

# Forkable-Strings

By Kawin

April 6, 2017

## Contents

**theory** *Forkable-Strings* **imports** *Main*  $\sim\sim$  */src/HOL/List* **begin**

We will use True as 1 and False as 0 in characteristic strings; we might think about each bool value answers to the question 'is this slot controlled by an adversarial player?'.

**datatype** *nattree* = *Empty* | *Node* *nat* *nattree* *list*

One reason why we don't have Leaves here is that we have to define prefixes of tines carefully so that we don't consider having a leaf with cannot be continue by a list of trees but instead we can have a list of Emptys in order to extend each Empty by a tree

**inductive** *ListOfEmpty* :: *nattree* *list*  $\Rightarrow$  *bool* **where**

*Nil* : *ListOfEmpty* []

| *Cons* : *ListOfEmpty* *l*  $\Longrightarrow$  *ListOfEmpty* (*Empty*#*l*)

**inductive** *Leaf* :: *nattree*  $\Rightarrow$  *bool* **where**

*ListOfEmpty* *l*  $\Longrightarrow$  *Leaf* (*Node* *n* *l*)

**fun** *lt-nat-tree* :: *nat*  $\Rightarrow$  *nattree*  $\Rightarrow$  *bool* **where**

*lt-nat-tree* *n* *Empty* = *True*

| *lt-nat-tree* *n* (*Node* *m* -) = (*n* < *m*)

**lemma** *lt-nat-tree-lt* [*simp*]: (*n* < *m*)  $\longleftrightarrow$  *lt-nat-tree* *n* (*Node* *m* *l*)

**by** *simp*

**lemma** *lt-nat-tree-ge* [*simp*]: (*n*  $\geq$  *m*)  $\longleftrightarrow$   $\neg$  *lt-nat-tree* *n* (*Node* *m* *l*)

**by** *auto*

**fun** *increasing-tree* :: *nattree*  $\Rightarrow$  *bool* **where**

*increasing-tree* *Empty* = *True*

| *increasing-tree* (*Node* - []) = *True*

| *increasing-tree* (*Node* *n* *l*) = ( $\forall x \in \text{set } l. \text{increasing-tree } x \wedge \text{lt-nat-tree } n \ x$ )

**lemma** *increasing-tree-branch-list-of-empty* [*simp*]: *ListOfEmpty* *x*  $\longrightarrow$  *increasing-tree* (*Node* *n* *x*)

```

proof (induction x)
  case Nil
  then show ?case by simp
next
  case (Cons a x)
  then show ?case
  proof (cases a)
    case Empty
    then show ?thesis
  proof –
    obtain nn :: nattree list  $\Rightarrow$  nattree  $\Rightarrow$  nat  $\Rightarrow$  nattree where
       $\forall x0\ x1\ x2. (\exists v3. v3 \in \text{set } (x1 \# x0) \wedge (\neg \text{increasing-tree } v3 \vee \neg \text{lt-nat-tree } x2\ v3)) = (nn\ x0\ x1\ x2 \in \text{set } (x1 \# x0) \wedge (\neg \text{increasing-tree } (nn\ x0\ x1\ x2) \vee \neg \text{lt-nat-tree } x2\ (nn\ x0\ x1\ x2)))$ 
    by moura
    then have f1:  $\forall n\ na\ ns. (\neg \text{increasing-tree } (\text{Node } n\ (na \# ns)) \vee (\forall nb. nb \notin \text{set } (na \# ns) \vee \text{increasing-tree } nb \wedge \text{lt-nat-tree } n\ nb)) \wedge (\text{increasing-tree } (\text{Node } n\ (na \# ns)) \vee nn\ ns\ na\ n \in \text{set } (na \# ns) \wedge (\neg \text{increasing-tree } (nn\ ns\ na\ n) \vee \neg \text{lt-nat-tree } n\ (nn\ ns\ na\ n)))$ 
    by (meson increasing-tree.simps(3))
    obtain nns :: nattree list  $\Rightarrow$  nattree list where
      f2:  $\forall ns. (\neg \text{ListOfEmpty } ns \vee ns = [] \vee ns = \text{Empty} \# nns\ ns \wedge \text{ListOfEmpty } (nns\ ns)) \wedge (\text{ListOfEmpty } ns \vee ns \neq [] \wedge (\forall nsa. ns \neq \text{Empty} \# nsa \vee \neg \text{ListOfEmpty } nsa))$ 
    by (metis ListOfEmpty.simps)
    have (Empty # x = Empty # nns (a # x)) = (x = nns (a # x))
    by blast
    then show ?thesis
    using f2 f1 by (metis (no-types) Cons.IH Empty in-set-member increasing-tree.simps(1) lt-nat-tree.simps(1) member-rec(1) member-rec(2))
  qed
next
  case (Node x21 x22)
  then show ?thesis
  using ListOfEmpty.simps by blast
qed

```

**qed**

**lemma** increasing-tree-ind [simp] :  $(\forall x \in \text{set } l. \text{increasing-tree } x \wedge \text{lt-nat-tree } n\ x) \longleftrightarrow \text{increasing-tree } (\text{Node } n\ l)$

```

proof –
  { fix nn :: nattree
    obtain nna :: nattree  $\Rightarrow$  nat and nnb :: nattree  $\Rightarrow$  nattree and nns :: nattree
       $\Rightarrow$  nattree list and nnc :: nattree  $\Rightarrow$  nattree where
        ff1:  $\forall n. \text{increasing-tree } n \vee \text{Node } (nna\ n) (nnb\ n \# nns\ n) = n \wedge nnc\ n \in \text{set } (nnb\ n \# nns\ n) \wedge (\neg \text{increasing-tree } (nnc\ n) \vee \neg \text{lt-nat-tree } (nna\ n) (nnc\ n))$ 
        using increasing-tree.elims(3) by moura
        have  $\forall n\ ns. (n::nattree) \notin \text{set } ns \vee (\exists nsa. n \# nsa = ns) \vee (\exists na\ nsa. na \#$ 

