# $HOSEA\ Aim\ I-Results\ (SRS)$

### Simon Fontaine

#### November 8, 2022

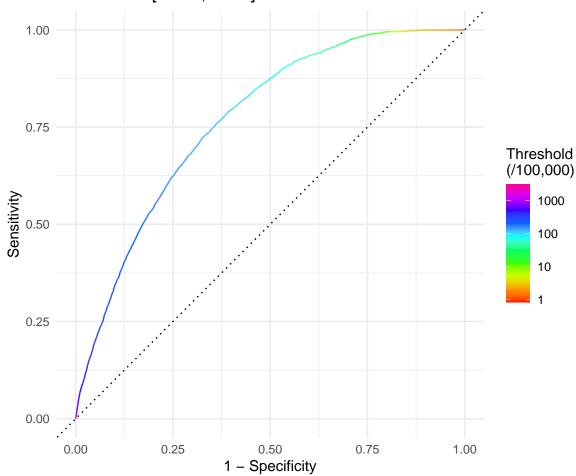
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### 1 Overall performance

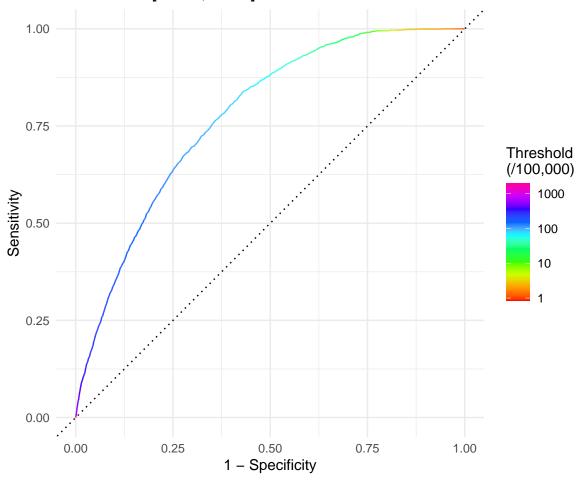
Cancer type: ANY Dataset: test, all

Cases: 2848/2567069 AUC: 0.769 [0.761,0.776]



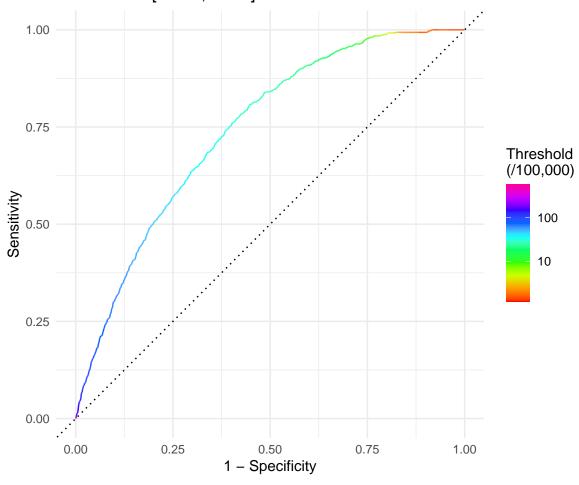
Cancer type: EAC Dataset: test, all

Cases: 2076/2567069 AUC: 0.774 [0.766,0.783]



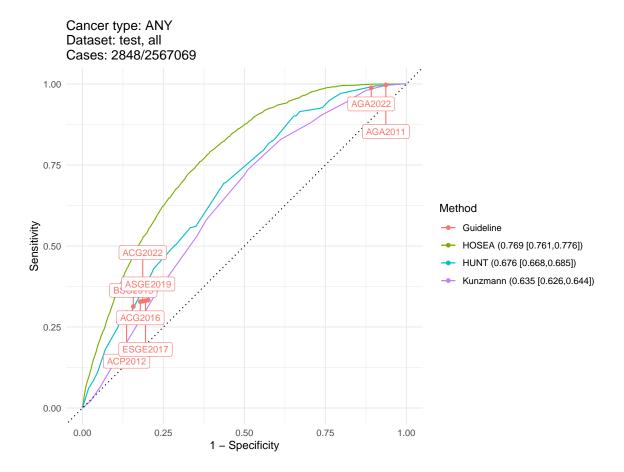
Cancer type: EGJAC Dataset: test, all

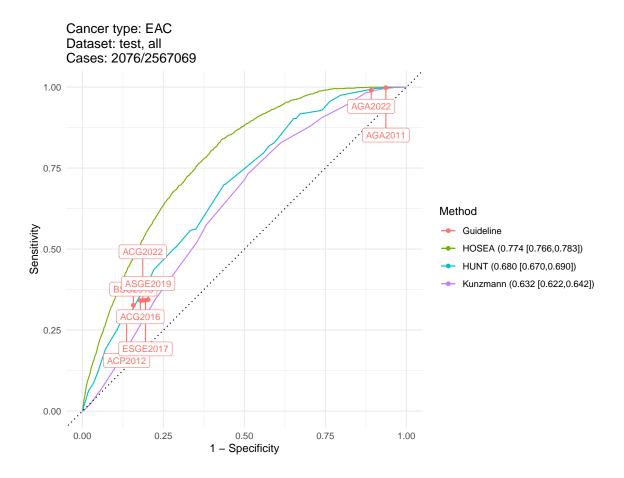
Cases: 772/2567069 AUC: 0.741 [0.726,0.756]

