

## K-Lines: A Theory of Memory\*

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Most theories of memory suggest that when we learn or memorize something, some "representation" of that something is constructed, stored and later retrieved. This raises questions like:

How is information represented?  
How is it stored?  
How is it retrieved?  
Then, how is it used?

This paper tries to deal with all these at once. When you get an idea and want to "remember" it, you create a "K-line" for it. When later activated, the K-line induces a partial mental state resembling the one that created it. A "partial mental state" is a subset of those mental agencies operating at one moment. This view leads to many ideas about the development, structure and physiology of memory, and about how to implement framelike representations in a distributed processor.

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How is it used?

New situations are never exactly the same as old, so if an old "memory" is to be useful, it must somehow be generalized or abstracted. This leads us also to ask:

How are the abstractions made?  
When—before or after storage?  
How are they later instantiated?

We try to deal with all these at once, via the thesis that *the function of a memory is to recreate a state of mind*. Hence each memory must embody information that

can later serve to reassemble the mechanisms that were active when the memory was formed—thus recreating a “memorable” brain event. (*See Note 1.*) More specifically:

*When you “get an idea,” or “solve a problem,” or have a “memorable experience,” you create what we shall call a K-line. This K-line gets connected to those “mental agencies” that were actively involved in the memorable mental event. When that K-line is later “activated,” it reactivates some of those mental agencies, creating a “partial mental state” resembling the original.*

To make this intuitive idea into a substantive theory we have to explain (1) “mental agencies,” (2) how K-lines interact with them, (3) “partial mental states,” and (4) how all this relates to conventional ideas about meaning and memory.

### DISPOSITIONS VS. PROPOSITIONS

In this modern era of “information processing psychology” it may seem quaint to talk of mental states; it is more fashionable to speak of representations, frames, scripts, or semantic networks. But while I find it lucid enough to speak in such terms about memories of things, sentences, or even faces, it is much harder so to deal with feelings, insights, and understandings—and all the attitudes, dispositions, and ways of seeing things that go with them. (*See Note 2.*) We usually put such issues aside, saying that one must first understand simpler things. But what if feelings and viewpoints are the simpler things? If such dispositions are the elements of which the others are composed, then we must deal with them directly. So we shall view memories as entities that predispose the mind to deal with new situations in old, remembered ways—specifically, as entities that reset the states of parts of the nervous system. Then they can cause that nervous system to be “disposed” to behave as though it remembers. This is why I put “dispositions” ahead of “propositions.”

The idea proposed here—of a primitive “disposition representing” structure—would probably serve only for a rather infantile dispositional memory; the present theory does not go very far toward supporting the more familiar kinds of cognitive constructs we know as adults. But I would not expect to capture all that at once in one simple theory; I doubt that human memory has the same uniform, invariant character throughout development, and do not want to attribute to infants capacities that develop only later.

### MENTAL STATES AND THE SOCIETY OF MIND

One could say little about “mental states” if one imagined the Mind to be a single, unitary thing. Instead, we shall envision the mind (or brain) as composed

of many partially autonomous “agents”—as a “Society” of smaller minds. This allows us to interpret “mental state” and “partial mental state” in terms of *subsets of the states of the parts of the mind*. To give this idea substance, we must propose some structure for that Mental Society. In fact, we’ll suppose that it works much like any human administrative organization.

On the largest scale are gross “Divisions” that specialize in such areas as sensory processing, language, long-range planning, and so forth.

Each Division is itself a multitude of subspecialists—call them “agents”—that embody smaller elements of an individual’s knowledge, skills, and methods. No single one of these little agents need know much by itself, but each recognizes certain configurations of a few associates and responds by altering its state.

In the simplest version of this, each agent has just two states, *active* and *quiet*. A *total mental state* is just a specification of all the agents that are active. A *partial mental state* is a partial such specification: it *specifies the activity-state of just some of the agents*.

It is easiest to think about partial states that constrain only agents within a single Division. Thus, a visual partial state could describe some aspect of an imagery process without saying anything about agents outside the visual division. In this paper our main concern will be with yet “smaller” partial states that constrain only some agents within one Division.

This concept of partial state allows us to speak of entertaining *several partial states at once*—to the extent they do not assign different states to the same individual agents. And even if there is such a conflict, the concept may still be meaningful, if that conflict can be settled within the Society. This is important because (we suggest) the local mechanisms for resolving such conflicts could be the precursors of what we know later as *reasoning*—useful ways to combine different fragments of knowledge.

In the next few sections we describe in more detail the K-nodes and K-lines that are proposed as the elements of memory. Activating a K-node will impose a specific partial state upon the Society—by activating the agents connected to its K-line—and this will induce a certain computational disposition. Now, while it is fairly easy to see how such elements could be used in systems that learn to recognize arrangements of sights and sounds, the reader might suppose that it must be much harder so to capture recollections of attitudes, points of view, or feelings. But one must not assume that “concrete” recollections are basically the simplest; that is an illusion reflecting the enormous competence of the adult mental systems we have evolved for communicating about concrete matters. I mention this lest that illusion fool us, as theorists, into trying to solve the hardest problems first.

