

# Process Control Block

- Processor State Information

- Control and Status Registers

These are a variety of processor registers that are employed to control the operation of the processor. These include

- *Program counter*: Contains the address of the next instruction to be fetched
    - *Condition codes*: Result of the most recent arithmetic or logical operation (e.g., sign, zero, carry, equal, overflow)
    - *Status information*: Includes interrupt enabled/disabled flags, execution mode

# Process Control Block

- Processor State Information
  - Stack Pointers
    - Each process has one or more last-in-first-out (LIFO) system stacks associated with it. A stack is used to store parameters and calling addresses for procedure and system calls. The stack pointer points to the top of the stack.



# Process Control Block

- Process identification
  - Identifiers
    - Numeric identifiers that may be stored with the process control block include
      - Identifier of this process
      - Identifier of the process that created this process (parent process)
      - User identifier

# Process Control Block

- Processor State Information
  - User-Visible Registers
    - A user-visible register is one that may be referenced by means of the machine language that the processor executes. Typically, there are from 8 to 32 of these registers, although some RISC implementations have over 100.



# Process Control Block

- Process Control Information

- Scheduling and State Information

This is information that is needed by the operating system to perform its scheduling function. Typical items of information:

- *Process state*: defines the readiness of the process to be scheduled for execution (e.g., running, ready, waiting, halted).

- *Priority*: One or more fields may be used to describe the scheduling priority of the process. In some systems, several values are required (e.g., default, current, highest-allowable)

- *Scheduling-related information*: This will depend on the scheduling algorithm used. Examples are the amount of time that the process has been waiting and the amount of time that the process executed the last time it was running.

- *Event*: Identity of event the process is awaiting before it can be resumed



# Process Control Block

- Process Control Information
  - Data Structuring
    - A process may be linked to other process in a queue, ring, or some other structure. For example, all processes in a waiting state for a particular priority level may be linked in a queue. A process may exhibit a parent-child (creator-created) relationship with another process. The process control block may contain pointers to other processes to support these structures.