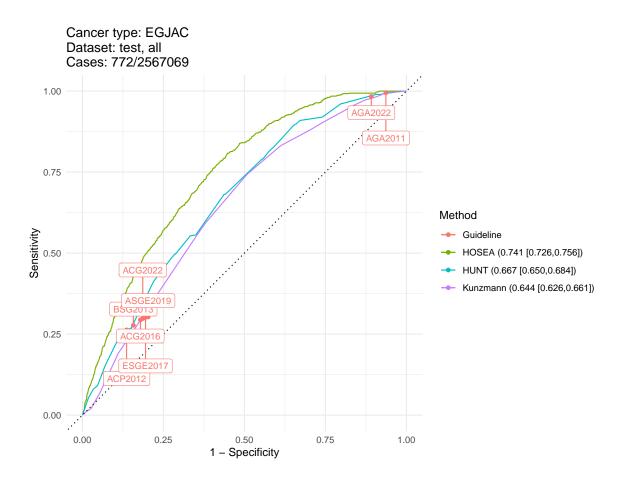


### 2 Comparison to HUNT, Kunzmann and Guidelines

#### 2.1 Full test data

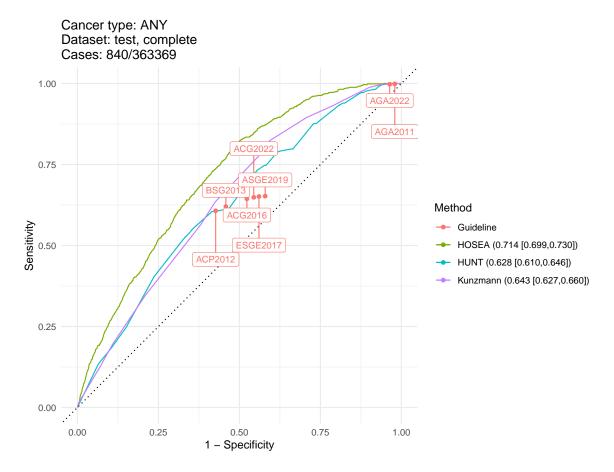


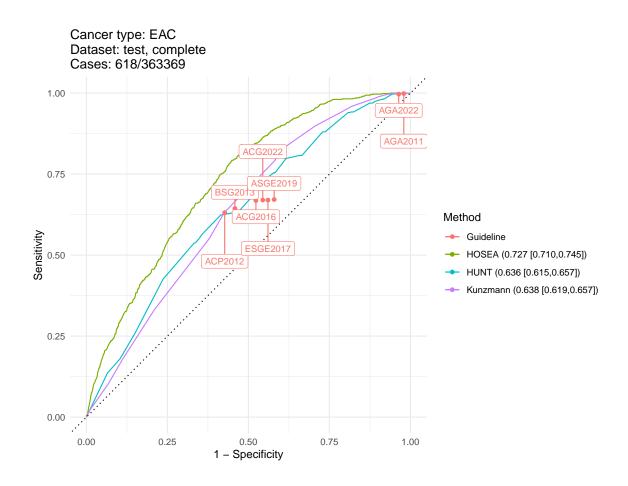


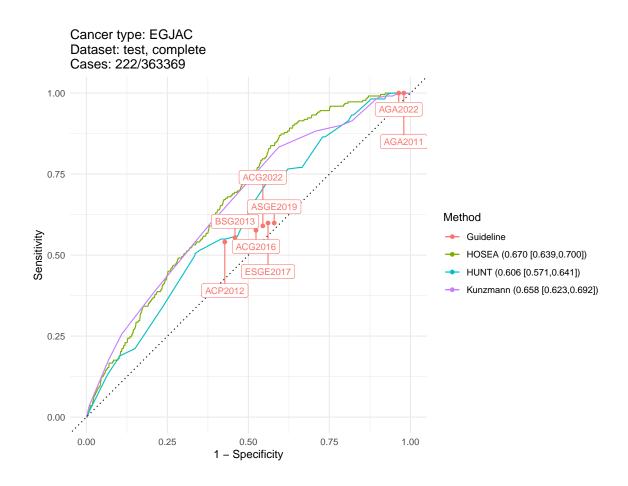


#### 2.2 Complete test patients

w.r.t. HUNT, Kunzmann, & Guidelines (i.e., requires age, sex, GERD, bmi, smoking, etc.)