Concrete concepts are not necessarily the simplest ones. (*See Note 3.*) A novice best remembers “being at” a concert, and something of how it affected him. The amateur remembers more of what it “sounded like.” Only the professional remembers much of the music itself, timbres, tones and textures. So, the

most concrete recollection may require the most refined expertise. Thus, while our theory might appear to put last things first, I maintain that attitudes do really precede propositions, feelings come before facts. This seems strange only because we cannot remember what we knew in infancy.

### MEMORIES AND PARTIAL BRAIN STATES

Old answers never perfectly suit new questions, except in the most formal, logical circumstances. To explain how memories could then be useful, one might consider various theories:

- Encode memories in "abstract" form.
- Search all memory for the "nearest match."
- Use prototypes with detachable defaults.
- Remember "methods," not answers.

Our theory most resembles the latter, in remembering not the stimulus itself but part of the state of mind it caused. When one is faced with a new problem, one may be able to solve it if one is "reminded" of some similar problem solved in the past. How does this help? It is not enough just to "remember the solution" for, unless the situation is exactly the same, some work will have to be done to adapt it. Better, we suggest, is to get the mind into the (partial) state that solved the old problem; then it might handle the new problem in the "same way." To be more specific, we must sketch more of the architecture in which our Agents are embedded. (*See Note 4.*)

We envision the brain to contain a great lattice of "agents," each one connected to only a few others. We further suppose that an agent's inputs come either from below or from the side, while its outputs go upwards or sideways. Thus information can move only upwards, on the whole. (*See Note 5.*) This is what one might imagine for the lower levels of a visual system: simple feature or

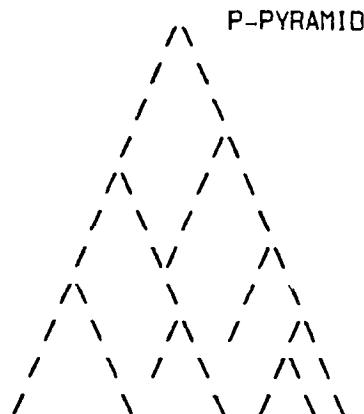


Figure 1.

texture detectors at the bottom, above them edge and region sensing agents, and identifiers of more specific objects or images at higher levels.

Given these connection constraints, if one "looks down" from the viewpoint of a given agent P, one will see other agents arranged roughly in a hierarchical Pyramid (see Figure 1). Note that although we shall thus talk about "pyramids," that shape is a mere illusion of the agent's perspective. The network as a whole need not have any particular shape.

### CROSS-EXCLUSION AND PERSISTENCE

We mentioned that information can flow laterally, as well as upwards, in a pyramid. Unrestricted lateral connections would permit feedback and reverberatory activity. However, we shall assume that all the cross-connections are essentially inhibitory—which rules out such activity. We assume this because, in our concept of the Society of Mind, agents tend to be grouped in small "cross-exclusion" arrangements; each member of such a group sends inhibiting connections to the others. This makes it hard for more than one agent in each group to be "active" at any time. Any active agent then tends to suppress its associates, which in turn weakens *their* inhibiting effect on itself. This kind of substructure is familiar in physiology.

A network composed of cross-exclusion systems has a kind of built-in "short-term memory." Once such a system is forced into a partial state, even for a moment, that partial state will tend to persist. To reset the network's state one need only activate, transiently, one agent in each cross-exclusion group. Afterwards, the new substates will tend to persist—except for those agents under strong external pressure to change. To an outside observer, these internal persistences will appear as "dispositions"—distinctive styles of behavior. Changing the states of many agents grossly alters behavior, while changing only a few just perturbs the overall disposition a little.

The temporal span of an agent's disposition will depend on its place in the hierarchy. The states of low level agents change frequently, in response to signals ascending from outside or from other P-nets. The state of high level agents are presumably bound to plans and goals of longer durations. In the following theory, it will be the intermediate level agents that are most involved with the memories associated with each particular P-net, because they must help to determine how the agents below them influence those above them. Of course, this notion of "intermediate" probably must be defined in terms of development; its locus will presumably move upwards during cognitive growth. (*See Note 6.*) For example, a lowest level agent in the visual system would always compute the same function of retinal stimulation. But at higher levels, different dispositions induce different "ways of seeing things." Thus, the choice between the three natural perspectives for seeing the Necker cube is dictated not by ascending

sensory information but by decisions in other agencies. Similarly one needs nonsensory information to dispose oneself to regard a certain sound as noise or word—an image as thing or picture.

