[idx]
  name ← file.getName()
  file.close()
  fileList[idx] ← null
  if UserKernel.FileManager.close(name) then
    return 0
  else
    return -1
  end if
end procedure
```

```
procedure HANDLEUNLINK(ADR)
  if adr is invalid then
    return -1
  end if
  file ← readVirtualMemoryString(adr,256)
  if file == null then
    return -1
  end if
  if UserKernel.FileManager.unlink(file) then
    return 0
  end if
  return -1
  end procedure
```

#### UserKernel

In class FileManager

```
procedure FILERECORD()
   unlinked \leftarrow false
   counter \leftarrow 0
end procedure
procedure FILEMANAGER()
   map ← new HashMap<String,FileRecord>()
end procedure
procedure CREATE(FILE)
   mutex.acquire()
   if NOT map.containsKey(file) then
      record \leftarrow new FileRecord()
      record.counter++
      map.put(file,record)
      mutex.release()
      \mathbf{return} true
   else
      record \leftarrow map.get(file)
      if record.unlinked then
          mutex.release()
          return false
      end if
      record.counter++
      mutex.release()
      return true
   end if
end procedure
```

```
procedure OPEN(FILE)
   mutex.acquire()
   if NOT map.containsKey(file) then
      mutex.release()
      return false
   else
      record \leftarrow map.get(file)
      if record.unlinked then
          mutex.release()
          return false
      end if
      record.counter++
      mutex.release()
      {f return} true
   end if
end procedure
procedure CLOSE(FILE)
   mutex.acquire()
   if NOT map.containsKey(file) then
       mutex.release()
       return false
   else
      record \leftarrow map.get(file)
      \operatorname{record.counter}--
      if record.counter == 0 AND record.unlinked then
          UserKernel.fileSystem.remove(file)
          map.remove(file)
      end if
      mutex.release()
      \mathbf{return} true
   end if
end procedure
procedure UNLINK(FILE)
   mutex.acquire()
   if NOT map.containsKey(file) then
      mutex.release()
      return false
   else
      record \leftarrow map.get(file)
      if record.counter == 0 then
          UserKernel.fileSystem.remove(file)
          map.remove(file)
      else
          record.unlinked \leftarrow true
      end if
      mutex.release()
      return true
   end if
end procedure
```

## 2.5 Description of Tests

# 3 Implementation of Support for Multiprogramming

## 3.1 A simple illustration

Use a double linked list to maintain the currently available pages. So that we can make use of them efficiently.

## 3.2 Correctness Invariants

## 3.3 Declaration

#### UserKernel

- A linked list of Integer avaPages, which stores the currently available pages.
- A lock pagesMutex, which prevents multiple process from using the avaPages.

#### UserProcess

- Modifications in readVirtualMemory() and writeVirtualMemory().
- Modifications in loadSections()
- Modifications in unloadSections()
- Modifications in the constructor of UserKernel, which initialize the list of avaPages.

## 3.4 Description

The pseudo code follows:

## UserKernel

```
procedure USERKERNEL()
pagesMutex ← new Lock()
while avaPages.size() < numPhypages do
avaPages.add(new page)
end while
end procedure
```

