

# SEMINAR NOTES: COMMUTATIVE ALGEBRAS

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## CONTENTS

### 1. Rings and Ideals

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#### 1. RINGS AND IDEALS

**Definition 1.1.** A **ring**  $R$  is a set with two maps (addition)  $+$  :  $R \times R \rightarrow R$ , (multiplication)  $\times$  :  $R \times R \rightarrow R$  (denote  $+(x, y)$  by  $x + y$  and  $\times(x, y)$  by  $x \times y$ ) that satisfy following properties

- (1)  $R$  is an abelian group with respect to addition, its identity is denoted by 0;
- (2)  $R$  is a monoid with identity  $1 \in R$  with respect to multiplication;
- (3)  $z \times (x + y) = z \times x + z \times y$  and  $(x + y) \times z = x \times z + y \times z$  for any given  $x, y, z$ .

We typically write  $xy$  for  $x \times y$ .

In a ring  $R$ , if  $1 = 0$ , then  $R$  has only one element, it is trivial and called **zero ring**. Denoted zero ring by 0.

Suppose  $R$  be a ring.  $R$  is commutative if for any  $x, y \in R$ ,  $xy = yx$ . Rings mentioned in this notes will always be commutative other assumption.

**Definition 1.2.** Let  $A$  and  $B$  be two rings.  $1_A$  and  $1_B$  are their identities. A ring homomorphism from  $A$  to  $B$  is a map  $f : A \rightarrow B$ , which preserves both addition and multiplication structure, that means, for any  $x, y \in A$

$$\begin{aligned} f(x + y) &= f(x) + f(y) \\ f(xy) &= f(x)f(y) \\ f(1_A) &= 1_B \end{aligned}$$

Suppose  $f : A \rightarrow B$  be a ring homomorphism. We have  $f(0_A) = f(1_A - 1_A) = f(1_A) - f(1_A) = 1_B - 1_B = 0_B$ .

- (1) If  $f$  is surjective as map, then  $f$  is called surjective homomorphism.
- (2) If  $f$  is injective as map, then  $f$  is called injective homomorphism.

**Definition 1.3.** An **isomorphism** between two rings  $A$  and  $B$  is a ring homomorphism  $f : A \rightarrow B$  such that there is another ring homomorphism  $g : B \rightarrow A$  satisfying

$$f \circ g = \text{id}_B \quad g \circ f = \text{id}_A$$

*Remark 1.1.*  $f$  is isomorphism if and only if  $f$  is both surjective and injective as ring homomorphism.

*Proof.* If  $f$  is isomorphism, then  $f(x) = f(y)$  implies  $g(f(x)) = g(f(y))$ . But  $g \circ f = \text{id}_A$ , so  $x = y$ . Hence  $f$  is injective. For any  $b \in B$ ,  $b = f \circ g(b)$  since  $f \circ g = \text{id}_B$ . Let  $a = g(b)$ ,  $b = f(a)$ . That means  $f$  is surjective.

If  $f$  is both injective and surjective homomorphism, then we only need to check if  $f^{-1}$  is ring homomorphism.  $f(f^{-1}(b_1 + b_2)) = b_1 + b_2 = f \circ f^{-1}(b_1) + f \circ f^{-1}(b_2) = f(f^{-1}(b_1) + f^{-1}(b_2))$ . Since  $f$  is surjective,  $f^{-1}(b_1 + b_2) = f^{-1}(b_1) + f^{-1}(b_2)$ .

Similarly,  $f^{-1}(b_1 b_2) = f^{-1}(b_1) f^{-1}(b_2)$ . □

It is not always true in arbitrary category (**Top**, **Sch**/ $k$ , **Mod** $_k$ , etc).

If two rings are isomorphic, then we view them as same object in ring category.

**Definition 1.4.** Let  $R$  be a ring. We call  $i : \tilde{R} \rightarrow R$  is a subring if  $i$  is injective ring homomorphism, written as  $\tilde{R} \subset R$

*Remark 1.2.* The definition of subring in "Atiyah& MacDonald" is not exact since it doesn't require  $\tilde{R}$  to be even a ring.

*Remark 1.3.*  $i(\tilde{R}) \simeq \tilde{R}$ , so  $\tilde{R}$  can be viewed as  $i(\tilde{R})$  whose elements are in  $R$ .

