

# 作业讲解 I

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## Exercises 2.1

Prove that, by redefining the key space, we may assume that the key-generation algorithm  $Gen$  chooses a uniform key from the key space, without changing  $\Pr[C = c | M = m]$  for any  $m, c$ .

**Hint:** Define the key space to be the set of all possible random bits used by the randomized algorithm  $Gen$ .

Suppose  $Gen$  take a random seed  $r \xleftarrow{\$} R$  as input, i.e  $Gen(r) = k$ , so we have scheme as follow:

**Gen:**  $r \xleftarrow{\$} R$ ,  $Gen(r) = k$ , output  $k$ .

**Enc:** Output  $c = Enc_k(m)$ .

**Dec:** Output  $m = Dec_k(c)$ .

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Consider taking  $Gen$  and  $Enc$  as a new algorithm  $Enc'$  and the random seed  $r$  as  $Enc'$ 's key, i.e.  $Enc'_r(m) = c$ .

Formally, we can define a scheme **(Gen', Enc' Dec')** as follow:

**Gen'**: Output  $r \xleftarrow{\$} R$ .

**Enc'**:  $Gen(r) = k$ , output  $c = Enc_k(m)$ .

**Dec'**:  $Gen(r) = k$ , output  $m = Dec_k(c)$ .

Now the key of encryption scheme is chosen uniformly from  $R$  without changing  $\Pr[C = c | M = m]$ .

# 作业讲解 1 - 2

## Exercises 2.3

Prove or refute: An encryption scheme with message space  $\mathcal{M}$  is perfectly secret if and only if for every probability distribution over  $\mathcal{M}$  and every  $c_0, c_1 \in \mathcal{C}$  we have  $\Pr[C = c_0] = \Pr[C = c_1]$ .

**Refute:** For "only if" direction, we now give a counterexample of a perfectly secret scheme, where above condition does not hold.

①  $\mathcal{M} = \{0, 1\}^1, \mathcal{K} = \{0, 1\}^2, \mathcal{C} = \{0, 1\}^2$ .

② **Gen:**  $\Pr[K = 00] = \Pr[K = 10] = 1/6$   
and  $\Pr[K = 01] = \Pr[K = 11] = 1/3$ .

③ **Enc<sub>K</sub>(M):**  $C = (M \oplus K[0]) || K[1]$

④ **Dec<sub>K</sub>(C):**  $M = C[0] \oplus K[0]$

	$\frac{1}{6}$	$\frac{1}{3}$	$\frac{1}{6}$	$\frac{1}{3}$
$\begin{array}{c c} & K \end{array}$	00	01	10	11
$\begin{array}{c} M \\ 0 \end{array}$	00	01	10	11
$\begin{array}{c} 1 \end{array}$	10	11	00	01

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This encryption scheme is simply an extension to The One-Time Pad. For every  $c \in \mathcal{C}$ , we have  $\Pr[C = c|M = 0] = \Pr[C = c|M = 1]$ . For example, when  $c = 00$ ,  $\Pr[C = 00|M = 0] = \Pr[C = 00|M = 1] = 1/6$ . Therefore, this scheme is perfectly secret.

However,  
the ciphertext distribution is clearly not uniform.  
 $\Pr[C = 00] = 1/6$ , while  $\Pr[C = 01] = 1/3$

This contradicts the condition given in the exercise.

		$\frac{1}{6}$	$\frac{1}{3}$	$\frac{1}{6}$	$\frac{1}{3}$
$\begin{smallmatrix} \diagdown \\ K \\ \diagup \end{smallmatrix}$	$\begin{smallmatrix} \diagup \\ M \\ \diagdown \end{smallmatrix}$	00	01	10	11
0		00	01	10	11
1		10	11	00	01

# 作业讲解 I - 3

## Proof Lemma 2.6

LEMMA 2.6 Encryption scheme  $\Pi$  is perfectly secret if and only if it is perfectly indistinguishable.

### Proof:

" $\Rightarrow$ ": Assume  $\Pi$  is perfectly secret. Then for every  $m_0, m_1 \in \mathcal{M}$  and every  $c \in \mathcal{C}$ ,  $\Pr[C = c | M = m_0] = \Pr[C = c | M = m_1]$ .

