# CS106L Lecture 15: RAII and Smart Pointers

Winter 2024

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#### **Attendance**



http://tinyurl.com/raiiW24

#### Plan

- 1. RAII (Resource Acquisition Is Initialization)
- 2. Smart Pointers
- 3. Building C++ projects

```
std::string returnNameCheckPawsome(Pet p) {
    /// NOTE: dogs > cats
   if (p.type() == "Dog" | p.firstName() == "Fluffy") {
        std::cout << p.firstName() << " " <<
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- Exceptions are "thrown"
- However, we can write code that lets | can continue in our code without nece;
- We call this "<u>catching</u>" an exception.

```
try {
     // code that we check for exceptions
}
catch([exception type] e1) { // "if"
     // behavior when we encounter an error
}
catch([other exception type] e2) { // "else if"
     // ...
}
catch { // the "else" statement
     // catch-all (haha)
}
```

# What questions do we have?



```
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    /// NOTE: dogs > cats
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```

#### At least 23 code paths!

- (1): Copy constructor of Pet may throw
- (5): Constructor of temp strings may throw
- (6): Call to type, firstName (3), lastName (2) may throw
- (10): User overloaded operators may throw
- (1): Copy constructor of returned string may throw

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        std::cout << p.firstName() << " " <<
            p.lastName() << " is paw-some!" << '\n';
    }
    return p.firstName() + " " + p.lastName();
}</pre>
```

#### What could go wrong in this new code?

```
std::string returnNameCheckPawsome(int petId) {
    Pet* p = new Pet(petId);
    if (p.type() == "Dog" || p.firstName() == "Fluffy") {
        std::cout << p.firstName() << " " <<
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    std::string returnStr = p.firstName() + " " + p.lastName();
    delete p;
    return returnStr;
```

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What if this function urn returnStr;
threw an exception
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              delete p;
What if this function urn returnStr;
                                                   Or here?
threw an exception
     here?
                                   Or here?
```

Or anywhere an exception can be thrown?

```
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std::string returnNameCheckPawsome(int petId) {
             Pet* p = new Pet(petId);
exception
             if (p.type() == "Dog" || p.firstName() == "Fluffy") {
                 std::cout << p.firstName() << " " <<
  here
                   p.lastName() << " is paw-some!" << '\n';</pre>
 means
memory
  leak
             std::string returnStr = p.firstName() + " " + p.lastName();
             delete p;
             return returnStr;
```

#### This is not unique to just pointers!

It turns out that there are many resources that you need to release after acquiring

	Acquire	Release
Heap memory	new	delete
Files	open	close
Locks	try_lock	unlock
Sockets	socket	close

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	Acquire	Release
Heap memo	new new	delete
How to we ensure that we properly release resources in	open	close
	try_lock	unlock
	socket	close
the case that we		

have an exception?

# What questions do we have?



**RAII:** Resource Acquisition is Initialization

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RAII was developed by this lad:

And it's a concept that is very emblematic in C++, among other languages.

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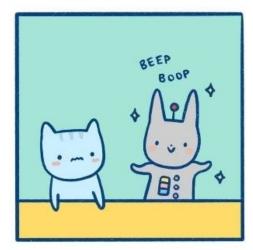
#### So what is RAII?

- All resources used by a class should be acquired in the constructor!
- All resources that are used by a class should be released in the destructor.

#### **RAII:** Resource Acquisition is Initialization









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 No matter what, the destructor is called whenever the resource goes out of scope.

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#### **RAII: Resource Acquisition is Initialization**

By abiding by the RAII policy we avoid "half-valid" states.

- No matter what, the destructor is called whenever the resource goes out of scope.
- One more thing: the resource/object is usable immediately after it is created.