**Definition 1.5.** Let  $R$  be a ring,  $I$  be an additive subgroup of  $R$ .  $I$  is called an **ideal** of  $R$  if for any  $r \in R$

$$rI := \{ra | a \in I\} \subset I$$

$$Ir := \{ar | a \in I\} \subset I$$

Since  $R$  is commutative,  $Ir = rI$ . We only need to check one of them. If  $I$  is ideal of  $R$ , then we denote the fact by  $I \triangleleft R$ .

An ideal  $\mathfrak{p} \triangleleft R$  is called **prime ideal** if  $xy \in \mathfrak{p}$  implies either  $x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$ .

An ideal  $\mathfrak{m} \triangleleft R$  is called **maximal ideal** if  $\mathfrak{m} \neq (1)$  and if there is no ideal  $I$  such that  $\mathfrak{m} \subsetneq I \subsetneq (1)$ .

$$\text{Ideal}(R) := \{\text{ideals of } R\}$$

Let  $\varphi : A \rightarrow B$  be a ring homomorphism. Then there is induced map

$$\varphi^\# : \text{Ideal}(B) \rightarrow \text{Ideal}(A)$$

$$\mathfrak{b} \mapsto \varphi^{-1}(\mathfrak{b})$$

For any  $x, y \in \varphi^{-1}(\mathfrak{b})$ ,  $\varphi(x+y) = \varphi(x) + \varphi(y) \in \mathfrak{b}$ ,  $\varphi(ax) = \varphi(a)\varphi(x) \in \mathfrak{b}$  implies that  $ax \in \varphi^{-1}(\mathfrak{b})$ . Hence  $\varphi^{-1}(\mathfrak{b}) \in \text{Ideal}(A)$ . Furthermore, it can be checked that  $\varphi^\#$  is map from  $\text{Spec} B$  to  $\text{Spec} A$ .

$$\ker \varphi := \{a \in A | \varphi(a) = 0\} = \varphi^{-1}((0))$$

If  $a_0 \in \ker \varphi$ , then for any  $a \in A$ ,  $\varphi(aa_0) = \varphi(a)\varphi(a_0) = 0$ , so  $aa_0 \in \ker \varphi$ . Hence  $\ker \varphi \in \text{Ideal}(A)$ . Since 0 is contained in any ideals,  $\varphi^\#(\mathfrak{b}) = \varphi^{-1}(\mathfrak{b}) \supset \ker \varphi$

**Lemma 1.1.** Let  $I \triangleleft R$ . Relation such that  $\sim_I$  on  $R$  defined as  $x \sim_I y$  if and only if  $x - y \in I$  is a equivalence relation.

*Proof.* (1)  $x - x = 0 \in I \Rightarrow x \sim_I x$

(2)  $x - y \in I \Rightarrow y - x = -(x - y) \in I \Rightarrow y \sim_I x$

(3)  $x \sim_I y, y \sim_I z \Rightarrow x - y \in I, y - z \in I \Rightarrow x - z = (x - y) + (y - z) \in I \Rightarrow x \sim_I z$ . □

**Definition 1.6.** Let  $I$  be a ring

$$R/I := (R / \sim_I, \times, +)$$

$$\bar{x} + \bar{y} = \overline{x + y}$$

$$\bar{x} \times \bar{y} = \overline{xy}$$

is called quotient ring of  $R$  by ideal  $I$ .

*Remark 1.4.* It is easy to check  $R/I$  is well defined

$$\varphi : R \rightarrow R/I$$

$$r \mapsto \bar{r}$$

$\varphi(r_1 + r_2) = \overline{r_1 + r_2} = \bar{r}_1 + \bar{r}_2 = \varphi(r_1) + \varphi(r_2)$ ,  $\varphi(r_1 r_2) = \overline{r_1 r_2} = \bar{r}_1 \bar{r}_2 = \varphi(r_1) \varphi(r_2)$  and  $\overline{1_R r} = \bar{1}_R \bar{r} = \bar{r}$ , so  $\varphi(1_R) = \bar{1}_R$  is identity of  $R/I$ . Hence  $\varphi$  is ring homomorphism.