We have:

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= \Pr[b = 0] \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1 | b = 0] + \Pr[b = 1] \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1 | b = 1] \\ &= \Pr[b = 0] \Pr[\mathcal{A} \text{ outputs } 0 | b = 0] + \Pr[b = 1] \Pr[\mathcal{A} \text{ outputs } 1 | b = 1] \\ &= \Pr[M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | M = m_0] + \Pr[M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | M = m_1] \\ &= \Pr[M = m_0] \sum_{c \in \mathcal{C}} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ &\quad + \Pr[M = m_1] \sum_{c \in \mathcal{C}} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \end{aligned}$$

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Meanwhile,

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 0] \\ &= \Pr[b = 0] \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 0 | b = 0] + \Pr[b = 1] \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 0 | b = 1] \\ &= \Pr[b = 0] \Pr[\mathcal{A} \text{ outputs } 1 | b = 0] + \Pr[b = 1] \Pr[\mathcal{A} \text{ outputs } 0 | b = 1] \\ &= \Pr[M = m_0] \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \\ &\quad + \Pr[M = m_1] \sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ &= \Pr[M = m_1] \sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \\ &\quad + \Pr[M = m_0] \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ &= \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] = 1/2. \end{aligned}$$

Therefore,  $\Pi$  is perfectly indistinguishable.

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Another way

- Divide  $C$  into  $C_0$  and  $C_1$ , s.t.  $C_0 \cup C_1 = C$  and  $C_0 \cap C_1 = \emptyset$
- Adversary outputs 0 if he received  $c \in C_0$ , and 1 if  $c \in C_1$

$$\begin{aligned} & \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ = & \sum_{c \in C_0} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ & + \sum_{c \in C_1} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ = & \sum_{c \in C_0} \Pr[C = c | M = m_0] * 1 + \sum_{c \in C_1} \Pr[C = c | M = m_0] * 0 \\ = & \sum_{c \in C_0} \Pr[C = c | M = m_0] \end{aligned}$$



So we have,

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= \Pr[M = m_0] \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ & \quad + \Pr[M = m_1] \sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \\ &= 1/2 \left( \sum_{c \in C_0} \Pr[C = c | M = m_0] + \sum_{c \in C_1} \Pr[C = c | M = m_1] \right) \\ &= 1/2 \left( \sum_{c \in C_0} \Pr[C = c | M = m_0] + \sum_{c \in C_1} \Pr[C = c | M = m_0] \right) \\ &= 1/2 \left( \sum_{c \in C} \Pr[C = c | M = m_0] \right) \\ &= 1/2 \end{aligned}$$

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**Proof:** " $\Leftarrow$ ": We try to prove the contrapositive of it.

Assume  $\Pi$  is not perfectly secret. There are  $m'_0, m'_1 \in M$  and  $c' \in C$  that  $\Pr[C = c' | M = m'_0] \neq \Pr[C = c' | M = m'_1]$ .

We construct an adversary  $\mathcal{A}$  for which  $\Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \neq 1/2$ .

- 1 Choose  $m_0 = m'_0$  and  $m_1 = m'_1$
- 2 Upon receiving the challenge ciphertext  $c$ , output  $b = 0$  if  $c = c'$ , and randomly outputs 0 or 1 otherwise.

Now,

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= \Pr[b = 0] \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1 | b = 0] + \Pr[b = 1] \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1 | b = 1] \\ &= \Pr[b = 0] \Pr[\mathcal{A} \text{ outputs } 0 | b = 0] + \Pr[b = 1] \Pr[\mathcal{A} \text{ outputs } 1 | b = 1] \end{aligned} \quad (1)$$

