Condensed Matter Physics

Problem Set 1

Einstein, Debye, Drude, and Free Electron Models

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1.1 Einstein solid

(a) Given $H = p^2/2m + kx^2/2$, we have the classical partition function:

$$Z = \frac{1}{(2\pi\hbar)^3} \int e^{-\beta H} d^3x d^3p$$

$$= \frac{1}{(2\pi\hbar)^3} \int e^{-\beta p^2/2m} d^3p \int e^{-\beta kx^2/2} d^3x$$

$$= \frac{1}{(2\pi\hbar)^3} (4\pi)^2 \int_0^\infty e^{-\beta p^2/2m} p^2 dp \int_0^\infty e^{-\beta kx^2/2} x^2 dx$$

$$= \frac{2}{\pi\hbar^3} \frac{\pi}{16} \left(\frac{\beta}{2m}\right)^{-3/2} \left(\frac{k\beta}{2}\right)^{-3/2}$$

$$= \frac{1}{\hbar^3} \left(\frac{m}{k}\right)^{3/2} \beta^{-3}$$
(1)

The internal energy is given by:

$$U = -\frac{\partial}{\partial \beta} \ln Z$$

$$= -\frac{\partial}{\partial \beta} (\ln \beta^{-3})$$

$$= 3\beta^{-1}$$

$$= 3k_B T$$
(2)

which gives a heat capacity of $C_V = 3k_B$.

If we consider a solid of N atoms, the partition function becomes:

$$Z_N = Z^N \tag{3}$$

since in a solid, the atoms are distinguishable (by their positions).

The internal energy is then:

$$U_N = -\frac{\partial}{\partial \beta} \ln Z_N$$

$$= 3Nk_B T$$
(4)

leading to a heat capacity of $C_V = 3Nk_B = 3R$.

(b) For a quantum mechanical harmonic oscillator, the energy levels are quantized as:

$$E_j = \hbar\omega \left(j + \frac{1}{2}\right) \tag{5}$$

This gives the partition function:

$$Z = \sum_{j=0}^{\infty} e^{-\beta\hbar\omega(j+1/2)} = \frac{e^{-\beta\hbar\omega/2}}{1 - e^{-\beta\hbar\omega}} = \frac{e^{\beta\hbar\omega/2}}{e^{\beta\hbar\omega} - 1}$$
 (6)

which can be related to the Bose-Einstein statistics:

$$Z = e^{-\beta\hbar\omega/2} n_B(\beta\hbar\omega) \tag{7}$$

This implies that a quantum harmonic oscillator can be treated as a Bose-Einstein gas with a single quantum state.

The internal energy is given by:

$$U = -\frac{\partial}{\partial \beta} \ln Z$$

$$= \frac{\hbar \omega}{2} + \hbar \omega \frac{1}{e^{\beta \hbar \omega} - 1}$$
(8)

where we should discard the zero-point energy $\hbar\omega/2$.

The heat capacity is then:

$$C_{V} = \frac{\partial U}{\partial T}$$

$$= \frac{\partial U}{\partial \beta} \frac{\partial \beta}{\partial T}$$

$$= \frac{\hbar^{2} \omega^{2}}{k_{B} T^{2}} \frac{e^{\beta \hbar \omega}}{(e^{\beta \hbar \omega} - 1)^{2}}$$
(9)

At high temperatures, $\beta\hbar\omega \ll 1$, we have $e^{\beta\hbar\omega} \approx 1 + \beta\hbar\omega$, and the internal energy becomes:

$$U = \frac{\hbar\omega}{2} + \frac{\hbar\omega}{e^{\beta\hbar\omega} - 1} \approx \frac{\hbar\omega}{2} + k_B T \tag{10}$$

leading to a constant heat capacity of $C_V = k_B$ as expected from the equipartition theorem.

1.2 Debye Theory

- (a) In Debye theory, we assume the following:
 - The solid is isotropic and homogeneous.
 - Atoms behave as quantum harmonic oscillators that do not interact with each other.

• Size of the solid is large enough for continuum approximation to be valid.

We know the density of states in k-space $g(k) dk = 3 \times Vk^2/(2\pi^2)$ where the factor 3 accounts for the three modes of vibration. Transforming to ω -space using the relation $\omega = vk$:

$$\tilde{g}(\omega) = g(k) \frac{\mathrm{d}k}{\mathrm{d}\omega} = \frac{3V}{2\pi^2} \frac{\omega^2}{v^3} \tag{11}$$