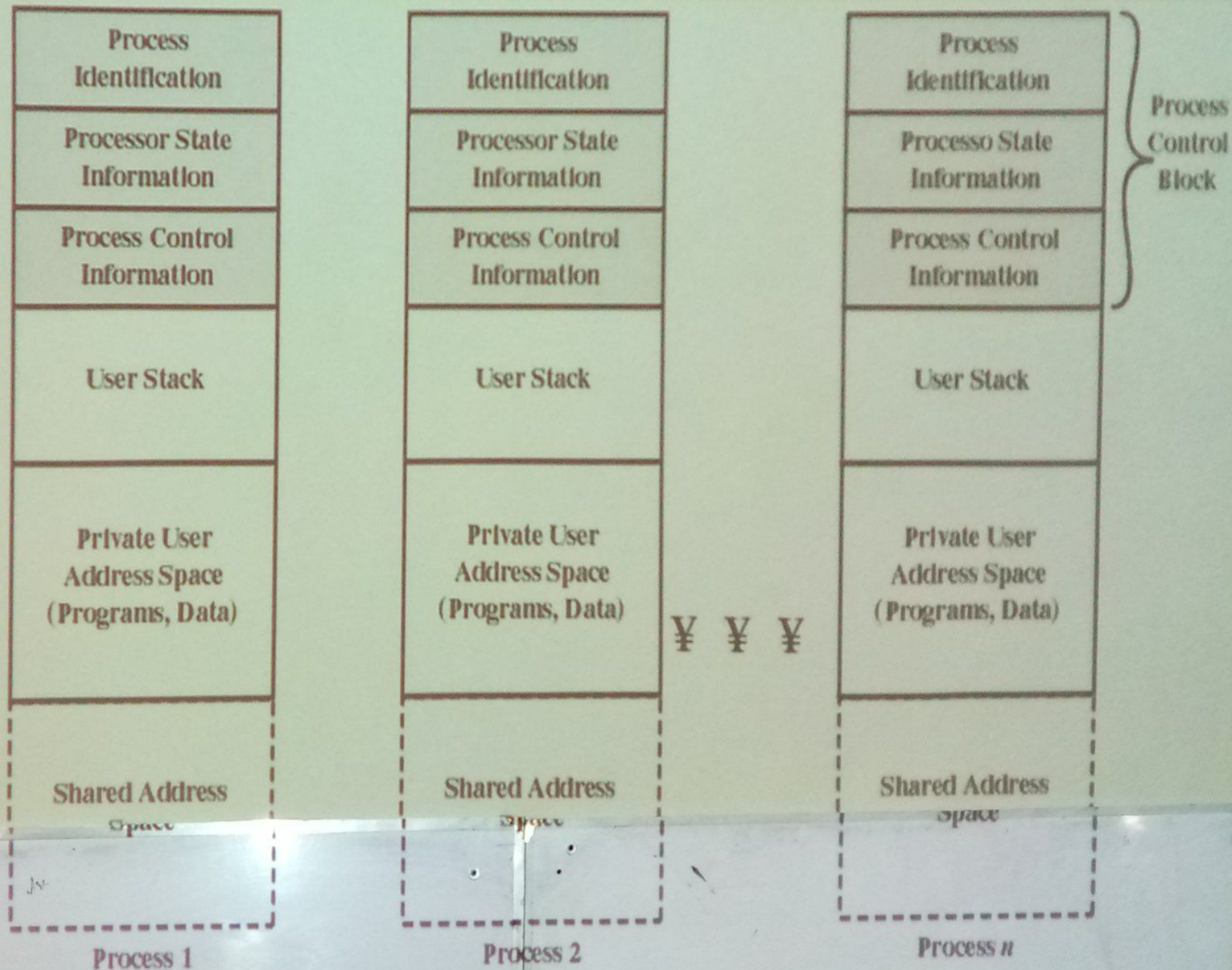


Figure 3.12 User Processes in Virtual Memory

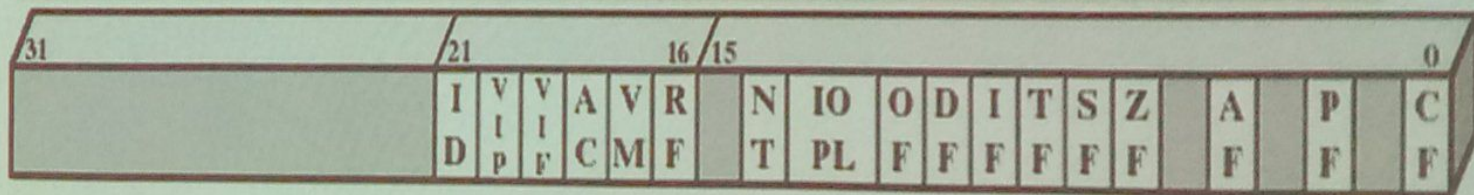


# Processor State Information

- Contents of processor registers
  - User-visible registers
  - Control and status registers
  - Stack pointers
- Program status word (PSW)
  - contains status information
  - Example: the EFLAGS register on Pentium machines



# Pentium II EFLAGS Register



ID = Identification flag  
 VIP = Virtual interrupt pending  
 VIF = Virtual interrupt flag  
 AC = Alignment check  
 VM = Virtual 8086 mode  
 RF = Resume flag  
 NT = Nested task flag  
 IOPL = I/O privilege level  
 OF = Overflow flag

DF = Direction flag  
 IF = Interrupt enable flag  
 TF = Trap flag  
 SF = Sign flag  
 ZF = Zero flag  
 AF = Auxiliary carry flag  
 PF = Parity flag  
 CF = Carry flag

Figure 3.11 Pentium II EFLAGS Register

# Modes of Execution

- User mode
  - Less-privileged mode
  - User programs typically execute in this mode
- System mode, control mode, or kernel mode
  - More-privileged mode
  - Kernel of the operating system



