

Python para todos

Explorando la información con Python 3

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Prólogo

Remezclando un Libro Libre

Se suele decir de los académicos deben “publicar o perecer” continuamente, de modo que es bastante normal que siempre quieran empezar algo desde cero, para que sea su propia y flamante creación. Este libro es un experimento, ya que no parte desde cero, sino que en vez de eso “remezcla” el libro titulado *Think Python: How to Think Like a Computer Scientist* (Piensa en Python: Cómo pensar como un científico de la computación), escrito por Allen B. Bowney, Jeff Elkner, y otros.

En Diciembre de 2009, yo me estaba preparando para enseñar *SI502 - Programación en Red* en la Universidad de Michigan por quinto semestre consecutivo, y decidí que ya era hora de escribir un libro de texto sobre Python que se centrara en la exploración de datos en lugar de en explicar algoritmos y abstracciones. Mi objetivo en SI502 es enseñar a la gente habilidades permanentes para el manejo de datos usando Python. Pocos de mis estudiantes pretenden llegar a ser programadores de computadoras profesionales. En vez de eso, quieren ser bibliotecarios, gerentes, abogados, biólogos, economistas, etc., que tal vez quieran aplicar el uso de la tecnología en sus respectivos campos.

Parecía que no podría encontrar el libro perfecto para mi curso, que estuviera orientado al manejo de datos en Python, de modo que decidí empezar a escribirlo por mi mismo. Por suerte, en una reunión de profesores tres semanas antes de las vacaciones, que era la fecha en que tenía planeado empezar a escribir mi libro desde cero, el Dr. Atul Prakash me mostró el libro *Think Python* (Piensa en Python), que él había utilizado para impartir su curso de Python ese semestre. Se trata de un texto de Ciencias de la Computación bien escrito, con un enfoque breve, explicaciones directas y fácil de aprender.

La estructura principal del libro se ha cambiado, para empezar a realizar problemas de análisis de datos lo antes posible, y para tener una serie de ejemplos funcionales y de ejercicios sobre el análisis de datos desde el principio.

Los capítulos 2-10 son similares a los del libro *Think Python*, pero ha habido cambios importantes. Los ejemplos orientados a números y los ejercicios se han reemplazado por otros orientados a datos. Los temas se presentan en el orden necesario para ir creando soluciones de análisis de datos cuya complejidad aumente progresivamente. Algunos temas como `try` y `except` (manejo de excepciones) se han adelantado, y se presentan como parte del capítulo de los condicionales. Las funciones se tratan muy por encima hasta que son necesarias para manejar programas complejos, en lugar de introducirlas como abstracción en las primeras lecciones. Casi todas las funciones definidas por el usuario se han eliminado del código de los ejemplos y de los ejercicios excepto en el capítulo 4. La palabra “recursión”¹ no aparece en todo el libro.

Todo el contenido del capítulo 1 y del 11 al 16 es nuevo, centrado en aplicaciones para el mundo real y en ejemplos simples del uso de Python para el análisis de datos, incluyendo expresiones regulares para búsqueda y análisis, automatización de tareas en la computadora, descarga de datos a través de la red, escaneo de páginas web para recuperar datos, programación orientada a objetos, uso de servicios

¹Excepto, por supuesto, en esa línea.

web, análisis de datos en formato XML y JSON, creación y uso de bases de datos usando el Lenguaje de Consultas Estructurado (SQL), y la visualización de datos.

El objetivo final de todos estos cambios es variar la orientación, desde una dirigida a las Ciencias de la Computación hacia otra puramente informática, que trate sólo temas adecuados para una clase de tecnología para principiantes, que puedan resultarles útiles incluso si eligen no ser programadores profesionales.

Los estudiantes que encuentren este libro interesante y quieran ir más allá, deberían echar un vistazo al libro *Think Python* de Allen B. Downey's. Como ambos libros comparten un montón de materia, los estudiantes adquirirán rápidamente habilidades en las áreas adicionales de la programación técnica y pensamiento algorítmico que se tratan en *Think Python*. Y dado que ambos libros comparten un estilo de escritura similar, deberían ser capaces de avanzar rápidamente a través del contenido de *Think Python* con un esfuerzo mínimo.

Como propietario del copyright de *Think Python*, Allen me ha dado permiso para cambiar la licencia del contenido de su libro que se utiliza en éste, y que originalmente poseía una *GNU Free Documentation License* a otra más actual, Creative Commons Attribution — Share Alike license. Así se sigue una tendencia general en las licencias de documentación abierta, que están pasando desde la GFDL a la CC-BY-SA (por ejemplo, Wikipedia). El uso de la licencia CC-BY-SA mantiene la arraigada tradición *copyleft* del libro, a la vez que hacen más sencillo para los autores nuevos la reutilización de ese material a su conveniencia.

Personalmente creo que este libro sirve como ejemplo de por qué los contenidos libres son tan importantes para el futuro de la educación, y quiero agradecer a Allen B. Downey y a la *Cambridge University Press* por su amplitud de miras a la hora de distribuir el libro bajo un copyright abierto. Espero que se sientan satisfechos con el resultado de mis esfuerzos y deseo que tú como lector también te sientas satisfecho de *nuestros* esfuerzos colectivos.

Quiero agradecer a Allen B. Downey y Lauren Cowles su ayuda, paciencia y orientación a la hora de tratar y resolver los problemas de copyright referentes a este libro.

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Chapter 1

¿Por qué deberías aprender a escribir programas?

Escribir programas (o programar) es una actividad muy creativa y gratificante. Puedes escribir programas por muchas razones, que pueden ir desde mantenerte activo resolviendo un problema de análisis de datos complejo hasta hacerlo por pura diversión ayudando a otros a resolver un enigma. Este libro asume que *todo el mundo* necesita saber programar, y que una vez que aprendas a programar ya encontrarás qué quieres hacer con esas habilidades recién adquiridas.

En nuestra vida diaria estamos rodeados de computadoras, desde equipos portátiles (laptops) hasta teléfonos móviles (celulares). Podemos pensar en esas computadoras como nuestros “asistentes personales”, que pueden ocuparse de muchas tareas por nosotros. El hardware en los equipos que usamos cada día está hecho esencialmente para hacernos de forma constante la misma pregunta, “¿Qué quieres que haga ahora?”

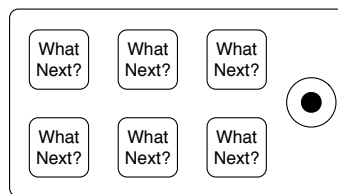


Figure 1.1: Personal Digital Assistant

Los programadores suelen añadir un sistema operativo y un conjunto de aplicaciones al hardware y así nos proporcionan un Asistente Digital Personal que es bastante útil y capaz de ayudarnos a realizar una gran variedad de tareas.

Nuestros equipos son rápidos y tienen grandes cantidades de memoria. Podrían resultarnos muy útiles si tan solo supiéramos qué idioma utilizar para explicarle a la computadora qué es lo que queremos que “haga ahora”. Si conociéramos ese idioma, podríamos pedirle al aparato que realizase en nuestro lugar, por ejemplo, tareas repetitivas. Precisamente el tipo de cosas que las computadoras saben hacer mejor suelen ser el tipo de cosas que las personas encontramos pesadas y aburridas.

Por ejemplo, mira los primeros tres párrafos de este capítulo y dime cuál es la palabra que más se repite, y cuántas veces se ha utilizado. Aunque seas capaz de leer y comprender las palabras en pocos segundos, contarlas te resultará casi doloroso, porque la mente humana no fue diseñada para resolver ese tipo de problemas. Para una computadora es justo al revés, leer y comprender texto de un trozo de papel le sería difícil, pero contar las palabras y decirte cuántas veces se ha repetido la más utilizada le resulta muy sencillo:

```
python words.py
Enter file:words.txt
to 16
```

Nuestro “asistente de análisis de información personal” nos dirá enseguida que la palabra “que” se usó nueve veces en los primeros tres párrafos de este capítulo.

El hecho de que los computadores sean buenos en aquellas cosas en las que los humanos no lo son es el motivo por el que necesitas aprender a hablar el “idioma de las computadoras”. Una vez que aprendas este nuevo lenguaje, podrás delegar tareas mundanas en tu compañero (la computadora), lo que te dejará más tiempo para ocuparte de las cosas para las que sólo tú estás capacitado. Tú pondrás la creatividad, intuición y la inventiva en esa alianza.

1.1 Creatividad y motivación

A pesar de que este libro no va dirigido a los programadores profesionales, la programación a nivel profesional puede ser un trabajo muy gratificante, tanto a nivel financiero como personal. Crear programas útiles, elegantes e inteligentes para que los usen otros es una actividad muy creativa. Tu computadora o Asistente Digital Personal (PDA¹), normalmente contiene muchos programas diferentes pertenecientes a distintos grupos de programadores, cada uno de ellos compitiendo por tu atención e interés. Todos ellos hacen lo mejor que saben por adaptarse a tus necesidades y proporcionarte una experiencia de usuario satisfactoria. En ocasiones, cuando eliges un software determinado, sus programadores son directamente recompensados gracias a tu elección.

Si pensamos en los programas como la salida a nivel creativo de grupos de programadores, tal vez la figura siguiente sea una versión más acertada de nuestra PDA:

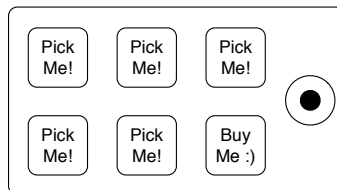


Figure 1.2: Programadores Dirigiéndose a Ti

¹Personal Digital Assistant en inglés (N. del T.).

Por ahora, nuestra primera motivación no es conseguir dinero ni complacer a los usuarios finales, sino simplemente conseguir ser más productivos a nivel personal en el manejo de datos e información que encontremos en nuestras vidas. Cuando se empieza por primera vez, uno es a la vez programador y usuario final de sus propios programas. A medida que se gana habilidad como programador, y la programación se hace más creativa para uno mismo, se puede empezar a pensar en desarrollar programas para los demás.

1.2 Arquitectura hardware de las computadoras

Antes de empezar a aprender el lenguaje que deberemos hablar con el fin de darle instrucciones a las computadoras para desarrollar software, tendremos que aprender un poco acerca de cómo están contruidos esas máquinas. Si desmontaras tu computadora o *smartphone* y mirases dentro con atención, encontrarías los siguientes componentes:

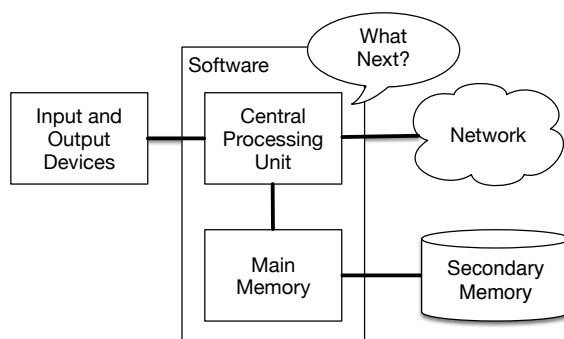


Figure 1.3: Arquitectura Hardware

Las definiciones de alto nivel de esos componentes son las siguientes:

- La *Unidad Central de Procesamiento* (o CPU²) es el componente de la computadora diseñado para estar obsesionado con el “¿qué hago ahora?”. Si tu equipo está dentro de la clasificación de 3.0 Gigahercios, significa que la CPU preguntará “¿Qué hago ahora?” tres mil millones de veces por segundo. Vas a tener que aprender a hablar muy rápido para mantener el ritmo de la CPU.
- La *Memoria Principal* se usa para almacenar la información que la CPU necesita de forma inmediata. La memoria principal es casi tan rápida como la CPU. Pero la información almacenada en la memoria principal desaparece cuando se apaga el equipo.
- La *Memoria Secundaria* también se utiliza para almacenar información, pero es mucho más lenta que la memoria principal. La ventaja de la memoria secundaria es que puede almacenar la información incluso cuando el equipo está apagado. Algunos ejemplos de memoria secundaria serían las unidades de disco o las memorias flash (que suelen encontrarse en los *pendrives* USB y en los reproductores de música portátiles).

²Central Processing Unit en inglés (N. del T.).

- Los *Dispositivos de Entrada y Salida* son simplemente la pantalla, teclado, ratón, micrófono, altavoz, *touchpad*, etc. Incluyen cualquier modo de interactuar con una computadora.
- Actualmente, casi todos los equipos tienen una *Conexión de Red* para recibir información dentro de una red. Podemos pensar en una red como en un lugar donde almacenar y recuperar datos de forma muy lenta, que puede no estar siempre “activo”. Así que, en cierto sentido, la red no es más que un tipo de *Memoria Secundaria* más lenta y a veces poco fiable.

Aunque la mayoría de los detalles acerca de cómo funcionan estos componentes es mejor dejársela a los constructores de equipos, resulta útil disponer de cierta terminología para poder referirnos a ellos a la hora de escribir nuestros programas.

Como programador, tu trabajo es usar y orquestar cada uno de esos recursos para resolver el problema del que tengas que ocuparte y analizar los datos de los que dispongas para encontrar la solución. Como programador estarás casi siempre “hablando” con la CPU y diciéndole qué es lo siguiente que debe hacer. A veces le tendrás que pedir a la CPU que use la memoria principal, la secundaria, la red, o los dispositivos de entrada/salida.

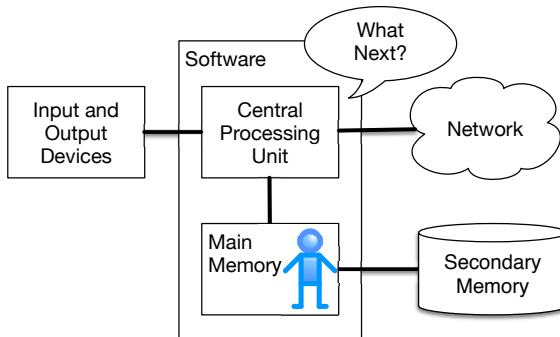


Figure 1.4: ¿Dónde estás?

Tú deberás ser la persona que responda a la pregunta “¿Qué hago ahora?” de la CPU. Pero sería muy incómodo encogerse uno mismo hasta los 5 mm. de altura e introducirse dentro de la computadora sólo para poder dar una orden tres mil millones de veces por segundo. Así que en vez de eso, tendrás que escribir las instrucciones por adelantado. Esas instrucciones almacenadas reciben el nombre de *programa* y el acto de escribirlas y encontrar cuáles son las instrucciones adecuadas, *programar*.

1.3 Comprendiendo la programación

En el resto de este libro, intentaremos convertirte en una persona experta en el arte de programar. Al terminar, te habrás convertido en un *programador* - tal vez no en un programador profesional, pero al menos tendrás la capacidad de encarar un problema de análisis de datos/información y desarrollar un programa para resolverlo.

En cierto modo, necesitas dos capacidades para ser programador:

- Primero, necesitas saber un lenguaje de programación (Python) - debes conocer su vocabulario y su gramática. Debes ser capaz de deletrear correctamente las palabras en ese nuevo lenguaje y saber construir “frases” bien formadas.
- Segundo, debes “contar una historia”. Al escribir un relato, combinas palabras y frases para comunicar una idea al lector. Hay una cierta técnica y arte en la construcción de un relato, y la habilidad para escribir relatos mejora escribiendo y recibiendo cierta respuesta. En programación, nuestro programa es el “relato” y el problema que estás tratando de resolver es la “idea”.

Una vez que aprendas un lenguaje de programación como Python, encontrarás mucho más fácil aprender un segundo lenguaje como JavaScript o C++. Cada nuevo lenguaje tiene un vocabulario y gramática muy diferentes, pero la técnica de resolución de problemas será la misma en todos ellos.

Aprenderás el “vocabulario” y “frases” de Python bastante rápido. Te llevará más tiempo el ser capaz de escribir un programa coherente para resolver un problema totalmente nuevo. Se enseña programación de forma muy similar a como se enseña a escribir. Se empieza leyendo y explicando programas, luego se escriben programas sencillos, y a continuación se van escribiendo programas progresivamente más complejos con el tiempo. En algún momento “encuentras tu musa”, empiezas a descubrir los patrones por ti mismo y empiezas a ver casi de forma instintiva cómo coger un problema y escribir un programa para resolverlo. Y una vez alcanzado ese punto, la programación se convierte en un proceso muy placentero y creativo.

Comenzaremos con el vocabulario y la estructura de los programas en Python. Ten paciencia si la simplicidad de los ejemplos te recuerda a cuando aprendiste a leer.

1.4 Palabras y frases

A diferencia de los lenguajes humanos, el vocabulario de Python es en realidad bastante reducido. Llamamos a este “vocabulario” las “palabras reservadas”. Se trata de palabras que tienen un significado muy especial para Python. Cuando Python se encuentra estas palabras en un programa, sabe que sólo tienen un único significado para él. Más adelante, cuando escribas programas, podrás usar tus propias palabras con significado, que reciben el nombre de *variables*. Tendrás gran libertad a la hora de elegir los nombres para tus variables, pero no podrás utilizar ninguna de las palabras reservadas de Python como nombre de una variable.

Cuando se entrena a un perro, se utilizan palabras especiales como “siéntate”, “quieto” y “tráelo”. Cuando te diriges a un perro y no usas ninguna de las palabras reservadas, lo único que consigues es que se te quede mirando con cara extrañada, hasta que le dices una de las palabras que reconoce. Por ejemplo, si dices, “Me gustaría que más gente saliera a caminar para mejorar su salud general”, lo que la mayoría de los perros oirían es: “bla bla bla *caminar* bla bla bla bla.”. Eso se debe a que “caminar” es una palabra reservada en el lenguaje del perro. Seguramente habrá quien apunte que el lenguaje entre humanos y gatos no dispone de palabras reservadas³.

³<http://xkcd.com/231/>

Las palabras reservadas en el lenguaje que utilizan los humanos para hablar con Python son, entre otras, las siguientes:

<code>and</code>	<code>del</code>	<code>global</code>	<code>not</code>	<code>with</code>
<code>as</code>	<code>elif</code>	<code>if</code>	<code>or</code>	<code>yield</code>
<code>assert</code>	<code>else</code>	<code>import</code>	<code>pass</code>	
<code>break</code>	<code>except</code>	<code>in</code>	<code>raise</code>	
<code>class</code>	<code>finally</code>	<code>is</code>	<code>return</code>	
<code>continue</code>	<code>for</code>	<code>lambda</code>	<code>try</code>	
<code>def</code>	<code>from</code>	<code>nonlocal</code>	<code>while</code>	

Es decir, a diferencia de un perro, Python ya está completamente entrenado. Cada vez le digas “inténtalo”, Python lo intentará una vez tras otra sin desfallecer⁴.

Aprenderemos cuáles son las palabras reservados y cómo utilizarlas en su momento, pero por ahora nos centraremos en el equivalente en Python de “habla” (en el lenguaje humano-perro). Lo bueno de pedirle a Python que hable es que podemos incluso decirle lo que debe decir, pasándole un mensaje entre comillas:

```
print('¡Hola, mundo!')
```

Y ya acabamos de escribir nuestra primera oración sintácticamente correcta en Python. La frase comienza con la función *print* seguida de la cadena de texto que hayamos elegido dentro de comillas simples.

1.5 Conversando con Python

Ahora que ya conocemos una palabra y sabemos cómo crear una frase sencilla en Python, necesitamos aprender a iniciar una conversación con él para comprobar nuestras nuevas capacidades con el lenguaje.

Antes de que puedas conversar con Python, deberás instalar el software necesario en tu computadora y aprender a iniciar Python en ella. En este capítulo no entraremos en detalles sobre cómo hacerlo, pero te sugiero que consultes www.py4e.com, donde encontrarás instrucciones detalladas y capturas sobre cómo configurar e iniciar Python en sistemas Macintosh y Windows. Si sigues los pasos, llegará un momento en que te encuentres ante una ventana de comandos o terminal, si escribes entonces *python*, el intérprete de Python empezará a ejecutarse en modo interactivo, y aparecerá algo como esto:

```
Python 3.5.1 (v3.5.1:37a07cee5969, Dec 6 2015, 01:54:25)
[MSC v.1900 64 bit (AMD64)] on win32
Type "help", "copyright", "credits" or "license" for more information.
>>>
```

El indicador `>>>` es el modo que tiene el intérprete de Python de preguntarte, “¿Qué quieres que haga ahora?”. Python está ya preparado para mantener una conversación contigo. Todo lo que tienes que saber es cómo hablar en su idioma.

⁴ En inglés “inténtalo” es “try”, que es también una palabra reservada dentro del lenguaje Python (N. del T.).

Supongamos por ejemplo que aún no conoces ni las palabras ni frases más sencillas de Python. Puede que quieras utilizar el método clásico que utilizan los astronautas cuando aterrizan en un planeta lejano e intentan hablar con los habitantes de ese mundo:

```
>>> Vengo en son de paz, por favor llévame ante tu líder
      File "<stdin>", line 1
        Vengo en son de paz, por favor llévame ante tu líder
        ^
SyntaxError: invalid syntax
>>>
```

La cosa no está yendo muy bien. A menos que pienses en algo rápidamente, los habitantes del planeta sacarán sus lanzas, te ensartarán, te asarán sobre el fuego y al final les servirás de cena.

