

# SCSI 1013 DISCRETE STRUCTURE

## CHAPTER 4 – PART 2

### TREE

# Trees

- Trees were used as long ago as 1857, when the English mathematician Arthur Cayley used them to count certain types of chemical compounds.
- Trees are used wide variety of applications such as family trees, organizational charts, biology and computer file structures.
- Useful in computer science, where they are employed in a wide range of algorithms.

# Introduction

**Definition 1.** A tree is **a connected undirected graph with no simple circuits (acyclic).**

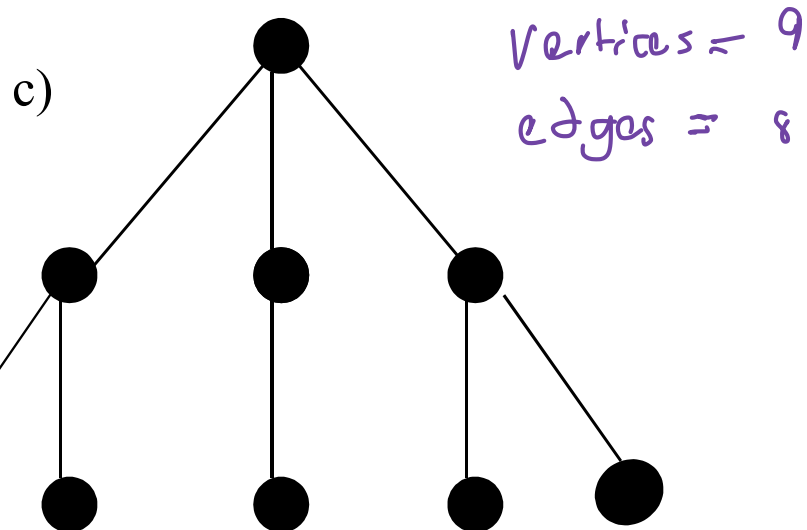
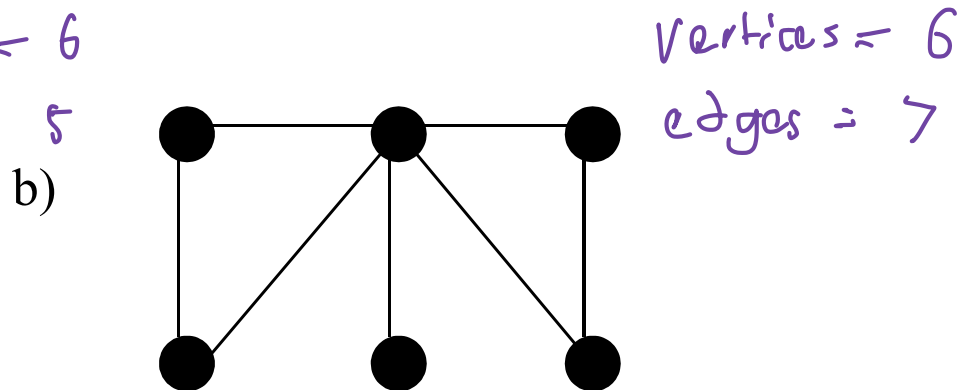
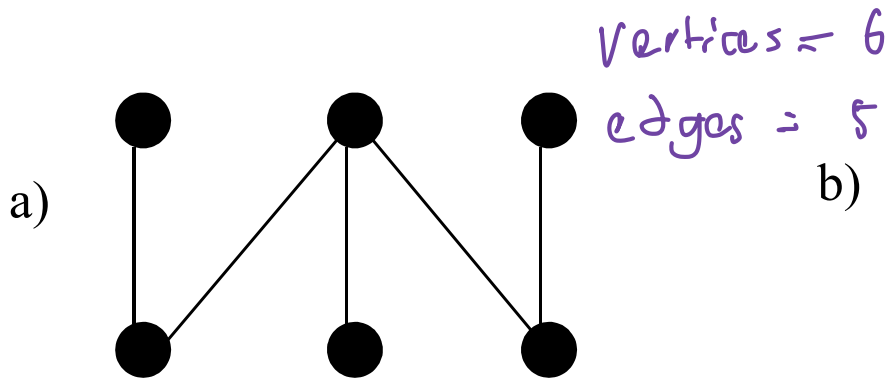
**Theorem 1.** An undirected graph is a tree if and only if there is a unique path between any two of its vertices.

Cannot parallel edge

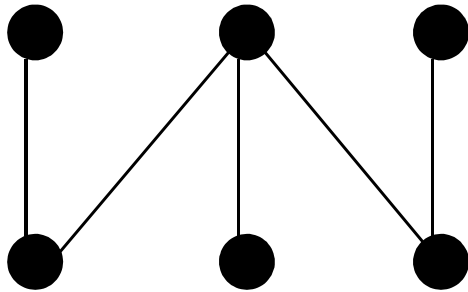


**Theorem 2.** A tree with **m**-vertices has **m-1** edges

# Example 1 : Which graphs are trees?



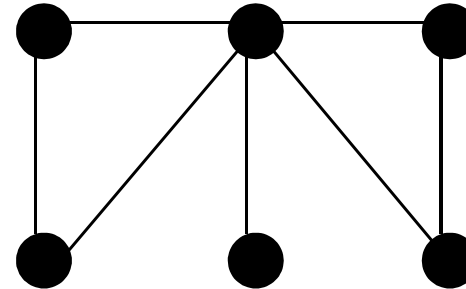
# Example 1 : Solution



tree

*vertices = 6*

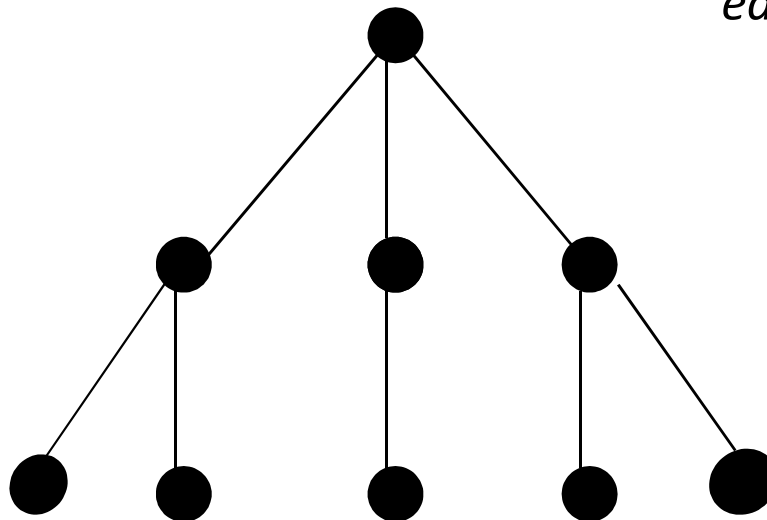
*edges = 5*



Not a tree

*vertices = 6*

*edges = 7*



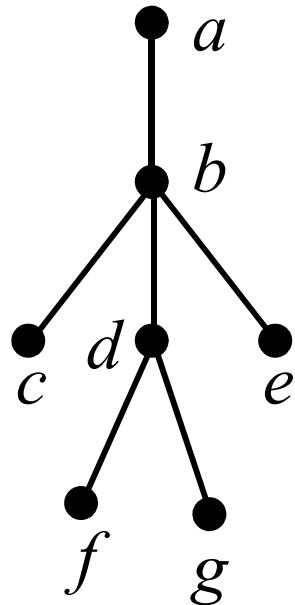
tree

*vertices = 9*

*edges = 8*

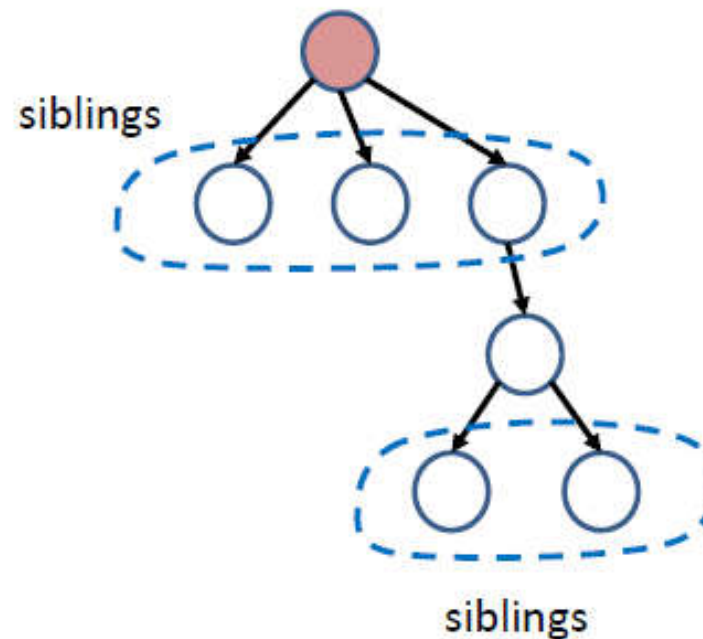
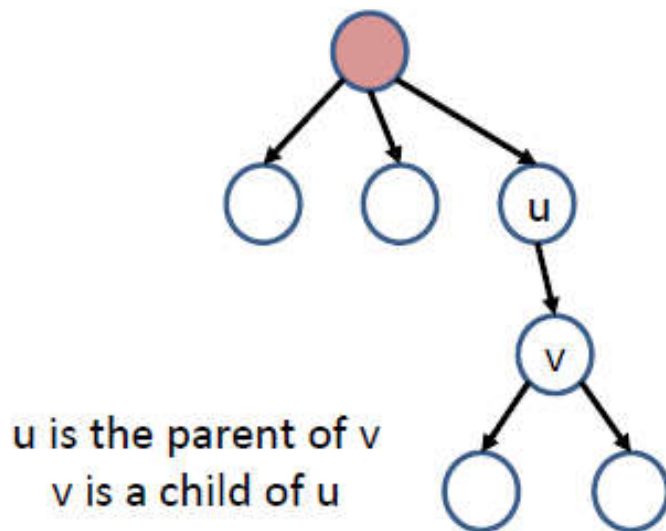
# Rooted tree

**Definition 2.** A **rooted tree** is a tree in which one vertex has been designed as the **root** and every edge is directed away from the root.



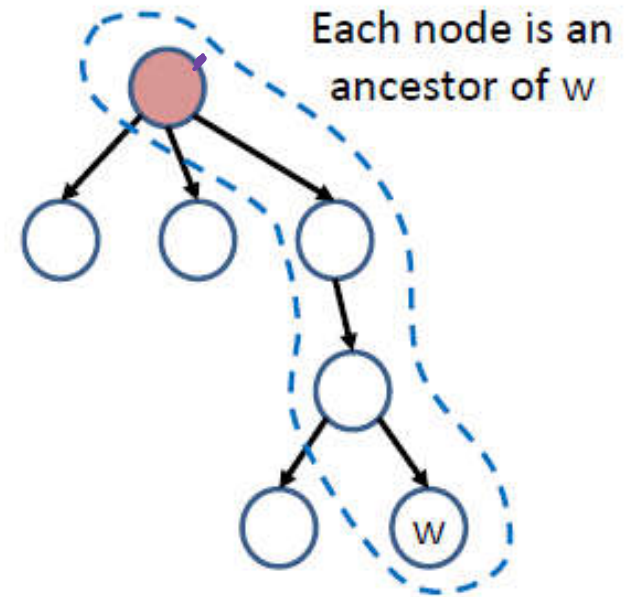
# Rooted Tree - Terminologies

- Each edge is from a **parent** to a **child**
- Vertices with the same parent are **siblings**



# Rooted Tree - Terminologies

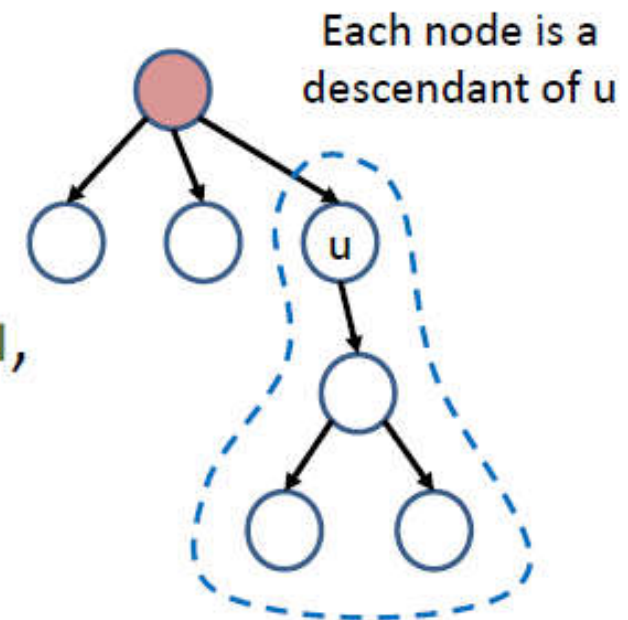
- The **ancestors** of a vertex **w** include all the nodes in the path from the root to **w**
- The **proper ancestors** of a vertex **w** are the ancestors of **w**, but excluding **w**





# Rooted Tree - Terminologies

- The **descendants** of a vertex **u** include all the nodes that have **u** as its ancestor
- The **proper descendants** of a vertex **u** are the descendants of **u**, but excluding **u**
- The **subtree** rooted at **u** includes all the descendants of **u**, and all edges that connect between them



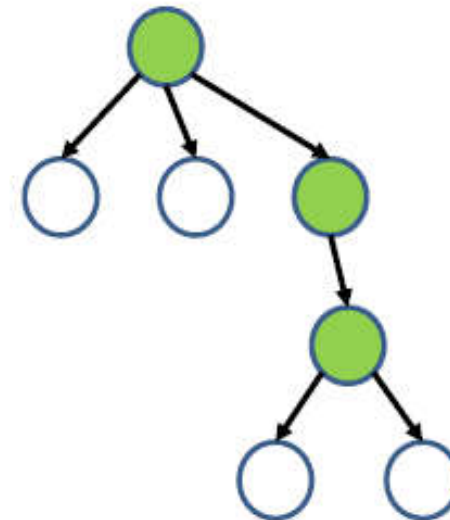
Each node is a descendant of u

The whole part is the subtree rooted at u

# Rooted Tree - Terminologies

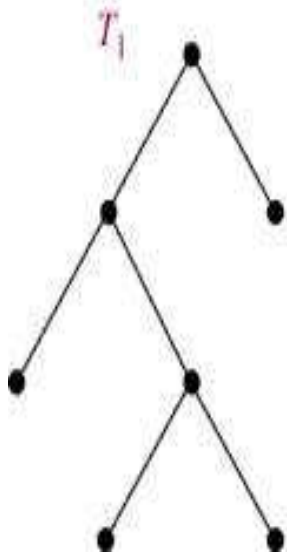
- Vertices with no children are called **leaves** ;  
Otherwise, they are called **internal nodes**
- If every internal node has no more than **m** children, the tree is called an **m-ary** tree
  - Further, if every internal node has exactly **m** children, the tree is a **full m-ary** tree

All internal nodes  
are colored

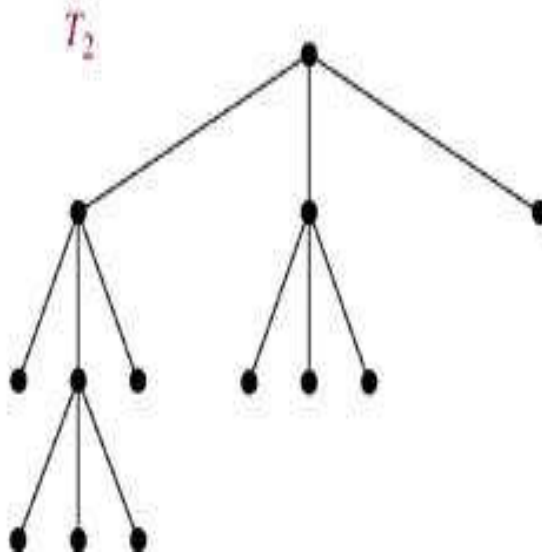


The tree is ternary (3-ary),  
but not full

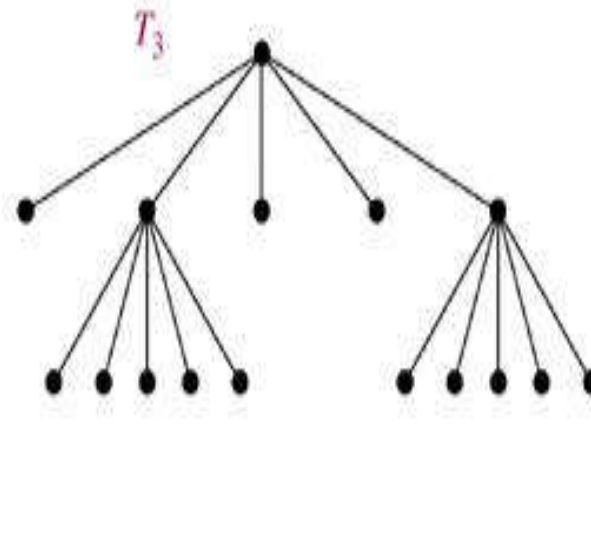
# Examples 2



full binary tree



full 3-ary tree



full 5-ary tree



not full 3-ary tree

take higher number

Suppose that  $T$  is a full  $m$ -ary tree. Let:

- $n$  - the number of vertices in a tree.
- $i$  - the number of internal vertices
- $l$  - the number of leaves in this tree.