### **RAII compliant?**

```
void printFile() {
  ifstream input;
  input.open("hamlet.txt");
  string line;
  while(getLine(input, line)) { // might throw an exception
    std::cout << line << std::endl;</pre>
  input.close();
```

# **RAII compliant?**

```
void printFile() {
             ifstream input;
            input.open("hamlet.txt");
    the
ifstream is
             string line;
opened and
             while(getLine(input, line)) { // might throw an exception
 closed in
               std::cout << line << std::endl;</pre>
 code, not
constructor &
 destructor
             input.close();
```

#### **Neither is this!**

```
void cleanDatabase(mutex& databaseLock, map<int, int>& db) {
 databaseLock.lock();
 // no other thread or machine can change database
  // modify the database
 // if any exception is thrown, the lock never unlocks!
 database.unlock();
```

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void cleanDatabase(mutex& databaseLock, map<int, int>& db) {
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                                                     If any code throws an
  database.unlock();
                                                   exception in the red area,
                                                     which we can call the
                                                    'critical section', the lock
                                                        never unlocks!
```

#### How can we fix this?

```
void cleanDatabase(mutex& databaseLock, map<int, int>& db) {
  lock_guard<mutex> lg(databaseLock);
  // no other thread or machine can change database
  // modify the database
  // if exception is throw, mutex is UNLOCKED!
 // no explicit unlock necessary, is handled by lock_guard
```

#### How can we fix this?

```
void cleanDatabase(mutex& databaseLock, map<int, int>& db) {
  lock_guard<mutex> lg(databaseLock);
  // no other thread or machine can change database
  // modify the database
  // if exception is throw, mute:
                                        A lock guard is a RAII-compliant
                                        wrapper that attempts to acquire
  // no explicit unlock necessar
                                       the passed in lock. It releases the
                                       the lock once it goes out of scope.
                                              Read more here
```

## What questions do we have?



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### **Smart Pointers**

RAII for locks → lock\_guard

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**RAII** for memory → 🤔

#### **Smart Pointers**

#### R.11: Avoid calling **new** and **delete** explicitly

#### Reason

The pointer returned by new should belong to a resource handle (that can call delete). If the pointer returned by new is assigned to a plain/naked pointer, the object can be leaked.

#### Note

In a large program, a naked delete (that is a delete in application code, rather than part of code devoted to resource management) is a likely bug: if you have N delete s, how can you be certain that you don't need N+1 or N-1? The bug may be latent: it may emerge only during maintenance. If you have a naked new, you probably need a naked delete somewhere, so you probably have a bug.

#### **Enforcement**

(Simple) Warn on any explicit use of new and delete . Suggest using make\_unique instead.

#### Remember this?

```
std::string returnNameCheckPawsome(int petId) {
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    delete p;
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#### What did we do for locks?

#### RAII for locks → lock\_guard

 Created a new object that acquires the resource in the constructor and releases in the destructor

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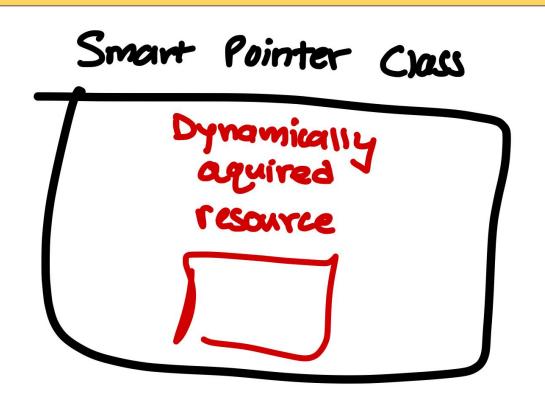
These "wrapper" pointers are called "smart pointers"!

