

# Project 2 - Initial Design Document

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## 1 Our git Repository

<https://github.com/wjmzbmr/nachos>

## 2 Implementation of System calls for File System

### 2.1 A simple illustration

Since in class `FileSystem`, we only have method `open()` and `remove()`, which means we need to implement `unlink` on our own by keeping a counter for each file opened.

### 2.2 Correctness Invariants

- Bullet-proof those system call so that user error won't break the kernel.
- `Halt` can only be called by the root process.
- The functionality and behavior of those call should match the what have been documented in `syscall.h`.
- A user process should be able to open 16 file concurrently.

### 2.3 Declaration

#### `UserProcess`

- A static member `processCounter`, keeps the number of each process.
- A final member `maxBuf`, which is the maximum buffer size per read.
- A member `processId`.
- An array `fileList` of `OpenFile` with size 16 to store the opened file.
- Modification in `UserProcess()`, which set file Descriptor 0 and 1 to `stdin` and `stdout`.
- Modification in `handleHalt()`
- New methods: `handleCreate()`, `handleOpen()`, `handleRead()`, `handleWrite()`, `handleClose()`, `handleUnlink()`. With specified functionality in the task.

#### `UserKernel`

- A class `FileManager`, which keeps a counter for each file and whether it should be unlinked.
- A static subclass of `FileManager`, `FileRecord`, with two fields: `counter` and `unlinked`.
- A `HashMap` `map` in `FileManager`, map the file's name to the `FileRecord`.
- A Lock mutex in `FileManager`, ensuring that only one process can access to it.
- method `open()`, `create()`, `unlink()` and `close()` in `FileManager`, which will change the information for each file.

## 2.4 Description

The pseudo code follows:

### UserProcess

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---

```
procedure USERPROCESS()
  Disable Interruption
  processId  $\leftarrow$  processCounter++
  fileList  $\leftarrow$  new OpenFile[16]
  fileList[0]  $\leftarrow$  UserKernel.console.openForReading()
  fileList[1]  $\leftarrow$  UserKernel.console.openForWriting()
  Restore Interruption
end procedure

procedure HANDLEHALT()
  if processId  $\neq$  0 then return -1
  end if
  Machine.halt()
end procedure

procedure HANDLECREATE(ADR)
  file  $\leftarrow$  readVirtualMemoryString(adr,256)
  if file == null then return -1
  end if
  idx  $\leftarrow$  0
  while idx < 16 AND fileList[idx]  $\neq$  null do
    idx++
  end while
  if idx == 16 then return -1
  end if
  if NOT UserKernel.FileManager.create(file) then return -1
  end if
  openFile  $\leftarrow$  UserKernel.fileSystem.open(file,true)
  if openFile == null then
    return -1
  end if
  fileList[idx]  $\leftarrow$  openFile
  return idx
end procedure

procedure HANDLEREAD(IDX,ADR,BUF)
  if idx is invalid OR adr is valid OR fileList[idx] is null then
    return -1
  end if
  file  $\leftarrow$  fileList[idx]
  while buf > 0 do
    toRead  $\leftarrow$  min(buf,maxBuf)
    read toRead bytes from file, and write it to adr
    if if in above an error occur then
      return -1
    end if
    buf  $\leftarrow$  buf - toRead
  end while
end procedure
```

---

---

```

procedure HANDLEOPEN()
  file ← readVirtualMemoryString(adr,256)
  if file == null then
    return -1
  end if
  idx ← 0
  while idx < 16 AND fileList[idx] != null do
    idx++
  end while
  if idx == 16 then
    return -1
  end if
  if NOT UserKernel.FileManager.open(file) then
    return -1
  end if
  openFile ← UserKernel.fileSystem.open(file,false)
  if openFile == null then
    return -1
  end if
  fileList[idx] ← openFile
  return idx
end procedure

procedure HANDLEWRITE(IDX,ADR,BUF)
  if idx is invalid OR adr is valid OR fileList[idx] is null then
    return -1
  end if
  file ← fileList[idx]
  while buf > 0 do
    toRead ← min(buf,maxBuf)
    read toRead bytes from adr, and write it to the file
    if if in above an error occur then
      return -1
    end if
    buf ← buf - toRead
  end while
end procedure