Por suerte compraste una copia de este libro durante tus viajes, así que lo abres precisamente por esta página y pruebas de nuevo:

```
>>> print('¡Hola, mundo!')
¡Hola, mundo!
```

Esto tiene mejor aspecto, de modo que intentas comunicarte un poco más:

```
>>> print('Usted debe ser el dios legendario que viene del cielo')
Usted debe ser el dios legendario que viene del cielo
>>> print('Hemos estado esperándole durante mucho tiempo')
Hemos estado esperándole durante mucho tiempo
>>> print('La leyenda dice que debe estar usted muy rico con mostaza')
La leyenda dice que debe estar usted muy rico con mostaza
>>> print 'Tendremos un festín esta noche a menos que diga
      File "<stdin>", line 1
        print 'Tendremos un festín esta noche a menos que diga
        ^
SyntaxError: Missing parentheses in call to 'print'
>>>
```

La conversación fue bien durante un rato, pero en cuanto cometiste el más mínimo fallo al utilizar el lenguaje Python, Python volvió a sacar las lanzas.

En este momento, ya te habrás dado cuenta también de que a pesar de que Python es tremendamente complejo y poderoso, y muy estricto en cuanto a la sintaxis que debes usar para comunicarte con él, Python *no* es inteligente. En realidad estás solamente manteniendo una conversación contigo mismo; eso sí, usando una sintaxis adecuada.

En cierto modo, cuando utilizas un programa escrito por otra persona, la conversación se mantiene entre tú y el programador, con Python actuando meramente de intermediario. Python es una herramienta que permite a los creadores de programas expresar el modo en que la conversación supuestamente debe ocurrir.

Y dentro de unos pocos capítulos más, tú serás uno de esos programadores que utilizan Python para hablar con los usuarios de tu programa.

Antes de que abandonemos nuestra primera conversación con el intérprete de Python, deberías aprender cual es el modo correcto de decir “adiós” al interactuar con los habitantes del Planeta Python:

```
>>> adiós
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
NameError: name 'adiós' is not defined
>>> if you don't mind, I need to leave\footnote{si no te importa, tengo que marcharme}
  File "<stdin>", line 1
    if you don't mind, I need to leave
        ^
SyntaxError: invalid syntax
>>> quit()
```

Te habrás fijado en que el error es diferente en cada uno de los dos primeros intentos. El segundo error es diferente porque *if* es una palabra reservada y Python ve la palabra reservada y cree que estamos intentando decirle algo, pero encuentra la sintaxis de la frase incorrecta.

El modo correcto de decir “adiós” a Python es introducir *quit()* en el símbolo indicador del sistema `>>>`. Seguramente te hubiera llevado un buen rato adivinarlo, así que tener este libro a mano probablemente te haya resultado útil.

1.6 Terminología: intérprete y compilador

Python es un lenguaje *de alto nivel*, pensado para ser relativamente sencillo de leer y escribir para las personas y fácil de leer y procesar para las máquinas. Otros lenguajes de alto nivel son Java, C++, PHP, Ruby, Basic, Perl, JavaScript, y muchos más. El hardware real que está dentro de la Unidad Central de Procesamiento (CPU), no entiende ninguno de esos lenguajes de alto nivel.

La CPU entiende únicamente un lenguaje llamado *lenguaje de máquina* o *código máquina*. El código máquina es muy simple y francamente muy pesado de escribir, ya que está representado en su totalidad por solamente ceros y unos:

```
001010001110100100101010000001111
11100110000011101010010101101101
...
```

El código máquina parece bastante sencillo a simple vista, dado que sólo contiene ceros y unos, pero su sintaxis es incluso más compleja y mucho más enrevesada que la de Python. Por eso muy pocos programadores escriben en código máquina. En vez de eso, se han creado varios programas traductores para permitir a los programadores escribir en lenguajes de alto nivel como Python o Javascript, y son esos traductores quienes convierten los programas a código máquina, que es lo que ejecuta en realidad la CPU.

Dado que el código máquina está unido al hardware de la máquina que lo ejecuta, ese código no es *portable* (trasladable) entre equipos de diferente tipo. Los programas escritos en lenguajes de alto nivel pueden ser trasladados entre máquinas diferentes usando un intérprete diferente en cada máquina o recompilando el código para crear una versión diferente del código máquina del programa para cada uno de los tipos de equipo.

Esos traductores de lenguajes de programación forman dos categorías generales: (1) intérpretes y (2) compiladores.

Un *intérprete* lee el código fuente de los programas tal y como ha sido escrito por el programador, lo analiza, e interpreta sus instrucciones al vuelo (sobre la marcha). Python es un intérprete y cuando estamos ejecutando Python de forma interactiva, podemos escribir una línea de Python (una frase), y Python la procesa de forma inmediata y queda listo para que podamos escribir otra línea.

Algunas de esas líneas le indican a Python que tú quieres que recuerde cierto valor para utilizarlo más tarde. Tenemos que escoger un nombre para que ese valor sea recordado y usaremos ese nombre simbólico para recuperar el valor más tarde. Utilizamos el término *variable* para denominar las etiquetas que usamos para referirnos a esos datos almacenados.

```
>>> x = 6
>>> print(x)
6
>>> y = x * 7
>>> print(y)
42
>>>
```

En este ejemplo, le pedimos a Python que recuerde el valor seis y use la etiqueta *x* para que podamos recuperar el valor más tarde. Comprobamos que Python ha guardado de verdad el valor usando *print*. Luego le pedimos a Python que recupere *x*, lo multiplique por siete y guarde el valor así calculado en *y*. Finalmente, le pedimos a Python que escriba el valor actual de *y*.

A pesar de que estamos escribiendo estos comandos en Python línea a línea, Python los está tratando como una secuencia ordenada de órdenes, en la cual las últimas frases son capaces de obtener datos creados en las anteriores. Estamos, por tanto, escribiendo nuestro primer párrafo sencillo con cuatro frases en un orden lógico y útil.

La esencia de un *intérprete* consiste en ser capaz de mantener una conversación interactiva como la mostrada más arriba. Un *compilador* necesita que le entreguen el programa completo en un fichero, y luego ejecuta un proceso para traducir el código fuente de alto nivel a código máquina, tras lo cual coloca ese código máquina resultante dentro de otro fichero para su ejecución posterior.

En sistemas Windows, a menudo esos ejecutables en código máquina tienen un sufijo como “.exe” o “.dll”, que significan “ejecutable” y “librería de enlace dinámico” (*dynamic link library*) respectivamente. En Linux y Macintosh, no existe un sufijo que identifique de manera exclusiva a un fichero como ejecutable.

Si abrieras un fichero ejecutable con un editor de texto, verías algo complementemente disparatado e ilegible:

“csev\$” es el indicador (*prompt*) del sistema operativo, y el comando “cat hola.py” nos muestra que el archivo “hola.py” contiene un programa con una única línea que imprime en pantalla una cadena.

Llamamos al intérprete de Python y le pedimos que lea su código fuente desde el archivo “hola.py”, en vez de esperar a que vayamos introduciendo líneas de código Python de forma interactiva.

Habrás notado que cuando trabajamos con un fichero no necesitamos incluir el comando *quit()* al final del programa Python. Cuando Python va leyendo tu código fuente desde un archivo, sabe que debe parar cuando llega al final del fichero.

1.8 ¿Qué es un programa?

Podemos definir un *programa*, en su forma más básica, como una secuencia de declaraciones o sentencias que han sido diseñadas para hacer algo. Incluso nuestro sencillo script “hola.py” es un programa. Es un programa de una sola línea y no resulta particularmente útil, pero si nos ajustamos estrictamente a la definición, se trata de un programa en Python.

Tal vez resulte más fácil comprender qué es un programa pensando en un problema que pudiera ser resuelto a través de un programa, y luego estudiando cómo sería el programa que solucionaría ese problema.

Supongamos que estás haciendo una investigación de computación o informática social en mensajes de Facebook, y te interesa conocer cual es la palabra más utilizada en un conjunto de mensajes. Podrías imprimir el flujo de mensajes de Facebook y revisar con atención el texto, buscando la palabra más común, pero sería un proceso largo y muy propenso a errores. Sería más inteligente escribir un programa en Python para encargarse de la tarea con rapidez y precisión, y así poder emplear el fin de semana en hacer otras cosas más divertidas.

Por ejemplo, fíjate en el siguiente texto, acerca de un payaso y un coche. Estudia el texto y trata de averiguar cual es la palabra más común y cuántas veces se repite.

```
el payaso corrió tras el coche y el coche se metió dentro de la tienda
y la tienda cayó sobre el payaso y el coche
```

Ahora imagina que haces lo mismo pero buscando a través de millones de líneas de texto. Francamente, tardarías menos aprendiendo Python y escribiendo un programa en ese lenguaje para contar las palabras que si tuvieras que ir revisando todas ellas una a una.

Pero hay una noticia aún mejor, y es que a mí ya se me ha ocurrido un programa sencillo para encontrar cuál es la palabra más común dentro de un fichero de texto. Ya lo he escrito, lo he probado, y ahora te lo regalo para que lo puedas utilizar y ahorrarte mucho tiempo.

```
name = input('Enter file:')
handle = open(name, 'r')
counts = dict()
```

```

for line in handle:
    words = line.split()
    for word in words:
        counts[word] = counts.get(word, 0) + 1

bigcount = None
bigword = None
for word, count in list(counts.items()):
    if bigcount is None or count > bigcount:
        bigword = word
        bigcount = count

print(bigword, bigcount)

# Code: http://www.py4e.com/code3/words.py

```

No necesitas ni siquiera saber Python para usar este programa. Tendrás que llegar hasta el capítulo 10 de este libro para entender por completo las impresionantes técnicas de Python que se han utilizado para crearlo. Ahora eres el usuario final, sólo tienes que usar el programa y maravillarte de sus habilidades y de cómo te permite ahorrarte un montón de esfuerzo. Tan sólo tienes que escribir el código dentro de un fichero llamado *words.py* y ejecutarlo, o puedes descargar el código fuente directamente desde <http://www.py4e.com/code3/> y ejecutarlo.

Este es un buen ejemplo de cómo Python y el lenguaje Python actúan como un intermediario entre tú (el usuario final) y yo (el programador). Python es un medio para que nosotros intercambiamos secuencias de instrucciones útiles (es decir, programas) en un lenguaje común que puede ser usado por cualquiera que instale Python en su computadora. Así que ninguno de nosotros está hablando *con Python*, sino que estamos comunicándonos uno con el otro *a través de Python*.

1.9 Los bloques de construcción de los programas

En los próximos capítulos, aprenderemos más acerca del vocabulario, la estructura de las frases y de los párrafos y la estructura de los relatos en Python. Aprenderemos cuáles son las poderosas capacidades de Python y cómo combinar esas capacidades entre sí para crear programas útiles.

Hay ciertos patrones conceptuales de bajo nivel que se usan para estructurar los programas. Esas estructuras no son exclusivas de Python, sino que forman parte de cualquier lenguaje de programación, desde el código máquina hasta los lenguajes de alto nivel.

entrada Obtener datos del “mundo exterior”. Puede consistir en leer datos desde un fichero, o incluso desde algún tipo de sensor, como un micrófono o un GPS. En nuestros primeros programas, las entradas van a provenir del usuario, que introducirá los datos a través del teclado.

salida Mostrar los resultados del programa en una pantalla, almacenarlos en un fichero o incluso es posible enviarlos a un dispositivo como un altavoz para reproducir música o leer un texto.

ejecución secuencial Ejecutar una sentencia tras otra en el mismo orden en que se van encontrando en el *script*.

ejecución condicional Comprobar ciertas condiciones y luego ejecutar u omitir una secuencia de sentencias.

ejecución repetida Ejecutar un conjunto de sentencias varias veces, normalmente con algún tipo de variación.

reutilización Escribir un conjunto de instrucciones una vez, darles un nombre y así poder reutilizarlas luego cuando se necesiten en cualquier punto de tu programa.

Parece demasiado simple para ser cierto, y por supuesto nunca es tan sencillo. Es como si dijéramos que andar es simplemente “poner un pie delante del otro”. El “arte” de escribir un programa es componer y entrelazar juntos esos elementos básicos muchas veces hasta conseguir al final algo que resulte útil para sus usuarios.

El programa para contar palabras que vimos antes utiliza al mismo tiempo todos esos patrones excepto uno.

1.10 ¿Qué es posible que vaya mal?

Como vimos en nuestra anterior conversación con Python, debemos comunicarnos con mucha precisión cuando escribimos código Python. La menor desviación o error provocará que Python se niegue a hacer funcionar tu programa.

Los programadores novatos a menudo se toman el hecho de que Python no permita cometer errores como la prueba fehaciente de que Python es mezquino, odioso y cruel. A pesar de que a Python parece gustarle todo el mundo, es capaz de identificarles a ellos en concreto, y se la tiene jurada. Debido a ello, Python toma sus programas, que están perfectamente escritos, y los rechaza, considerándolos como “inservibles”, sólo para atormentarles.

```
>>> print '¡Hola, mundo!'
File "<stdin>", line 1
    print '¡Hola, mundo!'
    ^
SyntaxError: invalid syntax
>>> print ('Hola, mundo')
Traceback (most recent call last):
File "<stdin>", line 1, in <module>
NameError: name 'print' is not defined

>>> ¡Te odio, Python!
File "<stdin>", line 1
    ¡Te odio, Python!
    ^
SyntaxError: invalid syntax
>>> si sales fuera, te daré una lección
File "<stdin>", line 1
```

```

    si sales fuera, te daré una lección
    ~
SyntaxError: invalid syntax
>>>

```

Hay poco que ganar discutiendo con Python. Se trata tan sólo de una herramienta. No tiene emociones y está feliz y preparado para servirte en cualquier cosa que necesites. Sus mensajes de error parecen crueles, pero se trata tan sólo de una petición de ayuda del propio Python. Ha examinado lo que has tecleado, y simplemente no es capaz de entender lo que has escrito.

Python se parece mucho a un perro, te quiere incondicionalmente, tiene unas pocas palabras clave que comprende, te mira con una mirada dulce en su cara (>>>), y espera que le digas algo que él comprenda. Cuando Python dice “SyntaxError: invalid syntax” (Error de sintaxis: sintaxis inválida), tan sólo está meneando su cola y diciendo: “Creo que has dicho algo, pero no te entiendo; de todos modos, por favor, sigue hablando conmigo (>>>).”

A medida que tus programas vayan aumentando su complejidad, te encontrarás con tres tipos de errores generales:

Errores de sintaxis (Syntax errors) Estos son los primeros errores que cometerás y también los más fáciles de solucionar. Un error de sintaxis significa que has violado las reglas “gramaticales” de Python. Python hace todo lo que puede para señalar el punto exacto, la línea y el carácter donde ha detectado el fallo. Lo único un poco complicado de los errores de sintaxis es que a veces el error que debe corregirse está en realidad en un punto anterior del programa a aquel en el cual Python *detectó* ese fallo. De modo que la línea y el carácter que Python indica en un error de sintaxis pueden ser tan sólo un punto de partida para iniciar la investigación.

Errores lógicos Se produce un error lógico cuando un programa tiene una sintaxis correcta, pero existe un error en el orden de las sentencias o a veces un error en el modo en que esas sentencias se relacionan entre sí. Un buen ejemplo de un error lógico sería: “toma un trago de tu botella de agua, ponla en tu mochila, camina hasta la biblioteca y luego vuelve a enroscar el tapón en la botella.”

Errores semánticos Un error semántico es cuando la descripción que has realizado de los pasos a seguir es sintácticamente perfecta y está dispuesta en el orden correcto, pero simplemente existe un error en el programa. El programa es perfectamente correcto pero no hace aquello que supuestamente *querías* que hiciese. Un ejemplo ilustrativo podría ser si le estuvieras dando indicaciones a alguien para llegar a un restaurante y dijeras: “. . . cuando llegues al cruce de la gasolinera, gira a la izquierda y continúa durante kilómetro y medio. El restaurante es un edificio rojo que encontrarás a tu izquierda.” Tu amigo se retrasa y te llama para contarte que están en una granja y dando vueltas alrededor de un granero, sin encontrar el restaurante por ningún lado. Entonces tu le dices: “¿girasteis a la izquierda o a la derecha en la gasolinera?”, y él te responde: “He seguido tus indicaciones exactamente, las tengo escritas, dicen que que gire a la izquierda y continúe durante kilómetro y medio hasta la gasolinera.” Entonces tú dices: “Lo siento mucho, porque

aunque mis instrucciones eran sintácticamente correctas, por desgracia contenían un pequeño error semántico del cual no me dí cuenta.”.

Insisto en que, ante cualquiera de estos tres tipos de errores, Python lo único que hace es todo lo que está en su mano por seguir al pie de la letra lo que tú le has pedido que haga.

1.11 El camino del aprendizaje

A medida que avances a través del resto del libro, no te asustes si al principio los conceptos no parecen encajar muy bien unos con otros. Cuando aprendiste a hablar, no te resultó problemático que durante tus primeros años sólo fueras capaz de expresarte con lindos balbuceos. También fue normal que te llevara seis meses pasar de un vocabulario básico a usar frases sencillas, y que te llevaran 5-6 años más pasar de frases a párrafos, y unos cuantos años más hasta que fueras capaz de escribir alguna pequeña e interesante historia por ti mismo.

Queremos que aprendas Python mucho más rápidamente, de modo que te enseñaremos todo al mismo tiempo a lo largo de los próximos capítulos. Pero es como aprender un idioma nuevo, que lleva tiempo asimilar y comprender antes de que te parezca normal. Eso puede producir cierta confusión, ya que trataremos y volveremos a tratar los mismos temas para intentar que consigas ver el cuadro completo a medida que vamos definiendo los pequeños fragmentos que componen la imagen global. A pesar de que el libro está escrito de forma lineal, y que si estás siguiendo un curso éste también avanzará de modo lineal, no temas ser no lineal en tu modo de seguir la materia. Echa vistazos adelante y atrás y lee por encima. Al ojear materia más avanzada sin comprender del todo los detalles, serás capaz de entender mejor los “por qué” de la programación. Al revisar materia anterior e incluso al volver a hacer ejercicios ya hechos, te darás cuenta de que has aprendido un montón, incluso si la materia a la que te estás enfrentando en ese momento te parece en cierto modo impenetrable.

Normalmente, cuando estás aprendiendo tu primer lenguaje de programación, hay unos pocos y maravillosos momentos “¡Ajá!”, en los cuales puedes levantar la vista, dejar de machacar la piedra con martillo y cincel, dar un paso atrás y darte cuenta de que lo que estás creando es en realidad una bonita escultura.

Si algo te parece particularmente difícil, normalmente no merece la pena quedarte despierto toda la noche contemplándolo. Tómate un respiro, échate una siesta, come algo, explícale que tienes un problema a alguien (tal vez, simplemente, a tu perro), y luego vuelve a abordarlo con la mente despejada. Te aseguro que una vez que hayas aprendido los conceptos de programación que se explican en el libro, echarás la vista atrás y te darás cuenta de que todo era fácil y elegante en realidad, y que simplemente te he llevado un poco de tiempo asimilarlo.

1.12 Glosario

bug Un error en un programa.

código fuente Un programa en un lenguaje de alto nivel.

código máquina El lenguaje de más bajo nivel para el software, es decir, el lenguaje que es directamente ejecutado por la unidad central de procesamiento (CPU).

compilar Traducir un programa completo escrito en un lenguaje de alto nivel a un lenguaje de bajo nivel, para dejarlo listo para una ejecución posterior.

error semántico Un error dentro de un programa que provoca que haga algo diferente de lo que pretendía el programador.

función print Una instrucción que hace que el intérprete de Python muestre un valor en la pantalla.

indicador de línea de comandos (*prompt*) Cuando un programa muestra un mensaje y se detiene para que el usuario teclee algún tipo de dato.

interpretar Ejecutar un programa escrito en un lenguaje de alto nivel traduciéndolo línea a línea.

lenguaje de alto nivel Un lenguaje de programación como Python, que ha sido diseñado para que sea fácil de leer y escribir por las personas.

lenguaje de bajo nivel Un lenguaje de programación que está diseñado para ser fácil de ejecutar para una computadora; también recibe el nombre de “código máquina”, “lenguaje máquina” o “lenguaje ensamblador”.

memoria principal Almacena los programas y datos. La memoria principal pierde su información cuando se desconecta la alimentación.

memoria secundaria Almacena los programas y datos y mantiene su información incluso cuando se interrumpe la alimentación. Es generalmente más lenta que la memoria principal. Algunos ejemplos de memoria secundaria son las unidades de disco y memorias flash que se encuentran dentro de los dispositivos USB.

modo interactivo Un modo de usar el intérprete de Python, escribiendo comandos y expresiones directamente en el indicador de la línea de comandos.

parsear Examinar un programa y analizar la estructura sintáctica.

portabilidad Es la propiedad que poseen los programas que pueden funcionar en más de un tipo de computadora.

programa Un conjunto de instrucciones que indican cómo realizar algún tipo de cálculo.

resolución de un problema El proceso de formular un problema, encontrar una solución, y mostrar esa solución.

semántica El significado de un programa.

unidad central de procesamiento El corazón de cualquier computadora. Es lo que ejecuta el software que escribimos. También recibe el nombre de “CPU” por sus siglas en inglés (*Central Processing Unit*), o simplemente, “el procesador”.

1.13 Ejercicios

Ejercicio 1: ¿Cuál es la función de la memoria secundaria en una computadora?

