



# Chapter 5

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## **Large and Fast: Exploiting Memory Hierarchy**

# Principle of Locality

- Programs access a small proportion of their address space at any time



- Items accessed recently are likely to be accessed again soon
- e.g., instructions in a loop, induction variables

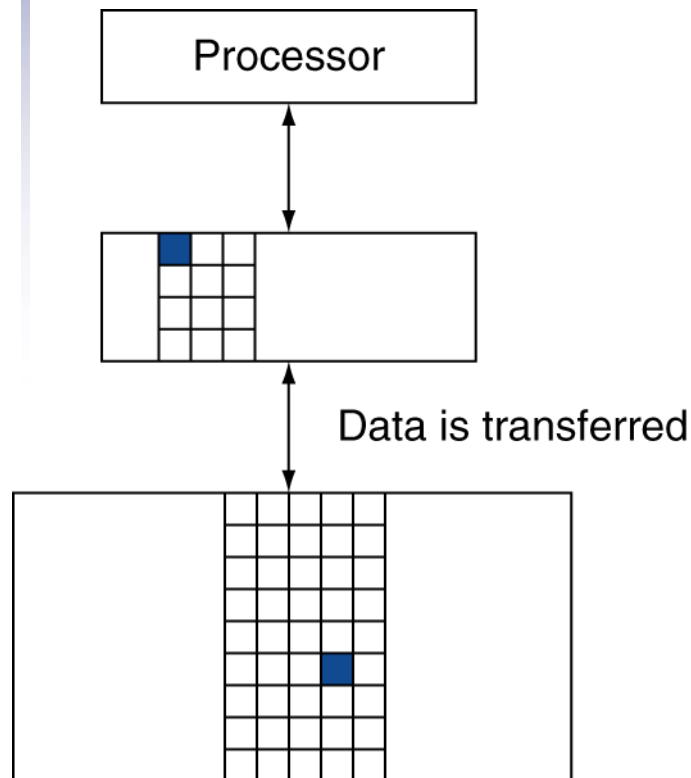




- Items near those accessed recently are likely to be accessed soon
- E.g., sequential instruction access, array data

# Taking Advantage of Locality

- Memory hierarchy
- Store everything on
- Copy recently accessed (and nearby) items from disk to smaller DRAM memory
  -
- Copy more recently accessed (and nearby) items from DRAM to smaller SRAM memory
  - attached to CPU

# Memory Hierarchy Levels



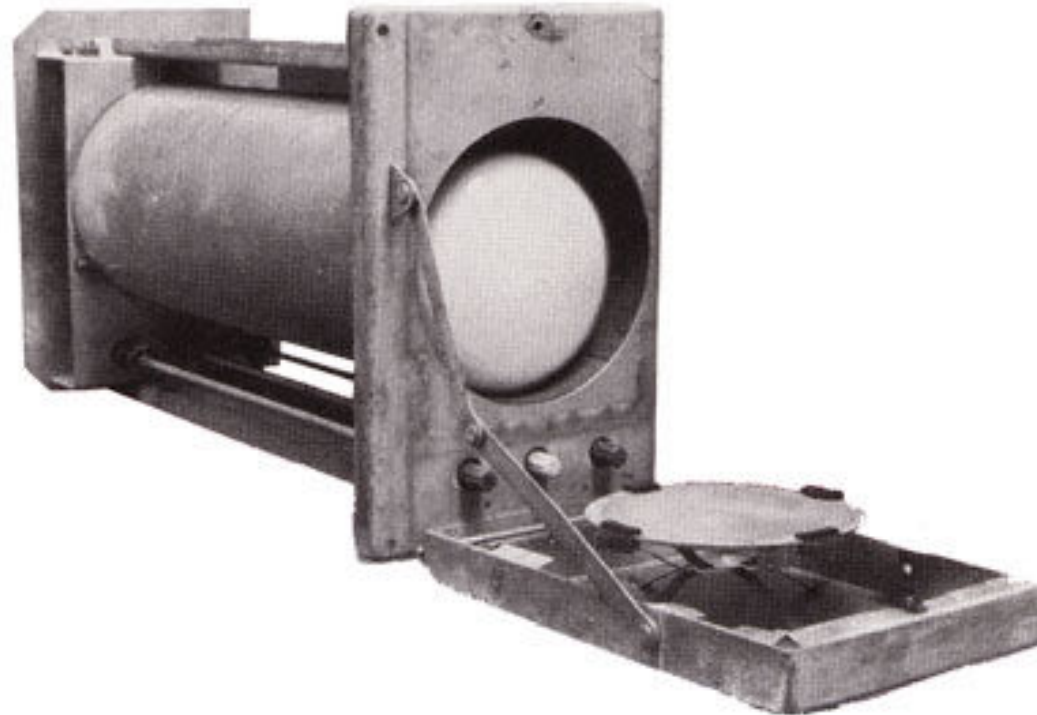
- Block (aka line): unit of copying
  - May be multiple words
- If accessed data is present in upper level
  -  access satisfied by upper level
    - Hit ratio: hits/accesses
- If accessed data is absent
  -  block copied from lower level
    - Time taken: miss penalty
    - Miss ratio: misses/accesses  
 $= 1 - \text{hit ratio}$
  - Then accessed data supplied from upper level

# Memory Technology

- Static RAM (SRAM)
  - 0.5ns – 2.5ns, \$2000 – \$5000 per GB
- Dynamic RAM (DRAM)
  - 50ns – 70ns, \$20 – \$75 per GB
- Magnetic disk
  - 5ms – 20ms, \$0.20 – \$2 per GB
- Ideal memory
  - Access time of SRAM
  - Capacity and cost/GB of disk

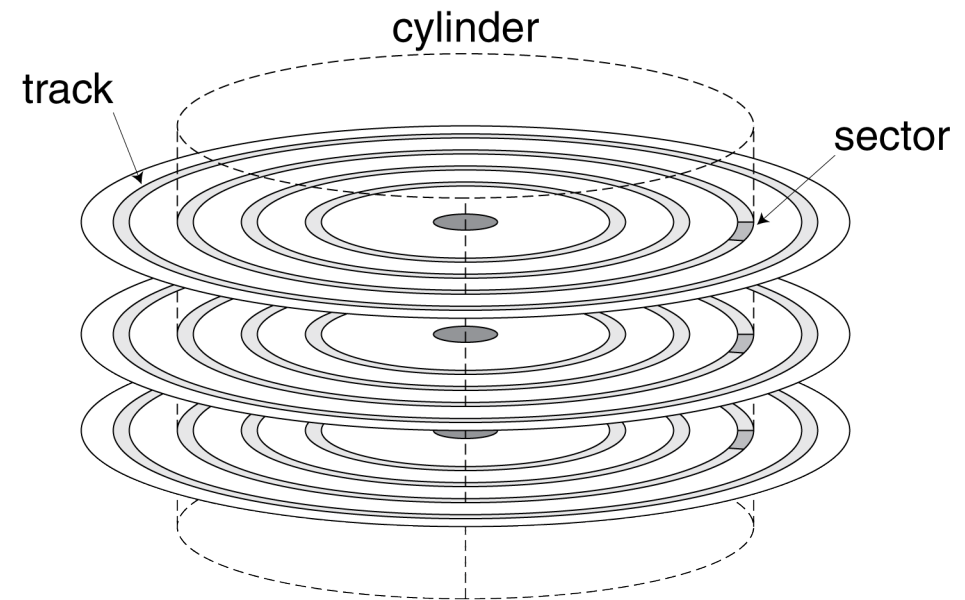
# Memory Technology

- IBM 701 adopted 72 3-inch **Williams-Kilburn tubes** to realize 2048 36-bit words.



# Disk Storage

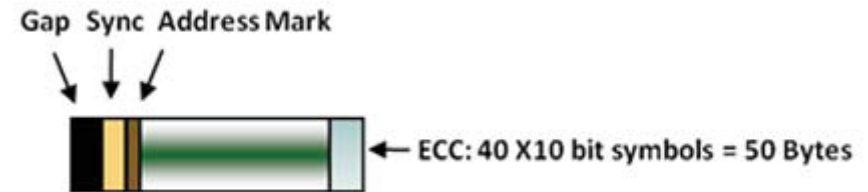
- Non-volatile, rotating magnetic storage



# Disk Sectors and Access

- Each sector records

- Sector ID
- Data (512 bytes, 4096 bytes proposed)
- Error correcting code (ECC)
  - Used to hide defects and recording errors
- Synchronization fields and gaps



- Access to a sector involves

- Queuing delay if other accesses are pending
- Seek: move the heads
- Rotational latency
- Data transfer
- Controller overhead



# Disk Access Example

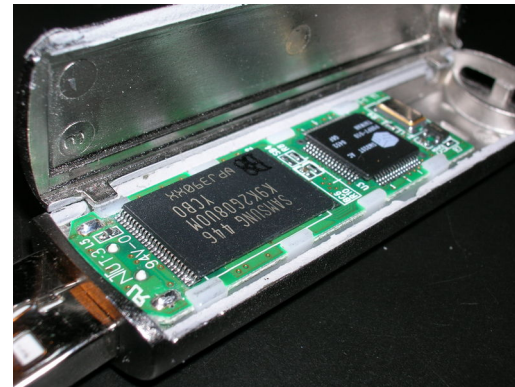
- Given
  - 512B sector, 15,000rpm, 4ms average seek time, 100MB/s transfer rate, 0.2ms controller overhead, idle disk
- Average read time
  - 4ms seek time
    - +  $\frac{1}{2} / (15,000/60) = 2\text{ms}$  rotational latency
    - +  $512 / 100\text{MB/s} = 0.005\text{ms}$  transfer time
    - + 0.2ms controller delay
    - = 6.2ms
- If actual average seek time is 1ms
  - Average read time = 3.2ms

# Disk Performance Issues

- Manufacturers quote average seek time
  - Based on all possible seeks
  - Locality and OS scheduling lead to smaller actual average seek times
- Smart disk controller allocates physical sectors on disk
  - Present logical sector interface to host
  - SCSI (Small Computer Systems Interface), ATA (Advanced Technology Attachment), SATA (Serial ATA)
- Disk drives include caches (i.e., buffers)
  - Prefetch sectors in anticipation of access
  - Avoid seek and rotational delay

# Flash Storage

- Non-volatile semiconductor storage
  - 100× – 1000× faster than disk
  - Smaller, lower power, more robust
  - But more \$/GB (between disk and DRAM)