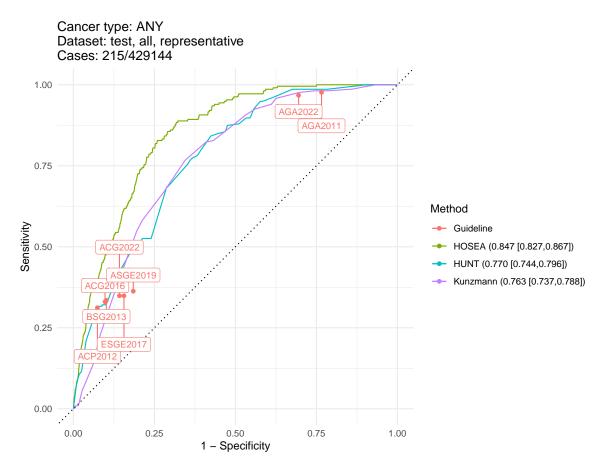


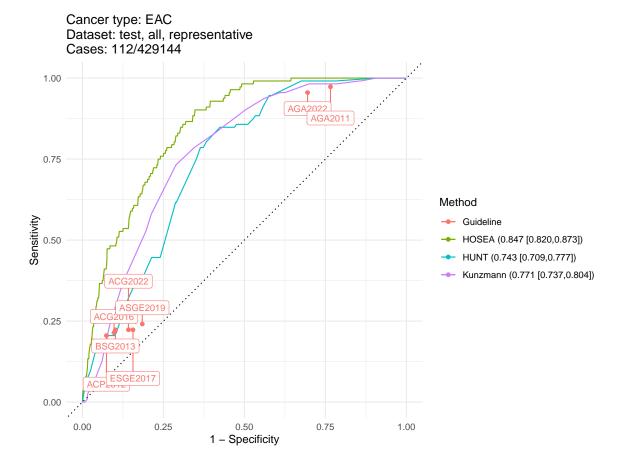


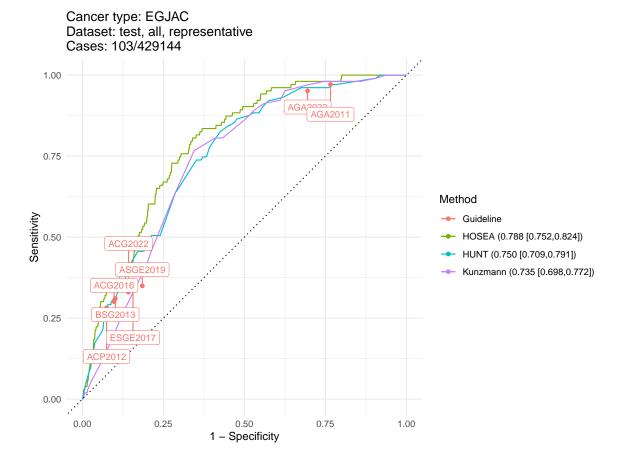


#### 2.3 Representative sample

w.r.t. sex and prevalance ratio by sex



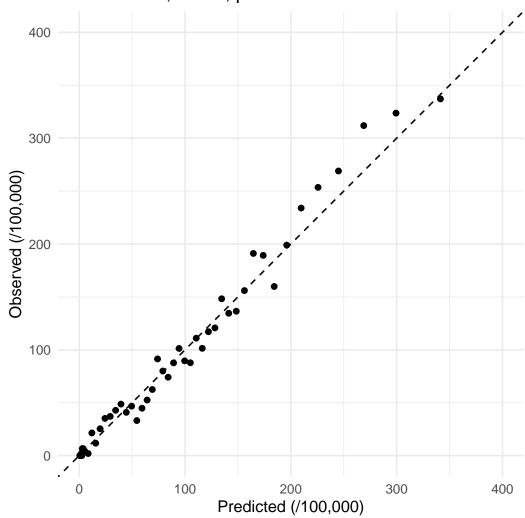




#### Calibration 3

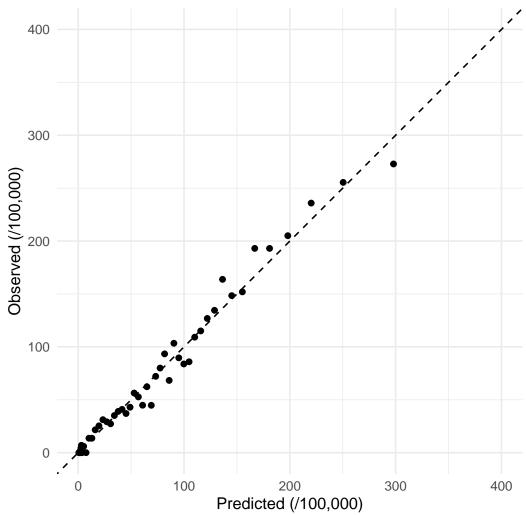
Cancer type: ANY Dataset: test, all Cases: 2848/2567069

HL: H=55.16, df=50, p=0.286



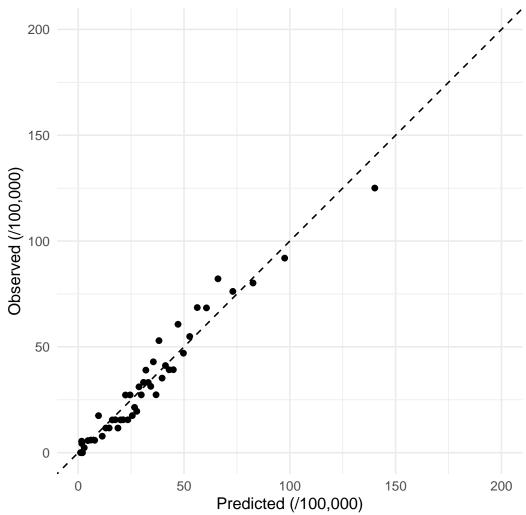
Cancer type: EAC Dataset: test, all Cases: 2076/2567069

HL: H=39.05, df=50, p=0.869



Cancer type: EGJAC Dataset: test, all Cases: 772/2567069

HL: H=38.82, df=50, p=0.874



### 4 Thresholds

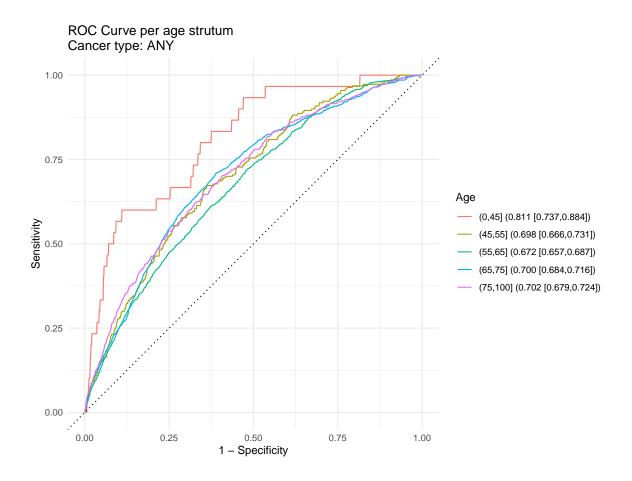
| Threshold | TPR    | PPV         | ${\bf Det Prevalence}$ |
|-----------|--------|-------------|------------------------|
| 0         | 100.00 | 0.11        | 100.00                 |
| 5         | 99.58  | 0.13        | 83.11                  |
| 10        | 99.51  | 0.14        | 80.06                  |
| 15        | 99.09  | 0.14        | 77.24                  |
| 20        | 98.67  | 0.15        | 74.83                  |
| 25        | 98.03  | 0.15        | 72.68                  |
| 30        | 97.44  | 0.15        | 70.68                  |
| 35        | 96.52  | 0.16        | 68.73                  |
| 40        | 95.68  | 0.16        | 66.76                  |
| 45        | 95.05  | 0.16        | 64.76                  |
| 50        | 94.07  | 0.17        | 62.76                  |
| 55        | 93.61  | 0.17        | 60.72                  |
| 60        | 92.70  | 0.18        | 58.68                  |
| 65        | 91.99  | 0.18        | 56.63                  |
| 70        | 90.77  | 0.18        | 54.58                  |
| 75        | 89.40  | 0.19        | 52.57                  |
| 80        | 87.75  | 0.19        | 50.56                  |
| 85        | 86.31  | 0.20        | 48.58                  |
| 90        | 84.90  | 0.20        | 46.63                  |
| 95        | 83.22  | 0.21        | 44.72                  |
| 100       | 81.85  | 0.21        | 42.82                  |
| 105       | 80.16  | 0.22        | 40.98                  |
| 110       | 78.69  | 0.22        | 39.16                  |
| 115       | 76.86  | 0.23        | 37.40                  |
| 120       | 75.21  | 0.23        | 35.69                  |
| 125       | 73.53  | 0.24        | 34.02                  |
| 130       | 71.88  | 0.25        | 32.41                  |
| 135       | 69.84  | 0.25        | 30.84                  |
| 140       | 67.94  | 0.26        | 29.35                  |
| 145       | 66.08  | 0.26        | 27.92                  |
| 150       | 64.50  | 0.27        | 26.55                  |
| 155       | 62.61  | 0.28        | 25.25                  |
| 160       | 61.06  | 0.28        | 24.03                  |
| 165       | 58.99  | 0.29        | 22.87                  |
| 170       | 57.23  | 0.29        | 21.77                  |
| 175       | 55.62  | 0.30        | 20.75                  |
| 180       | 53.86  | 0.30        | 19.77                  |
| 185       | 52.77  | 0.31        | 18.84                  |
| 190       | 51.23  | 0.32        | 17.98                  |
| 195       | 49.82  | 0.32        | 17.15                  |
| 200       | 48.31  | 0.33        | 16.37                  |
| 220       | 42.80  | 0.35        | 13.65                  |
| 240       | 37.68  | 0.36        | 11.46                  |
| 260       | 32.79  | 0.38        | 9.67                   |
| 280       | 28.90  | 0.39        | 8.18                   |
| 300       | 24.89  | 0.40        | 6.94                   |
| 320       | 22.47  | 0.42        | 5.89                   |
| 340       | 20.01  | 0.44        | 5.01                   |
| 360       | 17.45  | $18^{0.45}$ | 4.28                   |
| 380       | 15.59  | 0.47        | 3.66                   |
| 400       | 13.97  | 0.50        | 3.13                   |
| 420       | 12.11  | 0.50        | 2.69                   |
| 440       | 10.85  | 0.52        | 2.31                   |
| 100       | 0.00   | 0 5 4       | 1.00                   |