FACT:

(1)  $\ker \varphi = I$ ;

(2)  $\varphi$  is surjective;

(3)  $\varphi^\#$  is injective. If  $\varphi^\#(\bar{\alpha}) = \varphi^\#(\bar{\beta})$ , then  $\varphi^{-1}(\bar{\alpha}) = \varphi^{-1}(\bar{\beta})$ .  $\varphi$  is surjective so  $\bar{\alpha} = \bar{\beta}$ .

- (4) If  $\ker \varphi \subset I \triangleleft R$ , then for any  $\bar{i} \in \varphi(I)$ ,  $\bar{r}\bar{i} = \overline{ri} = \varphi(ri)$  and  $\varphi(I)$  is additive subgroup of  $R/I$ ,  $\varphi(I) \in \text{Ideal}(R/I)$ .  $\varphi$  is surjective, so  $I = \varphi^{-1}(\varphi(I)) = \varphi^\#(\varphi(I))$ .

(3) and (4) implies following proposition.

**Proposition 1.2.**  $\varphi^\#$  is one-to-one correspondence between  $\text{Ideal}(B/I)$  and set of ideals contain  $I$  in  $R$ .

□

**Definition 1.7.** Let  $R$  be a ring.

- (1)  $x \in R$  is called **zero divisor** if there is  $r \in R, r \neq 0$  such that  $rx = 0$ .
- (2)  $x \in R$  is called **nilpotent element** if  $x^n = 0$  for some  $n > 0$ .
- (3)  $x \in R$  is an **unit** of  $R$  if  $x$  has inverse under multiplication.
- (4) If  $R$  has no zero divisors except 0, then  $R$  is called **integral domain**.

*Remark 1.5.* A nilpotent element in a ring is always zero divisor since  $xx^{n-1} = 0$ . If  $x$  is a unit in  $R$ , then  $x$  is not a zero divisor. Conversely, it is not always true.

**Definition 1.8.** A **principal ideal** of  $R$  is an ideal that can be generated by one element, written as  $(x)$ , where  $x$  is the generator.

For simple example,  $(3, 6) \triangleleft \mathbb{Z}$  is principal ideal generated by 6.  $R$  itself is also a principal ideal since it can be generated by 1, written as  $(1)$ .

Let  $I_1 \triangleleft R, I_2 \triangleleft R$ . We give following several constructions of ideals

$$I_1 \cdot I_2 = \{xy \in R | x \in I_1, y \in I_2\} \quad \prod_{i=1}^n I_i = \{x_1 x_2 \cdots x_n \in R | x_i \in I_i\}$$

$$I_1 + I_2 = \{x + y | x \in I_1, y \in I_2\} \quad \sum_{\alpha} I_{\alpha} = \left\{ \sum_{\alpha} x_{\alpha} | x_{\alpha} \in I_{\alpha} \text{ and only finite } x_{\alpha} \text{ are not zero} \right\}$$

$I_1 \cap I_2$  is obviously an ideal since  $\forall x, y \in I_1 \cap I_2, r \in R, xr \in I_1 \cap I_2$  and  $x + y \in I_1 \cap I_2$ .

*Examples 1.6.* Let  $A = \mathbb{Z}$ ,  $(m), (n)$  two principal ideal generated by  $m$  and  $n$ .

$(m) + (n) = ((m, n))$  is generated by  $(m, n)$ , the g.c.d of  $m$  and  $n$

$(m) \cdot (n) = (m \cdot n)$

$(m) \cap (n) = ([m, n])$  is generated by  $[m, n]$ , the l.c.d of  $m$  and  $n$ .

If  $(m, n) = 1$ , then  $(m) + (n) = (1), (m)(n) = (m) \cap (n)$ .

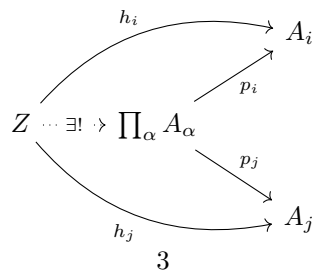
Let  $I \triangleleft R, x \in R$ .  $(x, I)$  is ideal generated by  $x$  and elements of  $I$ . Since  $(x) + I$  is minimal ideal contains both  $x$  and elements of  $I$ ,  $(x) + I = (x, I)$ .

**Definition 1.9.** If  $I_1 \triangleleft R, I_2 \triangleleft R$ .  $I_1$  and  $I_2$  are called **coprime** if  $I_1 + I_2 = (1)$ .

