

## 3.3. Monday for MAT4002

### 3.3.1. Remarks on Basis and Homeomorphism

Reviewing.

1.  $A \subseteq A_S \subseteq \overline{A}$ , where  $A_S$  is sequential closure and  $\overline{A}$  denotes closure.
2. Subspace topology.
3. Homeomorphism. Consider the mapping  $f : X \rightarrow Y$  with the topological space  $X, Y$  shown below, with the standard topology, the question is whether  $f$  is continuous?

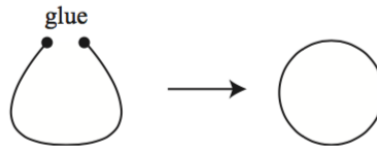


Figure 3.1: Diagram for mapping  $f$

The answer is no, since the left in (3.1) can be isomorphically mapped into  $(0, 1)$ ; the right can be isomorphically mapped into  $[0, 1]$ , and the mapping  $(0, 1) \rightarrow [0, 1]$  cannot be isomorphism:

*Proof.* Assume otherwise the mapping  $g : (0, 1) \rightarrow [0, 1]$  is isomorphism, and therefore  $f^{-1}(U)$  is open for any open set  $U$  in the space  $[0, 1]$ .

Construct  $U = (1 - \delta, 1]$  for  $\delta \leq 1$ , and therefore  $f^{-1}((1 - \delta, 1])$  is open, and therefore for the point  $x = f^{-1}(1)$ , there exists  $\varepsilon > 0$  such that

$$B_\varepsilon(x) \subseteq f^{-1}((1 - \delta, 1]) \implies [x - \varepsilon, x) \subseteq f^{-1}((1 - \delta, 1)), \text{ and } (x, x + \varepsilon] \subseteq f^{-1}((1 - \delta, 1)).$$

which implies that there exists  $a, b$  such that  $[x - \varepsilon, x) = f^{-1}((a, 1))$  and  $(x, x + \varepsilon] = f^{-1}((b, 1))$ , i.e.,  $f^{-1}((a, b) \cap (b, 1))$  admits into two values in  $[x - \varepsilon, x)$  and  $(x, x + \varepsilon]$ , which is a contradiction. ■

4. Basis of a topology  $\mathcal{B} \subseteq (X, \mathcal{T})$  is a collection of open sets in the space such that the whole space can be recovered, or equivalently

- (a)  $\mathcal{B} \subseteq \mathcal{T}$
- (b) Every set in  $\mathcal{T}$  can be expressed as a union of sets in  $\mathcal{B}$

Example: Let  $\mathbb{R}^n$  be equipped with usual topology, then

$$\mathcal{B} = \{B_q(x) \mid x \in \mathbb{Q}^n, q \in \mathbb{Q}^+\} \text{ is a basis of } \mathbb{R}^n.$$

It suffices to show  $U \subseteq \mathbb{R}^n$  can be written as

$$U = \bigcup_{x \in \mathbb{Q}^n} B_{q_x}(x)$$

**Proposition 3.4** Let  $X, Y$  be topological spaces, and  $\mathcal{B}$  a basis for topology on  $Y$ . Then

$$f : X \rightarrow Y \text{ is continuous} \iff f^{-1}(B) \text{ is open in } X, \forall B \in \mathcal{B}$$

Therefore checking  $f^{-1}(U)$  is open for all  $U \in \mathcal{T}_Y$  suffices to checking  $f^{-1}(B)$  is open for all  $B \in \mathcal{B}$ .

*Proof.* The forward direction follows from the fact  $\mathcal{B} \subseteq \mathcal{T}_Y$ .