- a) Ejecutar todos los cálculos y la lógica del programa
- b) Descargar páginas web de Internet
- c) Almacenar información a largo plazo, incluso después de interrumpir la alimentación
- d) Recoger los datos de entrada del usuario

Ejercicio 2: ¿Qué es un programa?

Ejercicio 3: ¿Cuál es la diferencia entre un compilador y un intérprete?

Ejercicio 4: ¿Cuál de los siguientes contiene “código máquina”?

- a) El intérprete de Python
- b) El teclado
- c) El código fuente de Python
- d) Un documento de un procesador de textos

Ejercicio 5: ¿Qué es incorrecto en el siguiente código?:

```
>>> print '!Hola, mundo!'
      File "<stdin>", line 1
        print '!Hola, mundo!'
                        ^
SyntaxError: invalid syntax
>>>
```

Ejercicio 6: ¿En qué lugar del computador queda almacenada una variable, como en este caso “X”, después de ejecutar la siguiente línea de Python?:

```
x = 123
```

- a) Unidad central de procesamiento
- b) Memoria Principal
- c) Memoria Secundaria
- d) Dispositivos de Entrada
- e) Dispositivos de Salida

Ejercicio 7: ¿Qué escribirá el siguiente programa?:

```
x = 43
x = x + 1
print(x)
```

- a) 43
- b) 44
- c) $x + 1$
- d) Error, porque $x = x + 1$ is una operación imposible desde el punto de vista matemático

Ejercicio 8: Explica cada uno de los siguientes conceptos usando un ejemplo de una capacidad humana: (1) Unidad central de procesamiento, (2) Memoria principal, (3) Memoria secundaria, (4) Dispositivos de entrada, y (5) Dispositivos de salida. Por ejemplo, “¿Cual sería el equivalente humano de la Unidad central de procesamiento?”.

Ejercicio 9: ¿Cómo solucionarías un “Error de sintaxis (*Syntax Error*)?”.

Chapter 2

Variables, expresiones y declaraciones

2.1 Valores y tipos

Un *valor* es una de las cosas básicas con las que funciona un programa, como una letra o un número. Los valores que hemos visto hasta ahora son 1, 2, y “¡Hola, mundo!”

Esos valores pertenecen a *tipos* diferentes: 2 es un entero (*integer*), y “¡Hola, mundo!” es una cadena (*string*), llamada así porque contiene una “cadena” de letras. Tú (y también el intérprete) podéis identificar las cadenas porque van encerradas entre comillas.

La sentencia `print` funciona también con enteros. Usamos el comando `python` para iniciar el intérprete.

```
python
>>> print(4)
4
```

Si no estás seguro del tipo que tiene un valor, el intérprete te lo puede decir.

```
>>> type('¡Hola, mundo!')
<class 'str'>
>>> type(17)
<class 'int'>
```

No es sorprendente que las cadenas pertenezcan al tipo `str` y los enteros pertenezcan al tipo `int`. Pero resulta menos obvio que los números con punto decimal pertenezcan a un tipo llamado `float` (flotante), debido a que esos números se representan en un formato llamado *de punto flotante*.

```
>>> type(3.2)
<class 'float'>
```

¿Y qué ocurre con valores como “17” y “3.2”? Parecen ser números, pero están entre comillas como las cadenas.

```
>>> type('17')
<class 'str'>
>>> type('3.2')
<class 'str'>
```

Se trata de cadenas.

Cuando tecleas un número grande, puedes sentir la tentación de usar puntos (o comas) para separar los grupos de tres dígitos, como en 1.000.000 o 1,000,000. Estos no son enteros válidos en Python, pero esto sí que sería válido:

```
>>> print(1,000,000)
1 0 0
```

Bien, pero ¡eso no es lo que esperábamos! Python interpreta 1,000,000 como una secuencia de enteros separados por comas, y los imprime con espacios entre ellos.

Este es el primer ejemplo que hemos visto hasta ahora de un error semántico: el código funciona sin provocar ningún mensaje de error, pero no hace las cosas “bien”.

2.2 Variables

Una de las características más poderosas de un lenguaje de programación es la capacidad de manipular *variables*. Una variable es un nombre que identifica a un valor.

Una *sentencia de asignación* crea variables nuevas y les asigna valores:

```
>>> mensaje = 'Y ahora algo completamente diferente'
>>> n = 17
>>> pi = 3.1415926535897931
```

Este ejemplo realiza tres asignaciones. La primera asigna una cadena a una variable nueva llamada `mensaje`; la segunda asigna el valor entero 17 a `n`; la tercera asigna el valor (aproximado) de π a `pi`.

Para mostrar el valor de una variable, se puede usar una sentencia `print`:

```
>>> print(n)
17
>>> print(pi)
3.141592653589793
```

El tipo de una variable es el tipo del valor al que identifica.


```
>>> type(mensaje)
<class 'str'>
>>> type(n)
<class 'int'>
>>> type(pi)
<class 'float'>
```

2.3 Nombres de variables y palabras claves

Normalmente, los programadores eligen nombres para sus variables que tengan un significado y documenten para qué se usa esa variable.

Los nombres de las variables pueden ser arbitrariamente largos. Pueden contener tanto letras como números, pero no pueden comenzar por un número. Es válido utilizar letras mayúsculas, pero es buena idea comenzar el nombre de las variables por una letra minúscula (luego veremos por qué).

El carácter barra-baja (`_`) puede utilizarse en los nombres. Se usa con frecuencia en nombres que contienen varias palabras, como en `mi_nombre`, o `velocidad_de_vuelo_de_golondrina_sin_carga`. Los nombres de las variables pueden comenzar por un carácter barra-baja, pero generalmente eso se evita, a menos que se esté escribiendo librerías de código para ser utilizadas por otros.

If you give a variable an illegal name, you get a syntax error:

```
>>> 76trombones = 'big parade'
SyntaxError: invalid syntax
>>> more@ = 1000000
SyntaxError: invalid syntax
>>> class = 'Advanced Theoretical Zymurgy'
SyntaxError: invalid syntax
```

`76trombones` is illegal because it begins with a number. `more@` is illegal because it contains an illegal character, `@`. But what's wrong with `class`?

It turns out that `class` is one of Python's *keywords*. The interpreter uses keywords to recognize the structure of the program, and they cannot be used as variable names.

Python reserves 33 keywords:

<code>and</code>	<code>del</code>	<code>from</code>	<code>None</code>	<code>True</code>
<code>as</code>	<code>elif</code>	<code>global</code>	<code>nonlocal</code>	<code>try</code>
<code>assert</code>	<code>else</code>	<code>if</code>	<code>not</code>	<code>while</code>
<code>break</code>	<code>except</code>	<code>import</code>	<code>or</code>	<code>with</code>
<code>class</code>	<code>False</code>	<code>in</code>	<code>pass</code>	<code>yield</code>
<code>continue</code>	<code>finally</code>	<code>is</code>	<code>raise</code>	
<code>def</code>	<code>for</code>	<code>lambda</code>	<code>return</code>	

You might want to keep this list handy. If the interpreter complains about one of your variable names and you don't know why, see if it is on this list.

2.4 Statements

A *statement* is a unit of code that the Python interpreter can execute. We have seen two kinds of statements: `print` being an expression statement and assignment.

When you type a statement in interactive mode, the interpreter executes it and displays the result, if there is one.

A script usually contains a sequence of statements. If there is more than one statement, the results appear one at a time as the statements execute.

For example, the script

```
print(1)
x = 2
print(x)
```

produces the output

```
1
2
```

The assignment statement produces no output.

2.5 Operators and operands

Operators are special symbols that represent computations like addition and multiplication. The values the operator is applied to are called *operands*.

The operators `+`, `-`, `*`, `/`, and `**` perform addition, subtraction, multiplication, division, and exponentiation, as in the following examples:

```
20+32    hour-1    hour*60+minute    minute/60    5**2    (5+9)*(15-7)
```

There has been a change in the division operator between Python 2.x and Python 3.x. In Python 3.x, the result of this division is a floating point result:

```
>>> minute = 59
>>> minute/60
0.9833333333333333
```

The division operator in Python 2.0 would divide two integers and truncate the result to an integer:

```
>>> minute = 59
>>> minute/60
0
```

To obtain the same answer in Python 3.0 use floored (`//` integer) division.

```
>>> minute = 59
>>> minute//60
0
```

In Python 3.0 integer division functions much more as you would expect if you entered the expression on a calculator.

2.6 Expressions

An *expression* is a combination of values, variables, and operators. A value all by itself is considered an expression, and so is a variable, so the following are all legal expressions (assuming that the variable `x` has been assigned a value):

```
17
x
x + 17
```

If you type an expression in interactive mode, the interpreter *evaluates* it and displays the result:

```
>>> 1 + 1
2
```

But in a script, an expression all by itself doesn't do anything! This is a common source of confusion for beginners.

Exercise 1: Type the following statements in the Python interpreter to see what they do:

```
5
x = 5
x + 1
```

2.7 Order of operations

When more than one operator appears in an expression, the order of evaluation depends on the *rules of precedence*. For mathematical operators, Python follows mathematical convention. The acronym *PEMDAS* is a useful way to remember the rules:

- Parentheses have the highest precedence and can be used to force an expression to evaluate in the order you want. Since expressions in parentheses are evaluated first, `2 * (3-1)` is 4, and `(1+1)**(5-2)` is 8. You can also use parentheses to make an expression easier to read, as in `(minute * 100) / 60`, even if it doesn't change the result.

- Exponentiation has the next highest precedence, so $2**1+1$ is 3, not 4, and $3*1**3$ is 3, not 27.
- Multiplication and Division have the same precedence, which is higher than Addition and Subtraction, which also have the same precedence. So $2*3-1$ is 5, not 4, and $6+4/2$ is 8.0, not 5.
- Operators with the same precedence are evaluated from left to right. So the expression $5-3-1$ is 1, not 3, because the $5-3$ happens first and then 1 is subtracted from 2.

When in doubt, always put parentheses in your expressions to make sure the computations are performed in the order you intend.

2.8 Modulus operator

The *modulus operator* works on integers and yields the remainder when the first operand is divided by the second. In Python, the modulus operator is a percent sign (%). The syntax is the same as for other operators:

```
>>> quotient = 7 // 3
>>> print(quotient)
2
>>> remainder = 7 % 3
>>> print(remainder)
1
```

So 7 divided by 3 is 2 with 1 left over.

The modulus operator turns out to be surprisingly useful. For example, you can check whether one number is divisible by another: if $x \% y$ is zero, then x is divisible by y .

You can also extract the right-most digit or digits from a number. For example, $x \% 10$ yields the right-most digit of x (in base 10). Similarly, $x \% 100$ yields the last two digits.

2.9 String operations

The $+$ operator works with strings, but it is not addition in the mathematical sense. Instead it performs *concatenation*, which means joining the strings by linking them end to end. For example:

```
>>> first = 10
>>> second = 15
>>> print(first+second)
25
>>> first = '100'
```

```
>>> second = '150'
>>> print(first + second)
100150
```

The output of this program is 100150.

2.10 Asking the user for input

Sometimes we would like to take the value for a variable from the user via their keyboard. Python provides a built-in function called `input` that gets input from the keyboard¹. When this function is called, the program stops and waits for the user to type something. When the user presses **Return** or **Enter**, the program resumes and `input` returns what the user typed as a string.

```
>>> input = input()
Some silly stuff
>>> print(input)
Some silly stuff
```

Before getting input from the user, it is a good idea to print a prompt telling the user what to input. You can pass a string to `input` to be displayed to the user before pausing for input:

```
>>> name = input('What is your name?\n')
What is your name?
Chuck
>>> print(name)
Chuck
```

The sequence `\n` at the end of the prompt represents a *newline*, which is a special character that causes a line break. That's why the user's input appears below the prompt.

If you expect the user to type an integer, you can try to convert the return value to `int` using the `int()` function:

```
>>> prompt = 'What...is the airspeed velocity of an unladen swallow?\n'
>>> speed = input(prompt)
What...is the airspeed velocity of an unladen swallow?
17
>>> int(speed)
17
>>> int(speed) + 5
22
```

But if the user types something other than a string of digits, you get an error:

¹In Python 2.0, this function was named `raw_input`.

```
>>> speed = input(prompt)
What...is the airspeed velocity of an unladen swallow?
What do you mean, an African or a European swallow?
>>> int(speed)
ValueError: invalid literal for int() with base 10:
```

We will see how to handle this kind of error later.

2.11 Comments

As programs get bigger and more complicated, they get more difficult to read. Formal languages are dense, and it is often difficult to look at a piece of code and figure out what it is doing, or why.

For this reason, it is a good idea to add notes to your programs to explain in natural language what the program is doing. These notes are called *comments*, and in Python they start with the `#` symbol:

```
# compute the percentage of the hour that has elapsed
percentage = (minute * 100) / 60
```

In this case, the comment appears on a line by itself. You can also put comments at the end of a line:

```
percentage = (minute * 100) / 60      # percentage of an hour
```

Everything from the `\#` to the end of the line is ignored; it has no effect on the program.

Comments are most useful when they document non-obvious features of the code. It is reasonable to assume that the reader can figure out *what* the code does; it is much more useful to explain *why*.

This comment is redundant with the code and useless:

```
v = 5      # assign 5 to v
```

This comment contains useful information that is not in the code:

```
v = 5      # velocity in meters/second.
```

Good variable names can reduce the need for comments, but long names can make complex expressions hard to read, so there is a trade-off.

2.12 Choosing mnemonic variable names

As long as you follow the simple rules of variable naming, and avoid reserved words, you have a lot of choice when you name your variables. In the beginning, this choice can be confusing both when you read a program and when you write your own programs. For example, the following three programs are identical in terms of what they accomplish, but very different when you read them and try to understand them.

```
a = 35.0
b = 12.50
c = a * b
print(c)
```

```
hours = 35.0
rate = 12.50
pay = hours * rate
print(pay)
```

```
x1q3z9ahd = 35.0
x1q3z9afd = 12.50
x1q3p9afd = x1q3z9ahd * x1q3z9afd
print(x1q3p9afd)
```

The Python interpreter sees all three of these programs as *exactly the same* but humans see and understand these programs quite differently. Humans will most quickly understand the *intent* of the second program because the programmer has chosen variable names that reflect their intent regarding what data will be stored in each variable.

We call these wisely chosen variable names “mnemonic variable names”. The word *mnemonic*² means “memory aid”. We choose mnemonic variable names to help us remember why we created the variable in the first place.

While this all sounds great, and it is a very good idea to use mnemonic variable names, mnemonic variable names can get in the way of a beginning programmer’s ability to parse and understand code. This is because beginning programmers have not yet memorized the reserved words (there are only 33 of them) and sometimes variables with names that are too descriptive start to look like part of the language and not just well-chosen variable names.

Take a quick look at the following Python sample code which loops through some data. We will cover loops soon, but for now try to just puzzle through what this means:

```
for word in words:
    print(word)
```

²See <http://en.wikipedia.org/wiki/Mnemonic> for an extended description of the word “mnemonic”.

What is happening here? Which of the tokens (`for`, `word`, `in`, etc.) are reserved words and which are just variable names? Does Python understand at a fundamental level the notion of words? Beginning programmers have trouble separating what parts of the code *must* be the same as this example and what parts of the code are simply choices made by the programmer.

The following code is equivalent to the above code:

```
for slice in pizza:
    print(slice)
```

It is easier for the beginning programmer to look at this code and know which parts are reserved words defined by Python and which parts are simply variable names chosen by the programmer. It is pretty clear that Python has no fundamental understanding of pizza and slices and the fact that a pizza consists of a set of one or more slices.

But if our program is truly about reading data and looking for words in the data, `pizza` and `slice` are very un-mnemonic variable names. Choosing them as variable names distracts from the meaning of the program.

After a pretty short period of time, you will know the most common reserved words and you will start to see the reserved words jumping out at you:

```
word *in* words*:* \ *print* word
```

The parts of the code that are defined by Python (`for`, `in`, `print`, and `:`) are in bold and the programmer-chosen variables (`word` and `words`) are not in bold. Many text editors are aware of Python syntax and will color reserved words differently to give you clues to keep your variables and reserved words separate. After a while you will begin to read Python and quickly determine what is a variable and what is a reserved word.

2.13 Debugging

At this point, the syntax error you are most likely to make is an illegal variable name, like `class` and `yield`, which are keywords, or `odd~job` and `US$`, which contain illegal characters.

If you put a space in a variable name, Python thinks it is two operands without an operator:

```
>>> bad name = 5
SyntaxError: invalid syntax

>>> month = 09
File "<stdin>", line 1
    month = 09
           ^
SyntaxError: invalid token
```


For syntax errors, the error messages don't help much. The most common messages are `SyntaxError: invalid syntax` and `SyntaxError: invalid token`, neither of which is very informative.

The runtime error you are most likely to make is a “use before def;” that is, trying to use a variable before you have assigned a value. This can happen if you spell a variable name wrong:

```
>>> principal = 327.68
>>> interest = principle * rate
NameError: name 'principle' is not defined
```

Variables names are case sensitive, so `LaTeX` is not the same as `latex`.

At this point, the most likely cause of a semantic error is the order of operations. For example, to evaluate $1/2\pi$, you might be tempted to write

```
>>> 1.0 / 2.0 * pi
```

But the division happens first, so you would get $\pi/2$, which is not the same thing! There is no way for Python to know what you meant to write, so in this case you don't get an error message; you just get the wrong answer.

2.14 Glossary

assignment A statement that assigns a value to a variable.

concatenate To join two operands end to end.

comment Information in a program that is meant for other programmers (or anyone reading the source code) and has no effect on the execution of the program.

evaluate To simplify an expression by performing the operations in order to yield a single value.

expression A combination of variables, operators, and values that represents a single result value.

floating point A type that represents numbers with fractional parts.

integer A type that represents whole numbers.

keyword A reserved word that is used by the compiler to parse a program; you cannot use keywords like `if`, `def`, and `while` as variable names.

mnemonic A memory aid. We often give variables mnemonic names to help us remember what is stored in the variable.

modulus operator An operator, denoted with a percent sign (`%`), that works on integers and yields the remainder when one number is divided by another.

operand One of the values on which an operator operates.

operator A special symbol that represents a simple computation like addition, multiplication, or string concatenation.

rules of precedence The set of rules governing the order in which expressions involving multiple operators and operands are evaluated.

statement A section of code that represents a command or action. So far, the statements we have seen are assignments and print expression statement.

string A type that represents sequences of characters.

type A category of values. The types we have seen so far are integers (type `int`), floating-point numbers (type `float`), and strings (type `str`).

value One of the basic units of data, like a number or string, that a program manipulates.

variable A name that refers to a value.

2.15 Exercises

Exercise 2: Write a program that uses `input` to prompt a user for their name and then welcomes them.

```
Enter your name: Chuck
Hello Chuck
```

Exercise 3: Write a program to prompt the user for hours and rate per hour to compute gross pay.

```
Enter Hours: 35
Enter Rate: 2.75
Pay: 96.25
```

We won't worry about making sure our pay has exactly two digits after the decimal place for now. If you want, you can play with the built-in Python `round` function to properly round the resulting pay to two decimal places.

Exercise 4: Assume that we execute the following assignment statements:

```
width = 17
height = 12.0
```

For each of the following expressions, write the value of the expression and the type (of the value of the expression).

1. `width//2`
2. `width/2.0`
3. `height/3`
4. `1 + 2 * 5`

Use the Python interpreter to check your answers.

Exercise 5: Write a program which prompts the user for a Celsius temperature, convert the temperature to Fahrenheit, and print out the converted temperature.

Chapter 3

Conditional execution

3.1 Boolean expressions

A *boolean expression* is an expression that is either true or false. The following examples use the operator `==`, which compares two operands and produces `True` if they are equal and `False` otherwise:

```
>>> 5 == 5
True
>>> 5 == 6
False
{}
```

`True` and `False` are special values that belong to the class `bool`; they are not strings:

```
>>> type(True)
<class 'bool'>
>>> type(False)
<class 'bool'>
```

The `==` operator is one of the *comparison operators*; the others are:

<code>x != y</code>	<code># x is not equal to y</code>
<code>x > y</code>	<code># x is greater than y</code>
<code>x < y</code>	<code># x is less than y</code>
<code>x >= y</code>	<code># x is greater than or equal to y</code>
<code>x <= y</code>	<code># x is less than or equal to y</code>
<code>x is y</code>	<code># x is the same as y</code>
<code>x is not y</code>	<code># x is not the same as y</code>

Although these operations are probably familiar to you, the Python symbols are different from the mathematical symbols for the same operations. A common error is to use a single equal sign (`=`) instead of a double equal sign (`==`). Remember that `=` is an assignment operator and `==` is a comparison operator. There is no such thing as `=<` or `=>`.

3.2 Logical operators

There are three *logical operators*: **and**, **or**, and **not**. The semantics (meaning) of these operators is similar to their meaning in English. For example,

```
x > 0 and x < 10
```

is true only if x is greater than 0 *and* less than 10.

$n\%2 == 0$ or $n\%3 == 0$ is true if *either* of the conditions is true, that is, if the number is divisible by 2 *or* 3.

Finally, the **not** operator negates a boolean expression, so **not** ($x > y$) is true if $x > y$ is false; that is, if x is less than or equal to y .

Strictly speaking, the operands of the logical operators should be boolean expressions, but Python is not very strict. Any nonzero number is interpreted as “true.”

```
>>> 17 and True
True
```

This flexibility can be useful, but there are some subtleties to it that might be confusing. You might want to avoid it until you are sure you know what you are doing.