**Proposition 1.3.** If  $I_1 \triangleleft R, I_2 \triangleleft R$  are coprime, then  $I_1 \cdot I_2 = I_1 \cap I_2$ .

*Proof.* By definition,  $I_1 \cdot I_2 \subseteq I_1 \cap I_2$ . Let  $x \in I_1 \cap I_2$ ,  $x$  can be represented by  $x = ar_1 + br_2$ , where  $a \in I_1, b \in I_2$ . Hence  $x \in I_1 \cdot I_2 = I_1 \cap I_2$ . □

**Definition 1.10.** Let  $A_{\alpha}$  be a family of rings. Their **direct product** is defined as object  $\prod_{\alpha} A_{\alpha}$  in **Rings** satisfying following universal property



If  $\alpha$  is finite, then elements of  $\prod_{\alpha} A_{\alpha}$  can be written as  $(x_1, \dots, x_n)$ ,  $x_i \in A_i$  for some  $n$ .

$$\begin{aligned}(x_1, \dots, x_n) \cdot (x'_1, \dots, x'_n) &= (x_1 x'_1, \dots, x_n x'_n) \\ (x_1, \dots, x_n) + (x'_1, \dots, x'_n) &= (x_1 + x'_1, \dots, x_n + x'_n) \\ 1 &= (1_{A_1}, \dots, 1_{A_n})\end{aligned}$$

Let  $A$  be a ring and  $\mathfrak{a}_1, \dots, \mathfrak{a}_n$  ideals of  $A$ . Define a homomorphism

$$\phi : A \rightarrow \prod_{i=1}^n (A/\mathfrak{a}_i)$$

by rules  $\phi(x) = (x + \mathfrak{a}_1, \dots, x + \mathfrak{a}_n)$ .

**Proposition 1.4** (??). (1) If  $\mathfrak{a}_i, \mathfrak{a}_j$  are coprime whenever  $i \neq j$ , then  $\prod_i \mathfrak{a}_i = \bigcap_i \mathfrak{a}_i$ ;  
 (2)  $\phi$  is surjective  $\Leftrightarrow \mathfrak{a}_i, \mathfrak{a}_j$  are coprime whenever  $i \neq j$ ;  
 (3)  $\phi$  is injective  $\Leftrightarrow \bigcap_i \mathfrak{a}_i = (0)$ .

*Proof.* (1) By 1.3 the case  $n = 2$  is proved. Assume it is true when  $n = k$ . When  $n = k + 1$ , since  $\mathfrak{a}_i$  and  $\mathfrak{a}_{k+1}$  are coprime for  $1 \leq i \leq k$ ,  $\mathfrak{a}_i + \mathfrak{a}_{k+1} = (1)$ . It implies that  $x_i + y_i = 1$  for some  $x_i \in \mathfrak{a}_i$ ,  $y_i \in \mathfrak{a}_{k+1}$ ,  $1 \leq i \leq k$

$$\prod_{i=1}^k x_i = 1 \text{ in } A/\mathfrak{a}_{k+1}$$

that means  $\prod_{i=1}^k x_i + x_{k+1} = 1$  for some  $x_n \in \mathfrak{a}_{k+1}$  in  $R$ . Hence  $\prod_{i=1}^k \mathfrak{a}_i$  and  $\mathfrak{a}_{k+1}$  are coprime. Then

$$\prod_{i=1}^{k+1} \mathfrak{a}_i = \left( \prod_{i=1}^k \mathfrak{a}_i \right) \cdot \mathfrak{a}_{k+1} = \left( \bigcap_{i=1}^k \mathfrak{a}_i \right) \cap \mathfrak{a}_{k+1} = \bigcap_{i=1}^{k+1} \mathfrak{a}_i$$

by induction.

(2) If  $\phi$  is surjective, then there exists  $x \in A$  such that  $\phi(x) = (\delta_1^i, \dots, \delta_n^i)$ . Hence  $x \equiv 1 \pmod{\mathfrak{a}_i}$ ,  $x \equiv 0 \pmod{\mathfrak{a}_j}$  whenever  $i \neq j$ . So

$$(1 - x) + x = 1$$

where  $1 - x \in \mathfrak{a}_i$ ,  $x \in \mathfrak{a}_j$ . Hence  $\mathfrak{a}_i$  and  $\mathfrak{a}_j$  are coprime.

