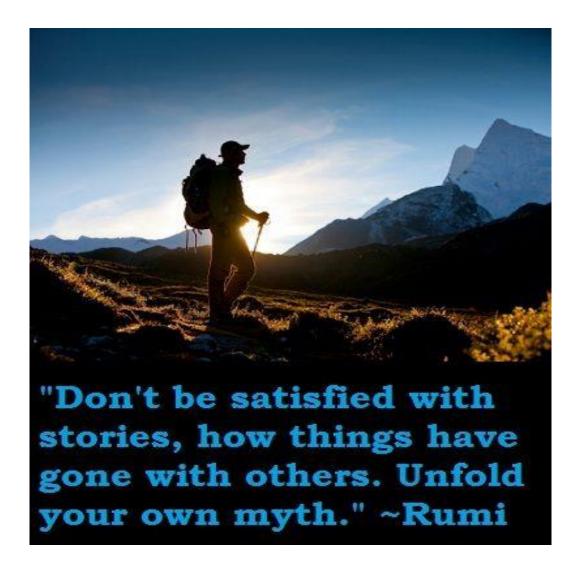


#### In the name of Allah the most Beneficial ever merciful



# Artificial Intelligence (AI) in Software Engineering

#### Effort Estimation

Copyright © 2020, Dr. Humera Tariq

Department of Computer Science, University of Karachi (DCS-UBIT) 4th April 2020

# Class Quiz 6<sup>th</sup> April 2021 9:30 am

Must bring empty printout of Effort estimation template in separate clip file for Quiz and Submission.



## Agenda

- 0- Concepts Recap (AI, Linear Regression, ML, Model)
- 1- Basic CoCoMo
- 2- Intermediat CoCoMo
- 3- Functional Points (FP)







#### Machine learning

#### Supervised

Task driven (Predict next value)



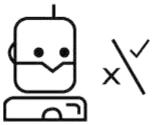
#### Unsupervised

Data driven (Identity clusters)



#### Reinforcement

Learn from mistakes



#### First Moment and SSE

Calculation of the mean of a "sample of 100"					
Column A Value or Score (X)	Column B Deviation Score ( <b>\Delta</b> ) (X-Xbar)	Column C Deviation Score <sup>2</sup> (Δ <sup>2</sup> ) (X- Xbar) <sup>2</sup>			
100	100-94.3 = 5.7	(5.7) <sup>2</sup> = 32.49			
100	100-94.3 = 5.7	$(5.7)^2 = 32.49$			
102	102-94.3 = 7.7	(7.7) <sup>2</sup> = 59.29			
98	98-94.3 = 3.7	(3.7) <sup>2</sup> = 13.69			
77	77-94.3 = -17.3	(-17.3) <sup>2</sup> = 299.29			
99	99-94.3 = 4.7	(4.7) <sup>2</sup> = 22.09			
70	70-94.3 = -24.3	(-24.3) <sup>2</sup> = 590.49			
105	105-94.3 = 10.7	(10.7)2 = 114.49			
98	98-94.3 = 3.7	$(3.7)^2 = 3.69$			
ΣΧ	∑∆or ∑(X-Xbar)	$\sum \Delta^2$ or $\sum (X-Xbar)^2$			
	"first moment"	Sum of Squares (SS)			



**Variance.** The sum of squares gives rise to variance. The first use of the term SS is to determine the variance. Variance for this sample is calculated by taking the sum of squared differences from the mean and dividing by N-1:

Variance = 
$$s^2 = \frac{SS}{N-1} = \frac{\Sigma(x-x)^2}{N-1}$$

**Standard deviation.** The variance gives rise to standard deviation. The second use of the SS is to determine the standard deviation. Laboratorians tend to calculate the SD from a memorized formula, without making much note of the terms.

$$SD = \sqrt{S^2} = \sqrt{\frac{SS}{N-1}} = \sqrt{\frac{\Sigma(x-\overline{x})^2}{N-1}}$$