```

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---

```

procedure HANDLECLOSE(IDX)
  if idx is invalid then
    return -1
  end if
  file ← fileList[idx]
  name ← file.getName()
  file.close()
  fileList[idx] ← null
  if UserKernel.FileManager.close(name) then
    return 0
  else
    return -1
  end if
end procedure

```

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```

procedure HANDLEUNLINK(ADR)
  if adr is invalid then
    return -1
  end if
  file  $\leftarrow$  readVirtualMemoryString(adr,256)
  if file == null then
    return -1
  end if
  if UserKernel.FileManager.unlink(file) then
    return 0
  end if
  return -1
end procedure

```

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## UserKernel

In class FileManager

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```

procedure OPEN(FILE)
  mutex.acquire()
  if NOT map.containsKey(file) then
    mutex.release()
    return false
  else
    record  $\leftarrow$  map.get(file)
    if record.unlinked then
      mutex.release()
      return false
    end if
    record.counter++
    mutex.release()
    return true
  end if
end procedure

```

---



---

```

procedure CLOSE(FILE)
  mutex.acquire()
  if NOT map.containsKey(file) then
    mutex.release()
    return false
  else
    record  $\leftarrow$  map.get(file)
    record.counter--
    if record.counter == 0 AND record.unlinked then
      UserKernel.fileSystem.remove(file)
      map.remove(file)
    end if
    mutex.release()
    return true
  end if
end procedure

```

---

---

```

procedure FILERECORD()
    unlinked  $\leftarrow$  false
    counter  $\leftarrow$  0
end procedure
procedure FILEMANAGER()
    map  $\leftarrow$  new HashMap<String,FileRecord>()
end procedure
procedure CREATE(FILE)
    mutex.acquire()
    if NOT map.containsKey(file) then
        record  $\leftarrow$  new FileRecord()
        record.counter++
        map.put(file,record)
        mutex.release()
        return true
    else
        record  $\leftarrow$  map.get(file)
        if record.unlinked then
            mutex.release()
            return false
        end if
        record.counter++
        mutex.release()
        return true
    end if
end procedure

```

---



---

```

procedure UNLINK(FILE)
    mutex.acquire()
    if NOT map.containsKey(file) then
        mutex.release()
        return false
    else
        record  $\leftarrow$  map.get(file)
        if record.counter == 0 then
            UserKernel.fileSystem.remove(file)
            map.remove(file)
        else
            record.unlinked  $\leftarrow$  true
        end if
        mutex.release()
        return true
    end if
end procedure

```

---

## 2.5 Description of Tests

### Standard tests

Do some standard tests to make sure our implementation works:

- open a file, read something from it, then close it.

- create a file, write some to it, then close it.
- make two process open the same file, then unlink it, then both process close it.
- unlink a file, and try to open it before it finally closed.

## Bullet-proof tests

Do some special case test to make sure our implementation bullet-proof those user-level mistakes.

- test methods by some invalid parameters.
- write, read, close, unlink some files that doesn't exist.

## 3 Implementation of Support for Multiprogramming

### 3.1 A simple illustration

Use a double linked list to maintain the currently available pages. So that we can make use of them efficiently.

### 3.2 Correctness Invariants

- writeVirtualMemory() and readVirtualMemory() should return number of bits written or read if an error occurs.
- The page corresponding to COFF sections should be read-only.
- The memory should be efficiently used, that is, whenever the number of total available pages exceeds the pages a user process needs, that requirement should be satisfied.

### 3.3 Declaration

#### UserKernel

- A linked list of Integer avaPages, which stores the currently available pages.
- A lock pagesMutex, which prevents multiple process from using the avaPages.