Since  $\prod_{i=1}^n (A/\mathfrak{a}_i)$  can be linearly represented by  $(\delta_j^i)_{j=1}^n$ ,  $1 \leq i \leq n$ , it is enough to show for any  $(\delta_j^i)_{j=1}^n$ , there is  $x_i \in R$  such that  $\phi(x_i) = (\delta_j^i)_{j=1}^n$ .

Since  $\mathfrak{a}_i$  and  $\mathfrak{a}_j$  are coprime for all  $j \neq i$ , there are equations  $x_j + x_i = 1$ ,  $x_j \in \mathfrak{a}_j$ ,  $y_j \in \mathfrak{a}_i$

$$\prod_{j \neq i} x_j \equiv 0 \pmod{\mathfrak{a}_i}$$

$$\prod_{j \neq i} x_j = \prod_{j \neq i} (1 - y_j) \equiv 1 \pmod{\mathfrak{a}_j}$$

whenever  $i \neq j$ . Hence  $\phi(\prod_{j \neq i} x_j) = (\delta_j^i)_{j=1}^n$ .

(3)  $\phi(x) = 0$  means that  $x \in \mathfrak{a}_i$  for all  $1 \leq i \leq n$ . Hence it is equivalent to  $x \in \bigcap_{i=1}^n \mathfrak{a}_i$ . Hence  $\phi$  is injective  $\Leftrightarrow \ker \phi = (0) \Leftrightarrow \bigcap_{i=1}^n \mathfrak{a}_i = (0)$ . □

Following are equivalent criteria for prime ideals and maximal ideals

**Proposition 1.5.** Let  $R$  be a ring.

- (1)  $\mathfrak{p} \triangleleft R$  is prime ideal if and only if  $R/\mathfrak{p}$  is integral domain.
- (2)  $\mathfrak{m} \triangleleft R$  is maximal ideal if and only if  $R/\mathfrak{m}$  is a field.

*Proof.* (1) Let  $\mathfrak{p} \triangleleft R$  be a prime ideal. For any  $x, y \in R$ ,  $\bar{x}, \bar{y} = \bar{0}$  is equivalent to  $xy \in \mathfrak{p}$ . But  $xy \in \mathfrak{p}$  implies that either  $x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$ , equivalently,  $\bar{x} = 0$  or  $\bar{y} = 0$ . This shows that  $R/\mathfrak{p}$  is integral domain.

Conversely, if  $R/\mathfrak{p}$  is integral domain, then for any  $x, y \in R$  such that  $xy \in \mathfrak{p}$ ,  $\bar{x}\bar{y} = \bar{0}$  in  $R/\mathfrak{p}$ , we have  $\bar{x} = \bar{0}$  or  $\bar{y} = \bar{0}$ . That means  $x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$ . Hence we can conclude the equivalence.

- (2) Let  $\mathfrak{m} \triangleleft R$  be a maximal ideal. If  $\bar{x} \in R/\mathfrak{m}, \bar{x} \neq \bar{0}$ , then  $x \notin \mathfrak{m}$ . Since  $\mathfrak{m}$  is maximal,  $m \subsetneq (\mathfrak{m}, x) \subset (1)$  implies that  $(\mathfrak{m}, x) = (1)$ . That means, there exists  $y \in R$  such that  $xy + m = 1$  for some  $m \in \mathfrak{m}$ . Obviously,  $y \notin \mathfrak{m}$ , so  $\bar{x}\bar{y} = \bar{1}$  in  $R/\mathfrak{m}$ . Hence each non-zero element in  $R/\mathfrak{m}$  is unit. Hence  $R/\mathfrak{m}$  is a field.

