### Simplicial Objects in Algebraic Topology

J.Peter May

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### Chapter 1

## Simplicial Objects and Homotopy

#### 1.1 Definitions and examples

We introduce the concept of simplicial set and give several examples here. A categorical definition will be given in the next section.

**Definition 1.1.** A simplicial set K is a graded set indexed on the non-negative integers together with maps  $d_i: K_q \to K_{q-1}$  and  $s_i: K_q \to K_{q+1}, 0 \le i \le q$ , which satisfy the following identities:

- (i)  $d_i d_j = d_{j-1} d_i$  if i, j,
- (ii)  $s_i s_j = s_{j+1} s_i$  if  $i \le j$ ,
- (iii)  $d_i s_j = s_{j-1} d_i$  if i < j,  $d_j s_j = \text{identity} = d_{j+1} s_j$ ,  $d_i s_j = s_j d_{i-1}$  if i > j+1

The elements of  $K_q$  are called **q-simplices**. The  $d_i$  and  $s_j$  are called face and degeneracy operations. A simplex x is **degenerate** if  $x = s_i y$  for some simplex y and degeneracy operator  $j_i$ ; otherwise x is non-degenerate.

**Definition 1.2.** A simplicial map  $f: K \to L$  is a map of degree zero of graded sets which commutes with the face and degeneracy operators; that is, f consists of  $f_q: K_q \to L_q$  and

$$f_q d_i = d_i f_{q+1},$$
  
$$f_q s_i = s_i f_{q-1}.$$

**Definition 1.3.** A simplicial set k is said to satisfy the extension condition if for every collection of n+1 n-simplices  $x_0, x_1, \ldots, x_{k-1}, \ldots, x_{n+1}$  which satisfy the compatibility condition  $d_i x_j = d_{j-1} x_i, i < j, i \neq k, j \neq k$ , there exists an (n+1)-simplex x such that  $d_i x = x_i$  for  $i \neq k$ .

**Example 1.4.** We recall that a simplicial complex K is a set of finite subsets, called simplices, of a given set  $\bar{K}$  subject to the condition that every non-empty subset of an element of K is itself an element of K. A simplicial set  $\tilde{K}$  arises from K in the following manner. An n-simplex of  $\tilde{K}$  is a sequence  $(a_0, \ldots, a_n)$  of elements of K such that the set  $\{a_0, \ldots, a_n\}$  is n m-simplex of K for some  $m \leq n$ . The face and degeneracy operators of K are defined by:

$$d_i(a_0,\ldots,a_n) = (a_0,\ldots,a_{i-1},a_{i+1},\ldots,a_n)$$

and

$$s_j(a_0,\ldots,d_n) = (a_0,\ldots,a_i,a_i,a_{i+1},\ldots,a_n)$$

If the elements of  $\bar{K}$  are ordered and we require  $\tilde{K}$  to ensist of those sequences  $(a_0, \ldots, a_n)$  such that  $(a_0, \ldots, a_n)$  such that  $a_0 \leq a_1 \leq \cdots \leq a_n$  and  $\{a_0, \ldots, a_n\}$  is an m-simplex of K for some  $m \leq n$ , then there will be exactly one non-degenerate n-simplex of  $\tilde{K}$  for every n-simplex of K.

**Example 1.5.** Let  $\Delta_n = \{(t_0, \dots, t_n) | 0 \le t_i \le 1, \sum t_i = 1\} \subset \mathbb{R}^{n+1}$ . If X is a topological space, singular n-simplex of X is a continuous function  $f : \Delta_n \to X$ . The graded set S(X), where  $S_n(X)$  is the set of singular n-simplices of X, is called the total singular complex of X, S(X) becomes a simplicial set if we define face and degeneracy operators by:

$$d_i f(t_0, \dots, t_{n-1}) = f(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{n-1})$$

and

$$s_i f(t_0, \dots, t_{n+1}) = f(t_0, \dots, t_{i-1}, t_i + t_{i+1}, t_{i+2}, \dots, t_{n+1})$$

The following elementary fact will later be used to show that S(X) determines the homotopy groups of X.