#### UserProcess

- Modifications in readVirtualMemory() and writeVirtualMemory().
- Modifications in loadSections()
- Modifications in unloadSections()
- Modifications in the constructor of UserKernel, which initialize the list of avaPages.

### 3.4 Description

The pseudo code follows:

## UserKernel

---

```
procedure USERKERNEL()  
  pagesMutex  $\leftarrow$  new Lock()  
  while avaPages.size() < numPhypages do  
    avaPages.add(new page)  
  end while  
end procedure
```

---

## UserProcess

---

```
procedure READVIRTUALMEMORY(VADDR,DATA,OFFSET,LENGTH)
  if vaddr is not valid then
    return 0
  end if
  length  $\leftarrow$  min(length, numPages * pageSize - vaddr)
  total  $\leftarrow$  0
  begin  $\leftarrow$  Machine.process.pageFromAddress(vaddr)
  end  $\leftarrow$  Machine.process.pageFromAddress(vaddr + length - 1)
  for page  $\leftarrow$  begin to end do
    if page is invalid then
      return total
    end if
    read the corresponding bytes in page to data[offset..]
    update total and offset
  end for
  return total
end procedure

procedure WRITEVIRTUALMEMORY(VADDR,DATA,OFFSET,LENGTH)
  if vaddr is not valid then
    return 0
  end if
  length  $\leftarrow$  min(length, numPages * pageSize - vaddr)
  total  $\leftarrow$  0
  begin  $\leftarrow$  Machine.process.pageFromAddress(vaddr)
  end  $\leftarrow$  Machine.process.pageFromAddress(vaddr + length - 1)
  for page  $\leftarrow$  begin to end do
    if page is invalid then
      return total
    end if
    write the corresponding bytes in data[offset..] to the page
    update total and offset
  end for
  return total
end procedure

procedure LOADSECTIONS()
  UserKernel.pagesMutex.acquire()
  if the avaPages.size() < numPages then
    UserKernel.pagesMutex.release() return false
  end if
  pageTable  $\leftarrow$  new TranslationEntry[numPages]
  for i  $\leftarrow$  0 to numPages - 1 do
    page  $\leftarrow$  avaPages.poll()
    pageTable[i]  $\leftarrow$  new TranslationEntry(i,page,true,false,false,false)
  end for
  UserKernel.pagesMutex.release()
  ...
  vpn  $\leftarrow$  section.getFirstVPN()+i;
  pageTable[vpn].readOnly  $\leftarrow$  section.isReadOnly()
  section.loadPage(i, vpn);
  ..
  return true
end procedure
```

---



---

```
procedure UNLOADSECTIONS()
    UserKernel.pagesMutex.acquire()
    add all pages in pageTable to avaPages
    UserKernel.pagesMutex.release()
    close all those files opened in fileList
end procedure
```

---

### 3.5 Description of Tests

Do some standard tests to make sure our implementation works:

- call `writeVirtualMemory()` and `readVirtualMemory()`, check whether they have the corresponding functionality.
- Test loading and unloading a `.coff` file.

### Bullet-proof tests

Do some special case test to make sure our implementation bullet-proof those user-level mistakes.

- Call those functions by some invalid parameters.
- Try to require a huge memory which exceeds the entire memory of the machine.

## 4 Implementation of System calls `exec`, `join` and `exit`

### 4.1 A simple illustration

In this architecture, we only have one thread for each process, which will simplify things a lot.

### 4.2 Correctness Invariants

- in `exit` call, the last caller should terminate the machine.
- in `exit` call, it clean up the space used by the process.
- in `join` call, a process can only be joined to its children.
- process ID should be unique for every process.
- Bullet-proof those system call so that user-level mistakes won't break the kernel.