We can then write the internal energy as:

$$U = \int_{0}^{\omega^{*}} \hbar \omega \tilde{g}(\omega) \left(\frac{1}{e^{\beta\hbar\omega} - 1}\right) d\omega$$

$$= \frac{3V\hbar}{2\pi^{2}v^{3}} \int_{0}^{\omega^{*}} \frac{\omega^{3}}{e^{\beta\hbar\omega} - 1} d\omega$$

$$= \frac{9n\hbar}{\omega_{d}^{3}} \int_{0}^{\omega^{*}} \frac{\omega^{3}}{e^{\beta\hbar\omega} - 1} d\omega$$
(12)

where we define $\omega_d \equiv 6\pi^2 nv^3$ as the Debye frequency and the upper limit of the integral is given by the condition:

$$\int_0^{\omega^*} \tilde{g}(\omega) \, d\omega = 3N \tag{13}$$

At low temperatures, $\beta\hbar\omega\gg 1$, we can approximate the integrand as:

$$U \approx \frac{9n\hbar}{\omega_d^3} \int_0^{\omega^*} \omega^3 e^{-\beta\hbar\omega} d\omega$$

$$= \frac{9n\hbar}{\omega_d^3} e^{-\beta\hbar\omega} \frac{sx}{}$$
(14)

At high temperatures, $\beta\hbar\omega \ll 1$, we can expand the integrand as:

$$U \approx \frac{9n\hbar}{\omega_d^3} \int_0^{\omega^*} \omega^2 \frac{1}{\beta\hbar\omega} d\omega = \frac{9n\hbar}{\omega_d^3} \frac{(\omega^*)^3 k_B}{3\hbar} T$$
 (15)

which leads to a constant heat capacity.

(b)

1.3 Drude Theory of Transport in Metals

(a) Given a scattering time τ , we have the differential equation:

$$dp = F dt - \frac{p}{\tau} dt \tag{16}$$

This means that in equilibrium, the average momentum is $F\tau$. Now let F=-eE and p=mv, we have the drift velocity:

$$\mathbf{v} = -\frac{e\tau}{m}\mathbf{E} \tag{17}$$

The current density is then:

$$\mathbf{J} = -ne\mathbf{v} = \frac{ne^2\tau}{m}\mathbf{E} \tag{18}$$

so that the conductivity is $\sigma_0 = ne^2\tau/m$.

(b) Now we include magnetic effects in the Lorentz force, leading to:

$$\mathbf{v} = -\frac{\tau e}{m} \left(\mathbf{E} + \mathbf{v} \times \mathbf{B} \right) \tag{19}$$

Working in index notation and solving for E_i :

$$E_{i} = -\frac{m}{\tau e} v_{i} - \epsilon_{ijk} v_{j} B_{k}$$

$$= \frac{m}{\tau n e^{2}} J_{i} + \frac{1}{n e} \epsilon_{ijk} J_{j} B_{k}$$

$$= \left(\frac{m}{\tau n e^{2}} \delta_{ij} + \frac{1}{n e} \epsilon_{ijk} B_{k}\right) J_{j}$$
(20)

Defining the resistivity matrix via $\mathbf{E} = \rho \mathbf{J}$, we have ρ_{ij} :

$$\rho_{ij} = \frac{m}{\tau n e^2} \delta_{ij} + \frac{1}{ne} \epsilon_{ijk} B_k \tag{21}$$

Consider the simple case where B only has a z-component, then ρ_{ij} becomes:

$$\rho = \begin{pmatrix} \rho_0 & \rho_H & 0 \\ -\rho_H & \rho_0 & 0 \\ 0 & 0 & \rho_0 \end{pmatrix}$$
(22)

where $\rho_0 = m/\tau ne^2$ and $\rho_H = B/ne$.

The inverse of this matrix is:

$$\sigma = \begin{pmatrix} \frac{\rho_0}{\rho_0^2 + \rho_H^2} & -\frac{\rho_H}{\rho_0^2 + \rho_H^2} & 0\\ \frac{\rho_H}{\rho_0^2 + \rho_H^2} & \frac{\rho_0}{\rho_0^2 + \rho_H^2} & 0\\ 0 & 0 & \frac{1}{\rho_0} \end{pmatrix}$$
(23)

(c) The Hall effect is defined by the second term in the resistivity tensor:

$$R_H \equiv -\frac{1}{ne} \tag{24}$$

We have the estimate of the Hall coefficient:

$$R_H = -\frac{1}{ne} = -\frac{M}{\rho e} \tag{25}$$

and a current density $J = I/d^2$, leading to a Hall voltage:

$$V_H = \frac{JB}{ned} \tag{26}$$

(d)

(e) Now consider AC field where $E_i = E_{0,i}e^{i\omega t}$ and $B_i = B_{0,i}e^{i\omega t}$ where $B_{0,i}$ satisfies:

$$B_{0,i} = \frac{\epsilon_{ijk}\kappa_j E_{0,k}}{\omega} \tag{27}$$

where κ_j is the wave vector.

Consider the conductivity equation:

$$E_i = \left(\frac{m}{\tau n e^2} \delta_{ij} + \frac{1}{n e} \epsilon_{ijk} B_k\right) J_j \tag{28}$$

In the limit of $\tau \to \infty$, we have the x-component of the equation:

$$E_{0,x}e^{i\omega t} = \frac{1}{ne}B_z J_y = \frac{1}{ne}cE_{0,x}e^{i\omega t}J_y$$
 (29)

which gives $J_y = \frac{ne}{c}$ as a constant.

This implies that even if $E_{0,x} \to 0$, the current J_y is still finite, indicating a diverging conductivity.