**Lemma 1.1.** S(X) satisfies the extension condition.

*Proof.* Since the union of any n+1 faces of  $\Delta_{n+1}$  is a retract of  $\Delta_{n+1}$ , any continuous function defined on such a union can be extended to  $\Delta_{n+1}$ .

Conventions 1.1. The word "complex" (unmodified) will always mean simplicial set. A complex which satisfies the extension condition will be called a Kan complex.

#### 1.2 Simplicial objects in categories; homology

Recall that a category  $\mathcal{C}$  is a class of object together with a family of disjoint sets  $\operatorname{Hom}(A,B)$ , one for each pair of objects, a function  $\operatorname{Hom}(B,C) \times \operatorname{Hom}(A,B) \to \operatorname{Hom}(A,C)$ ,  $\alpha \times \beta \to \alpha\beta$ , and an element  $1_A \in \operatorname{Hom}(A,A)$ , all subject to the conditions  $\alpha(\beta\gamma)$  whenever either is defined and  $\alpha \circ \operatorname{id}_A = \alpha = \operatorname{id}_B \circ \alpha$ ,  $\alpha \in \operatorname{Hom}(A,B)$ . The elements of  $\operatorname{Hom}(A,B)$  are morphisms with domain A and range B. The opposite category  $\mathcal{C}^{\operatorname{op}}$  of a category  $\mathcal{C}$  has an object  $A^{\operatorname{op}}$  for each object A of  $\mathcal{C}$  and a morphism  $\alpha^{\operatorname{op}} \in \operatorname{Hom}(B^{\operatorname{op}}, A^{\operatorname{op}})$  for each morphism  $\alpha \in \operatorname{Hom}(A,B)$ ;  $\alpha^{\operatorname{op}}\beta^{\operatorname{op}}$  is defined and equal to  $(\beta\alpha)^{\operatorname{op}}$  whenever  $\beta\alpha$  is defined.

A convariant (resp., contravariant) functor  $F: \mathcal{C} \to \mathcal{D}$  is a correspondence which assigns to each object  $A \in \mathcal{C}$  an object  $F(A) \in \mathcal{D}$  and to each morphism  $\alpha \in \operatorname{Hom}(A, B)$  a morphism  $F(\alpha) \in \operatorname{Hom}(F(A), F(B))$  (resp.,  $F(\alpha) \in \operatorname{Hom}(F(B), F(A))$  subject to the conditions  $F(\operatorname{id}_A) = \operatorname{id}_{F(A)}, A \in \mathcal{C}$ , and  $F(\alpha\beta) = F(\alpha)F(\beta)$  (resp.,  $F(\alpha\beta) = F(\alpha)F(\beta)$ ) whenever  $\alpha\beta$  is defined in  $\mathcal{C}$ . If  $T: \mathcal{C} \to \mathcal{C}^{\operatorname{op}}$  is defined by  $T(A) = A^{\operatorname{op}}$  and  $T(\alpha) = \alpha^{\operatorname{op}}$ , then T is a contravariant functor; any contravariant functor  $F: \mathcal{C} \to \mathcal{D}$  may be considered as the covariant functor  $TF: \mathcal{C} \to \mathcal{D}^{\operatorname{op}}$  or  $FT: \mathcal{C}^{\operatorname{op}} \to \mathcal{D}$ . If F and G are covariant (resp., contravariant) functors  $\mathcal{C} \to \mathcal{D}$ , a natural transformation  $\lambda: F \Rightarrow G$  is a function which assigns to each object A of  $\mathcal{C}$  a morphism  $\lambda(A) \in \operatorname{Hom}(F(A), F(B))$  subject to the condition that if  $\alpha \in \operatorname{Hom}(A, B)$ , then  $G(\alpha)\lambda(A) = \lambda(B)F(\alpha)$  (resp.,  $G(a)\lambda(\beta) = \lambda(A)F(\alpha)$ ).

