

CS 115 Functional Programming

Lecture 21: May 23, 2016

Parser Combinators





Today

- The problem of parsing
- The usual way of parsing
 - lex and yacc
 - alex and happy
- Parsing as a monad
- Primitive parsers
- Parser combinators
- Case study: writing a Scheme parser





What is parsing?

- A parser is a program or part of a program that takes a stream of tokens (often just characters) and produces a stream of structured datatypes which represent what the stream of tokens means
- Usually thought of in the context of parsing a computer language
- Can also be used for many other tasks:
 - CSV (comma-separated values) files
 - domain-specific languages
 - configuration files





What is parsing?

- The theory of parsing has been well-understood for decades
 - although new ways of parsing are continually being developed, so it's not all old knowledge
- Parsers can be categorized by how they parse a given "language"
- Names like LR₁, LALR₁, LL_k are often used to represent particular parsing strategies
- We won't be dealing with that in this lecture





What is parsing?

- Parsing has a reputation of being a necessary but boring and error-prone part of the process of writing a computer language
- Writing parsers by hand in most computer languages is so tedious that various extra-linguistic tools are generally used to make it easier
- These tools go by the generic names of lex and yacc programs





- lex and yacc are two programs that are written in C and are found on most Unix-derived systems
- The open-source counterparts of lex and yacc are called flex and bison (ha ha)
- Most popular computer languages have some kind of lex/yacc clones written in that language (e.g. Ocaml has ocamllex and ocamlyacc)
- Details of the programs naturally differ between languages, but the overall parsing strategy is the same





- lex and yacc are both code generators
- They read in files which represent high-level descriptions of the parser in special mini-languages written especially for parsing
- They output files of code (in C, or Ocaml, or whatever the language may be) which do the parsing
- Those files are compiled and linked into a program in the target language





- lex is responsible for taking raw string input and outputting a stream of tokens
- Tokens are primitive syntactic elements including
 - punctuation (parentheses, commas, periods, etc.)
 - scalar literals (integers, floats, booleans)
 - strings
 - identifiers
- The lex language description file represents tokens by regular expressions which match the tokens
- lex compiles these into a very efficient "lexer"





- yacc takes the stream of tokens output by lex and converts them into a datatype called an "abstract syntax tree" or AST
- An AST is a datatype which represents the language syntax in a form in which it can be manipulated programmatically
- The yacc language description file is written as a context-free grammar (CFG)
- Writing yacc grammars is often highly nontrivial!





- Haskell, like many languages, has its own equivalents to lex and yacc
- The lex-equivalent is called alex
- The yacc-equivalent is called happy
- Used for parsing the Haskell language in the GHC compiler





- Advantage of lex and yacc:
 - parsing is typically very efficient
- Disadvantages of lex and yacc:
 - writing a yacc CFG description is difficult and error-prone
 - code generation is a pain (hard to track down errors, for instance)
 - developing the parser is very cumbersome
 - no easy feedback cycle due to code generation





Parser combinators

- Parser combinators are a different approach to parsing
- Everything about it is different!
 - theory is different (LL_k parsers, not LALR₁)
 - no code generation required
 - often can totally skip the tokenizing (lex) step entirely!
 - much easier to develop and test
 - sometimes less efficient than lex/yacc-style parsers
- IMO definitely a superior solution for relatively simple grammars





Parser combinators

- The idea of parser combinators is to define simple parsers (e.g. parse a single character) and build up more complicated parsers from combinations of simple parsers
- Higher-order parsers (parser combinators) exist which take one or more parsers as input and produce a parser as output
- E.g. the many combinator takes a parser as input and returns a parser that parses the original parser input zero or more times (parsing a character → parsing a string of characters)





Parser combinators

- Parser combinators exist which can express the notion of
 - alternation: either parse with parser A, or if that fails, with parser B
 - sequencing: parse with parser A, then parser B
 - backtracking: parse with parser A, and if that doesn't work, rewind back to the beginning and parse with parser B
- They can also do decent error reporting