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There are three types of RAII-compliant pointers:

- std::unique ptr
  - Uniquely owns its resource, can't be copied

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- std::shared\_ptr
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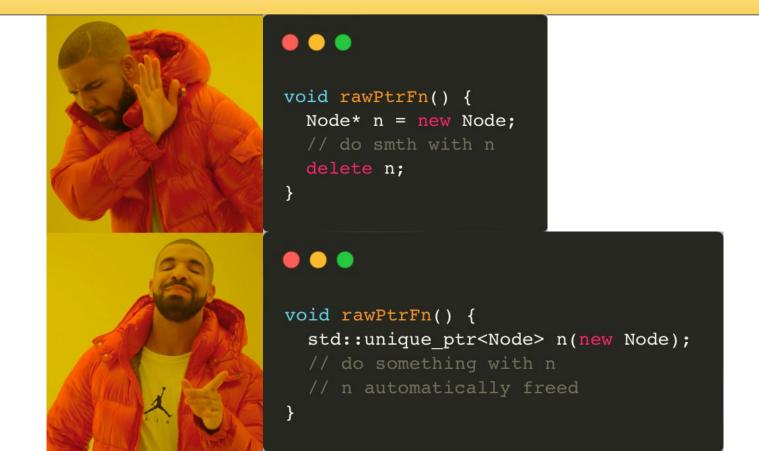
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  - Uniquely owns its resource, can't be copied
- std::shared\_ptr
  - Can make copies, destructed when the <u>underlying memory</u> goes out of scope
- std::weak\_ptr
  - This is a way to try to have ownership over an object that may or may not exist

#### What does this look like?



## What questions do we have?



## Remember we can't copy unique pointers

```
void rawPtrFn() {
  std::unique_ptr<Node> n(new Node);
  // this is a compile-time error!
  std::unique_ptr<Node> copy = n;
```

## Why?

```
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Imagine a case where the original destructor is called <u>after</u> the copy happens.

## Why?

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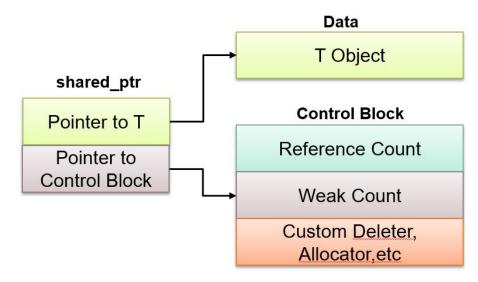
Problem: The copy points to deallocated memory!

## std::shared\_ptr

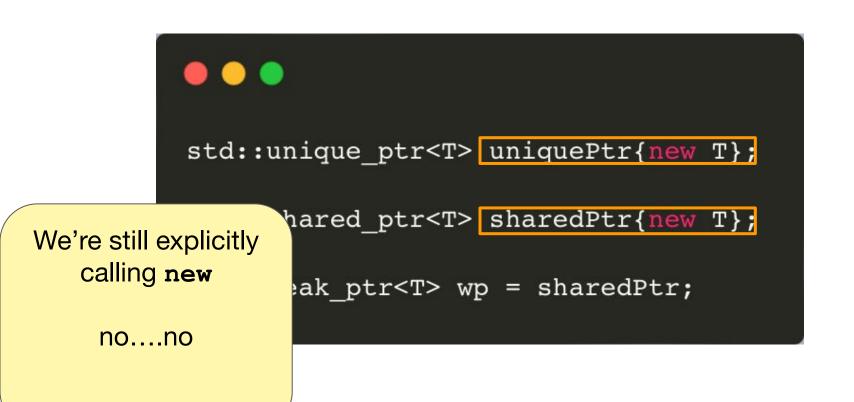
Shared pointers get around our issue of trying to copy std::unique\_ptr's by not deallocating the underlying memory until <u>all</u> shared pointers go out of scope!

## std::shared\_ptr

Shared pointers get around our issue of trying to copy **std::unique\_ptr**'s by not deallocating the underlying memory until **all** shared pointers go out of scope!