# Flash Types

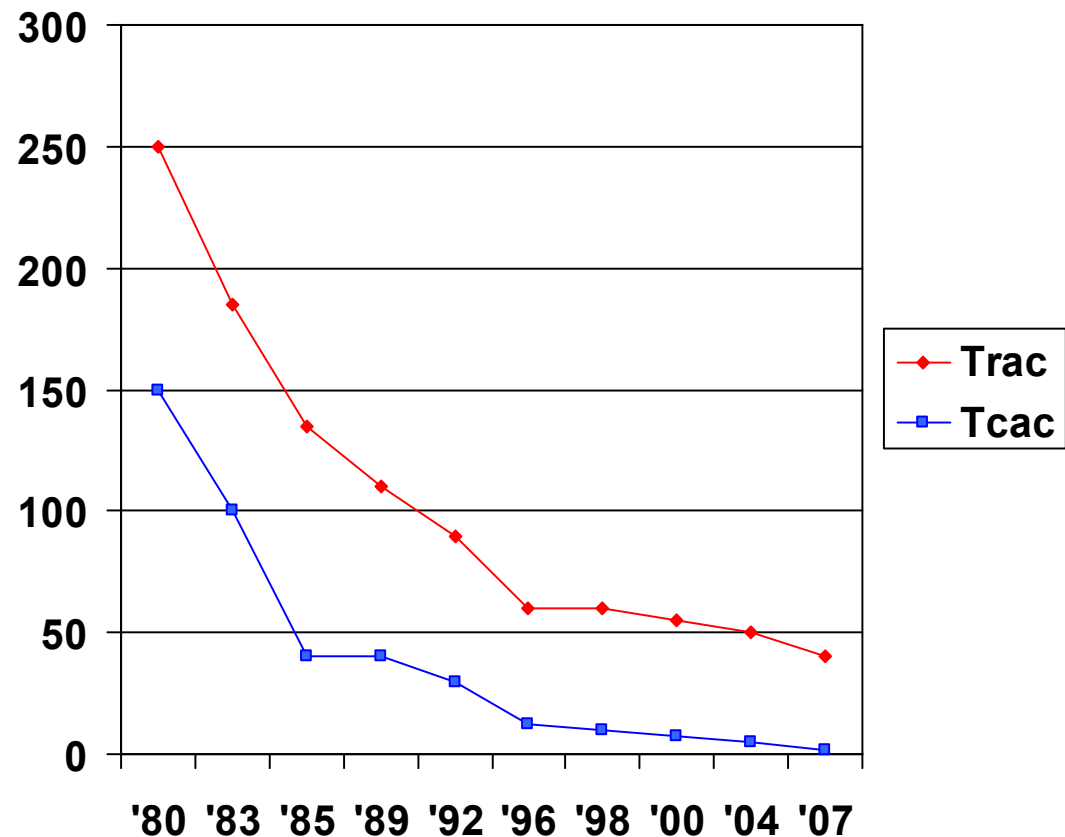
- NOR flash: bit cell like a NOR gate
  - Random read/write access
  - Used for instruction memory in embedded systems
- NAND flash: bit cell like a NAND gate
  - Denser (bits/area), but block-at-a-time (seq.) access
  - Cheaper per GB
  - Used for USB keys, media storage, ...
- Flash bits wears out after 10000 ~ 100000 writes
  - Not suitable for direct RAM or disk replacement
  - Wear leveling: remap data to less used blocks

# DRAM Organization

- Bits in a DRAM are organized as a rectangular array
  - DRAM accesses an entire row
  - Burst mode: supply successive words from a row with reduced latency
- Double data rate (DDR) DRAM
  - Transfer on rising and falling clock edges
- Quad data rate (QDR) DRAM
  - Separate DDR inputs and outputs

# DRAM Generations

Year	Capacity	\$/GB
1980	64Kbit	\$1500000
1983	256Kbit	\$500000
1985	1Mbit	\$200000
1989	4Mbit	\$50000
1992	16Mbit	\$15000
1996	64Mbit	\$10000
1998	128Mbit	\$4000
2000	256Mbit	\$1000
2004	512Mbit	\$250
2007	1Gbit	\$50



# Cache Memory

- Cache memory
  - The level of the memory hierarchy closest to the CPU
- Given accesses  $X_1, \dots, X_{n-1}, X_n$

$X_4$
$X_1$
$X_{n-2}$
$X_{n-1}$
$X_2$
$X_3$

a. Before the reference to  $X_n$ 

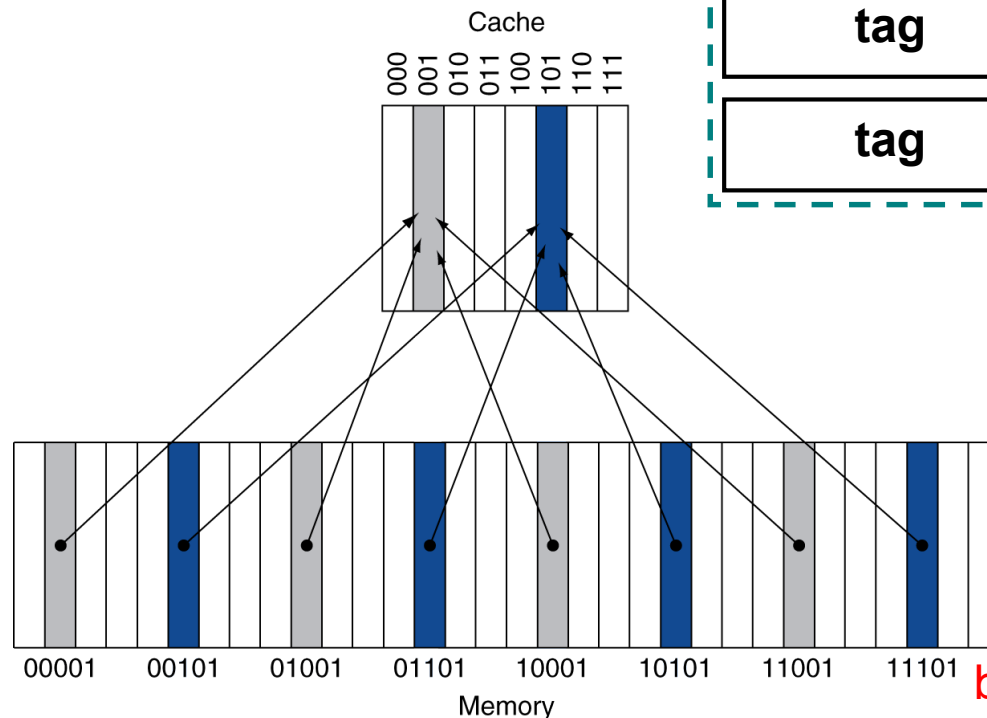
$X_4$
$X_1$
$X_{n-2}$
$X_{n-1}$
$X_2$
$X_n$
$X_3$

b. After the reference to  $X_n$ 

- How do we know if the data is present?
- Where do we look?

# Direct Mapped Cache

- Location determined by address
- Direct mapped: only one choice
  - (Block address) modulo (#Blocks in cache)
  - $N$ -way associative:  $N$  choices



tag	index	offset	
tag	index	W.O.	B.O.

- #Blocks is a power of 2
- Use low-order address bits

block address: address to a block



# Tags and Valid Bits

- How do we know which particular block is stored in a cache location?
  - Store block address as well as the data
  - Actually, only need the high-order bits
  - Called the tag
- What if there is no data in a location?
  - Valid bit: 1 = present, 0 = not present
  - Initially 0

# Cache Example

- 8-blocks, 1 word/block, direct mapped
- Initial state

Index	V	Tag	Data
000	N		
001	N		
010	N		
011	N		
100	N		
101	N		
110	N		
111	N		

# Cache Example

Word addr	Binary addr	Hit/miss	Cache block
22	10 110	Miss	110

Index	V	Tag	Data
000	N		
001	N		
010	N		
011	N		
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



# Cache Example

Word addr	Binary addr	Hit/miss	Cache block
26	11 010	Miss	010

Index	V	Tag	Data
000	N		
001	N		
010	Y	11	Mem[11010]
011	N		
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



# Cache Example

Word addr	Binary addr	Hit/miss	Cache block
22	10 110	Hit	110
26	11 010	Hit	010

Index	V	Tag	Data
000	N		
001	N		
010	Y	11	Mem[11010]
011	N		
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



# Cache Example

Word addr	Binary addr	Hit/miss	Cache block
16	10 000	Miss	000
3	00 011	Miss	011
16	10 000	Hit	000

Index	V	Tag	Data
000	Y	10	Mem[10000]
001	N		
010	Y	11	Mem[11010]
011	Y	00	Mem[00011]
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		

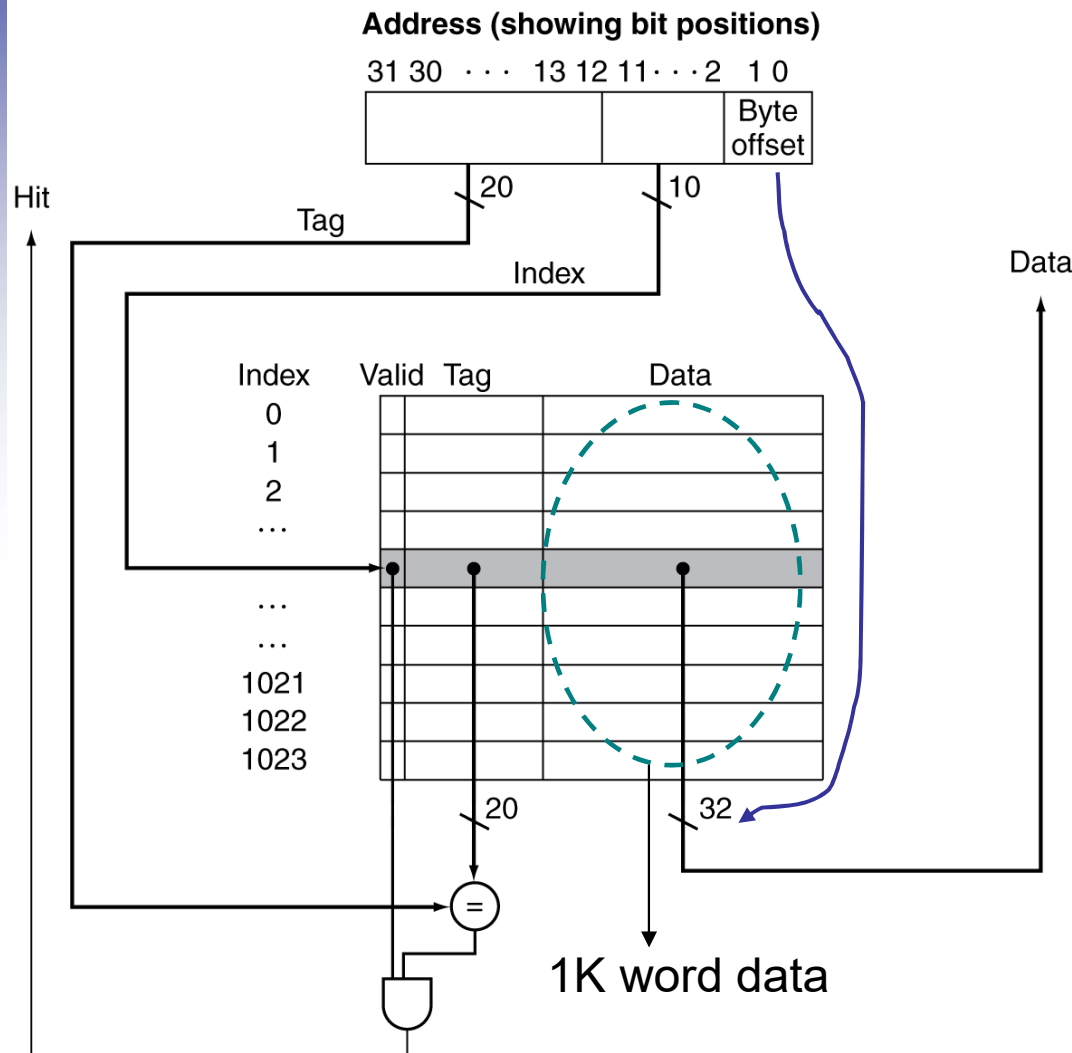
# Cache Example

Word addr	Binary addr	Hit/miss	Cache block
18	10 010	Miss	010

Index	V	Tag	Data
000	Y	10	Mem[10000]
001	N		
<b>010</b>	<b>Y</b>	<b>10</b>	<b>Mem[10010]</b>
011	Y	00	Mem[00011]
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