```

$nsa = ns \wedge n \in \text{set } nsa$   
**by** (metis list.set-cases)  
**then obtain**  $nnsa :: \text{nattree} \Rightarrow \text{nattree list} \Rightarrow \text{nattree list}$  **and**  $nnd :: \text{nattree} \Rightarrow \text{nattree list} \Rightarrow \text{nattree}$  **and**  $nnsb :: \text{nattree} \Rightarrow \text{nattree list} \Rightarrow \text{nattree list}$  **where**  
 $\text{ff2}: \forall n \ ns. n \notin \text{set } ns \vee n \# nnsa \ n \ ns = ns \vee nnd \ n \ ns \# nnsb \ n \ ns = ns$   
 $\wedge n \in \text{set } (nnsb \ n \ ns)$   
**by** moura  
**obtain**  $nne :: \text{nat} \Rightarrow \text{nattree} \Rightarrow \text{nattree list} \Rightarrow \text{nattree}$  **where**  
 $\text{ff3}: \forall n \ na \ ns. (\neg \text{increasing-tree } (\text{Node } n \ (na \# ns)) \vee (\forall nb. nb \notin \text{set } (na \# ns) \vee \text{increasing-tree } nb \wedge \text{lt-nat-tree } n \ nb)) \wedge (nne \ n \ na \ ns \in \text{set } (na \# ns) \wedge (\neg \text{increasing-tree } (nne \ n \ na \ ns) \vee \neg \text{lt-nat-tree } n \ (nne \ n \ na \ ns)) \vee \text{increasing-tree } (\text{Node } n \ (na \# ns)))$   
**by** moura  
**then have**  $\text{ff4}: \forall n \ na \ nb \ ns. \text{lt-nat-tree } nb \ n \vee n \notin \text{set } (nnd \ na \ ns \# nnsb \ na \ ns) \vee \neg \text{increasing-tree } (\text{Node } nb \ ns) \vee na \# nnsa \ na \ ns = ns \vee na \notin \text{set } ns$   
**using** ff2 **by** metis  
**{ assume**  $nn \# nnsa \ nn \ l \neq l$   
**{ assume**  $\exists na. nn \# nnsa \ nn \ l \neq l \wedge \text{increasing-tree } (\text{Node } na \ (nnd \ nn \ l \# nnsb \ nn \ l)) \wedge nn \# nnsa \ nn \ l \neq l \wedge \text{increasing-tree } (\text{Node } n \ l)$   
**moreover**  
**{ assume**  $\exists na \ nb. \text{increasing-tree } (\text{Node } n \ l) \wedge nn \# nnsa \ nn \ l \neq l \wedge \text{increasing-tree } (\text{Node } na \ (nnd \ nb \ l \# nnsb \ nb \ l)) \wedge nb \# nnsa \ nb \ l \neq l \wedge nb \in \text{set } l \wedge \text{increasing-tree } (\text{Node } n \ l)$   
**moreover**  
**{ assume**  $\exists na \ nb \ nc. nb \in \text{set } l \wedge \text{increasing-tree } (\text{Node } n \ l) \wedge nb \# nnsa \ nb \ l \neq l \wedge \text{increasing-tree } (\text{Node } n \ l) \wedge \text{increasing-tree } (\text{Node } nc \ (nnd \ na \ l \# nnsb \ na \ l)) \wedge na \# nnsa \ na \ l \neq l \wedge na \in \text{set } l \wedge \text{increasing-tree } (\text{Node } n \ l)$   
**moreover**  
**{ assume**  $\exists na \ nb \ nc \ ns. nb \# nnsa \ nb \ ns \neq ns \wedge nb \in \text{set } ns \wedge \text{increasing-tree } (\text{Node } n \ l) \wedge nn \in \text{set } ns \wedge \text{increasing-tree } (\text{Node } n \ ns) \wedge \text{increasing-tree } (\text{Node } na \ (nnd \ nc \ l \# nnsb \ nc \ l)) \wedge nc \# nnsa \ nc \ l \neq l \wedge nc \in \text{set } l \wedge \text{increasing-tree } (\text{Node } n \ l)$   
**then have**  $(nn \notin \text{set } l \vee \text{increasing-tree } nn \wedge \text{lt-nat-tree } n \ nn) \wedge \text{increasing-tree } (\text{Node } n \ l) \vee \neg \text{increasing-tree } (\text{Node } n \ l) \wedge (\exists na. na \in \text{set } l \wedge (\neg \text{increasing-tree } na \vee \neg \text{lt-nat-tree } n \ na))$   
**using** ff4 ff3 ff2 **by** (metis (no-types)) }  
**ultimately have**  $(nn \notin \text{set } l \vee \text{increasing-tree } nn \wedge \text{lt-nat-tree } n \ nn) \wedge \text{increasing-tree } (\text{Node } n \ l) \vee \neg \text{increasing-tree } (\text{Node } n \ l) \wedge (\exists na. na \in \text{set } l \wedge (\neg \text{increasing-tree } na \vee \neg \text{lt-nat-tree } n \ na))$   
**by** blast }  
**ultimately have**  $(nn \notin \text{set } l \vee \text{increasing-tree } nn \wedge \text{lt-nat-tree } n \ nn) \wedge \text{increasing-tree } (\text{Node } n \ l) \vee \neg \text{increasing-tree } (\text{Node } n \ l) \wedge (\exists na. na \in \text{set } l \wedge (\neg \text{increasing-tree } na \vee \neg \text{lt-nat-tree } n \ na))$   
**by** blast }  
**ultimately have**  $(nn \notin \text{set } l \vee \text{increasing-tree } nn \wedge \text{lt-nat-tree } n \ nn) \wedge \text{increasing-tree } (\text{Node } n \ l) \vee \neg \text{increasing-tree } (\text{Node } n \ l) \wedge (\exists na. na \in \text{set } l \wedge (\neg \text{increasing-tree } na \vee \neg \text{lt-nat-tree } n \ na))$   
**by** blast }  
**then have**  $(nn \notin \text{set } l \vee \text{increasing-tree } nn \wedge \text{lt-nat-tree } n \ nn) \wedge \text{increasing-tree}$

```

(Node n l) ∨ ¬ increasing-tree (Node n l) ∧ (∃ na. na ∈ set l ∧ (¬ increasing-tree
na ∨ ¬ lt-nat-tree n na))
  using ff3 ff2 ff1 by (metis (no-types) nattree.inject) }
  then have (nn ∉ set l ∨ increasing-tree nn ∧ lt-nat-tree n nn) ∧ increasing-tree
(Node n l) ∨ ¬ increasing-tree (Node n l) ∧ (∃ na. na ∈ set l ∧ (¬ increasing-tree
na ∨ ¬ lt-nat-tree n na))
  using ff3 ff1 by (metis (no-types) list.set-intros(1) nattree.inject) }
  then show ?thesis
  by auto
qed

```

**definition** *ListMax* :: nat list ⇒ nat **where**  
*ListMax* l = foldr max l 0

**lemma** *ListMax-0* [simp]: *ListMax* [] = 0  
 by (simp add: *ListMax*-def)

**lemma** *Listmax-ge* [simp]: ∀ x ∈ set l. x ≤ *ListMax* l  
**proof** (induction l)  
 case Nil  
 then show ?case  
 by auto  
next  
 case (Cons a l)  
 have *ListMax* (Cons a l) = max a (*ListMax* l)  
 using *ListMax*-def by auto  
 have *ListMax* l ≤ *ListMax* (Cons a l) ∧ a ≤ *ListMax* (Cons a l)  
 by (simp add: ⟨*ListMax* (a # l) = max a (*ListMax* l)⟩)  
 then show ?case  
 using Cons.IH by auto  
qed

**fun** *height* :: nattree ⇒ nat **where**  
*height* Empty = 0  
| *height* (Node n bl) = (if Leaf (Node n bl) then 0 else Suc (*ListMax* (map *height* bl)))

**lemma** *height-Leaf* [simp]: Leaf n ⟶ *height* n = 0  
 by (metis *height*.elims)

**lemma** *Leaf-ind* [simp]: Leaf (Node n l) = Leaf (Node n (Empty#l))  
 by (metis Leaf.simps ListOfEmpty.simps list.distinct(1) list.sel(3) nattree.inject)

**lemma** *not-ListOfEmpty-imp-not-Empty-existence* [simp] : ¬ ListOfEmpty l ⟶  
(∃ x ∈ set l. x ≠ Empty)  
**proof** (induction l)  
 case Nil  
 then show ?case  
 by (simp add: ListOfEmpty.Nil)

```

next
  case (Cons a l)
  then have (∀ x ∈ set l. x = Empty) → ListOfEmpty l
    by auto
  then have a = Empty ∧ (∀ x ∈ set l. x = Empty) → ListOfEmpty (a#l)
    using ListOfEmpty.Cons by blast
  then have ¬ ListOfEmpty (a#l) → (a ≠ Empty ∨ (∃ x ∈ set l. x ≠ Empty))
    by blast
  then show ?case by simp
qed

lemma not-Leaf-imp-not-List-of-empty [simp]:
  ¬ Leaf (Node n l) → (∃ x ∈ set l. x ≠ Empty)
proof -
  have ¬ Leaf (Node n l) → ¬ ListOfEmpty l
    using Leaf.intros by blast
  then show ?thesis using not-ListOfEmpty-imp-not-Empty-existence
    by blast
qed

lemma Leaf-non-ListOfEmpty [simp]:
  (∃ x ∈ set l. x ≠ Empty) = (¬ Leaf (Node n l))
proof -
  have (∃ x ∈ set l. x ≠ Empty) → (¬ Leaf (Node n l))
    by (metis Leaf.cases increasing-tree-branch-list-of-empty increasing-tree-ind
      lt-nat-tree.elims(2) nat-less-le nattree.inject)
  then show ?thesis using not-Leaf-imp-not-List-of-empty by blast
qed

lemma height-ge [simp]: ∀ x ∈ set l. height x ≤ height (Node n l)
proof (induction l)
  case Nil
  then show ?case
    by (metis empty-iff empty-set)
next
  case (Cons a l)
  have a1: height (Node n (Cons a l)) = (if Leaf (Node n (Cons a l)) then 0 else
    Suc (ListMax
      (map height (Cons a l))))
    using height.simps(2) by blast
  then show ?case
  proof (cases a)
    case Empty
    then show ?thesis
      by (metis (no-types, lifting) Leaf-non-ListOfEmpty Listmax-ge a1 height.simps(1)
        image-eqI
        le-SucI list.set-map order-refl)
  next
    case (Node x21 x22)

```

```

    then show ?thesis
  by (metis (no-types, lifting) Leaf-non-ListOfEmpty Listmax-ge a1 height.simps(1)
image-eqI
le-SucI le-numeral-extra(3) list.set-map)
qed
qed

```

```

lemma listmax-0 [simp]: ( $\forall x \in \text{set } l. f x = 0$ )  $\longrightarrow$  ListMax (map f l) = 0
proof (induction l)
  case Nil
  then show ?case by simp
next
  case (Cons a l)
  have ListMax (map f (Cons a l)) = max (f a) (ListMax (map f l))
  using ListMax-def by auto
  then have (f a = 0)  $\wedge$  ( $\forall x \in \text{set } l. f x = 0$ )  $\longrightarrow$  ListMax (map f (Cons a l))
  = 0
  using Cons.IH by linarith
  then show ?case
  by simp
qed