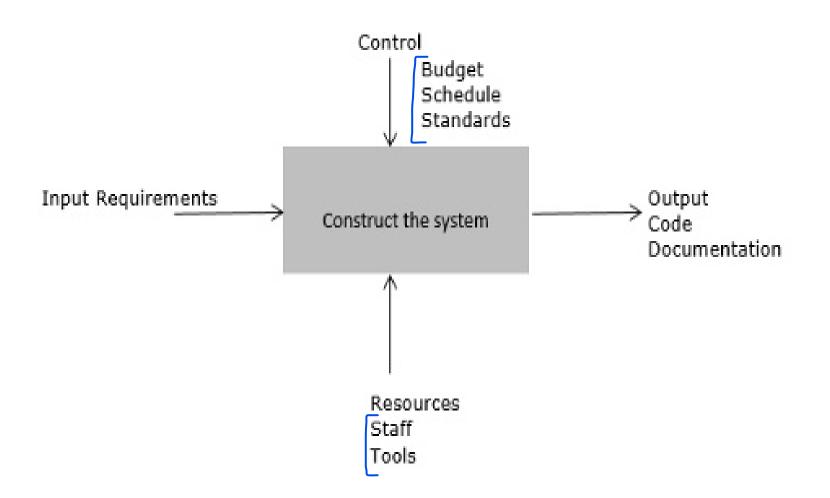
#### Variance leads to Project management

#### Why Estimate ??

	Project manage	ment activities
During project	Estimation	<ul> <li>Developing the work plan:</li> </ul>
start-up	Staffing	activities, schedule,
	<ul> <li>Resource acquisition</li> </ul>	resources, and budget
25	Training	allocation
During project	Quality assurance control	Budget control
execution	<ul> <li>Reporting and tracking</li> </ul>	<ul> <li>Schedule control</li> </ul>
1776730700000000000000000000000000000000	Metrics collection	<ul> <li>Requirements control</li> </ul>
	<ul> <li>Risk monitoring and mitigation</li> </ul>	<ul> <li>Verification and validation</li> </ul>
	<ul> <li>Configuration management</li> </ul>	<ul> <li>Documentation</li> </ul>
	<ul> <li>Process Improvement</li> </ul>	<ul> <li>Problem resolution</li> </ul>
<		<ul> <li>Subcontractor management</li> </ul>
During project	Product acceptance	Archiving
closeout	Staff reassignment	<ul> <li>Post-mortem evaluation and</li> </ul>
	User training	assessment
	Product installation	<ul> <li>Integration and conversion /</li> </ul>



#### Why Estimate??





## Why Estimate ??

#### **Specification for Development Plan**

Project
Feature List
Development Process
Size Estimates
Staff Estimates
Schedule Estimates
Organization
Gantt Chart

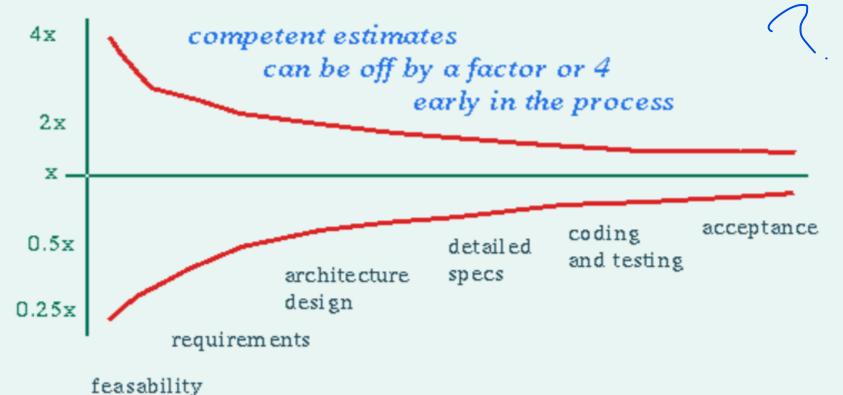


- (A) Identify widest variance from graph?
- (B) What are four types of feasibility?



#### Rule of the World

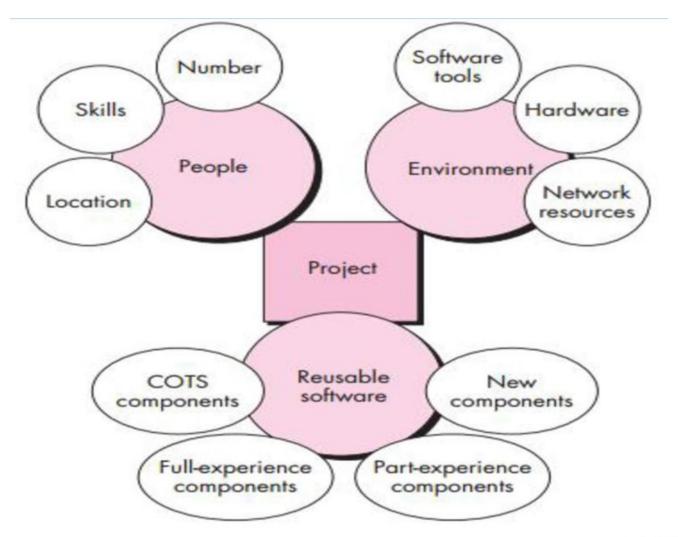
The worst estimating performance occurrs just where we need the best.