Once one of  $n$ ,  $i$ , and  $l$  is known, the other two quantities are determined

# Properties of Trees

Theorem 3(i) – A full **m-ary** tree with **n** vertices has

$$i = \frac{n - 1}{m} \qquad l = \frac{(m - 1)n + 1}{m}$$

# Properties of Trees

Theorem 3ii)– A full **m-ary** tree with ***i*** internal vertices has

$$n = mi + 1 \quad l = (m - 1)i + 1$$

# Properties of Trees

Theorem 3iii)– A full **m-ary** tree with ***l*** leaves has

$$n = \frac{ml - 1}{m - 1} \quad i = \frac{l - 1}{m - 1}$$



## Example 3

Ex : Peter starts out a chain mail. Each person receiving the mail is asked to send it to four other people. Some people do this, and some don't

Now, there are 100 people who received the letter but did not send it out

Assuming no one receives more than one mail.  
How many people have sent the letter ?



$$i = \frac{L-1}{m-1}$$

$$i = \frac{100-1}{4-1}$$

$$i = 33$$

$$n = \frac{mL-1}{m-1}$$

$$= \frac{(4)(100)-1}{4-1}$$

$$= 133$$

$$\begin{array}{l} L = 100 \\ m = 4 \end{array}$$

## Example 3 :Solution

- The chain letter can be represented using **4-ary** tree. The internal vertices correspond to people who sent out the letter, and the leaves correspond to people who did not send it out. Since 100 people did not send out the letter, the number of leaves in this rooted tree is,  $l=100$ . The number of people have seen the letter is

$$i = \frac{100-1}{4-1} = \frac{99}{3} = 33 \quad \text{or} \quad n = (4 \times 100 - 1) / (4 - 1) = 133$$

$$133 - 100 = 33$$

33 people sent the letter.

$$L = 1000$$

$$M = 2$$

## Example 4

Suppose 1000 people enter a chess tournament. Use a rooted tree model of the tournament to determine how many games must be played to determine a champion, if a player is eliminated after one loss and games are played until only one entrant has not lost. (Assume there are no ties.)

$$L = 1000$$

$$m = 2$$

$$i = \frac{L - 1}{m - 1}$$

$$i = \frac{1000 - 1}{2 - 1}$$

$$i = 999$$

## Example 4 :Solution

We can model this tournament with a full binary tree. We know we have 1000 leaves for this tree because we have 1000 people. Each internal vertex will represent the winner of the game played by its children. The root will be the winner of the tournament.

# Example 4 :Solution

With  $m = 2$  and  $l = 1000$ . We know that:

$$\begin{aligned} i &= \frac{(1000 - 1)}{(2 - 1)} \\ &= \frac{999}{1} \\ &= 999 \end{aligned}$$

We have 999 internal vertices, so we know 999 games must be played to determine the champion.

# Properties of Trees

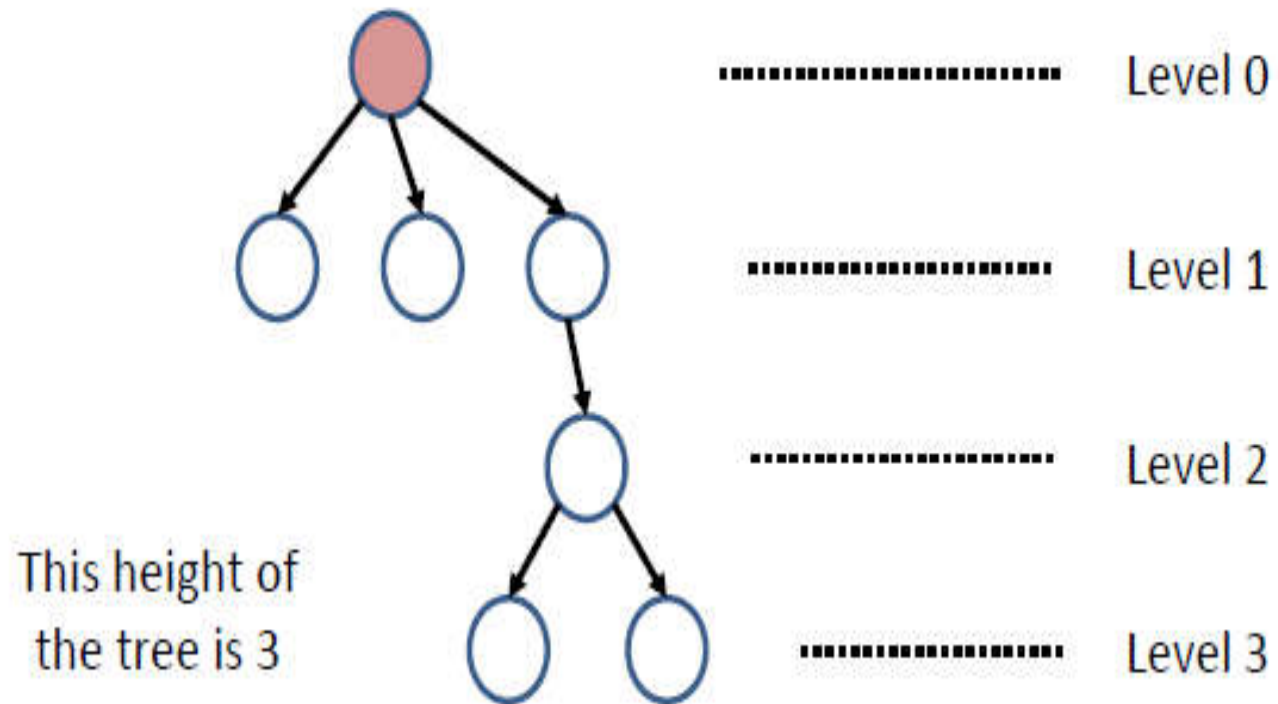
- The **level** of a vertex  $v$  in a rooted tree is the length of the unique path from the root to this vertex.

The level of the root is defined to be zero.

The **height** of a rooted tree is the maximum of the levels of vertices.

# Example 5

- Ex :



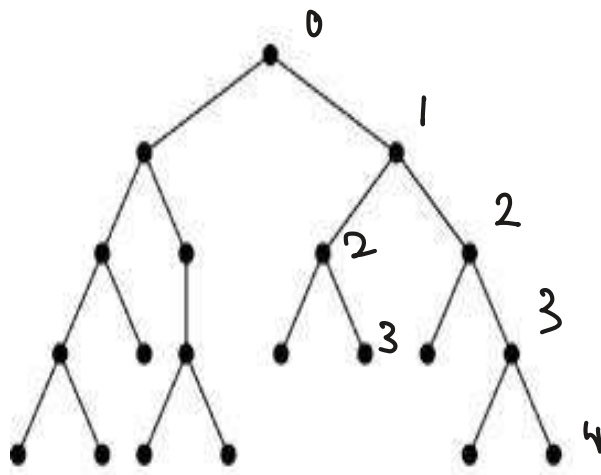


# Properties of Trees

- **Definition:** A rooted  $m$ -ary tree of height  $h$  is **balanced** if all leaves are at levels  $h$  or  $h-1$ .
- **Theorem 4.** There are at most  $m^h$  leaves in an  $m$ -ary tree of height  $h$ .

# Example 6

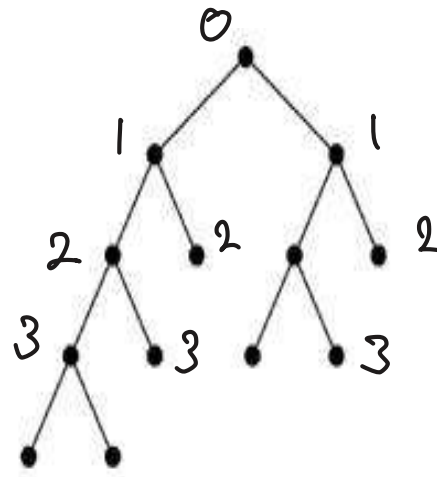
Which of the rooted trees shown below are balanced?



$T_1$

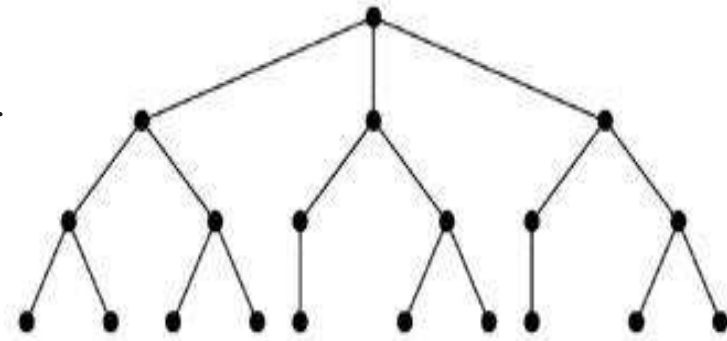
balance

**Sol.**



$T_2$

not balance



$T_3$

balance

# Tree Traversal

## Universal Address Systems

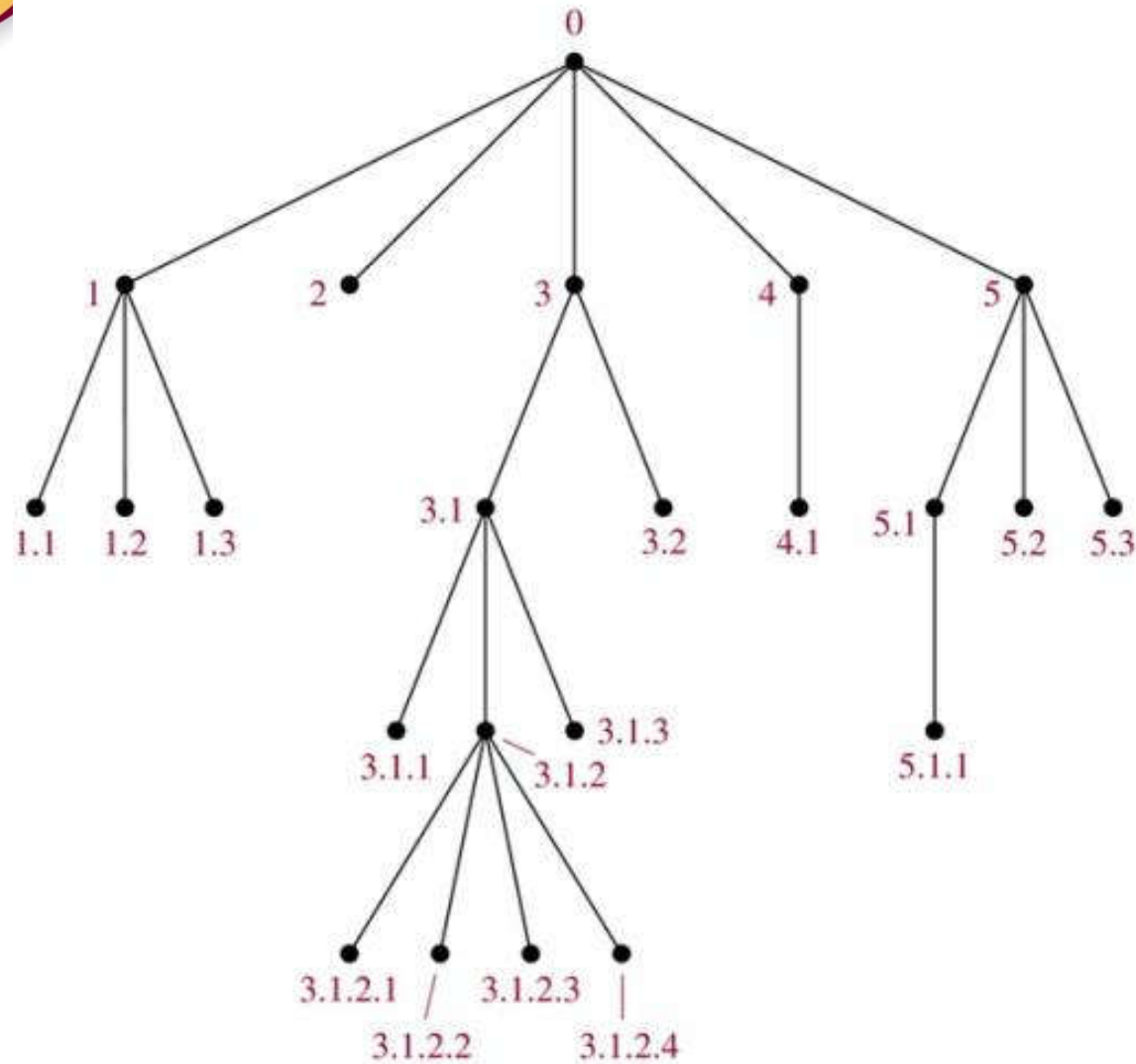
### Label vertices:

- 1.root  $\rightarrow 0$ , its  $k$  children  $\rightarrow 1, 2, \dots, k$  (from left to right)
- 2.For each vertex  $v$  at level  $n$  with label  $A$ , its  $r$  children  $\rightarrow A.1, A.2, \dots, A.r$  (from left to right).

We can **totally order** the vertices using the lexicographic ordering of their labels in the universal address system.

$$x_1.x_2.\dots.x_n < y_1.y_2.\dots.y_m$$

if there is an  $i$ ,  $0 \leq i \leq n$ , with  $x_1=y_1, x_2=y_2, \dots, x_{i-1}=y_{i-1}$ , and  $x_i < y_i$ ; or if  $n < m$  and  $x_i=y_i$  for  $i=1, 2, \dots, n$ .