```

I use Type nat option to screen out a branch without a labelled node; however I still use ListMax assuming there is only one node labelled by the second argument.

```

inductive ListOfNone :: ('a option) list  $\Rightarrow$  bool where
  Nil: ListOfNone []
| Cons : ListOfNone n  $\Longrightarrow$  ListOfNone (None#n)

```

```

fun maxOption :: nat option  $\Rightarrow$  nat option  $\Rightarrow$  nat option where
  maxOption None x = x
| maxOption (Some n) x = (case x of Some m  $\Rightarrow$  Some (max n m) | None  $\Rightarrow$ 
Some n)

```

```

definition ListMaxOption :: (nat option) list  $\Rightarrow$  nat option where
  ListMaxOption l = foldr maxOption l None

```

```

definition SucOption :: nat option  $\Rightarrow$  nat option where
  SucOption n = (case n of None  $\Rightarrow$  None | Some n  $\Rightarrow$  Some (Suc n))

```

```

fun le-option :: nat option  $\Rightarrow$  nat option  $\Rightarrow$  bool where
  le-option None - = True
| le-option (Some n) x = (case x of None  $\Rightarrow$  False | Some m  $\Rightarrow$  n  $\leq$  m)

```

We don't care None cases

```

fun lt-option :: nat option  $\Rightarrow$  nat option  $\Rightarrow$  bool where
  lt-option None - = False
| lt-option - None = False
| lt-option (Some m) (Some n) = (m < n)

```

```

fun depth :: nattree  $\Rightarrow$  nat  $\Rightarrow$  nat option where
  depth Empty n = None
| depth (Node n bl) m = (if n = m
                        then (Some 0)
                        else SucOption (ListMaxOption (map ( $\lambda$ x. depth x m) bl)))

```

```

definition H :: bool list  $\Rightarrow$  nat set where
  H l = {x. x  $\leq$  length l  $\wedge$   $\neg$  (nth (False#l) x)}

```

```

definition isHonest :: bool list  $\Rightarrow$  nat  $\Rightarrow$  bool where
  isHonest l x = ( $\neg$  (nth (False#l) x))

```

```

lemma H-0 [simp]: 0  $\in$  H l
by (simp add: H-def)

```

```

lemma getFrom-suc-eq-H [simp]: x < length l  $\wedge$   $\neg$  nth l x  $\longleftrightarrow$  Suc x  $\in$  H l
by (simp add: H-def less-eq-Suc-le)

```

```

fun ListSum :: nat list  $\Rightarrow$  nat where
  ListSum l = foldr plus l 0

```

```

lemma ListSum-0 [simp] : ( $\forall$  x  $\in$  set l. x = 0)  $\longrightarrow$  ListSum l = 0
proof (induction l)
  case Nil
  then show ?case by simp
next
  case (Cons a l)
  then show ?case
    by simp
qed

```

No pruning used as we don't yet have an increasing tree in argument, but can improve it later

```

fun count-node :: nat  $\Rightarrow$  nattree  $\Rightarrow$  nat where
  count-node - Empty = 0
| count-node m (Node n bl) = (of-bool (m = n)) + ListSum (map (count-node m) bl)

```

```

lemma count-node-Leaf [simp] : Leaf (Node n l)  $\longrightarrow$  count-node m (Node n l) =
of-bool (m = n)

```

```

proof -
  have Leaf (Node n l)  $\longrightarrow$  ( $\forall$  x  $\in$  set l. count-node m x = 0)
    by (metis Leaf-non-ListOfEmpty count-node.simps(1))
  then have Leaf (Node n l)  $\longrightarrow$  ListSum (map (count-node m) l) = 0
    by (metis ListSum-0 Listmax-ge le-zero-eq listmax-0)
  then show ?thesis
    using count-node.simps(2) by presburger

```

qed

**definition** *unique-node* :: *nattree*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
*unique-node* *t* *n* = (*count-node* *n* *t* = 1)

This function returns true only if each member in a set has one and only associated node.

**fun** *unique-nodes-by-nat-set* :: *nattree*  $\Rightarrow$  *nat* *set*  $\Rightarrow$  *bool* **where**  
*unique-nodes-by-nat-set* *t* *s* = ( $\forall x \in s. \text{unique-node } t \ x$ )

**definition** *uniqueH-tree* :: *nattree*  $\Rightarrow$  *bool* *list*  $\Rightarrow$  *bool* **where**  
*uniqueH-tree* *t* *l* = *unique-nodes-by-nat-set* *t* (*H* *l*)

**lemma** *uniqueH-tree-in-imp-l* [*simp*]:  $\forall x \in H \ l. \text{uniqueH-tree } t \ l \longrightarrow \text{unique-node } t \ x$   
**using** *uniqueH-tree-def* **by** *auto*

**lemma** *uniqueH-tree-in-imp-r* [*simp*]:  $(\forall x \in H \ l. \text{unique-node } t \ x) \longrightarrow \text{uniqueH-tree } t \ l$   
**using** *uniqueH-tree-def* *unique-nodes-by-nat-set.simps* **by** *blast*

**fun** *max-node* :: *nattree*  $\Rightarrow$  *nat* **where**  
*max-node* *Empty* = 0  
| *max-node* (*Node* *n* *bl*) = *ListMax* (*n* # (*map* *max-node* *bl*))

**lemma** *max-node-max* [*simp*]:  $\forall m. \text{max-node } t < m \longrightarrow \text{count-node } m \ t = 0$   
**proof** (*induction* *t*)  
  **case** *Empty*  
  **then show** ?*case*  
  **by** *simp*  
**next**  
  **case** (*Node* *x1* *x2*)  
  **have** *a*: *max-node* (*Node* *x1* *x2*) = *ListMax* (*x1* # (*map* *max-node* *x2*))  
  **by** *simp*  
  **then have**  $\forall x \in \text{set } x2. \text{max-node } x \leq \text{max-node } (\text{Node } x1 \ x2) \wedge x1 \leq \text{max-node } (\text{Node } x1 \ x2)$   
  **by** *simp*  
  **then have**  $\forall x. \forall y \in \text{set } x2. \text{max-node } (\text{Node } x1 \ x2) < x \longrightarrow \text{max-node } y < x \wedge x1 < x$   
  **using** *le-less-trans* **by** *blast*  
  **then have**  $\forall x. \forall y \in \text{set } x2. \text{max-node } (\text{Node } x1 \ x2) < x \longrightarrow \text{count-node } x \ y = 0$   
  **by** (*simp* *add: Node.IH*)  
  **then have**  $\forall x. \text{max-node } (\text{Node } x1 \ x2) < x \longrightarrow \text{count-node } x \ (\text{Node } x1 \ x2) = \text{ListSum } (\text{map } (\text{count-node } x) \ x2)$   
  **by** (*smt* *Listmax-ge a add.commute add-cancel-left-right count-node.simps*(2) *list.set-intros*(1) *not-le of-bool-def*)  
  **then show** ?*case*



**using** *ListSum-0*  $\forall x. \forall y \in \text{set } x2. \text{max-node } (\text{Node } x1 \ x2) < x \longrightarrow \text{count-node } x \ y = 0$  **by** *auto*  
**qed**

**fun** *increasing-depth-H* :: *nattree*  $\Rightarrow$  *bool list*  $\Rightarrow$  *bool* **where**  
*increasing-depth-H* *t l* =  $(\forall x \in H \ l. \forall y \in H \ l. x < y \longrightarrow \text{lt-option } (\text{depth } t \ x) (\text{depth } t \ y))$

**inductive** *root-label-0* :: *nattree*  $\Rightarrow$  *bool* **where**  
*root-label-0* (*Node* 0 *l*)

**lemma** *root-label-0-depth-0* [*simp*] : *root-label-0* *n*  $\longrightarrow$  *depth* *n* 0 = *Some* 0  
**by** (*metis* *depth.simps*(2) *root-label-0.cases*)

*F*  $\longrightarrow$  *w*

**fun** *isFork* :: *bool list*  $\Rightarrow$  *nattree*  $\Rightarrow$  *bool* **where**  
*isFork* *w F* =  $((\text{length } w \geq \text{max-node } F) \wedge (\text{increasing-tree } F) \wedge (\text{uniqueH-tree } F \ w) \wedge (\text{increasing-depth-H } F \ w) \wedge \text{root-label-0 } F)$