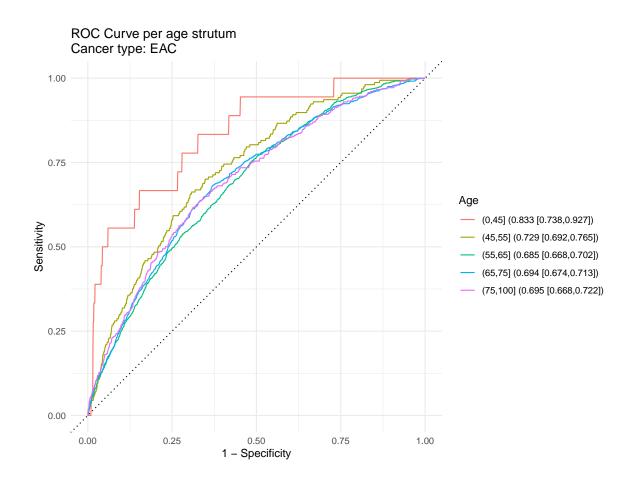
| Threshold    | TPR                 | PPV                 | ${\bf Det Prevalence}$ |
|--------------|---------------------|---------------------|------------------------|
| 0            | 100.00              | 0.08                | 100.00                 |
| 5            | 99.61               | 0.10                | 80.99                  |
| 10           | 99.42               | 0.10                | 77.02                  |
| 15           | 98.80               | 0.11                | 73.56                  |
| 20           | 97.88               | 0.11                | 70.72                  |
| 25           | 97.01               | 0.12                | 68.05                  |
| 30           | 96.05               | 0.12                | 65.27                  |
| 35           | 95.04               | 0.12                | 62.48                  |
| 40           | 93.50               | 0.13                | 59.73                  |
| 45           | 92.24               | 0.13                | 57.05                  |
| 50           | 90.99               | 0.14                | 54.42                  |
| 55           | 89.31               | 0.14                | 51.88                  |
| 60           | 87.76               | 0.14                | 49.37                  |
| 65           | 86.08               | 0.15                | 46.91                  |
| 70           | 84.63               | 0.15                | 44.50                  |
| 75           | 82.61               | 0.16                | 42.09                  |
| 80           | 80.25               | 0.16                | 39.73                  |
| 85           | 77.75               | 0.17                | 37.39                  |
| 90           | 75.39               | 0.17                | 35.13                  |
| 95           | 72.74               | 0.18                | 32.97                  |
| 100          | 70.33               | 0.18                | 30.86                  |
| 105          | 68.26               | 0.19                | 28.88                  |
| 110          | 66.09               | 0.20                | 27.00                  |
| 115          | 63.97               | 0.21                | 25.23                  |
| 120          | 61.22               | 0.21                | 23.58                  |
| 125          | 58.96               | 0.22                | 22.06                  |
| 130          | 56.65               | 0.22                | 20.64                  |
| 135          | 54.14               | 0.23                | 19.31                  |
| 140          | 52.02               | 0.23                | 18.10                  |
| 145          | 49.71               | 0.24                | 16.99                  |
| 150          | 47.74               | 0.24                | 15.95                  |
| 155          | 45.91               | 0.25                | 14.99                  |
| 160          | 44.36               | 0.25                | 14.09                  |
| 165          | 42.44               | 0.26                | 13.26                  |
| 170          | 40.37               | 0.26                | 12.48                  |
| 175          | 38.92               | 0.27                | 11.75                  |
| 180          | 37.09               | 0.27                | 11.08                  |
| 185          | 35.55               | 0.28                | 10.44                  |
| 190          | 34.15               | 0.28                | 9.85                   |
| 195          | 32.90               | 0.29                | 9.29                   |
| 200          | 31.89               | 0.29                | 8.77                   |
| 220          | 26.35               | 0.30                | 6.99                   |
| 240          | 22.54               | 0.33                | 5.57                   |
| 260          | 18.59               | 0.34                | 4.46                   |
| 280          | 15.70               | 0.35                | 3.58                   |
| 300          | 13.87               | 0.39                | 2.88                   |
| 320          | 11.37               | 0.40                | 2.31                   |
| 340<br>360   | 10.16               | 0.44                | 1.85                   |
| 360<br>380   | $8.96 \\ 7.56$      | $19^{0.49} \\ 0.51$ | $1.49 \\ 1.20$         |
|              |                     |                     |                        |
| $400 \\ 420$ | $6.21 \\ 4.87$      | $0.52 \\ 0.50$      | $0.97 \\ 0.78$         |
| 440          | $\frac{4.67}{4.14}$ | $0.50 \\ 0.53$      | 0.78                   |
| 110          | 4.14                | 0.00                | 0.00                   |