# Address Subdivision



- 32-bit byte address, a direct-mapped cache, cache size  $2^n$  blocks, block data size  $2^m$  words ( $2^{m+2}$  bytes)

- Tag size:  $32 - (n+m+2)$
- Total number of bits:

$$2^n \times (2^m \times 32 + (32 - n - m - 2) + 1) =$$

$$2^n \times (2^m \times 32 + 31 - n - m)$$

- 16KB data, 4-word blocks, assume a 32-bit address

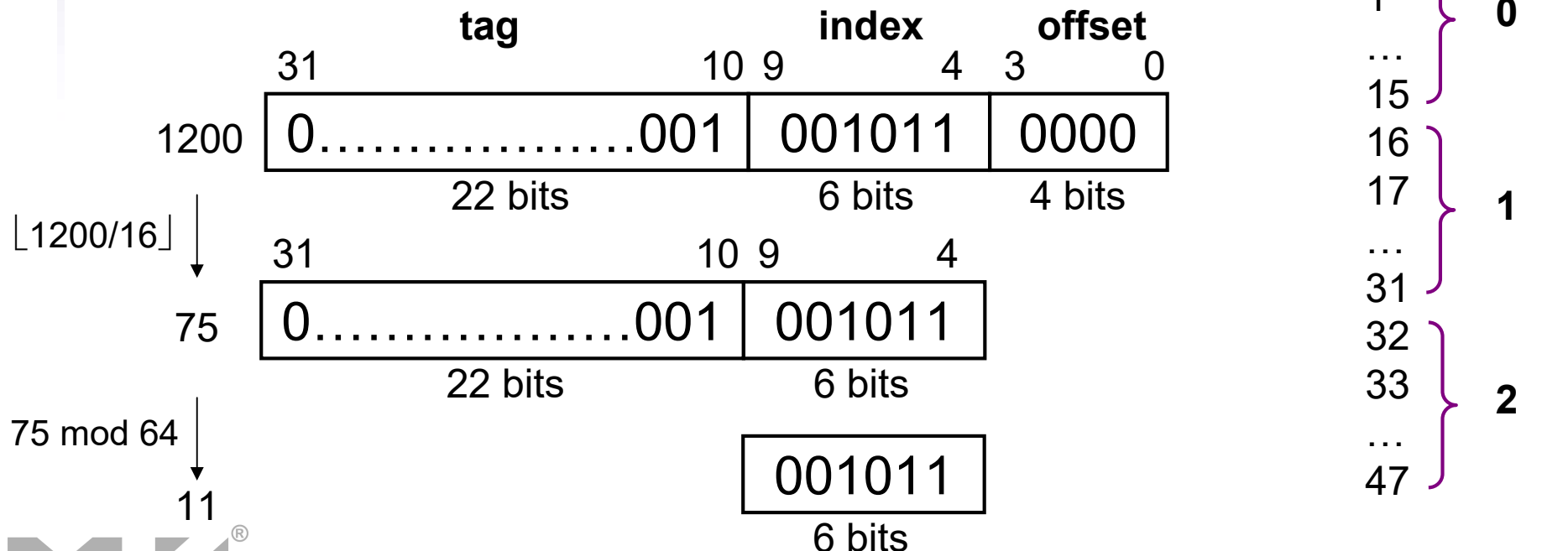
$$2^{10} \times (4 \times 32 + (32 - 10 - 2 - 2) + 1) =$$

$$2^{10} \times 147 = 147 \text{ Kbits}$$



# Example: Larger Block Size

- 64 blocks, 16 bytes/block (i.e., 4 words/block)
  - To what block number does address 1200 map?
- Block address =  $\lfloor 1200/16 \rfloor = 75$
- Block number =  $75 \text{ modulo } 64 = 11$

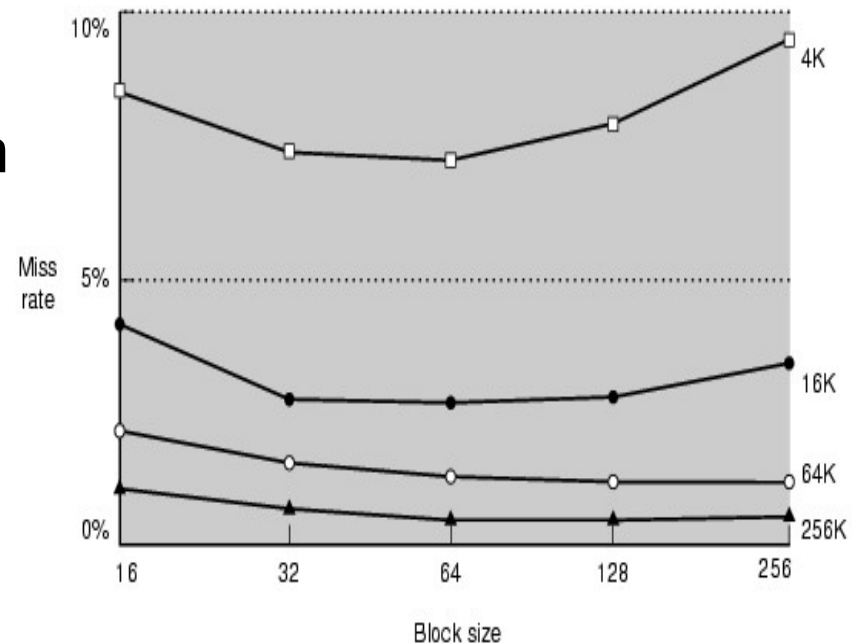


# Block Size Considerations

- Larger blocks should reduce miss rate
  - Due to spatial locality
- But in a fixed-sized cache
  - Larger blocks  $\Rightarrow$  fewer of them
    - More competition  $\Rightarrow$  increased miss rate
  - Larger blocks  $\Rightarrow$  pollution
- Larger 
  - Can override benefit of reduced miss rate

# Cache Misses

- On cache hit, CPU proceeds normally
- On cache miss
  - Stall the CPU pipeline
  - Fetch block from next level of hierarchy
  - Instruction cache miss
    - Restart instruction fetch
  - Data cache miss
    - Complete data access



# Write-Through

- On data-write hit, could just update the block in cache
  - But then cache and memory would be inconsistent
- Write through: also update memory
- But makes writes take longer
  - e.g., if base CPI = 1, 10% of instructions are stores, write to memory takes 100 cycles
    - Effective CPI =  $1 + 0.1 \times 100 = 11$
- Solution: write buffer
  - Holds data waiting to be written to memory
  - CPU continues immediately
    - Only stalls on write if write buffer is already full

# Write-Back

- Alternative: On data-write hit, just update the block in cache
  - Keep track of whether each block is dirty
- When a dirty block is replaced
  - Write it back to memory

# Write Allocation

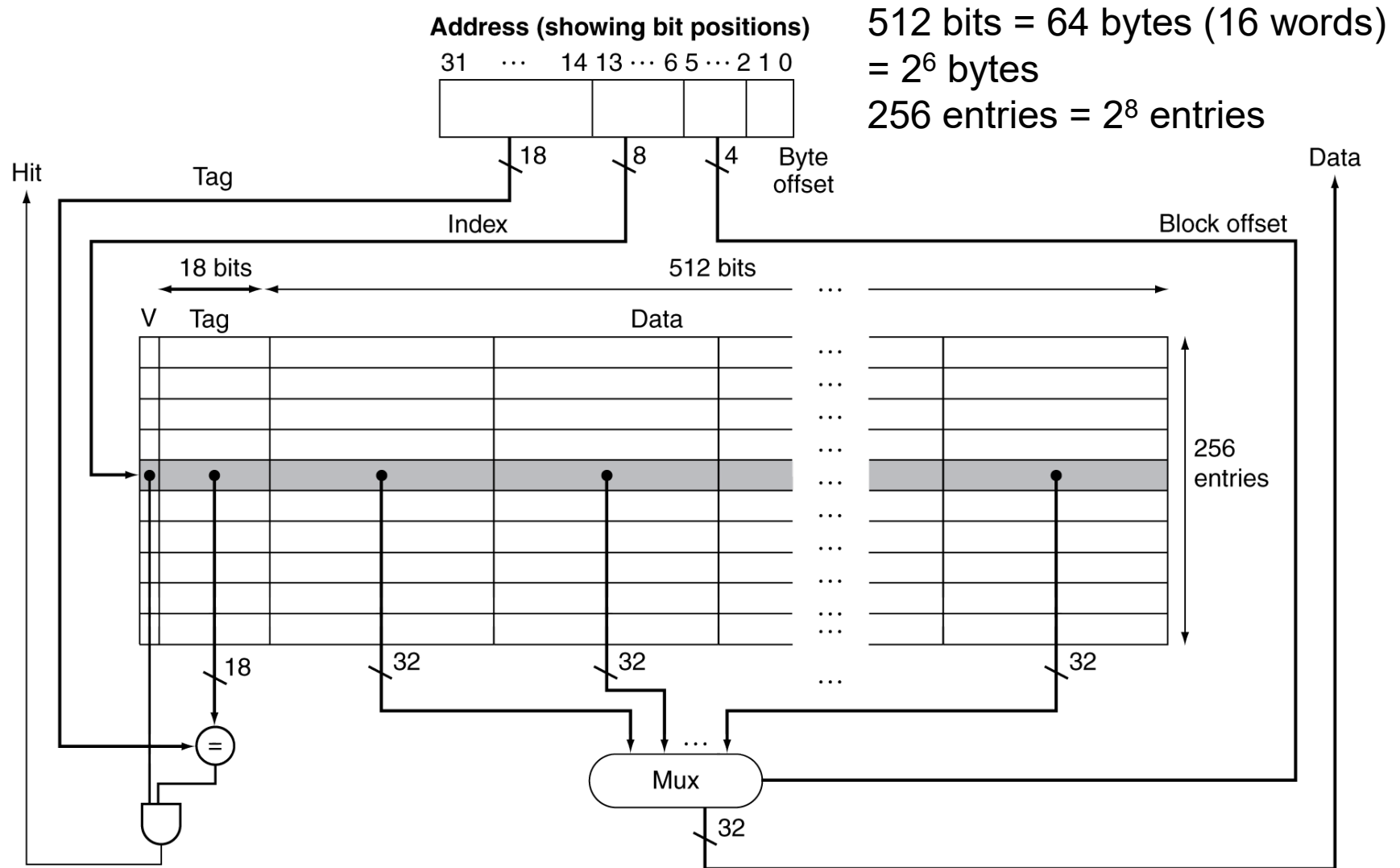
- What should happen on a write miss?
- For write-through
  - Write around: don't fetch the block and write directly into memory (*write no-allocate*)
  - Allocate on miss: fetch the block into cache (*write allocate*)

# Example: Intrinsicity FastMATH

- Embedded MIPS processor
  - 12-stage pipeline
  - Instruction and data access on each cycle
- Split cache: separate I-cache and D-cache
  - Each 16KB:  $256 \text{ blocks} \times 16 \text{ words/block}$
  - D-cache: write-through or write-back
- SPEC2000 miss rates
  - I-cache: 0.4%
  - D-cache: 11.4%
  - Weighted average: 3.2%