The lexicographic ordering is:

$0 < 1 < 1.1 < 1.2 < 1.3 < 2 < 3 < 3.1 < 3.1.1 < 3.1.2 < 3.1.2.1 < 3.1.2.2 < 3.1.2.3 <$   
 $3.1.2.4 < 3.1.3 < 3.2 < 4 < 4.1 < 5 < 5.1 < 5.1.1 < 5.2 < 5.3$

# Tree Traversal

- **Preorder**: **root**, **left**-subtree, **right** subtree
- **Inorder** – **left** subtree, **root**, **right** sub-tree
- **Post-order** : **left** subtree, **right** sub-tree, **root**

# Preorder Traversal

**Procedure** *preorder*( $T$ : ordered rooted tree)

$r := \text{root of } T$

list  $r$

**for** each child  $c$  of  $r$  from left to right

**begin**

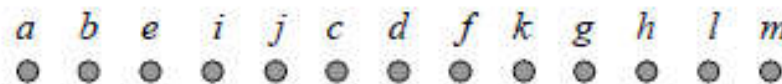
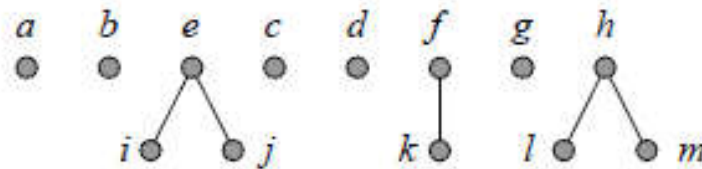
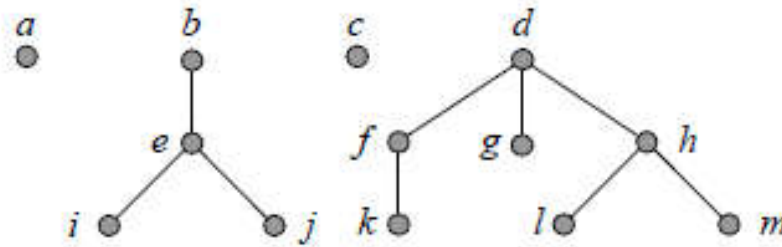
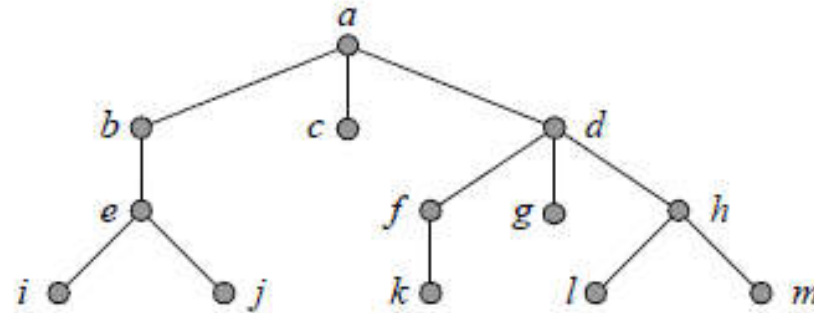
$T(c) := \text{subtree with } c \text{ as its root}$

*preorder*( $T(c)$ )

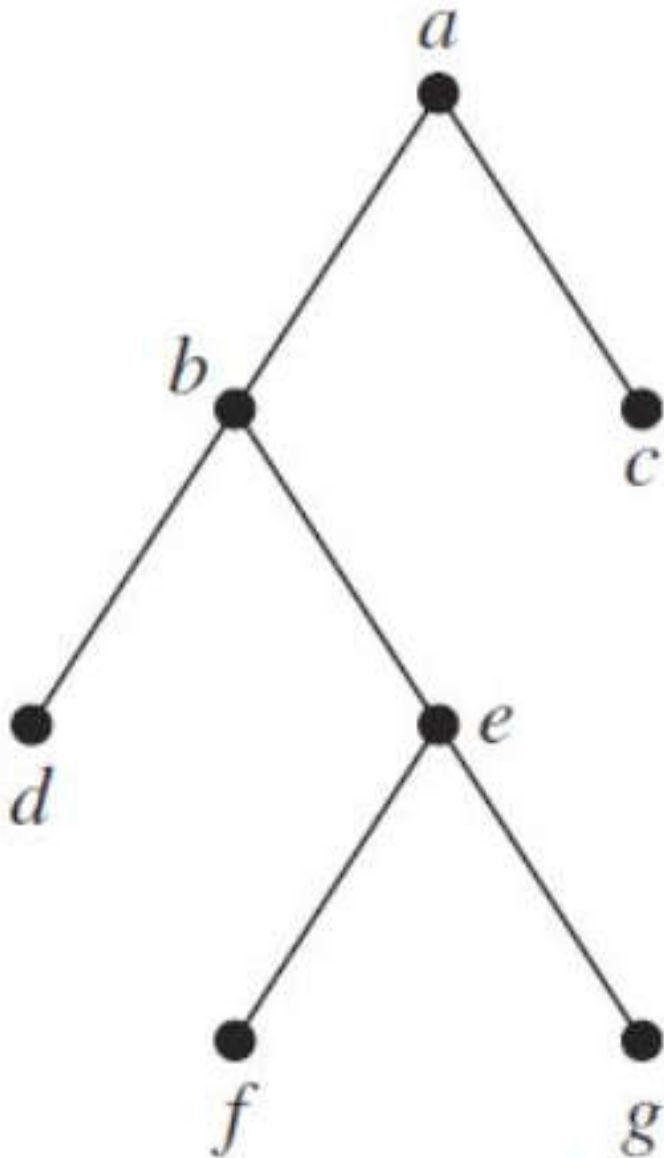
**end**

# Example 7

## Preorder Traversal



## Example 8



Determine the order in which a preorder traversal visits the vertices of the given ordered rooted tree.



# Inorder Traversal

**Procedure** *inorder*( $T$ : ordered rooted tree)

$r :=$  root of  $T$

**If**  $r$  is a leaf **then** list  $r$

**else**

**begin**

$l :=$  first child of  $r$  from left to right

$T(l) :=$  subtree with  $l$  as its root

*inorder*( $T(l)$ )

list  $r$

**for** each child  $c$  of  $r$  except for  $l$  from left to right

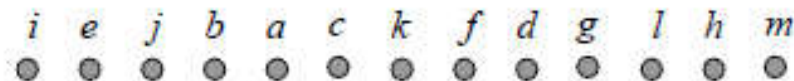
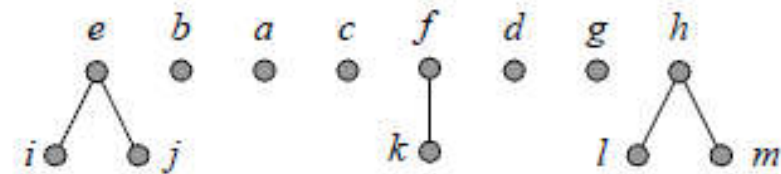
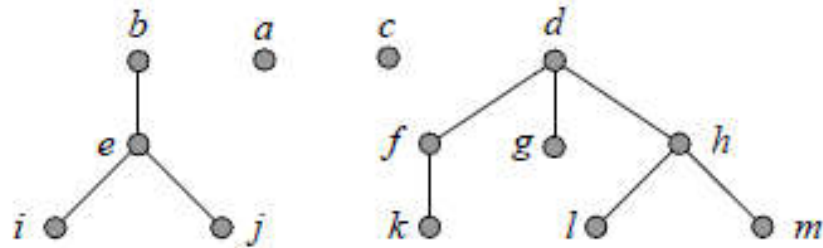
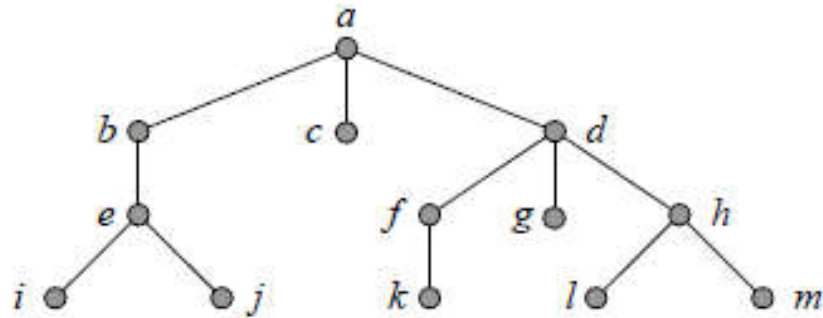
$T(c) :=$  subtree with  $c$  as its root

*inorder*( $T(c)$ )

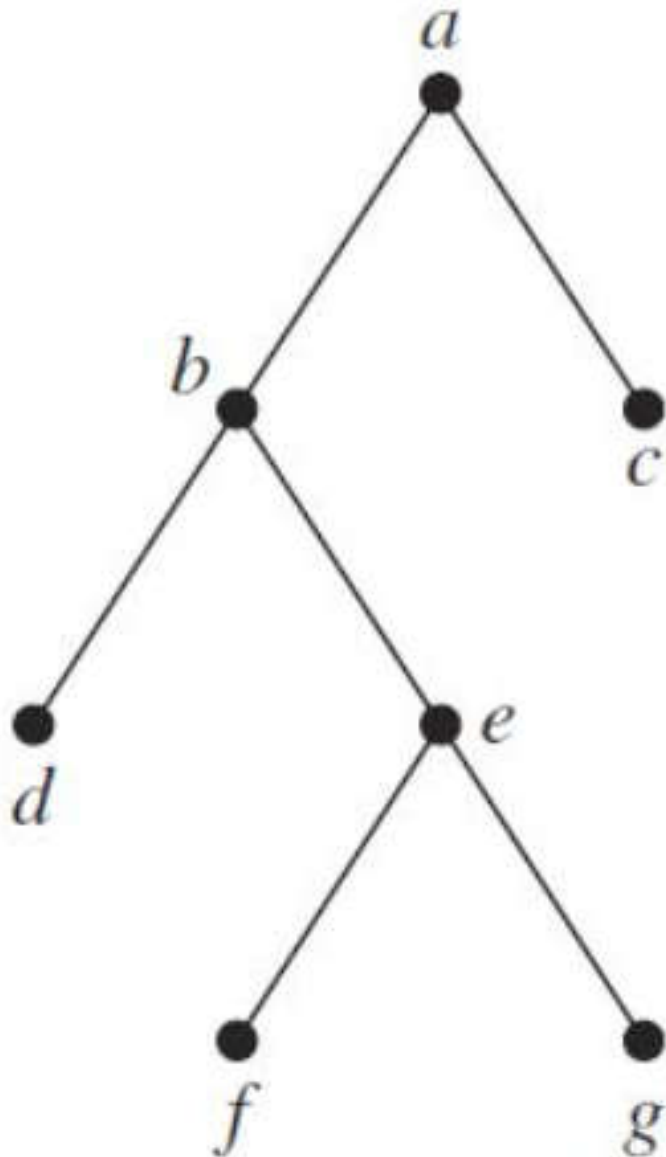
**end**

# Example 9

## Inorder Traversal



# Example 10



Determine the order in which a inorder traversal visits the vertices of the given ordered rooted tree.

*d b f e g a c*

# Postorder Traversal

**Procedure** *postorder*( $T$ : ordered rooted tree)

$r := \text{root of } T$

**for** each child  $c$  of  $r$  from left to right

**begin**

$T(c) := \text{subtree with } c \text{ as its root}$

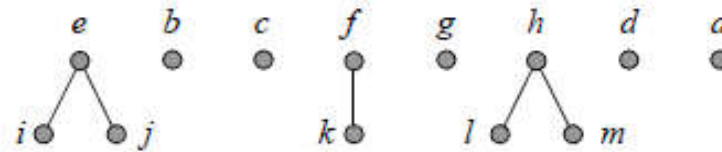
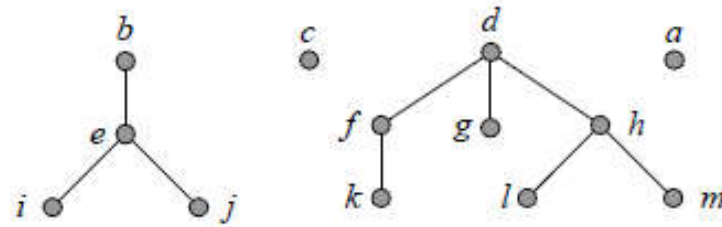
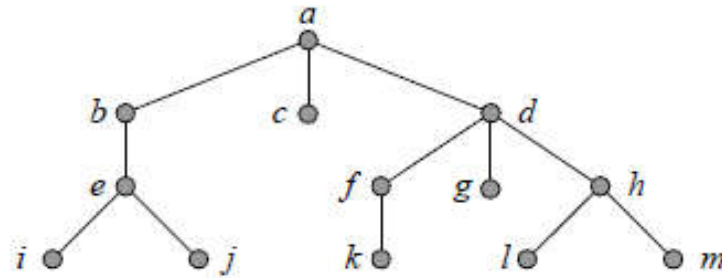
*postorder*( $T(c)$ )

**end**

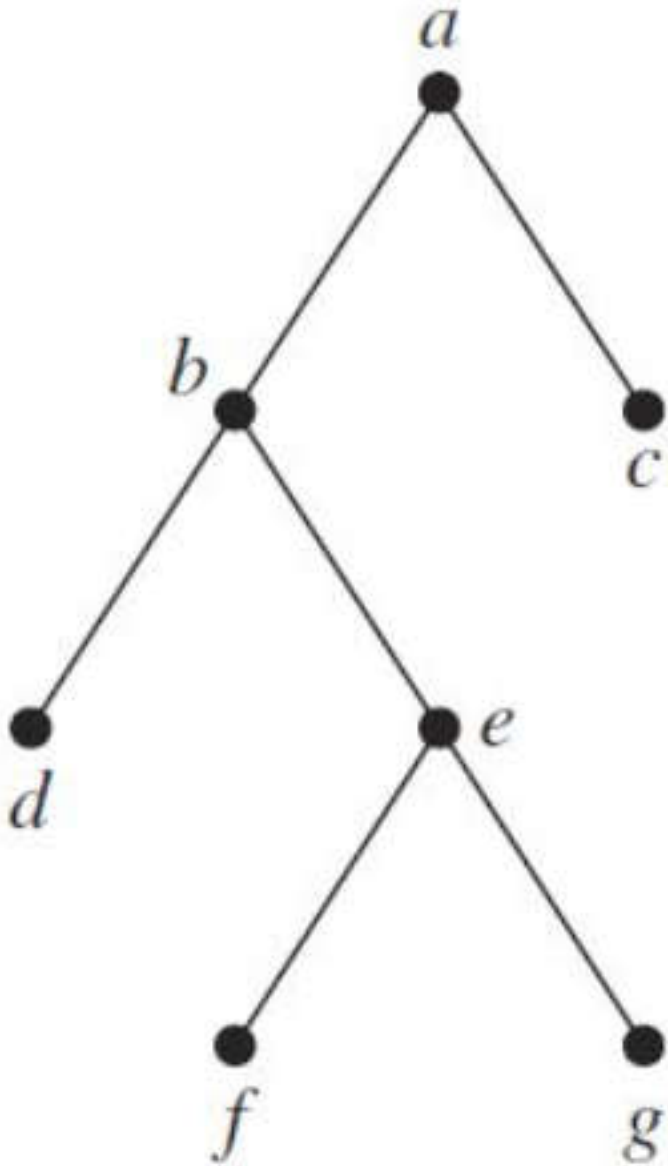
list  $r$

# Example 11

## Postorder Traversal



# Example 12

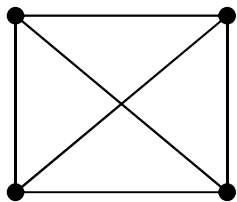


Determine the order in which a postorder traversal visits the vertices of the given ordered rooted tree.