### K-LINES AND LEVEL BANDS

Our theory will propose that around each P-pyramid grows another structure—the “K-pyramid”—that embodies a repertory of such dispositions, each defined by preactivating a different subset of P-agents. The K-pyramid is made of “K-nodes,” each of which can excite a collection of P-agents, via its “K-line.” To explain the idea, suppose that one part P of your mind has just experienced a mental event E which led to achieving some goal—call it G. Suppose another part of your mind declares this to be “memorable.” We postulate that two things happen:

**K-NODE ASSIGNMENT:** A new agent, called a *K-node* KE, is created and somehow linked with G.

**K-LINE ATTACHMENT:** Every K-node comes with a wire, called its *K-line*, that has potential connections to every Agent in the P-pyramid. The act of “memorizing” causes this K-line to make an “excitatory” attachment to every currently active P-agent.

Consequently, when KE is activated at some later time, this will make P “reenact” that partial state—by arousing those P-agents that were active when E was “memorized.” Thus, activation of KE causes the P-net to become “disposed” to behave the way it was working when the original goal G was achieved. What happens if *two* K-nodes are activated? Since we are talking of partial, not total, states it is possible for a single P-pyramid to maintain fragments of *several* dispositions at one time. Of course, if the two dispositions send conflicting signals to an agent there is a problem, which we discuss later.

It might seem impractical to require that every K-line come near to every P-agent. Now we introduce a series of “improvements” that not only alleviate this requirement but also combine to form a powerful mechanism for abstraction and inference.

To begin with, the schema just described would tend to reset the entire P-net. This would amount to making P to virtually “hallucinate” the event EK. (*See Note 7.*) But, on reflection, one sees that it is *not* the purpose of Memory to produce hallucinations. (*See Note 8.*) Rather, *one wants to reenact only enough to “recapture the idea.”* Complete hallucination would be harmful; resetting the whole P-net would erase all work recently done—and might even fool one into seeing the present problem as already solved. Instead, memory must induce a state that remains sensitive to the new situation. We conclude that a *memory should induce a state through which we see current reality as an instance of the remembered event*—or, equivalently, see the past as an instance of the present.

### THE LEVEL-BAND PRINCIPLE

We propose to accomplish this by connecting KE not to all the P-agents that were active during E, but only to those within an intermediate band of levels. To explain this, I must assume here that KE is somehow associated with some agent PE at a certain level of the P-pyramid. I will return later to discuss this somewhat obscure ‘P→K’ association. But assuming it for the moment, we can formulate two important restrictions:

**LOWER BAND-LIMIT:** The K-line should not affect agents at levels too far below PE, for this would impose false perceptions and conceal the real details of the present problem. (See Note 9.)

**UPPER BAND-LIMIT:** Nor should that K-line reach up to or above the level of PE itself, for that would make us hallucinate the present problem as already solved, or change it, or impose too strongly the details of the old solution.

These two constraints combine to suggest the first of this paper’s two principal ideas:

**LEVEL-BAND PRINCIPLE:** A K-line should span only a certain band of levels somewhere below PK. This induces a disposition that can (1) exploit higher level agents appropriate to current goals and (2) be sensitive to the current situation as perceived at lower levels.

So, by activating agents only at intermediate levels, the system can *perform a computation analogous to one from the memorable past, but sensitive to present goals and circumstances* (see Figure 2).

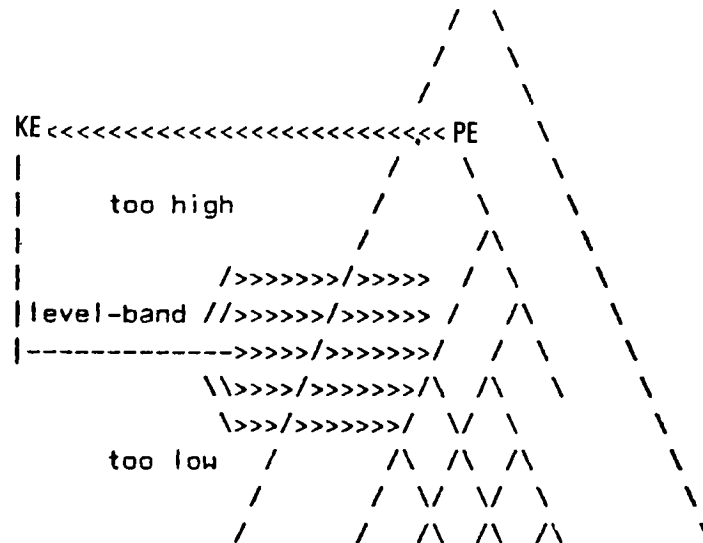


Figure 2.