3.3 Conditional execution

In order to write useful programs, we almost always need the ability to check conditions and change the behavior of the program accordingly. *Conditional statements* give us this ability. The simplest form is the **if** statement:

```
if x > 0 :
    print('x is positive')
```

The boolean expression after the **if** statement is called the *condition*. We end the **if** statement with a colon character (**:**) and the line(s) after the **if** statement are indented.

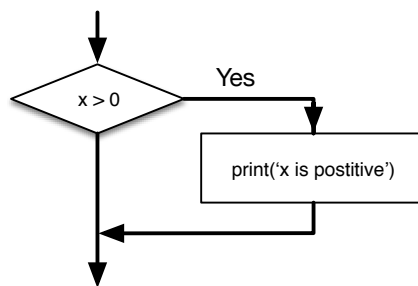


Figure 3.1: If Logic

If the logical condition is true, then the indented statement gets executed. If the logical condition is false, the indented statement is skipped.

`if` statements have the same structure as function definitions or `for` loops¹. The statement consists of a header line that ends with the colon character (`:`) followed by an indented block. Statements like this are called *compound statements* because they stretch across more than one line.

There is no limit on the number of statements that can appear in the body, but there must be at least one. Occasionally, it is useful to have a body with no statements (usually as a place holder for code you haven't written yet). In that case, you can use the `pass` statement, which does nothing.

```
if x < 0 :
    pass                # need to handle negative values!
```

If you enter an `if` statement in the Python interpreter, the prompt will change from three chevrons to three dots to indicate you are in the middle of a block of statements, as shown below:

```
>>> x = 3
>>> if x < 10:
...     print('Small')
...
Small
>>>
```

3.4 Alternative execution

A second form of the `if` statement is *alternative execution*, in which there are two possibilities and the condition determines which one gets executed. The syntax looks like this:

```
if x%2 == 0 :
    print('x is even')
else :
    print('x is odd')
```

If the remainder when `x` is divided by 2 is 0, then we know that `x` is even, and the program displays a message to that effect. If the condition is false, the second set of statements is executed.

Since the condition must either be true or false, exactly one of the alternatives will be executed. The alternatives are called *branches*, because they are branches in the flow of execution.

¹We will learn about functions in Chapter 4 and loops in Chapter 5.

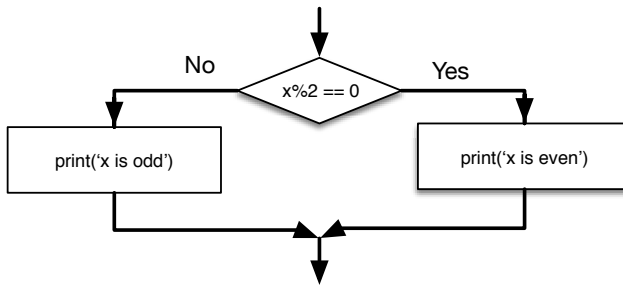


Figure 3.2: If-Then-Else Logic

3.5 Chained conditionals

Sometimes there are more than two possibilities and we need more than two branches. One way to express a computation like that is a *chained conditional*:

```

if x < y:
    print('x is less than y')
elif x > y:
    print('x is greater than y')
else:
    print('x and y are equal')
  
```

`elif` is an abbreviation of “else if.” Again, exactly one branch will be executed.

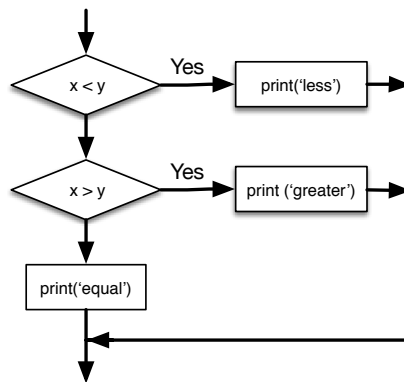


Figure 3.3: If-Then-ElseIf Logic

There is no limit on the number of `elif` statements. If there is an `else` clause, it has to be at the end, but there doesn’t have to be one.

```

if choice == 'a':
    print('Bad guess')
elif choice == 'b':
    print('Good guess')
elif choice == 'c':
    print('Close, but not correct')
  
```


Each condition is checked in order. If the first is false, the next is checked, and so on. If one of them is true, the corresponding branch executes, and the statement ends. Even if more than one condition is true, only the first true branch executes.

3.6 Nested conditionals

One conditional can also be nested within another. We could have written the three-branch example like this:

```
if x == y:
    print('x and y are equal')
else:
    if x < y:
        print('x is less than y')
    else:
        print('x is greater than y')
```

The outer conditional contains two branches. The first branch contains a simple statement. The second branch contains another `if` statement, which has two branches of its own. Those two branches are both simple statements, although they could have been conditional statements as well.

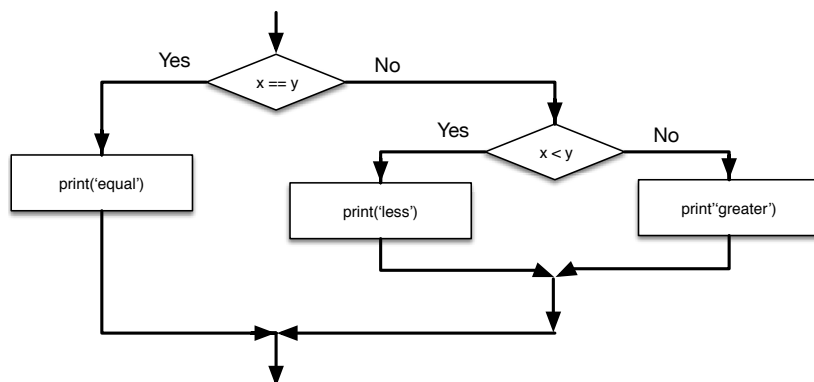


Figure 3.4: Nested If Statements

Although the indentation of the statements makes the structure apparent, *nested conditionals* become difficult to read very quickly. In general, it is a good idea to avoid them when you can.

Logical operators often provide a way to simplify nested conditional statements. For example, we can rewrite the following code using a single conditional:

```
if 0 < x:
    if x < 10:
        print('x is a positive single-digit number.')
```

The `print` statement is executed only if we make it past both conditionals, so we can get the same effect with the `and` operator:

```
if 0 < x and x < 10:
    print('x is a positive single-digit number.')
```

3.7 Catching exceptions using try and except

Earlier we saw a code segment where we used the `input` and `int` functions to read and parse an integer number entered by the user. We also saw how treacherous doing this could be:

```
>>> prompt = "What...is the airspeed velocity of an unladen swallow?\n"
>>> speed = input(prompt)
What...is the airspeed velocity of an unladen swallow?
What do you mean, an African or a European swallow?
>>> int(speed)
ValueError: invalid literal for int() with base 10:
>>>
```

When we are executing these statements in the Python interpreter, we get a new prompt from the interpreter, think “oops”, and move on to our next statement.

However if you place this code in a Python script and this error occurs, your script immediately stops in its tracks with a traceback. It does not execute the following statement.

Here is a sample program to convert a Fahrenheit temperature to a Celsius temperature:

```
inp = input('Enter Fahrenheit Temperature: ')
fahr = float(inp)
cel = (fahr - 32.0) * 5.0 / 9.0
print(cel)
```

Code: <http://www.py4e.com/code3/fahren.py>

If we execute this code and give it invalid input, it simply fails with an unfriendly error message:

```
python fahren.py
Enter Fahrenheit Temperature:72
22.22222222222222
```

```
python fahren.py
Enter Fahrenheit Temperature:fred
Traceback (most recent call last):
  File "fahren.py", line 2, in <module>
    fahr = float(inp)
ValueError: could not convert string to float: 'fred'
```

There is a conditional execution structure built into Python to handle these types of expected and unexpected errors called “try / except”. The idea of **try** and **except** is that you know that some sequence of instruction(s) may have a problem and you want to add some statements to be executed if an error occurs. These extra statements (the **except** block) are ignored if there is no error.

You can think of the **try** and **except** feature in Python as an “insurance policy” on a sequence of statements.

We can rewrite our temperature converter as follows:

```
inp = input('Enter Fahrenheit Temperature:')
try:
    fahr = float(inp)
    cel = (fahr - 32.0) * 5.0 / 9.0
    print(cel)
except:
    print('Please enter a number')
```

Code: <http://www.py4e.com/code3/fahren2.py>

Python starts by executing the sequence of statements in the **try** block. If all goes well, it skips the **except** block and proceeds. If an exception occurs in the **try** block, Python jumps out of the **try** block and executes the sequence of statements in the **except** block.

```
python fahren2.py
Enter Fahrenheit Temperature:72
22.22222222222222
```

```
python fahren2.py
Enter Fahrenheit Temperature:fred
Please enter a number
```

Handling an exception with a **try** statement is called *catching* an exception. In this example, the **except** clause prints an error message. In general, catching an exception gives you a chance to fix the problem, or try again, or at least end the program gracefully.

3.8 Short-circuit evaluation of logical expressions

When Python is processing a logical expression such as $x \geq 2$ and $(x/y) > 2$, it evaluates the expression from left to right. Because of the definition of **and**, if x is less than 2, the expression $x \geq 2$ is **False** and so the whole expression is **False** regardless of whether $(x/y) > 2$ evaluates to **True** or **False**.

When Python detects that there is nothing to be gained by evaluating the rest of a logical expression, it stops its evaluation and does not do the computations in the rest of the logical expression. When the evaluation of a logical expression

stops because the overall value is already known, it is called *short-circuiting* the evaluation.

While this may seem like a fine point, the short-circuit behavior leads to a clever technique called the *guardian pattern*. Consider the following code sequence in the Python interpreter:

```
>>> x = 6
>>> y = 2
>>> x >= 2 and (x/y) > 2
True
>>> x = 1
>>> y = 0
>>> x >= 2 and (x/y) > 2
False
>>> x = 6
>>> y = 0
>>> x >= 2 and (x/y) > 2
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ZeroDivisionError: division by zero
>>>
```

The third calculation failed because Python was evaluating (x/y) and y was zero, which causes a runtime error. But the second example did *not* fail because the first part of the expression $x \geq 2$ evaluated to **False** so the (x/y) was not ever executed due to the *short-circuit* rule and there was no error.

We can construct the logical expression to strategically place a *guard* evaluation just before the evaluation that might cause an error as follows:

```
>>> x = 1
>>> y = 0
>>> x >= 2 and y != 0 and (x/y) > 2
False
>>> x = 6
>>> y = 0
>>> x >= 2 and y != 0 and (x/y) > 2
False
>>> x >= 2 and (x/y) > 2 and y != 0
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ZeroDivisionError: division by zero
>>>
```

In the first logical expression, $x \geq 2$ is **False** so the evaluation stops at the **and**. In the second logical expression, $x \geq 2$ is **True** but $y \neq 0$ is **False** so we never reach (x/y) .

In the third logical expression, the $y \neq 0$ is *after* the (x/y) calculation so the expression fails with an error.

In the second expression, we say that $y \neq 0$ acts as a *guard* to insure that we only execute (x/y) if y is non-zero.

3.9 Debugging

The traceback Python displays when an error occurs contains a lot of information, but it can be overwhelming. The most useful parts are usually:

- What kind of error it was, and
- Where it occurred.

Syntax errors are usually easy to find, but there are a few gotchas. Whitespace errors can be tricky because spaces and tabs are invisible and we are used to ignoring them.

```
>>> x = 5
>>> y = 6
      File "<stdin>", line 1
        y = 6
        ^
IndentationError: unexpected indent
```

In this example, the problem is that the second line is indented by one space. But the error message points to `y`, which is misleading. In general, error messages indicate where the problem was discovered, but the actual error might be earlier in the code, sometimes on a previous line.

In general, error messages tell you where the problem was discovered, but that is often not where it was caused.

3.10 Glossary

body The sequence of statements within a compound statement.

boolean expression An expression whose value is either `True` or `False`.

branch One of the alternative sequences of statements in a conditional statement.

chained conditional A conditional statement with a series of alternative branches.

comparison operator One of the operators that compares its operands: `==`, `!=`, `>`, `<`, `>=`, and `<=`.

conditional statement A statement that controls the flow of execution depending on some condition.

condition The boolean expression in a conditional statement that determines which branch is executed.

compound statement A statement that consists of a header and a body. The header ends with a colon (:). The body is indented relative to the header.

guardian pattern Where we construct a logical expression with additional comparisons to take advantage of the short-circuit behavior.

logical operator One of the operators that combines boolean expressions: **and**, **or**, and **not**.

nested conditional A conditional statement that appears in one of the branches of another conditional statement.

traceback A list of the functions that are executing, printed when an exception occurs.

short circuit When Python is part-way through evaluating a logical expression and stops the evaluation because Python knows the final value for the expression without needing to evaluate the rest of the expression.

3.11 Exercises

Exercise 1: Rewrite your pay computation to give the employee 1.5 times the hourly rate for hours worked above 40 hours.

```
Enter Hours: 45
Enter Rate: 10
Pay: 475.0
```

Exercise 2: Rewrite your pay program using **try** and **except** so that your program handles non-numeric input gracefully by printing a message and exiting the program. The following shows two executions of the program:

```
Enter Hours: 20
Enter Rate: nine
Error, please enter numeric input
```

```
Enter Hours: forty
Error, please enter numeric input
```

Exercise 3: Write a program to prompt for a score between 0.0 and 1.0. If the score is out of range, print an error message. If the score is between 0.0 and 1.0, print a grade using the following table:

Score	Grade
≥ 0.9	A
≥ 0.8	B
≥ 0.7	C
≥ 0.6	D
< 0.6	F

~~~

Enter score: 0.95 A ~

Enter score: perfect  
Bad score

Enter score: 10.0  
Bad score

Enter score: 0.75  
C

Enter score: 0.5  
F

Run the program repeatedly as shown above to test the various different values for input.





# Chapter 4

## Functions

### 4.1 Function calls

In the context of programming, a *function* is a named sequence of statements that performs a computation. When you define a function, you specify the name and the sequence of statements. Later, you can “call” the function by name. We have already seen one example of a *function call*:

```
>>> type(32)
<class 'int'>
```

The name of the function is `type`. The expression in parentheses is called the *argument* of the function. The argument is a value or variable that we are passing into the function as input to the function. The result, for the `type` function, is the type of the argument.

It is common to say that a function “takes” an argument and “returns” a result. The result is called the *return value*.

### 4.2 Built-in functions

Python provides a number of important built-in functions that we can use without needing to provide the function definition. The creators of Python wrote a set of functions to solve common problems and included them in Python for us to use.

The `max` and `min` functions give us the largest and smallest values in a list, respectively:

```
>>> max('Hello world')
'w'
>>> min('Hello world')
' '
>>>
```

The `max` function tells us the “largest character” in the string (which turns out to be the letter “w”) and the `min` function shows us the smallest character (which turns out to be a space).

Another very common built-in function is the `len` function which tells us how many items are in its argument. If the argument to `len` is a string, it returns the number of characters in the string.

```
>>> len('Hello world')
11
>>>
```

These functions are not limited to looking at strings. They can operate on any set of values, as we will see in later chapters.

You should treat the names of built-in functions as reserved words (i.e., avoid using “max” as a variable name).

## 4.3 Type conversion functions

Python also provides built-in functions that convert values from one type to another. The `int` function takes any value and converts it to an integer, if it can, or complains otherwise:

```
>>> int('32')
32
>>> int('Hello')
ValueError: invalid literal for int() with base 10: 'Hello'
```

`int` can convert floating-point values to integers, but it doesn’t round off; it chops off the fraction part:

```
>>> int(3.99999)
3
>>> int(-2.3)
-2
```

`float` converts integers and strings to floating-point numbers:

```
>>> float(32)
32.0
>>> float('3.14159')
3.14159
```

Finally, `str` converts its argument to a string:

```
>>> str(32)
'32'
>>> str(3.14159)
'3.14159'
```

## 4.4 Random numbers

Given the same inputs, most computer programs generate the same outputs every time, so they are said to be *deterministic*. Determinism is usually a good thing, since we expect the same calculation to yield the same result. For some applications, though, we want the computer to be unpredictable. Games are an obvious example, but there are more.

Making a program truly nondeterministic turns out to be not so easy, but there are ways to make it at least seem nondeterministic. One of them is to use *algorithms* that generate *pseudorandom* numbers. Pseudorandom numbers are not truly random because they are generated by a deterministic computation, but just by looking at the numbers it is all but impossible to distinguish them from random.

The `random` module provides functions that generate pseudorandom numbers (which I will simply call “random” from here on).

The function `random` returns a random float between 0.0 and 1.0 (including 0.0 but not 1.0). Each time you call `random`, you get the next number in a long series. To see a sample, run this loop:

```
import random

for i in range(10):
    x = random.random()
    print(x)
```

This program produces the following list of 10 random numbers between 0.0 and up to but not including 1.0.

```
0.11132867921152356
0.5950949227890241
0.04820265884996877
0.841003109276478
0.997914947094958
0.04842330803368111
0.7416295948208405
0.510535245390327
0.27447040171978143
0.028511805472785867
```

Exercise 1: Run the program on your system and see what numbers you get. Run the program more than once and see what numbers you get.

The `random` function is only one of many functions that handle random numbers. The function `randint` takes the parameters `low` and `high`, and returns an integer between `low` and `high` (including both).

```
>>> random.randint(5, 10)
5
>>> random.randint(5, 10)
9
```

To choose an element from a sequence at random, you can use `choice`:

```
>>> t = [1, 2, 3]
>>> random.choice(t)
2
>>> random.choice(t)
3
```

The `random` module also provides functions to generate random values from continuous distributions including Gaussian, exponential, gamma, and a few more.

## 4.5 Math functions

Python has a `math` module that provides most of the familiar mathematical functions. Before we can use the module, we have to import it:

```
>>> import math
```

This statement creates a *module object* named `math`. If you print the module object, you get some information about it:

```
>>> print(math)
<module 'math' (built-in)>
```

The module object contains the functions and variables defined in the module. To access one of the functions, you have to specify the name of the module and the name of the function, separated by a dot (also known as a period). This format is called *dot notation*.

```
>>> ratio = signal_power / noise_power
>>> decibels = 10 * math.log10(ratio)

>>> radians = 0.7
>>> height = math.sin(radians)
```

The first example computes the logarithm base 10 of the signal-to-noise ratio. The `math` module also provides a function called `log` that computes logarithms base *e*.

The second example finds the sine of `radians`. The name of the variable is a hint that `sin` and the other trigonometric functions (`cos`, `tan`, etc.) take arguments in radians. To convert from degrees to radians, divide by 360 and multiply by  $2\pi$ :

```
>>> degrees = 45
>>> radians = degrees / 360.0 * 2 * math.pi
>>> math.sin(radians)
0.7071067811865476
```

The expression `math.pi` gets the variable `pi` from the `math` module. The value of this variable is an approximation of  $\pi$ , accurate to about 15 digits.

If you know your trigonometry, you can check the previous result by comparing it to the square root of two divided by two:

```
>>> math.sqrt(2) / 2.0
0.7071067811865476
```

## 4.6 Adding new functions

So far, we have only been using the functions that come with Python, but it is also possible to add new functions. A *function definition* specifies the name of a new function and the sequence of statements that execute when the function is called. Once we define a function, we can reuse the function over and over throughout our program.

Here is an example:

```
def print_lyrics():
    print("I'm a lumberjack, and I'm okay.")
    print('I sleep all night and I work all day.')
```

`def` is a keyword that indicates that this is a function definition. The name of the function is `print_lyrics`. The rules for function names are the same as for variable names: letters, numbers and some punctuation marks are legal, but the first character can't be a number. You can't use a keyword as the name of a function, and you should avoid having a variable and a function with the same name.

The empty parentheses after the name indicate that this function doesn't take any arguments. Later we will build functions that take arguments as their inputs.

The first line of the function definition is called the *header*; the rest is called the *body*. The header has to end with a colon and the body has to be indented. By convention, the indentation is always four spaces. The body can contain any number of statements.

The strings in the `print` statements are enclosed in quotes. Single quotes and double quotes do the same thing; most people use single quotes except in cases like this where a single quote (which is also an apostrophe) appears in the string.

If you type a function definition in interactive mode, the interpreter prints ellipses (...) to let you know that the definition isn't complete:

```
>>> def print_lyrics():
...     print("I'm a lumberjack, and I'm okay.")
...     print('I sleep all night and I work all day.')
... 
```

To end the function, you have to enter an empty line (this is not necessary in a script).

Defining a function creates a variable with the same name.