To show the reverse direction, let  $U \in \mathcal{T}_Y$ , then  $U = \bigcup_{i \in I} B_i$ , where  $B_i \in \mathcal{B}$ , which implies

$$f^{-1}(U) = f^{-1}\left(\bigcup_{i \in I} B_i\right) = \bigcup_{i \in I} f^{-1}(B_i)$$

which is open in  $X$  by our hypothesis. ■

**Corollary 3.1** Let  $f : X \rightarrow Y$  be a bijection. Suppose there is a basis  $\mathcal{B}_X$  of  $\mathcal{T}_X$  such that  $\{f(B) \mid B \in \mathcal{B}_X\}$  forms a basis of  $\mathcal{T}_Y$ . Then  $X \cong Y$ .

*Proof.* Suppose  $W \in \mathcal{T}_Y$ , then by our hypothesis,

$$W = \bigcup_{i \in I} f(B_i), B_i \in \mathcal{B}_X \implies f^{-1}(W) = \bigcup_{i \in I} B_i \in \mathcal{T}_X,$$

which implies  $f$  is continuous.

Suppose  $U \in \mathcal{T}_X$ , then

$$U = \bigcup_{i \in I} B_i \implies f(U) = \bigcup_{i \in I} f(B_i) \in \mathcal{T}_Y \implies [f^{-1}]^{-1}(U) \in \mathcal{T}_Y,$$

i.e.,  $f$  is continuous. ■

Question: *how to recognise whether a family of subsets is a basis for some given topology?*

**Proposition 3.5** Let  $X$  be a set,  $\mathcal{B}$  is a collection of subsets satisfying

1.  $X$  is a union of sets in  $\mathcal{B}$ , i.e., every  $x \in X$  lies in some  $B_x \in \mathcal{B}$
2. The intersection  $B_1 \cap B_2$  for  $\forall B_1, B_2 \in \mathcal{B}$  is a union of sets in  $\mathcal{B}$ , i.e., for each  $B_1, B_2 \in \mathcal{B}$ , and  $x \in B_1 \cap B_2$ , then there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

Then the collection of subsets  $\mathcal{T}_{\mathcal{B}}$ , formed by taking any union of sets in  $\mathcal{B}$ , is a topology, and  $\mathcal{B}$  is a basis for  $\mathcal{T}_{\mathcal{B}}$ .

*Proof.* 1.  $\emptyset \in \mathcal{T}_{\mathcal{B}}$  (taking nothing from  $\mathcal{B}$ ); for  $x \in X, B_x \in \mathcal{B}$ , by hypothesis (1),

$$X = \bigcup_{x \in X} B_x \in \mathcal{T}_{\mathcal{B}}$$

2. Suppose  $T_1, T_2 \in \mathcal{T}_{\mathcal{B}}$ . Let  $x \in T_1 \cap T_2$ , where  $T_i$  is a union of subsets in  $\mathcal{B}$ . Therefore,

$$\begin{cases} x \in B_1 \subseteq T_1, & B_1 \in \mathcal{B} \\ x \in B_2 \subseteq T_2, & B_2 \in \mathcal{B} \end{cases}$$

which implies  $x \in B_1 \cap B_2$ , i.e.,  $x \in B_x \subseteq B_1 \cap B_2$  for some  $B_x \in \mathcal{B}$ . Therefore,

$$\bigcup_{x \in B_1 \cap B_2} \{x\} \subseteq \bigcup_{x \in B_1 \cap B_2} B_x \subseteq B_1 \cap B_2,$$

i.e.,  $B_1 \cap B_2 = \bigcup_{x \in B_1 \cap B_2} B_x$ , i.e.,  $B_1 \cap B_2 \in \mathcal{T}_{\mathcal{B}}$ .