Now we define a category  $\Delta^{\text{op}}$  as follows. The objects  $\Delta_n$  of  $\Delta^{\text{op}}$  are sequence of integers,  $\Delta_n = (0, 1, \dots, n), n \geq 0$ . The morphisms of  $\Delta^{\text{op}}$  are the monotonic maps  $\mu : \Delta_n \to \Delta_m$ , that is , the maps  $\mu$  such that  $\mu(i) \leq \mu(j)$  if i < j. Define morphisms  $\delta_i : \Delta_{n-1} \to \Delta_n$  and  $\sigma_i : \Delta_{n+1} \to \Delta_n, 0 \leq i \leq n$ , by

$$\delta_i(j) = j \text{ if } j < i; \qquad \delta_i(j) = j + 1 \text{ if } j \ge i, \tag{1.1}$$

$$\sigma_i(j) = j \text{ if } j \le i;$$
  $\sigma_i(j) = j - 1 \text{ if } j > i.$  (1.2)

Let  $\mu \in \text{Hom}(\Delta_n, \Delta_m)$ ,  $\mu$  not an identity. Suppose  $i_1, \ldots, i_s$ , in reverse order, are the elements of  $\Delta_m$  not in  $\mu(\Delta_n)$  and  $j_1, \ldots, j_{t'}$  in order, are the elements of  $\Delta_n$  such that  $\mu(j) = \mu(j+1)$ . Then:

$$\mu = \delta_{i_1} \dots \delta_{i_s} \sigma_{j_1} \dots \sigma_{j_t}$$
, where,  $0 \le i_s < \dots < i_1 \le m, 0 \le j_1 < \dots < j_t < n, \text{ and } n - t + s = m.$ 
(1.3)

Further, the factorization of  $\mu$  in the form 1.3 is unique. Having defined  $\Delta^{op}$ , we can formulate

**Definition 1.6.** A simplicial object in a category C is a contravariant functor  $F: \Delta^{\mathrm{op}} \to C$ . Such functors form the objects of a category  $C^s$ , the elements of  $F(\Delta_n)$  are called *n*-simplices, and the maps  $d_i = F(\delta_i)$  and  $s_j = F(\sigma_i)$  satisfy (i)-(iii) of 1.1. Any simplicial set K determines a contravariant functor  $F: \Delta^{\mathrm{op}} \to C$ , where C is the category of sets, by the rules  $F(\Delta_n) = K_n$  and

$$F(\mu) = s_{j_t} \dots s_{j_1} d_{i_s} \dots d_{i_1},$$

where  $\mu$  is a morphism of  $\Delta^{\text{op}}$  expressed in the form 1.3. Thus a simplicial set may be uniquely identified wiht a simplicial object in the category of sets. Analogously, we will speak of simplicial groups, simplicial modules, and so forth, depending on the choice of the category C.

Remark 1.2. Let  $\Delta = (\Delta^{\text{op}})^{\text{op}}$  denote the opposite category of  $\Delta^{\text{op}}$ ,  $T : \Delta^{\text{op}} \to \Delta$  the contravariant functor defined above. The category  $\mathcal{C}^s$  could equally well be defined as that of covariant functors from  $\Delta$  to  $\mathcal{C}$ .

Now suppose that  $F: \mathcal{C} \to \mathcal{D}$  is a covariant functor. By composition, F induces a covariant functor  $F^s: \mathcal{C}^s \to \mathcal{D}^s$ . In particular, suppose that  $\mathcal{C}$  is the category of sets,  $\mathcal{D}$  that of Abelian groups, and for  $A \in \mathcal{C}$ , F(A) is the free Abelian group generated by A. Then if  $K \in \mathcal{C}^s$ ,  $F^s(K)$  may be given a structure of chain complex with differential d defined on  $F^s(K)_n = F^s(K_n)$  by

$$d = \sum_{i=0}^{n} (-1)^{i} d_{i}.$$

We denote this chain complex by C(K). If G is an Abelian group, we define the homology and cohomology of K with coefficients in G by

$$H_*(K;G) := H(C(K) \otimes G)$$
 and  $H^*(K;G) := H(\operatorname{Hom}(C(K),G)).$ 

In case K = S(X), these are, of course, the singular homology and cohomology groups of the space X.