- Parser combinators in Haskell are implemented using a special parsing monad called Parsec
 - actually a monad transformer called ParsecT, but specialized using the Identity monad to "plain parsing"
- This monad is quite complicated!
- Conceptually, though, it is doing something straightforward





- A parsing monad is a kind of state monad
- The current input being parsed is the input state
- The input (minus the part successfully parsed) is the output state
- There is also extra state for file/line/character position and extra user-specifiable state
- There also has to be provision for parsing errors (like error-handling monads)





- Most importantly, having a parsing monad means that details of threading the current parse state as well as file position, failure behavior etc. are done for us and need no user intervention
- We will not concentrate on the monadic aspects of parsing but will simply use the monad and ignore its internals
- What users need to understand is the different primitive parsers and the parser combinators that combine them





- However, one fundamental kind of parser combinator is handled automatically by the parsing monad: sequencing
- An expression of the form

```
do <parser1>
  <parser2>
```

- represents a parser which will parse whatever
 <parser1> parses followed by whatever
 <parser2> parses
- Can also write this as <parser1> >> <parser2>





- The parsing monad also allows us to grab the input that was successfully parsed using the >>= operator (or <- in the do notation)
- A parser of this form:

```
do p1 <- <parser1>
   p2 <- <parser2>
   return (f p1 p2)
```

will parse input using <parser1>, putting the parse result in p1, then continue parsing with <parser2> and put that result into p2, then combine p1 and p2 with f and return that as the result





Naturally, this can also be written as:

```
<parser1> >>= \p1 ->
      <parser2> >>= \p2 ->
      return (f p1 p2)
```

We will usually use >> and >>= for very short (one-line) parsers, and the do notation for longer/more complicated parsers



Text.Parsec

- In GHC, parser combinators are found in the Text.Parsec module and in several submodules (e.g. Text.Parsec.Char, Text.Parsec.String etc.)
- Many of these submodules are imported into
 Text.Parsec and re-exported, so there's usually
 no need to import them directly
- Sometimes need a function that is not exported from Text.Parsec, and then need to import that function's module directly





- Our principal parsing datatype will be Parser a
- This is a datatype that parses from a String (a list (stream) of characters) and returns a value of type a if successful
- If unsuccessful, it yields a ParseError which contains the source position (a SourcePos) and a list of error messages
- Parser a is actually a specialization of more general parsing datatypes





• The definition of Parser a is:

```
type Parser a = Parsec String () a
```

- It's defined in Text.Parsec.String, so we'll have to import that module too
- Parsec s u a is a general parsing datatype that
 - uses a "stream" type s
 - can manipulate user state u
 - returns values of type a
- Parser a uses String for the stream type and has no user state (a common case)





• The definition of Parsec s u a itself is:

```
type Parsec s u a
```

- = ParsecT s u Identity a
- ParsecT s u m a is like Parsec, but in an arbitrary monad m
- ParsecT is therefore a monad transformer (next lecture!)
- Useful if you want to e.g. print out information during the parsing process (use the IO monad for m instead of Identity)





- We can see that there are many levels of generality we can use for parsing
- For the purposes of this lecture, Parser a will be sufficient



Case study

- We will write a simple parser for a subset of the Scheme programming language
- The parser will read a file and convert all of the Scheme constructs into an abstract syntax tree (AST)
- We'll describe the parsers and combinators we need as we go along
- First we'll describe the AST itself





- Scheme syntax is composed of S-expressions
- An S-expression is either
 - an atom
 - a list of S-expressions
 - a quoted S-expression
- An atom is either
 - a literal boolean, integer, or floating-point number
 - an identifier (name)





- This is a very general syntax!
- Actual Scheme implementations take this AST representation and process it further into something more specific to the Scheme language
 - with specific constructors for lambda expressions etc.
- However, this representation is still very useful
- Scheme uses it because it makes macro processing (programmatic rewrite rules) very easy to implement





Here are the S-expression datatypes:

```
data Atom =
   BoolA Bool
  | IntA Integer
   FloatA Double
   IdA String -- identifier
 deriving (Show)
data Sexpr =
   AtomS Atom
   ListS [Sexpr]
   QuoteS Sexpr -- quoted expression
 deriving (Show)
```