```
std::unique ptr<T> uniquePtr{new T};
std::shared ptr<T> sharedPtr{new T};
std::weak ptr<T> wp = sharedPtr;
```



```
// std::unique ptr<T> uniquePtr{new T};
std::unique ptr<T> uniquePtr = std::make unique<T>();
// std::shared ptr<T> sharedPtr{new T};
std::shared ptr<T> sharedPtr = std::make shared<T>();
std::weak ptr<T> wp = sharedPtr;
```

Always use std::make\_unique<T> and std::make\_shared<T> Why?

1. The most important reason: if we don't then we're going to allocate memory twice, once for the pointer itself, and once for the **new T** 

# Always use std::make\_unique<T> and std::make\_shared<T> Why?

- 1. The most important reason: if we don't then we're going to allocate memory twice, once for the pointer itself, and once for the new T
- 2. We should also be consistent if you use make\_unique also use make shared!

#### **Plan**

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A compiler does this, and a compiler is just a program that translates code from one language to another

A few common ones include g++ and clang.

#### What this looks like

**Preprocessing** 

## **Preprocessing**

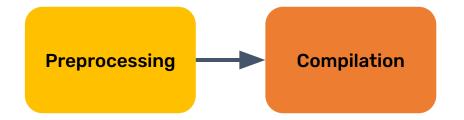
**Preprocessing** 

In this stage, the code is cleaned up before actually compiling

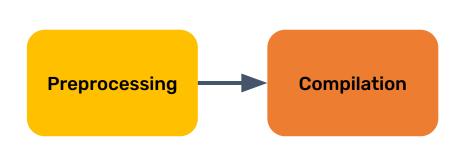
- Any preprocessor commands that begin with # are handled
- Comments and excess whitespace are stripped

main.cpp

## The compilation stage

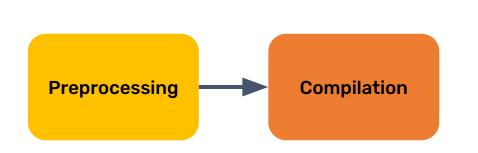


## The compilation stage



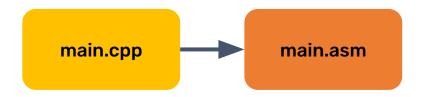
This is where the translation actually happens – code is translated into assembly which our computer can read.

## The compilation stage

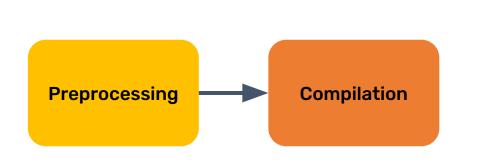


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C++ to assembly translation

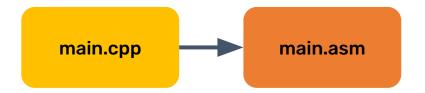


### The compilation stage

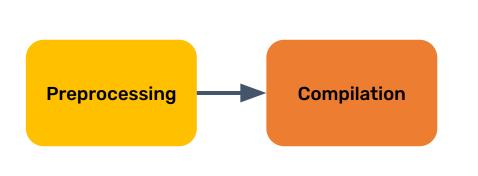


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- C++ to assembly translation
- If code is already in assembly, it is not translated

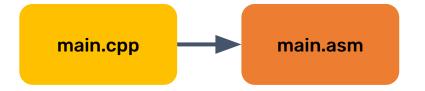


## The compilation stage



This is where the translation actually happens – code is translated into assembly which our computer can read.

- C++ to assembly translation
- If code is already in assembly, it is not translated
- Assembly is oftentimes machine-specific.







In the assembly stage the assembler converts assembly to object code!



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Object code is actual machine readable code the processor can run.

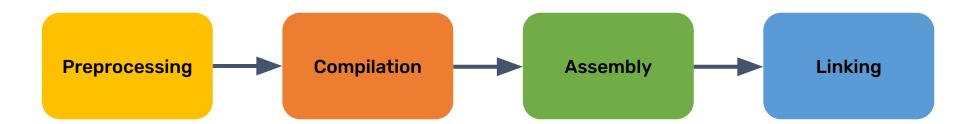


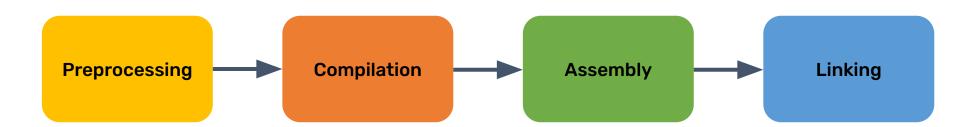


In the assembly stage the assembler converts assembly to object code!

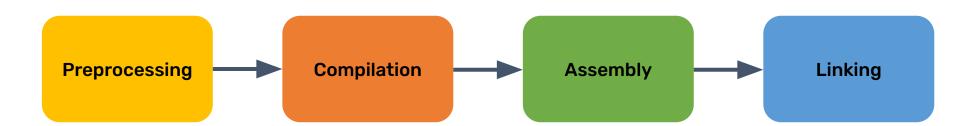
- Object code is actual machine readable code the processor can run.
- Assembly is the human-readable version of the object code





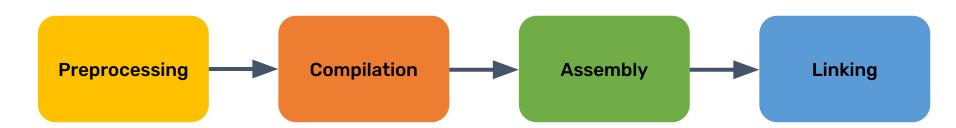


The linker takes each piece of object code and arranges it into one program



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Think about this stage as "stitching" things together



The linker takes each piece of object code and arranges it into one program

- Think about this stage as "stitching" things together
- At this point we get an executable program



## What questions do we have?



make is a "build system" program that helps you compile!

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What does a Makefile look like? Let's take a look!

make is a "build system" program that helps you compile!

- It makes use of the g++ compiler
- In order to use make you need to have a Makefile

What does a Makefile look like? Let's take a look!