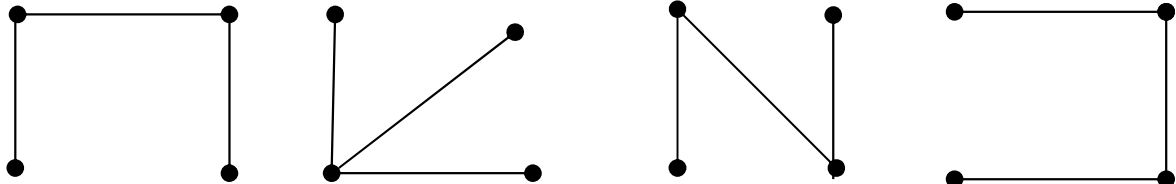
*d f g e b c a*

# Spanning Trees

- A spanning tree is a simple graph that is a subgraph of  $G$  and contains every vertex of  $G$  and is a tree.



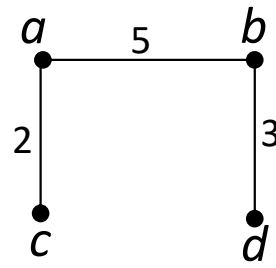
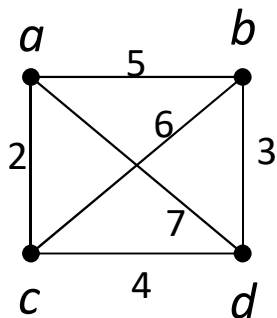
A connected  
undirected graph



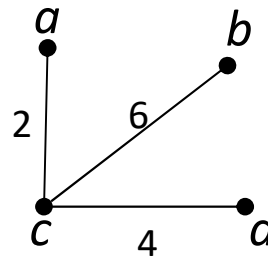
Four spanning trees of the graph

# Minimum Spanning Tree (MST)

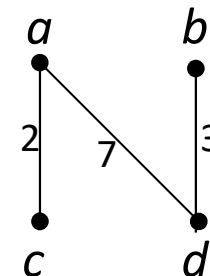
- A Minimum Spanning Tree is a spanning tree on a weighted graph that has minimum total weight.
- Example



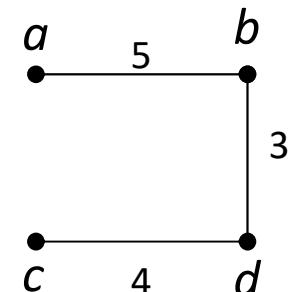
$T_1 = 10$



$T_2 = 12$



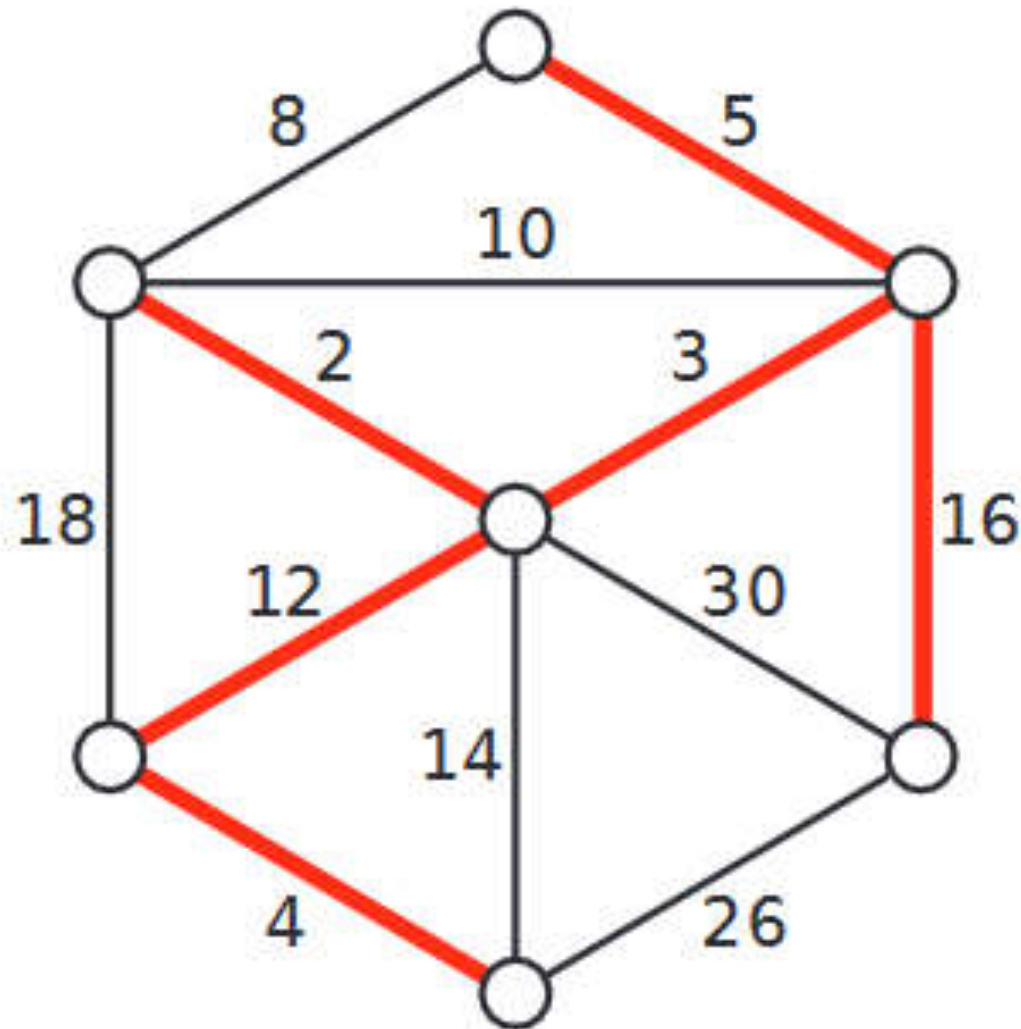
$T_3 = 12$



$T_4 = 12$



# Minimum Spanning Tree (MST)



A weighted graph and its minimum spanning tree.

# Muddy City Problem

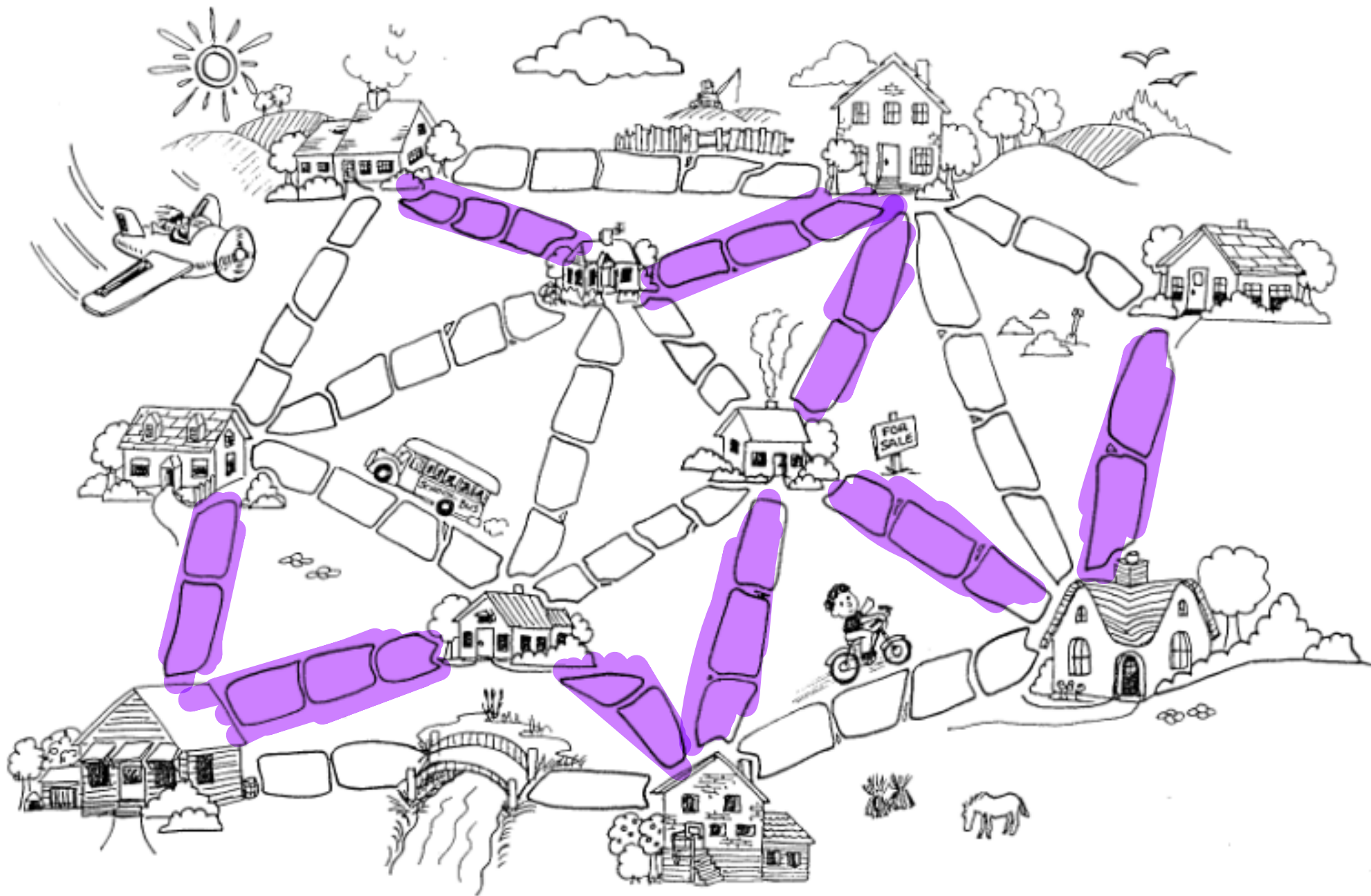
Once upon a time there was a city that had no roads. Getting around the city was particularly difficult after rainstorms because the ground became very muddy. Cars got stuck in the mud and people got their boots dirty. The mayor of the city decided that some of the streets must be paved, but didn't want to spend more money than necessary because the city also wanted to build a swimming pool.

# Muddy City Problem

The mayor therefore specified two conditions:

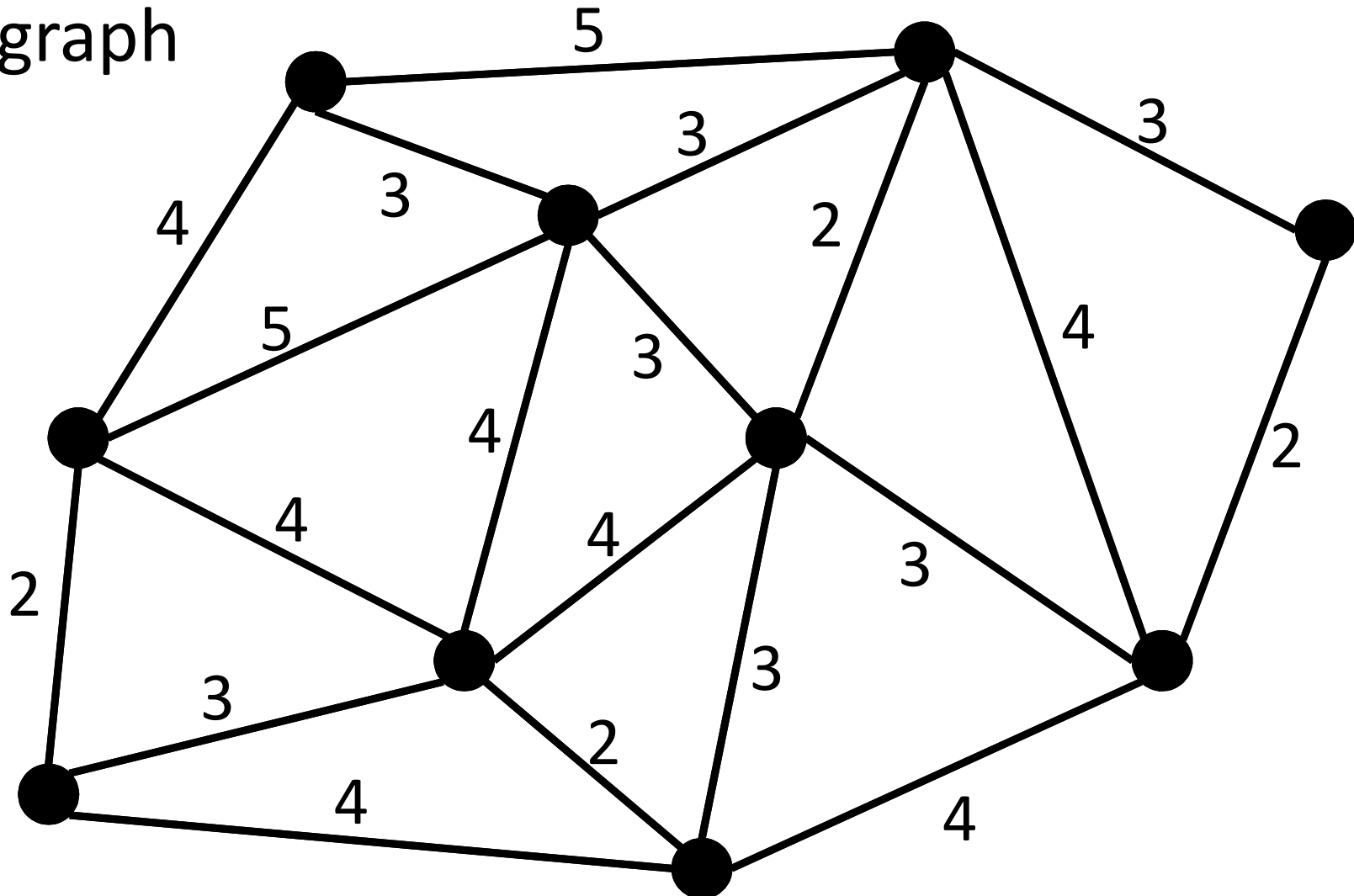
1. Enough streets must be paved so that it is possible for everyone to travel from their house to anyone else's house only along paved roads, and
2. The paving should cost as little as possible.

Here is the layout of the city. The number of paving stones between each house represents the cost of paving that route. Find the best route that connects all the houses, but uses as few counters (paving stones) as possible.



