



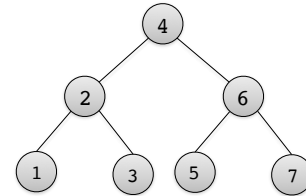
## Estruturas de Dados / Programação 2

### Árvore Balanceada AVL

Márcio Ribeiro  
marcio@jc.ufal.br  
twitter.com/marciomribeiro

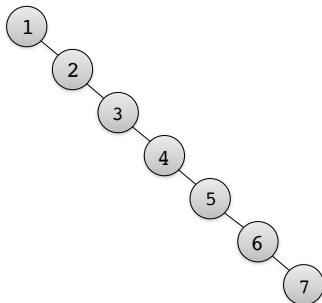
#### Introduction

- Create a Binary Search Tree with the items 4, 2, 6, 1, 5, 3, and 7 in this order!



#### Introduction

- Do it again, but now in this order: 1, 2, 3, 4, 5, 6, and 7



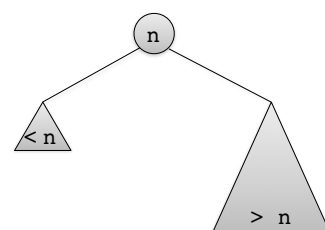
#### Different orders to...

- Insert nodes
- Remove nodes
- In practice, we cannot predict this order!



**This may lead to  
Unbalanced Trees!**

#### Intuition



## We need to fix this problem

- Otherwise, we can face efficiency problems!
- Which concept we can use to help on this problem?

Height ~~Pen~~ ~~Root~~ ~~Descendant~~  
 Internal node ~~Leaf~~ ~~Sibling~~  
 Edge ~~Node~~



## AVL Trees

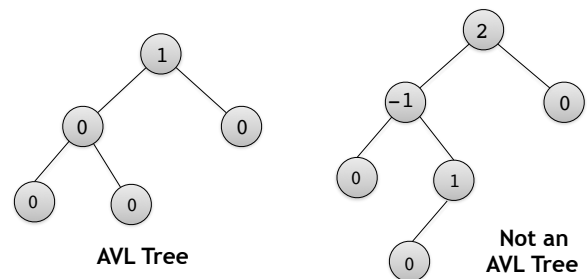
### AVL Trees

- Algorithms published in 1962 by G. M. **Adel'son-Velskii** and Y. M. **Landis**
- In their honor, the elements of this data structure are called AVL trees
- AVL is a Self-balancing Binary Search Tree in which the **maximum difference** in the height of any node's right and left subtrees is 1

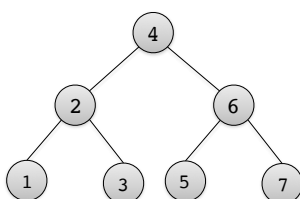


### Balance Factor

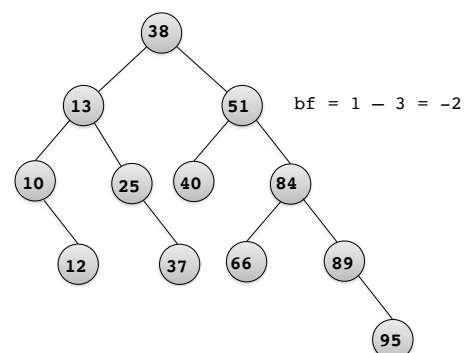
$$bf = h(\text{leftSubtree}) - h(\text{rightSubtree})$$



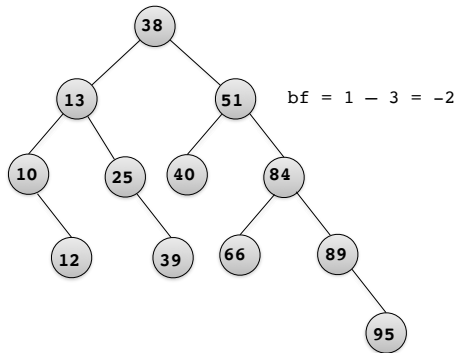
### Is the following tree an AVL one?



### What about this one?



And this one?