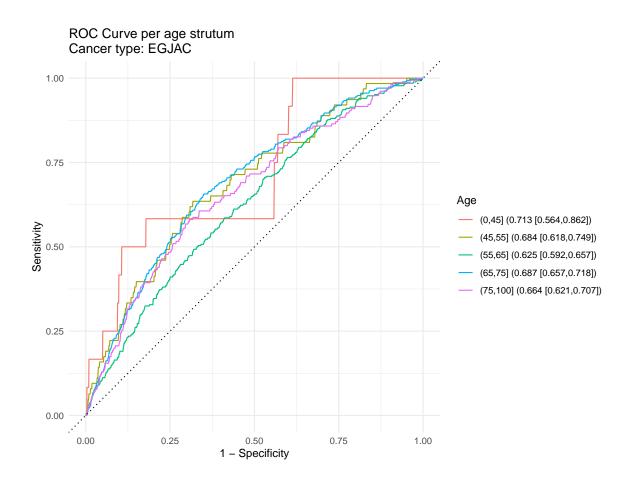
| Threshold                               | TPR   | PPV   | DetPrevalence                               |
|---|---|---|---|
| 0                                       | 100.00                                      | 0.03  | 100.00                                      |
| 5                                       | 99.09                                       | 0.04  | 80.31                                       |
| 10                                      | 97.28                                       | 0.04  | 74.43                                       |
| $\begin{array}{c} 15 \\ 20 \end{array}$ | $94.95 \\ 91.32$                            | $0.04 \\ 0.05$                              | 68.37 $60.86$                               |
|   |   |   |   |
| $\frac{25}{30}$                         | $85.23 \\ 78.11$                            | $\begin{array}{c} 0.05 \\ 0.06 \end{array}$ | $51.88 \\ 42.42$                            |
| 35                                      | 67.88                                       | 0.06  | 33.64                                       |
| 40                                      | 58.55                                       | 0.07  | 26.39                                       |
| 45                                      | 51.30                                       | 0.07  | 20.84                                       |
| 50                                      | 44.30                                       | 0.08  | 16.70                                       |
| 55                                      | 38.08                                       | 0.08  | 13.59                                       |
| 60                                      | 32.77                                       | 0.09  | 11.20                                       |
| 65<br>70                                | 28.37 $24.48$                               | $0.09 \\ 0.10$                              | $9.29 \\ 7.75$                              |
|   |   |   |   |
| 75<br>80                                | $21.24 \\ 18.13$                            | $0.10 \\ 0.10$                              | 6.48 $5.43$                                 |
| 85                                      | 15.13 $15.54$                               | 0.10  | 4.54  |
| 90                                      | 13.47                                       | 0.11  | 3.82  |
| 95                                      | 11.27                                       | 0.11  | 3.20  |
| 100                                     | 9.97  | 0.11  | 2.69  |
| 105                                     | 9.07  | 0.12  | 2.26  |
| 110                                     | 8.03  | 0.13  | 1.90  |
| $115 \\ 120$                            | $6.74 \\ 5.44$                              | $0.13 \\ 0.12$                              | $1.61 \\ 1.35$                              |
|   |   |   |   |
| $125 \\ 130$                            | $4.66 \\ 4.27$                              | $0.12 \\ 0.13$                              | $\begin{array}{c} 1.14 \\ 0.97 \end{array}$ |
| 135                                     | 3.89  | 0.13  | 0.82  |
| 140                                     | 3.11  | 0.13  | 0.70  |
| 145                                     | 2.07  | 0.10  | 0.60  |
| 150                                     | 1.55  | 0.09  | 0.51  |
| 155                                     | 1.42  | 0.10  | 0.44  |
| 160                                     | 1.42  | 0.11  | 0.37  |
| $165 \\ 170$                            | $\begin{array}{c} 1.30 \\ 1.04 \end{array}$ | $0.12 \\ 0.11$                              | $\begin{array}{c} 0.32 \\ 0.28 \end{array}$ |
|   |   |   |   |
| $175 \\ 180$                            | $0.91 \\ 0.78$                              | $0.11 \\ 0.11$                              | $\begin{array}{c} 0.24 \\ 0.21 \end{array}$ |
| 185                                     | 0.65  | 0.11  | 0.18  |
| 190                                     | 0.52  | 0.10  | 0.16  |
| 195                                     | 0.52  | 0.11  | 0.14  |
| 200                                     | 0.52  | 0.13  | 0.12  |
| 220                                     | 0.13  | 0.05  | 0.07  |
| 240                                     | 0.13  | 0.09  | 0.04  |
| $\frac{260}{280}$                       | $0.13 \\ 0.00$                              | $0.14 \\ 0.00$                              | $0.03 \\ 0.02$                              |
|   |   |   |   |
| $\frac{300}{320}$                       | $0.00 \\ 0.00$                              | $0.00 \\ 0.00$                              | $0.01 \\ 0.01$                              |
| $\frac{320}{340}$                       | 0.00  | 0.00  | 0.01 $0.00$                                 |
| 360                                     | 0.00  | 20.00                                       | 0.00  |
| 380                                     | 0.00  | 0.00  | 0.00  |
| 400                                     | 0.00  | 0.00  | 0.00  |
| 420                                     | 0.00  | 0.00  | 0.00  |
| 440                                     | 0.00  | 0.00  | 0.00  |