# Muddy City Problem

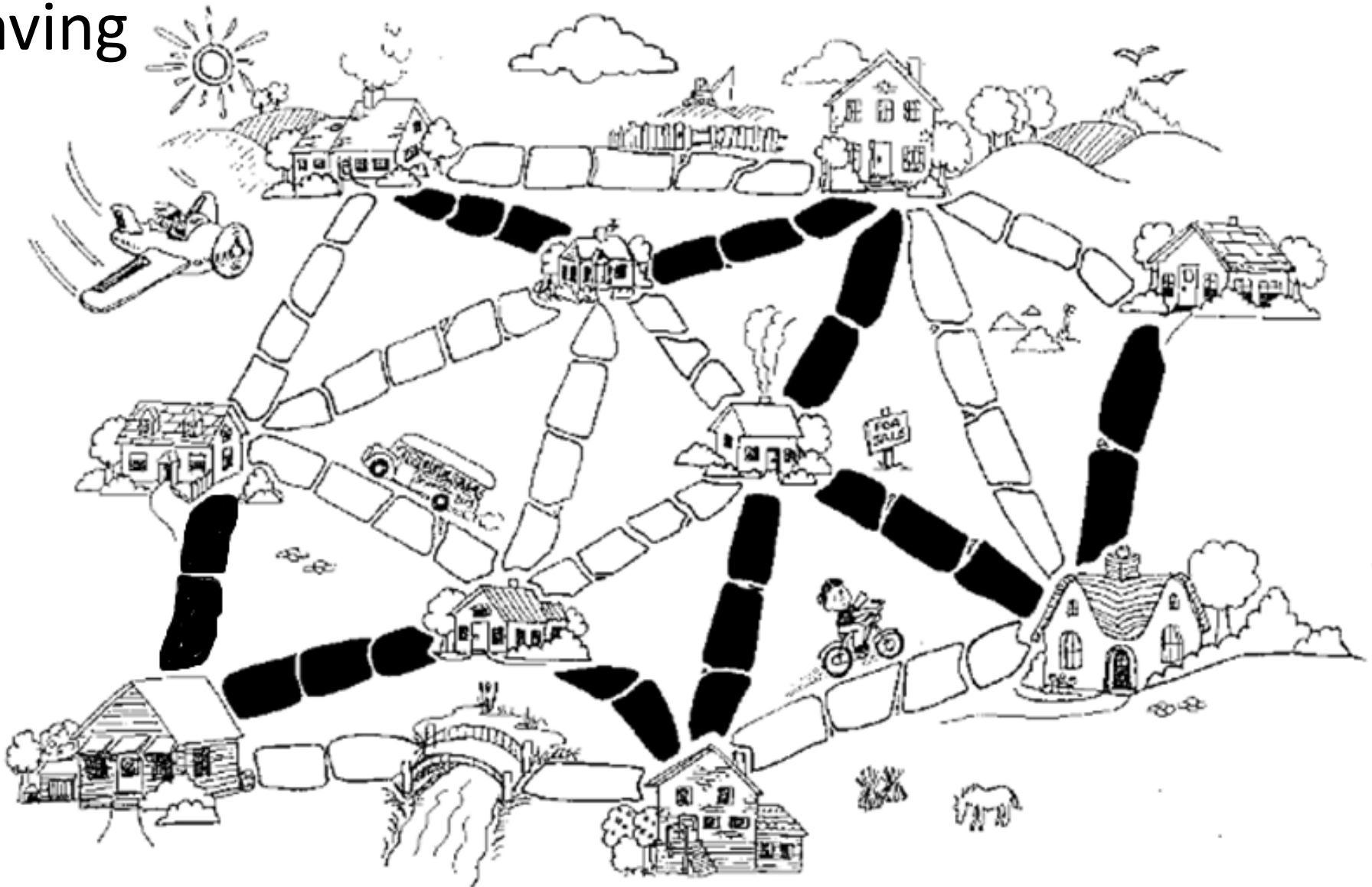
The graph





# Muddy City Problem

The paving



# Application of MST: an example

- In the design of electronic circuitry, it is often necessary to make a set of pins electrically equivalent by wiring them together.
- Running cable TV to a set of houses. What's the least amount of cable needed to still connect all the houses?

# Finding MST

- Kruskal's algorithm: start with no nodes or edges in the spanning tree and repeatedly add the cheapest edge that does not create a cycle



# Kruskal algorithm

Procedure Kruskal ( $G$ : weighted connected undirected graph with  $n$  vertices)

$T :=$  empty graph

for  $i := 1$  to  $n-1$

begin

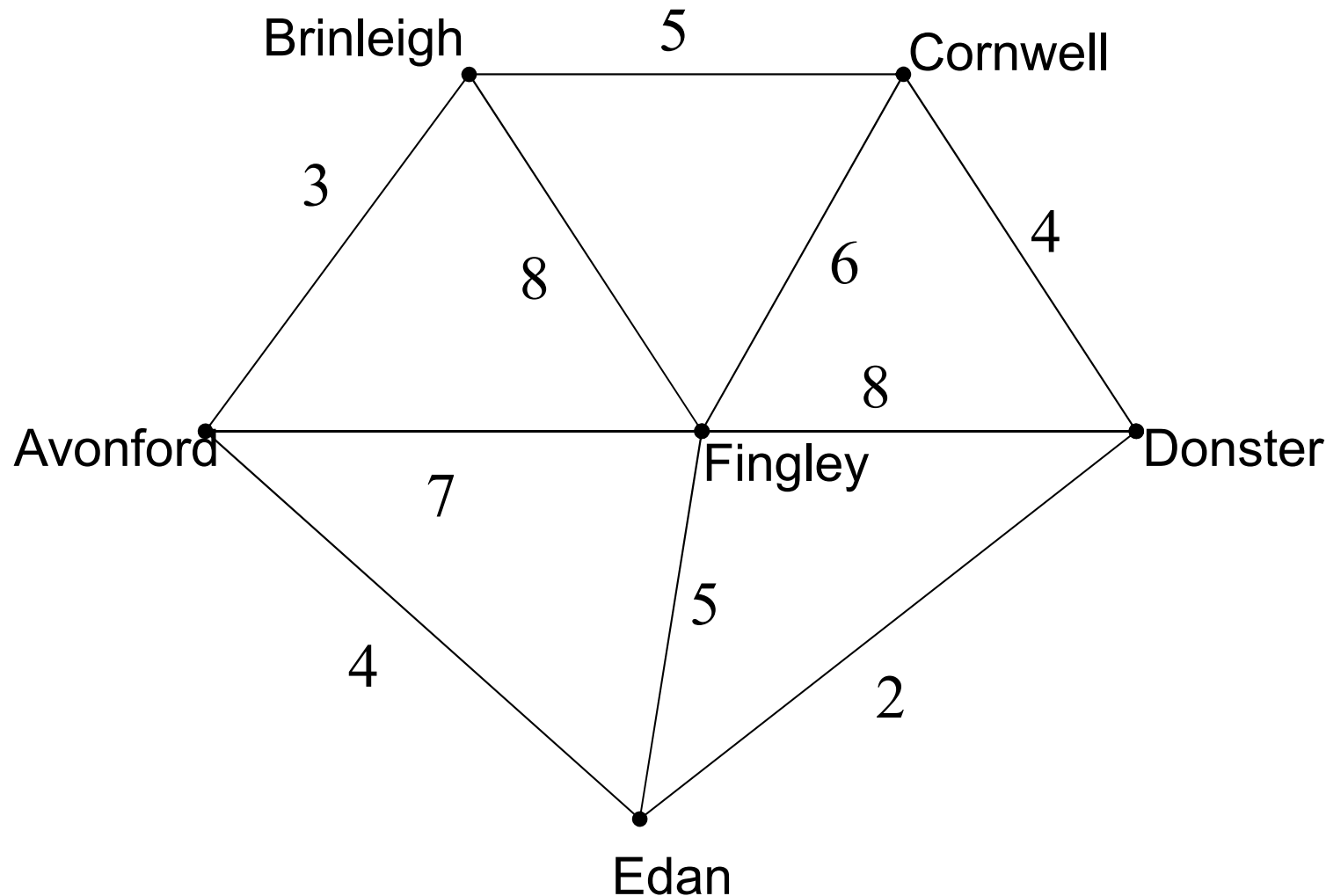
$e :=$  any edge in  $G$  with smallest weight that does not  
form a simple circuit when added to  $T$

$T := T$  with  $e$  added

end ( $T$  is a minimum spanning tree of  $G$ )

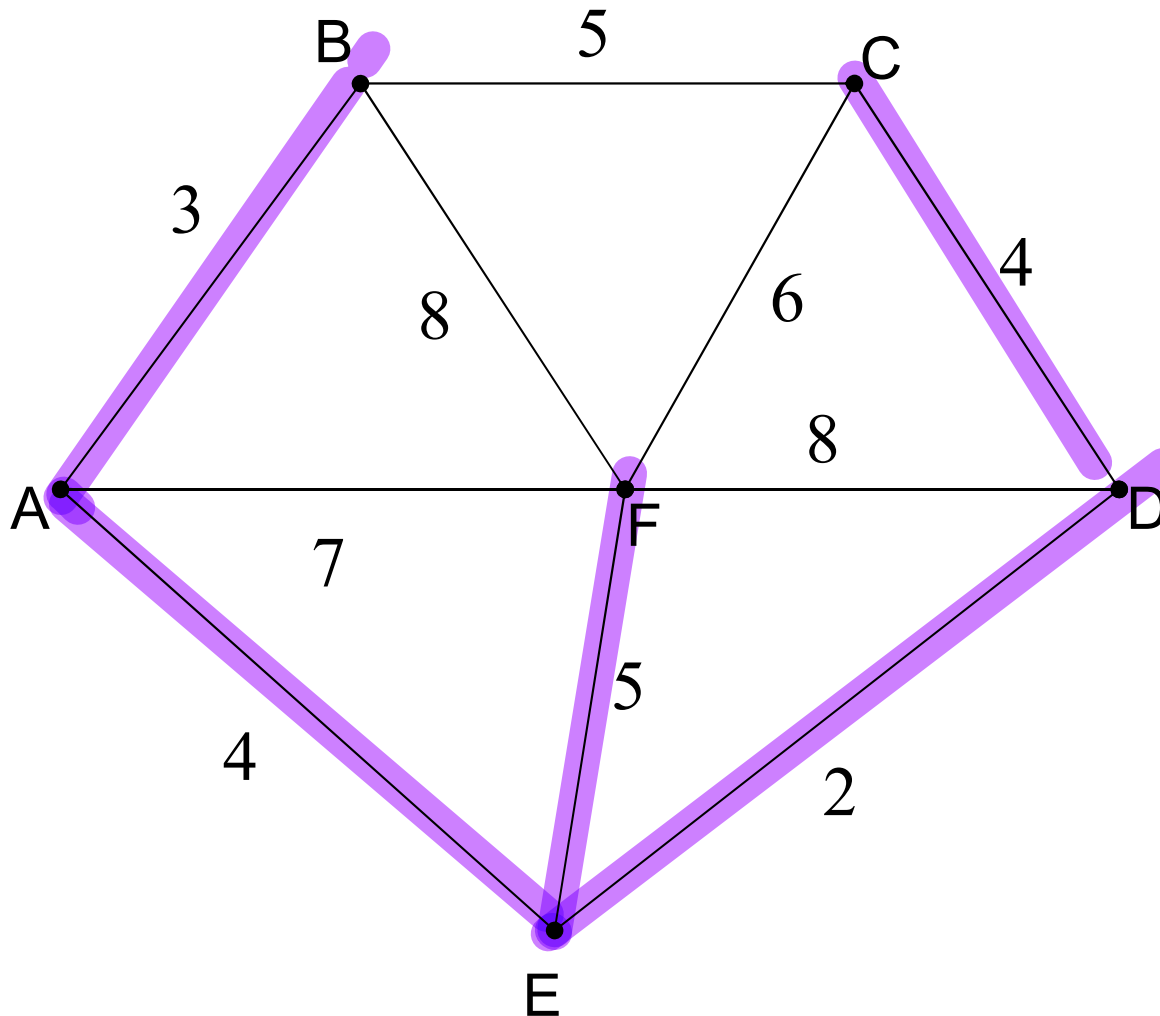
# Example 13

A cable company want to connect five villages to their network which currently extends to the market town of Avonford. What is the minimum length of cable needed?



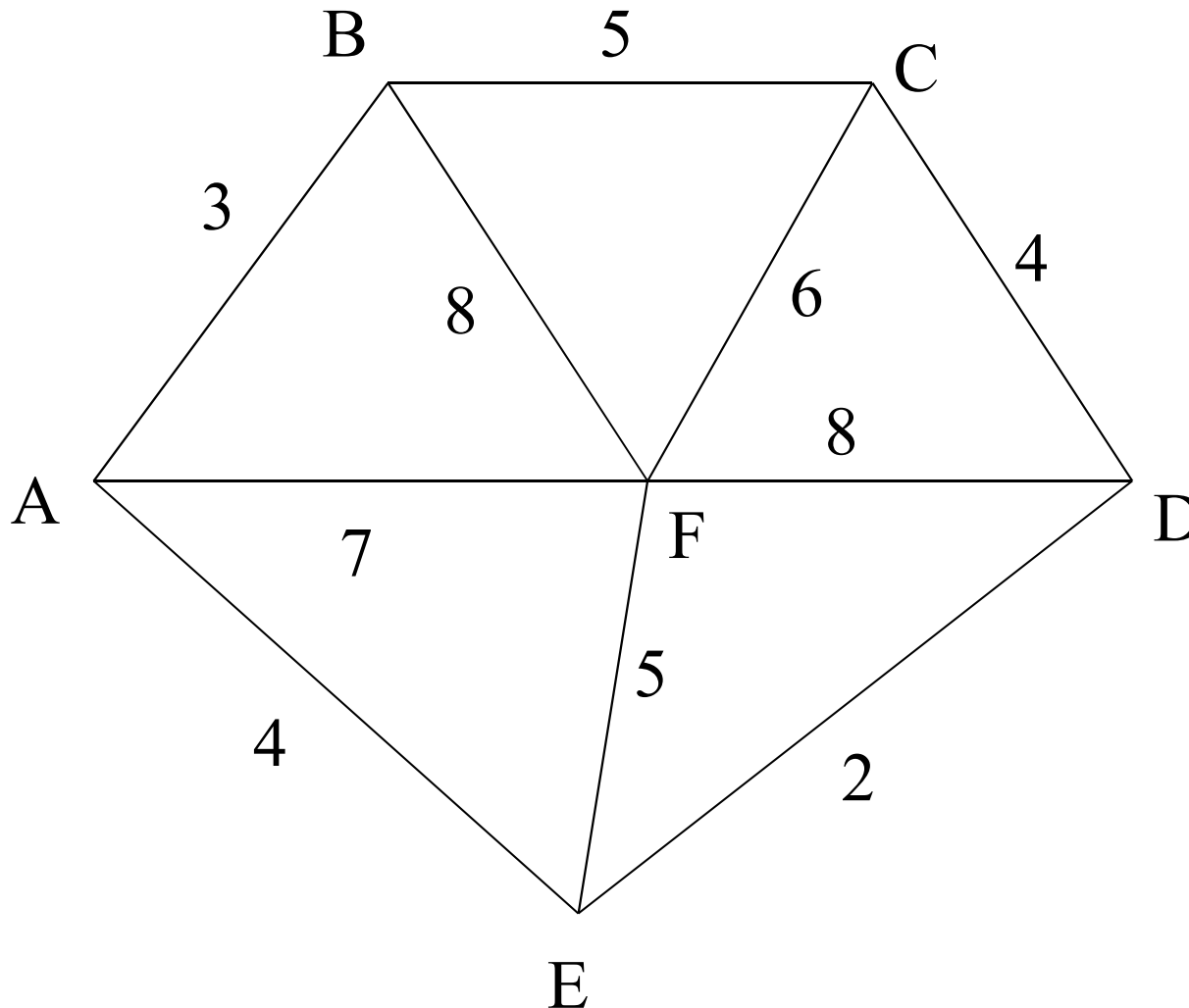
# Example 13

We model the situation as a network, then the problem is to find the minimum connector for the network