### 1.3 Homotopy of Kan complexes

**Definition 1.7.** Let K be a complex. Two n-simplices x and x' of K are homotopic, written  $x \sim x'$ , if  $d_i x = d_i x', 0 \le i \le n$ , and there exists a simply  $y \in K_{n+1}$  such that  $d_n y = x, d_{n+1} y = x'$ , and  $d_i y = s_{n-1} d_i x = s_{n-1} d_i x', 0 \le i < n$ . The simplex y is called a homotopy from x to x'.

**Proposition 1.2.** If K is a Kan complex, then  $\sim$  is an equivalence relation on the n-simplices of  $K, n \geq 0$ .

*Proof.* The relation  $\sim$  is relexive since

$$d_n s_n x = x = d_{n+1} s_n x$$

and  $d_i s_n x = s_{n-1} d_i x, 0 \le i < n$ . Suppose  $x \sim x'$  and  $x \sim x''$ . We must prove  $x' \sim x''$ . Let y' satisfy

$$d_n y' = x, d_{n+1} y' = x', \text{ and } d_i y' = s_{n-1} d_i x', i < n.$$

Let y'' satisfy

$$d_n y'' = x \cdot d_{n+1} y'' = x''$$
, and  $d_i y'' = s_{n-1} d_i x'$ ,  $i < n$ .

Then the n+2 (n+1)-simplices

$$d_0 s_n s_n x', \dots, d_{n-1} s_n s_n x', y', y''$$

are seen to satisfy the compatibility condition. Therefore there exists an (n+2)-simplex z such that  $d_i z = d_i s_n s_n x', 0 \le i < n, d_n z = y'$ , and  $d_{n+1} z = y''$ . It follows that

$$d_i d_{n+2} z = s_{n-1} d_i x', 0 \le i < n,$$

$$d_n d_{n+2} z = x'$$
, and  $d_{n+1} d_{n+2} z = x''$ , hence  $x' \sim x''$ .

**Definition 1.8.** Let L be a subcomplex of K. Two n-simplices x and x', n > 0, are homotopic relative to L, written  $x \sim x'$  rel L, if  $d_i x = d_i x', 1 \le i \le n$ , if  $d_0 x \sim d_0 x'$  in L and a simplex  $w \in K_{n+1}$  such that  $d_0 w = y, d_n w - x, d_{n+1} w = x'$  and  $d_i w = s_{n-1} d_i x = s_{n-1} d_i x', 1 \le i < n$ . The simplex w is called a relative homotopy from x to x'.

**Proposition 1.3.** If L is a sub Kan complex of the Kan complex K, then  $\sim$  rel L is an equivalence relative homotopy from x to x'.

*Proof.* The relation  $\sim$  rel L is reflexive since if  $d_0x \in L$ , then  $s_{n-1}d_0x$  is a homotopy in L from  $d_0x$  to  $d_0x$ , and if  $w = s_nx$ , then  $d_iw = s_{n-1}d_ix$ ,  $0 \le i < n$ , and

$$d_n w = x = d_{n+1} w.$$

Suppose  $x \sim x'$  rel L and  $x \sim x''$  rel L. We must prove that  $x' \sim x'$  rel L and  $x \sim x''$  L. We must prove that  $x' \sim x''$  rel L. Let y' and y'' be homotopies in L from  $d_0x$  to  $d_0x'$  and from  $d_0x$  to  $d_0x''$ , and suppose w' and w'' are relative homotopies from x to x' and from x to x'' which satisfy  $d_0w' = y'$  and  $d_0w'' = y''$ . As in the proof of 1.2, we may choose  $z \in L_{n+1}$  such that

$$d_i z = d_i s_{n-1} s_{n-1} d_0 x', 0 \le i < n-1$$
  
 $d_{n-1} z = y' \text{ and } d_n z = y''$ 

Then  $y = d_{n+1}z$  is a homotopy in L from  $d_0x'$  to  $d_0x''$ . Now it is easy to see that the n+2 (n+1)-simplices

$$z, d_1 s_n s_n x', \dots, d_{n-1} s_n s_n x', w', w''$$

satisfy the compatability condition so that there exists  $v \in K_{n+2}$  such that  $d_i v = d_i s_n s_n x', 1 \le i < n, d_0 v = z, d_n v = w'$  and  $d_{n+1} v = w''$ . Let  $w = d_{n+2} v$ . Then  $d_i w = s_{n-1} d_i x', 1 \le i < n, d_0 w = y, d_n w = x'$ , and  $d_{n+1} w = x''$ .