### 4.3 Declaration

#### **UserProcess**

- A static member `processCounter` (already defined in Task I).
- A member thread of type `UThread`, denoting the thread of the current process.
- A member `parent` of type `UserProcess`, denoting the parent of the current thread.
- A member `childList` of type `List<UserProcess>`, denoting the children threads of the current thread.
- A member `mapExitStatus` of type `Map<Integer,Integer>`, which map the children process Id to its exit Status. And a lock `mapExitStatusLock`, we prevent atomic access for this map.
- Modification in `UserProcess()`.
- Methods `handleJoin()`, `handleExec()`, `handleExit()`, with corresponding functionality.

## 4.4 Description

---

```
procedure USERPROCESS()
  Disable interruption.
  processId  $\leftarrow$  processCounter.
  processCounter ++.
  Enable interruption.
end procedure
```

---

---

```
procedure HANDLEEXIT(STATUS)
  Disable interruption.
  unLoadSections()
  set the parent to null for every process in childList
  if parent  $\neq$  null then
    parent.mapExitStatus.put(processId,status)
  end if
  if processId == 0 then
    terminate the Kernel.
  else
    terminate current thread.
  end if
end procedure
```

---

---

```
procedure HANDLEJOIN(PID,ADDR)
  child  $\leftarrow$  the process in childList with processId pid
  if no such process in above then
    return -1
  end if
  if child.thread  $\neq$  null then
    child.thread.join()
  end if
  child.parent  $\leftarrow$  null
  remove child from childList
  exitStatusLock.acquire()
  status  $\leftarrow$  mapExitStatus.get(child.processId)
  mapExitStatus.remove(child.processId)
  exitStatusLock.release()
  if status is an abnormally exit then
    return 0
  end if
  write status to addr
  return 1
end procedure
```

---

---

```

procedure HANDLEEXEC(ADDR,ARGC,ARGV)
  if addr or argc is not valid then
    return -1
  end if
  file ← readVirtualMemoryString(addr,256)
  if file == null OR file is not valid then
    return -1
  end if
  arguments ← parse argc argument for argv.
  if the above parsing failed then
    return -1
  end if
  child ← new UserProcess
  if child.execute(file,arguments) then
    child.parent ← this
    childList.add(child)
    return child.processId
  else
    return -1
  end if
end procedure

```

---

## 4.5 Description of Tests

- Let a process call exit.
- Let a process join to another one, check the perform order.
- Let a process join to a thread which is not its children, see whether an error occurs.
- Create many threads, see that if their id is unique.

## 5 Implementation of LotteryScheduler

### 5.1 A simple illustration

Indeed we don't need to change much details from our previous implementation of priority Scheduler. We only need to change the update for the priority donation and the way to pick the next thread.

### 5.2 Correctness Invariants

- Those method should be atomic.
- The threads are chosen with a probability proportional to the total number of tickets holding by itself and all threads waiting on the resources it holds.

### 5.3 Declaration

#### 5.3.1 LotteryScheduler

- Implementation of getPriority(), setPriority() and getEffectivePriority().

#### 5.3.2 Kthread

- Notice that the original Kthread Object has a member schedulingState, which can be used to record its scheduling state.

### 5.3.3 PriorityThreadQueue

- Make a subclass of ThreadQueue named LotteryThreadQueue. Which is supposed to maintain the threads waiting for this resource.
- A member variable resourceHolder, which points to the thread which holds the resource.
- A member variable sumPriority, which denoting the sum effective priority in the waiterQueue, set as 0 if waiters is empty.
- A binary search tree of SchedulingState waiters contains all the waiting threads.
- implementation of nextThread(), acquire(), waitForAccess().

### 5.3.4 SchedulingState

- member variables thread, priority, effectivePriority, waitingResource which corresponding to the thread it represents, the priority of that thread, the effective priority of that thread, and the resource this thread is waiting for.
- (modification)A member variable resources implemented by a binary search tree, which holds all the resources acquired by this thread.