#### Type and attributes of Resources





## Models - Equations - Hypothesis



Name equations/models that are used to fit the data ??

What is mean by hypothesis in this context ??

What data and attributes we want to talk about??

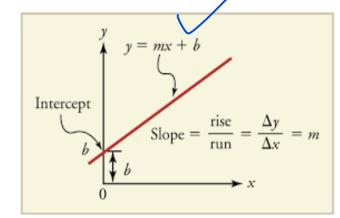


## **Small Projects**

The magnitude of the effort is a linear function of the size of the project.

Linear model holds up until a certain point with a team of 2-3 people.

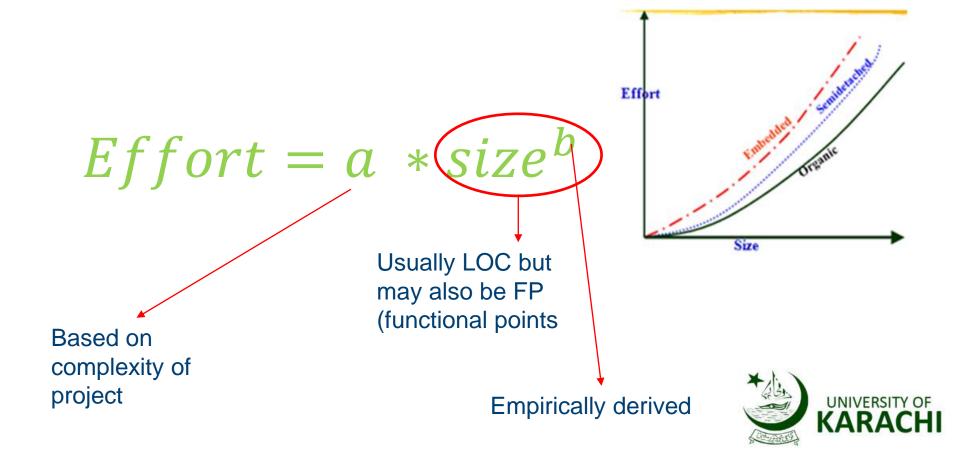
$$Effort = a * size + b$$





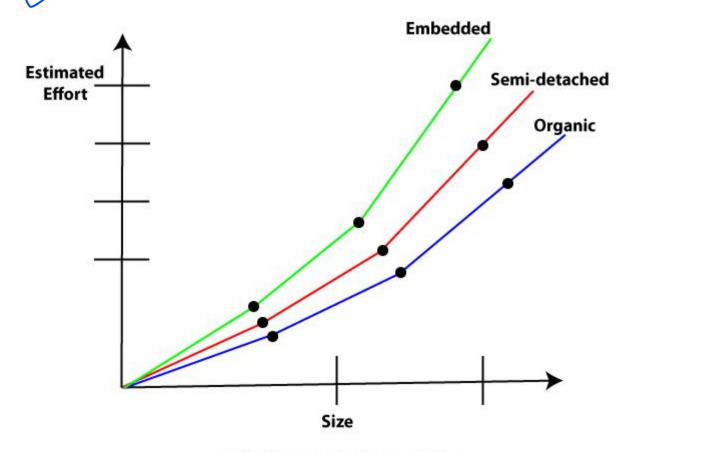
# Larger Projects

The effort behaviour of people is non-linear for Larger projects with team > 3 people.



#### Effort vs. Product size

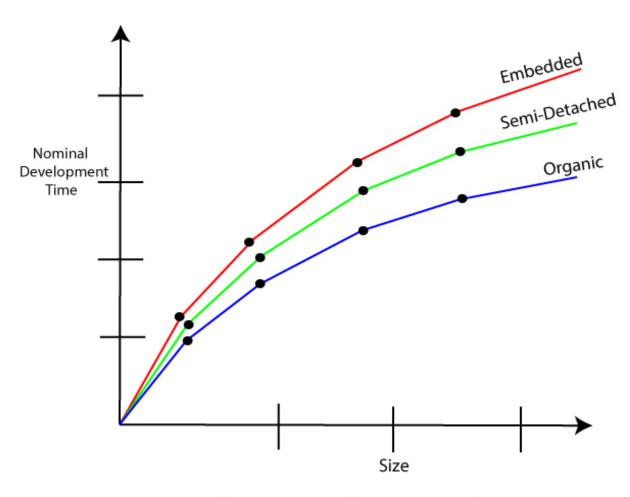
Effort required to develop a product increases very rapidly with project size.