# Process Creation

- Assign a unique process identifier
- Allocate space for the process
- Initialize process control block
- Set up appropriate linkages
  - Ex: add new process to linked list used for scheduling queue
- Create or expand other data structures
  - Ex: maintain an accounting file

# When to Switch a Process

- Clock interrupt
  - process has executed for the maximum allowable time slice
- I/O interrupt
- Memory fault
  - memory address is in virtual memory so it must be brought into main memory



# When to Switch a Process

- Trap
  - error occurred
  - may cause process to be moved to Exit state
- Supervisor call
  - such as file open

## Change of Process State

- Save context of processor including program counter and other registers
- Update the process control block of the process that is currently running
- Move process control block to appropriate queue - ready, blocked
- Select another process for execution



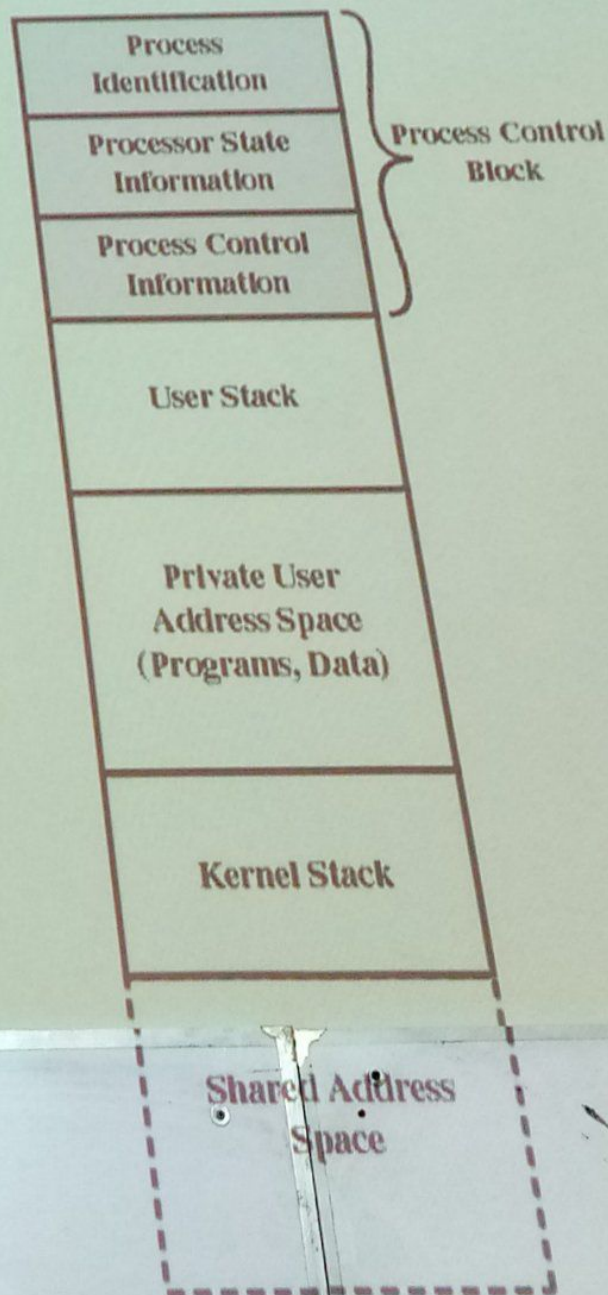
# Change of Process State

- Update the process control block of the process selected
- Update memory-management data structures
- Restore context of the selected process

# Execution of the Operating System

- Non-process Kernel
  - execute kernel outside of any process
  - operating system code is executed as a separate entity that operates in privileged mode
- Execution Within User Processes
  - operating system software within context of a user process
  - process executes in privileged mode when executing operating system code





**Figure 3.15** Process Image: Operating System Executes Within User Space

# Execution of the Operating System

- Process-Based Operating System
  - major kernel functions are separate processes
  - Useful in multi-processor or multi-computer environment