# Kruskal's Algorithm

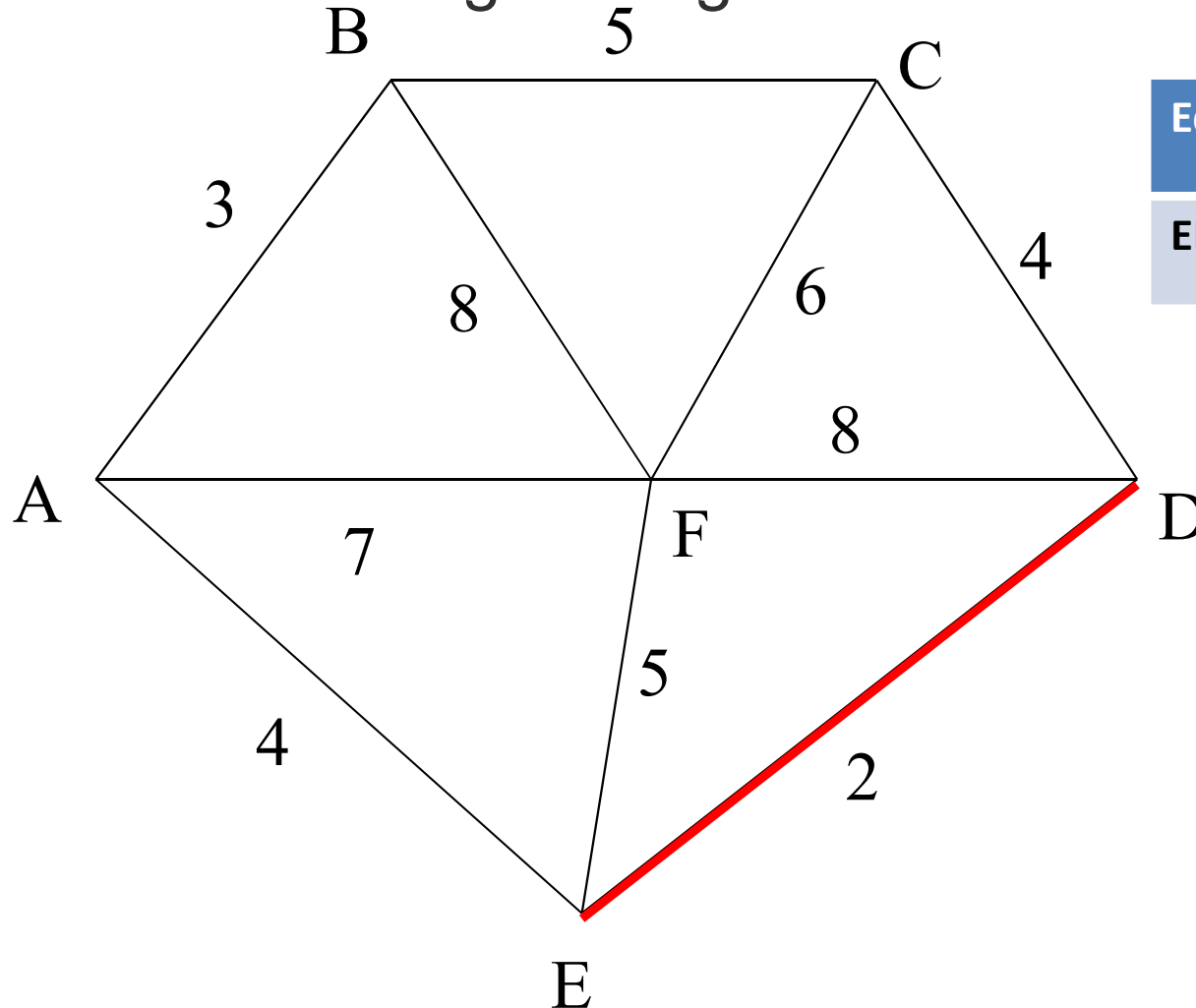
1) Sort edges – Arrange all edges in ascending order of weights



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2		
AB	3		
CD	4		
AE	4		
BC	5		
EF	5		
CF	6		
AF	7		
BF	8		
DF	8		

# Kruskal's Algorithm

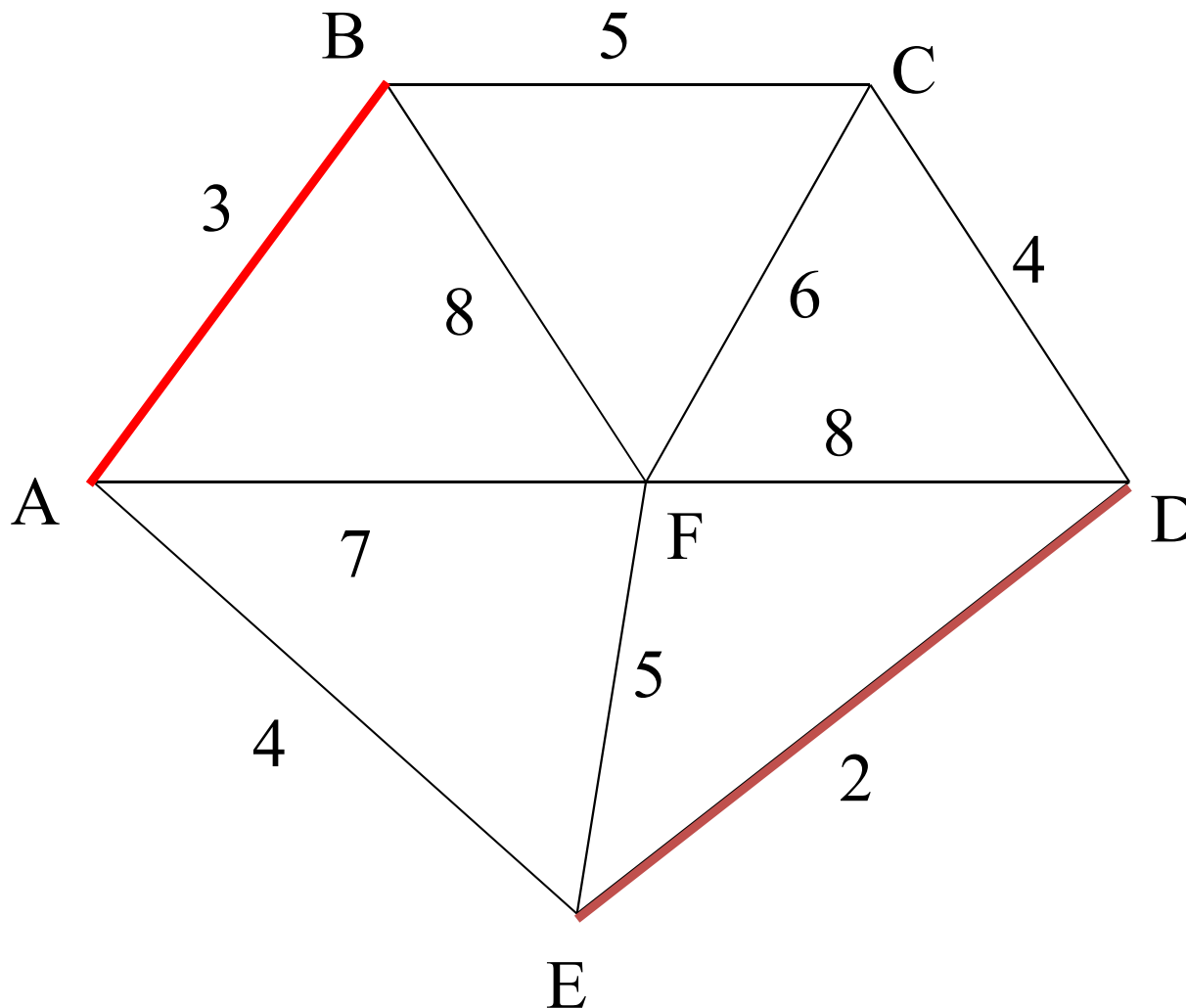
Select the edge with the smallest weight to connect the vertices of graph. If adding an edge creates a cycle, then discard that edge and go for the next least weight edge.



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes

# Kruskal's Algorithm

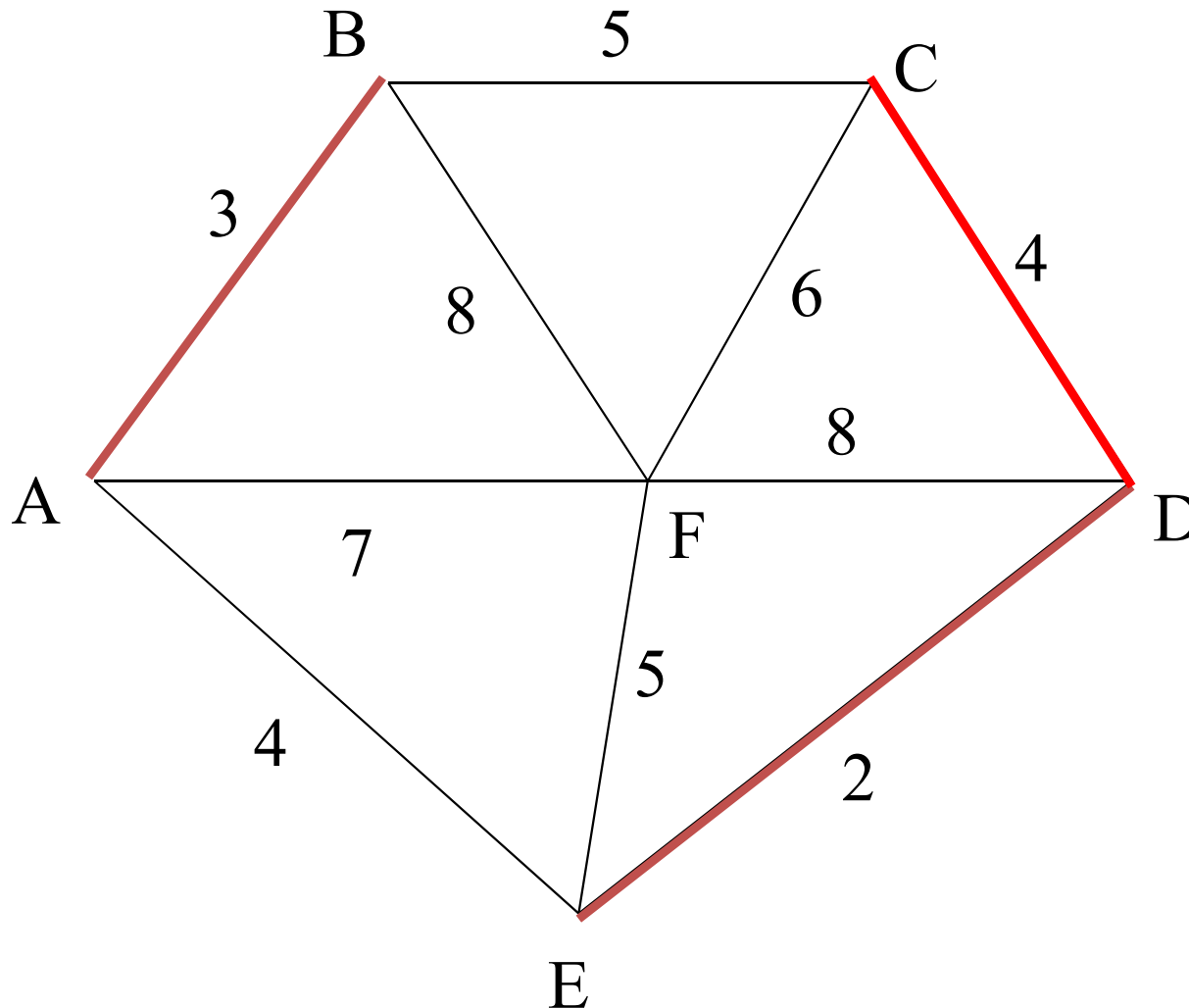
Select the next shortest edge which does not create a cycle