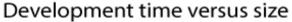


Effort versus product size



Development time is roughly the same for all three categories of products







#### **Size Oriented Metrics**

- ✓ Errors per KLOC (thousand lines of code) • Defects per KLOC
- √ \$ per KLOC
- √ Pages of documentation per KLOC
- ✓ Errors per person-month
- ✓ KLOC per person-month
- √ \$ per page of documentation

 $LOC \equiv Line of Code$ 

 $KLOC \equiv Thousands of LOC$ 

- $\checkmark$  KSLOC ≡ Thousands of Source LOC
- NCSLOC  $\equiv$  New or Changed KSLOC

Project	LOC	Effort	\$(000)	Pp. doc.	Errors	Defects	People
alpha beta gamma	12,100 27,200 20,200	24 62 43	168 440 314	365 1224 1050	134 321 256	29 86 64	3 5 6



## Early Stage Effort estimation



Method	Type	Strengths	Weaknesses
COCOMO	Algorithmic	Universal	Much
Model		Approach;	historical
		More	data is
		predictable	required;
		and	V
		accurate	
Function	Algorithmic	Language	Quite time
Point		independent	consuming;
I FP			Complex to
			use





#### Popular Estimation Methods

Linear Programming as a Baseline for Software Effort Estimation Federica Sarro, University College London Alessio Petrozziello, University of Portsmouth



Parametric Estimation

Wideband Delphi

Cocomo

SLIM (Software Lifecycle Management)

SEER-SEM

**Function Point Analysis** 

PROBE (Proxy bases estimation, SEI CMM)

Planning Game (XP) Explore-→Commit

Program Evaluation and Review Technique (PERT)



## **Estimation Techniques**

- //لا
- Task breakdown and effort estimates
- ✓ Size (e.g., Function Points) estimates
- ✓ Process based Estimation
- ✓ Estimation with Use-Cases
- ✓ Empirical Estimation Model e.g., COCOMO
- ✓ COCOMO II
- ✓ Linear Programming
- ✓ Machine Learning

Traditional techniques



# Basic CoCoMo

#### Boehm's 1981 Postulates

#### **Organic Mode:**

- ✓ Relatively simple & small projects
- ✓ Small team can handle
- ✓ Good application experience to less rigid requirements
- ✓ requires little innovation means well understood application/program

#### **Semidetached Mode:**

- ✓ Little Complex compared to organic mode projects in terms of size
- √ Team with mixed experience level is required handle.
- ✓ rigid requirements
- ✓ less rigid requirements

#### **Semidetached Mode:**

- ✓ Complex project
- ✓ Tight set of hardware
- ✓ software operational constraints





### Basic CoCoMo Development Modes

Mode	Project Size	Nature of Project	Innovation	Deadline
Organic	Typically 2-50 KLOC	Small size project, Experienced developers.	Little	Not Tight
Semi Detached	Typically 50- 300KLOC	Medium size project and team.	Medium	Medium
Embedded	Typically over 300KLOC	Large project, Real- time systems	Significant	Tight



# Project characteristics

_				
	Organic		Semi-detached	Embedded
	Project size (lines of source code)	2,000 to 50,000	50,000 to 300,000	300,000 and above
	Team Size	Small	Medium	Large
	Developer Experience	Experienced developers needed	Mix of Newbie and experienced developers	Good experience developers
	Environment	- Familiar Environment	- Less familiar environment	- Unfamiliar environment (new)
				- Coupled with complex hardware
	Innovation	Minor	Medium	Major
- [	Deadline	Not tight	Medium	Very tight
	Example(s)	Simple Inventory Management system	New Operating system	Air traffic control system



### Basic CoCoMo Input and output

Input: Programm Size

CoCoMo model (regression model)

estimated thousands of lines of code(KLoC) Effort [man month]  $E = a_b (KLoC)^{b_b}$ Development time [month]  $T = c_b (E)^{d_b}$ 

Persons required: P = E/T

**Used coefficients** 

Project	a <sub>b</sub>	b <sub>b</sub>	c <sub>b</sub>	d <sub>b</sub>
Organic	2.4	1.05	2.5	0.38
Semi-detached	3.0	1.12	2.5	0.35
Embedded	3.6	1.20	2.5	0.32



Output:

Calculation of costs using pricing per hour



## Tuesday 6th April 2021





ORGANIC

1.05

Person Months = 2.4 \* KDSI

SEMI-DETACHED

1.12

Person Months = 3.0 \* KDSI

EMBEDDED

1.20

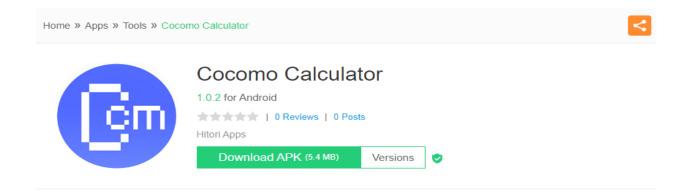
Person Months = 3.6 \* KDSI

This will normally be represented as a table, which presumes we know the basic form of the model:

Basic COCOMO	a	b
ORGANIC	2.4	1.05
SEMI-DETACHED	3.0	1.12
EMBEDDED	3.6	1.20



## CoCoMo Calculator User Interface (App)



Mike's Basic COCOMO calculator (umich.edu)

COCOMO calculation (umich.edu)



## Tuesday 6<sup>th</sup> April 2021



Fill in the table with examples of your own.

Similarity Rate ~ zero

Project Title	Type (O/D,E)	Justification



## Tuesday 6th April 2021



**Effort**(E) =  $a_b * (KLOC)_b^b$  (in Person-months)

**DevelopmentTime(D)** =  $c_b * (E) d_b (in month)$ 

Average staff size(SS) = E/D (in Person)

Productivity(P) = KLOC / E (in KLOC/Person-month)



# Intermediate CoCoMo

COCOMO II - Constructive Cost Model (softwarecost.org)

#### Intermediate CoCoMo

Intermediate CoCoMo rated project characteristics on a scale of 1 to 5

$$Effort = EAF * a * size^b$$

Each project characteristic/attribute gives an adjustment factor (from the table) and all factors are multiplied together to get total EAF.



#### **Boehm Introduced 15 Cost Drivers**

Extension of Basic COCOMO

Why Use?

Basic model lacks accuracy

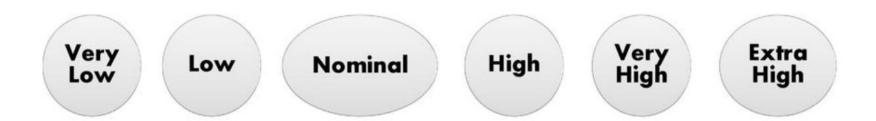
Computes software development effort as a function of program size and set of 15 Cost Drivers

**Cost Driver**: A multiplicative factor that determines the effort required to complete the software project.

#### Why Cost Drivers?

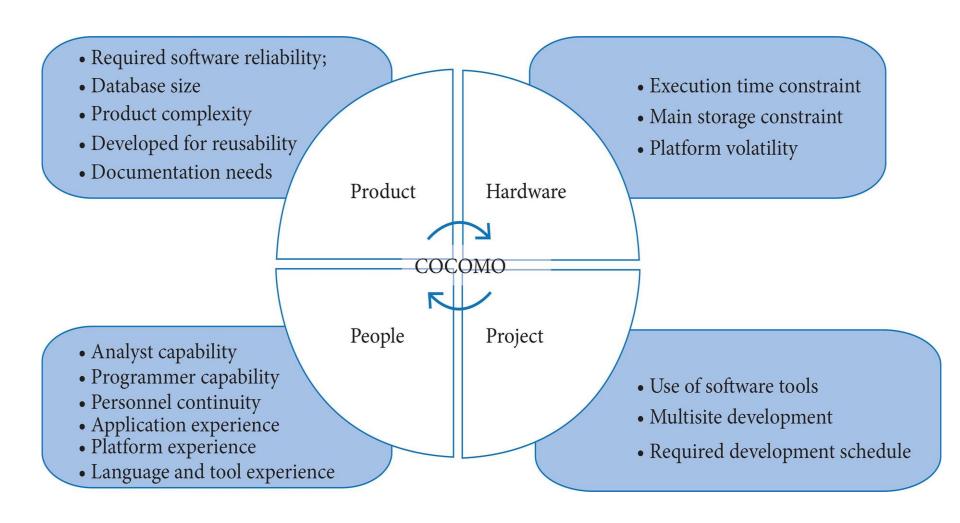
Adjust the nominal cost of a project to the actual project Environment.