# Example: Intrinsity FastMATH

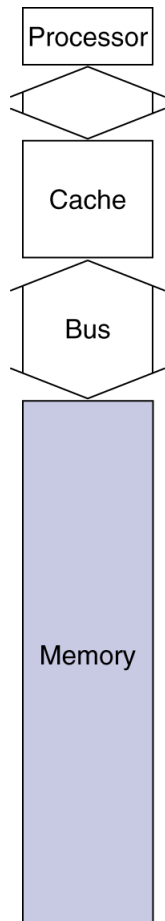




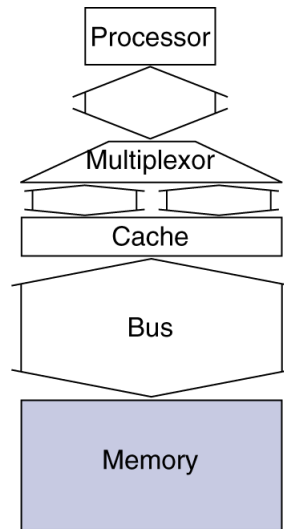
# Main Memory Supporting Caches

- Use DRAMs for main memory
  - Fixed width (e.g., 1 word)
  - Connected by fixed-width clocked bus
    - Bus clock is typically slower than CPU clock
- Example cache block read
  - 1 bus cycle for address transfer
  - 15 bus cycles per DRAM access
  - 1 bus cycle per data transfer
- For 4-word cache block, 1-word-wide DRAM
  - Miss penalty =  $1 + 4 \times 15 + 4 \times 1 = 65$  bus cycles
  - Bandwidth =  $16 \text{ bytes} / 65 \text{ cycles} = 0.25 \text{ B/cycle}$

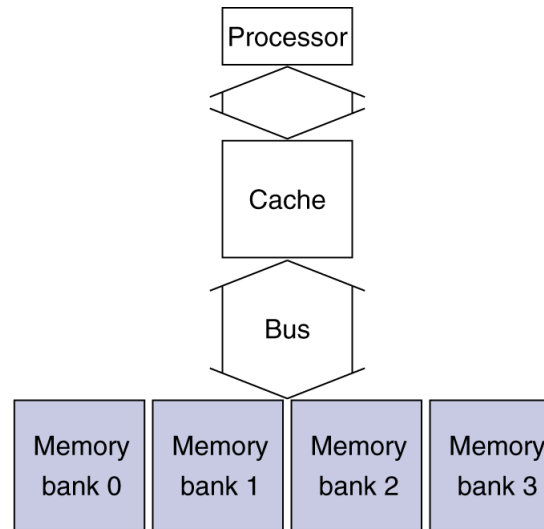
# Increasing Memory Bandwidth



a. One-word-wide memory organization



b. Wider memory organization



c. Interleaved memory organization

- 4-word wide memory (DRAM)

- Miss penalty =

- Bandwidth =

- 4-bank interleaved memory (DRAM)

- Miss penalty =

- Bandwidth =

# Measuring Cache Performance

- Components of CPU time
  - Program execution cycles
    - Includes cache hit time
  - Memory stall cycles
    - Mainly from cache misses
- With simplifying assumptions
  - In terms of cycle count

Memory stall cycles

$$= \frac{\text{Memory accesses}}{\text{Program}} \times \text{Miss rate} \times \text{Miss penalty}$$

$$= \frac{\text{Instructions}}{\text{Program}} \times \frac{\text{Misses}}{\text{Instruction}} \times \text{Miss penalty}$$



# Cache Performance Example

## ■ Given

- I-cache miss rate = 2%
- D-cache miss rate = 4%
- Miss penalty = 100 cycles
- Base CPI (ideal cache) = 2
- Load & stores are 36% of instructions

## ■ Miss cycles per instruction

■ I-cache:

■ D-cache:

## ■ Actual CPI =

■ Ideal CPU is

times faster

# Average Access Time

- Hit time is also important for performance
- Average memory access time (AMAT)
  - $AMAT = \text{Hit time} + \text{Miss rate} \times \text{Miss penalty}$ 
    - In terms of time
  - Hit time: cache/hardware design, etc.
  - Miss rate: block size, etc.
  - Miss penalty: memory organization, etc.
- Example
  - CPU with 1ns clock, hit time = 1 cycle, miss penalty = 20 cycles, cache miss rate = 5%
  - $AMAT = 1 + 0.05 \times 20 = 2 \text{ ns}$ 
    - AMAT per instruction = 2 cycles

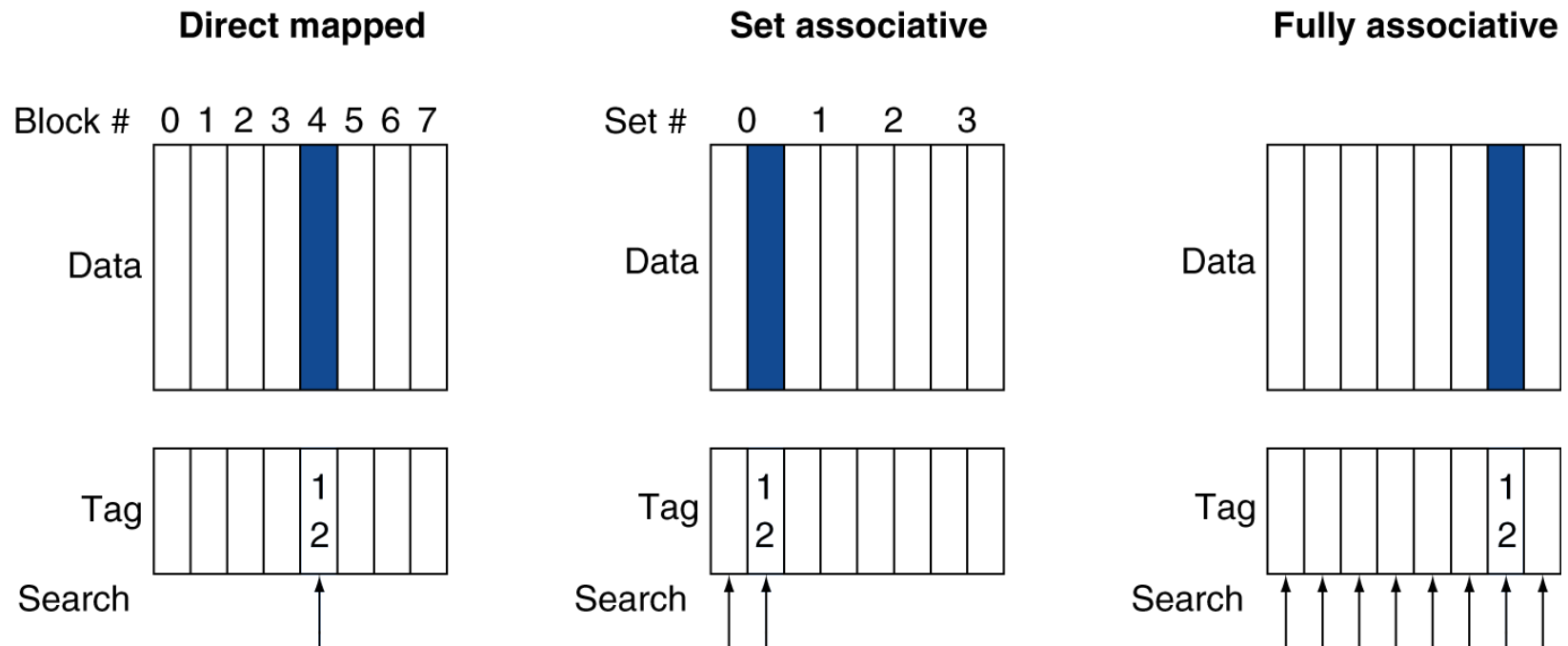
# Performance Summary

- When CPU performance increased
  - Miss penalty becomes more significant
  - Performance is a function of **CPI** and **clock rate**
- Decreasing **base CPI**
  - Greater proportion of time spent on memory stalls
- Increasing **clock rate**
  - Memory stalls account for more CPU cycles
- Can't neglect cache behavior when evaluating system performance

# Associative Caches

- Fully associative
  - Allow a given block to go in any cache entry
  - Requires all entries to be searched at once
  - Comparator per entry (expensive)
- $n$ -way set associative
  - Each set contains  $n$  entries
  - Block number determines which set
    - (Block number) modulo (#Sets in cache)
  - Search all entries in a given set at once
  - $n$  comparators (less expensive)

# Associative Cache Example





# Spectrum of Associativity

- For a cache with 8 entries

**One-way set associative  
(direct mapped)**

Block	Tag	Data
0		
1		
2		
3		
4		
5		
6		
7		

**Two-way set associative**

Set	Tag	Data	Tag	Data
0				
1				
2				
3				

**Four-way set associative**

Set	Tag	Data	Tag	Data	Tag	Data	Tag	Data
0								
1								

**Eight-way set associative (fully associative)**

Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data

# Associativity Example

- Compare 4-block caches
  - Direct mapped, 2-way set associative, fully associative
  - Increasing associativity shrinks index, expands tag
  - Block access sequence: 0, 8, 0, 6, 8
- Direct mapped

Block address	Cache index	Hit/miss	Cache content after access			
			0	1	2	3
0 (0000)	0 (00)	miss	Mem[0]			
8 (1000)	0 (00)	miss	Mem[8]			
0 (0000)	0 (00)	miss	Mem[0]			
6 (0110)	2 (10)	miss	Mem[0]		Mem[6]	
8 (1000)	0 (00)	miss	Mem[8]		Mem[6]	

# Associativity Example

## ■ 2-way set associative

Block address	Cache index	Hit/miss	Cache content after access			
			Set 0		Set 1	
0 (0000)	0 (0)	miss	Mem[0]			
8 (1000)	0 (0)	miss	Mem[0]	Mem[8]		
0 (0000)	0 (0)	hit	Mem[0]	Mem[8]		
6 (0110)	0 (0)	miss	Mem[0]	Mem[6]		
8 (1000)	0 (0)	miss	Mem[8]	Mem[6]		