### CONNECTIONS AMONG K-NODES

The second idea: if memories partially recreate previous states, and if those states are in turn based on other memories, this suggests that K-lines should exploit other memories i.e., other K-lines. We can do this via attachments to previously constructed K-nodes. In fact, this idea provides a second way to make the scheme more physically plausible:

**K-RECURSION PRINCIPLE:** When you solve a problem, it is usually by exploiting memories from the past. The occurrence of a memorable event E is itself usually due in large part to activation of already existing K-lines. So, to "memorize" that state, *it will usually suffice to attach the new K-line KE just to active K-nodes*—rather than to all active P-nodes!

Connecting K-lines to K-nodes (rather than P-nodes) allows us to compose new memories mainly from ingredients of earlier memories. This should lead to meaningful cognitive structures—especially when combined with the level-band constraint. So, finally:

We connect KE not to *all* recently active K-nodes, but only to those in a Level Band (of K-nodes) below KE! Note that this creates a "K-pyramid," as discussed below.

Of course, if K-lines were connected *only* to other K-nodes, they would have no ultimate contact with the P-pyramid: the process has to start somewhere! I envision the K-agents to lie anatomically near the P-agents of corresponding levels, making it easy for K-lines to contact *either* P- or K-agents. Perhaps in early stages of growth the connections are primarily to P-agents. Then later, under genetic control, the preferences tend to shift over from P's to K's.

### THE CROSSBAR PROBLEM

We digress for a moment into an issue concerning the physical "hardware." Even using both the Recursion and Level-Band principles, each K-node still must have potential junctions with many agents. This problem confronts every brain theory that tries to explain how the mind is capable of any great range of "associations." We shall call it the "crossbar" problem. The problem is often ignored in traditional programming because computer memory can be regarded as totally connected in the sense that any register "address" can connect to any other in a single step. But the problem returns in systems with multiple processors or more active kinds of memory.

One need not expect to find any general solution to this problem. In the cerebrum the (potential) interconnections constitute almost the entire biomass; the computational hardware itself—the cortical neurons and synapses—is but a thin layer bordering that mass. *The Level-band principle would have a large effect by lowering the dimensionality of the problem by one.* The advantage of



using the recursion principle is not so obvious, but it suggests that local, short connections should suffice for most purposes. (*See Note 10.*)

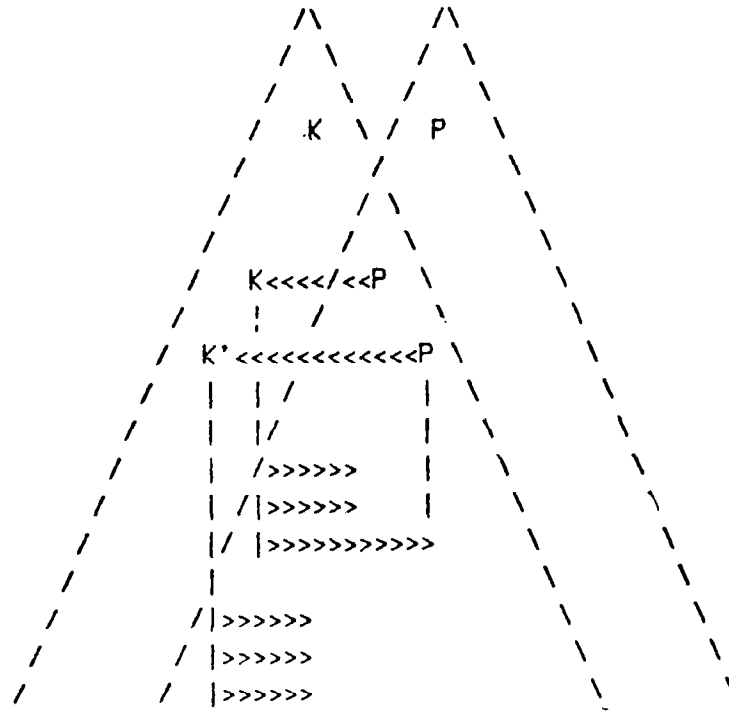
In any case, I would not seek to solve the crossbar problem within the context of K-theory (nor, for that matter, in any clever coding scheme, or chemical diffusion, or holographic phase-detector—although any such invention might make a brain more efficient). Instead, I would seek the answer within the concept of the Society of Mind itself: if the mechanisms of thought can be divided into specialists that intercommunicate only sparsely, then the crossbar problem may need no general solution. For then, most pairs of agents will have no real need to talk to one another; indeed, since they speak (so to speak) different languages, they could not even understand each other. If most communication is local, the crossbar problem scales to more modest proportions.

Still, the reader might complain that any communication limits within the Mind would seem counter-intuitive—cannot one mentally associate *any* two ideas, however different? Perhaps, but it would seem that making unusual connections is unusually difficult and, often, rather “indirect”—be it via words, images, or whatever. The bizarre structures used by mnemonists (and, presumably unknowingly, by each of us) suggest that arbitrary connections require devious pathways.