Conversely, if  $R/\mathfrak{m}$  is a field, then  $\bar{x} \in R/\mathfrak{m}, \bar{x} \neq \bar{0}$  is unit. But  $\bar{x} \neq \bar{0}$  is equivalent to  $x \notin \mathfrak{m}$  and  $\bar{x}$  is unit  $R/\mathfrak{m}$  if and only if  $x$  is unit in  $R$ . So  $(\mathfrak{m}, x) = (1)$  if  $x \notin \mathfrak{m}$ . Hence  $\mathfrak{m}$  is maximal. The proof is complete.  $\square$

**Theorem 1.6** (Krull's theorem). *If  $R$  is a ring and  $R \neq 0$ , then  $R$  has at least one maximal ideal.*

*Proof.* Since  $R \neq 0$ ,  $(0) \in \text{Ideal}(R)$  and  $(0) \neq (1)$ . We can order  $\Sigma = \text{Ideal}(R) - \{(1)\}$  by inclusion ( $I_1 \leq I_2$  iff  $I_1 \subseteq I_2$ ). Suppose  $\{I_\alpha\}$  be a chain in  $\Sigma$ , i.e.  $\forall I_\alpha, I_\beta \in \{I_\alpha\}, I_\alpha \leq I_\beta$  or  $I_\beta \leq I_\alpha$ . Denote  $\bigcup_\alpha I_\alpha$  by  $I$ .  $I$  is obviously an ideal and  $1 \notin I$  since for each  $\alpha, 1 \notin I_\alpha$ . Hence  $I \in \Sigma$  and  $I$  is upper bound of  $\{I_\alpha\}$ . By Zorn's lemma,  $\Sigma$  has at least one maximal element, it is an maximal ideal in  $R$  by definition.  $\square$

**Corollary 1.7.** *Let  $R$  be ring. If  $I \triangleleft R$  and  $I \neq (1)$ , then  $I$  is contained in one maximal ideal.*

*Proof.*  $R/I \neq 0$ . By Krull's theorem,  $R/I$  has at least one maximal ideal  $\bar{\mathfrak{m}}$ . Then  $\varphi^\#(\bar{\mathfrak{m}})$  is maximal ideal which contain  $I$  since  $\varphi^\#$  induce one-to-one correspondence between  $\Sigma_{R/I}$  and set of non-trivial ideals which contain  $I$  and  $\varphi^\#$  preserves order.  $\square$

**Corollary 1.8.** *Any non-unit in  $R$  is contained in a maximal ideal.*

**Definition 1.11.** A ring with only one maximal ideal  $\mathfrak{m}$  is called a local ring with maximal ideal  $\mathfrak{m}$ . Suppose  $(R, \mathfrak{m})$  be a local ring with maximal ideal  $\mathfrak{m}$ .  $R/\mathfrak{m}$  is called residue field of  $R$ .

**Proposition 1.9** (??). (i) *Let  $A$  be a ring and  $\mathfrak{m} \neq (1)$  and ideal of  $A$  such that every  $x \in A - \mathfrak{m}$  is a unit in  $A$ . Then  $A$  is local ring and  $\mathfrak{m}$  its maximal ideal.*

(ii) *Let  $A$  be a ring and  $\mathfrak{m}$  a maximal ideal of  $A$ , such that every element of  $1 + \mathfrak{m}$  is a unit. Then  $A$  is a local ring.*

*Proof.* (i) Since elements in  $A - \mathfrak{m}$  are all units and every ideal not equal to  $(1)$  contains non-unit, all maximal ideals are contained in  $\mathfrak{m}$ . Hence  $\mathfrak{m}$  is maximal ideal and the only one.

(ii) Let  $x \in A - \mathfrak{m}$ . Since  $\mathfrak{m}$  is maximal,  $(\mathfrak{m}, x) = (1)$ . That means there exist  $y \in A$  and  $m \in \mathfrak{m}$  such that  $xy + m = 1$ . Hence  $xy = 1 - m$  is unit by hypothesis so is  $x$ . Hence  $A$  is local ring by (i).  $\square$

**Definition 1.12.** Let  $R$  be a ring.

$$\mathbf{Rad}(R) = \{r \in R | r \text{ is nilpotent}\}$$

is called nilradical of  $R$  or simply radical of  $R$ .

$$\mathbf{JRad}(R) = \{r \in R | \forall y \in R, 1 - ry \text{ is unit}\}$$

is called Jacobson radical of  $R$ .

**Proposition 1.10.**  $\mathbf{Rad}(R)$  is intersection of all prime ideals of  $R$ ;  $\mathbf{JRad}(R)$  is intersection of all maximal ideals of  $R$ .