**lemma** *isFork-max-not-exceed* [*simp*] : *isFork* *w F*  $\longrightarrow$  *length* *w*  $\geq$  *max-node* *F* **by** *simp*

**lemma** *isFork-root-0* [*simp*] : *isFork* *w F*  $\longrightarrow$  *root-label-0* *F* **by** *simp*

**lemma** *isFork-increasing-tree* [*simp*] : *isFork* *w F*  $\longrightarrow$  *increasing-tree* *F*  
**using** *isFork.simps* **by** *blast*

**lemma** *isFork-uniqueH-tree* [*simp*] : *isFork* *w F*  $\longrightarrow$   $(\forall x \in H \ w. \text{unique-node } F \ x)$   
**by** (*meson* *isFork.elims*(2) *uniqueH-tree-in-imp-l*)

**lemma** *isFork-increasing-depth-H* [*simp*] :  
*isFork* *w F*  $\longrightarrow$   $(\forall x \in H \ w. \forall y \in H \ w. x < y \longrightarrow \text{lt-option } (\text{depth } F \ x) (\text{depth } F \ y))$   
**by** (*meson* *increasing-depth-H.elims*(2) *isFork.elims*(2))

**fun** *getLabelFromTine* :: *nattree*  $\Rightarrow$  *nat list*  $\Rightarrow$  *nat list* **where**  
*getLabelFromTine* *Empty* *l* = []  
| *getLabelFromTine* - [] = []  
| *getLabelFromTine* (*Node* - *l*) (*x* # *xs*) = (if *x*  $\geq$  *length* *l* then [] else  
(case *nth* *l* *x* of

*Empty*  $\Rightarrow$  [] | (\*it runs out of nodes before we

*can trace down all paths*\*)

*Node* *n* -  $\Rightarrow$  *n* # *getLabelFromTine* (*hd* (*drop* *x* *l*)) *xs*))

This function provides a set of all path possible, starting from a root by

comparing between the length of lists of all choices of edges and lists of their labels.

```
fun set-of-tines :: nattree  $\Rightarrow$  (nat list) set where
  set-of-tines t = {tine. length tine = length (getLabelFromTine t tine)}
```

```
fun edge-disjoint-tines :: nat list  $\Rightarrow$  nat list  $\Rightarrow$  bool where
  edge-disjoint-tines [] - = True
| edge-disjoint-tines - [] = True
| edge-disjoint-tines (x#xs) (y#ys) = (x $\neq$ y)
```

Definition 4.11: flatTree

```
fun flatTree :: nattree  $\Rightarrow$  bool where
  flatTree F =
  ( $\exists$  t1  $\in$  set-of-tines F.
    $\exists$  t2  $\in$  set-of-tines F.
   length t1 = length t2
    $\wedge$  length t1 = height F
    $\wedge$  edge-disjoint-tines t1 t1)
```

**lemma** Leaf-imp-nil-label-tine [simp]: **assumes** Leaf (Node n l) **shows** getLabelFromTine (Node n l) t = []

```
proof (cases t)
  case Nil
  then show ?thesis
    using getLabelFromTine.simps(2) by blast
next
  case (Cons a list)
  then show ?thesis
    proof (cases a  $\geq$  length l)
      case True
      then show ?thesis
        using getLabelFromTine.simps(3) local.Cons by presburger
    next
      case False
      have a < length l
        using False by auto
      then have nth l a = Empty
        using Leaf-non-ListOfEmpty assms nth-mem by blast
      then show ?thesis
        by (simp add: local.Cons)
    qed
qed
```

**lemma** flatTree-trivial [simp]: **assumes** Leaf (Node n l) **shows** flatTree (Node n l)

```
proof -
  have set-of-tines (Node n l) = {tine. length tine = length (getLabelFromTine (Node n l) tine)}
  by (metis set-of-tines.elims)
```

```

then have set-of-tines (Node n l) = {tine. length tine = length []}
by (metis (no-types, lifting) Collect-cong Leaf-imp-nil-label-tine assms list.size(3))
then have set-of-tines (Node n l) = {tine. length tine = 0}
by (metis (no-types) ‹set-of-tines (Node n l) = {tine. length tine = length []}›
list.size(3))
then have set-of-tines (Node n l) = {}
by blast
then show flatTree (Node n l)
by (metis assms edge-disjoint-tines.simps(1) flatTree.simps height.simps(2)
list.size(3) singletonI)
qed

```

**definition** *isForkable* :: *bool list*  $\Rightarrow$  *bool* **where**  
*isForkable* w = ( $\exists F. \text{isFork } w \ F \wedge \text{flatTree } F$ )

**definition** *flatFork* :: *bool list*  $\Rightarrow$  *nattree*  $\Rightarrow$  *bool* **where**  
*flatFork* w F = (*isFork* w F  $\wedge$  *flatTree* F)

**inductive** *ListOfAdverse* :: *bool list*  $\Rightarrow$  *bool* **where**  
*Nil* : *ListOfAdverse* []  
| *Cons* : *ListOfAdverse* xs  $\Longrightarrow$  *ListOfAdverse* (True#xs)

**lemma** *ListOfAdverse-all-True* [simp]: *ListOfAdverse* w  $\longrightarrow$  ( $\forall x \in \text{set } w. x$ )  
**proof** (*induction* w)  
**case** *Nil*  
**then show** ?case **by** simp  
**next**  
**case** (*Cons* a w)  
**have** *ListOfAdverse* (a#w)  $\longrightarrow$  a  
**using** *ListOfAdverse.cases* **by** blast  
**then show** ?case  
**using** *Cons.IH ListOfAdverse.cases* **by** auto  
**qed**

**lemma** *all-True-ListOfAdverse* [simp]: ( $\forall x \in \text{set } w. x$ )  $\longrightarrow$  *ListOfAdverse* w  
**proof** (*induction* w)  
**case** *Nil*  
**then show** ?case  
**by** (simp add: *ListOfAdverse.Nil*)  
**next**  
**case** (*Cons* a w)  
**then have** a = True  $\wedge$  ( $\forall x \in \text{set } w. x$ )  $\longrightarrow$  *ListOfAdverse* (a#w)  
**using** *ListOfAdverse.Cons* **by** blast  
**then show** ?case **by** simp  
**qed**

**lemma** *singleton-H-ListOfAdverse* [simp]: *ListOfAdverse* w  $\longrightarrow$  H w = {0}  
**proof** (*induction* w)  
**case** *Nil*

```

then show ?case
  using H-def by auto
next
case (Cons a w)
  have ListOfAdverse (a#w)  $\longrightarrow$  a
    using ListOfAdverse.cases by blast
  then have ListOfAdverse (a#w)  $\longrightarrow$  ( $\forall x. x \leq \text{length } w \longrightarrow \text{nth } (\text{False}\#(a\#w))$ )
 $x = \text{nth } (\text{False}\#w) x$ 
    by (smt ListOfAdverse-all-True add.right-neutral add-Suc-right insert-iff
le-SucI list.simps(15) list.size(4) nth-equal-first-eq)
  have ListOfAdverse (a#w)  $\longrightarrow$  ( $\text{nth } (\text{False}\#(a\#w)) (\text{length } (a\#w))$ )
    by (smt ListOfAdverse-all-True length-0-conv linear list.distinct(1) nth-equal-first-eq)
  then show ?case
    by (smt Collect-cong H-0 H-def ListOfAdverse-all-True mem-Collect-eq nth-equal-first-eq
singleton-conv)
qed

```

```

lemma ListOfEmpty-max-node-ListMax-0 [simp]:
  assumes ListOfEmpty l
  shows ListMax (map max-node l) = 0
  by (metis Leaf.simps Leaf-non-ListOfEmpty assms listmax-0 map-eq-map-tailrec
max-node.simps(1))

```

```

lemma max-node-Leaf [simp]:
  assumes Leaf (Node n l)
  shows max-node (Node n l) = n
proof -
  have max-node (Node n l) = ListMax (n#(map max-node l)) by simp
  then have max-node (Node n l) = max n (ListMax (map max-node l))
    using ListMax-def by auto
  then show max-node (Node n l) = n
    using Leaf.simps assms by auto
qed