```
>>> print(print_lyrics)
<function print_lyrics at 0xb7e99e9c>
>>> print(type(print_lyrics))
<class 'function'>
```

The value of `print_lyrics` is a *function object*, which has type “function”.

The syntax for calling the new function is the same as for built-in functions:

```
>>> print_lyrics()
I'm a lumberjack, and I'm okay.
I sleep all night and I work all day.
```

Once you have defined a function, you can use it inside another function. For example, to repeat the previous refrain, we could write a function called `repeat_lyrics`:

```
def repeat_lyrics():
    print_lyrics()
    print_lyrics()
```

And then call `repeat_lyrics`:

```
>>> repeat_lyrics()
I'm a lumberjack, and I'm okay.
I sleep all night and I work all day.
I'm a lumberjack, and I'm okay.
I sleep all night and I work all day.
```

But that’s not really how the song goes.

## 4.7 Definitions and uses

Pulling together the code fragments from the previous section, the whole program looks like this:

```
def print_lyrics():
    print("I'm a lumberjack, and I'm okay.")
    print('I sleep all night and I work all day.')

def repeat_lyrics():
    print_lyrics()
    print_lyrics()
```

```
repeat_lyrics()
```

```
# Code: http://www.py4e.com/code3/lyrics.py
```

This program contains two function definitions: `print_lyrics` and `repeat_lyrics`. Function definitions get executed just like other statements, but the effect is to create function objects. The statements inside the function do not get executed until the function is called, and the function definition generates no output.

As you might expect, you have to create a function before you can execute it. In other words, the function definition has to be executed before the first time it is called.

Exercise 2: Move the last line of this program to the top, so the function call appears before the definitions. Run the program and see what error message you get.

Exercise 3: Move the function call back to the bottom and move the definition of `print_lyrics` after the definition of `repeat_lyrics`. What happens when you run this program?

## 4.8 Flow of execution

In order to ensure that a function is defined before its first use, you have to know the order in which statements are executed, which is called the *flow of execution*.

Execution always begins at the first statement of the program. Statements are executed one at a time, in order from top to bottom.

Function *definitions* do not alter the flow of execution of the program, but remember that statements inside the function are not executed until the function is called.

A function call is like a detour in the flow of execution. Instead of going to the next statement, the flow jumps to the body of the function, executes all the statements there, and then comes back to pick up where it left off.

That sounds simple enough, until you remember that one function can call another. While in the middle of one function, the program might have to execute the statements in another function. But while executing that new function, the program might have to execute yet another function!

Fortunately, Python is good at keeping track of where it is, so each time a function completes, the program picks up where it left off in the function that called it. When it gets to the end of the program, it terminates.

What's the moral of this sordid tale? When you read a program, you don't always want to read from top to bottom. Sometimes it makes more sense if you follow the flow of execution.

## 4.9 Parameters and arguments

Some of the built-in functions we have seen require arguments. For example, when you call `math.sin` you pass a number as an argument. Some functions take more than one argument: `math.pow` takes two, the base and the exponent.

Inside the function, the arguments are assigned to variables called *parameters*. Here is an example of a user-defined function that takes an argument:

```
def print_twice(bruce):
    print(bruce)
    print(bruce)
```

This function assigns the argument to a parameter named `bruce`. When the function is called, it prints the value of the parameter (whatever it is) twice.

This function works with any value that can be printed.

```
>>> print_twice('Spam')
Spam
Spam
>>> print_twice(17)
17
17
>>> import math
>>> print_twice(math.pi)
3.141592653589793
3.141592653589793
```

The same rules of composition that apply to built-in functions also apply to user-defined functions, so we can use any kind of expression as an argument for `print_twice`:

```
>>> print_twice('Spam '*4)
Spam Spam Spam Spam
Spam Spam Spam Spam
>>> print_twice(math.cos(math.pi))
-1.0
-1.0
```

The argument is evaluated before the function is called, so in the examples the expressions “`Spam '*4`” and `math.cos(math.pi)`” are only evaluated once.

You can also use a variable as an argument:

```
>>> michael = 'Eric, the half a bee.'
>>> print_twice(michael)
Eric, the half a bee.
Eric, the half a bee.
```

The name of the variable we pass as an argument (`michael`) has nothing to do with the name of the parameter (`bruce`). It doesn't matter what the value was called back home (in the caller); here in `print_twice`, we call everybody `bruce`.



## 4.10 Fruitful functions and void functions

Some of the functions we are using, such as the math functions, yield results; for lack of a better name, I call them *fruitful functions*. Other functions, like `print_twice`, perform an action but don't return a value. They are called *void functions*.

When you call a fruitful function, you almost always want to do something with the result; for example, you might assign it to a variable or use it as part of an expression:

```
x = math.cos(radians)
golden = (math.sqrt(5) + 1) / 2
```

When you call a function in interactive mode, Python displays the result:

```
>>> math.sqrt(5)
2.23606797749979
```

But in a script, if you call a fruitful function and do not store the result of the function in a variable, the return value vanishes into the mist!

```
math.sqrt(5)
```

This script computes the square root of 5, but since it doesn't store the result in a variable or display the result, it is not very useful.

Void functions might display something on the screen or have some other effect, but they don't have a return value. If you try to assign the result to a variable, you get a special value called `None`.

```
>>> result = print_twice('Bing')
Bing
Bing
>>> print(result)
None
```

The value `None` is not the same as the string "None". It is a special value that has its own type:

```
>>> print(type(None))
<class 'NoneType'>
```

To return a result from a function, we use the `return` statement in our function. For example, we could make a very simple function called `addtwo` that adds two numbers together and returns a result.

```
def addtwo(a, b):  
    added = a + b  
    return added  
  
x = addtwo(3, 5)  
print(x)  
  
# Code: http://www.py4e.com/code3/addtwo.py
```

When this script executes, the `print` statement will print out “8” because the `addtwo` function was called with 3 and 5 as arguments. Within the function, the parameters `a` and `b` were 3 and 5 respectively. The function computed the sum of the two numbers and placed it in the local function variable named `added`. Then it used the `return` statement to send the computed value back to the calling code as the function result, which was assigned to the variable `x` and printed out.

## 4.11 Why functions?

It may not be clear why it is worth the trouble to divide a program into functions. There are several reasons:

- Creating a new function gives you an opportunity to name a group of statements, which makes your program easier to read, understand, and debug.
- Functions can make a program smaller by eliminating repetitive code. Later, if you make a change, you only have to make it in one place.
- Dividing a long program into functions allows you to debug the parts one at a time and then assemble them into a working whole.
- Well-designed functions are often useful for many programs. Once you write and debug one, you can reuse it.

Throughout the rest of the book, often we will use a function definition to explain a concept. Part of the skill of creating and using functions is to have a function properly capture an idea such as “find the smallest value in a list of values”. Later we will show you code that finds the smallest in a list of values and we will present it to you as a function named `min` which takes a list of values as its argument and returns the smallest value in the list.

## 4.12 Debugging

If you are using a text editor to write your scripts, you might run into problems with spaces and tabs. The best way to avoid these problems is to use spaces exclusively (no tabs). Most text editors that know about Python do this by default, but some don’t.

Tabs and spaces are usually invisible, which makes them hard to debug, so try to find an editor that manages indentation for you.

Also, don't forget to save your program before you run it. Some development environments do this automatically, but some don't. In that case, the program you are looking at in the text editor is not the same as the program you are running.

Debugging can take a long time if you keep running the same incorrect program over and over!

Make sure that the code you are looking at is the code you are running. If you're not sure, put something like `print("hello")` at the beginning of the program and run it again. If you don't see `hello`, you're not running the right program!

## 4.13 Glossary

**algorithm** A general process for solving a category of problems.

**argument** A value provided to a function when the function is called. This value is assigned to the corresponding parameter in the function.

**body** The sequence of statements inside a function definition.

**composition** Using an expression as part of a larger expression, or a statement as part of a larger statement.

**deterministic** Pertaining to a program that does the same thing each time it runs, given the same inputs.

**dot notation** The syntax for calling a function in another module by specifying the module name followed by a dot (period) and the function name.

**flow of execution** The order in which statements are executed during a program run.

**fruitful function** A function that returns a value.

**function** A named sequence of statements that performs some useful operation. Functions may or may not take arguments and may or may not produce a result.

**function call** A statement that executes a function. It consists of the function name followed by an argument list.

**function definition** A statement that creates a new function, specifying its name, parameters, and the statements it executes.

**function object** A value created by a function definition. The name of the function is a variable that refers to a function object.

**header** The first line of a function definition.

**import statement** A statement that reads a module file and creates a module object.

**module object** A value created by an `import` statement that provides access to the data and code defined in a module.

**parameter** A name used inside a function to refer to the value passed as an argument.

**pseudorandom** Pertaining to a sequence of numbers that appear to be random, but are generated by a deterministic program.

**return value** The result of a function. If a function call is used as an expression, the return value is the value of the expression.

**void function** A function that does not return a value.

## 4.14 Exercises

Exercise 4: What is the purpose of the “def” keyword in Python?

- a) It is slang that means “the following code is really cool”
- b) It indicates the start of a function
- c) It indicates that the following indented section of code is to be stored for later
- d) b and c are both true
- e) None of the above

Exercise 5: What will the following Python program print out?

```
def fred():
    print("Zap")

def jane():
    print("ABC")

jane()
fred()
jane()
```

- a) Zap ABC jane fred jane
- b) Zap ABC Zap
- c) ABC Zap jane
- d) ABC Zap ABC
- e) Zap Zap Zap

Exercise 6: Rewrite your pay computation with time-and-a-half for overtime and create a function called `compute_pay` which takes two parameters (`hours` and `rate`).

```
Enter Hours: 45
Enter Rate: 10
Pay: 475.0
```

Exercise 7: Rewrite the grade program from the previous chapter using a function called `compute_grade` that takes a score as its parameter and returns a grade as a string.

```
Score    Grade
> 0.9    A
> 0.8    B
> 0.7    C
> 0.6    D
<= 0.6   F
```

Program Execution:

```
Enter score: 0.95
A
```

```
Enter score: perfect
Bad score
```

```
Enter score: 10.0
Bad score
```

```
Enter score: 0.75
C
```

```
Enter score: 0.5
F
```

Run the program repeatedly to test the various different values for input.



# Chapter 5

## Iteration

### 5.1 Updating variables

A common pattern in assignment statements is an assignment statement that updates a variable, where the new value of the variable depends on the old.

```
x = x + 1
```

This means “get the current value of `x`, add 1, and then update `x` with the new value.”

If you try to update a variable that doesn’t exist, you get an error, because Python evaluates the right side before it assigns a value to `x`:

```
>>> x = x + 1
NameError: name 'x' is not defined
```

Before you can update a variable, you have to *initialize* it, usually with a simple assignment:

```
>>> x = 0
>>> x = x + 1
```

Updating a variable by adding 1 is called an *increment*; subtracting 1 is called a *decrement*.

### 5.2 The while statement

Computers are often used to automate repetitive tasks. Repeating identical or similar tasks without making errors is something that computers do well and people do poorly. Because iteration is so common, Python provides several language features to make it easier.

One form of iteration in Python is the `while` statement. Here is a simple program that counts down from five and then says “Blastoff!”.

```
n = 5
while n > 0:
    print(n)
    n = n - 1
print('Blastoff!')
```

You can almost read the `while` statement as if it were English. It means, “While `n` is greater than 0, display the value of `n` and then reduce the value of `n` by 1. When you get to 0, exit the `while` statement and display the word **Blastoff!**”

More formally, here is the flow of execution for a `while` statement:

1. Evaluate the condition, yielding `True` or `False`.
2. If the condition is false, exit the `while` statement and continue execution at the next statement.
3. If the condition is true, execute the body and then go back to step 1.

This type of flow is called a *loop* because the third step loops back around to the top. We call each time we execute the body of the loop an *iteration*. For the above loop, we would say, “It had five iterations”, which means that the body of the loop was executed five times.

The body of the loop should change the value of one or more variables so that eventually the condition becomes false and the loop terminates. We call the variable that changes each time the loop executes and controls when the loop finishes the *iteration variable*. If there is no iteration variable, the loop will repeat forever, resulting in an *infinite loop*.

## 5.3 Infinite loops

An endless source of amusement for programmers is the observation that the directions on shampoo, “Lather, rinse, repeat,” are an infinite loop because there is no *iteration variable* telling you how many times to execute the loop.

In the case of `countdown`, we can prove that the loop terminates because we know that the value of `n` is finite, and we can see that the value of `n` gets smaller each time through the loop, so eventually we have to get to 0. Other times a loop is obviously infinite because it has no iteration variable at all.

## 5.4 “Infinite loops” and `break`

Sometimes you don’t know it’s time to end a loop until you get half way through the body. In that case you can write an infinite loop on purpose and then use the `break` statement to jump out of the loop.

This loop is obviously an *infinite loop* because the logical expression on the `while` statement is simply the logical constant `True`:



```
n = 10
while True:
    print(n, end=' ')
    n = n - 1
print('Done!')
```

If you make the mistake and run this code, you will learn quickly how to stop a runaway Python process on your system or find where the power-off button is on your computer. This program will run forever or until your battery runs out because the logical expression at the top of the loop is always true by virtue of the fact that the expression is the constant value `True`.

While this is a dysfunctional infinite loop, we can still use this pattern to build useful loops as long as we carefully add code to the body of the loop to explicitly exit the loop using `break` when we have reached the exit condition.

For example, suppose you want to take input from the user until they type `done`. You could write:

```
while True:
    line = input('> ')
    if line == 'done':
        break
    print(line)
print('Done!')
```

*# Code: <http://www.py4e.com/code3/copytildone1.py>*

The loop condition is `True`, which is always true, so the loop runs repeatedly until it hits the `break` statement.

Each time through, it prompts the user with an angle bracket. If the user types `done`, the `break` statement exits the loop. Otherwise the program echoes whatever the user types and goes back to the top of the loop. Here's a sample run:

```
> hello there
hello there
> finished
finished
> done
Done!
```

This way of writing `while` loops is common because you can check the condition anywhere in the loop (not just at the top) and you can express the stop condition affirmatively (“stop when this happens”) rather than negatively (“keep going until that happens.”).

## 5.5 Finishing iterations with `continue`

Sometimes you are in an iteration of a loop and want to finish the current iteration and immediately jump to the next iteration. In that case you can use the `continue`

statement to skip to the next iteration without finishing the body of the loop for the current iteration.

Here is an example of a loop that copies its input until the user types “done”, but treats lines that start with the hash character as lines not to be printed (kind of like Python comments).

```
while True:
    line = input('> ')
    if line[0] == '#':
        continue
    if line == 'done':
        break
    print(line)
print('Done!')
```

*# Code: <http://www.py4e.com/code3/copytildone2.py>*

Here is a sample run of this new program with `continue` added.

```
> hello there
hello there
> # don't print this
> print this!
print this!
> done
Done!
```

All the lines are printed except the one that starts with the hash sign because when the `continue` is executed, it ends the current iteration and jumps back to the `while` statement to start the next iteration, thus skipping the `print` statement.

## 5.6 Definite loops using for

Sometimes we want to loop through a *set* of things such as a list of words, the lines in a file, or a list of numbers. When we have a list of things to loop through, we can construct a *definite* loop using a `for` statement. We call the `while` statement an *indefinite* loop because it simply loops until some condition becomes `False`, whereas the `for` loop is looping through a known set of items so it runs through as many iterations as there are items in the set.

The syntax of a `for` loop is similar to the `while` loop in that there is a `for` statement and a loop body:

```
friends = ['Joseph', 'Glenn', 'Sally']
for friend in friends:
    print('Happy New Year:', friend)
print('Done!')
```

In Python terms, the variable `friends` is a list<sup>1</sup> of three strings and the `for` loop goes through the list and executes the body once for each of the three strings in the list resulting in this output:

```
Happy New Year: Joseph
Happy New Year: Glenn
Happy New Year: Sally
Done!
```

Translating this `for` loop to English is not as direct as the `while`, but if you think of `friends` as a *set*, it goes like this: “Run the statements in the body of the `for` loop once for each friend *in* the set named `friends`.”

Looking at the `for` loop, *for* and *in* are reserved Python keywords, and `friend` and `friends` are variables.

```
for friend in friends:
    print('Happy New Year:', friend)
```

In particular, `friend` is the *iteration variable* for the `for` loop. The variable `friend` changes for each iteration of the loop and controls when the `for` loop completes. The *iteration variable* steps successively through the three strings stored in the `friends` variable.

## 5.7 Loop patterns

Often we use a `for` or `while` loop to go through a list of items or the contents of a file and we are looking for something such as the largest or smallest value of the data we scan through.

These loops are generally constructed by:

- Initializing one or more variables before the loop starts
- Performing some computation on each item in the loop body, possibly changing the variables in the body of the loop
- Looking at the resulting variables when the loop completes

We will use a list of numbers to demonstrate the concepts and construction of these loop patterns.

### 5.7.1 Counting and summing loops

For example, to count the number of items in a list, we would write the following `for` loop:

---

<sup>1</sup>We will examine lists in more detail in a later chapter.

```
count = 0
for itervar in [3, 41, 12, 9, 74, 15]:
    count = count + 1
print('Count: ', count)
```

We set the variable `count` to zero before the loop starts, then we write a `for` loop to run through the list of numbers. Our *iteration* variable is named `itervar` and while we do not use `itervar` in the loop, it does control the loop and cause the loop body to be executed once for each of the values in the list.

In the body of the loop, we add 1 to the current value of `count` for each of the values in the list. While the loop is executing, the value of `count` is the number of values we have seen “so far”.

Once the loop completes, the value of `count` is the total number of items. The total number “falls in our lap” at the end of the loop. We construct the loop so that we have what we want when the loop finishes.

Another similar loop that computes the total of a set of numbers is as follows:

```
total = 0
for itervar in [3, 41, 12, 9, 74, 15]:
    total = total + itervar
print('Total: ', total)
```

In this loop we *do* use the *iteration variable*. Instead of simply adding one to the `count` as in the previous loop, we add the actual number (3, 41, 12, etc.) to the running total during each loop iteration. If you think about the variable `total`, it contains the “running total of the values so far”. So before the loop starts `total` is zero because we have not yet seen any values, during the loop `total` is the running total, and at the end of the loop `total` is the overall total of all the values in the list.

As the loop executes, `total` accumulates the sum of the elements; a variable used this way is sometimes called an *accumulator*.

Neither the counting loop nor the summing loop are particularly useful in practice because there are built-in functions `len()` and `sum()` that compute the number of items in a list and the total of the items in the list respectively.

## 5.7.2 Maximum and minimum loops

[maximumloop] To find the largest value in a list or sequence, we construct the following loop:

```
largest = None
print('Before:', largest)
for itervar in [3, 41, 12, 9, 74, 15]:
    if largest is None or itervar > largest :
        largest = itervar
    print('Loop:', itervar, largest)
print('Largest:', largest)
```

When the program executes, the output is as follows:

```
Before: None
Loop: 3 3
Loop: 41 41
Loop: 12 41
Loop: 9 41
Loop: 74 74
Loop: 15 74
Largest: 74
```

The variable `largest` is best thought of as the “largest value we have seen so far”. Before the loop, we set `largest` to the constant `None`. `None` is a special constant value which we can store in a variable to mark the variable as “empty”.

Before the loop starts, the largest value we have seen so far is `None` since we have not yet seen any values. While the loop is executing, if `largest` is `None` then we take the first value we see as the largest so far. You can see in the first iteration when the value of `itervar` is 3, since `largest` is `None`, we immediately set `largest` to be 3.

After the first iteration, `largest` is no longer `None`, so the second part of the compound logical expression that checks `itervar > largest` triggers only when we see a value that is larger than the “largest so far”. When we see a new “even larger” value we take that new value for `largest`. You can see in the program output that `largest` progresses from 3 to 41 to 74.

At the end of the loop, we have scanned all of the values and the variable `largest` now does contain the largest value in the list.

To compute the smallest number, the code is very similar with one small change:

```
smallest = None
print('Before:', smallest)
for itervar in [3, 41, 12, 9, 74, 15]:
    if smallest is None or itervar < smallest:
        smallest = itervar
    print('Loop:', itervar, smallest)
print('Smallest:', smallest)
```

Again, `smallest` is the “smallest so far” before, during, and after the loop executes. When the loop has completed, `smallest` contains the minimum value in the list.

Again as in counting and summing, the built-in functions `max()` and `min()` make writing these exact loops unnecessary.

The following is a simple version of the Python built-in `min()` function:

```
def min(values):
    smallest = None
    for value in values:
        if smallest is None or value < smallest:
            smallest = value
    return smallest
```

In the function version of the smallest code, we removed all of the `print` statements so as to be equivalent to the `min` function which is already built in to Python.

## 5.8 Debugging

As you start writing bigger programs, you might find yourself spending more time debugging. More code means more chances to make an error and more places for bugs to hide.

One way to cut your debugging time is “debugging by bisection.” For example, if there are 100 lines in your program and you check them one at a time, it would take 100 steps.

Instead, try to break the problem in half. Look at the middle of the program, or near it, for an intermediate value you can check. Add a `print` statement (or something else that has a verifiable effect) and run the program.

If the mid-point check is incorrect, the problem must be in the first half of the program. If it is correct, the problem is in the second half.

Every time you perform a check like this, you halve the number of lines you have to search. After six steps (which is much less than 100), you would be down to one or two lines of code, at least in theory.

In practice it is not always clear what the “middle of the program” is and not always possible to check it. It doesn’t make sense to count lines and find the exact midpoint. Instead, think about places in the program where there might be errors and places where it is easy to put a check. Then choose a spot where you think the chances are about the same that the bug is before or after the check.

## 5.9 Glossary

**accumulator** A variable used in a loop to add up or accumulate a result.

**counter** A variable used in a loop to count the number of times something happened. We initialize a counter to zero and then increment the counter each time we want to “count” something.

**decrement** An update that decreases the value of a variable.

**initialize** An assignment that gives an initial value to a variable that will be updated.

**increment** An update that increases the value of a variable (often by one).

**infinite loop** A loop in which the terminating condition is never satisfied or for which there is no terminating condition.

**iteration** Repeated execution of a set of statements using either a function that calls itself or a loop.

## 5.10 Exercises

Exercise 1: Write a program which repeatedly reads numbers until the user enters “done”. Once “done” is entered, print out the total, count, and average of the numbers. If the user enters anything other than a number, detect their mistake using `try` and `except` and print an error message and skip to the next number.

