

# From Projection to Perception: A Mathematical Exploration of Shadow-based Neural Reconstruction

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## Abstract

This paper explores ShadowNeuS [LWX23], a neural network that reconstructs 3D geometry from single-view camera images using shadow and light cues. Unlike traditional 3D reconstruction methods relying on multi-view cameras or sensors, ShadowNeuS leverages a neural signed distance field (SDF) for accurate 3D geometry reconstruction. Analysis of the training process reveals deep connections to projective geometry, spatial reasoning in  $\mathbb{R}^3$ , and the network's perception of three-dimensional space.

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# 1 Background

## 1.1 What is 3D reconstruction from images?

The goal of 3D reconstruction is to recover the structure of a 3D scene using only 2D images. Consider a 3D scene represented by a set of points  $P \in \mathbb{R}^3$ , having coordinates  $P(P_x, P_y, P_z)$ . For each image taken for the 3D scene contains a set of pixel points  $p \in \mathbb{R}^2$ , having coordinates  $p(p_x, p_y)$ . The process of capturing a 3D point in a 2D image  $I_n$  can be modeled as a projection function  $\pi_n$

$$\pi_n : \mathbb{R}^3 \rightarrow \mathbb{R}^2, \quad (P_x, P_y, P_z) \mapsto (p_x, p_y)$$

This function represents how a camera maps a 3D point to 2D pixel in the  $n$ -th image. To reconstruct the 3D scene, we need to solve the inverse problem  $\pi_n^{-1}$ .

$$\pi_n^{-1}(p) \rightarrow P$$

However, this inverse problem is typically **ill-posed**, as multiple 3D points may project to the same 2D pixel, leading to ambiguity. We will detail in Section 1.4.

## 1.2 Information Encoded in 2D Images

A 2D image  $I_n$  can provide multiple information, such as color and texture. The information available from an image includes:

- **Pixel coordinates:**  $p(p_x, p_y) \in \mathbb{R}^2$ , represent the location of each pixel in the image
- **Color values:**  $C_n(p) = [r, g, b] \in [0, 1]^3$ , represent the RGB color of each pixel in the image
- **Image gradient:**

$$\nabla I_n(p) = (\nabla r(p), \nabla g(p), \nabla b(p)) = \left( \underbrace{\begin{bmatrix} \frac{\partial r}{\partial p_x} & \frac{\partial r}{\partial p_y} \end{bmatrix}^\top}_{\text{red channel}}, \underbrace{\begin{bmatrix} \frac{\partial g}{\partial p_x} & \frac{\partial g}{\partial p_y} \end{bmatrix}^\top}_{\text{green channel}}, \underbrace{\begin{bmatrix} \frac{\partial b}{\partial p_x} & \frac{\partial b}{\partial p_y} \end{bmatrix}^\top}_{\text{blue channel}} \right) \in \mathbb{R}^6$$

It captures local changes in intensity, indicating edges or texture information in the image

- **Learned features:**  $\phi(I_n)(p) \in \mathbb{R}^d$ , represent a high-dimensional features extracted from the image using methods like convolutional neural networks (CNNs) or other feature extractors

These data result from projecting 3D structures through a camera. For example, the color  $I_n(p)$  may correspond to the visible surface of a 3D object, while  $\nabla I_n(p)$  may hint the edge of the shape of that 3D object, etc.

### 1.3 The Forward Projection: From 3D world to 2D Image

We formalize the perspective projection process that projects a 3D point  $P = [P_x, P_y, P_z]^T \in \mathbb{R}^3$  to a pixel point  $p = [p_x, p_y]^T \in \mathbb{R}^2$  using the camera parameters.

#### Camera parameters:

- **Extrinsic** (world-to-camera transformation)
  - **Camera center:**  $C(C_x, C_y, C_z) \in \mathbb{R}^3$ , represent the position of the camera in the world coordinate.
  - **Rotation matrix:**  $R = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \in SO(3)$ , represent a 3x3 matrix that rotate the world to align with the orientation of the camera.
  - **Translation vector:**  $t = -RC \in \mathbb{R}^3$ , represent the translate to set the camera center be the world origin.
- **Intrinsic** (projection to image plane)

- **Intrinsic matrix:**

$$K = \begin{bmatrix} f_x & 0 & c_x \\ 0 & f_y & c_y \\ 0 & 0 & 1 \end{bmatrix} \in \mathbb{R}^{3 \times 3}$$

where  $f_x, f_y$  are the focal lengths in (pixels) and  $c_x, c_y$  is the principal point (the pixel coordinates where the camera's lens is optically centered)

#### Forward Projection Pipeline

We uses homogeneous coordinates  $P_{hom}$  that an extra coordinates is added to handle scaling. The process involves:

1. **World to camera coordinate:** Transform  $P(P_x, P_y, P_z)$  to camera coordinate

$$P \rightarrow \underbrace{(P - C)}_{\text{set camera to origin}} \rightarrow \underbrace{R(P - C)}_{\text{rotate the world for alignment}} = \underbrace{RP - RC}_{\text{simplify}} = RP + t = \underbrace{[R|t] \begin{bmatrix} P \\ 1 \end{bmatrix}}_{\text{matrix operation}}$$

$$2. \text{ Perspective projection: } P_{hom} = K[R|t] \begin{bmatrix} P \\ 1 \end{bmatrix} = \begin{bmatrix} p'_x \\ p'_y \\ z' \end{bmatrix}$$

3. **Normalization:** Convert to 2D pixel coordinates by the scaling factor  $z'$

$$\begin{bmatrix} p_x \\ p_y \end{bmatrix} = \frac{1}{z'} \begin{bmatrix} p'_x \\ p'_y \end{bmatrix}, \quad z' \neq 0$$

#### Result

$$P_{hom} = \begin{bmatrix} p'_x \\ p'_y \\ z' \end{bmatrix} = K[R|t] \begin{bmatrix} P \\ 1 \end{bmatrix} \quad \& \quad \begin{bmatrix} p_x \\ p_y \end{bmatrix} = \frac{1}{z'} \begin{bmatrix} p'_x \\ p'_y \end{bmatrix}, \quad z' \neq 0$$

## **1.4 The Inverse Problem: From 2D Image to 3D world**

## References

- [LWX23] Jingwang Ling, Zhibo Wang, Feng Xu. *ShadowNeuS: Neural SDF Reconstruction by Shadow Ray Supervision*. arXiv: [2211.14086](#), 2023.