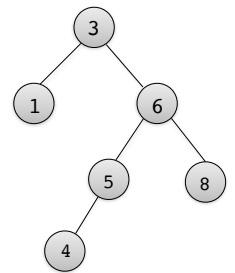


Balance factor function

```
int is_balanced(binary_tree *bt)
{
    int bf = h(bt->left) - h(bt->right);
    return ((-1 <= bf) && (bf <= 1));
}
```



What about the h function?



```
int max(int a, int b)
{
    return (a > b) ? a : b;
}

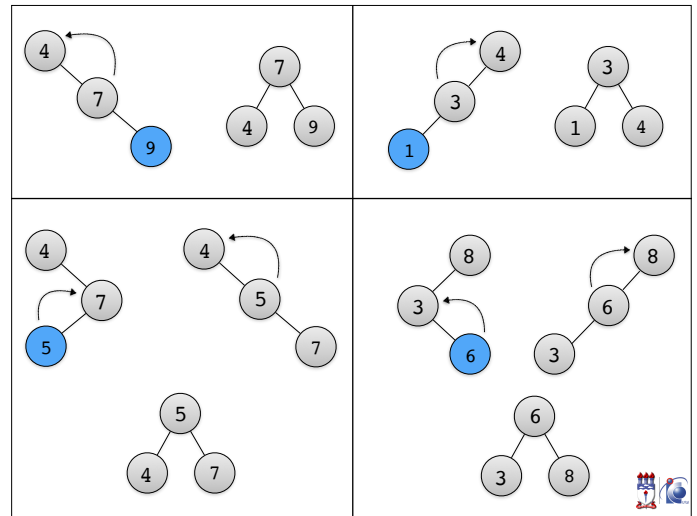
int h(binary_tree *bt)
{
    if (bt == NULL) {
        return -1;
    } else {
        return 1 + max(h(bt->left), h(bt->right));
    }
}
```

When not balanced...

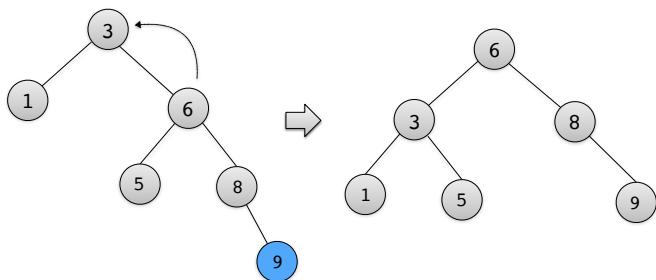
Rotations!

Four Cases

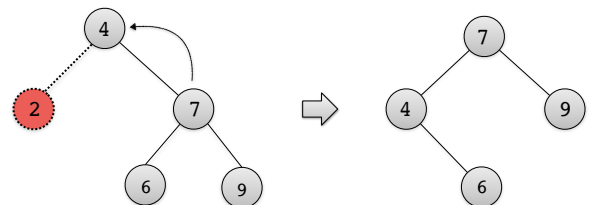
## Adding nodes... ... and keeping balanced



Before adding: bf = -1  
After adding: bf = -2

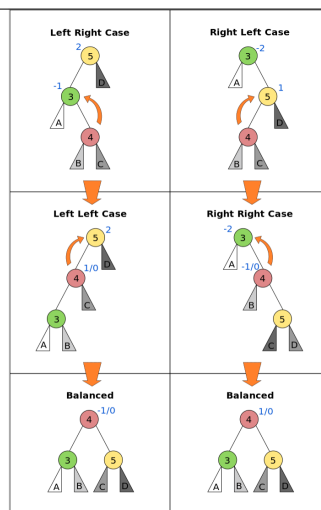


Before removing: bf = -1  
After removing: bf = -2



```
if (balance_factor(bt) == 2) {
    child = bt->left;
    if (balance_factor(child) == -1) {
        bt->left = rotate_left(child);
    }
    bt = rotate_right(bt);
} else if (balance_factor(bt) == -2) {
    child = bt->right;
    if (balance_factor(child) == 1) {
        bt->right = rotate_right(child);
    }
    bt = rotate_left(bt);
}
```

From [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)



## Abstract Data Type: AVL

## AVL ADT

```
binary_tree* create_empty_binary_tree();

binary_tree* create_binary_tree(
    int item, binary_tree *left, binary_tree *right);

binary_tree* add(binary_tree *bt, int item);

int is_empty(binary_tree *bt);

int h(binary_tree *bt);

int balance_factor(binary_tree *bt);

binary_tree* rotate_left(binary_tree *bt);

binary_tree* rotate_right(binary_tree *bt);
```



