

# SEMINAR NOTES: COMMUTATIVE ALGEBRAS

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## CONTENTS

### 1. Rings and Ideals

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#### 1. RINGS AND IDEALS

**Definition 1.1.** A **ring**  $R$  is a set with two maps (addition)  $+$  :  $R \times R \rightarrow R$ , (multiplication)  $\times$  :  $R \times R \rightarrow R$  (denote  $+(x, y)$  by  $x + y$  and  $\times(x, y)$  by  $x \times y$ ) that satisfy following properties

- (1)  $R$  is an abelian group with respect to addition, its identity is denoted by 0;
- (2)  $R$  is a monoid with identity  $1 \in R$  with respect to multiplication;
- (3)  $z \times (x + y) = z \times x + z \times y$  and  $(x + y) \times z = x \times z + y \times z$  for any given  $x, y, z$ .

We typically write  $xy$  for  $x \times y$ .

In a ring  $R$ , if  $1 = 0$ , then  $R$  has only one element, it is trivial and called **zero ring**. Denoted zero ring by 0.

Suppose  $R$  be a ring.  $R$  is commutative if for any  $x, y \in R$ ,  $xy = yx$ . Rings mentioned in this notes will always be commutative other assumption.

**Definition 1.2.** Let  $A$  and  $B$  be two rings.  $1_A$  and  $1_B$  are their identities. A ring homomorphism from  $A$  to  $B$  is a map  $f : A \rightarrow B$ , which preserves both addition and multiplication structure, that means, for any  $x, y \in A$

$$\begin{aligned} f(x + y) &= f(x) + f(y) \\ f(xy) &= f(x)f(y) \\ f(1_A) &= 1_B \end{aligned}$$

Suppose  $f : A \rightarrow B$  be a ring homomorphism. We have  $f(0_A) = f(1_A - 1_A) = f(1_A) - f(1_A) = 1_B - 1_B = 0_B$ .

- (1) If  $f$  is surjective as map, then  $f$  is called surjective homomorphism.
- (2) If  $f$  is injective as map, then  $f$  is called injective homomorphism.

**Definition 1.3.** An **isomorphism** between two rings  $A$  and  $B$  is a ring homomorphism  $f : A \rightarrow B$  such that there is another ring homomorphism  $g : B \rightarrow A$  satisfying

$$f \circ g = \text{id}_B \quad g \circ f = \text{id}_A$$

*Remark 1.1.*  $f$  is isomorphism if and only if  $f$  is both surjective and injective as ring homomorphism.

*Proof.* If  $f$  is isomorphism, then  $f(x) = f(y)$  implies  $g(f(x)) = g(f(y))$ . But  $g \circ f = \text{id}_A$ , so  $x = y$ . Hence  $f$  is injective. For any  $b \in B$ ,  $b = f \circ g(b)$  since  $f \circ g = \text{id}_B$ . Let  $a = g(b)$ ,  $b = f(a)$ . That means  $f$  is surjective.

If  $f$  is both injective and surjective homomorphism, then we only need to check if  $f^{-1}$  is ring homomorphism.  $f(f^{-1}(b_1 + b_2)) = b_1 + b_2 = f \circ f^{-1}(b_1) + f \circ f^{-1}(b_2) = f(f^{-1}(b_1) + f^{-1}(b_2))$ . Since  $f$  is surjective,  $f^{-1}(b_1 + b_2) = f^{-1}(b_1) + f^{-1}(b_2)$ .

Similarly,  $f^{-1}(b_1 b_2) = f^{-1}(b_1) f^{-1}(b_2)$ . □

It is not always true in arbitrary category (**Top**, **Sch**/ $k$ , **Mod** $_k$ , etc).

If two rings are isomorphic, then we view them as same object in ring category.

**Definition 1.4.** Let  $R$  be a ring. We call  $i : \tilde{R} \rightarrow R$  is a subring if  $i$  is injective ring homomorphism, written as  $\tilde{R} \subset R$

*Remark 1.2.* The definition of subring in "Atiyah& MacDonald" is not exact since it doesn't require  $\tilde{R}$  to be even a ring.

*Remark 1.3.*  $i(\tilde{R}) \simeq \tilde{R}$ , so  $\tilde{R}$  can be viewed as  $i(\tilde{R})$  whose elements are in  $R$ .

