



ALMA MATER STUDIORUM  
UNIVERSITÀ DI BOLOGNA

# PRODUCTION LINE PERFORMANCE

PROJECT WORK IN LANGUAGES AND ALGORITHMS FOR  
ARTIFICIAL INTELLIGENCE CLASS (MODULE 2)

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August 15, 2020

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## DATASETS

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- **Arrest:** Contains statistics, in arrests per 100.000 residents, for assault, murder, and rape in each of the 50 US states in 1973. It also gives the percent of the population living in urban areas.
- **Adult:** Aims at separating people whose income is greater than 50 thousands dollars per year from the rest.

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- **Bosch:** Aims at predicting internal failures using thousands of measurements and tests made for each component along different assembly lines.

# PREPROCESSING

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- The Bosch dataset includes 3 subsets: numerical, categorical and time data.
- As stated in [2], categorical data is extremely sparse, and thus not exploited in subsequent stages.
- Our first analysis will be focused on just **numerical data**:
  - 100 anonymized features
  - 1100247 labeled examples
  - 0.50% of failed products
  - 24.5% of missing values

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- **Stage I:** This step clusters data with similar processes together into process groups.
- **Stage II:** This step uses supervised learning to predict the failed products. Each cluster is treated as an independent dataset and has its own classifier.

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- **Common:** Columns containing null values and constant values in each row are dropped.
- **Clustering:** Values are binarized (0 meaning null value and 1 meaning not null value) and *PCA* is applied to binarized features.
- **Classification:** A *feature imputation* method (mean value over the column), followed by *feature standardization* (zero mean, unit variance) and *PCA*, is applied over non-binary values in each cluster.
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Custom implementation of the *PCA* workflow, taking into account:

- *Features assembly and features standardization.*
- Selection of the minimum number of principal components *explaining* the given percentage of *variance* in the data (defaults to 95%).
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# CLUSTERING

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The chosen clustering algorithm is **k-means**, since density-based methods (like **DBSCAN**) are still not available in Spark. So, the following issues needed to be addressed:

- **Problem:** Automatically select the right amount of clusters:
  - *Silhouette* and *elbow* methods are not ideal, since they require human analysis.
  - Spark 3.0.0 dropped support for *inertia* computation, maintaining only evaluation by silhouette scores.
- **Solution:** Ad-hoc implementation of the *Gap statistic* method, described in [1].

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- For  $k = 1, \dots, K$ , where  $K$  is the maximum number of clusters, perform k-means and compute the resulting inertia  $I_k$ .
- Generate  $B$  reference datasets by sampling from a uniform distribution over each feature, where the support is directly identified by features ranges. Then, for  $k = 1, \dots, K$  and  $b = 1, \dots, B$ , perform k-means and compute the resulting inertia  $I_{kb}$ . Finally, estimate  $E^*[log(I_{kb})]$  as  $\frac{1}{B} \sum_b log(I_{kb})$ .
- Compute the Gap score as  $Gap(k) = E^*[log(I_{kb})] - log(I_k)$ .
- Compute the standard deviation  $sd_k$  of  $log(I_{kb})$  and define  $s_k = sd_k * \sqrt{1 + \frac{1}{B}}$ .
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# CLASSIFICATION

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- **Implemented models:** Decision Tree, **Random Forest** and Gradient Boosted Tree.
- **Training strategy:** Hyper-parameters selected by cross-validation on a parameter grid, mainly consisting of the following:
  - Maximum *depth* of each tree, ranging from 1 to 30 with step 5.
  - Minimum *number of instances* each child must have after split, ranging from 1 to 10 with step 2.



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Different evaluation strategies, based on a custom *confusion matrix* computation:

- **Accuracy:**  $\frac{TP+TN}{TP+FP+FN+TN}$  (not well-suited to the Bosch dataset, given its high class imbalance).
- **$F_1$ -score:**  $2 \times \frac{PPV \times TPR}{PPV + TPR}$ , where  $PPV = \frac{TP}{TP+FP}$  and  $TPR = \frac{TP}{TP+FN}$ .
- **Area under ROC:** The two-dimensional area underneath the entire ROC curve ( $TPR/FPR$  at varying thresholds) from (0,0) to (1,1), where  $FPR = \frac{FP}{FP+TN}$ .
- **Matthew's Correlation Coefficient (MCC):**  
$$\frac{TP \times TN - FP \times FN}{\sqrt{(TP+FP) \times (TP+FN) \times (TN+FP) \times (TN+FN)}}$$

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## EXECUTION

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- **Spark 3.0.0** is not yet available on the AWS EMR service (the last version that can be used is **2.4.4**).
- Spark 3.0.0 could be manually installed to EC2 clusters, but the **Flintrock** service already provides some shortcuts to create the desired clusters and automatically install the selected Spark/Hadoop version.
- Unfortunately, Flintrock was not tested with Spark 3.0.0, which in some installations relies on **Java 11** (as opposed to Spark 2.4.4 which simply uses **Java 8**).



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- Local:

- Type: Macbook Pro 16-inch 2019
- CPU: 2.3 Ghz 8-Core Intel Core i9
- RAM: 16 GB 2667 MHz DDR4

- Cloud:

- Type: t2.xlarge
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## RESULTS

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- Number of examples (random subset): 11 432
- Number of features (random subset): 52
- Percentage of failures: 0.0056%
- Identified number of clusters: 8
- Random Forest model with/without cross-validation:
  - Accuracy/F1 score/Area under ROC: Almost 1.0 for each classifier
  - MCC score/Percentage of correctly predicted failures: Around 0.0 on every classifier (near random prediction)



THANK YOU FOR YOUR ATTENTION



## REFERENCES

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