```
Enter a number: 4
Enter a number: 5
Enter a number: bad data
Invalid input
Enter a number: 7
Enter a number: done
16 3 5.333333333333333
```

Exercise 2: Write another program that prompts for a list of numbers as above and at the end prints out both the maximum and minimum of the numbers instead of the average.





# Chapter 6

## Strings

### 6.1 A string is a sequence

A string is a *sequence* of characters. You can access the characters one at a time with the bracket operator:

```
>>> fruit = 'banana'
>>> letter = fruit[1]
```

The second statement extracts the character at index position 1 from the `fruit` variable and assigns it to the `letter` variable.

The expression in brackets is called an *index*. The index indicates which character in the sequence you want (hence the name).

But you might not get what you expect:

```
>>> print(letter)
a
```

For most people, the first letter of “banana” is **b**, not **a**. But in Python, the index is an offset from the beginning of the string, and the offset of the first letter is zero.

```
>>> letter = fruit[0]
>>> print(letter)
b
```

So **b** is the 0th letter (“zero-eth”) of “banana”, **a** is the 1th letter (“one-eth”), and **n** is the 2th (“two-eth”) letter.

You can use any expression, including variables and operators, as an index, but the value of the index has to be an integer. Otherwise you get:

```
>>> letter = fruit[1.5]
TypeError: string indices must be integers
```



Figure 6.1: String Indexes

## 6.2 Getting the length of a string using `len`

`len` is a built-in function that returns the number of characters in a string:

```
>>> fruit = 'banana'
>>> len(fruit)
6
```

To get the last letter of a string, you might be tempted to try something like this:

```
>>> length = len(fruit)
>>> last = fruit[length]
IndexError: string index out of range
```

The reason for the `IndexError` is that there is no letter in 'banana' with the index 6. Since we started counting at zero, the six letters are numbered 0 to 5. To get the last character, you have to subtract 1 from `length`:

```
>>> last = fruit[length-1]
>>> print(last)
a
```

Alternatively, you can use negative indices, which count backward from the end of the string. The expression `fruit[-1]` yields the last letter, `fruit[-2]` yields the second to last, and so on.

## 6.3 Traversal through a string with a loop

A lot of computations involve processing a string one character at a time. Often they start at the beginning, select each character in turn, do something to it, and continue until the end. This pattern of processing is called a *traversal*. One way to write a traversal is with a `while` loop:

```
index = 0
while index < len(fruit):
    letter = fruit[index]
    print(letter)
    index = index + 1
```

This loop traverses the string and displays each letter on a line by itself. The loop condition is `index < len(fruit)`, so when `index` is equal to the length of the string, the condition is false, and the body of the loop is not executed. The last character accessed is the one with the index `len(fruit)-1`, which is the last character in the string.

Exercise 1: Write a `while` loop that starts at the last character in the string and works its way backwards to the first character in the string, printing each letter on a separate line, except backwards.

Another way to write a traversal is with a `for` loop:

```
for char in fruit:
    print(char)
```

Each time through the loop, the next character in the string is assigned to the variable `char`. The loop continues until no characters are left.

## 6.4 String slices

A segment of a string is called a *slice*. Selecting a slice is similar to selecting a character:

```
>>> s = 'Monty Python'
>>> print(s[0:5])
Monty
>>> print(s[6:12])
Python
```

The operator returns the part of the string from the “n-eth” character to the “m-eth” character, including the first but excluding the last.

If you omit the first index (before the colon), the slice starts at the beginning of the string. If you omit the second index, the slice goes to the end of the string:

```
>>> fruit = 'banana'
>>> fruit[:3]
'ban'
>>> fruit[3:]
'ana'
```

If the first index is greater than or equal to the second the result is an *empty string*, represented by two quotation marks:

```
>>> fruit = 'banana'
>>> fruit[3:3]
''
```

An empty string contains no characters and has length 0, but other than that, it is the same as any other string.

Exercise 2: Given that `fruit` is a string, what does `fruit[:]` mean?

## 6.5 Strings are immutable

It is tempting to use the operator on the left side of an assignment, with the intention of changing a character in a string. For example:

```
>>> greeting = 'Hello, world!'
>>> greeting[0] = 'J'
TypeError: 'str' object does not support item assignment
```

The “object” in this case is the string and the “item” is the character you tried to assign. For now, an *object* is the same thing as a value, but we will refine that definition later. An *item* is one of the values in a sequence.

The reason for the error is that strings are *immutable*, which means you can’t change an existing string. The best you can do is create a new string that is a variation on the original:

```
>>> greeting = 'Hello, world!'
>>> new_greeting = 'J' + greeting[1:]
>>> print(new_greeting)
Jello, world!
```

This example concatenates a new first letter onto a slice of `greeting`. It has no effect on the original string.

## 6.6 Looping and counting

The following program counts the number of times the letter `a` appears in a string:

```
word = 'banana'
count = 0
for letter in word:
    if letter == 'a':
        count = count + 1
print(count)
```

This program demonstrates another pattern of computation called a *counter*. The variable `count` is initialized to 0 and then incremented each time an `a` is found. When the loop exits, `count` contains the result: the total number of `a`’s.

Exercise 3:

Encapsulate this code in a function named `count`, and generalize it so that it accepts the string and the letter as arguments.

## 6.7 The in operator

The word `in` is a boolean operator that takes two strings and returns `True` if the first appears as a substring in the second:

```
>>> 'a' in 'banana'
True
>>> 'seed' in 'banana'
False
```

## 6.8 String comparison

The comparison operators work on strings. To see if two strings are equal:

```
if word == 'banana':
    print('All right, bananas.')
```

Other comparison operations are useful for putting words in alphabetical order:

```
if word < 'banana':
    print('Your word,' + word + ', comes before banana.')
elif word > 'banana':
    print('Your word,' + word + ', comes after banana.')
else:
    print('All right, bananas.')
```

Python does not handle uppercase and lowercase letters the same way that people do. All the uppercase letters come before all the lowercase letters, so:

```
Your word, Pineapple, comes before banana.
```

A common way to address this problem is to convert strings to a standard format, such as all lowercase, before performing the comparison. Keep that in mind in case you have to defend yourself against a man armed with a Pineapple.

## 6.9 string methods

Strings are an example of Python *objects*. An object contains both data (the actual string itself) and *methods*, which are effectively functions that are built into the object and are available to any *instance* of the object.

Python has a function called `dir` which lists the methods available for an object. The `type` function shows the type of an object and the `dir` function shows the available methods.

```

>>> stuff = 'Hello world'
>>> type(stuff)
<class 'str'>
>>> dir(stuff)
['capitalize', 'casefold', 'center', 'count', 'encode',
 'endswith', 'expandtabs', 'find', 'format', 'format_map',
 'index', 'isalnum', 'isalpha', 'isdecimal', 'isdigit',
 'isidentifier', 'islower', 'isnumeric', 'isprintable',
 'isspace', 'istitle', 'isupper', 'join', 'ljust', 'lower',
 'lstrip', 'maketrans', 'partition', 'replace', 'rfind',
 'rindex', 'rjust', 'rpartition', 'rsplit', 'rstrip',
 'split', 'splitlines', 'startswith', 'strip', 'swapcase',
 'title', 'translate', 'upper', 'zfill']
>>> help(str.capitalize)
Help on method_descriptor:

capitalize(...)
    S.capitalize() -> str

    Return a capitalized version of S, i.e. make the first character
    have upper case and the rest lower case.
>>>

```

While the `dir` function lists the methods, and you can use `help` to get some simple documentation on a method, a better source of documentation for string methods would be <https://docs.python.org/3.5/library/stdtypes.html#string-methods>.

Calling a *method* is similar to calling a function (it takes arguments and returns a value) but the syntax is different. We call a method by appending the method name to the variable name using the period as a delimiter.

For example, the method `upper` takes a string and returns a new string with all uppercase letters:

Instead of the function syntax `upper(word)`, it uses the method syntax `word.upper()`.

```

>>> word = 'banana'
>>> new_word = word.upper()
>>> print(new_word)
BANANA

```

This form of dot notation specifies the name of the method, `upper`, and the name of the string to apply the method to, `word`. The empty parentheses indicate that this method takes no argument.

A method call is called an *invocation*; in this case, we would say that we are invoking `upper` on the `word`.

For example, there is a string method named `find` that searches for the position of one string within another:

```
>>> word = 'banana'
>>> index = word.find('a')
>>> print(index)
1
```

In this example, we invoke `find` on `word` and pass the letter we are looking for as a parameter.

The `find` method can find substrings as well as characters:

```
>>> word.find('na')
2
```

It can take as a second argument the index where it should start:

```
>>> word.find('na', 3)
4
```

One common task is to remove white space (spaces, tabs, or newlines) from the beginning and end of a string using the `strip` method:

```
>>> line = ' Here we go '
>>> line.strip()
'Here we go'
```

Some methods such as `startswith` return boolean values.

```
>>> line = 'Have a nice day'
>>> line.startswith('Have')
True
>>> line.startswith('h')
False
```

You will note that `startswith` requires case to match, so sometimes we take a line and map it all to lowercase before we do any checking using the `lower` method.

```
>>> line = 'Have a nice day'
>>> line.startswith('h')
False
>>> line.lower()
'have a nice day'
>>> line.lower().startswith('h')
True
```

In the last example, the method `lower` is called and then we use `startswith` to see if the resulting lowercase string starts with the letter “h”. As long as we are careful with the order, we can make multiple method calls in a single expression.

Exercise 4:

There is a string method called `count` that is similar to the function in the previous exercise. Read the documentation of this method at <https://docs.python.org/3.5/library/stdtypes.html#string-methods> and write an invocation that counts the number of times the letter a occurs in “banana”.

## 6.10 Parsing strings

Often, we want to look into a string and find a substring. For example if we were presented a series of lines formatted as follows:

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
```

and we wanted to pull out only the second half of the address (i.e., `uct.ac.za`) from each line, we can do this by using the `find` method and string slicing.

First, we will find the position of the at-sign in the string. Then we will find the position of the first space *after* the at-sign. And then we will use string slicing to extract the portion of the string which we are looking for.

```
>>> data = 'From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008'
>>> atpos = data.find('@')
>>> print(atpos)
21
>>> spos = data.find(' ',atpos)
>>> print(spos)
31
>>> host = data[atpos+1:spos]
>>> print(host)
uct.ac.za
>>>
```

We use a version of the `find` method which allows us to specify a position in the string where we want `find` to start looking. When we slice, we extract the characters from “one beyond the at-sign through up to *but not including* the space character”.

The documentation for the `find` method is available at

<https://docs.python.org/3.5/library/stdtypes.html#string-methods>.

## 6.11 Format operator

The *format operator*, `%`, allows us to construct strings, replacing parts of the strings with the data stored in variables. When applied to integers, `%` is the modulus operator. But when the first operand is a string, `%` is the format operator.

The first operand is the *format string*, which contains one or more *format sequences* that specify how the second operand is formatted. The result is a string.

For example, the format sequence `“%d”` means that the second operand should be formatted as an integer (`d` stands for “decimal”):

```
>>> camels = 42
>>> '%d' % camels
'42'
```



The result is the string “42”, which is not to be confused with the integer value 42.

A format sequence can appear anywhere in the string, so you can embed a value in a sentence:

```
>>> camels = 42
>>> 'I have spotted %d camels.' % camels
'I have spotted 42 camels.'
```

If there is more than one format sequence in the string, the second argument has to be a tuple<sup>1</sup>. Each format sequence is matched with an element of the tuple, in order.

The following example uses “%d” to format an integer, “%g” to format a floating-point number (don’t ask why), and “%s” to format a string:

```
>>> 'In %d years I have spotted %g %s.' % (3, 0.1, 'camels')
'In 3 years I have spotted 0.1 camels.'
```

The number of elements in the tuple must match the number of format sequences in the string. The types of the elements also must match the format sequences:

```
>>> '%d %d %d' % (1, 2)
TypeError: not enough arguments for format string
>>> '%d' % 'dollars'
TypeError: %d format: a number is required, not str
```

In the first example, there aren’t enough elements; in the second, the element is the wrong type.

The format operator is powerful, but it can be difficult to use. You can read more about it at

<https://docs.python.org/3.5/library/stdtypes.html#printf-style-string-formatting>.

## 6.12 Debugging

A skill that you should cultivate as you program is always asking yourself, “What could go wrong here?” or alternatively, “What crazy thing might our user do to crash our (seemingly) perfect program?”

For example, look at the program which we used to demonstrate the `while` loop in the chapter on iteration:

```
while True:
    line = input('> ')
```

---

<sup>1</sup>A tuple is a sequence of comma-separated values inside a pair of brackets. We will cover tuples in Chapter 10

```

if line[0] == '#':
    continue
if line == 'done':
    break
print(line)
print('Done!')

```

# Code: <http://www.py4e.com/code3/copytildone2.py>

Look what happens when the user enters an empty line of input:

```

> hello there
hello there
> # don't print this
> print this!
print this!
>
Traceback (most recent call last):
  File "copytildone.py", line 3, in <module>
    if line[0] == '#':
IndexError: string index out of range

```

The code works fine until it is presented an empty line. Then there is no zero-th character, so we get a traceback. There are two solutions to this to make line three “safe” even if the line is empty.

One possibility is to simply use the `startswith` method which returns `False` if the string is empty.

```

if line.startswith('#'):

```

Another way is to safely write the `if` statement using the *guardian* pattern and make sure the second logical expression is evaluated only where there is at least one character in the string:

```

if len(line) > 0 and line[0] == '#':

```

## 6.13 Glossary

**counter** A variable used to count something, usually initialized to zero and then incremented.

**empty string** A string with no characters and length 0, represented by two quotation marks.

**format operator** An operator, `%`, that takes a format string and a tuple and generates a string that includes the elements of the tuple formatted as specified by the format string.

- format sequence** A sequence of characters in a format string, like `%d`, that specifies how a value should be formatted.
- format string** A string, used with the format operator, that contains format sequences.
- flag** A boolean variable used to indicate whether a condition is true or false.
- invocation** A statement that calls a method.
- immutable** The property of a sequence whose items cannot be assigned.
- index** An integer value used to select an item in a sequence, such as a character in a string.
- item** One of the values in a sequence.
- method** A function that is associated with an object and called using dot notation.
- object** Something a variable can refer to. For now, you can use “object” and “value” interchangeably.
- search** A pattern of traversal that stops when it finds what it is looking for.
- sequence** An ordered set; that is, a set of values where each value is identified by an integer index.
- slice** A part of a string specified by a range of indices.
- traverse** To iterate through the items in a sequence, performing a similar operation on each.

## 6.14 Exercises

Exercise 5: Take the following Python code that stores a string:

```
str = 'X-DSPAM-Confidence:0.8475'
```

Use `find` and string slicing to extract the portion of the string after the colon character and then use the `float` function to convert the extracted string into a floating point number.

Exercise 6:

Read the documentation of the string methods at

<https://docs.python.org/3.5/library/stdtypes.html#string-methods>

You might want to experiment with some of them to make sure you understand how they work. `strip` and `replace` are particularly useful.

The documentation uses a syntax that might be confusing. For example, in `find(sub[, start[, end]])`, the brackets indicate optional arguments. So `sub` is required, but `start` is optional, and if you include `start`, then `end` is optional.

# Chapter 7

## Files

### 7.1 Persistence

So far, we have learned how to write programs and communicate our intentions to the *Central Processing Unit* using conditional execution, functions, and iterations. We have learned how to create and use data structures in the *Main Memory*. The CPU and memory are where our software works and runs. It is where all of the “thinking” happens.

But if you recall from our hardware architecture discussions, once the power is turned off, anything stored in either the CPU or main memory is erased. So up to now, our programs have just been transient fun exercises to learn Python.

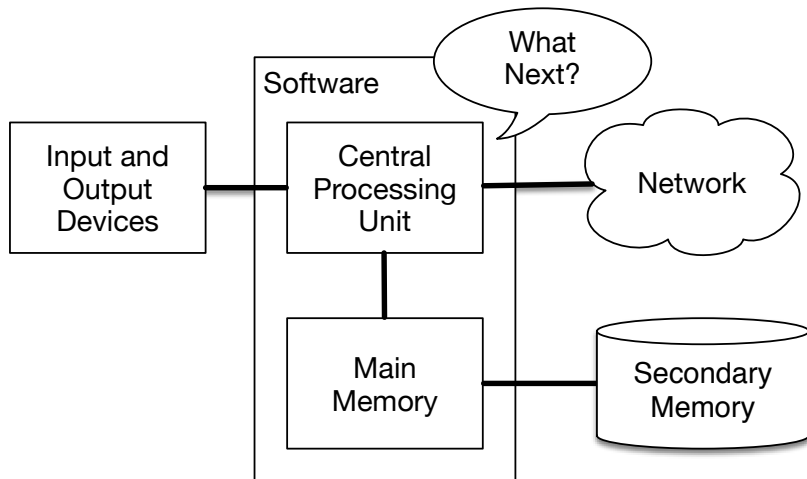


Figure 7.1: Secondary Memory

In this chapter, we start to work with *Secondary Memory* (or files). Secondary memory is not erased when the power is turned off. Or in the case of a USB flash drive, the data we write from our programs can be removed from the system and transported to another system.

We will primarily focus on reading and writing text files such as those we create in a text editor. Later we will see how to work with database files which are binary files, specifically designed to be read and written through database software.

## 7.2 Opening files

When we want to read or write a file (say on your hard drive), we first must *open* the file. Opening the file communicates with your operating system, which knows where the data for each file is stored. When you open a file, you are asking the operating system to find the file by name and make sure the file exists. In this example, we open the file `mbox.txt`, which should be stored in the same folder that you are in when you start Python. You can download this file from [www.py4e.com/code3/mbox.txt](http://www.py4e.com/code3/mbox.txt)

```
>>> fhand = open('mbox.txt')
>>> print(fhand)
<_io.TextIOWrapper name='mbox.txt' mode='r' encoding='cp1252'>
```

If the `open` is successful, the operating system returns us a *file handle*. The file handle is not the actual data contained in the file, but instead it is a “handle” that we can use to read the data. You are given a handle if the requested file exists and you have the proper permissions to read the file.

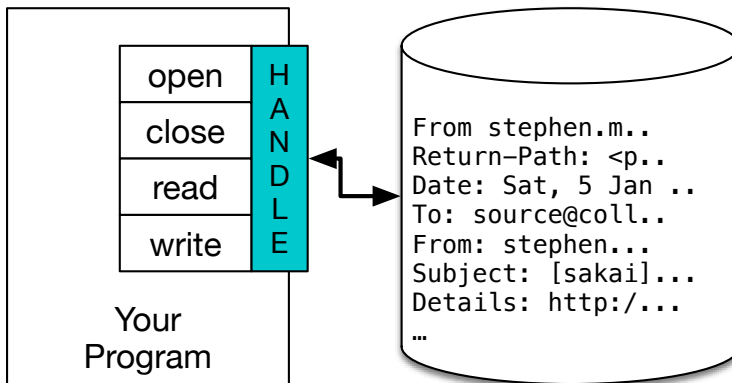


Figure 7.2: A File Handle

If the file does not exist, `open` will fail with a traceback and you will not get a handle to access the contents of the file:

```
>>> fhand = open('stuff.txt')
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
FileNotFoundError: [Errno 2] No such file or directory: 'stuff.txt'
```

Later we will use `try` and `except` to deal more gracefully with the situation where we attempt to open a file that does not exist.

## 7.3 Text files and lines

A text file can be thought of as a sequence of lines, much like a Python string can be thought of as a sequence of characters. For example, this is a sample of a text file which records mail activity from various individuals in an open source project development team:

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
Return-Path: <postmaster@collab.sakaiproject.org>
Date: Sat, 5 Jan 2008 09:12:18 -0500
To: source@collab.sakaiproject.org
From: stephen.marquard@uct.ac.za
Subject: [sakai] svn commit: r39772 - content/branches/
Details: http://source.sakaiproject.org/viewsvn/?view=rev&rev=39772
...
```

The entire file of mail interactions is available from

[www.py4e.com/code3/mbox.txt](http://www.py4e.com/code3/mbox.txt)

and a shortened version of the file is available from

[www.py4e.com/code3/mbox-short.txt](http://www.py4e.com/code3/mbox-short.txt)

These files are in a standard format for a file containing multiple mail messages. The lines which start with “From” separate the messages and the lines which start with “From:” are part of the messages. For more information about the mbox format, see [en.wikipedia.org/wiki/Mbox](http://en.wikipedia.org/wiki/Mbox).

To break the file into lines, there is a special character that represents the “end of the line” called the *newline* character.

In Python, we represent the *newline* character as a backslash-n in string constants. Even though this looks like two characters, it is actually a single character. When we look at the variable by entering “stuff” in the interpreter, it shows us the `\n` in the string, but when we use `print` to show the string, we see the string broken into two lines by the newline character.