3. The property that  $\mathcal{T}_{\mathcal{B}}$  is closed under union operations can be checked directly.

The proof is complete. ■

### 3.3.2. Product Space

Now we discuss how to construct new topological spaces out of given ones is by taking Cartesian products:

**Definition 3.4** Let  $(X, \mathcal{T}_X), (Y, \mathcal{T}_Y)$  be topological spaces. Consider the family of subsets in  $X \times Y$ :

$$\mathcal{B}_{X \times Y} = \{U \times V \mid U \in \mathcal{T}_X, V \in \mathcal{T}_Y\}$$

This  $\mathcal{B}_{X \times Y}$  forms a basis of a topology on  $X \times Y$ . The induced topology from  $\mathcal{B}_{X \times Y}$  is called **product topology**. ■

For example, for  $X = \mathbb{R}, Y = \mathbb{R}$ , the elements in  $\mathcal{B}_{X \times Y}$  are rectangles.

*Proof for well-definedness in definition (3.4).* We apply proposition (3.5) to check whether  $\mathcal{B}_{X \times Y}$  forms a basis:

1. For any  $(x, y) \in X \times Y$ , we imply  $x \in X, y \in Y$ . Note that  $X \in \mathcal{T}_X, Y \in \mathcal{T}_Y$ , we imply  $(x, y) \in X \times Y \in \mathcal{B}_{X \times Y}$ .
2. Suppose  $U_1 \times V_1, U_2 \times V_2 \in \mathcal{B}_{X \times Y}$ , then

$$(U_1 \times V_1) \cap (U_2 \times V_2) = (U_1 \cap U_2) \times (V_1 \cap V_2),$$

where  $U_1 \cap U_2 \in \mathcal{T}_X, V_1 \cap V_2 \in \mathcal{T}_Y$ . Therefore,  $(U_1 \times V_1) \cap (U_2 \times V_2) \in \mathcal{B}_{X \times Y}$ . ■

**R** However, the product topology may not necessarily become the largest topology in the space  $X \times Y$ . Consider  $X = \mathbb{R}, Y = \mathbb{R}$ , the open set in the space  $X \times Y$  may not necessarily be rectangles. However, all elements in  $\mathcal{B}_{X \times Y}$  are rectangles.

■ **Example 3.8** The space  $\mathbb{R} \times \mathbb{R}$  is isomorphic to  $\mathbb{R}^2$ , where the product topology is defined on  $\mathbb{R} \times \mathbb{R}$  and the standard topology is defined on  $\mathbb{R}^2$ :

Construct the function  $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}^2$  with  $(a, b) \rightarrow (a, b)$ .

Obviously,  $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}^2$  is a bijection.

Take the basis of the topology on  $\mathbb{R}$  as open intervals,

$$B_X = \{(a, b) \mid a < b \text{ in } \mathbb{R}\}$$

Therefore, one can verify that the set  $\mathcal{B} := \{(a, b) \times (c, d) \mid a < b, c < d\}$  forms a basis for the product topology, and

$$\{f(B) \mid B \in \mathcal{B}\} = \{(a, b) \times (c, d) \mid a < b, c < d\}$$

forms a basis of the usual topology in  $\mathbb{R}^2$ .

By Corollary (3.1), we imply  $\mathbb{R} \times \mathbb{R} \cong \mathbb{R}^2$ . ■

We also raise an example on the homeomorphism related to product spaces:

■ **Example 3.9** Let  $S^1 = \{(\cos x, \sin x) \mid x \in [0, 2\pi]\}$  be a unit circle on  $\mathbb{R}^2$ .

Consider  $f : S^1 \times (0, \infty) \rightarrow \mathbb{R}^2 \setminus \{\mathbf{0}\}$  defined as

$$f(\cos x, \sin x, r) \mapsto (r \cos x, r \sin x)$$

It's clear that  $f$  is a bijection, and  $f$  is continuous. Moreover, the inverse  $g := f^{-1}$  is defined as

$$g(a, b) = \left( \frac{a}{\sqrt{a^2 + b^2}}, \frac{b}{\sqrt{a^2 + b^2}}, \sqrt{a^2 + b^2} \right)$$

which is continuous as well. Therefore, the  $f : S^1 \times (0, \infty) \rightarrow \mathbb{R}^2 \setminus \{\mathbf{0}\}$  is a homeomorphism. ■