*Proof.* If  $\mathfrak{p} \triangleleft R$  is prime, then  $R/\mathfrak{p}$  is integral. Hence every  $x \notin \mathfrak{p}$  is not nilpotent otherwise  $\bar{x}$  in  $R/\mathfrak{p}$  is also nilpotent. Hence

$$\mathbf{Rad}(R) \subseteq \bigcap_{\mathfrak{p} \triangleleft R \text{ is prime}} \mathfrak{p}$$

If  $x$  is not nilpotent, then we need to prove that there is prime ideal does not contain  $x$ . Let  $S = \{1, x, x^2, \dots\}$  and  $\Sigma$  be the set of ideals that disjoint with  $S$ . Since  $(0) \in \Sigma$  and  $\Sigma$  is ordered

by inclusion, by Zorn's lemma  $\sum$  has maximal element, denote it by  $\mathfrak{p}$ . We need to prove  $\mathfrak{p}$  is a prime ideal.

Let  $a \notin \mathfrak{p}, b \notin \mathfrak{p}$ .  $(a, \mathfrak{p})$  and  $(b, \mathfrak{p})$  are not elements in  $\sum$  since  $\mathfrak{p}$  is maximal. That means there exist  $m, n \geq 0$  such that  $x^m \in (a, \mathfrak{p}), x^n \in (b, \mathfrak{p})$ . It implies

$$x^m = r_1 a + p_1, x^n = r_2 b + p_2 \quad r_1, r_2 \in R$$

Hence  $x^{m+n} = r_1 r_2 ab + (r_2 b p_1 + r_1 a p_2 + p_1 p_2) \in (ab, \mathfrak{p})$ . Hence  $(ab, \mathfrak{p}) \in \sum$  and therefore  $ab \in \mathfrak{p}$ . Hence  $\mathfrak{p}$  is prime ideal and  $x \in \mathfrak{p}$ .

Let  $x \in R$ . If there is  $y \in R$  such that  $1 - xy$  is not unit, then there is a maximal  $\mathfrak{m}$ . Hence

$$x \notin \bigcap_{\mathfrak{m} \triangleleft R \text{ is maximal}} \mathfrak{m}$$

If  $x \in \mathfrak{m}$  for some maximal ideal  $\mathfrak{m}$ , then  $(x, \mathfrak{m}) = (1)$ . That means  $1 = rx + m$  for some  $r \in R, m \in \mathfrak{m}$ . Hence  $1 - rx \in \mathfrak{m}$  is not unit. Hence  $x \notin \mathbf{J}\text{Rad}(R)$ .  $\square$

Here we will introduce some essential facts about prime ideals that used frequently in algebraic geometry.

**Proposition 1.11** (??). (i) Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  be prime ideals and  $\alpha$  be ideals contained in  $\bigcup_{i=1}^n \mathfrak{p}_i$ . Then  $\alpha \subseteq \mathfrak{p}_i$  for some  $i$ .

(ii) Let  $\alpha_1, \dots, \alpha_n$  be ideals and let  $\mathfrak{p}$  be a prime ideal which contains  $\bigcap_{i=1}^n \alpha_i$ . Then  $\alpha_i \subseteq \mathfrak{p}$  for some  $i$ . In particular, if  $\mathfrak{p} = \bigcap_{i=1}^n \alpha_i$ , then  $\mathfrak{p} = \alpha_i$  for some  $i$ .

*Proof.* (i) When  $n = 1$ , it is true obviously.

If it is true that  $\alpha \not\subseteq \mathfrak{p}_i (1 \leq i \leq n)$  for some  $n > 0$  can implies  $\alpha \not\subseteq \bigcup_{i=1}^n \mathfrak{p}_i$ , then for given  $\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_{n+1}$ , if  $\alpha \not\subseteq \mathfrak{p}_i (1 \leq i \leq n+1)$ , then  $\alpha \not\subseteq \bigcup_{i=1}^{n+1} \mathfrak{p}_i$ . So for each  $1 \leq j \leq n$ , there is  $x_j \in \alpha$  such that  $x_j \notin \mathfrak{p}_i$  whenever  $i \neq j$ .