For each Characteristics, Estimator decides the scale factor





## Estimator -> Project Manager





### Effort Adjustment Factor (EAF)

#### Project Characteristics Table

Cost adjustments for computing the EAF (Effort Adjustment Factor)

	v.low	low	nominal	high	v.high	ex.high
product attributes						
required software reliability	0.75	0.88	1.00	1.15	1.40	
database size product complexity	0.70	0.94 0.85	1.00	1.08 1.15	1.16 1.30	1.65
computer attributes						
execution time constraints main storage constraints virtual machine			1.00	1.11 1.06	1.30	1.66 1.56
volitility computer turnaround time	0.87	1.00 0.87	1.15	1.30 1.07	1.15	
personnel attributes						
analyst capability applications experience programmer capability virtual machine	1.46 1.29 1.42	1.19 1.13 1.17	1.00 1.00 1.00	0.86 0.91 0.86	0.71 0.82 0.70	
experience programming language	1.21	1.10	1.00	0.90		
experience	1.14	1.07	1.00	0.95		
project attributes use of modern						
programming practices use of software tools required development	1.24 1.24	1.10	1.00	0.91 0.91	0.82 0.83	
schedule	1.23	1.08	1.00	1.04	1.10	





#### Example Intermediate CoCoMo

Consider a project to develop a full screen editor. The major components identified are (1) Screen edit, (2) Command Language Interpreter, (3) File input and output, (4) Cursor movement and (5) Screen movement. The sizes for these are estimated to be 4K, 2K, 1K, 2K and 3K delivered source code lines. Use COCOMO model to determine:

- (a) Overall cost and schedule estimates (assume values for different cost drivers, with at least three of them being different from 1.0).
- (b) Cost and schedule estimates for different phases.

On Tuesday 6th April, your job is to

- (a) Identify Modules from given project
- (b) Get estimates of each module



### Solution – Step I

Size of 5 modules are:-

Screen edit = 4KLOC

Command Language Interpreter = 2KLOC

File input and output = 1KLOC

Cursor movement and = 2KLOC

Screen movement = 3KLOC

total + = 12KLOC



# Solution – Step II

PA

	Description	Very Low	Low	Nominal	High	Very High	Extra High
RELY	Required software reliability	0.75	0.88	1.00	1.15	1.40	
DATA	Database size	-	0.94	1.00	1.08	1.16	-
CPLX	Product complexity	0.70	0.85	1.00	1.15	1.30	1.65

4

	Description	Very Low	Low	Nominal	High	Very High	Extra High
TIME	Execution time constraint	-	-	1.00	1.11	1.30	1.66
STOR	Main storage constraint	-	-	1.00	1.06	1.21	1.56
VIRT	Virtual machine volatility	-	0.87	1.00	1.15	1.30	-
TURN	Computer turnaround time	-	0.87	1.00	1.07	1.15	-



# **Solution Step III**

experience

	Description	Very Low	Low	Nomina	l	High	Very High	Extra High
ACAP	Analyst capability	1.46	1.19	1.00	7	0.86	0.71	-
AEXP	Applications experience	1.29	1.13	1.00		0.91	0.82	-
PCAP	Programmer capability	1.42	1.17	1.00		0.86	0.70	-
 VEXP	Virtual machine experience	1.21	1.10	1.00		0.90	-	-
LEXP	Language	1.14	1.07	1.00		0.95	_	_

P
•

	Description	Very Low	Low	Nominal	High	Very High	Extra High
MODP	Modern programming practices	1.24	1.10	1.00	0.91	0.82	-
TOOL	Software Tools	1.24	1.10	1.00	0.91	0.83	-
SCED	Development Schedule	1.23	1.08	1.00	1.04	1.10	-



### Solution Step IV calculate EAF

Let us assume	that significant	cost drivers are
---------------	------------------	------------------

(1)	Required software	reliability	is <u>high</u> i.e.	1.15

- (2) Product complexity is high i.e. 1.15
- (3) Analyst capability is high i.e. 0.86
- (4) All other drivers are nominal i.e. 1.00

Hence



### Solution Step V Estimate E and D

E = 
$$a_i$$
 (KLOC)<sup>bi</sup> \* EAF  
=3.2(12)<sup>1.05</sup> \* 1.1373 = 49.449 PM

D = 
$$c_i (E)^{d_i}$$
  
=  $2.5(49.44)^{0.38}$  = 11.007 M



# Solution Step VI Phase Estimates

Integratio

0.16

0.22

0.25

0.28

0.31

0.34

0.18

0.26

0.26

0.29

0.28

0.30

and code size	Plan and requirem ent		Detail design	Module code and test	
Life	cycle Phase	e Value of	μ <sub>b</sub>		