Notations 1.3. Let K be a complex,  $\phi \in K_0$ .  $\phi$  generates a subcomplex of K which has exactly one simplex  $s_{n-1}, \ldots s_0 \phi$  in each dimension n. We will abuse notation by letting  $\phi$  denote ambiguously either this subcomplex or any of its simplices. We call  $(K, \phi)$  a Kan pair if K is a Kan complex. We call  $(K, L, \phi)$  a Kan tripe if  $\phi \in L_0$  and L is a sub Kan complex of the Kan complex K. Simplicial maps of pairs and triples are defined in the obvious manner.

**Definition 1.9.** Let  $(K,\phi)$  be a Kan pair. Let  $\tilde{K_n}, n \geq 0$ , denote the set of all  $x \in K_n$  which satisfy  $d_i x = \phi, 0 \leq i \leq n$ . The we define  $\pi_n(K,\phi) = \tilde{K_n}/(\sim)$ .  $\pi_0(K,\phi)$  is called the set of path components of K. K is connected if  $\pi_0(K,\phi) = \phi$  (where we are letting  $\phi$  denote its equivalence class). K is n-connected if  $\pi_n(K,\phi) = \phi, 0 \leq i \leq n$ . Let  $(K,L,\phi)$  be a Kan triple. Let  $\tilde{K}(L)_n, n \geq 1$ , denote the set of all  $x \in K_n$  which satisfy  $d_0 x \in L_{n-1}$  and  $d_i x = \phi, 1 \leq i \leq n$ . Then we define

$$\pi_n(K, L, \phi) = \tilde{K}(L)_n / (\sim \text{ rel } L).$$

Note that  $\pi_n(K, \phi, \phi) = \pi_n(K, \phi), n \ge 1$ . Finally, we define  $d : \pi_n(K, L, \phi) \to \pi_n(L, \phi), n \ge 1$ , by  $d[x] = [d_0x]$ , where [x] denotes the homotopy class of x.

**Theorem 1.4.** Let  $(K, L, \phi)$  be a Kan triple. Then the sequence

$$\cdots \to \pi_{n+1}(K, L, \phi) \xrightarrow{d} \pi_n(L, \phi) \xrightarrow{i} \pi_n(K, \phi) \xrightarrow{j} \pi_n(K, L, \phi) \to \cdots$$

of sets with distinguished elements  $\phi$  is exact, where the maps i and j are induced by inclusion.

Proof. (i)  $i \circ d = \phi$ : Consider  $i[d_0x] = i \circ d[x], x \in K(L)_{n+1}$ . The n+2 (n+1)-simplices  $-, \phi, \ldots, \phi, x$  are compatible, hence we may choose  $z \in K_{n+2}$  such that  $d_iz = \phi, 1 \le i \le n+1, d_{n+2}z = x$ . Then  $d_id_0z = d_0d_{i+1}z = \phi, 0 \le i \le n+1$ , and  $d_{n+1}d_0z = d_0x$ , so that  $d_0x \sim \phi$  in K.