In addition,

$$\begin{aligned} & \Pr[\mathcal{A} \text{ outputs } 0 | b = 0] \\ = & \Pr[C = c' | b = 0] \Pr[\mathcal{A} \text{ outputs } 0 | b = 0 \wedge C = c'] \\ & + \Pr[C \neq c' | b = 0] \Pr[\mathcal{A} \text{ outputs } 0 | b = 0 \wedge C \neq c'] \quad (2) \\ = & \Pr[C = c' | b = 0] + 1/2 \Pr[C \neq c' | b = 0] \\ = & \Pr[C = c' | M = m'_0] + 1/2 \Pr[C \neq c' | M = m'_0] \end{aligned}$$

$$\begin{aligned} & \Pr[\mathcal{A} \text{ outputs } 1 | b = 1] \\ = & \Pr[C = c' | b = 1] \Pr[\mathcal{A} \text{ outputs } 1 | b = 1 \wedge C = c'] \\ & + \Pr[C \neq c' | b = 1] \Pr[\mathcal{A} \text{ outputs } 1 | b = 1 \wedge C \neq c'] \quad (3) \\ = & 1/2 \Pr[C \neq c' | b = 1] \\ = & 1/2 \Pr[C \neq c' | M = m'_1] \end{aligned}$$

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Then substitute (2) and (3) into (1).

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= 1/2(\Pr[C = c' | M = m'_0] + 1/2 \Pr[C \neq c' | M = m'_0]) \\ &\quad + 1/2(1/2 \Pr[C \neq c' | M = m'_1]) \\ &= 1/2 \Pr[C = c' | M = m'_0] + 1/4(1 - \Pr[C = c' | M = m'_0]) \\ &\quad + 1/4(1 - \Pr[C = c' | M = m'_1]) \\ &= 1/2 + 1/4(\Pr[C = c' | M = m'_0] - \Pr[C = c' | M = m'_1]) \\ &\neq 1/2 \end{aligned}$$

Therefore,  $\Pi$  is not perfectly indistinguishable.

In conclusion, the lemma is correct. □

## Exercises 2.10

2.10 The following questions concern the message space  $\mathcal{M} = \{0,1\}^{\leq \ell}$ , the set of all nonempty binary strings of length at most  $\ell$ .

- (a) Consider the encryption scheme in which  $\text{Gen}$  chooses a uniform key from  $\mathcal{K} = \{0,1\}^\ell$ , and  $\text{Enc}_k(m)$  outputs  $k_{|m|} \oplus m$ , where  $k_t$  denotes the first  $t$  bits of  $k$ . Show that this scheme is not perfectly secret for message space  $\mathcal{M}$ .
- (b) Design a perfectly secret encryption scheme for message space  $\mathcal{M}$ .

(a)

There are messages with different length in the message space  $M$  and this scheme don't protect this information.

The adversary can choose message  $m_0 = 000$ ,  $m_1 = 0001$  and output 0 if  $|c| = 3$  and 1 if  $|c| = 4$ .

Obviously  $\Pr[Privk_{\mathcal{A}, \Pi}^{eav} = 1] = 1$ .

(b)

We can design a scheme that  $Gen'$  chooses a unifrom key from  $K = \{0, 1\}^{l+1}$ , and  $Enc'_k(m)$  first compute  $m' = m || 1 || 0^{l-|m|}$  and outputs  $k \oplus m'$ , and  $Dec'_k(c)$  compute  $m' = k \oplus c$  and remove all of 0 and the first 1 from tail and get  $m$ .

## Exercises 2.18(a)(b)

2.18 Let  $\varepsilon > 0$  be a constant. Say an encryption scheme is  $\varepsilon$ -perfectly secret if for every adversary  $\mathcal{A}$  it holds that

$$\Pr [\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \leq \frac{1}{2} + \varepsilon.$$

(Compare to Definition 2.6.) Consider a variant of the one-time pad where  $\mathcal{M} = \{0, 1\}^\ell$  and the key is chosen uniformly from an arbitrary set  $\mathcal{K} \subseteq \{0, 1\}^\ell$  with  $|\mathcal{K}| = (1 - \varepsilon) \cdot 2^\ell$ ; encryption and decryption are otherwise the same.

- (a) Prove that this scheme is  $\varepsilon$ -perfectly secret.
- (b) Prove that this scheme is  $\left(\frac{\varepsilon}{2(1-\varepsilon)}\right)$ -perfectly secret when  $\varepsilon \leq 1/2$ .  
(Note that  $\frac{\varepsilon}{2(1-\varepsilon)} \leq \varepsilon$  here, so this is an improvement over part (a).)