### THE KNOWLEDGE-TREE

It will not have escaped the reader that we have arrived at an elegant and suggestive geometry: The K-nodes grow into a structure whose connections mirror those of the P-pyramid, except that information flows in the other direction. The K-nodes form a K-pyramid, lying closely against the P-pyramid, each with convenient access to the level bands of the other. P-nodes activate units above them, while K-nodes activate units below them. A typical path of computation within the diagram of Figure 3 tends to traverse a counterclockwise spiral. Over time, the locus of this activity could drift either up or down—presumably controlled by other agencies who demand more generality or specificity.

While this “computational architecture” seems very general and versatile, its apparent symmetry is deceptive, because I suppressed some hard questions. I described the connections within K, and of those from K to P. And while I have said little here about the connections within P, that is not a major problem: it is discussed in more detail in Minsky (1977). The real problem concerns the link from P back to K; I said only that “. . . KE is somehow associated with some agent PE at a certain level of the P-pyramid. . . .” We need to provide some relation between P-events and the achievement of Goals represented elsewhere, and the rest of the essay discusses various possible such relations but does not settle upon any particular one; from this point on, the reader can assume that difficulties in understanding are my fault, not his. But I hope I have supplied an adequate enough framework to make plausible these further speculations.



It is tempting to try to find simple ways to restore the symmetry. For example, our K-trees learn to adapt to the P-tree. But the P-tree itself must once have been the learner. Was the P-tree once the K-tree for another P-system? Could they take turns training each other? Alas, nothing so simple will do. We shall argue that nontrivial learning requires at least *three* nets to be involved. For there must be some link from K and P to the rest of the Society, and the  $P \rightarrow K$  connection seems to want that role.

## K-KNOWLEDGE

We started with a naive idea that “memories reenact past states”—without attempting to explain what they “mean.” Now we come full circle: since the K-system forms a sort of hierarchical web, one can hardly escape asking what its nodes might mean. It seems natural to try to see it as some sort of abstraction lattice in which *each K-node “represents” some relation among whatever its subordinates represent.*

***K-Knowledge Seen as Logical.*** What kinds of relations? In the simplest case, when partial states do not interact much, a superior simply *superposes* the effects of its subordinates. Concurrent activation of two K-lines at *comparable*

levels will dispose P to respond to *either* meaning. Thus, if P were a sensory system, and if detectors for "chair" and "table" are activated, then P will be disposed to react either to a chair or to a table. So K-terms at comparable levels tend to combine "disjunctively."

When the partial states of the subordinates *do* interact, the "logic" of combining K-lines depends upon the "logic" within P. In a version of cross-exclusion that Papert and I favor, the *activation of two or more competitive P-units usually causes their entire cross-exclusion group simply to "drop out" completely*, defaulting to another element at the next higher level. Returning to the previous example, if the dispositions for "chair" and for "table" were in some local conflict (e.g., by requiring both "back" and "no back") the conflicting agencies should disarm each other—leaving the remaining harmonious elements to accept anything in the next higher "furniture" class!

Papert and I see this as a profound heuristic principle: if a single viewpoint produces two conflicting suggestions in a certain situation, it is often better *not* to seek a compromise between them but to find another viewpoint! We introduced this idea as a general principle in Minsky and Papert (1974) after Papert had observed how it might explain how Piaget's Conservation develops in Children.

***K-Knowledge Seen as Abstract.*** Earlier, we spoke only of creating an entirely new K-node for each memorable event. But surely there are more gentle ways to "accumulate" new subordinates to already existing nodes. Suppose that a chimpanzee achieves the too-high banana by using different means at different times—first using a box, then a chair, later a table. One could remember these separately. But, if they were all "accumulated" to one single K-node, this would lead to creation of a more powerful "how to reach higher" node: when reactivated, it would *concurrently* activate P-agents for boxes, chairs, or tables, so that perception of *any* of them will be considered relevant to the "reach higher" goal. In this crude way, such an "accumulating" K-node will acquire the effect of a class abstraction—an extensional definition of "something to stand on."

Indeed, it may do much better than that, in view of our proposed cross-cancellation principle. Suppose, as mentioned above, that conflicts among details cause decisions to be made—by default—by those remaining, nonconflicting, agents. *The effect is that of a more abstract kind of abstraction—the extraction of common, nonconflicting properties!* Thus, combining the concrete "accumulation" of particular instances with the rejection of strongly dissonant properties leads automatically to a rather abstract "unification." (See Note 11.)

***K-Knowledge as Procedural.*** This is more speculative: when K-lines interact at different vertical levels, the superposition of several partial states will produce various sorts of logical and "illogical consequences" of them. We already know they can produce simple disjuncts and mutual exclusions. This is probably enough for simple forms of propositional logics. I think that it is

possible for such structures also to simulate some kinds of predicate logic. A lower K-line could affect the *instantiation* of a higher level, "more abstract" K-line, just as one can partly instantiate one frame (Minsky, 1975) by attaching other frames to some of its terminals. Thus, a K-line could displace one of a P-agent's "default assignments" by activating instead a specific sensory recognizer. (*See Note 9.*) Other specific kinds of logic could be architecturally embedded in the P-logic. One might even be able to design a "detachment" operation to perform deduction chaining during the overall K-P-K—operation cycle. But I have no detailed proposal about how to do that.