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes
AB	3	No	Yes

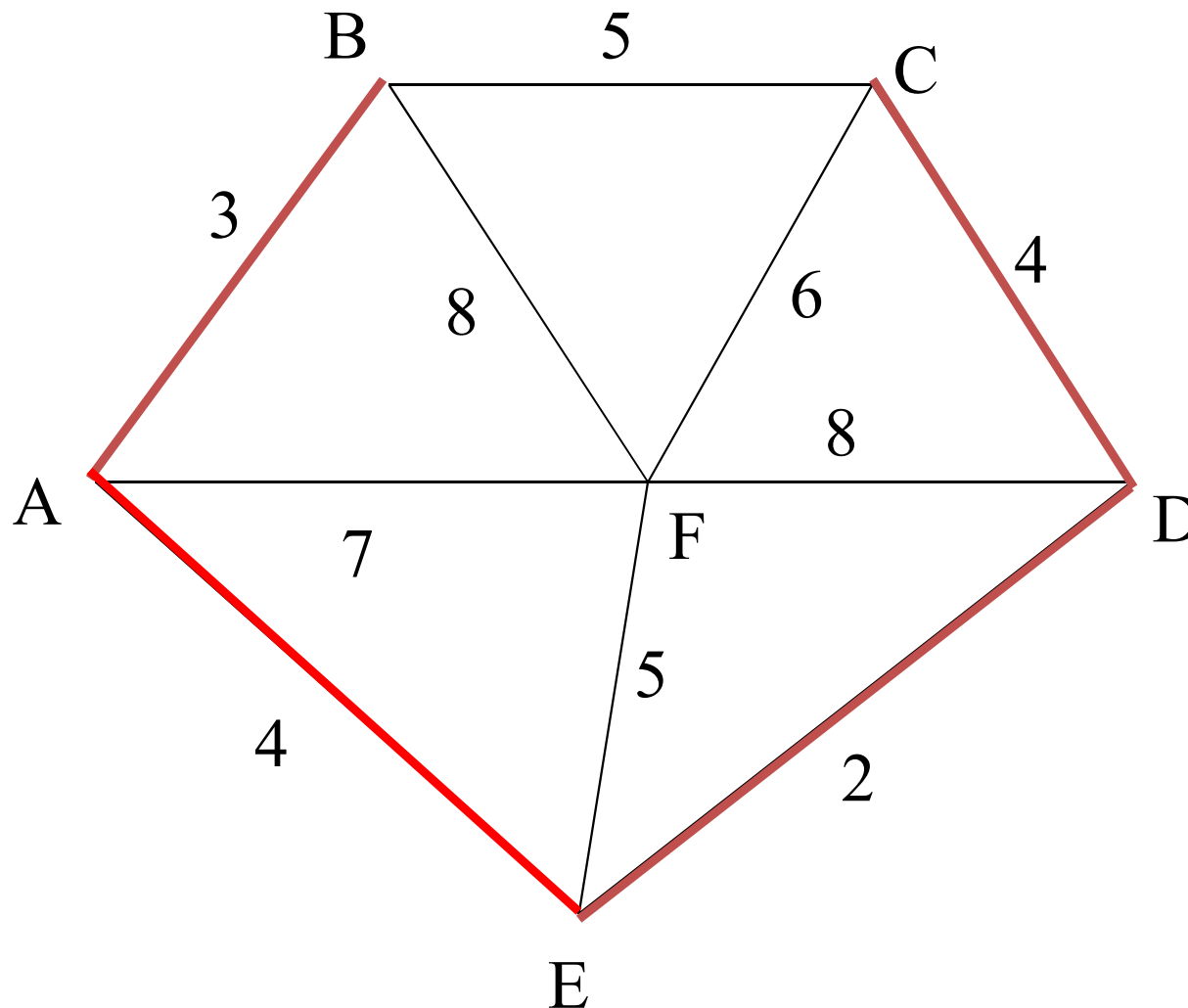
# Kruskal's Algorithm

Select the next shortest edge which does not create a cycle



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes
AB	3	No	Yes
CD	4	No	Yes

# Kruskal's Algorithm



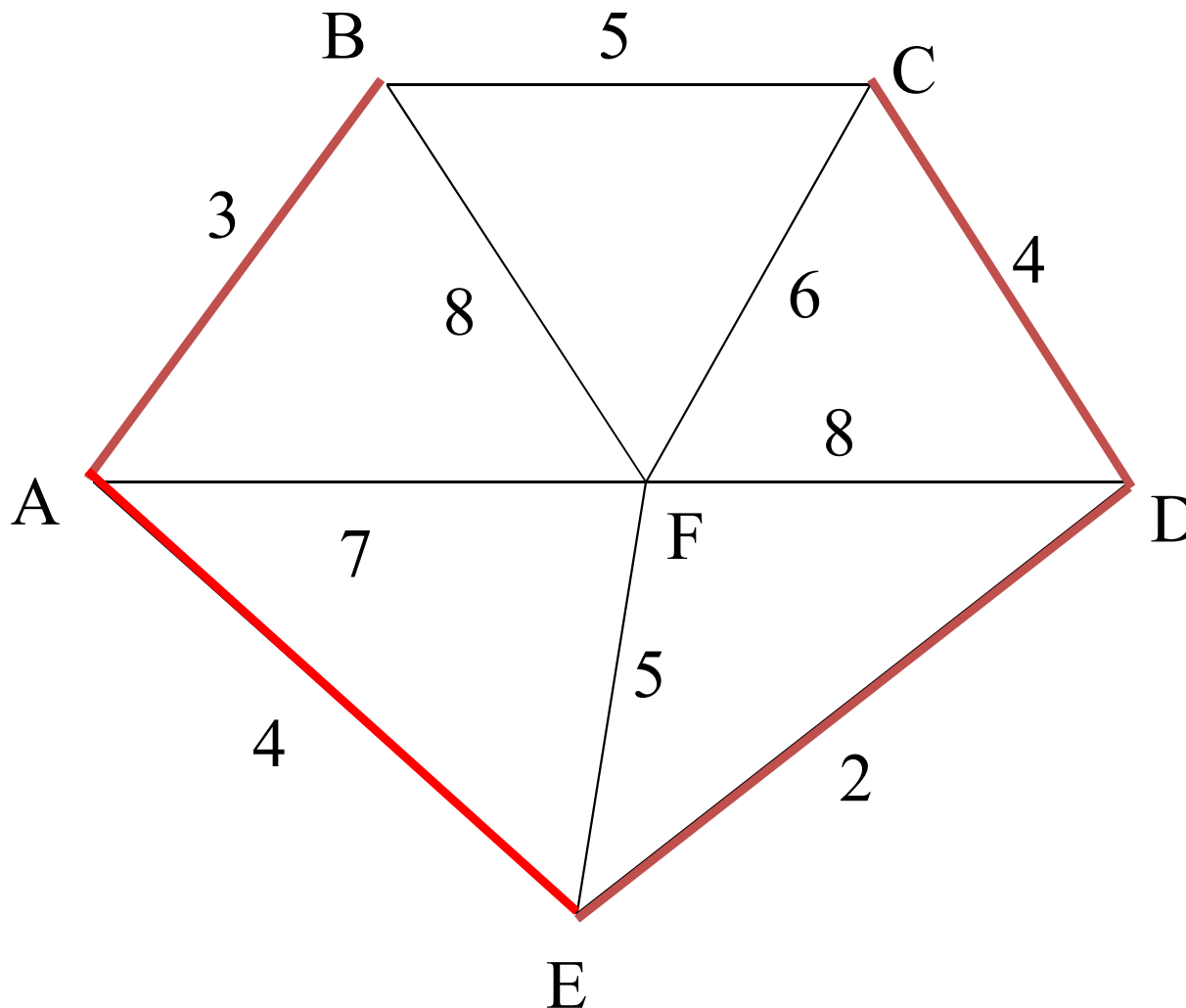
Select the next shortest edge which does not create a cycle

Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes
AB	3	No	Yes
CD	4	No	Yes
AE	4	No	Yes



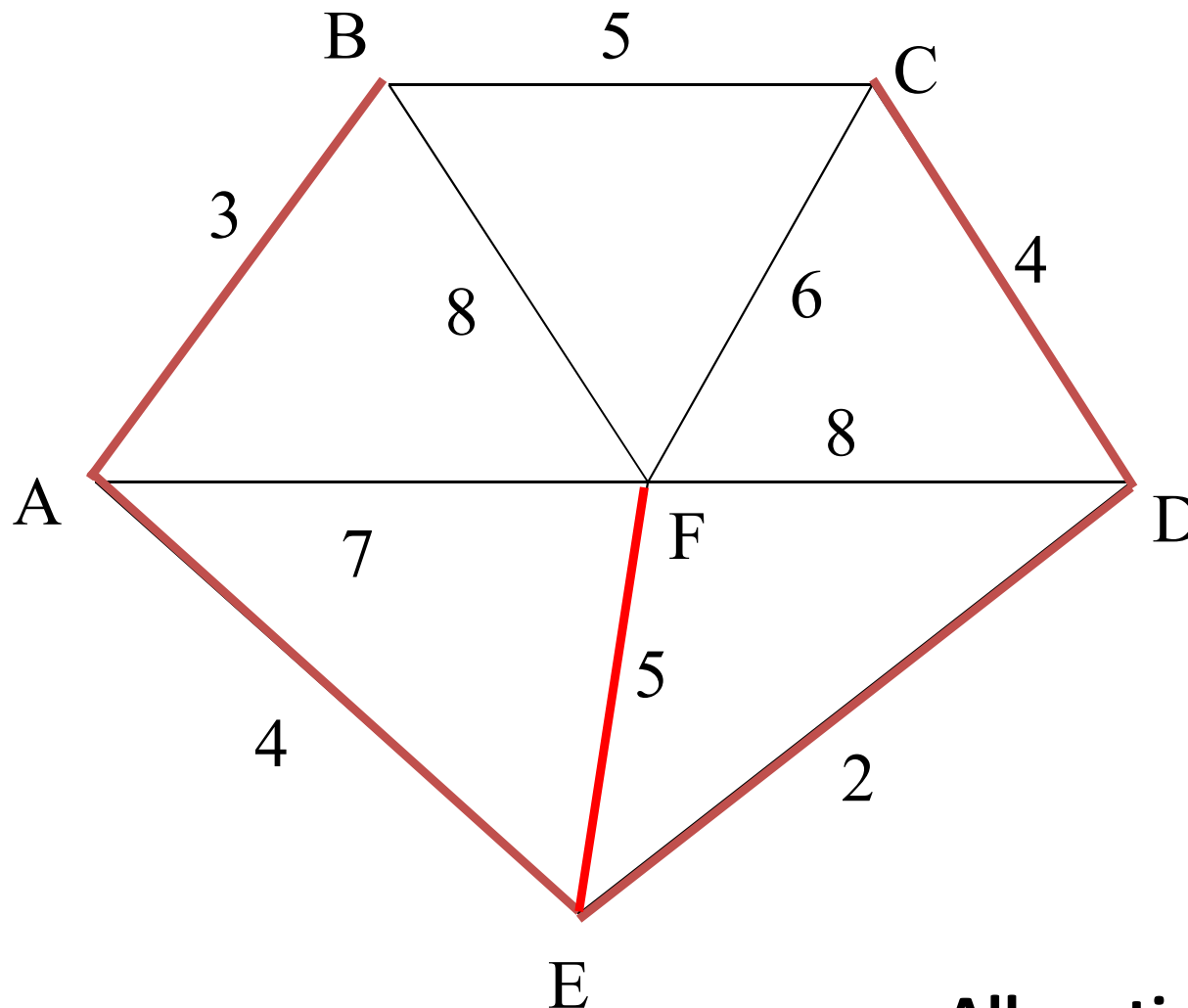
# Kruskal's Algorithm

Select the next shortest edge which does not create a cycle



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes
AB	3	No	Yes
CD	4	No	Yes
AE	4	No	Yes
BC	5	Yes	No

# Kruskal's Algorithm



Select the next shortest edge which does not create a cycle

Edges	Weight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes
AB	3	No	Yes
CD	4	No	Yes
AE	4	No	Yes
BC	5	Yes	No
EF	5	No	Yes

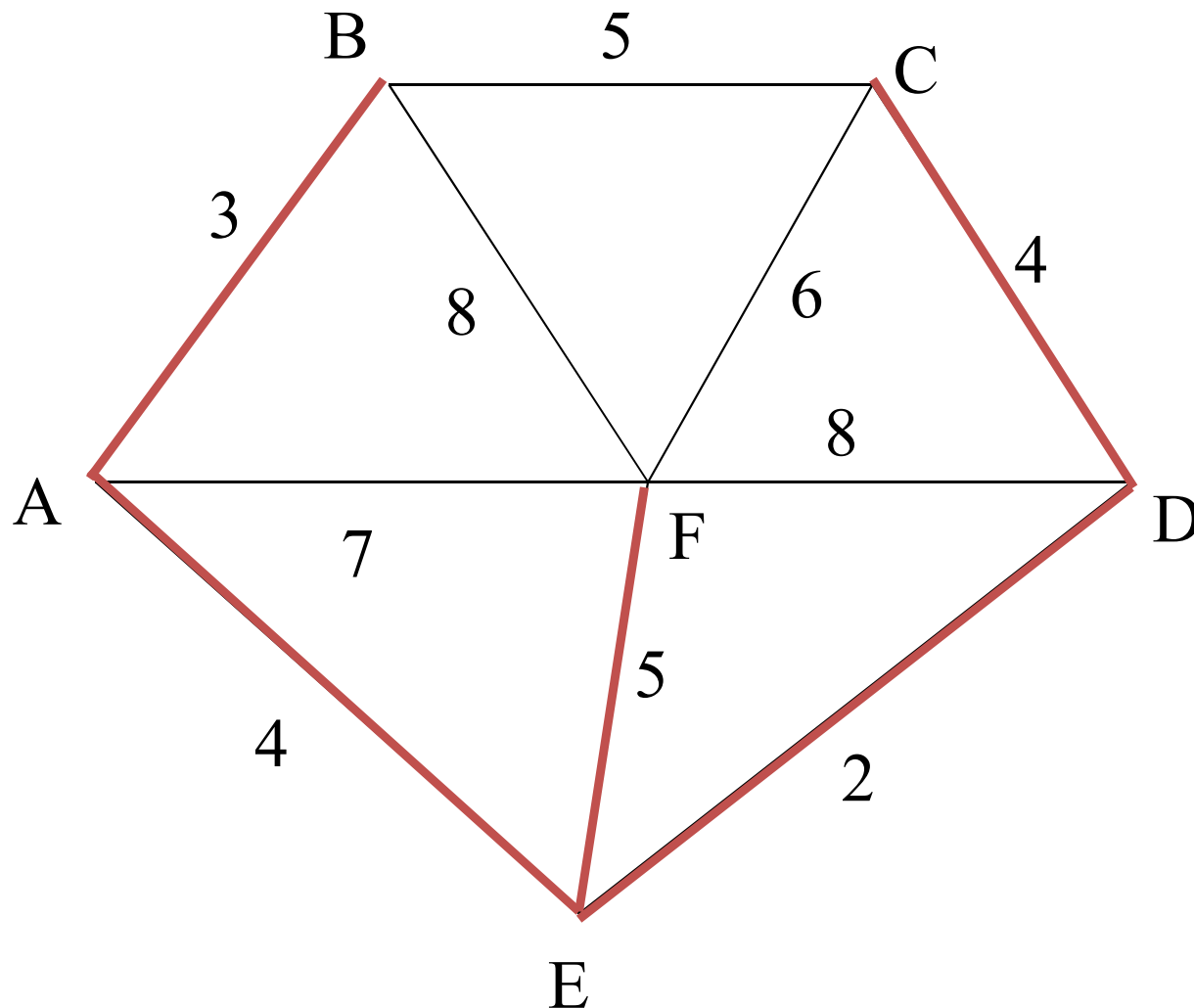
**All vertices have been connected.**

# Kruskal's Algorithm

Edges	Wight	cycle (Yes/No)	Select (Yes/No)
ED	2	No	Yes
AB	3	No	Yes
CD	4	No	Yes
AE	4	No	Yes
BC	5	Yes	No
EF	5	No	Yes
CF	6	Yes	No
AF	7	Yes	No
BF	8	Yes	No
CF	8	Yes	No