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= \Pr[b = 0] \Pr[\mathcal{A} \text{ outputs } 0 | b = 0] + \Pr[b = 1] \Pr[\mathcal{A} \text{ outputs } 1 | b = 1] \\ &= \frac{1}{2} (\Pr[\mathcal{A} \text{ outputs } 0 | M = m_0] + \Pr[M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | M = m_1]) \\ &= \frac{1}{2} \left( \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \right. \\ &\quad \left. + \sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \right) \end{aligned}$$



# 作业讲解 I - 5

Let  $C_0(C_1)$  denote the ciphertext space of  $m_0(m_1)$ . Let  $S = C_0 \cap C_1$  and  $|S| = \delta|M|$ . The best adversary will outputs 0(1) when  $c \in C_0 - S(C_1 - S)$  and randomly outputs when  $c \in S$ .

$$\begin{aligned} & \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ &= \sum_{c \in C_0 - S} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ & \quad + \sum_{c \in S} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \\ &= \sum_{c \in C_0 - S} \Pr[C = c | M = m_0] + \sum_{c \in S} \frac{1}{2} \Pr[C = c | M = m_0] \\ &= \frac{(1 - \epsilon - \delta)|M|}{(1 - \epsilon)|M|} + \frac{1}{2} \frac{(\delta)|M|}{(1 - \epsilon)|M|} \\ &= \frac{2 - 2\epsilon - \delta}{2(1 - \epsilon)} \end{aligned}$$

# 作业讲解 I - 5

The same holds on for  $\sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c]$ , so we have

$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= \frac{1}{2} \left( \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \right. \\ & \quad \left. + \sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \right) \\ &= \frac{1}{2} \left( \frac{2 - 2\epsilon - \delta}{2(1 - \epsilon)} + \frac{2 - 2\epsilon - \delta}{2(1 - \epsilon)} \right) \\ &= \frac{2 - 2\epsilon - \delta}{2(1 - \epsilon)} \end{aligned}$$

So the smaller  $\delta$ , the probability of adversary win higher. And  $\delta \geq 2(1 - \epsilon) - 1 = 1 - 2\epsilon$ .

$$\text{So } \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \leq \frac{2 - 2\epsilon - 1 + 2\epsilon}{2(1 - \epsilon)} = \frac{1}{2(1 - \epsilon)} = \frac{1}{2} + \frac{\epsilon}{2(1 - \epsilon)}$$

## Exercises 2.18(c)

- (c) Prove that any deterministic scheme that is  $\varepsilon$ -perfectly secret must have  $|\mathcal{K}| \geq (1 - 2\varepsilon) \cdot |\mathcal{M}|$ . (Note: It is an open question to prove a tight lower bound that also holds for randomized schemes.)

# 作业讲解 I - 5

Let  $|K| = (1 - \alpha)|M|$  and we want to prove  $(1 - \alpha) \geq (1 - 2\epsilon)$  if  $\Pr[Privk_{A,\Pi}^{eav} = 1] \leq \frac{1}{2} + \epsilon$ . And we try to prove the contrapositive of it that if  $(1 - \alpha) < (1 - 2\epsilon)$  then for every encryption scheme  $\Pi$ , there exists a PPT adversary  $A'$  that  $\Pr[Privk_{A',\Pi}^{eav} = 1] > \frac{1}{2} + \epsilon$ .

For briefly we write  $n = |M|$ . Without loss of generality we can choose  $m_0$  randomly and fix  $C_0$ . We denote  $|C_0| = (1 - \beta)n$  that  $1 - \beta \leq 1 - \alpha$ .