## Struct

```
struct binary_tree {
    int item;
    int h;
    binary_tree* left;
    binary_tree* right;
};
```



```
int balance_factor(binary_tree *bt)
{
    if (bt == NULL) {
        return 0;
    } else if ((bt->left != NULL) && (bt->right != NULL)) {
        return (bt->left->h - bt->right->h);
    } else if ((bt->left != NULL) && (bt->right == NULL)) {
        return (1 + bt->left->h);
    } else {
        return (-bt->right->h - 1);
    }
}
```



```
binary_tree* add(binary_tree *bt, int item)
{
    if (bt == NULL) {
        return create_binary_tree(item, NULL, NULL);
    } else if (bt->item > item) {
        bt->left = add(bt->left, item);
    } else {
        bt->right = add(bt->right, item);
    }

    bt->h = h(bt);
    binary_tree *child;

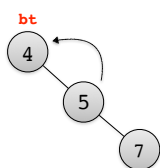
    if (balance_factor(bt) == 2 || balance_factor(bt) == -2) {
        if (balance_factor(bt) == 2) {
            child = bt->left;
            if (balance_factor(child) == -1) {
                bt->left = rotate_left(child);
            }
            bt = rotate_right(bt);
        } else if (balance_factor(bt) == -2) {
            child = bt->right;
            if (balance_factor(child) == 1) {
                bt->right = rotate_right(child);
            }
            bt = rotate_left(bt);
        }
    }
    return bt;
}
```



```
binary_tree* rotate_left(binary_tree *bt)
{
    binary_tree *subtree_root = NULL;

    if (bt != NULL && bt->right != NULL) {
        subtree_root = bt->right;
        bt->right = subtree_root->left;
        subtree_root->left = bt;
    }

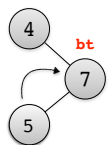
    subtree_root->h = h(subtree_root);
    bt->h = h(bt);
    return subtree_root;
}
```



```
binary_tree* rotate_right(binary_tree *bt)
{
    binary_tree *subtree_root = NULL;

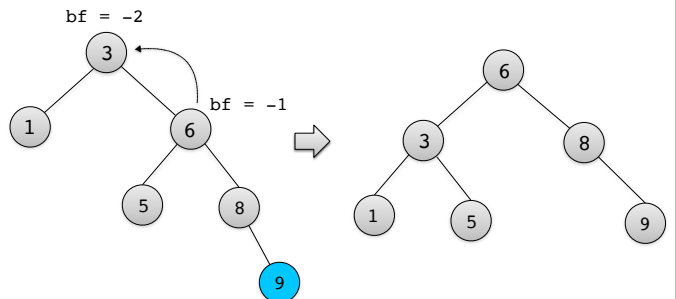
    if (bt != NULL && bt->left != NULL) {
        subtree_root = bt->left;
        bt->left = subtree_root->right;
        subtree_root->right = bt;
    }

    subtree_root->h = h(subtree_root);
    bt->h = h(bt);
    return subtree_root;
}
```

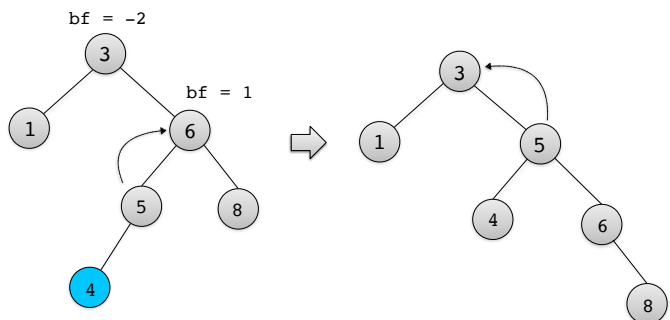


## Examples

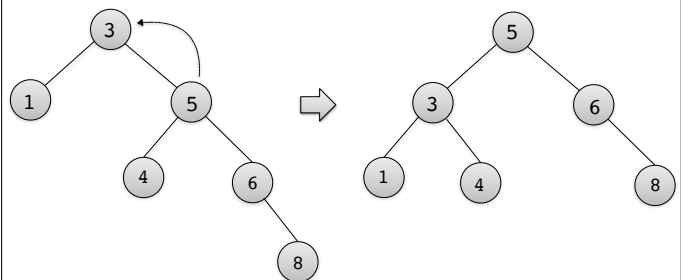
### Right-Right: only one step



### Right-Left: two steps. Here is the first...



### and now the second...



## Poscomp 2009

27 - Suponha que  $T$  seja uma árvore AVL inicialmente vazia, e considere a inserção dos elementos 10, 20, 30, 5, 15, 2 em  $T$ , nesta ordem. Qual das seqüências abaixo corresponde a um percurso de  $T$  em pré-ordem:

- (a) 10, 5, 2, 20, 15, 30
- (b) 20, 10, 5, 2, 15, 30
- (c) 2, 5, 10, 15, 20, 30
- (d) 30, 20, 15, 10, 5, 2
- (e) 15, 10, 5, 2, 20, 30

## References



### Chapter 6