0.16

0.16

0.17

0.17

0.18

0.18

0.19

0.19

0.26

0.27

0.36

0.38

Lifecycle Phase Value of ⊔b

0.26

0.24

0.25

0.24

0.25

0.24

0.24

0.21

0.21

0.19

0.18

0.16

0.42

0.38

0.33

0.31

0.26

0.24

0.39

0.34

0.27

0.25

0.18

0.16

0.06

0.06

0.07

0.07

0.08

0.08

0.10

0.12

0.20

0.22

0.36

0.40

Mode

Organic Small S≈2

Organic Medium S ≈ 32

Semidetached Medium S ≈ 32

Semidetached Large S ≈ 128

Embedded Extra Large S ≈ 320

Semidetached Medium S ≈ 32

Semidetached Large S ≈ 128

Embedded Extra Large S ≈ 320

Embedded Large S ≈ 128

Embedded Large S ≈ 128

Organic Small S≈2

Organic Medium S ≈ 32

### Phase wise Effort Estimates

Phase wise cost and schedule estimates

$$E_p = \mu_p E$$

$$D_p = \Box_p D$$

Since size is only 12 KLOC, it is an organic small model.

Phase wise effort distribution is given below:

```
System Design = 0.16 * 49.449 = 7.911
Detailed Design = 0.26 * 49.449 = 12.856
Module code and test = 0.42 * 49.449 = 20.768
Integration and test = 0.16 * 49.449 = 7.911
```



### Phase wise Time Estimates

Phase wise development time duration is:

```
System Design = 0.19 * 11.007 = 2.091
Detailed Design = 0.24 * 11.007 = 2.641
Module code and test = 0.39 * 11.007 = 4.292
Integration and test = 0.18 * 11.007 = 1.981
```



#### COCOMO II - Constructive Cost Model (softwarecost.org)

← → C ⋒ ▲ N	Not secure	softwarecost.org/tools/COC	ОМО/		
					COCOMO II - Constructive Cost Model
oftware Size Sizing Method S	Source Lines of (	Code 🗸			
SLOC % Design Modified	% Code Modified		Software Un nderstanding (0% - 50%)	familiarity (0-1)	
New					
Reused 0	0				
Modified					
Software Scale Drivers					
Precedentedness	Nominal 🕶	Architecture / Risk Resolution	Nominal 🗸	Process Maturity	Nominal 🕶
Development Flexibility	Nominal 🕶	Team Cohesion	Nominal 🗸		
Software Cost Drivers					
Product		Personnel		Platform	
Required Software Reliability	Nominal 🗸	Analyst Capability	Nominal 🗸	Time Constraint	Nominal V
Data Base Size	Nominal 🗸	Programmer Capability	Nominal 🗸	Storage Constraint	Nominal •
Product Complexity	Nominal 🕶	Personnel Continuity	Nominal 🗸	Platform Volatility	Nominal 🕶
Developed for Reusability	Nominal 🕶	Application Experience	Nominal 🗸	Project	
Documentation Match to Lifecycle Needs	Nominal 🗸	Platform Experience	Nominal 🗸	Use of Software Tools	Nominal •
		Language and Toolset Experience	Nominal 🗸	Multisite Development	Nominal V
				Required Development Schedule	
aintenance Off <b>∨</b>					
oftware Labor Rates					
cost per Person-Month (Dollars)					

Calculate

### COCOMO II Challenges

1995: one-size-fits-all model for 21st century software

1999: poor fit for schedule-optimized projects; CORADMO

2000: poor fit for COTS-intensive projects: COCOTS

2003: need model for product line investment: COPLIMO

2003: poor fit for agile projects: Agile COCOMO II (partial)

2012: poor fit for incremental development: COINCOMO



# **Functional Point**

Basic COCOMO Model (umich.edu)

#### **Functional Point Formula**

Functions points are obtained by multiplying Unadjusted Functional Points by Value Adjustment Factors

$$FP = UFP * VAF$$

*UFP* = Unadjusted Functional Points

VAF = Value Adjustment Factor



### VAF = Value Adjustment Factor

General System Characteristics	WEIGHT
01. Data Communications	5
02. Distributed Data Processing	4
03. Performance	3
04. Heavily Used Configuration	2 4
05. Transaction Rate	3
06. On-line Data Entry	5
07. End-User Efficiency	4
08. On-line Update	5
09. Complex Processing	2
10. Reusability	3
11. Installation Ease	1
12. Operational Ease	3
13. Multiple Sites	2 /
14. Facilitate Change	5
Total Degrees of Influence (TDI):  Value Adjustment Factor (VAF):	1.12 ←

Evaluate each of the 14 GSCs on a scale from 0 -5 to determine the degree of influence (DI).