## 5.4 Description

### 5.4.1 Scheduler

---

```
procedure GETPRIORITY(THREAD)
    return thread.schedulingState.priority
end procedure
procedure GETEFFECTIVEPRIORITY(THREAD)
    return thread.schedulingState.effectivePriority
end procedure
procedure SETPRIORITY(THREAD, P)
    if p < priorityMinimum OR p > priorityMaximum then
        return
    end if
    thread.schedulingState.setPriority(p)
end procedure
```

---

### 5.4.2 LotteryThreadQueue

---

```
procedure INITIALIZE()
    resourceHolder  $\leftarrow$  null;
    waiters  $\leftarrow$  new empty TreeSet.
end procedure
procedure UPDATE(TMP)
    if tmp  $\neq$  sumPriority then
        if resourceHolder  $\neq$  null then
            resourceHolder.updateResource(this,maxPriority)
        else
            sumPriority  $\leftarrow$  tmp
        end if
    end if
end procedure
```

---

---

```
procedure UPDATEWAITER(STATE, EP)
    tmp  $\leftarrow$  sumPriority
    waiters.remove(state)
    tmp  $\leftarrow$  tmp - state.effectivePriority
    state.effectivePriority  $\leftarrow$  EP
    waiters.add(state)
    tmp  $\leftarrow$  tmp + state.effectivePriority
    update(tmp)
end procedure
procedure WAITFORACCESS(THREAD)
    state  $\leftarrow$  thread.schedulingState
    tmp  $\leftarrow$  sumPriority
    state.waitingResource  $\leftarrow$  this
    waiterQueue.add(state)
    tmp  $\leftarrow$  tmp + state.effectivePriority
    update()
end procedure
```

---

---

```
procedure ACQUIRE(THREAD)
    state  $\leftarrow$  thread.schedulingState
    resourceHolder  $\leftarrow$  state
    state.addResource(this)
end procedure
```

---

---

```

procedure PICKNEXTTHREAD()
  rnd ← random number form 0 to sumPriority-1
  for i in waiters do
    rnd ← rnd - i.effectivePriority
    if rnd < 0 then
      return i.thread
    end if
  end for
end procedure

```

---



---

```

procedure NEXTTHREAD()
  if resourceHolder != null then
    resourceHolder.removeResource(this)
    resourceHolder ← null
  end if
  state ← pickNextThread()
  if state == null then
    return null
  end if
  thread ← state.thread
  update()
  state.waitingResource = null
  state.addResource(this); return thread
end procedure

```

---

#### 5.4.3 SchedulingState

---

```

procedure INITIALIZE()
  priority, effectivePriority ← priorityDefault
  resources ← empty TreeSet
  waitingResource ← null
end procedure

```

---



---

```

procedure UPDATE(TMP)
  if tmp != effectivePriority then
    if waitingResource != null then
      waitingResource.updateWaiter(this,tmp)
    else
      effectivePriority ← tmp
    end if
  end if
end procedure

```

---

---

```

procedure SETPRIORITY(P)
    tmp ← effectivePriority
    tmp ← tmp - priority
    priority ← p
    tmp ← tmp + priority
    update(tmp)
end procedure
procedure UPDATERESOURCE(RES, MAXP)
    resources.remove(res)
    tmp ← effectivePriority
    tmp ← tmp - res.sumPriority
    res.maxPriority ← maxP
    resources.add(res)
    tmp ← tmp + res.sumPriority
    update(tmp)
end procedure
procedure ADDRESOURCE(RES)
    tmp ← effectivePriority
    resources.add(res)
    tmp ← tmp + res.sumPriority
    update(tmp)
end procedure
procedure REMOVERESOURCE(RES)
    tmp ← effectivePriority
    resources.remove(res)
    tmp ← tmp - res.sumPriority
    update(tmp)
end procedure

```

---

## 5.5 Description of Tests

### Random Test

Set several threads such that the  $i$ -th has priority  $10^i$ .

Then there is a very big chance that they are performed in the decreasing order of priority. Run it multiple times to see if it the cases.

### Priority Donation Test

Check whether priority donation works by set up a condition, and see when a process release that condition, whether or nor its priority is recovered.