### LEARNING AND REINFORCEMENT

Most theories of learning have been based on ideas about "reinforcement" of success. But all these theories postulate a single, centralized reward mechanism. I doubt this could suffice for human learning because the recognition of which events should be considered memorable cannot be a single, uniform process. It requires too much "intelligence." Instead I think that such recognitions must be made, for each division of the mind, by some other agency that has engaged the present one for a purpose.

Hard problems require strategies and tactics that span different time scales. When a goal is achieved, one must "reinforce" not only the most recent events but also the strategies that caused them. At such a moment the traces that remain within the mind's state include all sorts of elements left over from both good and bad decisions. Traditional behavioristic learning theories rely on "recency" to sort these out, but strategy-based activities create "credit assignment" problems too complex for this to work. However, if we segregate different strategic time scales in different G-P-K systems, then they can operate over appropriate time scales. Our everyday activities seem to involve agencies that operate and learn over seconds, minutes, hours and days. Strategies for dealing with ambition and acquisition, loss and grief, may span years. Furthermore, decisions about what and when to "reinforce" cannot be made within the K-P pairs, for those decisions must depend to some extent on the goals of other centers.

We conclude that control over formation of links between K and P must be held by yet a third agency. Based on these intuitions, suppose that a third network, N, has the power to construct new K-nodes for P. Suppose that at some earlier time some goal G (represented in N) is achieved and was connected to a K-node KE that (for example) activates two subnodes K1 and K2. Suppose that at a later time N achieves another instance of G and celebrates this as memorable. If nothing new has happened in P, there is no need to change KE. But if a new element  $K3 \rightarrow P3$  is involved we could add K3 to KE's K-line, making P3 available for achieving G in the future. (*See Figure 4.*)

This raises all the issues about novelty, conflict, adaptation and saturation that any learning theory must face. (*See Note 12.*) What if P3 were a direct competitor

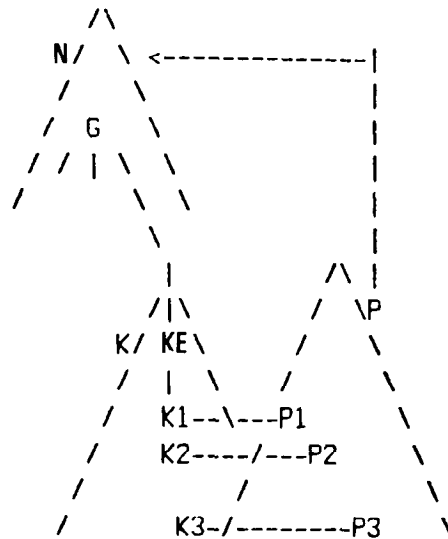


Figure 4.

of P1 or P2? What if there were a mistake? How do we keep the attachments to KE within bounds? (After all, there is always *something* new.) One can try to invent local solutions to all these problems, but I doubt there is any single answer. Instead, it must be better always to leave link formation under the control of a distinct system that itself can learn, so that the mnemonic strategies in each locale can be made to suit their circumstances. What activates KE? It should be possible for the goal-type G to call upon some variety of P-nets. Selecting P (that is, KE) in particular would presumably depend on use of some “cue” involving P—e.g., by making KE’s activation depend on an “and” condition involving G and that P-condition. Through such connections KE becomes part of the meaning of G—a remembered solution to a problem. This sketchy argument suggests that a minimal learning theory needs at least *three* nets, in which the first controls how the second learns to operate the third. The triplets may not be distinct because the same net might play a P-role in one domain and a G-role in another.

It is commonplace to distinguish between “tacit” knowledge (like how to walk) and “explicit” knowledge (like to solve a quadratic). In a “single-agent” theory, one might wonder how knowledge could possibly be tacit. In a “society of mind” theory, one might wonder how any knowledge could ever become “explicit”—this might require K-nodes to become linked with such cognitive elements as particular senses of particular words. I discuss some such issues in Minsky (1977).

In any case, the “tacit-explicit” distinction is only a simplistic approximation to some richer theory of internal connections. Each subsociety of the mind must still have its own internal epistemology and phenomenology, with most details private, not only from those central processes, but from one another. In

my view, self-awareness is a complex, but carefully constructed illusion: we rightly place high value on the work of those mental agencies that appear able to reflect on the behavior of other agencies—especially our linguistic and ego-structure mechanisms. Some form of self-awareness is surely essential to highly intelligent thought, because thinkers must adapt their strategies to the available mental resources. On the other hand, I doubt that any part of a mind can ever see very deeply into other parts; it can only use models it constructs of them.