Our goal is to take Scheme code like this:

- and convert it into values of the Sexpr datatype
- Let's start by writing parsers for the Atom datatype
- We have to be able to parse booleans, integers, floating-point numbers and identifiers





Booleans

- Our first parser will parse boolean values
- In Scheme, booleans are #f (false) and #t (true)
- Here is a simple (but incorrect) boolean parser:

```
parseBool :: Parser String
parseBool = (string "#f") <|> (string "#t")
```

- This has one parser (string) and one parser combinator (the < | > operator)
- We'll ignore the problems for now and explain what this is trying to accomplish





string

- The string parser parses a particular string (sequence of characters)
- So (string "#f") parses only the string "#f"
 i.e. a Scheme boolean value
- string is not really a primitive parser (it can be defined in terms of more primitive parsers) but we'll ignore that here



<|>

- Our first parser combinator is the < | > operator
- It takes two parsers A and B, tries to parse the input using A, and if that fails, tries to parse it using B
- It therefore implements alternation
- Important point: if parser A fails after consuming input, <|> will not backtrack and parser B will probably fail too
- There is a way to force backtracking, but we'll see that later





parseTest

- It's worthwhile to test parsers while you're developing them
- To do this, use the parseTest function
- It has the type Parser a -> String -> IO ()
- It runs the parser on the input string, printing the results; so we can test parseBool like this:

```
ghci> parseTest parseBool "#f"
"#f"
```

So far so good...





parseBool

Let's try some other tests...

```
ghci> parseTest parseBool "#foo"
"#f"
```

 This works, because the desired value was found at the beginning of the input

```
ghci> parseTest parseBool "xxx#f"
parse error at (line 1, column 1):
unexpected "x"
expecting "#f" or "#t"
```

 This fails, because the parsed value wasn't found at the beginning of the input





parseBool

```
ghci> parseTest parseBool "#t"
parse error at (line 1, column 1):
unexpected "t"
expecting "#f"
```

- What's going on here?
- Why didn't the <|> operator try (string "#t")
 after the parser (string "#f") failed?





parseBool

ghci> parseTest parseBool "#t"

- Parsec parsers don't automatically backtrack upon failure!
- If a parser fails without consuming any input, then
 > will work as desired
- But (string "#f") failed only after successfully consuming the '#' character, then failing to consume the 'f' character because it encountered 't' instead
- It didn't backtrack back to the beginning and try (string "#t") next





try

- Backtracking is very expensive, and parsers that automatically backtrack as far as needed are not going to be efficient
- Parsec forces you to tell it exactly where it should backtrack using the try combinator
- try <parser> is the same as <parser> except that if <parser> fails, it backtracks right back to the first character that <parser> read
- This will enable us to fix our parser here





parseBool (2nd try)

```
parseBool :: Parser String
parseBool = (try (string "#f"))
            <|> (string "#t")
This will work:
ghci> parseTest parseBool "#f"
"#f"
ghci> parseTest parseBool "#t"
"#t"
```

Woo hoo!





parseBool (2nd try)

 We can clean this up by dropping some unnecessary parentheses:

- There is still one big problem with this definition can you see it?
- It doesn't parse booleans!
- It just parses strings that represent booleans in Scheme





parseBool (3rd try)

 To fix this we have to change the type and return the correct values:

- The string parser returns the parsed string
- Here, we throw it away and return the datatype we want (a Bool value, either False or True)
- Note the monadic >> operator used here!





parseBool (3rd try)

Of course, this could also be written like this:

The do expressions are equivalent to using the >> operator





Aside

What does this code actually mean?

```
do string "#f"
  return False
```

- This sub-parser has the type Parser Bool, just like the larger parser of which it's a part
- A parser of type Parser Bool takes in a string, possibly parses part of the string, yielding the remaining string (like the state of a state monad) and a boolean value (like the value part of a state monad)