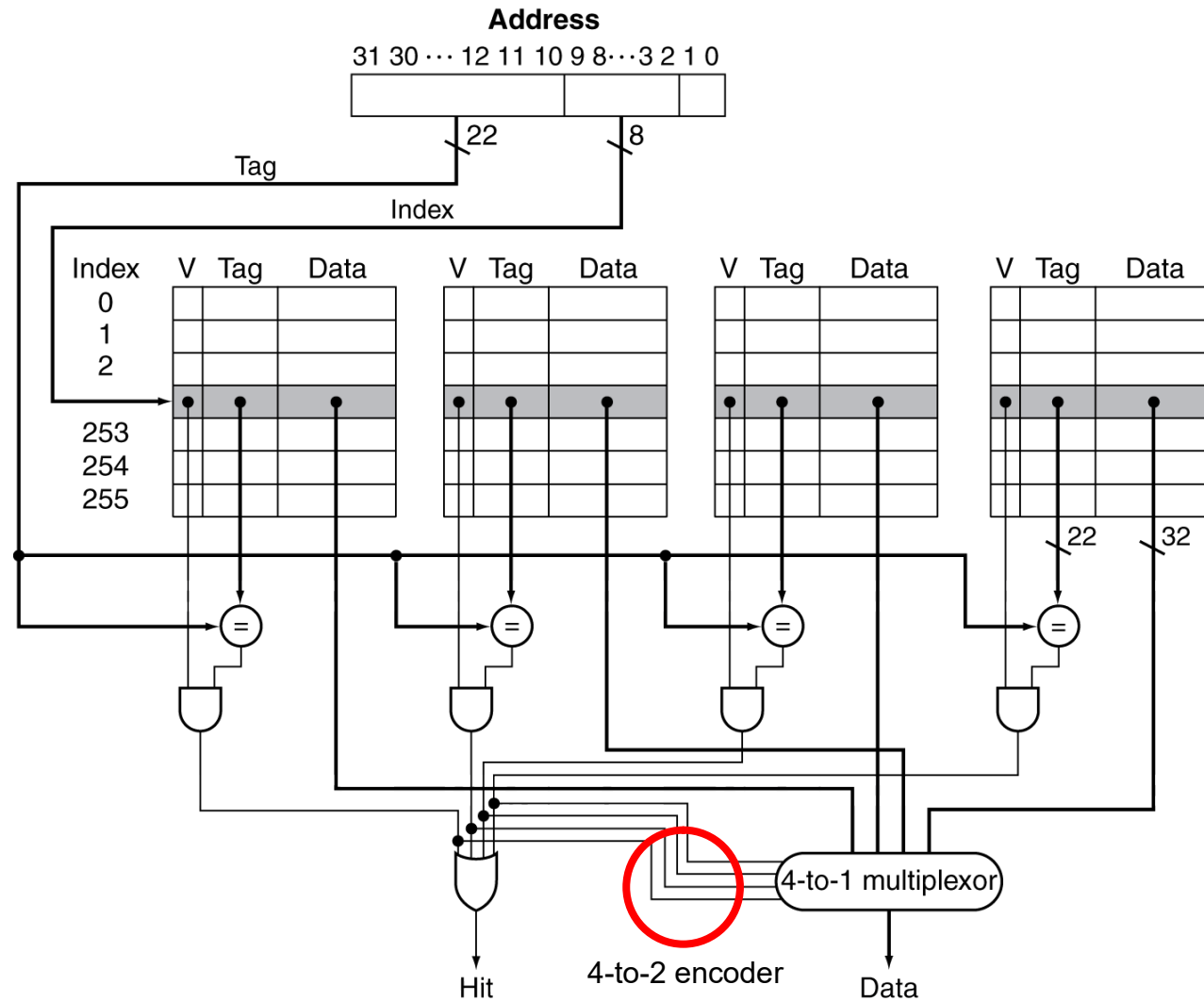
## ■ Fully associative

Block address		Hit/miss	Cache content after access			
0 (0000)		miss	Mem[0]			
8 (1000)		miss	Mem[0]	Mem[8]		
0 (0000)		hit	Mem[0]	Mem[8]		
6 (0110)		miss	Mem[0]	Mem[8]	Mem[6]	
8 (1000)		hit	Mem[0]	Mem[8]	Mem[6]	

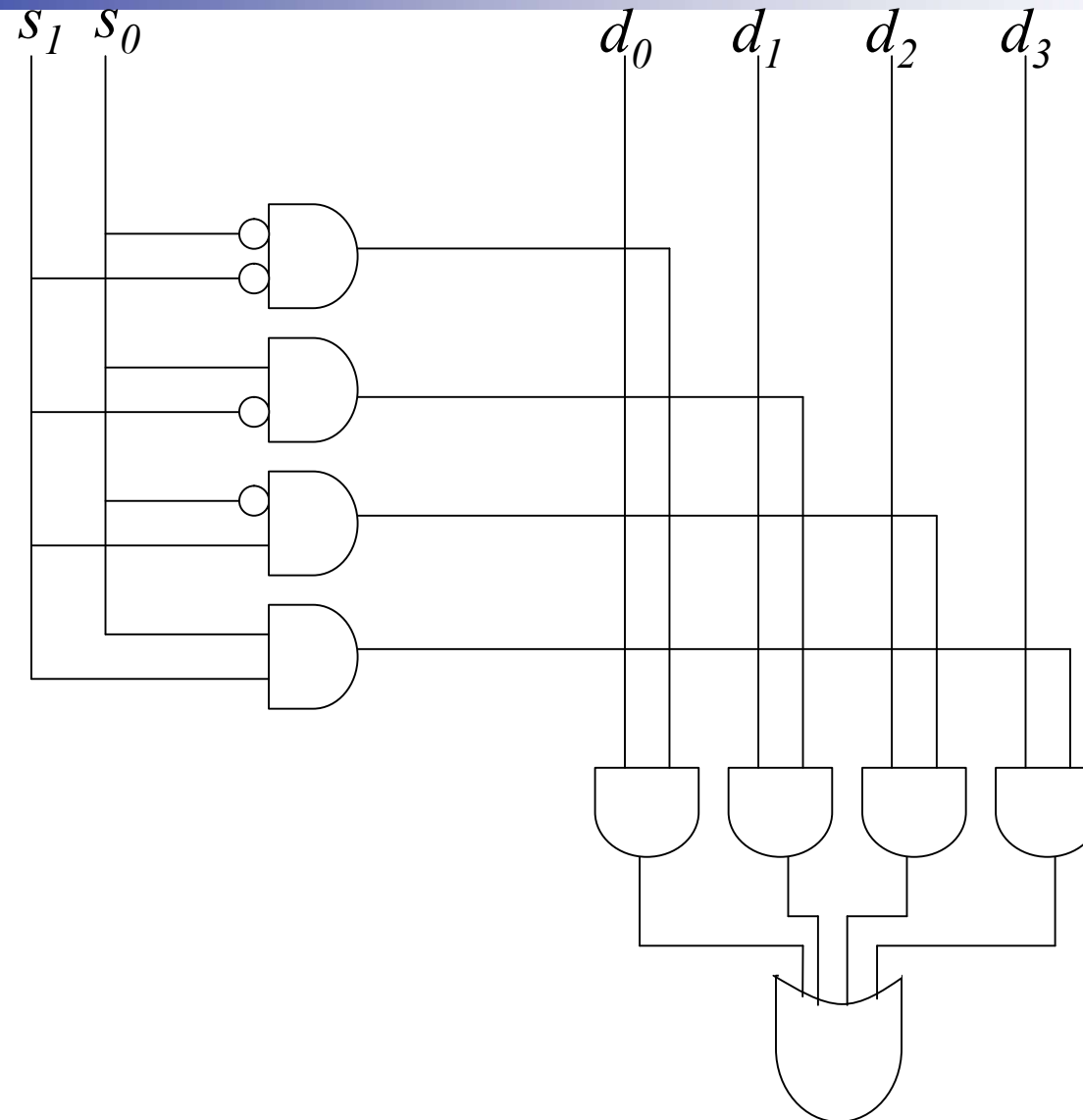
# How Much Associativity

- Increased associativity decreases miss rate
  - But with diminishing returns
  - Extra search needed
- Simulation of a system with 64KB D-cache, 16-word blocks, SPEC2000
  - 1-way: 10.3%
  - 2-way: 8.6%
  - 4-way: 8.3%
  - 8-way: 8.1%



# Set Associative Cache Organization



# 4-to-1 Multiplexer



# Replacement Policy

- Direct mapped: no choice
- Set associative
  - Prefer non-valid entry, if there is one
  - Otherwise, choose among entries in the set
- 
  - Choose the one unused for the longest time
    - Simple for 2-way, manageable for 4-way, too hard beyond that
- 
  - Gives approximately the same performance as LRU for high associativity

# Multilevel Caches

- Primary cache attached to CPU
  - Small, but fast
- Level-2 cache services misses from primary cache
  - Larger, slower, but still faster than main memory
- Main memory services L-2 cache misses
- Some high-end systems include L-3 cache



# Multilevel Cache Example

- Given

- CPU base CPI = 1, clock rate = 4GHz
- Miss rate/instruction = 2%
- Main memory access time = 100ns

- With just primary cache

- Clock cycle =  $1/4\text{GHz} = 0.25\text{ns}$
- Miss penalty =  $100\text{ns}/0.25\text{ns} = 400$  cycles
- Effective CPI =  $1 + 0.02 \times 400 = 9$

## Example (cont.)

- Now add L-2 cache
  - Access time = 5ns
  - Global miss rate to main memory = 0.5%
- Primary miss with L-2 hit
  - Penalty =  $5\text{ns}/0.25\text{ns} = 20$  cycles
- Primary miss with L-2 miss
  - Extra penalty = 400 cycles
- $\text{CPI} = 1 + 0.02 \times 20 + 0.005 \times 400 = 3.4$
- Performance ratio =  $9/3.4 = 2.6$

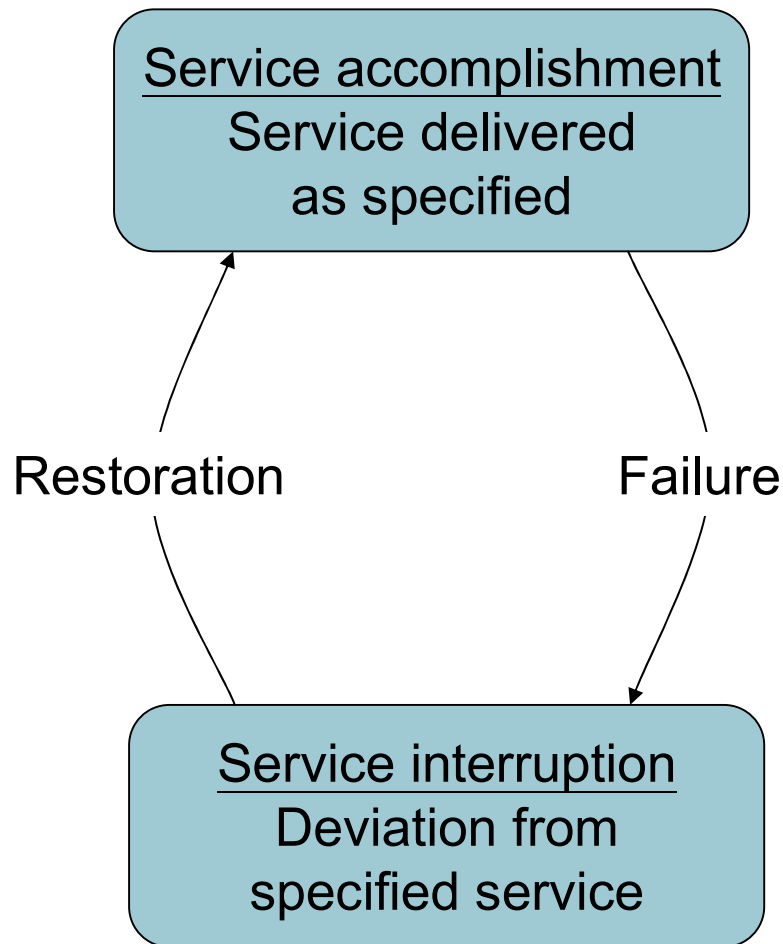
# Multilevel Cache Considerations

- Primary cache
  - Focus on minimal hit time
- L-2 cache
  - Focus on low miss rate to avoid main memory access
  - Hit time has less overall impact
- Empirical results
  - L-1 cache usually smaller than a single cache
  - L-1 block size smaller than L-2 block size

# Interactions with Advanced CPUs

- Out-of-order CPUs can execute instructions during cache miss
  - Pending store stays in load/store unit
  - Dependent instructions wait in reservation stations
    - Independent instructions continue
- Effect of miss depends on program data flow
  - Much harder to analyze
  - Use system simulation