- (ii) Image d = Kernel i: Let  $i[y] = \phi, y \in \tilde{L}_n$ . Then  $y \sim \phi$  in  $K_n$ , say  $d_i z \phi, 0 \leq i \leq n, d_{n+1} z = y$ . The n+2 (n+1)-simpless  $z, \phi, \ldots, \phi$  are compatible, hence there exists  $w \in K_{n+2}$  with  $d_0 w = z, d_i w = \phi, 1 \leq i \leq n+1$ .  $d_i d_{n+2} w = \phi, 1 \leq i \leq n+1$ , and  $d_0 d_{n+2} w = y$ , so that  $d[d_{n+2} w] = [y]$ .
- (iii)  $d_j = \phi : d_j[x] = \phi$  since  $d_0x = \phi, x \in \tilde{K}_n$ .
- (iv) Image j = Kernel d: Let  $d[x] = [d_0x] = \phi, x \in \tilde{K}(L)_n$ . There exits  $z \in L_n$  such that  $d_iz = \phi, 0 \le i \le n, d_nz = d_0x$ . The n+1 n-simplices  $z, \phi, \ldots, -, x$  (where means k = n in the compatibility condition) are compatible, say  $d_0y = z, d_iy = \phi, 1 \le i \le n-1, d_{n+1}y = x$ . Thus  $x \sim d_ny$  rel L. Since  $d_id_ny = \phi, 0 \le i \le n, [x] = j[d_ny]$ .
- (v)  $j \circ i = \phi$ : Consider  $j \circ i[y], y \in \tilde{L}_n$ . The n+1 n-simplices  $-, \phi, \ldots, \phi, y$  are compatible in L, say  $z \in L_{n+1}$  satisfies  $d_i z = \phi, 1 \le i \le n, d_{n+1} z = y$ .  $d_i d_0 z = \phi, 0 \le i \le n$ , hence  $d_0 z$  is homotopy between  $\phi$  and  $\phi = d_0 y$ , so z is a relative homotopy between  $\phi$  and y.
- (vi) Image i= Kernel j: Let  $j[x]=\phi, x\in \tilde{K}_n.$  Choose  $w\in K_{n+1}$  such that  $d_iw=\phi, 1\leq i\leq n, d_{n+1}w=x,$  and  $d_0w=z\in L_n.$  The n+1 n-simplices  $z,\phi,\ldots,\phi,-$  are compatible in L, say  $d_0v=z$  and  $d_iv=\phi, 1\leq i\leq n.$  The n+2 (n+1)-simplices  $s_{n-1}z,\phi,\ldots,\phi,v,w,-$  are compatible in K, say  $d_0t=s_{n-1}z, d_it=\phi, 1\leq i\leq n-1, d_nt=v, d_{n+1}t=w.$  Then  $d_{n+2}t$  is a homotopy  $d_{n+1}v\sim x$ , hence  $[x]=i[d_{n+1}v].$

#### 1.4 The group structures

**Definition 1.10.** Let  $(K, \phi)$  be a Kan pair. Wirte  $x \in \alpha$  if x represent a. Suppose  $\alpha, \beta \in \pi_n(K, \phi), n \leq 1$ , and let  $x \in \alpha, y \in \beta$ . Then n+1 n-simplices  $\phi, \ldots, \phi, x, -, y$  are compatible, say  $d_i z = \phi, 0 \leq i < n - 1, d_{n-1} z = x, d_{n+1} z = y$ . We define  $\alpha\beta = [d_n z]$ .

**Lemma 1.5.**  $\alpha\beta$  is well defined.

*Proof.* Suppose z' also satisfies  $d_i z' = \phi, 0 \le i < n-1, d_{n-1} z' = x$  and  $d_{n+1} z' = y$ . By the extension condition, there exists  $w \in K_{n+2}$  such that  $d_i w = \phi, 0 \le i \le n-2$ ,

$$d_{n-1}w = s_n d_{n-1}z, d_{n+1}w = z, \text{ and } d_{n+2}w = z'.$$

 $d_n w$  is then a homotopy from  $d_n z$  to  $d_n z'$ . Suppose  $y \sim y'$ , say  $d_i w = \phi, 0 \le i < n$ ,  $d_n w = y', d_{n+1} w = y$ . Choose z' such that  $d_{n-1} z' = x, d_{n+1} z' = y'$ , and  $d_i z' = \phi, 0 \le i < n-1$ . By the extension condition, there exists  $u \in K_{n+2}$  such that  $d_i u = \phi, 0 \le i < n-1$