Aside

What does this code actually mean?

```
do string "#f"
  return False
```

- We are actually combining two simple parsers to make a more complicated parser:
 - string "#f" parses the string "#f" and returns the parsed string if successful
 - return False is a trivial parser that does nothing and returns the False value always (like return used in a state monad)
- The combination gives us the kind of result we want





parseBool (3rd try)

Let's try it out:

```
ghci> parseTest parseBool "#f"
False
ghci> parseTest parseBool "#t"
True
ghci> parseTest parseBool "#u"
parse error at (line 1, column 1):
unexpected "u"
expecting "#t"
```





parseBool (3rd try)

```
ghci> parseTest parseBool "#u"
parse error at (line 1, column 1):
unexpected "u"
expecting "#t"
```

- This is OK, but there is an easy way to give this parser more informative error messages
- It will involve the <?> combinator
- This combinator specifies part of the error message to use when a parser fails without consuming any input





```
parseBool :: Parser Bool
parseBool =
  try (string "#f" >> return False)
  <|> try (string "#t" >> return True)
  <?> "boolean"
ghci> parseTest parseBool "#u"
parse error at (line 1, column 1):
unexpected "u"
expecting boolean
```





```
parseBool :: Parser Bool

parseBool =
  try (string "#f" >> return False)
  <|> try (string "#t" >> return True)
  <?> "boolean"
```

- We get better error messages now
- We added a try to the second case to make sure that when that parser failed, it didn't consume any input





```
parseBool :: Parser Bool

parseBool =
  try (string "#f" >> return False)
  <|> (string "#t" >> return True)
  <?> "boolean"
```

- We can also leave out the try in the second case
- Check out the error messages in two cases:

```
ghci> parseTest parseBool "foo"
ghci> parseTest parseBool "#u"
```





```
ghci> parseTest parseBool "foo"
parse error at (line 1, column 1):
unexpected "f"
expecting boolean
ghci> parseTest parseBool "#u"
parse error at (line 1, column 1):
unexpected "u"
expecting "#t"
```

- First error message OK, second not so great
- Let's rewrite parseBool one last time





- We note that #f and #t both start with a # character
- Why not factor that out into the first part of the parser? Then wouldn't need all this try business and backtracking
- Here you are:

```
parseBool :: Parser Bool

parseBool =
    char '#' >> -- first parse the # character
    ((char 'f' >> return False)
    <|> (char 't' >> return True))
    <?> "boolean"
```





```
parseBool :: Parser Bool

parseBool =
    char '#' >>
    ((char 'f' >> return False)
      <!> (char 't' >> return True))
    <?> "boolean"
```

- N.B. The char parser parses a specific character
- This is a more efficient way to write this parser, since backtracking is costly
- But will it produce decent error messages?





```
ghci> parseTest parseBool "foo"

parse error at (line 1, column 1):

unexpected "f"

expecting boolean

• So far, so good...

ghci> parseTest parseBool "#u"

parse error at (line 1, column 2):

unexpected "u"

expecting "f" or "t"
```

 Nice! This is the error message we'd expect to have here!





parseInt

- parseBool was quite painful to write, but now that we understand how it works, we have most of the tools we need to write the rest of the parser!
- We'll need some new parsers and combinators, but the difficult material is behind us
- Let's now turn to parsing integers
- We'll write a parser called parseInt with type
 Parser Integer





parseInt (version I)

- We'll ignore the sign of the integer for now
- We have:

```
parseInt :: Parser Integer

parseInt = do

   digits <- many1 digit
   return (read digits :: Integer)
   <?> "integer"
```

- Note the use of monadic do-notation here!
- Note also the digit parser, the many1 parser combinator, and the read function





parseInt (version I)

- The digit parser parses any digit from 0 to 9
- The many1 parser combinator takes a parser as its argument and returns a parser that parses the original parser 1 or more times
 - So (many1 digit) parses one or more digits
- The read function is the inverse of the show function
 - it tries to convert a string into any datatype that is an instance of the Read type class (which Integers are)
 - it's a very simple parser all by itself (like C's atoi)





parseInt (version I)

• Let's try it out:

```
ghci> parseTest parseInt "1001"
1001
ghci> parseTest parseInt "-1001"
parse error at (line 1, column 1):
unexpected "-"
expecting integer
```