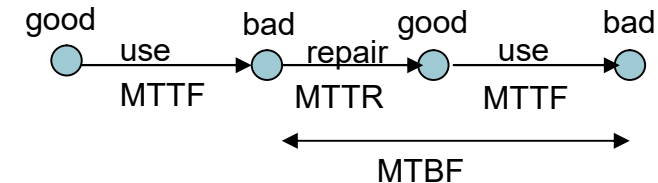
# Dependability



- Fault: failure of a component
  - May or may not lead to system failure

# Dependability Measures

- Reliability: mean time to failure (MTTF)
- Service interruption: mean time to repair (MTTR)
- Mean time between failures
  - $MTBF = MTTF + MTTR$
- Availability =  $MTTF / (MTTF + MTTR)$
- Improving Availability
  - Increase MTTF: fault avoidance, fault tolerance, fault prediction and correction
  - Reduce MTTR: improved tools and processes for (self-)diagnosis and (self-)repair

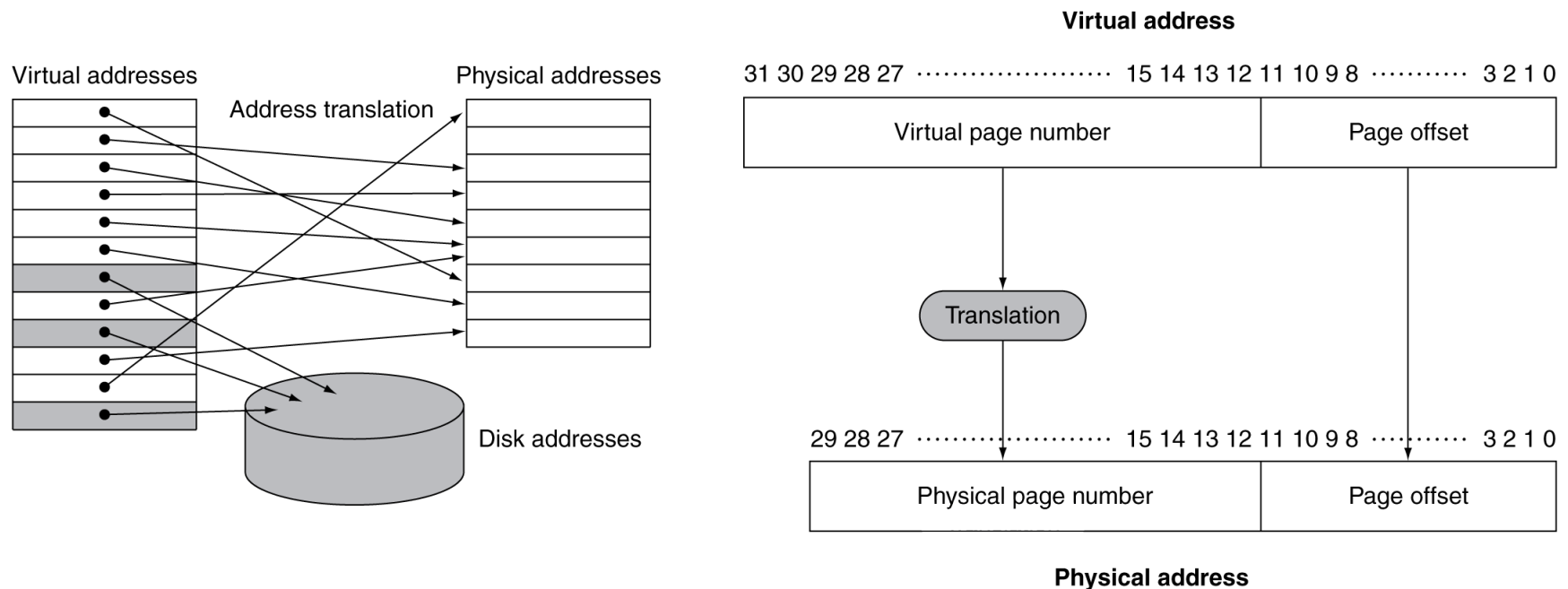


# Virtual Memory

- Use main memory as a “cache” for secondary (disk) storage
  - Managed jointly by CPU hardware and the operating system (OS)
- Programs share main memory
  - Each gets a private virtual address space holding its frequently used code and data
  - Protected from other programs
- CPU and OS translate virtual addresses to physical addresses
  - VM “block” is called a
  - VM translation “miss” is called a

# Address Translation

- Fixed-size pages (e.g., 4KB/page)



Since only a portion of pages exist in main memory,  
| physical page number | < | virtual page number |



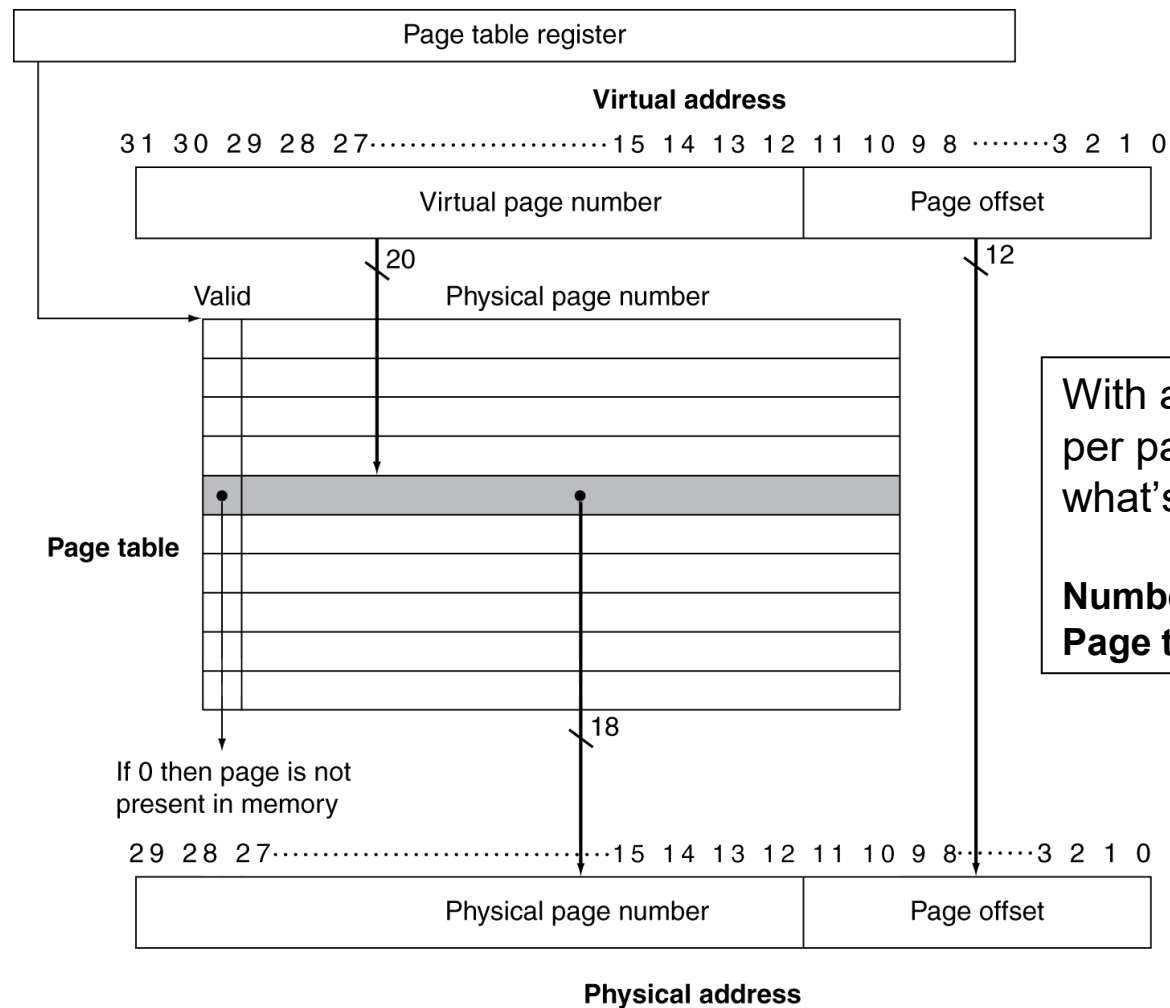
# Page Fault Penalty

- On page fault, the page must be fetched from disk
  - Takes millions of clock cycles
  - Handled by OS code
- Try to minimize page fault rate
  - Fully associative placement
  - Smart replacement algorithms

# Page Tables

- Stores placement information
  - Array of page table entries (PTEs), indexed by virtual page number
  - Page table register in CPU points to page table in physical memory
- If page is present in memory
  - PTE stores the physical page number
  - Plus other status bits (referenced, dirty, ...)
- If page is not present
  - PTE can refer to location in swap space on disk

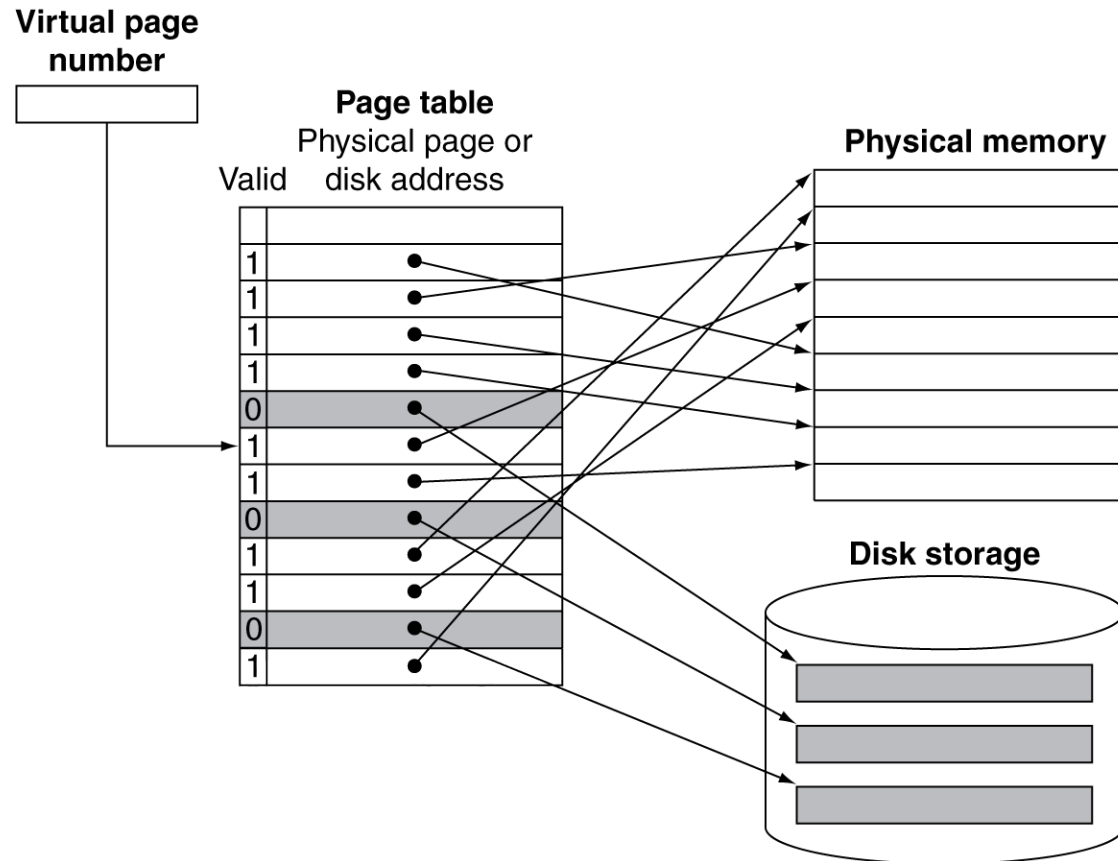
# Translation Using a Page Table



With a 32-bit virtual address, 4KB per page, and 4 bytes per PTE, what's the page table size?