### 5 Stratification by identity groups

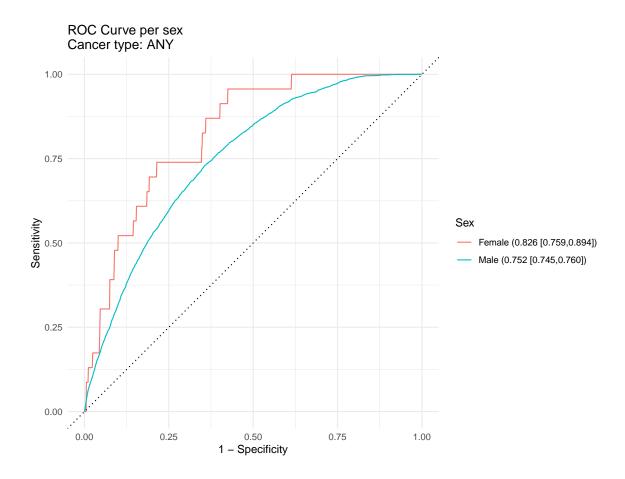
#### 5.1 Age

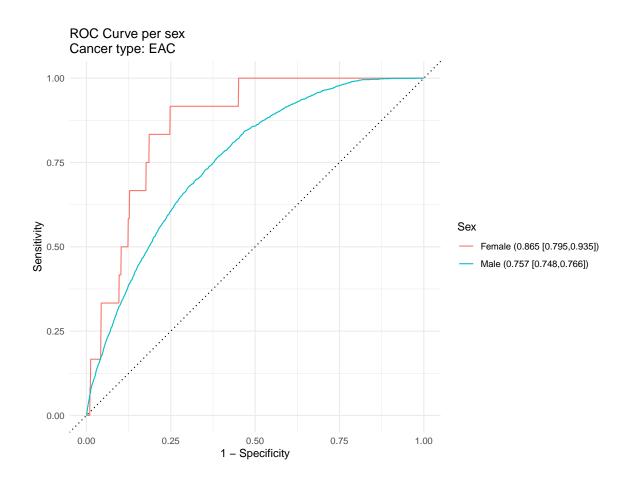


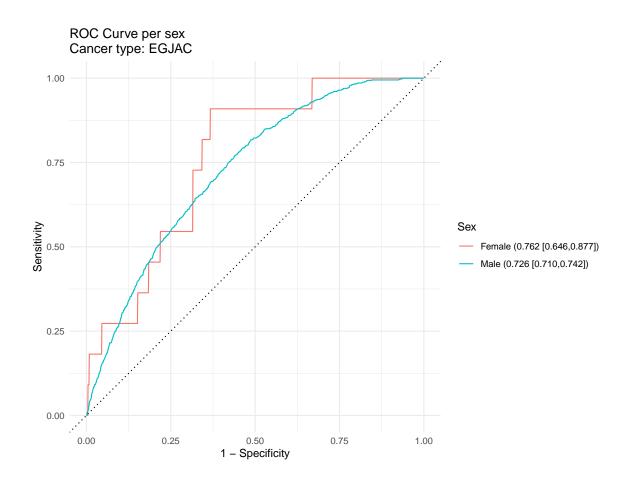




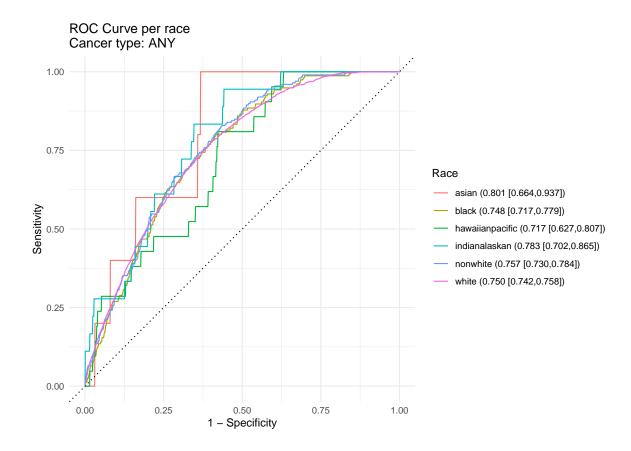
#### 5.2 Sex

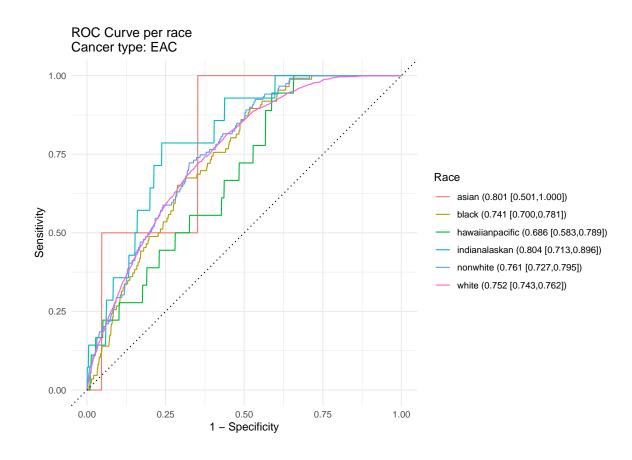


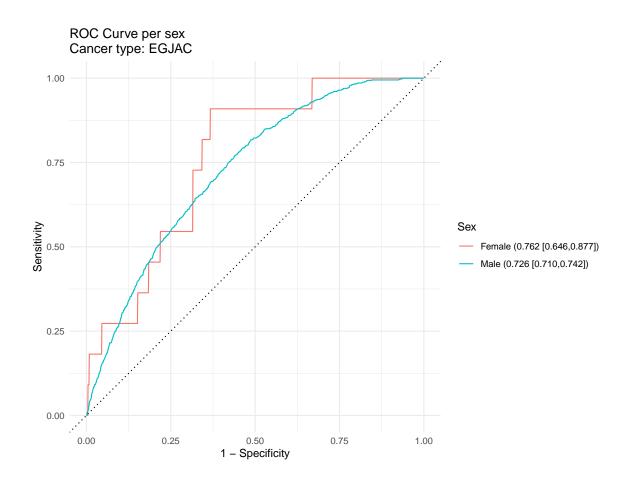




#### 5.3 Race







### 6 Cancer stage

 $NB:\ the\ discrepancy\ in\ number\ of\ cases\ between\ I+\ and\ Any\ comes\ from\ the\ fact\ that\ some\ were\ classified\ as\ unknown\ stage\ according\ to\ the\ provided\ staging.$ 