- Still need to handle an optional initial sign
- We'll need a new combinator: option





parseInt (version 2)

```
parseInt :: Parser Integer

parseInt = do
    sign <- option "" (string "-")
    digits <- many1 digit
    return (read (sign ++ digits) :: Integer)
    <?> "integer"
```

- The option combinator will try to parse a minus sign, returning the string "-" if successful and the empty string if it fails
- This will enable us to parse positive and negative integers





parseInt (version 2)

• Let's try it out:

```
ghci> parseTest parseInt "1001"
1001
ghci> parseTest parseInt "-1001"
-1001
```

- Error cases also give reasonable error messages
- Let's move on to floating point numbers





parseFloat

 With what we've already done, we can easily define a parser for floating-point numbers (not including explicit exponential notation):

```
parseFloat :: Parser Double

parseFloat = do
    sign <- option "" (string "-")
    digits <- many1 digit
    char '.' -- decimal point
    f <- many1 digit -- at least 1 digit after dec pt
    return (read (sign ++ digits ++ "." ++ f) :: Double)
    <?> "floating-point number"
```

You should be able to figure out why this works





parseId

- We'll write a parser called parseId that will parse identifiers (names)
- Identifiers can contain
 - letters or numbers
 - various symbolic characters (at least "_+-*/=?!")
- We'll use the alphaNum parser that parses any letter from A-Z or a-z or any digit
- We'll also use the oneOf combinator that parses any character in a given string





parseId

• Here's the definition:

```
parseId :: Parser String
parseId =
  many1 (alphaNum <|> oneOf "_+-*/=?!")
  <?> "identifier"
```

- There's nothing particularly strange here
- Now that we can parse any of the atom values, we can write an atom parser called parseAtom



parseAtom

• parseAtom is defined like this:

```
parseAtom :: Parser Atom
parseAtom =
    (parseBool >>= return . BoolA)
    <|> try (parseFloat >>= return . FloatA)
    <|> try (parseInt >>= return . IntA)
    <|> (parseId >>= return . IdA)
    <!> "atom"
```

- We have to take the results of the individual parsers and convert them to the Atom datatype
- We have to use try to implement backtracking where we need it (the order matters!)





Note

Consider this code fragment:

```
(parseBool >>= return . BoolA)
```

You could also write this as follows:

```
(do b <- parseBool
  return (BoolA b))</pre>
```

Much easier to understand, but less concise





parseAtom

Let's try it out:

```
ghci> parseTest parseAtom "-1001"
IntA (-1001)
ghci> parseTest parseAtom "#f"
BoolA False
ghci> parseTest parseAtom "-3.14"
FloatA (-3.14)
ghci> parseTest parseAtom "foobar"
IdA "foobar"
```

Nice!





Expressions

- Now that we can parse atoms, we need to be able to parse entire expressions
- An expressions can be
 - an atom (done)
 - a parenthesized list of expressions, separated by whitespace and/or comments
 - a quoted expression
- Before we do this, let's parse comments and whitespace





parseComment

- In Scheme, comments start with the semicolon (;) character and go to the end of a line
- A parser for this will discard the parsed string, since it's just a comment
- This parser is easy to write:

```
parseComment :: Parser ()
parseComment = do
  char ';'
  many (noneOf "\n")
  char '\n'
  return ()
```





parseComment

```
parseComment :: Parser ()
parseComment = do
   char ';'
   many (noneOf "\n")
   char '\n'
   return ()
```

- This uses two new combinators: many and noneOf
- noneOf parses a character which isn't in the supplied string
- many parses zero or more of what the argument parser parses





parseWhitespace

Whitespace is trivial to parse:

```
parseWhitespace :: Parser ()
parseWhitespace = many1 space >> return ()
```

- This uses the space parser which parses any whitespace character
- Since we have to separate expressions in a list with whitespace (and/or comments), we will define a parser for "separators"





parseSep

This parser will be called parseSep:

```
parseSep :: Parser ()
parseSep =
  many1 (parseComment <|> parseWhitespace) >> return ()
  <?> "separator"
```