```
procedure READVIRTUALMEMORY(VADDR, DATA, OFFSET, LENGTH)
   if vaddr is not valid then
       return 0
   end if
   length \leftarrow min(length, numPages * pageSize - vaddr)
   total \leftarrow 0
   begin \leftarrow Machine.process.pageFromAddress(vaddr)
   end \leftarrow Machine.process.pageFromAddress(vaddr + length - 1)
   for page \leftarrow begin to end do
       if page is invalid then
          return total
       end if
       read the corresponding bytes in page to data[offset..]
       update total and offset
   end for
   return total
end procedure
procedure WRITEVIRTUALMEMORY(VADDR,DATA,OFFSET,LENGTH)
   if vaddr is not valid then
       return 0
   end if
   length \leftarrow min(length, numPages * pageSize - vaddr)
   total \leftarrow 0
   begin \leftarrow Machine.process.pageFromAddress(vaddr)
   end \leftarrow Machine.process.pageFromAddress(vaddr + length - 1)
   for page \leftarrow begin to end do
       if page is invalid then
          return total
       end if
       write the corresponding bytes in data[offset..] to the page
       update total and offset
   end for
   return total
end procedure
procedure LOADSECTIONS()
   UserKernel.pagesMutex.acquire()
   if the avaPages.size() < numPages then
       UserKernel.pagesMutex.release() return false
   end if
   pageTable \leftarrow new TranslationEntry[numPages]
   for i \leftarrow 0 to numPages - 1 do
       page \leftarrow avaPages.poll()
       pageTable[i] \leftarrow new TranslationEntry(i,page,true,false,false,false)
   end for
   UserKernel.pagesMutex.release()
   vpn \leftarrow section.getFirstVPN()+i;
   pageTable[vpn].readOnly \leftarrow section.isReadOnly()
   section.loadPage(i, vpn);
   \mathbf{return} true
end procedure
```

#### procedure UNLOADSECTIONS()

UserKernel.pagesMutex.acquire() add all pages in pageTable to avaPages UserKernel.pagesMutex.release() close all those files opened in fileList

## end procedure

## 3.5 Description of Tests

## 4 Implementation of System calls exec, join and exit

## 4.1 A simple illustration

In this architecture, we only have one thread for each process, which will simplify things a lot.

#### 4.2 Correctness Invariants

#### 4.3 Declaration

#### UserProcess

- A static member processCounter(already defined in Task I).
- A member thread of type UThread, denoting the thread of the current process.
- A member parent of type UserProcess, denoting the parent of the current thread.
- A member childList of type List<UserProcess>, denoting the children threads of the current thread.
- A member mapExitStatus of type Map<Integer,Integer>, which map the children process Id to its exit Status. And a lock mapExitStatusLock, we prevent atomic access for this map.
- Modification in UserProcess().
- Methods handleJoin(), handleExec(), handleExit(), with corresponding functionality.

## 4.4 Description

## procedure UserProcess()

Disable interruption. processId  $\leftarrow$  processCounter. processCounter ++. Enable interruption.

## end procedure

```
procedure HANDLEEXIT(STATUS)
  Disable interruption.
unLoadSections()
set the parent to null for every process in childList
if parent != null then
    parent.mapExitStatus.put(processId,status)
end if
if processId == 0 then
    terminate the Kernel.
else
    terminate current thread.
end if
end procedure
```

```
procedure HANDLEJOIN(PID,ADDR)
   child ← the process in childList with processId pid
   if no such process in above then
      return -1
   end if
   if child.thread !=null then
      child.thread.join()
   end if
   child.parent \leftarrow null
   remove child from childList
   exitStatusLock.acquire()
   status \leftarrow mapExitStatus.get(child.processId)
   mapExitStatus.remove(child.processId)
   exitStatusLock.release()
   if status is an abnormally exit then
      return 0
   end if
   write status to addr
   return 1
end procedure
```

```
procedure HANDLEEXEC(ADDR,ARGC,ARGV)
   if addr or argc is not valid then
      return -1
   end if
   file \leftarrow readVirtualMemoryString(addr,256)
   if file == null OR file is not valid then
       return -1
   end if
   arguments \leftarrow parse argc argument for argv.
   if the above parsing failed then
       return -1
   end if
   child \leftarrow new UserProcess
   if child.execute(file,arguments) then
      child.parent \leftarrow this
      childList.add(child)
      return child.processId
   else
      return -1
   end if
end procedure
```

## 4.5 Description of Tests

# 5 Implementation of LotteryScheduler

## 5.1 A simple illustration

Indeed we don't need to change much details from our previous implementation of priority Scheduler. We only need to change the update for the priority donation and the way to pick the next thread.

## 5.2 Correctness Invariants

## 5.3 Declaration

#### 5.4 Declaration

#### 5.4.1 LotteryScheduler

• Implementation of getPriority(), setPriority() and getEffectivePriority().

## 5.4.2 Kthread

• Notice that the original Kthread Object has a member schedulingState, which can be used to record its scheduling state.

#### 5.4.3 PriorityThreadQueue

- Make a subclass of ThreadQueue named LotteryThreadQueue. Which is supposed to maintain the threads waiting for this resource.
- A member variable resourceHolder, which points to the thread which holds the resource.
- A member variable sumPriority, which denoting the sum effective priority in the waiterQueue, set as 0 if waiters is empty.

- A binary search tree of SchedulingState waiters contains all the waiting threads.
- implementation of nextThread(), acquire(), waitForAccess().

## 5.4.4 SchedulingState

- member variables thread, priority, effective Priority, waiting Resource which corresponding to the thread it represents, the priority of that thread, the effective priority of that thread, and the resource this thread is waiting for.
- (modification)A member variable resources implemented by a binary search tree, which holds all the resources acquired by this thread.

## 5.5 Description

#### 5.5.1 Scheduler