Any theory of intelligence must eventually explain the agencies that make models of others: each part of the mind sees only a little of what happens in some others, and that little is swiftly refined, reformulated and “represented.” We like to believe that these fragments have meanings in themselves—apart from the great webs of structure from which they emerge—and indeed this illusion is valuable to us *qua* thinkers—but not to us as psychologists—because it leads us to think that expressible knowledge is the first thing to study. According to the present theory, this is topsy-turvy; most knowledge stays more or less where it was formed, and does its work there. Only in the exception, not the rule, can one really speak of what one knows. To explain the meanings of memories will need many more little theories beyond this one; we can understand the relations among our mental agencies if—and only if—we can model enough of some of them inside the others. But this is no different from understanding anything else—except perhaps harder.

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## NOTES

*Note 1: Background.* The references to the "Society of Mind" relate to a theory I have been evolving, jointly with S. Papert, in which we try to explain thought in terms of many weakly interacting (and often conflicting) specialists. It is described briefly in Minsky (1977), which the present paper complements in several areas. The C-lines of that paper correspond roughly to the K→P connections here. The discussion in Minsky (1977) of *cognitive cases* and of *differences* supplement the discussion here of goals, but there is not enough detail, even in both papers, to specify exactly what happens in P-nets. We hope to clarify this in a forthcoming book.

*Note 2: Dispositions.* I use "disposition" to mean "a momentary range of possible behaviors;" technically it is the shorter term component of the state. In a computer program, a disposition might depend upon which items are currently active in a data base, e.g., as in Doyle's (1979) flagging of items that are "in" and "out" in regard to making decisions.

The term "representation" always should involve three agents—*A* represents *B* as *C*. In a mind theory, *A* might be part of the mind or part of the theorist himself; most discussions are muddled about this. In the present paper, "K-nodes" impose dispositions on P-nets hence, *for us as theorists*, K-nodes can represent dispositions. But what they represent *for the mind that contains them* is another matter we address only in passing at the end of the paper.

*Note 3: Modularity.* Most people would assume that understanding memories of feelings should be harder than understanding memories of facts. But I think the latter appear simpler only in the adult perspective of "modular" knowledge, based on a lifetime of constructing our orderly, commonsense epistemological hierarchies. A fragment of incremental knowledge—e.g., that ducks have webbed feet—seems easy to "represent," once we have only to link together a few already established structures. But this apparent, surface smoothness should not be mistaken for underlying simplicity, for it conceals the deeper ways in which each event's meanings become involved in the total "web" of our dispositions. I think it no accident that in popular culture, feelings are considered inexplicably complex, while thoughts are simple. But, in the culture of psychiatry, of professional concern with real mental activity, it is feelings that are analyzed (more or less successfully) while thoughts are found to be too intricate to understand in any useful detail.

*Note 4: Brains.* Not enough is known about the nervous system to justify proposing specific details. In our references to brains our intention is to suggest that it might be useful to consider architectural hypotheses compatible with the general ideas of the society of mind approach.

*Note 5: Unidirectionality.* It is technically very difficult to theorize about systems that allow large degrees of circular behavior. On the other hand, no mind can be based on unidirectional networks, because loops and feedback are essential for nontrivial behavior. This, I think, is why so little has happened in the field of "neural net" models, since the works of Hebb (1949) and Marr (1969; 1970). A feature of the present theory is how it introduces the required circularity in a controlled way: it begins with a nearly unidirectional network, avoiding some of those problems. (The lateral cross-exclusion still leaves basically unidirectional behavior.) Then, feedback loops are built up as steps in training the K-net, yielding a strategy that lends itself to circuits that are manageable and debuggable. With the loops introduced a little at a time, one can watch for instability and oscillation, distraction and obsession.

Now consider a speculation: perhaps the difficulty of dealing with too-circular networks is no mere human limitation. Evolution itself probably cannot cope with uncontrolled recursive behaviors. If the present theory were correct, this suggests an evolutionary pressure behind its development: even the individual nervous system must evolve its circularities by controlled interconnection of unidirectional flows.

Finally, we note that K-logic must be more complex than as described here, because K-node activation should not propagate to subordinates all the way down. That would vitiate the level-band idea. This suggests that perhaps the activity band of a K-P pair should be controlled, not locally, but by some other agency that uses a low spatial resolution signal to enhance the activity in a selected level band. Such an agency could control the ascent or descent of the K-P computation—e.g., to instruct K-P to “try a more general method” or to “pay more attention to the input” or, perhaps, to “try another like that.” Such an agency would provide a locus for high-level heuristic knowledge about how to use the knowledge within K-P, and would be useful for implementing plans, looking ahead, and backing up.

*Note 6: Global Architecture.* An entire brain would contain many different P-structures associated with different functions: sensory, motor, affective, motivational, and whatever, interconnected according to genetic constraints. The present theory might apply only to the common properties of neocortex; the brain contains many other kinds of structures. Incidentally, the idea of “middle level” agent is not precisely defined. In my image of mental development, the definition of this intermediate region of agents will tend to move upwards during cognitive growth.