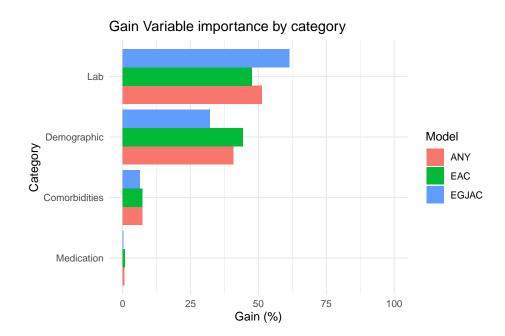
| Stage                            | Test. AUC  | Nb. cases                    |
|----------------------------------|--|------------------------------|
| Any                              | 0.768 [0.761,0.776]  | 2818                         |
| I<br>II<br>III<br>IV             | 0.807 [0.790,0.824]<br>0.787 [0.768,0.806]<br>0.774 [0.761,0.787]<br>0.762 [0.750,0.773] | 495<br>435<br>878<br>1244    |
| $I+\atop II+\atop III+\atop IV+$ | 0.772 [0.764,0.780]<br>0.765 [0.757,0.774]<br>0.763 [0.754,0.772]<br>0.762 [0.750,0.773] | 2448<br>2169<br>1950<br>1244 |

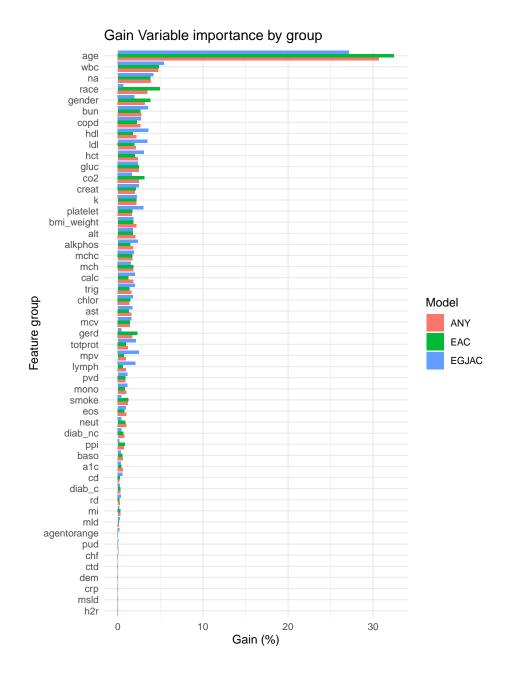
| Stage         | Test. AUC                | Nb. cases |
|---------------|--------------------------|-----------|
| Any           | 0.774 [0.766,0.783]      | 2054      |
| I             | 0.816 [0.797, 0.835]     | 350       |
| II            | 0.790 [0.768, 0.813]     | 302       |
| III           | 0.774 [0.759, 0.790]     | 650       |
| IV            | 0.768 [0.755,0.781]      | 913       |
| $\mathbf{I}+$ | $0.775 \ [0.766, 0.784]$ | 1813      |
| II+           | 0.768 [0.758, 0.778]     | 1608      |
| III+          | 0.767 [0.757, 0.777]     | 1451      |
| IV+           | 0.768 [0.755,0.781]      | 913       |

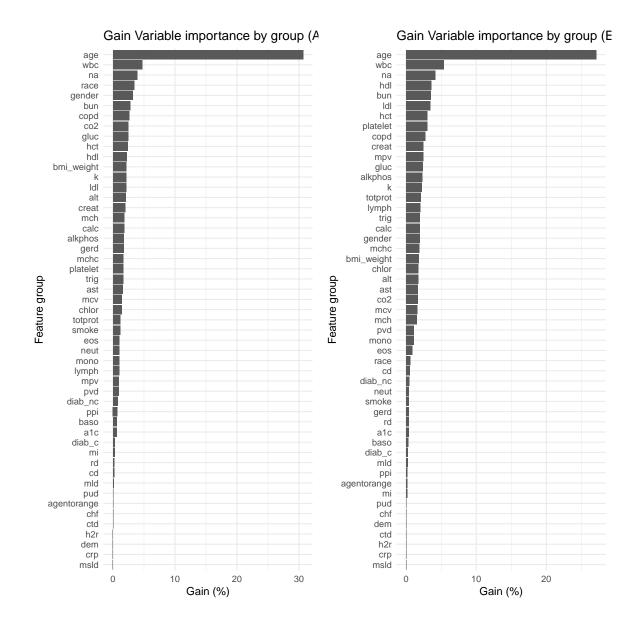
| Stage         | Test. AUC            | Nb. cases |
|---------------|----------------------|-----------|
| Any           | 0.741 [0.725,0.756]  | 764       |
| I             | 0.768 [0.731,0.804]  | 145       |
| II            | 0.757 [0.719, 0.794] | 133       |
| III           | 0.754 [0.727, 0.781] | 228       |
| IV            | 0.729 [0.705, 0.753] | 331       |
| $\mathbf{I}+$ | 0.743 [0.727,0.760]  | 635       |
| II+           | 0.738 [0.720, 0.756] | 561       |
| III+          | 0.735 [0.716, 0.754] | 499       |
| IV+           | 0.729 [0.705, 0.753] | 331       |