Score	System Influence
0	Not Present or no influence
1	Incidental influence
2	Moderate influence
3	Average influence
4	Significant influence
5	Strong influence throughout

Calculate the degrees of influence to produce total degree of influence (TDI).

Formula: VAF=(TDI\*0.01)+0.65

Insert the TDI into the formula to produce the VAF



### Complexity Table Gives UFP

Information	Weighting factor							
Domain Value	Count		Simple Average		Complex			
External Inputs (Els)		×	3	4	6	=		
External Outputs (EOs)		×	4	5	7	=		
External Inquiries (EQs)		×	3	4	6	=		
Internal Logical Files (ILFs)		×	7	10	15	=		
External Interface Files (EIFs)		×	5	7	10	=		
Count total						<b>-</b> [		



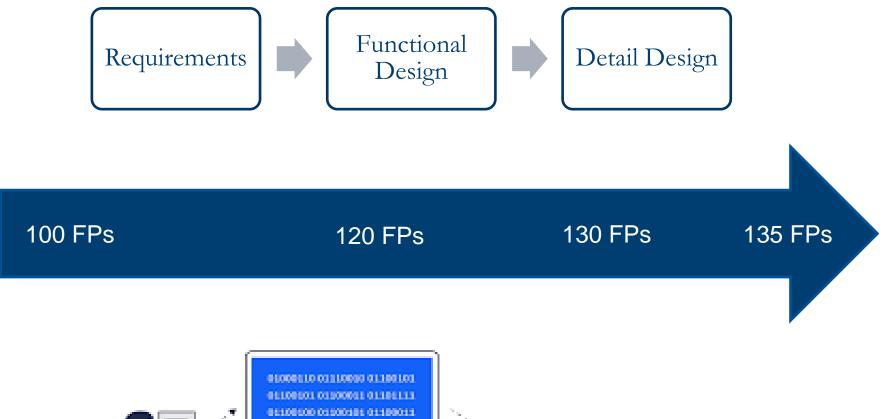
### Final Computation of FP

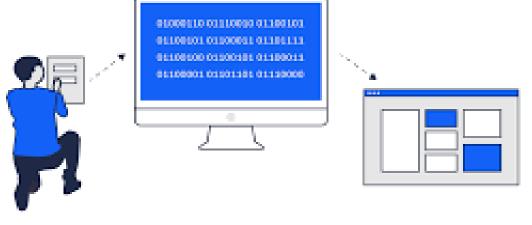
Information	Weighting factor						
Domain Value	Count		Simple	Average	Comple	X	
External Inputs (Els)	3	×	3	4	6	=	9
External Outputs (EOs)	2	×	4	5	7	=	8
External Inquiries (EQs)	2	×	3	4	6	=	6
Internal Logical Files (ILFs)	1	×	7	10	15	=	7
External Interface Files (EIFs)	4	×	(5)	7	10	=	20
Count total						-[	50

The count total shown in Figure above must be adjusted using Equation above. For the purposes of this example, we assume that  $\Sigma(Fi)$  is 46 (a moderately complex product). Therefore,



## FP increases with requirement change







### Impact of changed FP



Source: International Function Point User Group 2001



# Past FP Analysis

Oracle

MapQuest

Microsoft Project

Twitter (Original 2009)

Mozilla Firefox

Java Compiler

Wikipedia

Google Android OS (Original Version

1

11

12

13

14

15

16

17

2	Windows 7 (all features)	202,150		
3	Windows XP	66,238		
4	Google Docs	47,668		
5	Microsoft Office 2003	33,736		
6	F15 Avionics / Weapons	23,109		
7	Apple iPhone	19,366		
8	Google Search Engine	18,640		
9	Linux	17,505		
10	Facebook	8,404		

229,434

3,793

1,963

1,858

1,342

1,185

1,142

541

# Past FP Analysis

Applications	Approximate Size in Function Points
Star Wars Missile Defense	350,000
ERP (SAP, Oracle etc.,)	300,000
Microsoft Windows Vista	159,000
Microsoft Office 2007	98,000
Airline Reservation System	50,000
NASA Space shuttle	25,000





Department of Compute Science (UBIT Building), Karachi, Pakistan.

1200 Acres (5.2 Km sq.)

53 Departments

19 Institutes

25000 Students