```
>>> stuff = 'Hello\nWorld!'
>>> stuff
'Hello\nWorld!'
>>> print(stuff)
Hello
World!
>>> stuff = 'X\nY'
>>> print(stuff)
X
Y
>>> len(stuff)
3
```

You can also see that the length of the string `X\nY` is *three* characters because the newline character is a single character.

So when we look at the lines in a file, we need to *imagine* that there is a special invisible character called the newline at the end of each line that marks the end of the line.

So the newline character separates the characters in the file into lines.

## 7.4 Reading files

While the *file handle* does not contain the data for the file, it is quite easy to construct a **for** loop to read through and count each of the lines in a file:

```
fhand = open('mbox-short.txt')
count = 0
for line in fhand:
    count = count + 1
print('Line Count:', count)

# Code: http://www.py4e.com/code3/open.py
```

We can use the file handle as the sequence in our **for** loop. Our **for** loop simply counts the number of lines in the file and prints them out. The rough translation of the **for** loop into English is, “for each line in the file represented by the file handle, add one to the **count** variable.”

The reason that the **open** function does not read the entire file is that the file might be quite large with many gigabytes of data. The **open** statement takes the same amount of time regardless of the size of the file. The **for** loop actually causes the data to be read from the file.

When the file is read using a **for** loop in this manner, Python takes care of splitting the data in the file into separate lines using the newline character. Python reads each line through the newline and includes the newline as the last character in the **line** variable for each iteration of the **for** loop.

Because the **for** loop reads the data one line at a time, it can efficiently read and count the lines in very large files without running out of main memory to store the data. The above program can count the lines in any size file using very little memory since each line is read, counted, and then discarded.

If you know the file is relatively small compared to the size of your main memory, you can read the whole file into one string using the **read** method on the file handle.

```
>>> fhand = open('mbox-short.txt')
>>> inp = fhand.read()
>>> print(len(inp))
94626
>>> print(inp[:20])
From stephen.marquar
```

In this example, the entire contents (all 94,626 characters) of the file **mbox-short.txt** are read directly into the variable **inp**. We use string slicing to print out the first 20 characters of the string data stored in **inp**.

When the file is read in this manner, all the characters including all of the lines and newline characters are one big string in the variable *inp*. Remember that this



form of the `open` function should only be used if the file data will fit comfortably in the main memory of your computer.

If the file is too large to fit in main memory, you should write your program to read the file in chunks using a `for` or `while` loop.

## 7.5 Searching through a file

When you are searching through data in a file, it is a very common pattern to read through a file, ignoring most of the lines and only processing lines which meet a particular condition. We can combine the pattern for reading a file with string methods to build simple search mechanisms.

For example, if we wanted to read a file and only print out lines which started with the prefix “From:”, we could use the string method *startswith* to select only those lines with the desired prefix:

```
fhand = open('mbox-short.txt')
count = 0
for line in fhand:
    if line.startswith('From:'):
        print(line)

# Code: http://www.py4e.com/code3/search1.py
```

When this program runs, we get the following output:

```
From: stephen.marquard@uct.ac.za

From: louis@media.berkeley.edu

From: zqian@umich.edu

From: rjlowe@iupui.edu
...
```

The output looks great since the only lines we are seeing are those which start with “From:”, but why are we seeing the extra blank lines? This is due to that invisible *newline* character. Each of the lines ends with a newline, so the `print` statement prints the string in the variable *line* which includes a newline and then `print` adds *another* newline, resulting in the double spacing effect we see.

We could use line slicing to print all but the last character, but a simpler approach is to use the *rstrip* method which strips whitespace from the right side of a string as follows:

```
fhand = open('mbox-short.txt')
for line in fhand:
    line = line.rstrip()
    if line.startswith('From:')
```

```
print(line)
```

*# Code: <http://www.py4e.com/code3/search2.py>*

When this program runs, we get the following output:

```
From: stephen.marquard@uct.ac.za
From: louis@media.berkeley.edu
From: zqian@umich.edu
From: rjlowe@iupui.edu
From: zqian@umich.edu
From: rjlowe@iupui.edu
From: cwen@iupui.edu
...
```

As your file processing programs get more complicated, you may want to structure your search loops using `continue`. The basic idea of the search loop is that you are looking for “interesting” lines and effectively skipping “uninteresting” lines. And then when we find an interesting line, we do something with that line.

We can structure the loop to follow the pattern of skipping uninteresting lines as follows:

```
fhand = open('mbox-short.txt')
for line in fhand:
    line = line.rstrip()
    # Skip 'uninteresting lines'
    if not line.startswith('From:'):
        continue
    # Process our 'interesting' line
    print(line)
```

*# Code: <http://www.py4e.com/code3/search3.py>*

The output of the program is the same. In English, the uninteresting lines are those which do not start with “From:”, which we skip using `continue`. For the “interesting” lines (i.e., those that start with “From:”) we perform the processing on those lines.

We can use the `find` string method to simulate a text editor search that finds lines where the search string is anywhere in the line. Since `find` looks for an occurrence of a string within another string and either returns the position of the string or -1 if the string was not found, we can write the following loop to show lines which contain the string “@uct.ac.za” (i.e., they come from the University of Cape Town in South Africa):

```
fhand = open('mbox-short.txt')
for line in fhand:
    line = line.rstrip()
    if line.find('@uct.ac.za') == -1: continue
    print(line)
```

*# Code: <http://www.py4e.com/code3/search4.py>*

Which produces the following output:

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
X-Authentication-Warning: set sender to stephen.marquard@uct.ac.za using -f
From: stephen.marquard@uct.ac.za
Author: stephen.marquard@uct.ac.za
From david.horwitz@uct.ac.za Fri Jan 4 07:02:32 2008
X-Authentication-Warning: set sender to david.horwitz@uct.ac.za using -f
From: david.horwitz@uct.ac.za
Author: david.horwitz@uct.ac.za
...
```

## 7.6 Letting the user choose the file name

We really do not want to have to edit our Python code every time we want to process a different file. It would be more usable to ask the user to enter the file name string each time the program runs so they can use our program on different files without changing the Python code.

This is quite simple to do by reading the file name from the user using `raw_input` as follows:

```
fname = input('Enter the file name: ')
fhand = open(fname)
count = 0
for line in fhand:
    if line.startswith('Subject:'):
        count = count + 1
print('There were', count, 'subject lines in', fname)

# Code: http://www.py4e.com/code3/search6.py
```

We read the file name from the user and place it in a variable named `fname` and open that file. Now we can run the program repeatedly on different files.

```
python search6.py
Enter the file name: mbox.txt
There were 1797 subject lines in mbox.txt

python search6.py
Enter the file name: mbox-short.txt
There were 27 subject lines in mbox-short.txt
```

Before peeking at the next section, take a look at the above program and ask yourself, “What could go possibly wrong here?” or “What might our friendly user do that would cause our nice little program to ungracefully exit with a traceback, making us look not-so-cool in the eyes of our users?”

## 7.7 Using try, except, and open

I told you not to peek. This is your last chance.

What if our user types something that is not a file name?

```
python search6.py
Enter the file name: missing.txt
Traceback (most recent call last):
  File "search6.py", line 2, in <module>
    fhand = open(fname)
FileNotFoundError: [Errno 2] No such file or directory: 'missing.txt'
```

```
python search6.py
Enter the file name: na na boo boo
Traceback (most recent call last):
  File "search6.py", line 2, in <module>
    fhand = open(fname)
FileNotFoundError: [Errno 2] No such file or directory: 'na na boo boo'
```

Do not laugh. Users will eventually do every possible thing they can do to break your programs, either on purpose or with malicious intent. As a matter of fact, an important part of any software development team is a person or group called *Quality Assurance* (or QA for short) whose very job it is to do the craziest things possible in an attempt to break the software that the programmer has created.

The QA team is responsible for finding the flaws in programs before we have delivered the program to the end users who may be purchasing the software or paying our salary to write the software. So the QA team is the programmer's best friend.

So now that we see the flaw in the program, we can elegantly fix it using the `try/except` structure. We need to assume that the `open` call might fail and add recovery code when the `open` fails as follows:

```
fname = input('Enter the file name: ')
try:
    fhand = open(fname)
except:
    print('File cannot be opened:', fname)
    exit()
count = 0
for line in fhand:
    if line.startswith('Subject:'):
        count = count + 1
print('There were', count, 'subject lines in', fname)

# Code: http://www.py4e.com/code3/search7.py
```

The `exit` function terminates the program. It is a function that we call that never returns. Now when our user (or QA team) types in silliness or bad file names, we “catch” them and recover gracefully:

```
python search7.py
Enter the file name: mbox.txt
There were 1797 subject lines in mbox.txt
```

```
python search7.py
Enter the file name: na na boo boo
File cannot be opened: na na boo boo
```

Protecting the `open` call is a good example of the proper use of `try` and `except` in a Python program. We use the term “Pythonic” when we are doing something the “Python way”. We might say that the above example is the Pythonic way to open a file.

Once you become more skilled in Python, you can engage in repartee with other Python programmers to decide which of two equivalent solutions to a problem is “more Pythonic”. The goal to be “more Pythonic” captures the notion that programming is part engineering and part art. We are not always interested in just making something work, we also want our solution to be elegant and to be appreciated as elegant by our peers.

## 7.8 Writing files

To write a file, you have to open it with mode “w” as a second parameter:

```
>>> fout = open('output.txt', 'w')
>>> print(fout)
<_io.TextIOWrapper name='output.txt' mode='w' encoding='cp1252'>
```

If the file already exists, opening it in write mode clears out the old data and starts fresh, so be careful! If the file doesn’t exist, a new one is created.

The `write` method of the file handle object puts data into the file, returning the number of characters written. The default write mode is text for writing (and reading) strings.

```
>>> line1 = "This here's the wattle,\n"
>>> fout.write(line1)
24
```

Again, the file object keeps track of where it is, so if you call `write` again, it adds the new data to the end.

We must make sure to manage the ends of lines as we write to the file by explicitly inserting the newline character when we want to end a line. The `print` statement automatically appends a newline, but the `write` method does not add the newline automatically.

```
>>> line2 = 'the emblem of our land.\n'
>>> fout.write(line2)
24
```

When you are done writing, you have to close the file to make sure that the last bit of data is physically written to the disk so it will not be lost if the power goes off.

```
>>> fout.close()
```

We could close the files which we open for read as well, but we can be a little sloppy if we are only opening a few files since Python makes sure that all open files are closed when the program ends. When we are writing files, we want to explicitly close the files so as to leave nothing to chance.

## 7.9 Debugging

When you are reading and writing files, you might run into problems with whitespace. These errors can be hard to debug because spaces, tabs, and newlines are normally invisible:

```
>>> s = '1 2\t 3\n 4'
>>> print(s)
1 2 3
 4
```

The built-in function `repr` can help. It takes any object as an argument and returns a string representation of the object. For strings, it represents whitespace characters with backslash sequences:

```
>>> print(repr(s))
'1 2\t 3\n 4'
```

This can be helpful for debugging.

One other problem you might run into is that different systems use different characters to indicate the end of a line. Some systems use a newline, represented `\n`. Others use a return character, represented `\r`. Some use both. If you move files between different systems, these inconsistencies might cause problems.

For most systems, there are applications to convert from one format to another. You can find them (and read more about this issue) at [wikipedia.org/wiki/Newline](http://wikipedia.org/wiki/Newline). Or, of course, you could write one yourself.

## 7.10 Glossary

**catch** To prevent an exception from terminating a program using the `try` and `except` statements.

**newline** A special character used in files and strings to indicate the end of a line.

**Pythonic** A technique that works elegantly in Python. “Using try and except is the *Pythonic* way to recover from missing files”.

**Quality Assurance** A person or team focused on insuring the overall quality of a software product. QA is often involved in testing a product and identifying problems before the product is released.

**text file** A sequence of characters stored in permanent storage like a hard drive.

## 7.11 Exercises

Exercise 1: Write a program to read through a file and print the contents of the file (line by line) all in upper case. Executing the program will look as follows:

```
python shout.py
Enter a file name: mbox-short.txt
FROM STEPHEN.MARQUARD@UCT.AC.ZA SAT JAN  5 09:14:16 2008
RETURN-PATH: <POSTMASTER@COLLAB.SAKAIPROJECT.ORG>
RECEIVED: FROM MURDER (MAIL.UMICH.EDU [141.211.14.90])
          BY FRANKENSTEIN.MAIL.UMICH.EDU (CYRUS V2.3.8) WITH LMTPA;
          SAT, 05 JAN 2008 09:14:16 -0500
```

You can download the file from

[www.py4e.com/code3/mbox-short.txt](http://www.py4e.com/code3/mbox-short.txt)

Exercise 2: Write a program to prompt for a file name, and then read through the file and look for lines of the form:

X-DSPAM-Confidence:0.8475

When you encounter a line that starts with “X-DSPAM-Confidence:” pull apart the line to extract the floating-point number on the line. Count these lines and then compute the total of the spam confidence values from these lines. When you reach the end of the file, print out the average spam confidence.

```
Enter the file name: mbox.txt
Average spam confidence: 0.894128046745
```

```
Enter the file name: mbox-short.txt
Average spam confidence: 0.750718518519
```

Test your file on the `mbox.txt` and `mbox-short.txt` files.

Exercise 3: Sometimes when programmers get bored or want to have a bit of fun, they add a harmless *Easter Egg* to their program. Modify the program that prompts the user for the file name so that it prints a funny message when the user types in the exact file name “na na boo boo”. The program should behave normally for all other files which exist and don’t exist. Here is a sample execution of the program:

```
python egg.py
Enter the file name: mbox.txt
There were 1797 subject lines in mbox.txt
```

```
python egg.py
Enter the file name: missing.tyxt
File cannot be opened: missing.tyxt
```

```
python egg.py
Enter the file name: na na boo boo
NA NA BOO BOO TO YOU - You have been punk'd!
```

We are not encouraging you to put Easter Eggs in your programs; this is just an exercise.



# Chapter 8

## Lists

### 8.1 A list is a sequence

Like a string, a *list* is a sequence of values. In a string, the values are characters; in a list, they can be any type. The values in list are called *elements* or sometimes *items*.

There are several ways to create a new list; the simplest is to enclose the elements in square brackets ([ and ]):

```
~ {python} [10, 20, 30, 40][‘crunchy frog’, ‘ram bladder’, ‘lark vomit’] ~  
{python}
```

The first example is a list of four integers. The second is a list of three strings. The elements of a list don’t have to be the same type. The following list contains a string, a float, an integer, and (lo!) another list:

```
['spam', 2.0, 5, [10, 20]]
```

A list within another list is *nested*.

A list that contains no elements is called an empty list; you can create one with empty brackets, [].

As you might expect, you can assign list values to variables:

```
>>> cheeses = ['Cheddar', 'Edam', 'Gouda']  
>>> numbers = [17, 123]  
>>> empty = []  
>>> print(cheeses, numbers, empty)  
['Cheddar', 'Edam', 'Gouda'] [17, 123] []
```

## 8.2 Lists are mutable

The syntax for accessing the elements of a list is the same as for accessing the characters of a string: the bracket operator. The expression inside the brackets specifies the index. Remember that the indices start at 0:

```
>>> print(cheeses[0])
Cheddar
```

Unlike strings, lists are mutable because you can change the order of items in a list or reassign an item in a list. When the bracket operator appears on the left side of an assignment, it identifies the element of the list that will be assigned.

```
>>> numbers = [17, 123]
>>> numbers[1] = 5
>>> print(numbers)
[17, 5]
```

The one-eth element of `numbers`, which used to be 123, is now 5.

You can think of a list as a relationship between indices and elements. This relationship is called a *mapping*; each index “maps to” one of the elements.

List indices work the same way as string indices:

- Any integer expression can be used as an index.
- If you try to read or write an element that does not exist, you get an `IndexError`.
- If an index has a negative value, it counts backward from the end of the list.

The `in` operator also works on lists.

```
>>> cheeses = ['Cheddar', 'Edam', 'Gouda']
>>> 'Edam' in cheeses
True
>>> 'Brie' in cheeses
False
```

## 8.3 Traversing a list

The most common way to traverse the elements of a list is with a `for` loop. The syntax is the same as for strings:

```
for cheese in cheeses:
    print(cheese)
```

This works well if you only need to read the elements of the list. But if you want to write or update the elements, you need the indices. A common way to do that is to combine the functions `range` and `len`:

```
for i in range(len(numbers)):
    numbers[i] = numbers[i] * 2
```

This loop traverses the list and updates each element. `len` returns the number of elements in the list. `range` returns a list of indices from 0 to  $n - 1$ , where  $n$  is the length of the list. Each time through the loop, `i` gets the index of the next element. The assignment statement in the body uses `i` to read the old value of the element and to assign the new value.

A `for` loop over an empty list never executes the body:

```
for x in empty:
    print('This never happens.')
```

Although a list can contain another list, the nested list still counts as a single element. The length of this list is four:

```
['spam', 1, ['Brie', 'Roquefort', 'Pol le Veq'], [1, 2, 3]]
```

## 8.4 List operations

The `+` operator concatenates lists:

```
>>> a = [1, 2, 3]
>>> b = [4, 5, 6]
>>> c = a + b
>>> print(c)
[1, 2, 3, 4, 5, 6]
```

Similarly, the operator repeats a list a given number of times:

```
>>> [0] * 4
[0, 0, 0, 0]
>>> [1, 2, 3] * 3
[1, 2, 3, 1, 2, 3, 1, 2, 3]
```

The first example repeats four times. The second example repeats the list three times.

## 8.5 List slices

The slice operator also works on lists:

```
>>> t = ['a', 'b', 'c', 'd', 'e', 'f']
>>> t[1:3]
['b', 'c']
>>> t[:4]
['a', 'b', 'c', 'd']
>>> t[3:]
['d', 'e', 'f']
```

If you omit the first index, the slice starts at the beginning. If you omit the second, the slice goes to the end. So if you omit both, the slice is a copy of the whole list.

```
>>> t[:]
['a', 'b', 'c', 'd', 'e', 'f']
```

Since lists are mutable, it is often useful to make a copy before performing operations that fold, spindle, or mutilate lists.

A slice operator on the left side of an assignment can update multiple elements:

```
>>> t = ['a', 'b', 'c', 'd', 'e', 'f']
>>> t[1:3] = ['x', 'y']
>>> print(t)
['a', 'x', 'y', 'd', 'e', 'f']
```

## 8.6 List methods

Python provides methods that operate on lists. For example, `append` adds a new element to the end of a list:

```
>>> t = ['a', 'b', 'c']
>>> t.append('d')
>>> print(t)
['a', 'b', 'c', 'd']
```

`extend` takes a list as an argument and appends all of the elements:

```
>>> t1 = ['a', 'b', 'c']
>>> t2 = ['d', 'e']
>>> t1.extend(t2)
>>> print(t1)
['a', 'b', 'c', 'd', 'e']
```

This example leaves `t2` unmodified.

`sort` arranges the elements of the list from low to high:

```
>>> t = ['d', 'c', 'e', 'b', 'a']
>>> t.sort()
>>> print(t)
['a', 'b', 'c', 'd', 'e']
```

Most list methods are void; they modify the list and return `None`. If you accidentally write `t = t.sort()`, you will be disappointed with the result.

## 8.7 Deleting elements

There are several ways to delete elements from a list. If you know the index of the element you want, you can use `pop`:

```
>>> t = ['a', 'b', 'c']
>>> x = t.pop(1)
>>> print(t)
['a', 'c']
>>> print(x)
b
```

`pop` modifies the list and returns the element that was removed. If you don't provide an index, it deletes and returns the last element.

If you don't need the removed value, you can use the `del` operator:

```
>>> t = ['a', 'b', 'c']
>>> del t[1]
>>> print(t)
['a', 'c']
```

If you know the element you want to remove (but not the index), you can use `remove`:

```
>>> t = ['a', 'b', 'c']
>>> t.remove('b')
>>> print(t)
['a', 'c']
```

The return value from `remove` is `None`.

To remove more than one element, you can use `del` with a slice index:

```
>>> t = ['a', 'b', 'c', 'd', 'e', 'f']
>>> del t[1:5]
>>> print(t)
['a', 'f']
```

As usual, the slice selects all the elements up to, but not including, the second index.

## 8.8 Lists and functions

There are a number of built-in functions that can be used on lists that allow you to quickly look through a list without writing your own loops:

```
>>> nums = [3, 41, 12, 9, 74, 15]
>>> print(len(nums))
6
>>> print(max(nums))
74
>>> print(min(nums))
3
>>> print(sum(nums))
154
>>> print(sum(nums)/len(nums))
25
```

The `sum()` function only works when the list elements are numbers. The other functions (`max()`, `len()`, etc.) work with lists of strings and other types that can be comparable.

We could rewrite an earlier program that computed the average of a list of numbers entered by the user using a list.

First, the program to compute an average without a list:

```
total = 0
count = 0
while (True):
    inp = input('Enter a number: ')
    if inp == 'done': break
    value = float(inp)
    total = total + value
    count = count + 1

average = total / count
print('Average:', average)
```

*# Code: <http://www.py4e.com/code3/avenum.py>*

In this program, we have `count` and `total` variables to keep the number and running total of the user's numbers as we repeatedly prompt the user for a number.

We could simply remember each number as the user entered it and use built-in functions to compute the sum and count at the end.

```
numlist = list()
while (True):
    inp = input('Enter a number: ')
    if inp == 'done': break
    value = float(inp)
```

```
numlist.append(value)

average = sum(numlist) / len(numlist)
print('Average:', average)

# Code: http://www.py4e.com/code3/avelist.py
```

We make an empty list before the loop starts, and then each time we have a number, we append it to the list. At the end of the program, we simply compute the sum of the numbers in the list and divide it by the count of the numbers in the list to come up with the average.