*Note 7: Excitation.* We do not need to add “negative” K-line connections to agents that were inactive when E occurred; many of them will be automatically suppressed by cross-exclusion via AK. Others may persist, so that the partial hallucination may include additional elements. According to Mountcastle (1978), all lines entering the cortex from other centers are excitatory.

*Note 8: Accuracy.* Only a naive theory of memory would require first-time perfect recollection. Many agents active during E will be “inessential” to new situations, so we need not demand exact replication. (Indeed, the theory will need a way to undo serious errors.) In the early days of neural modeling one found some workers who welcomed “sampling noise” as a desirable source of “variety”. I consider that view obsolete now, when the problem is instead to find control structures to restrict excessive variation.

*Note 9: Fringes and Frames.* In this sense, a K-node acts like a “frame,” as described in Minsky (1975). When a K-node activates agents in the level-band below it, these correspond to the essential, obligatory terminals of the frame. If K-lines have “weaker” connections at their lower fringes, we should obtain much of the effect of the loosely bound “default assignments” of the frame theory, for then the weakly activated agents will be less persistent in cross-exclusion competition. What about the upper fringe? This might relate to the complementary concept of a “frame-system”, emphasized in Minsky (1975): A failure of a P-net to do anything useful could cause control to pass, by default, to a competitive goal or plan (via the weak cross-exclusion), or to move upwards to a slightly higher-level goal type. It would be interesting if all this could emerge simply from making weaker connections at the fringes of the level-band.

I recognize that my arguments concerning upper fringes are weaker than those for lower fringes. My intuition that a level-band not include those agents that activate it embodies the idea of controlled circularity, but it is responsible also for the murkiness of my explanation of how the “P→K” connections relate P-structures to goals and actions. In fact, P-K was defined, in the first place, to give the reader a mental reference point for describing the level structures, but the P→K connection itself, involving at least another network, is only a functional concept. Incidentally, in regard to *motor* behavior, some of the image probably must be inverted because action is somewhat dual to perception, with flow from intent to detail rather than from detail to recognition.



*Note 10: Crossbar Problem.* I conjecture that the popularly conceived need for holistic mechanisms may be ameliorated if we envision the mind as employing a few thousand P-nets, each with a few thousand Agents. This would factor the problem into two smaller crossbar problems, each involving only thousands of lines, not millions. In fact, we argue in Minsky (1977) that one need not suppose all P-nets can or need to communicate with each other.

While, in this view, there might well be enough white matter for the connections among P-nets, there do exist communication-hardware schemes more physically efficient than point-to-point wiring—e.g., the schemes of Mooers (1956) or Willshaw et al. (1969). To implement one of these within a K-net, one might use a 100-line bundle of descending conductors. To simulate a K-line, attach the K-node to excite a small, fixed, but randomly assigned, subset of these. Then, connection to another K-node needs a conjunctive recognizer for that subset. Ten-line subsets of a 100-line bundle would suffice for very large K-pyramids, and the recognizer might be a rather elementary perceptron.

*Note 11: Winston Learning.* Because Winston (1975) describes the most interesting constructive theory of abstraction, I will try to relate it to the present theory. "Emphasis links" are easily identified with K-lines to members of cross-exclusion groups, but "prevention pointers," which must enable specific P-agents to disable higher level class-accepting agents, are a problem that perhaps must be handled within P-rather than within the K-line system. Perhaps more basic to Winston's scheme is the detection and analysis of Differences; this suggests that K-line attachment should be sensitive to P-agents whose activation status has recently changed.

Generally, in this essay, I have suppressed any discussion of sequential activity. Of course, a K-node could be made to activate a sequence of other K-nodes. But I considered such speculations to be obvious, and that they might obscure the simplicity of the principal ideas.

Winston's scheme emphasizes differences in "near miss" situations. In a real situation, however, there must be a way to protect the agents from dissolution by responding too actively to "far misses." Perhaps a broader form of cross-exclusion could separate the different senses of a concept into families. Then, when a serious conflict results from a "far miss," this would disable the confused P-net so that a different version of the concept can be formed in another P-net.

*Note 12: Saturation.* In the present theory, one only adds connections and never removes them. This might lead to trouble. Does a person have a way to "edit" or prune his cognitive networks? I presume that the present theory will have to be modified to allow for this. Perhaps the Winston theory could be amended so that only imperative pointers long survive. Perhaps the cross-exclusion mechanism is adequate to refer low-level confusion to higher level agents. Perhaps, when an area becomes muddled and unreliable, we replace it by another—perhaps using a special revision mechanism. Perhaps in this sense we are all like the immortal people in Arthur Clarke's novel (1957), who from time to time erase their least welcome recollections.