```
procedure GETPRIORITY(THREAD)
return thread.schedulingState.priority
end procedure

procedure GETEFFECTIVEPRIORITY(THREAD)
return thread.schedulingState.effectivePriority
end procedure

procedure SETPRIORITY(THREAD, P)
if p < priorityMinimum OR p > priorityMaximum then
return
end if
thread.schedulingState.setPriority(p)
end procedure
```

## 5.5.2 LotteryThreadQueue

```
procedure Initialize()
resourceHolder ← null;
waiters ← new empty TreeSet.
end procedure
```

```
procedure UPDATE(TMP)

if tmp != sumPriority then

if resourceHolder != null then

resourceHolder.updateResource(this,maxPriority)

else

sumPriority ← tmp

end if
end if
end procedure
```

```
procedure UPDATEWAITER(STATE, EP)
   tmp \leftarrow sumPriority
   waiters.remove(state)
   tmp \leftarrow tmp - state.effectivePriority
   state.effectivePriority \leftarrow EP
   watiers.add(state)
   tmp \leftarrow tmp + state.effectivePriority
   update(tmp)
end procedure
procedure WAITFORACCESS(THREAD)
   state \leftarrow thread.schedulingState
   tmp \leftarrow sumPriority
   state.waitingResource \leftarrow this
   waiterQueue.add(state)
   tmp \leftarrow tmp + state.effectivePriority
   update()
end procedure
procedure ACQUIRE(THREAD)
   state \leftarrow thread.schedulingState
   resourceHolder \leftarrow state
   state.addResource(this)
end procedure
procedure NEXTTHREAD()
   \mathbf{if} resourceHolder != null \mathbf{then}
       resourceHolder.removeResource(this)
       resourceHolder \leftarrow null
   end if
   state \leftarrow pickNextThread()
   if state == null then
       return null
   end if
   thread \leftarrow state.thread
   update()
   state.waitingResource = null
   state.addResource(this); return thread
end procedure
```

```
procedure PICKNEXTTHREAD()

rnd ← random number form 0 to sumPriority-1

for i in waiters do

rnd ← rnd - i.effectivePriority

if rnd ; 0 then

return i.thread

end if

end for

end procedure
```

## 5.5.3 SchedulingState

```
procedure Initialize()
priority, effectivePriority ← priorityDefault
resources ← empty TreeSet
waitingResource ← null
end procedure
```

```
procedure UPDATE(TMP)

if tmp!= effectivePriority then

if waitingResource!= null then

waitingResource.updateWaiter(this,tmp)

else

effectivePriority ← tmp

end if

end if

end procedure
```

```
procedure SETPRIORITY(P)
   tmp \leftarrow effective Priority
   tmp \leftarrow tmp - priority
   priority \leftarrow p
   tmp \leftarrow tmp + priority
   update(tmp)
end procedure
procedure UPDATERESOURCE(RES, MAXP)
   resources.remove(res)
   tmp \leftarrow effective Priority
   tmp \leftarrow tmp - res.sumPriority
   res.maxPriority \leftarrow maxP
   resources.add(res)\\
   tmp \leftarrow tmp + res.sumPriority
   update(tmp)
end procedure
procedure ADDRESOURCE(RES)
   tmp \leftarrow effective Priority
   resources.add(res)
   tmp \leftarrow tmp + res.sumPriority
   update(tmp)
end procedure
procedure REMOVERESOURCE(RES)
   tmp \leftarrow effective Priority
   resources.remove(res)
   tmp \leftarrow tmp - res.sumPriority
   update(tmp)
end procedure
```

## 5.6 Description of Tests