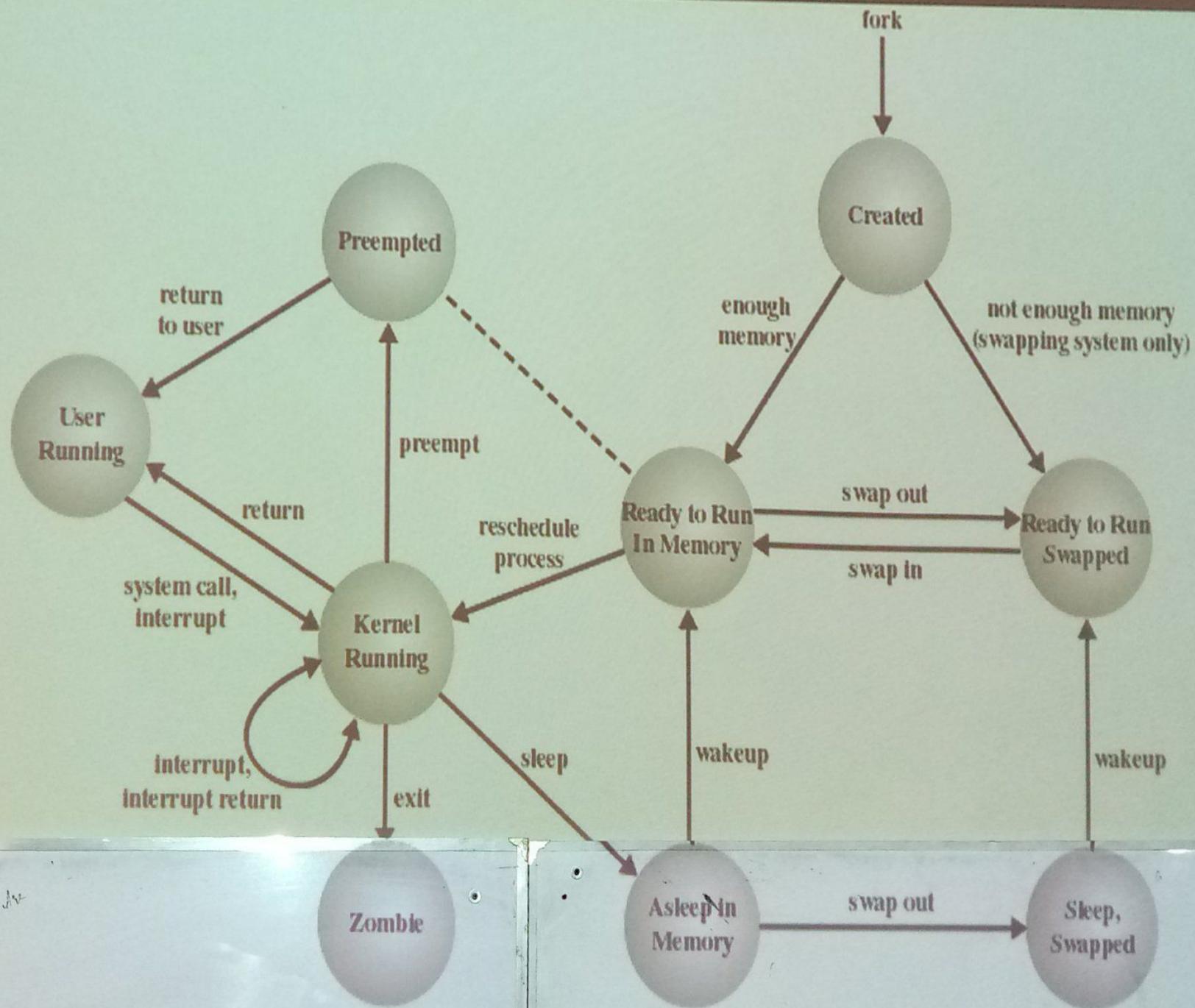


Figure 3.16 UNIX Process State Transition Diagram