```

```

lemma flatFork-Trivial : assumes Leaf (Node 0 l) and ListOfAdverse w shows
flatFork w (Node 0 l)
proof -
  have flatTree (Node 0 l)
    using assms(1) flatTree-trivial by blast
  have prem1: length w  $\geq$  max-node (Node 0 l)
    using assms(1) max-node-Leaf by presburger
  have prem2: increasing-tree (Node 0 l)
    using Leaf.cases assms(1) increasing-tree-branch-list-of-empty by blast
  have count-node 0 (Node 0 l) = 1
    by (metis (full-types) assms(1) count-node-Leaf of-bool-eq(2))
  have H w = {0} using assms(2) singleton-H-ListOfAdverse by blast
  then have prem3: uniqueH-tree (Node 0 l) w
    by (smt assms(1) count-node-Leaf of-bool-eq(2) singletonD uniqueH-tree-in-imp-r
unique-node-def)

```

```

have prem4:increasing-depth-H (Node 0 l) w
  by (simp add: ⟨H w = {0}⟩)
have root-label-0 (Node 0 l)
  by (simp add: root-label-0.intros)
then show ?thesis
  using ⟨flatTree (Node 0 l)⟩ flatFork-def isFork.elims(3) prem1 prem2 prem3
  prem4 by blast
qed

```

```

lemma forkable-eq-exist-flatfork [simp] : isForkable w  $\longleftrightarrow$  ( $\exists F$ . flatFork w F)
  using flatFork-def isForkable-def by blast

```

Definition 4.13 is really tricky as we have to traverse  $F$  and  $F'$  whether it holds that  $F \text{ subseq}_L F'$  at the same time.

```

fun isPrefix-list :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool where
  isPrefix-list [] - = True
| isPrefix-list (l#ls) [] = False
| isPrefix-list (l#ls) (r#rs) = ((l=r)  $\wedge$  isPrefix-list ls rs)

```

```

definition isPrefix-tine :: nattree  $\Rightarrow$  nattree  $\Rightarrow$  nat list  $\Rightarrow$  nat list  $\Rightarrow$  bool where
  isPrefix-tine nt1 nt2 t1 t2 =
  (isPrefix-list t1 t2  $\wedge$  isPrefix-list (getLabelFromTine nt1 t1) (getLabelFromTine nt2 t2))

```

```

definition isPrefix-tree :: nattree  $\Rightarrow$  nattree  $\Rightarrow$  bool where
  isPrefix-tree nt1 nt2 =
  ( $\forall t1 \in \text{set-of-tines } nt1. \forall t2 \in \text{set-of-tines } nt2. \text{isPrefix-list } t1 t2$ 
 $\longrightarrow$  isPrefix-tine nt1 nt2 t1 t2)

```

as this can consider from any list of natural numbers.

```

definition isPrefix-fork :: bool list  $\Rightarrow$  bool list  $\Rightarrow$  nattree  $\Rightarrow$  nattree  $\Rightarrow$  bool where
  isPrefix-fork w1 w2 nt1 nt2 =
  (isFork w1 nt1  $\wedge$  isFork w2 nt2  $\wedge$  isPrefix-list w1 w2  $\wedge$  isPrefix-tree nt1 nt2)

```

Definition 4.14

```

fun closedFork-Hgiven :: nattree  $\Rightarrow$  nat set  $\Rightarrow$  bool where
  closedFork-Hgiven Empty - = True
| closedFork-Hgiven (Node n l) h = (if ListOfEmpty l
  then (n  $\in$  h)
  else foldr conj (map ( $\lambda x$ . closedFork-Hgiven x h) l)
  True)

```

A closed fork has to be a fork of a certain string and closed in regard to that string.

```

definition closedFork :: nattree  $\Rightarrow$  bool list  $\Rightarrow$  bool where
  closedFork F w = (isFork w F  $\wedge$  closedFork-Hgiven F (H w))

```

```

lemma closedFork-ListOfAdverse [simp]:

```

```

assumes Leaf (Node 0 l) and ListOfAdverse w
shows closedFork (Node 0 l) w
proof -
  have closedFork-Hgiven (Node 0 l) (H w)
    by (metis H-0 Leaf.cases assms(1) closedFork-Hgiven.simps(2) nattree.inject)

  then show ?thesis
    using assms(1) assms(2) closedFork-def flatFork-Trivial flatFork-def by blast
qed

```

```

lemma not-ListOfAdverse-not-trivial-fork [simp]:
  assumes Leaf (Node 0 l) and  $\neg$  ListOfAdverse w
  shows  $\neg$  isFork w (Node 0 l)
proof -
  have  $\exists x \in \text{set } w. \neg x$ 
    using all-True-ListOfAdverse assms(2) by blast
  then have  $\exists x. x > 0 \wedge x \leq \text{length } w \wedge \neg (\text{nth } (\text{False}\#w) x)$ 
    by (metis Suc-leI in-set-conv-nth nth-Cons-Suc zero-less-Suc)
  then have  $\exists x. x > 0 \wedge x \in H w$ 
    by (simp add: H-def)
  then have  $\neg \text{uniqueH-tree } (\text{Node } 0 l) w$ 
    by (metis One-nat-def assms(1) max-node-Leaf max-node-max nat.simps(3)
    uniqueH-tree-in-imp-l unique-node-def)
  then show ?thesis
    using isFork.simps by blast
qed

```

```

lemma Leaf-inp-ListOfAdverse-trivial-fork [simp]:
  assumes Leaf (Node 0 l)
  shows ListOfAdverse w  $\longleftrightarrow$  isFork w (Node 0 l)
  using assms flatFork-Trivial flatFork-def not-ListOfAdverse-not-trivial-fork by
  blast

```

From Definition 4.15, gap reserve and reach depend on a fork and a characteristic string.

A gap of a tine is a difference between its length and the longest tine's.

**definition** gap :: nattree  $\Rightarrow$  nat list  $\Rightarrow$  nat **where**  
 gap nt tine = height nt - length tine

A reserve of a tine is the number of adversarial nodes after the last node of the tine.

**definition** reserve :: bool list  $\Rightarrow$  nat list  $\Rightarrow$  nat **where**  
 reserve w labeledTine = foldr ( $\lambda x. (\text{plus } (\text{of-bool } x))$ ) (drop (ListMax labeledTine) w) 0

A reach of a tine is simply a difference between its reserve and gap.

**definition** reach :: nattree  $\Rightarrow$  bool list  $\Rightarrow$  nat list  $\Rightarrow$  int **where**  
 reach nt w tine = int (reserve w (getLabelFromTine nt tine)) - int (gap nt tine)

lambda and mu (or called margin) from Definition 4.16.

**definition**  $\text{lambda} :: \text{nattree} \Rightarrow \text{bool list} \Rightarrow \text{int}$  **where**  
 $\text{lambda } t \ w = \text{Max } \{r. \exists x \in \text{set-of-tines } t. r = \text{reach } t \ w \ x\}$

**lemma** *ListOfAdverse-count-eq-length* :

$\text{ListOfAdverse } w \longrightarrow (\text{foldr } (\lambda x. (\text{plus } (\text{of-bool } x))) \ w \ 0) = \text{length } w$

**proof** (*induction w*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a w*)

**have**  $\text{ListOfAdverse } (\text{Cons } a \ w) \longrightarrow a \wedge \text{ListOfAdverse } w$

**using** *ListOfAdverse.cases* **by** *blast*

**then have**  $\text{ListOfAdverse } (\text{Cons } a \ w) \longrightarrow a \wedge (\text{foldr } (\lambda x. (\text{plus } (\text{of-bool } x))) \ w \ 0) = \text{length } w$

**using** *Cons.IH* **by** *blast*

**then have**  $\text{ListOfAdverse } (\text{Cons } a \ w) \longrightarrow \text{foldr } (\lambda x. (\text{plus } (\text{of-bool } x))) \ (a \ \# \ w) \ 0 = (\lambda x. (\text{plus } (\text{of-bool } x))) \ a \ (\text{length } w)$

**by** (*metis foldr-Cons o-apply*)

**then show** *?case*

**by** (*metis (full-types) One-nat-def (ListOfAdverse (a # w)  $\longrightarrow a \wedge \text{ListOfAdverse } w$ ) list.size(4) of-bool-eq(2) semiring-normalization-rules(24))*)

**qed**

**lemma** *lambda-no-honest* : **assumes**  $\text{ListOfAdverse } w$  **shows**  $\exists t. \text{isFork } w \ t \wedge \text{lambda } t \ w \geq 0$

**proof** –

**obtain** *l* **where** *ListOfEmpty l*

**using** *ListOfEmpty.Nil* **by** *auto*

**obtain** *t* **where**  $a:\text{Leaf } t \wedge t = \text{Node } 0 \ l \wedge \text{isFork } w \ t$

**using** *Leaf.intros Leaf-inp-ListOfAdverse-trivial-fork (ListOfEmpty l) asms* **by** *blast*

**have**  $b:\text{gap } t \ [] = 0$

**by** (*metis (Leaf t  $\wedge t = \text{Node } 0 \ l \wedge \text{isFork } w \ t$ ) gap-def height-Leaf list.size(3) minus-nat.diff-0)*)