- Note that this will discard any nonempty sequence of comments and whitespace
- Now that this is done, we can get down to the important task of parsing lists of expressions





- A (syntactic) list in Scheme is a sequence of expressions inside of parentheses, separated by separators (whitespace or comments)
- The initial and final expression may also be separated from the open/close parentheses by separators, but don't have to be
- We will need to use a couple of new combinators to define our parser



Here's the parser:

```
parseList :: Parser [Sexpr]

parseList = do
    char '('
    optional parseSep
    ss <- parseSexpr `sepEndBy` parseSep
    char ')'
    return ss
    <?> "list of S-expressions"
```





```
parseList :: Parser [Sexpr]
parseList = do
    char '('
    optional parseSep
    ss <- parseSexpr `sepEndBy` parseSep
    char ')'
    return ss
    <?> "list of S-expressions"
```

- The optional parser combinator parses something if it's present and discards it, otherwise does nothing
- We use this to handle whitespace/comments right after the open parenthesis





```
parseList = do
  char '('
  optional parseSep
  ss <- parseSexpr `sepEndBy` parseSep
  char ')'
  return ss
  <?> "list of S-expressions"
```

 The sepEndBy parser combinator parses a sequence of what the first parser parses as long as each item in the sequence is separated by (and optionally ended by) what the second parser parses





parseQuote

- Quoted expressions are very simple: they consist of the single quote (') followed by a Scheme expression
- Examples:

```
" 'foo '(a b c) '((a 1) (b 2) (c 3))
```

The parser is quite simple too:

```
parseQuote :: Parser Sexpr
parseQuote = char '\'' >> parseSexpr
<?> "quoted S-expression"
```





parseSexpr

- Now we have all the parsers we need to write the main S-expression parser
- It will call out to the previously-defined parsers, and when one of them succeeds it will wrap one of the Sexpr constructors around the result and return that
- The definition is trivial:

```
parseSexpr :: Parser Sexpr
parseSexpr =
   (parseAtom >>= return . AtomS)
   <|> (parseList >>= return . ListS)
   <|> (parseQuote >>= return . QuoteS)
   <?> "S-expression"
```





parseSexpr

```
parseSexpr :: Parser Sexpr

parseSexpr =
    (parseAtom >>= return . AtomS)
    <|> (parseList >>= return . ListS)
    <|> (parseQuote >>= return . QuoteS)
    <?> "S-expression"
```

- Question: why don't we have to use try anywhere in this parser?
- To parse an entire file's worth of S-expressions, we need one more parser...





parseSexprsFromFile

- We will need the eof parser, which matches the end of the input (here, end-of-file or EOF) and returns nothing
- Definition:

```
parseSexprsFromFile :: Parser [Sexpr]
parseSexprsFromFile = do
  optional parseSep
  ss <- parseSexpr `sepEndBy` parseSep
  eof
  return ss
  <?> "file of S-expressions"
```





parseSexprsFromFile

```
parseSexprsFromFile :: Parser [Sexpr]
parseSexprsFromFile = do
  optional parseSep
  ss <- parseSexpr `sepEndBy` parseSep
  eof
  return ss
  <?> "file of S-expressions"
```

 Note that this parser requires that top-level Sexpressions be separated from each other using a separator (e.g. a newline), which is a good idea anyway





parseFromFile

- To run this parser, we will use the parseFromFile function defined in Text.Parsec.String
- It has this signature:

```
parseFromFile :: Parser a -> String
   -> IO (Either ParseError a)
```

- The first argument will be the parser
 (parseSexprsFromFile), the second is the file
 path (the Scheme code's filename) and the return
 value is the parsed results or an error
- Let's test the parser! (demo)





Summary

- Writing a parser using parser combinators is much more straightforward than using a lex/yacc-style toolchain
- Parser combinators thus are heavily used in realworld applications of Haskell
- There are many features in the Text.Parsec modules that I haven't covered here, so Hoogle is your friend!



Next time

- More on the IO monad
- Monad transformers