### 7 Variable importance

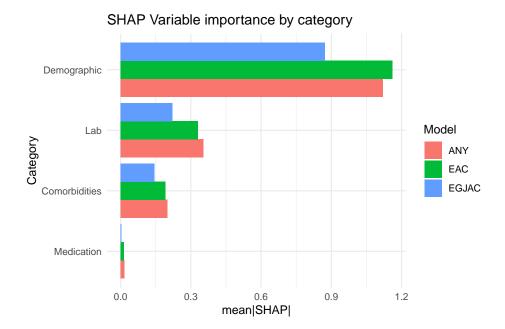
#### 7.1 Gain VI

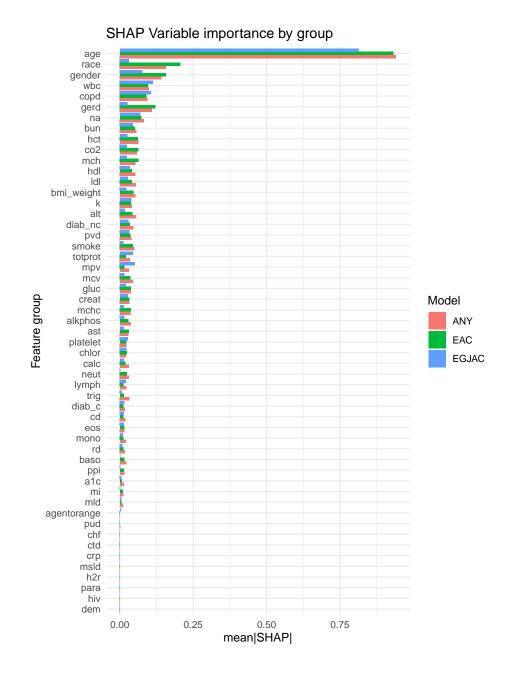


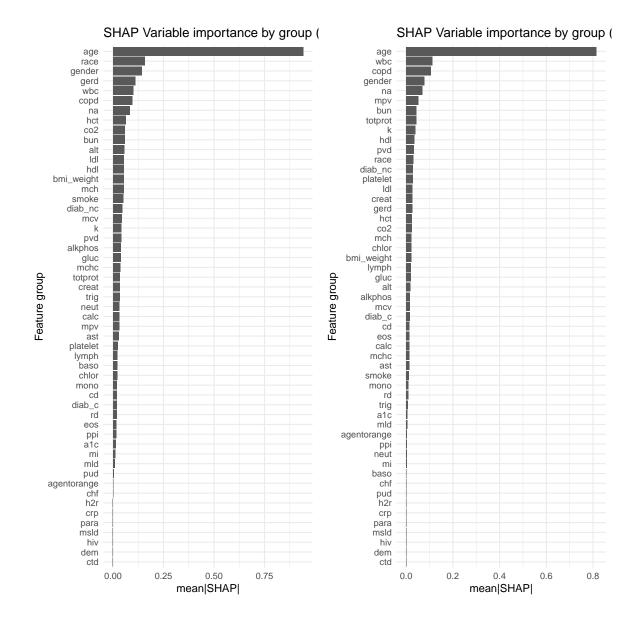




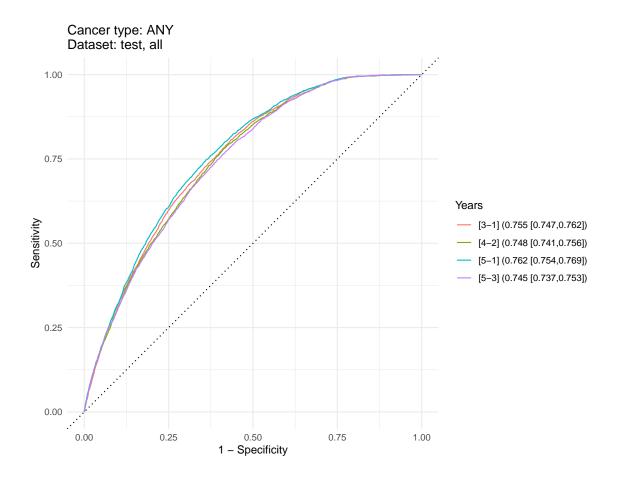
### 7.2 SHAP VI

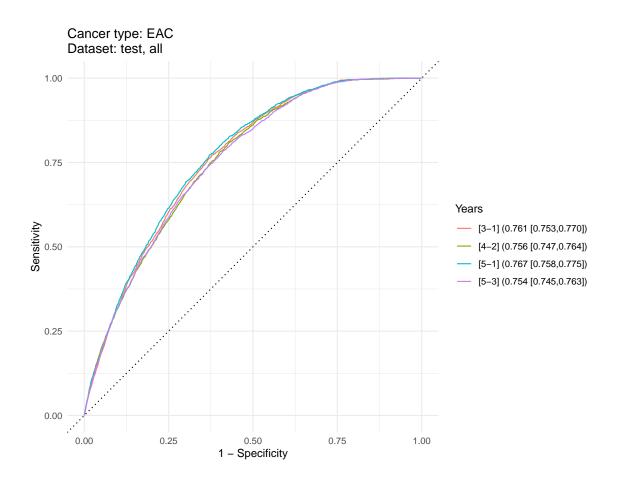


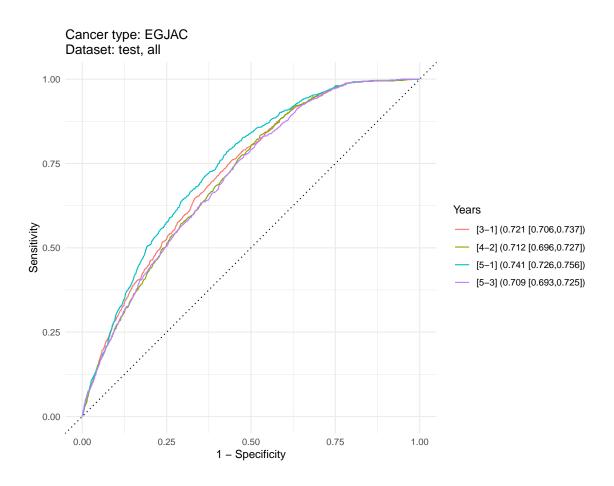




## 8 Years prior







### 9 SHAP correlation and imputation model