**Definition 1.5.** Let  $R$  be a ring,  $I$  be an additive subgroup of  $R$ .  $I$  is called an **ideal** of  $R$  if for any  $r \in R$

$$rI := \{ra | a \in I\} \subset I$$

$$Ir := \{ar | a \in I\} \subset I$$

Since  $R$  is commutative,  $Ir = rI$ . We only need to check one of them. If  $I$  is ideal of  $R$ , then we denote the fact by  $I \triangleleft R$ .

An ideal  $\mathfrak{p} \triangleleft R$  is called **prime ideal** if  $xy \in \mathfrak{p}$  implies either  $x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$ .

An ideal  $\mathfrak{m} \triangleleft R$  is called **maximal ideal** if  $\mathfrak{m} \neq (1)$  and if there is no ideal  $I$  such that  $\mathfrak{m} \subsetneq I \subsetneq (1)$ .

$$\text{Ideal}(R) := \{\text{ideals of } R\}$$

Let  $\varphi : A \rightarrow B$  be a ring homomorphism. Then there is induced map

$$\varphi^\# : \text{Ideal}(B) \rightarrow \text{Ideal}(A)$$

$$\mathfrak{b} \mapsto \varphi^{-1}(\mathfrak{b})$$

For any  $x, y \in \varphi^{-1}(\mathfrak{b})$ ,  $\varphi(x+y) = \varphi(x) + \varphi(y) \in \mathfrak{b}$ ,  $\varphi(ax) = \varphi(a)\varphi(x) \in \mathfrak{b}$  implies that  $ax \in \varphi^{-1}(\mathfrak{b})$ . Hence  $\varphi^{-1}(\mathfrak{b}) \in \text{Ideal}(A)$ . Furthermore, it can be checked that  $\varphi^\#$  is map from  $\text{Spec} B$  to  $\text{Spec} A$ .

$$\ker \varphi := \{a \in A | \varphi(a) = 0\} = \varphi^{-1}((0))$$

If  $a_0 \in \ker \varphi$ , then for any  $a \in A$ ,  $\varphi(aa_0) = \varphi(a)\varphi(a_0) = 0$ , so  $aa_0 \in \ker \varphi$ . Hence  $\ker \varphi \in \text{Ideal}(A)$ . Since 0 is contained in any ideals,  $\varphi^\#(\mathfrak{b}) = \varphi^{-1}(\mathfrak{b}) \supset \ker \varphi$

**Lemma 1.1.** Let  $I \triangleleft R$ . Relation such that  $\sim_I$  on  $R$  defined as  $x \sim_I y$  if and only if  $x - y \in I$  is a equivalence relation.

*Proof.* (1)  $x - x = 0 \in I \Rightarrow x \sim_I x$   
 (2)  $x - y \in I \Rightarrow y - x = -(x - y) \in I \Rightarrow y \sim_I x$   
 (3)  $x \sim_I y, y \sim_I z \Rightarrow x - y \in I, y - z \in I \Rightarrow x - z = (x - y) + (y - z) \in I \Rightarrow x \sim_I z$ .

□

**Definition 1.6.** Let  $I$  be a ring

$$R/I := (R / \sim_I, \times, +)$$

$$\bar{x} + \bar{y} = \overline{x + y}$$

$$\bar{x} \times \bar{y} = \overline{xy}$$

is called quotient ring of  $R$  by ideal  $I$ .

*Remark 1.4.* It is easy to check  $R/I$  is well defined

$$\varphi : R \rightarrow R/I$$

$$r \mapsto \bar{r}$$

$\varphi(r_1 + r_2) = \overline{r_1 + r_2} = \bar{r}_1 + \bar{r}_2 = \varphi(r_1) + \varphi(r_2)$ ,  $\varphi(r_1 r_2) = \overline{r_1 r_2} = \bar{r}_1 \bar{r}_2 = \varphi(r_1) \varphi(r_2)$  and  $\overline{1_R r} = 1_R \bar{r} = \bar{r}$ , so  $\varphi(1_R) = \bar{1}_R$  is identity of  $R/I$ . Hence  $\varphi$  is ring homomorphism.

FACT:

- (1)  $\ker \varphi = I$ ;
- (2)  $\varphi$  is surjective;
- (3)  $\varphi^\#$  is injective. If  $\varphi^\#(\bar{\alpha}) = \varphi^\#(\bar{\beta})$ , then  $\varphi^{-1}(\bar{\alpha}) = \varphi^{-1}(\bar{\beta})$ .  $\varphi$  is surjective so  $\bar{\alpha} = \bar{\beta}$ .