Let

$$y = \sum_{j=1}^n x_1 x_2 \cdots \hat{x}_j \cdots x_n$$

Since  $\mathfrak{p}_j$  is prime,  $x_1 x_2 \cdots \hat{x}_j \cdots x_n \notin \mathfrak{p}_j$ . Hence  $y \notin \mathfrak{p}_j$  for all  $1 \leq j \leq n$ . But  $y \in \alpha$ , hence  $\alpha \not\subseteq \bigcup_{j=1}^n \mathfrak{p}_j$ . By induction, it is true for all  $n > 0$ .

(ii) If  $\alpha_i \not\subseteq \mathfrak{p}$  for all  $i$ , then there are  $x_i \in \alpha_i$  such that  $x_i \notin \mathfrak{p}$  for all  $i$ . Since  $\mathfrak{p}$  is prime,  $x_1, x_2, \dots, x_n \notin \mathfrak{p}$ . But  $x_1 x_2 \cdots x_n \in \prod_{i=1}^n \alpha_i \subseteq \bigcap_{i=1}^n \alpha_i$ . Hence  $\bigcap_{i=1}^n \alpha_i \not\subseteq \mathfrak{p}$ . Hence  $\bigcap_{i=1}^n \alpha_i \subseteq \mathfrak{p} \Rightarrow \alpha_i \subseteq \mathfrak{p}$  for some  $i$ .

If  $\bigcap_{i=1}^n \alpha_i = \mathfrak{p}$ , then  $\mathfrak{p} \subseteq \alpha_j$  for all  $j$ . But  $\alpha_j \subseteq \mathfrak{p}$ , so  $\alpha_i = \mathfrak{p}$ .  $\square$

Let  $I_1 \triangleleft R, I_2 \triangleleft R$ .

$$(I_1 : I_2) := \{r \in R \mid rI_2 \subseteq I_1\}$$

*Examples 1.7.* 1) Let  $I \triangleleft R$ .  $(0 : I) = ((0) : I) = \text{ann}(I)$  is called **annihilator** of  $I$ .

If  $I = (x)$  is principal ideal, then  $\text{ann}((x))$  is shortly denoted by  $\text{ann}(x)$ , called **annihilator of  $x$** . If  $x$  is non-zero-divisor, then  $\text{ann}(x) = 0$ .

2) Let  $R = \mathbb{Z}$ ,  $I_1 = (m), I_2 = (n)$ .  $m = \prod_{i=1}^n p_i^{\alpha_i}, n = \prod_{i=1}^n p_i^{\beta_i}$  are prime decomposition by  $p_1, \dots, p_n$ . Let  $\gamma_i = \max\{\alpha_i - \beta_i, 0\}$ , then  $(I_1 : I_2) = (\prod_{i=1}^n p_i^{\gamma_i})$  and  $\prod_{i=1}^n p_i^{\gamma_i} = \frac{m}{(m, n)}$ .

**Definition 1.13.** Let  $I \triangleleft R$ .

$$\sqrt{I} = \{x \in R \mid x^n \in I \text{ for some } n\}$$

is called radical ideal of  $I$ .

*Remark 1.8.*  $\mathbf{Rad}(R) = \sqrt{(0)}$

**Proposition 1.12.**  $\sqrt{I}$  is the intersection of all primes ideals which contain  $I$ .

*Proof.*

$$\begin{aligned}
 & x \in \sqrt{I} \\
 \Leftrightarrow & \exists n > 0, x^n \in I \\
 \Leftrightarrow & \exists n > 0, (\bar{x})^n \text{ in } R/I \\
 \Leftrightarrow & \bar{x} \in \mathbf{Rad}(R/I) \text{ is intersection of prime ideals in } R/I \\
 \Leftrightarrow & x = \varphi^{-1}(\bar{x}) \in \varphi^\#(\mathbf{Rad}(R/I)) \text{ is intersection of prime ideals which contain } I \text{ in } R
 \end{aligned}$$

□

**Proposition 1.13.**  $D = \text{set of zero-divisors of } A = \bigcup_{x \neq 0} \sqrt{\text{ann}(x)}$

*Proof.* First,  $\sqrt{D} = D$  since  $D$  is prime.

Next,  $\sqrt{\bigcup_\alpha E_\alpha} = \bigcup_\alpha \sqrt{E_\alpha}$  for any family of subset of  $R$  Hence  $D = \sqrt{D} = \sqrt{\bigcup_{x \neq 0} \text{ann}(x)} = \bigcup_{x \neq 0} \sqrt{\text{ann}(x)}$ . □