# Kruskal's Algorithm

The solution is



**ED 2**

**AB 3**

**CD 4**

**AE 4**

**EF 5**

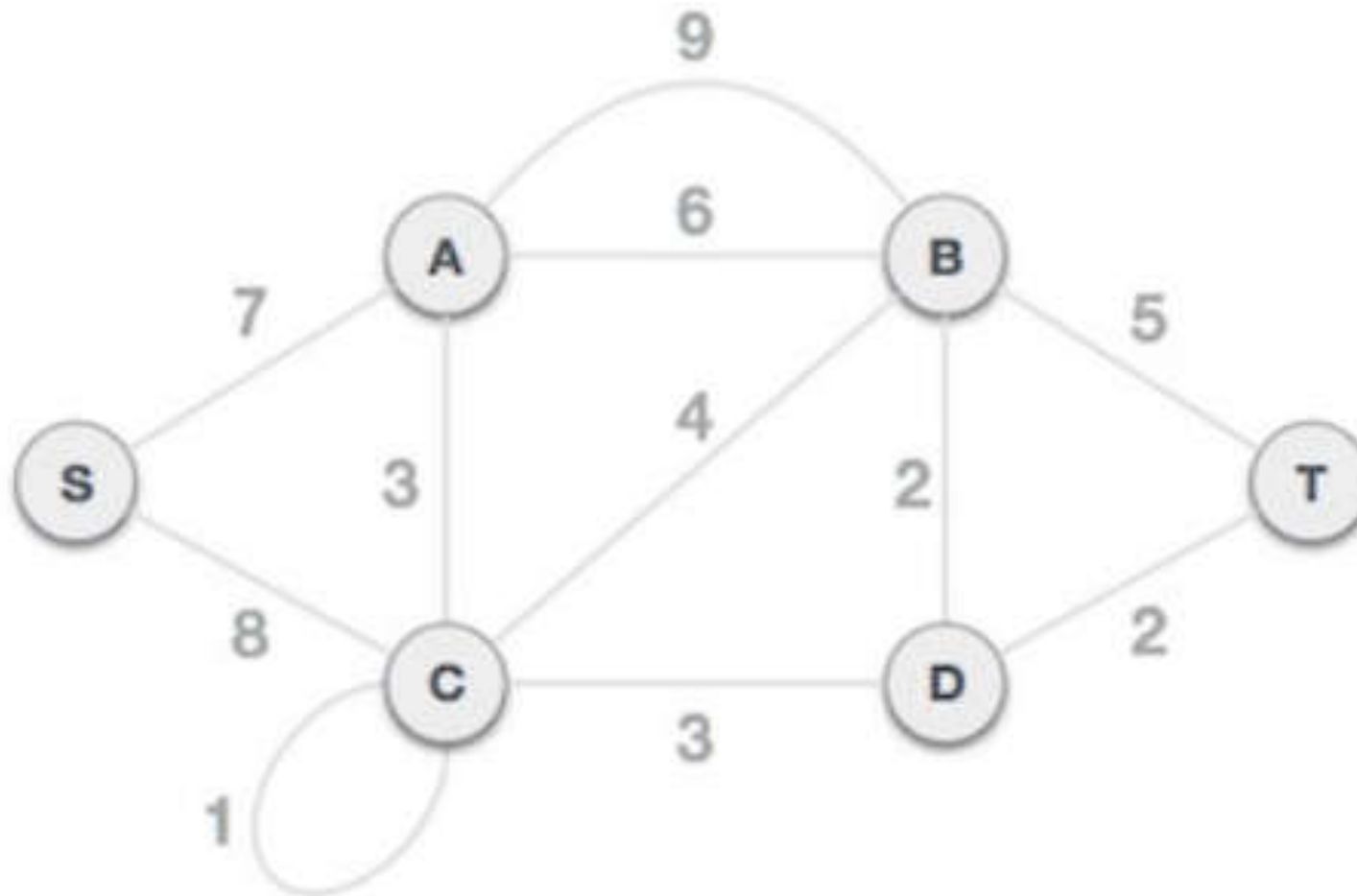
Total weight of tree:  
18

# Kruskal's Algorithm

Important notes:

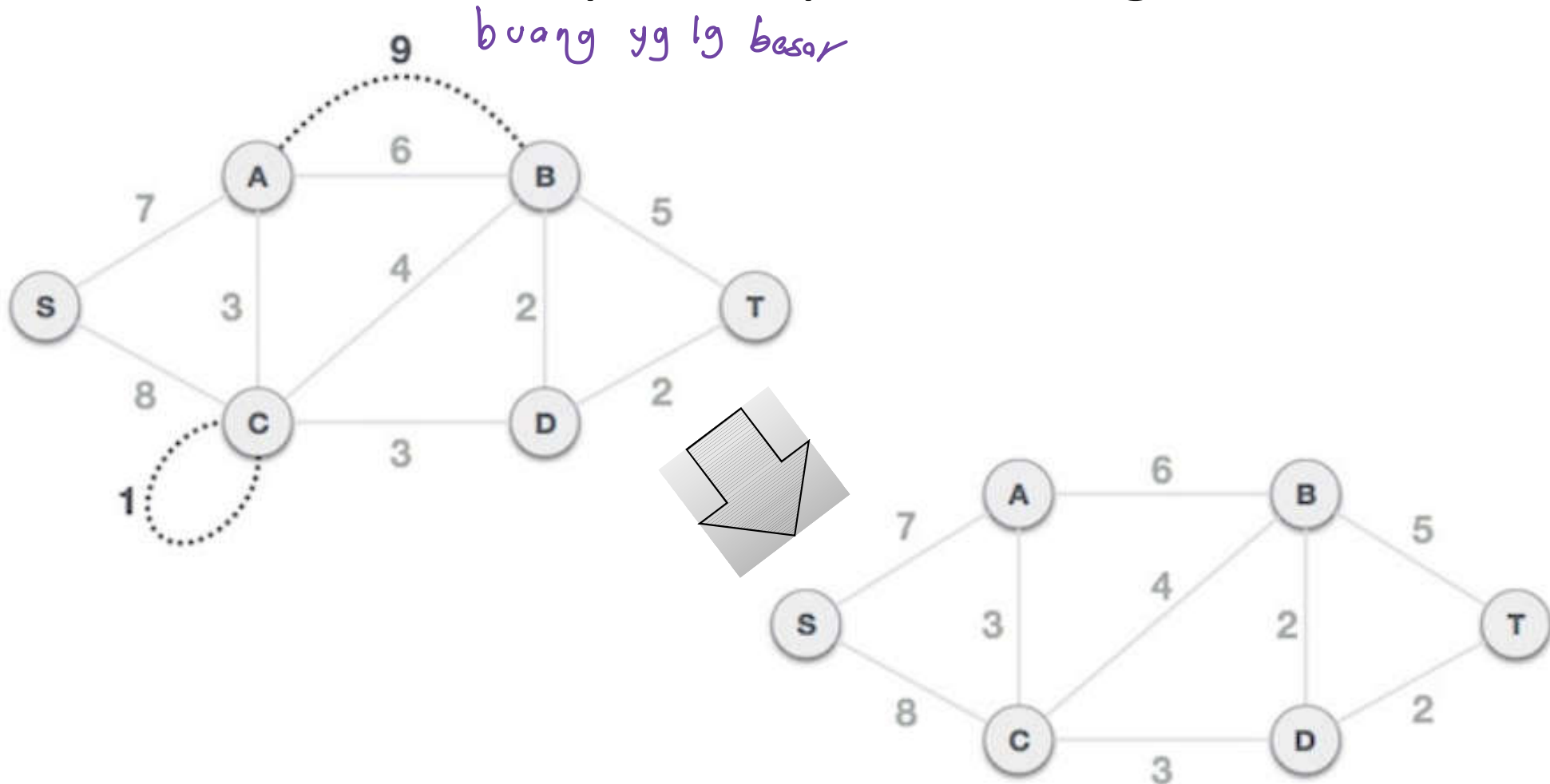
- The graph given should be a tree
  - Remove all loops (if any)
  - Remove all parallel edges
    - keep the one which has the least weight associated and remove all others

# Example 14



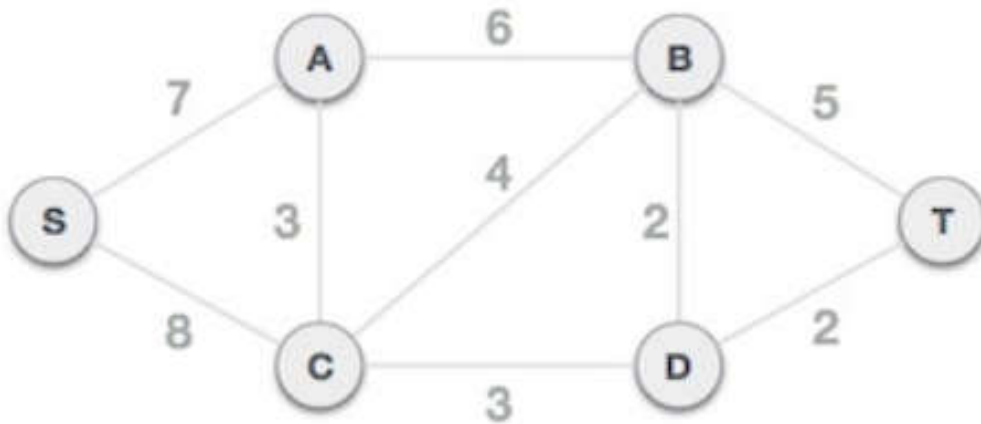
# Example 14

- Remove all loops and parallel edges



# Example 14

- Arrange all edges in ascending order of weight

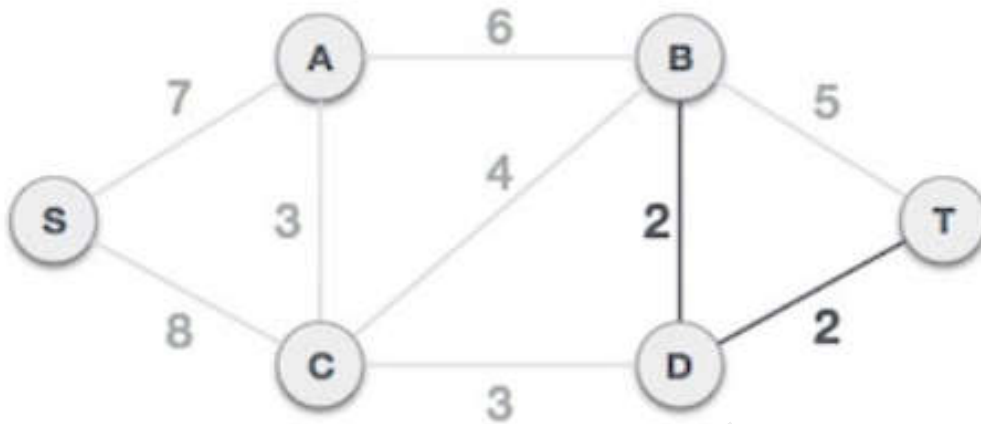


Edges	Weight	cycle (Yes/No)	Select (Yes/No)
BD	2		
DT	2		
AC	3		
CD	3		
CB	4		
BT	5		
AB	6		
SA	7		
SC	8		



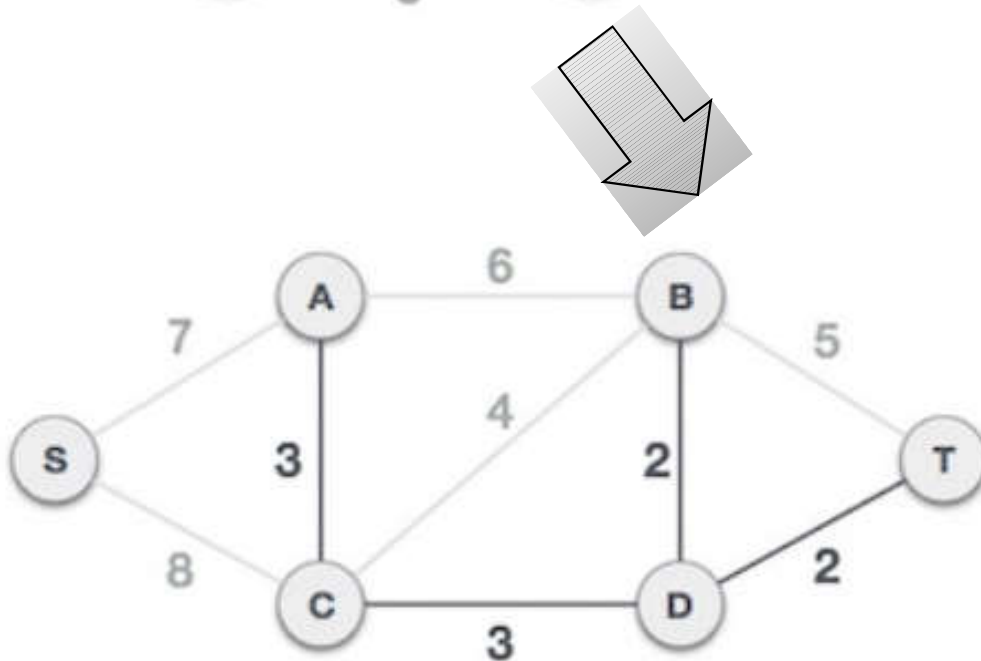
# Example 14

- Add the edge which has the least weightage



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
BD	2	No	Yes
DT	2	No	Yes

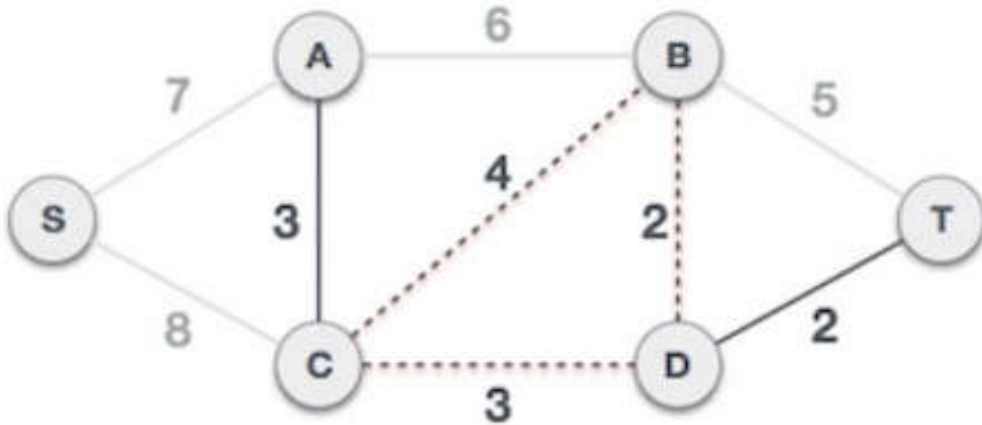
Next weight is 3, and associated edges are AC and CD



Edges	Weight	cycle (Yes/No)	Select (Yes/No)
BD	2	No	Yes
DT	2	No	Yes
AC	3	No	Yes
CD	3	No	Yes

# Example 14

The next weight is 4, and adding it would create a circuit in the graph. Thus, it is discarded.

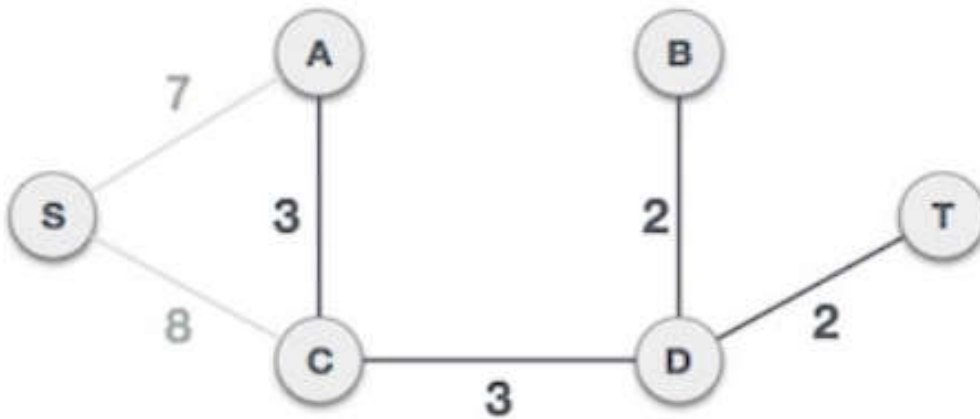


Edges with weight 5 and 6 also create circuits and are therefore discarded.

Edges	Weight	cycle (Yes/No)	Select (Yes/No)
BD	2	No	Yes
DT	2	No	Yes
AC	3	No	Yes
CD	3	No	Yes
CB	4	Yes	No
BT	5	Yes	No
AB	6	Yes	No

# Example 14

Now only one node to be added.  
 Between the two least weighted  
 edges available 7 and 8, we shall  
 add the edge with weight 7.



Edges	Wight	cycle (Yes/No)	Select (Yes/No)
BD	2	No	Yes
DT	2	No	Yes
AC	3	No	Yes
CD	3	No	Yes
CB	4	Yes	No
BT	5	Yes	No
AB	6	Yes	No
SA	7	No	Yes
SC	8	Yes	No

# Example 14

Now we have minimum spanning tree with total weight is 17.