**have**  $\text{reserve } w \ [] \geq 0$

**by** *simp*

**have**  $\text{reachge0}: \text{reach } t \ w \ [] \geq 0$

**using**  $\langle \text{gap } t \ [] = 0 \rangle$  *reach-def* **by** *auto*

**have**  $\forall \text{tine}. \text{getLabelFromTine } t \ \text{tine} = []$

**using** *Leaf-imp-nil-label-tine a* **by** *blast*

**then have**  $\forall \text{tine}. \text{length } (\text{getLabelFromTine } t \ \text{tine}) = 0$

**by** *simp*

**then have**  $\text{set-of-tines } t = \{[]\}$

**by** *simp*

**then have**  $\text{exist}: \exists x \in \text{set-of-tines } t. \text{reach } t \ w \ x \geq 0$

**using** *reachge0* **by** *blast*

**then have**  $\text{all}: \forall x \in \text{set-of-tines } t. \text{reach } t \ w \ x \geq 0$

**using**  $\langle \text{set-of-tines } t = \{[]\} \rangle$  **by** *fastforce*

**then have**  $\{r. \exists x \in \text{set-of-tines } t. r = \text{reach } t \ w \ x\} = \{r. r = \text{reach } t \ w \ []\}$   
**using**  $\langle \text{set-of-tines } t = \{[]\} \rangle$  **by** *auto*  
**hence**  $\{r. \exists x \in \text{set-of-tines } t. r = \text{reach } t \ w \ x\} = \{r. r = \text{int } (\text{reserve } w \ (\text{getLabelFromTine } t \ [])) - \text{int } (\text{gap } t \ [])\}$   
**using** *reach-def* **by** *auto*  
**hence**  $\{r. \exists x \in \text{set-of-tines } t. r = \text{reach } t \ w \ x\} = \{r. r = \text{int } (\text{reserve } w \ []) - 0\}$   
**by**  $(\text{metis } a \ b \ \text{getLabelFromTine.simps}(2) \ \text{of-nat-0})$   
**hence**  $\{r. \exists x \in \text{set-of-tines } t. r = \text{reach } t \ w \ x\} = \{r. r = \text{int } (\text{foldr } (\lambda x. (\text{plus } (\text{of-bool } x))) \ w \ 0))\}$   
**using** *reserve-def* **by** *auto*  
**hence**  $\{r. \exists x \in \text{set-of-tines } t. r = \text{reach } t \ w \ x\} = \{\text{int } (\text{length } w)\}$   
**by**  $(\text{simp add: ListOfAdverse-count-eq-length assms})$   
**hence**  $\text{lambda } t \ w \geq 0$   
**using** *lambda-def* **by** *auto*  
**thus** *?thesis*  
**using** *a* **by** *blast*  
**qed**

**definition** *set-of-edge-disjoint-tines* :: *nattree*  $\Rightarrow$   $((\text{nat list}, \text{nat list}) \text{ prod}) \text{ set}$   
**where**

*set-of-edge-disjoint-tines* *t*  
 $= \{(x,y). x \in \text{set-of-tines } t$   
 $\wedge y \in \text{set-of-tines } t$   
 $\wedge \text{edge-disjoint-tines } x \ y\}$

**definition** *margin* :: *nattree*  $\Rightarrow$  *bool list*  $\Rightarrow$  *int* **where**

*margin* *t w* =  $\text{Max } \{r. (\exists (a,b) \in \text{set-of-edge-disjoint-tines } t. r = \min (\text{reach } t \ w \ a) (\text{reach } t \ w \ b)))\}$

**lemma** *margin-no-honest* : **assumes** *ListOfAdverse w* **shows**  $\exists t. \text{isFork } w \ t \wedge \text{margin } t \ w \geq 0$

**proof** –

**obtain** *l* **where** *ListOfEmpty l*  
**using** *ListOfEmpty.Nil* **by** *auto*  
**obtain** *t* **where**  $a:\text{Leaf } t \wedge t = \text{Node } 0 \ l \wedge \text{isFork } w \ t$   
**using** *Leaf.intros Leaf-inp-ListOfAdverse-trivial-fork*  $\langle \text{ListOfEmpty } l \rangle$  *assms* **by** *blast*  
**have**  $b:\text{gap } t \ [] = 0$   
**by**  $(\text{metis } \langle \text{Leaf } t \wedge t = \text{Node } 0 \ l \wedge \text{isFork } w \ t \rangle \ \text{gap-def height-Leaf list.size}(3) \ \text{minus-nat.diff-0})$   
**have**  $\text{reserve } w \ [] \geq 0$   
**by** *simp*  
**have**  $\text{reachge0: reach } t \ w \ [] \geq 0$   
**using**  $\langle \text{gap } t \ [] = 0 \rangle$  *reach-def* **by** *auto*  
**have**  $\forall \text{tine. getLabelFromTine } t \ \text{tine} = []$   
**using** *Leaf-imp-nil-label-tine a* **by** *blast*  
**then have**  $\forall \text{tine. length } (\text{getLabelFromTine } t \ \text{tine}) = 0$   
**by** *simp*



```

then have set-nil:set-of-tines  $t = \{\emptyset\}$ 
  by simp
then have  $c:\exists x \in \text{set-of-tines } t. \text{ reach } t \text{ w } x \geq 0$ 
  using reachge0 by blast
then have  $d: \text{set-of-edge-disjoint-tines } t$ 
 $= \{(x,y). x \in \{\emptyset\}$ 
   $\wedge y \in \{\emptyset\}$ 
   $\wedge \text{edge-disjoint-tines } x \ y\}$ 
  using set-nil set-of-edge-disjoint-tines-def by auto
then have  $\forall (a,b) \in \text{set-of-edge-disjoint-tines } t.$ 
 $a = \emptyset \wedge b = \emptyset$ 
  by simp
then have  $(\emptyset, \emptyset) \in \text{set-of-edge-disjoint-tines } t$ 
  by (simp add: case-prodI d)
then have  $\text{set-of-edge-disjoint-tines } t = \{(\emptyset, \emptyset)\}$ 
  using  $\langle \forall (a, b) \in \text{set-of-edge-disjoint-tines } t. a = \emptyset \wedge b = \emptyset \rangle$  by blast
then have  $\exists (a,b) \in \text{set-of-edge-disjoint-tines } t.$ 
 $\min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b) \geq 0$ 
  by (simp add: reachge0)
then have  $\forall (a,b) \in \text{set-of-edge-disjoint-tines } t.$ 
 $\min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b) \geq 0$ 
  using  $\langle \text{set-of-edge-disjoint-tines } t = \{(\emptyset, \emptyset)\} \rangle$  by auto
then have  $\{r. \exists (a,b) \in \text{set-of-edge-disjoint-tines } t. r = \min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b)\} = \{r. r = \text{reach } t \text{ w } \emptyset\}$ 
  using  $\langle \text{set-of-edge-disjoint-tines } t = \{(\emptyset, \emptyset)\} \rangle$  by auto
hence  $\{r. \exists (a,b) \in \text{set-of-edge-disjoint-tines } t. r = \min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b)\} = \{r. r = \text{int } (\text{reserve } w (\text{getLabelFromTine } t \ \emptyset)) - \text{int } (\text{gap } t \ \emptyset)\}$ 
  using reach-def by auto
hence  $\{r. \exists (a,b) \in \text{set-of-edge-disjoint-tines } t. r = \min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b)\} = \{r. r = \text{int } (\text{reserve } w \ \emptyset) - 0\}$ 
  by (metis a b getLabelFromTine.simps(2) of-nat-0)
hence  $\{r. \exists (a,b) \in \text{set-of-edge-disjoint-tines } t. r = \min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b)\} = \{r. r = \text{int } (\text{foldr } (\lambda x. (\text{plus } (\text{of-bool } x))) \ w \ 0)\}$ 
  using reserve-def by auto
hence  $\{r. \exists (a,b) \in \text{set-of-edge-disjoint-tines } t. r = \min (\text{reach } t \text{ w } a) (\text{reach } t \text{ w } b)\} = \{\text{int } (\text{length } w)\}$ 
  by (simp add: ListOfAdverse-count-eq-length assms)
hence  $\text{margin } t \text{ w } \geq 0$ 
  using margin-def by auto
thus ?thesis
  using a by blast
qed