1.4 Fermi surface in the free electron (Sommerfeld) theory of metals

- (a) The Fermi energy is defined as the energy of the highest occupied state at zero temperature in a system of fermions. It is the chemical potential at zero temperature. Given the Fermi energy E_F , we define Fermi temperature $T_F = E_F/k_B$. The Fermi surface is the boundary in the phase space that separates the filled states from the empty states at zero temperature.
- (b) Given the density of states $g(k) = 2 \times Vk^2/(2\pi^2)$, where the factor 2 accounts for the two spin states, we can calculate the Fermi wave vector k_F :

$$N = \int_0^{k_F} g(k) \, \mathrm{d}k = \frac{V k_F^3}{3\pi^2} \tag{30}$$

which gives $k_F = (3\pi^2 n)^{1/3}$.

The Fermi energy is then:

$$E_F = \frac{\hbar^2 k_F^2}{2m} = \frac{\hbar^2 (3\pi^2 n)^{2/3}}{2m} \tag{31}$$

Consider the derivative dE_F/dN :

$$\frac{\mathrm{d}E_F}{\mathrm{d}N} = \frac{2}{3} \frac{\hbar^2 (3\pi^2 V^{-1})^{2/3}}{2m} N^{-1/3} = \frac{2}{3} \frac{E_F}{N}$$
(32)

so that $dN/dE_F = 3N/(2E_F)$.

(c) For sodium with $\rho = 10^3$ kg/m³ and M = 23u, we have $n = \rho/M$ so that the Fermi energy is:

$$E_F = 5.11 \times 10^{-19} \,\mathrm{J} \tag{33}$$

(d) For a 2D gas, we have $g(k) = Ak/2\pi$ so that:

$$N = \int_0^{k_F} g(k) \, \mathrm{d}k = \frac{Ak_F^2}{4\pi} \tag{34}$$

and:

$$E_F = \frac{\hbar^2 k_F^2}{2m} = \frac{2\hbar^2 \pi n}{m} \tag{35}$$

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1.5 Velocities in the free electron theory

(a) Given the Fermi wave vector k_F , we have the Fermi velocity:

$$v_F = \frac{\hbar k_F}{m} = \frac{\hbar}{m} (3\pi^2 n)^{1/3} \tag{36}$$

(b) We know that $J = \sigma E$ and $J = |nev_F|$, so that:

$$v_d = \left| \frac{\sigma E}{ne} \right| \tag{37}$$

From the scattering time analysis, we have $mv_d = eE\tau$ so that:

$$m\frac{\sigma E}{ne} = eE\tau$$

$$\sigma = \frac{ne^2\tau}{m}$$

$$= \frac{ne^2\lambda}{mv_F}$$
(38)

(c) In the given scenario, we have $v_d = 4.36 \times 10^{-3} \,\mathrm{m/s}$ and $v_F = 2.92 \times 10^{-18} \,\mathrm{m/s}$. The drift velocity is much larger than the Fermi velocity, indicating that the electrical effects overwhelm the quantum mechanical effects. The mean free path is then $\lambda = 8.4 \times 10^{-28} \,\mathrm{m}$, which is too small to be true. In fact, from $n = 1/d^3$, we have $d = 2.3 \times 10^{-10} \,\mathrm{m}$ which is the lattice spacing of copper.

1.6 Physical properties of the free electron gas

(a) We attempt to give an estimate of the integral:

$$U = \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \int_0^\infty \frac{\epsilon^{3/2}}{e^{\beta(\epsilon-\mu)} + 1} d\epsilon \tag{39}$$

We argue that this can be expanded into a series in T:

$$U = U(T = 0) + C_V T + \mathcal{O}(T^2)$$
(40)

To determine C_V , note the fact that the deviation of the Fermi statistics from a step function is approximately symmetric about μ and the smeared region is of width k_BT . Then the excited electrons contribute to the energy by the amount:

$$C_V T = \gamma V \tilde{g}(E_F) k_B T T \tag{41}$$

which suggests that he heat capacity is proportional to T.

(b) The electron in a magnetic field has energy:

$$\epsilon = \frac{\hbar^2 k^2}{2m} \pm \mu_B B \tag{42}$$

and we have the magnetisation:

$$M = -\frac{1}{V} \frac{\partial U}{\partial B} \tag{43}$$

When magnetic field is applied, spin-up electrons are are more energetically expensive by $\mu_B B$ so that the number decrease by $\tilde{g}(E_F)\mu_B B/2$, which is compensated by the increase in the number of spin-down electrons. The magnetisation is then:

$$M = \tilde{g}(E_F)\mu_B^2 B \tag{44}$$

which leads to the magnetic susceptibility:

$$\chi = \mu_0 \mu_B^2 \tilde{g}(E_F) = \mu_0 \mu_B^2 \frac{3n}{2E_F} \tag{45}$$

- (c) Classically, the heat capacity of a gas is constant at high temperatures and the susceptibility is inverse proportional to temperature (Curie's law). The above results differ from the classical results.
- (d) For the heat capacity of the form $C = \gamma T + \alpha T^3$, the first term is explained by our previous analysis. The second term is the next order T^2 in the energy expansion.