**Number of PTEs =  $2^{32} / 2^{12} = 2^{20}$**   
**Page table size =  $2^{20} \times 4 = 4\text{MB}$**

# Mapping Pages to Storage



# Replacement and Writes

- To reduce page fault rate, prefer least-recently used (LRU) replacement
  - Reference bit (aka use bit) in PTE set to 1 on access to page
  - Periodically cleared to 0 by OS
  - A page with reference bit = 0 has not been used recently
- Disk writes take millions of cycles
  - Block/page at once, not individual locations
  - Write-through is impractical
  - Use write-back
  - Dirty bit in PTE set when page is written

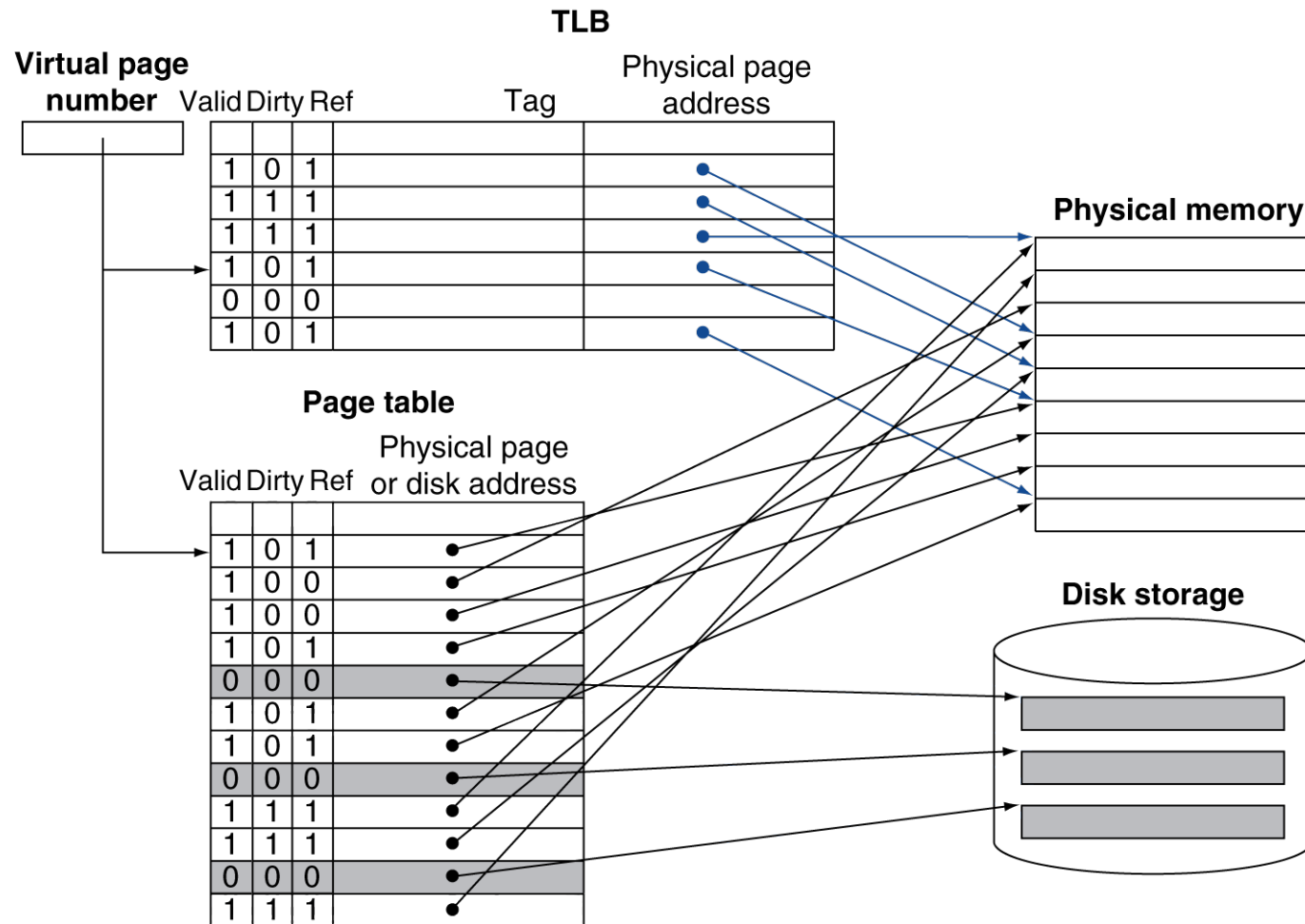
# Page Table Problems

- Page table is too big
  - 4MB for 4KB per page and 4 bytes per PTE with a 32-bit virtual address
- Access to page table is too slow
  - One extra memory read

# Fast Translation Using a TLB

- Address translation would appear to require extra memory references
  - One to access the PTE
  - Then the actual memory access
- But access to page tables has good locality
  - So use a fast cache of PTEs within the CPU
  - Called a
  - Less entries than a real page table (in main memory)
  - Typical: 16–512 PTEs, 0.5–1 cycle for hit, 10–100 cycles for miss, 0.01%–1% miss rate
  - Misses could be handled by hardware or software

# Fast Translation Using a TLB





# TLB Misses

- If page is in memory
  - Load the PTE from memory and retry
  - Could be handled in hardware
    - Can get complex for more complicated page table structures
  - Or in software
    - Raise a special exception, with optimized handler
- If page is not in memory (page fault)
  - OS handles fetching the page and updating the page table
  - Then restart the faulting instruction

# TLB Miss Handler

- TLB miss indicates
  - Page present, but PTE not in TLB
  - Page not present
- Must recognize TLB miss before destination register overwritten (for “load”)
  - Raise exception
- Handler copies PTE from memory to TLB
  - Then restarts instruction
  - If page not present, page fault will occur

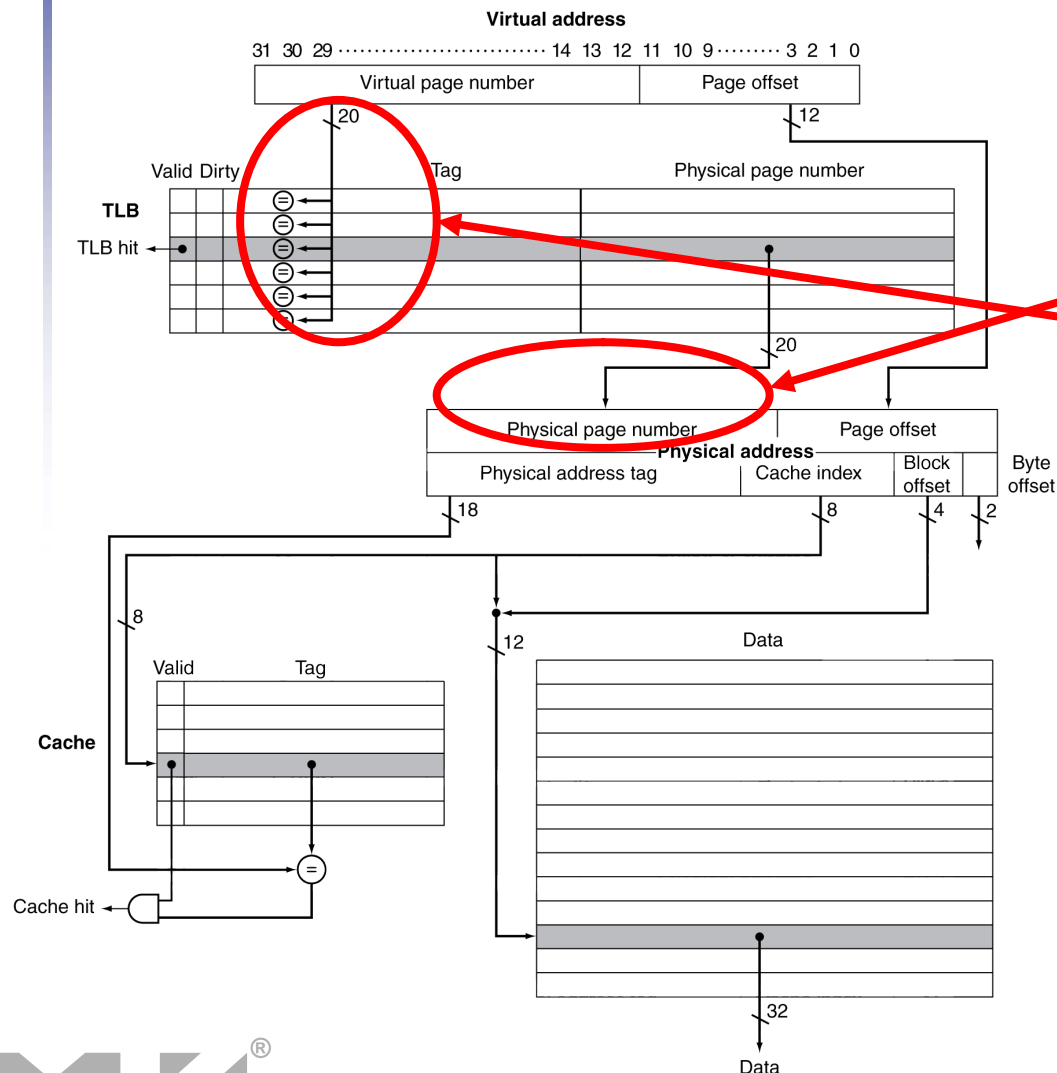
# Page Fault Handler

- Use faulting virtual address to find PTE
- Locate page on disk
- Choose page to replace if there is no free memory page
  - If dirty, write to disk first
- Read page into memory and update page table
- Make process runnable again
  - Restart from faulting instruction