Now we consider the "number of ciphertexts without considering repetition", denote as  $\gamma$ . Formally for a ciphertext  $c$ ,  
$$\gamma(c) = |\{(m, k) | Enc_k(m) = c\}|.$$

For the correctness of decryption there are at most  $(1 - \alpha)n$  messages can be encrypted to one ciphertext, i.e.  $\gamma(c) \leq (1 - \alpha)n$ , so  
$$\gamma(C_0) = \sum_{c \in C_0} \gamma(c) \leq (1 - \alpha)(1 - \beta)n^2.$$

# 作业讲解 I - 5

Let  $\{m_1, m_2, \dots, m_{n-1}\} = M - \{m_0\}$  and let

$$\delta_i \cdot n = |\{k | \text{Enc}_k(m_i) \in C_i \cap C_0\}|, \sum_{c \in C_i \cap C_0} \Pr[C = c | M = m_i] = \frac{\delta_i}{1-\alpha}.$$

Then  $\gamma(C_0)$  is greater than or equal to the results that adding up all of  $\delta_i \cdot n$  and plusing the size of  $C_0$ , i.e.  $\gamma(C_0) \geq (1 - \beta)n + \sum_{i=1}^{n-1} \delta_i \cdot n$ .

$$\sum_{i=1}^{n-1} \delta_i \cdot n \leq \gamma(C_0) - (1 - \beta)n \leq (1 - \alpha)(1 - \beta)n^2 - (1 - \beta)n < (1 - \alpha)(1 - \beta)(n^2 - n).$$

$$(n - 1)\delta_{\min} \leq \sum_{i=1}^{n-1} \delta_i < (1 - \alpha)(1 - \beta)(n - 1).$$

So there exists  $m_j$  that  $\delta_j = \delta_{\min} < (1 - \alpha)(1 - \beta)$ , let  $m_j$  be another message.

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For  $c \in C_0 \cap C_j$ , adversary guess 0 if  $\Pr[C = c|M = m_0] \geq \Pr[C = c|M = m_j]$  and 1 otherwise.

Notice that  $\sum_{c \in C_i \cap C_0} \Pr[C = c|M = m_i] = \frac{\delta_i}{1-\alpha}$ , and similarly we define  $\sum_{c \in C_i \cap C_0} \Pr[C = c|M = m_0] = \frac{\delta_0}{1-\alpha}$ .

$$\begin{aligned} & \Pr[C = c|M = m_0] \Pr[\mathcal{A} \text{ outputs } 0|C = c] \\ & + \Pr[C = c|M = m_j] \Pr[\mathcal{A} \text{ outputs } 1|C = c] \\ & = \max\{\Pr[C = c|M = m_0], \Pr[C = c|M = m_j]\} \end{aligned}$$

$$\begin{aligned} & \sum_{c \in C_0 \cap C_j} (\Pr[C = c|M = m_0] \Pr[\mathcal{A} \text{ outputs } 0|C = c] \\ & + \Pr[C = c|M = m_j] \Pr[\mathcal{A} \text{ outputs } 1|C = c]) \\ & \geq \frac{\max\{\delta_0, \delta_j\}}{1-\alpha} \end{aligned}$$

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$$\begin{aligned} & \Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] \\ &= \frac{1}{2} \left( \sum_{c \in C} \Pr[C = c | M = m_0] \Pr[\mathcal{A} \text{ outputs } 0 | C = c] \right. \\ & \quad \left. + \sum_{c \in C} \Pr[C = c | M = m_1] \Pr[\mathcal{A} \text{ outputs } 1 | C = c] \right) \\ &\geq \frac{1}{2} \left( \frac{1 - \alpha - \delta_0}{1 - \alpha} + \frac{1 - \alpha - \delta_j}{1 - \alpha} + \frac{\max\{\delta_0, \delta_j\}}{1 - \alpha} \right) \\ &= \frac{1}{2} \left( 2 - \frac{\min\{\delta_0, \delta_j\}}{1 - \alpha} \right) > 1 - \frac{(1 - \alpha)(1 - \beta)}{2(1 - \alpha)} \\ &= 1 - \frac{1 - \beta}{2} > 1 - \frac{1 - \alpha}{2} \\ &> 1 - \frac{1 - 2\epsilon}{2} = \frac{1}{2} + \epsilon \end{aligned}$$

So if  $(1 - \alpha) < (1 - 2\epsilon)$ , we can always find messages  $m_0, m_j$  making  $\Pr[\text{Privk}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] > \frac{1}{2} + \epsilon$ .

# The End