```
TARGET = sh111
CXXBASE = g++
CXX = \$(CXXBASE) - std = c + +17
CXXFLAGS = -ggdb -0 -Wall -Werror
CPPFLAGS =
LIBS =
OBJS = sh111.0
HEADERS =
all: $(TARGET)
$(OBJS): $(HEADERS)
$(TARGET): $(OBJS)
 $(CXX) -o $@ $(OBJS) $(LIBS)
clean:
 rm -f $(TARGET) $(LIB) $(OBJS) $(LIBOBJS) *~ .*~ _test_data*
.PHONY: all clean starter
```

```
TARGET = sh111
    CXXBASE = q++
                                                                 Flags
    CXX = \$(CXXBASE) - std = c + +17
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    OBJS = sh111.0
    HEADERS =
    all: $(TARGET)
    $(OBJS): $(HEADERS)
    $(TARGET): $(OBJS)
      $(\( \text{XX} \) -0 $\( \text{$0 \text{$(UBJS)} } \( \text{$(IBS)} \)
Targets
                                      Rules
                ARGET) $(LIB)
                                                      *~ .*~ _test_data*
    .PHONY: all clean starter
```

CMake is a build system generator.



**CMake** is a build system generator.

So you can use **CMake** to generate Makefiles



CMake is a build system generator.

So you can use **CMake** to generate Makefiles

Also can be thought about as a cross-platform make



```
Here's an example of of a
cmake_minimum_required(VERSION 3.0)
                                                       cmake file
project(wikiracer)
set(CMAKE_CXX_STANDARD 17)
set(CMAKE CXX STANDARD REQUIRED True)
find package(cpr CONFIG REQUIRED)
# adding all files
add_executable(main main.cpp wikiscraper.cpp.o error.cpp)
target_link_libraries(main PRIVATE cpr)
```

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project(wikiracer)

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add_executable(main main.cpp wikiscraper.cpp.o error.cpp)
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```

This is the cmake file for our assignment – it looks more like a programming language!

### In summary

- Exceptions in your code during runtime can cause your resources to 'leak'
- RAII says that dynamically allocated resources should be acquired inside of the constructor and released inside the destructor.
  - This is what smart pointers to for example
- To build our own projects we can and should use Makefiles or another build system.

## **Last lecture**