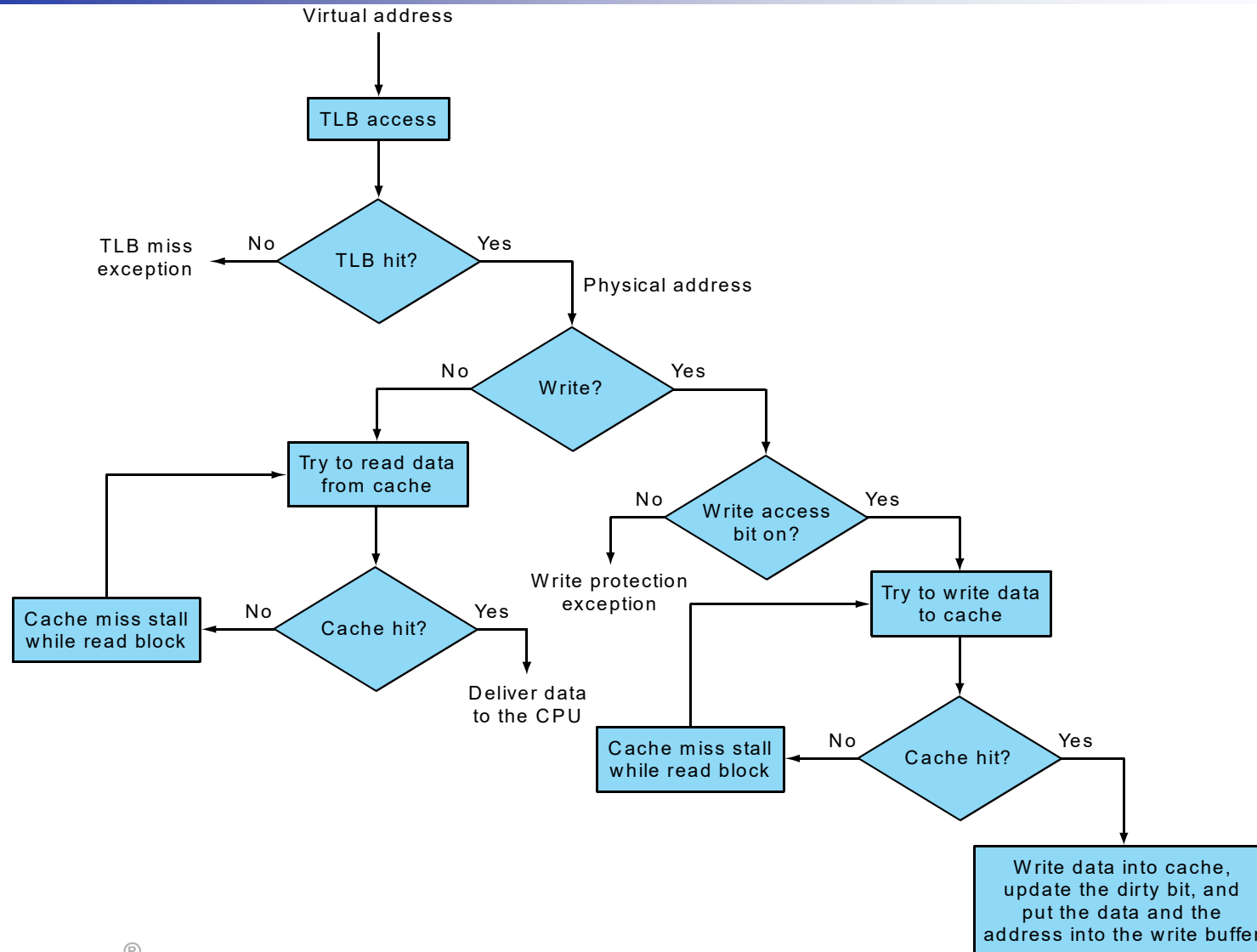
# TLB and Cache – Interaction

## Physically/virtually addressed cache



- If cache tag uses physical address
  - Need to **translate** before cache lookup
  - Cache hit requires a **TLB access** and a cache access.
- Virtually addressed cache (rarely used)
  - remove TLB access
  - Complications due to aliasing
    - Different virtual addresses for shared physical address

# TLB and Cache – Flow



# Memory Protection

- Different tasks can share parts of their virtual address spaces
  - But need to protect against errant access
  - Requires OS assistance
- Hardware support for OS protection
  - Privileged supervisor mode (aka kernel mode)
  - Privileged instructions
  - Page tables and other state information only accessible in supervisor mode
  - System call exception (e.g., syscall in MIPS)

# The Memory Hierarchy

## The BIG Picture

- Common principles apply at all levels of the memory hierarchy
  - Based on notions of caching
- At each level in the hierarchy
  - Block placement
  - Finding a block
  - Replacement on a miss
  - Write policy



# Block Placement

- Determined by associativity
  - Direct mapped (1-way associative)
    - One choice for placement
  - n-way set associative
    - n choices within a set
  - Fully associative
    - Any location
- Higher associativity reduces miss rate
  - Increases complexity, cost, and access time



# Finding a Block

Associativity	Location method	Tag comparisons
Direct mapped	Index	1
n-way set associative	Set index, then search entries within the set	n
Fully associative	Search all entries	#entries
	Table lookup, e.g., page table	0

- Hardware caches
  - Reduce comparisons to reduce cost
- Virtual memory
  - Full table lookup makes full associativity feasible
  - Benefit in reduced miss rate

# Replacement

- Choice of entry to replace on a miss
  - Least recently used (LRU)
    - Complex and costly hardware for high associativity
  - Random
    - Close to LRU, easier to implement
- Virtual memory
  - LRU approximation with hardware support

# Write Policy

- Write-through
  - Update both upper and lower levels
  - Simplifies replacement, but may require write buffer
- Write-back
  - Update upper level only
  - Update lower level when block is replaced
  - Need to keep more state
- Virtual memory
  - Only write-back is feasible, given disk write latency

# 3-Level Cache Organization

Characteristic	ARM Cortex-A8	Intel Nehalem
L1 cache organization	Split instruction and data caches	Split instruction and data caches
L1 cache size	32 KiB each for instructions/data	32 KiB each for instructions/data per core
L1 cache associativity	4-way (I), 4-way (D) set associative	4-way (I), 8-way (D) set associative
L1 replacement	Random	Approximated LRU
L1 block size	64 bytes	64 bytes
L1 write policy	Write-back, Write-allocate(?)	Write-back, No-write-allocate
L1 hit time (load-use)	1 clock cycle	4 clock cycles, pipelined
L2 cache organization	Unified (instruction and data)	Unified (instruction and data) per core
L2 cache size	128 KiB to 1 MiB	256 KiB (0.25 MiB)
L2 cache associativity	8-way set associative	8-way set associative
L2 replacement	Random(?)	Approximated LRU
L2 block size	64 bytes	64 bytes
L2 write policy	Write-back, Write-allocate (?)	Write-back, Write-allocate
L2 hit time	11 clock cycles	10 clock cycles
L3 cache organization	-	Unified (instruction and data)
L3 cache size	-	8 MiB, shared
L3 cache associativity	-	16-way set associative
L3 replacement	-	Approximated LRU
L3 block size	-	64 bytes
L3 write policy	-	Write-back, Write-allocate
L3 hit time	-	35 clock cycles

# 2-Level TLB Organization

Characteristic	ARM Cortex-A8	Intel Core i7
Virtual address	32 bits	48 bits
Physical address	32 bits	44 bits
Page size	Variable: 4, 16, 64 KiB, 1, 16 MiB	Variable: 4 KiB, 2/4 MiB
TLB organization	<p>1 TLB for instructions and 1 TLB for data</p> <p>Both TLBs are fully associative, with 32 entries, round robin replacement</p> <p>TLB misses handled in hardware</p>	<p>1 TLB for instructions and 1 TLB for data per core</p> <p>Both L1 TLBs are four-way set associative, LRU replacement</p> <p>L1 I-TLB has 128 entries for small pages, 7 per thread for large pages</p> <p>L1 D-TLB has 64 entries for small pages, 32 for large pages</p> <p>The L2 TLB is four-way set associative, LRU replacement</p> <p>The L2 TLB has 512 entries</p> <p>TLB misses handled in hardware</p>

# Sources of Misses

- Compulsory misses (aka cold start misses)
  - First access to a block
- Capacity misses
  - Due to finite cache size
  - A replaced block is later accessed again
- Conflict misses (aka collision misses)
  - In a non-fully associative cache
  - Due to competition for entries in a set
  - Would not occur in a fully associative cache of the same total size

# Cache Design Trade-offs

Design change	Effect on miss rate	Negative performance effect
Increase cache size	Decrease capacity misses	May increase access time
Increase associativity	Decrease conflict misses	May increase access time
Increase block size	Decrease compulsory misses	Increases miss penalty. For very large block size, may increase miss rate due to pollution.

# TLB, Page Table and Cache

- The possible combinations of events in the TLB, virtual memory system, and physically indexed (tagged) cache.

TLB	Page table	Cache	Possible ? If so, under what circumstance ?
Hit	Hit	Miss	<b>Possible</b>
Miss	Hit	Hit	<b>Possible</b>
Miss	Hit	Miss	<b>Possible</b>
Miss	Miss	Miss	<b>Possible</b>
Hit	Miss	Miss	<b>Impossible</b>
Hit	Miss	Hit	<b>Impossible</b>
Miss	Miss	Hit	<b>Impossible</b>



# Cache Coherence Problem

- Suppose two CPU cores share a physical address space
  - Write-through caches

Time step	Event	CPU A's cache	CPU B's cache	Memory
0				0
1	CPU A reads X	0		0
2	CPU B reads X	0	0	0
3	CPU A writes 1 to X	1	0	1

# Coherence Defined

- Informally: Reads return most recently written value
- Formally:
  - $P$  writes  $X$ ;  $P$  reads  $X$  (no intervening writes)  
⇒ read returns written value (program order)
  - $P_1$  writes  $X$ ;  $P_2$  reads  $X$  (sufficiently later)  
⇒ read returns written value (coherence)
    - c.f. CPU B reading  $X$  after step 3 in example
  - $P_1$  writes  $X$ ,  $P_2$  writes  $X$   
⇒ all processors see writes in the same order
    - End up with the same final value for  $X$

# Cache Coherence Protocols

- Operations performed by caches in multiprocessors to ensure coherence
  - Migration of data to local caches
    - Reduces bandwidth for shared memory
  - Replication of read-shared data
    - Reduces contention for access
- Snooping protocols
  - Each cache monitors bus reads/writes
- Directory-based protocols
  - Caches and memory record sharing status of blocks in a directory

# Invalidating Snooping Protocols

- Cache gets exclusive access to a block when it is to be written
  - Broadcasts an invalidate message on the bus
  - Subsequent read in another cache misses
    - Owning cache supplies updated value

CPU activity	Bus activity	CPU A's cache	CPU B's cache	Memory
				0
CPU A reads X	Cache miss for X	0		0
CPU B reads X	Cache miss for X	0	0	0
CPU A writes 1 to X	Invalidate for X	1		0
CPU B read X	Cache miss for X	1	1	1

# Memory Consistency

- When are writes seen by other processors
  - “Seen” means a read returns the written value
  - Can’t be instantaneously
- Assumptions
  - A write completes only when all processors have seen it
  - A processor **does not reorder writes** with other accesses
- Consequence
  - P writes location X then writes location Y  
⇒ all processors that see new Y also see new X
  - Processors can **reorder reads**, but **not writes**



# Pitfalls

- Byte (what we used) vs. word addressing
  - Example: 32-byte direct-mapped cache, 4-byte blocks
    - Byte 36 maps to block 1
    - Word 36 maps to block 4
  - Example: 256-byte cache and 32 bytes per block
    - Byte address 300 maps to block number 1
- Ignoring memory system effects when writing or generating code
  - Example: iterating over rows vs. columns of arrays
  - Large strides result in poor locality

0...0 0 0 1 0 0 1 0 1 1 0 0

```
for (i = 0; ...)
  for (j = 0; ...)
    x[i][j] = x[i][j] + y[i][j]
```



# Pitfalls

- In multiprocessor with shared L2 or L3 cache
  - Less associativity than cores results in conflict misses
  - More cores  $\Rightarrow$  need to increase associativity
- Using AMAT to evaluate performance of out-of-order processors
  - Ignores effect of non-blocked accesses
  - Instead, evaluate performance by simulation

# Concluding Remarks

- Fast memories are small, large memories are slow
  - We really want fast, large memories ☹️
  - Caching gives this illusion 😊
- Principle of locality
  - Programs use a small part of their memory space frequently
- Memory hierarchy
  - L1 cache ↔ L2 cache ↔ ... ↔ DRAM memory ↔ disk
- Memory system design is critical for multiprocessors