```

This function is to construct, from an increasing tree, a tree not containing greater-labelled nodes than a certain number.

```

fun remove-greater ::  $\text{nat} \Rightarrow \text{nattree} \Rightarrow \text{nattree}$  where
  remove-greater - Empty = Empty
  | remove-greater  $m$  (Node  $n$   $l$ ) = (if  $n < m$  then Node  $n$  (map (remove-greater  $m$ )  $l$ ) else Empty)

```

**definition** *max-honest-node* :: *bool list*  $\Rightarrow$  *nat* **where**

*max-honest-node* *w* = *Max* {*r*. *r*  $\in$  *H w*}

**fun** *count-node-by-set* :: *nat set*  $\Rightarrow$  *nattree*  $\Rightarrow$  *nat* **where**

*count-node-by-set* - *Empty* = 0

| *count-node-by-set* *s* (*Node n l*) = (*of-bool* (*n*  $\in$  *s*)) + *ListSum* (*map* (*count-node-by-set* *s*) *l*)

**definition** *count-honest-node* :: *bool list*  $\Rightarrow$  *nattree*  $\Rightarrow$  *nat* **where**

*count-honest-node* *w t* = *count-node-by-set* (*H w*) *t*

**lemma** *map-ListOfEmpty* [*simp*]: *ListOfEmpty* (*map* ( $\lambda x$ . *Empty*) *l*)

**apply** (*induction* *l*)

**apply** (*simp add*: *ListOfEmpty.Nil*)

**by** (*simp add*: *ListOfEmpty.Cons*)

**fun** *toClosedFork* :: *bool list*  $\Rightarrow$  *nattree*  $\Rightarrow$  *nattree* **where**

*toClosedFork* - *Empty* = *Empty*

| *toClosedFork* *w* (*Node n l*) =

(*if* *count-honest-node* *w* (*Node n l*) = *of-bool* (*isHonest w n*)

*then*

(*if* *isHonest w n* *then* *Node n* (*map* ( $\lambda x$ . *Empty*) *l*) *else* *Empty*)

*else* *Node n* (*map* (*toClosedFork w*) *l*)

)

**lemma** *isFork-toClosedFork-isFork* [*simp*]: *isFork w F*  $\longrightarrow$  *isFork w* (*toClosedFork w F*)

**sorry**

**lemma** *closedFork-eq-toClosedFork* [*simp*]: *isFork w F*  $\longrightarrow$  *F* = (*toClosedFork w F*)

**sorry**

**lemma** *toClosedFork-prefixFork* [*simp*]: *isFork w F*  $\longrightarrow$  *isPrefix-fork w w F* (*toClosedFork w F*)

**sorry**

**lemma** *closedFork-deepest-honest-node-eq-height* [*simp*]: *isFork w F*  $\wedge$  *closedFork F w*  $\longrightarrow$

*depth* (*ClosedFork w F*) (*max-honest-node w*) = *Some* (*height F*)

**sorry**

**lemma** *obtain-two-non-negative-reach-times-toClosedFork* [*simp*]:

**assumes** *isFork w F*  $\wedge$  *flatFork w F*

**shows** *t1*  $\in$  *set* (*tinelist F*)  $\wedge$  *t2*  $\in$  *set* (*tinelist F*)

$\wedge$  *length t1* = *length t2*  $\wedge$  *length t1* = *height F*

$\longrightarrow$

$(\exists t1' \in \text{set } (\text{tinelist } (\text{toClosedFork } w F)).$   
 $\exists t2' \in \text{set } (\text{tinelist } (\text{toClosedFork } w F)).$   
 $\text{isPrefix-tine } (\text{toClosedFork } w F) F t1' t1$   
 $\wedge \text{isPrefix-tine } (\text{toClosedFork } w F) F t2' t2$   
 $\wedge \text{edge-disjoint-tines } t1' t2'$   
 $\wedge \text{reach } (\text{toClosedFork } w F) w t1' \geq 0$   
 $\wedge \text{reach } (\text{toClosedFork } w F) w t2' \geq 0)$   
**sorry**

**lemma** *if-4-17* [simp]: **assumes** *isForkable* *w* **shows**  $(\exists F. (\text{isFork } w F \wedge \text{margin } F w \geq 0))$   
**proof** (cases *ListOfAdverse* *w*)  
  **case** *True*  
  **then show** ?thesis  
  **using** *margin-no-honest* **by** *blast*  
**next**  
  **case** *False*  
  **then show** ?thesis **sorry**  
**qed**

**lemma** *only-if-4-17* [simp]: **assumes**  $(\exists F. (\text{isFork } w F \wedge \text{margin } F w \geq 0))$   
**shows** *isForkable* *w*  
**proof** (cases *ListOfAdverse* *w*)  
  **case** *True*  
  **then show** ?thesis  
  **using** *Leaf.intros* *ListOfEmpty.Nil* *flatFork-Trivial* *forkable-eq-exist-flatfork* **by**  
*blast*  
**next**  
  **case** *False*  
  **then show** ?thesis **sorry**  
**qed**

**proposition** *proposition-4-17* : *isForkable* *w*  $\longleftrightarrow (\exists F. (\text{isFork } w F \wedge \text{margin } F w \geq 0))$   
**using** *if-4-17* *only-if-4-17* **by** *blast*

**definition** *lambda-of-string* :: *bool list*  $\Rightarrow$  *int* **where**  
*lambda-of-string* *w* = *Max* {*t*.  $(\exists F. (\text{isFork } w F \wedge \text{closedFork } F w \wedge t = \text{lambda } F w))$ }

**lemma** *max-node-lowerbound* : *max-node* (*Node* *n* *l*)  $\geq n$  **by** *simp*

**lemma** *max-node-lowerbound-branch* :  $(\exists x \in \text{set } l. x = \text{Node } n \text{ } ll) \longrightarrow \text{max-node } (\text{Node } m \text{ } l) \geq n$   
**by** (*metis* *Listmax-ge* *dual-order.trans* *image-eqI* *list.set-intros*(2) *max-node.simps*(2) *max-node-lowerbound* *set-map*)

**lemma** *isFork-Nil* : **assumes** *isFork* [] *F* **shows** *Leaf* *F*  $\wedge$  *root-label-0* *F*  
**proof** –

```

have inc : increasing-tree F
  using assms isFork-increasing-tree by blast
have root0: root-label-0 F
  using assms isFork-root-0 by blast
then obtain l where Fnode: F = Node 0 l
  using root-label-0.cases by blast
then have  $\neg \text{ListOfEmpty } l \longrightarrow (\exists x \in \text{set } l. x \neq \text{Empty})$  by simp
then have  $\neg \text{ListOfEmpty } l \longrightarrow (\exists n. (\exists ll. \text{Node } n \ ll \in \text{set } l))$ 
  by (metis  $\langle F = \text{Node } 0 \ l \rangle$  assms increasing-tree-ind isFork-increasing-tree
lt-nat-tree.elims(2))
then have  $\neg \text{ListOfEmpty } l \longrightarrow (\exists n. n > 0 \wedge (\exists ll. \text{Node } n \ ll \in \text{set } l))$ 
  using Fnode inc increasing-tree-ind lt-nat-tree.simps(2) by blast
then have  $\neg \text{ListOfEmpty } l \longrightarrow (\text{max-node } F > 0)$ 
  by (metis Fnode grOI max-node-lowerbound-branch not-le)
then show ?thesis
  by (metis Fnode Leaf.intros assms isFork-max-not-exceed list.size(3) not-le
root0)
qed

```

**lemma** *label-from-Leaf-eq-nil* : **assumes** *Leaf t* **shows** *getLabelFromTine t x = []*  
 by (metis *Leaf.cases Leaf-imp-nil-label-tine* assms)

**lemma** *reserve-nil-nil* : *reserve [] [] = 0*  
 by (simp add: *reserve-def*)

**lemma** *lambda-of-nil-aux* : **assumes** *isFork [] F*  $\wedge$  *closedFork F []* **shows** *lambda F [] = 0*

**proof** –

```

have f1: Leaf F  $\wedge$  root-label-0 F
  using assms isFork-Nil by blast
then have reach F [] [] = int (reserve [] (getLabelFromTine F [])) – int (gap
F [])
  using reach-def by blast
then have reach F [] [] = int (reserve [] []) – int 0
  using f1 gap-def height-Leaf label-from-Leaf-eq-nil by presburger
then have reach F [] [] = 0
  using reserve-nil-nil by presburger
then show lambda F [] = 0
proof –
  have  $\forall x. \text{getLabelFromTine } F \ x = []$ 
    using f1 label-from-Leaf-eq-nil by blast
  then have set-of-tines F = {tine. length tine = 0}
    by (metis (no-types, lifting) Collect-cong list.size(3) set-of-tines.elims)
  then have set-nil:set-of-tines F = {[]}
    by (smt Collect-cong length-greater-0-conv list.size(3) singleton-conv)
  then have  $(\forall x \in \text{set-of-tines } F. \text{reach } F \ [] \ x = 0)$ 
    using f1 by (metis  $\langle \text{reach } F \ [] \ [] = 0 \rangle$  singletonD)
  have  $(\exists x \in \text{set-of-tines } F. \text{reach } F \ [] \ x = 0)$ 
    using set-nil  $\langle \text{reach } F \ [] \ [] = 0 \rangle$  by blast