- (4) If  $\ker \varphi \subset I \triangleleft R$ , then for any  $\bar{i} \in \varphi(I)$ ,  $\bar{r}\bar{i} = \overline{ri} = \varphi(ri)$  and  $\varphi(I)$  is additive subgroup of  $R/I$ ,  $\varphi(I) \in \text{Ideal}(R/I)$ .  $\varphi$  is surjective, so  $I = \varphi^{-1}(\varphi(I)) = \varphi^\#(\varphi(I))$ .

(3) and (4) implies following proposition.

**Proposition 1.2.**  $\varphi^\#$  is one-to-one correspondence between  $\text{Ideal}(B/I)$  and set of ideals contain  $I$  in  $R$ .

□

**Definition 1.7.** Let  $R$  be a ring.

- (1)  $x \in R$  is called **zero divisor** if there is  $r \in R, r \neq 0$  such that  $rx = 0$ .
- (2)  $x \in R$  is called **nilpotent element** if  $x^n = 0$  for some  $n > 0$ .
- (3)  $x \in R$  is an **unit** of  $R$  if  $x$  has inverse under multiplication.
- (4) If  $R$  has no zero divisors except 0, then  $R$  is called **integral domain**.

*Remark 1.5.* A nilpotent element in a ring is always zero divisor since  $xx^{n-1} = 0$ . If  $x$  is a unit in  $R$ , then  $x$  is not a zero divisor. Conversely, it is not always true.

**Definition 1.8.** A **principal ideal** of  $R$  is an ideal that can be generated by one element, written as  $(x)$ , where  $x$  is the generator.

For simple example,  $(3, 6) \triangleleft \mathbb{Z}$  is principal ideal generated by 6.  $R$  itself is also a principal ideal since it can be generated by 1, written as  $(1)$ .

Following are equivalent criteria for primes ideals and maximal ideals

**Proposition 1.3.** Let  $R$  be a ring.

- (1)  $\mathfrak{p} \triangleleft R$  is prime ideal if and only if  $R/\mathfrak{p}$  is integral domain.
- (2)  $\mathfrak{m} \triangleleft R$  is maximal ideal if and only if  $R/\mathfrak{m}$  is a field.

*Proof.* (1) Let  $\mathfrak{p} \triangleleft R$  be a prime ideal. For any  $x, y \in R$ ,  $\bar{x}\bar{y} = \bar{0}$  is equivalent to  $xy \in \mathfrak{p}$ . But  $xy \in \mathfrak{p}$  implies that either  $x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$ , equivalently,  $\bar{x} = \bar{0}$  or  $\bar{y} = \bar{0}$ . This shows that  $R/\mathfrak{p}$  is integral domain.

Conversely, if  $R/\mathfrak{p}$  is integral domain, then for any  $x, y \in R$  such that  $xy \in \mathfrak{p}$ ,  $\bar{x}\bar{y} = \bar{0}$  in  $R/\mathfrak{p}$ , we have  $\bar{x} = \bar{0}$  or  $\bar{y} = \bar{0}$ . That means  $x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$ . Hence we can conclude the equivalence.

- (2) Let  $\mathfrak{m} \triangleleft R$  be a maximal ideal. If  $\bar{x} \in R/\mathfrak{m}, \bar{x} \neq \bar{0}$ , then  $x \notin \mathfrak{m}$ . Since  $\mathfrak{m}$  is maximal,  $\mathfrak{m} \subsetneq (\mathfrak{m}, x) \subset (1)$  implies that  $(\mathfrak{m}, 1) = (1)$ . That means, there exists  $y \in R$  such that  $xy = 1$ . Obviously,  $y \notin \mathfrak{m}$ , so  $\bar{x}\bar{y} = \bar{1}$ . Hence each non-zero element in  $R/\mathfrak{m}$  is unit. Hence  $R/\mathfrak{m}$  is a field.

Conversely, if  $R/\mathfrak{m}$  is a field, then  $\bar{x} \in R/\mathfrak{m}, \bar{x} \neq \bar{0}$  is unit. But  $\bar{x} \neq \bar{0}$  is equivalent to  $x \notin \mathfrak{m}$  and  $\bar{x}$  is unit  $R/\mathfrak{m}$  if and only if  $x$  is unit in  $R$ . So  $(\mathfrak{m}, x) = (1)$  if  $x \notin \mathfrak{m}$ . Hence  $\mathfrak{m}$  is maximal. The proof is complete.

□