```

```

    have  $\text{Max } \{r. \exists x \in \text{set-of-tines } F. r = \text{reach } F \ \square \ x\} = 0$ 
      using  $\langle \text{reach } F \ \square \ \square = 0 \rangle$  set-nil by auto
    then show ?thesis
      using lambda-def by auto
qed
qed

lemma lambda-of-nil : lambda-of-string  $\square = 0$ 
proof –
  obtain  $F$  where  $F:\text{isFork } \square \ F$ 
    using ListOfAdverse.Nil margin-no-honest by blast
  then have  $f1: \text{Leaf } F \wedge \text{root-label-0 } F$ 
    by (metis isFork-Nil)
  have  $\text{closedFork } F \ \square$ 
    using  $f1$  ListOfAdverse.Nil closedFork-ListOfAdverse root-label-0.cases by blast

  obtain  $ss$  where  $ss:ss = \{t. \exists f. \text{isFork } \square \ f \wedge \text{closedFork } f \ \square \wedge t = \text{lambda } f \ \square\}$ 
    by blast
  then have zero-in:  $ss = \{0\}$ 
    by (smt Collect-cong F  $\langle \text{closedFork } F \ \square \rangle$  lambda-of-nil-aux singleton-conv2)
  then have  $\text{Max } ss = 0$ 
    by simp
  have  $\text{Max } \{i. \exists n. \text{isFork } \square \ n \wedge \text{closedFork } n \ \square \wedge i = \text{lambda } n \ \square\} = 0$ 
    using  $\langle \text{Max } ss = 0 \rangle$  ss by blast
  then show ?thesis
    using lambda-of-string-def by presburger
qed

definition margin-of-string :: bool list  $\Rightarrow$  int where
  margin-of-string  $w = \text{Max } \{t. (\exists F. (\text{isFork } w \ F \wedge \text{closedFork } F \ w \wedge t = \text{margin } F \ w))\}$ 

lemma margin-of-nil-aux : assumes  $\text{isFork } \square \ F \wedge \text{closedFork } F \ \square$  shows  $\text{margin } F \ \square = 0$ 
proof –
  have  $f1: \text{Leaf } F \wedge \text{root-label-0 } F$ 
    using assms isFork-Nil by blast
  then have  $\text{reach } F \ \square \ \square = \text{int } (\text{reserve } \square \ (\text{getLabelFromTine } F \ \square)) - \text{int } (\text{gap } F \ \square)$ 
    using reach-def by blast
  then have  $\text{reach } F \ \square \ \square = \text{int } (\text{reserve } \square \ \square) - \text{int } 0$ 
    using  $f1$  gap-def height-Leaf label-from-Leaf-eq-nil by presburger
  then have  $\text{reach0}:\text{reach } F \ \square \ \square = 0$ 
    using reserve-nil-nil by presburger
  have  $\forall x. \text{getLabelFromTine } F \ x = \square$ 
    using  $f1$  label-from-Leaf-eq-nil by blast
  then have  $\text{set-of-tines } F = \{\text{tine. length } \text{tine} = 0\}$ 
    by (metis (no-types, lifting) Collect-cong list.size(3) set-of-tines.elims)
  then have  $\text{set-of-tines } F = \{\square\}$ 

```

by auto  
 then have  $\forall x \in \text{set-of-tines } F. x = []$   
 by auto  
 have edge-disjoint-tines  $[] []$   
 by simp  
 then have set-of-edge-disjoint-tines  $F =$   
 $\{(x,y). x \in \text{set-of-tines } F \wedge y \in \text{set-of-tines } F\}$   
 using  $\langle \text{set-of-tines } F = \{[]\} \rangle$  set-of-edge-disjoint-tines-def by auto  
 then have all:  $\forall (a,b) \in \text{set-of-edge-disjoint-tines } F. (a,b) = ([], [])$   
 using  $\langle \forall x \in \text{set-of-tines } F. x = [] \rangle$  by auto  
 have exist:  $\exists (a,b) \in \text{set-of-edge-disjoint-tines } F. (a,b) = ([], [])$   
 using  $\langle \text{set-of-edge-disjoint-tines } F = \{(x, y). x \in \text{set-of-tines } F \wedge y \in \text{set-of-tines } F\} \rangle$   $\langle \text{set-of-tines } F = \{[]\} \rangle$  by blast  
 hence set-nil-pair:  $\text{set-of-edge-disjoint-tines } F = \{([], [])\}$   
 using all by blast  
 have  $\text{Max } \{r. (\exists (a,b) \in \text{set-of-edge-disjoint-tines } F. r = \min (\text{reach } F [] a) (\text{reach } F [] b))\} = 0$   
 using reach0 set-nil-pair by auto  
 thus ?thesis using margin-def  
 by simp  
 qed

lemma margin-of-nil:  $\text{margin-of-string } [] = 0$   
 proof –  
 obtain  $F$  where isFork  $[] F$   
 using ListOfAdverse.Nil margin-no-honest by blast  
 then have  $f1: \text{Leaf } F \wedge \text{root-label-0 } F$   
 by (metis isFork-Nil)  
 have closedFork  $F []$   
 using f1 ListOfAdverse.Nil closedFork-ListOfAdverse root-label-0.cases by blast  
  
 obtain  $ss$  where  $ss:ss = \{t. \exists f. \text{isFork } [] f \wedge \text{closedFork } f [] \wedge t = \text{margin } f []\}$   
 by blast  
 then have zero-in:  $ss = \{0\}$   
 by (smt Collect-cong ListOfAdverse.Nil closedFork-ListOfAdverse isFork-Nil margin-no-honest margin-of-nil-aux root-label-0.cases singleton-conv)  
 then have  $\text{Max } ss = 0$   
 by simp  
 have  $\text{Max } \{i. \exists n. \text{isFork } [] n \wedge \text{closedFork } n [] \wedge i = \text{margin } n []\} = 0$   
 using  $\langle \text{Max } ss = 0 \rangle$  ss by blast  
 then show ?thesis using margin-of-string-def  
 by presburger  
 qed

definition  $m :: \text{bool list} \Rightarrow (\text{int}, \text{int}) \text{ prod}$  where  
 $m w = (\text{lambda-of-string } w, \text{margin-of-string } w)$

lemma lemma-4-18-trivial-case-m :  $m [] = (0, 0)$

**by** (*simp add: lambda-of-nil m-def margin-of-nil*)

**lemma** *lemma-4-18-trivial-case-exist-Fork* :

$\exists F. (isFork \ [] \ F \wedge closedFork \ F \ [] \wedge (m \ [] = (lambda \ F \ [], margin \ F \ [])))$

**by** (*metis ListOfAdverse.Nil closedFork-ListOfAdverse isFork-Nil lambda-of-nil-aux lemma-4-18-trivial-case-m margin-no-honest margin-of-nil-aux root-label-0.cases*)

**lemma** *lemma-4-18* :  $(m \ [] = (0,0)) \wedge$

$(\forall w. ((length \ w > 0) \longrightarrow ($

$(m \ (w \ @ \ [True]) = (lambda-of-string \ w + 1, margin-of-string \ w + 1))$

$\wedge ((lambda-of-string \ w > margin-of-string \ w) \wedge (margin-of-string \ w = 0)$   
 $\longrightarrow (m \ (w \ @ \ [False]) = (lambda-of-string \ w - 1, 0)))$

$\wedge (lambda-of-string \ w = 0 \longrightarrow (m \ (w \ @ \ [False]) = (0, margin-of-string \ w$   
 $- 1)))$

$\wedge (lambda-of-string \ w > 0 \wedge margin-of-string \ w \neq 0 \longrightarrow (m \ (w \ @ \ [False])$   
 $= (lambda-of-string \ w - 1, margin-of-string \ w - 1))))$

$\wedge (\exists F. (isFork \ w \ F \wedge closedFork \ F \ w \wedge (m \ w = (lambda \ F \ w, margin \ F \ w))))$

**sorry**

**end**