## 8.9 Lists and strings

A string is a sequence of characters and a list is a sequence of values, but a list of characters is not the same as a string. To convert from a string to a list of characters, you can use `list`:

```
>>> s = 'spam'
>>> t = list(s)
>>> print(t)
['s', 'p', 'a', 'm']
```

Because `list` is the name of a built-in function, you should avoid using it as a variable name. I also avoid the letter `l` because it looks too much like the number 1. So that's why I use `t`.

The `list` function breaks a string into individual letters. If you want to break a string into words, you can use the `split` method:

```
>>> s = 'pining for the fjords'
>>> t = s.split()
>>> print(t)
['pining', 'for', 'the', 'fjords']
>>> print(t[2])
the
```

Once you have used `split` to break the string into a list of words, you can use the index operator (square bracket) to look at a particular word in the list.

You can call `split` with an optional argument called a *delimiter* that specifies which characters to use as word boundaries. The following example uses a hyphen as a delimiter:

```
>>> s = 'spam-spam-spam'
>>> delimiter = '-'
>>> s.split(delimiter)
['spam', 'spam', 'spam']
```

`join` is the inverse of `split`. It takes a list of strings and concatenates the elements. `join` is a string method, so you have to invoke it on the delimiter and pass the list as a parameter:

```
>>> t = ['pining', 'for', 'the', 'fjords']
>>> delimiter = ' '
>>> delimiter.join(t)
'pining for the fjords'
```

In this case the delimiter is a space character, so `join` puts a space between words. To concatenate strings without spaces, you can use the empty string, `""`, as a delimiter.

## 8.10 Parsing lines

Usually when we are reading a file we want to do something to the lines other than just printing the whole line. Often we want to find the “interesting lines” and then *parse* the line to find some interesting *part* of the line. What if we wanted to print out the day of the week from those lines that start with “From”?

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
```

The `split` method is very effective when faced with this kind of problem. We can write a small program that looks for lines where the line starts with “From”, `split` those lines, and then print out the third word in the line:

```
fhand = open('mbox-short.txt')
for line in fhand:
    line = line.rstrip()
    if not line.startswith('From '): continue
    words = line.split()
    print(words[2])

# Code: http://www.py4e.com/code3/search5.py
```

Here we also use the contracted form of the `if` statement where we put the `continue` on the same line as the `if`. This contracted form of the `if` functions the same as if the `continue` were on the next line and indented.

The program produces the following output:

```
Sat
Fri
Fri
Fri
...
```

Later, we will learn increasingly sophisticated techniques for picking the lines to work on and how we pull those lines apart to find the exact bit of information we are looking for.



## 8.11 Objects and values

If we execute these assignment statements:

```
a = 'banana'
b = 'banana'
```

we know that `a` and `b` both refer to a string, but we don't know whether they refer to the *same* string. There are two possible states:



Figure 8.1: Variables and Objects

In one case, `a` and `b` refer to two different objects that have the same value. In the second case, they refer to the same object.

To check whether two variables refer to the same object, you can use the `is` operator.

```
>>> a = 'banana'
>>> b = 'banana'
>>> a is b
True
```

In this example, Python only created one string object, and both `a` and `b` refer to it.

But when you create two lists, you get two objects:

```
>>> a = [1, 2, 3]
>>> b = [1, 2, 3]
>>> a is b
False
```

In this case we would say that the two lists are *equivalent*, because they have the same elements, but not *identical*, because they are not the same object. If two objects are identical, they are also equivalent, but if they are equivalent, they are not necessarily identical.

Until now, we have been using “object” and “value” interchangeably, but it is more precise to say that an object has a value. If you execute `a = [1, 2, 3]`, `a` refers to a list object whose value is a particular sequence of elements. If another list has the same elements, we would say it has the same value.

## 8.12 Aliasing

If `a` refers to an object and you assign `b = a`, then both variables refer to the same object:

```
>>> a = [1, 2, 3]
>>> b = a
>>> b is a
True
```

The association of a variable with an object is called a *reference*. In this example, there are two references to the same object.

An object with more than one reference has more than one name, so we say that the object is *aliased*.

If the aliased object is mutable, changes made with one alias affect the other:

```
>>> b[0] = 17
>>> print(a)
[17, 2, 3]
```

Although this behavior can be useful, it is error-prone. In general, it is safer to avoid aliasing when you are working with mutable objects.

For immutable objects like strings, aliasing is not as much of a problem. In this example:

```
a = 'banana'
b = 'banana'
```

it almost never makes a difference whether `a` and `b` refer to the same string or not.

## 8.13 List arguments

When you pass a list to a function, the function gets a reference to the list. If the function modifies a list parameter, the caller sees the change. For example, `delete_head` removes the first element from a list:

```
def delete_head(t):
    del t[0]
```

Here's how it is used:

```
>>> letters = ['a', 'b', 'c']
>>> delete_head(letters)
>>> print(letters)
['b', 'c']
```

The parameter `t` and the variable `letters` are aliases for the same object.

It is important to distinguish between operations that modify lists and operations that create new lists. For example, the `append` method modifies a list, but the `+` operator creates a new list:

```
>>> t1 = [1, 2]
>>> t2 = t1.append(3)
>>> print(t1)
[1, 2, 3]
>>> print(t2)
None

>>> t3 = t1 + [3]
>>> print(t3)
[1, 2, 3]
>>> t2 is t3
False
```

This difference is important when you write functions that are supposed to modify lists. For example, this function *does not* delete the head of a list:

```
def bad_delete_head(t):
    t = t[1:]                # WRONG!
```

The slice operator creates a new list and the assignment makes `t` refer to it, but none of that has any effect on the list that was passed as an argument.

An alternative is to write a function that creates and returns a new list. For example, `tail` returns all but the first element of a list:

```
def tail(t):
    return t[1:]
```

This function leaves the original list unmodified. Here's how it is used:

```
>>> letters = ['a', 'b', 'c']
>>> rest = tail(letters)
>>> print(rest)
['b', 'c']
```

Exercise 1:

Write a function called `chop` that takes a list and modifies it, removing the first and last elements, and returns `None`.

Then write a function called `middle` that takes a list and returns a new list that contains all but the first and last elements.

## 8.14 Debugging

Careless use of lists (and other mutable objects) can lead to long hours of debugging. Here are some common pitfalls and ways to avoid them:

1. Don't forget that most list methods modify the argument and return `None`. This is the opposite of the string methods, which return a new string and leave the original alone.

If you are used to writing string code like this:

```
word = word.strip()
```

It is tempting to write list code like this: `~ {python} t = t.sort() # WRONG! ~`

Because `sort` returns `None`, the next operation you perform with `t` is likely to fail.

Before using list methods and operators, you should read the documentation carefully and then test them in interactive mode. The methods and operators that lists share with other sequences (like strings) are documented at <https://docs.python.org/2/library/stdtypes.html#string-methods>. The methods and operators that only apply to mutable sequences are documented at <https://docs.python.org/2/library/stdtypes.html#mutable-sequence-types>.

2. Pick an idiom and stick with it.

Part of the problem with lists is that there are too many ways to do things. For example, to remove an element from a list, you can use `pop`, `remove`, `del`, or even a slice assignment.

To add an element, you can use the `append` method or the `+` operator. But don't forget that these are right:

```
t.append(x)
t = t + [x]
```

And these are wrong:

```
t.append([x])      # WRONG!
t = t.append(x)     # WRONG!
t + [x]            # WRONG!
t = t + x           # WRONG!
```

Try out each of these examples in interactive mode to make sure you understand what they do. Notice that only the last one causes a runtime error; the other three are legal, but they do the wrong thing.

3. Make copies to avoid aliasing.

If you want to use a method like `sort` that modifies the argument, but you need to keep the original list as well, you can make a copy.

```
orig = t[:]
t.sort()
```

In this example you could also use the built-in function `sorted`, which returns a new, sorted list and leaves the original alone. But in that case you should avoid using `sorted` as a variable name!

#### 4. Lists, `split`, and files

When we read and parse files, there are many opportunities to encounter input that can crash our program so it is a good idea to revisit the *guardian* pattern when it comes writing programs that read through a file and look for a “needle in the haystack”.

Let’s revisit our program that is looking for the day of the week on the from lines of our file:

```
From stephen.marquard@uct.ac.zaSatJan 5 09:14:16 2008
```

Since we are breaking this line into words, we could dispense with the use of `startswith` and simply look at the first word of the line to determine if we are interested in the line at all. We can use `continue` to skip lines that don’t have “From” as the first word as follows:

```
fhand = open('mbox-short.txt')
for line in fhand:
    words = line.split()
    if words[0] != 'From' : continue
    print(words[2])
```

This looks much simpler and we don’t even need to do the `rstrip` to remove the newline at the end of the file. But is it better?

```
python search8.py
Sat
Traceback (most recent call last):
  File "search8.py", line 5, in <module>
    if words[0] != 'From' : continue
IndexError: list index out of range
```

It kind of works and we see the day from the first line (Sat), but then the program fails with a traceback error. What went wrong? What messed-up data caused our elegant, clever, and very Pythonic program to fail?

You could stare at it for a long time and puzzle through it or ask someone for help, but the quicker and smarter approach is to add a `print` statement. The best place to add the print statement is right before the line where the program failed and print out the data that seems to be causing the failure.

Now this approach may generate a lot of lines of output, but at least you will immediately have some clue as to the problem at hand. So we add a print of the variable `words` right before line five. We even add a prefix “Debug:” to the line so we can keep our regular output separate from our debug output.

```
for line in fhand:
    words = line.split()
    print('Debug:', words)
    if words[0] != 'From' : continue
    print(words[2])
```

When we run the program, a lot of output scrolls off the screen but at the end, we see our debug output and the traceback so we know what happened just before the traceback.

```

Debug: ['X-DSPAM-Confidence:', '0.8475']
Debug: ['X-DSPAM-Probability:', '0.0000']
Debug: []
Traceback (most recent call last):
  File "search9.py", line 6, in <module>
    if words[0] != 'From' : continue
IndexError: list index out of range

```

Each debug line is printing the list of words which we get when we `split` the line into words. When the program fails, the list of words is empty `[]`. If we open the file in a text editor and look at the file, at that point it looks as follows:

```

X-DSPAM-Result: Innocent
X-DSPAM-Processed: Sat Jan  5 09:14:16 2008
X-DSPAM-Confidence: 0.8475
X-DSPAM-Probability: 0.0000

Details: http://source.sakaiproject.org/viewsvn/?view=rev&rev=39772

```

The error occurs when our program encounters a blank line! Of course there are “zero words” on a blank line. Why didn’t we think of that when we were writing the code? When the code looks for the first word (`word[0]`) to check to see if it matches “From”, we get an “index out of range” error.

This of course is the perfect place to add some *guardian* code to avoid checking the first word if the first word is not there. There are many ways to protect this code; we will choose to check the number of words we have before we look at the first word:

```

fhand = open('mbox-short.txt')
count = 0
for line in fhand:
    words = line.split()
    # print 'Debug:', words
    if len(words) == 0 : continue
    if words[0] != 'From' : continue
    print(words[2])

```

First we commented out the debug print statement instead of removing it, in case our modification fails and we need to debug again. Then we added a guardian statement that checks to see if we have zero words, and if so, we use `continue` to skip to the next line in the file.

We can think of the two `continue` statements as helping us refine the set of lines which are “interesting” to us and which we want to process some more. A line which has no words is “uninteresting” to us so we skip to the next line. A line which does not have “From” as its first word is uninteresting to us so we skip it.

The program as modified runs successfully, so perhaps it is correct. Our guardian statement does make sure that the `words[0]` will never fail, but perhaps it is not enough. When we are programming, we must always be thinking, “What might go wrong?”

Exercise 2: Figure out which line of the above program is still not properly guarded. See if you can construct a text file which causes the program to fail and then modify the program so that the line is properly guarded and test it to make sure it handles your new text file.

Exercise 3: Rewrite the guardian code in the above example without two `if` statements. Instead, use a compound logical expression using the `and` logical operator with a single `if` statement.

## 8.15 Glossary

**aliasing** A circumstance where two or more variables refer to the same object.

**delimiter** A character or string used to indicate where a string should be split.

**element** One of the values in a list (or other sequence); also called items.

**equivalent** Having the same value.

**index** An integer value that indicates an element in a list.

**identical** Being the same object (which implies equivalence).

**list** A sequence of values.

**list traversal** The sequential accessing of each element in a list.

**nested list** A list that is an element of another list.

**object** Something a variable can refer to. An object has a type and a value.

**reference** The association between a variable and its value.

## 8.16 Exercises

Exercise 4: Download a copy of the file from [www.py4e.com/code3/romeo.txt](http://www.py4e.com/code3/romeo.txt)

Write a program to open the file `romeo.txt` and read it line by line. For each line, split the line into a list of words using the `split` function.

For each word, check to see if the word is already in a list. If the word is not in the list, add it to the list.

When the program completes, sort and print the resulting words in alphabetical order.

```
Enter file: romeo.txt
['Arise', 'But', 'It', 'Juliet', 'Who', 'already',
'and', 'breaks', 'east', 'envious', 'fair', 'grief',
'is', 'kill', 'light', 'moon', 'pale', 'sick', 'soft',
'sun', 'the', 'through', 'what', 'window',
'with', 'yonder']
```

**Exercise 5:** Write a program to read through the mail box data and when you find line that starts with “From”, you will split the line into words using the `split` function. We are interested in who sent the message, which is the second word on the From line.

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
```

You will parse the From line and print out the second word for each From line, then you will also count the number of From (not From:) lines and print out a count at the end.

This is a good sample output with a few lines removed:

```
python fromcount.py
Enter a file name: mbox-short.txt
stephen.marquard@uct.ac.za
louis@media.berkeley.edu
zqian@umich.edu

[...some output removed...]

ray@media.berkeley.edu
cwen@iupui.edu
cwen@iupui.edu
cwen@iupui.edu
There were 27 lines in the file with From as the first word
```

**Exercise 6:** Rewrite the program that prompts the user for a list of numbers and prints out the maximum and minimum of the numbers at the end when the user enters “done”. Write the program to store the numbers the user enters in a list and use the `max()` and `min()` functions to compute the maximum and minimum numbers after the loop completes.



```
Enter a number: 6
Enter a number: 2
Enter a number: 9
Enter a number: 3
Enter a number: 5
Enter a number: done
Maximum: 9.0
Minimum: 2.0
```



## Chapter 9

# Dictionaries

A *dictionary* is like a list, but more general. In a list, the index positions have to be integers; in a dictionary, the indices can be (almost) any type.

You can think of a dictionary as a mapping between a set of indices (which are called *keys*) and a set of values. Each key maps to a value. The association of a key and a value is called a *key-value pair* or sometimes an *item*.

As an example, we'll build a dictionary that maps from English to Spanish words, so the keys and the values are all strings.

The function `dict` creates a new dictionary with no items. Because `dict` is the name of a built-in function, you should avoid using it as a variable name.

```
>>> eng2sp = dict()
>>> print(eng2sp)
{}
```

The curly brackets, {}, represent an empty dictionary. To add items to the dictionary, you can use square brackets:

```
>>> eng2sp['one'] = 'uno'
```

This line creates an item that maps from the key 'one' to the value "uno". If we print the dictionary again, we see a key-value pair with a colon between the key and value:

```
>>> print(eng2sp)
{'one': 'uno'}
```

This output format is also an input format. For example, you can create a new dictionary with three items. But if you print `eng2sp`, you might be surprised:

```
>>> eng2sp = {'one': 'uno', 'two': 'dos', 'three': 'tres'}
>>> print(eng2sp)
{'one': 'uno', 'three': 'tres', 'two': 'dos'}
```

The order of the key-value pairs is not the same. In fact, if you type the same example on your computer, you might get a different result. In general, the order of items in a dictionary is unpredictable.

But that's not a problem because the elements of a dictionary are never indexed with integer indices. Instead, you use the keys to look up the corresponding values:

```
>>> print(eng2sp['two'])  
'dos'
```

The key 'two' always maps to the value "dos" so the order of the items doesn't matter.

If the key isn't in the dictionary, you get an exception:

```
>>> print(eng2sp['four'])  
KeyError: 'four'
```

The `len` function works on dictionaries; it returns the number of key-value pairs:

```
>>> len(eng2sp)  
3
```

The `in` operator works on dictionaries; it tells you whether something appears as a *key* in the dictionary (appearing as a value is not good enough).

```
>>> 'one' in eng2sp  
True  
>>> 'uno' in eng2sp  
False
```

To see whether something appears as a value in a dictionary, you can use the method `values`, which returns the values as a list, and then use the `in` operator:

```
>>> vals = list(eng2sp.values())  
>>> 'uno' in vals  
True
```

The `in` operator uses different algorithms for lists and dictionaries. For lists, it uses a linear search algorithm. As the list gets longer, the search time gets longer in direct proportion to the length of the list. For dictionaries, Python uses an algorithm called a *hash table* that has a remarkable property: the `in` operator takes about the same amount of time no matter how many items there are in a dictionary. I won't explain why hash functions are so magical, but you can read more about it at [wikipedia.org/wiki/Hash\\_table](http://wikipedia.org/wiki/Hash_table).

Exercise 1: [wordlist2]

Write a program that reads the words in `words.txt` and stores them as keys in a dictionary. It doesn't matter what the values are. Then you can use the `in` operator as a fast way to check whether a string is in the dictionary.

## 9.1 Dictionary as a set of counters

Suppose you are given a string and you want to count how many times each letter appears. There are several ways you could do it:

1. You could create 26 variables, one for each letter of the alphabet. Then you could traverse the string and, for each character, increment the corresponding counter, probably using a chained conditional.
2. You could create a list with 26 elements. Then you could convert each character to a number (using the built-in function `ord`), use the number as an index into the list, and increment the appropriate counter.
3. You could create a dictionary with characters as keys and counters as the corresponding values. The first time you see a character, you would add an item to the dictionary. After that you would increment the value of an existing item.

Each of these options performs the same computation, but each of them implements that computation in a different way.

An *implementation* is a way of performing a computation; some implementations are better than others. For example, an advantage of the dictionary implementation is that we don't have to know ahead of time which letters appear in the string and we only have to make room for the letters that do appear.

Here is what the code might look like:

```
word = 'brontosaurus'
d = dict()
for c in word:
    if c not in d:
        d[c] = 1
    else:
        d[c] = d[c] + 1
print(d)
```

We are effectively computing a *histogram*, which is a statistical term for a set of counters (or frequencies).

The `for` loop traverses the string. Each time through the loop, if the character `c` is not in the dictionary, we create a new item with key `c` and the initial value 1 (since we have seen this letter once). If `c` is already in the dictionary we increment `d[c]`.

Here's the output of the program:

```
{'a': 1, 'b': 1, 'o': 2, 'n': 1, 's': 2, 'r': 2, 'u': 2, 't': 1}
```

The histogram indicates that the letters 'a' and "b" appear once; "o" appears twice, and so on.

Dictionaries have a method called `get` that takes a key and a default value. If the key appears in the dictionary, `get` returns the corresponding value; otherwise it returns the default value. For example:

```
>>> counts = { 'chuck' : 1 , 'annie' : 42, 'jan': 100}
>>> print(counts.get('jan', 0))
100
>>> print(counts.get('tim', 0))
0
```

We can use `get` to write our histogram loop more concisely. Because the `get` method automatically handles the case where a key is not in a dictionary, we can reduce four lines down to one and eliminate the `if` statement.

```
word = 'brontosaurus'
d = dict()
for c in word:
    d[c] = d.get(c,0) + 1
print(d)
```

The use of the `get` method to simplify this counting loop ends up being a very commonly used “idiom” in Python and we will use it many times in the rest of the book. So you should take a moment and compare the loop using the `if` statement and `in` operator with the loop using the `get` method. They do exactly the same thing, but one is more succinct.

## 9.2 Dictionaries and files

One of the common uses of a dictionary is to count the occurrence of words in a file with some written text. Let’s start with a very simple file of words taken from the text of *Romeo and Juliet*.

For the first set of examples, we will use a shortened and simplified version of the text with no punctuation. Later we will work with the text of the scene with punctuation included.

```
But soft what light through yonder window breaks
It is the east and Juliet is the sun
Arise fair sun and kill the envious moon
Who is already sick and pale with grief
```

We will write a Python program to read through the lines of the file, break each line into a list of words, and then loop through each of the words in the line and count each word using a dictionary.

You will see that we have two `for` loops. The outer loop is reading the lines of the file and the inner loop is iterating through each of the words on that particular line. This is an example of a pattern called *nested loops* because one of the loops is the *outer* loop and the other loop is the *inner* loop.

Because the inner loop executes all of its iterations each time the outer loop makes a single iteration, we think of the inner loop as iterating “more quickly” and the outer loop as iterating more slowly.

The combination of the two nested loops ensures that we will count every word on every line of the input file.

```
fname = input('Enter the file name: ')
try:
    fhand = open(fname)
except:
    print('File cannot be opened:', fname)
    exit()

counts = dict()
for line in fhand:
    words = line.split()
    for word in words:
        if word not in counts:
            counts[word] = 1
        else:
            counts[word] += 1

print(counts)

# Code: http://www.py4e.com/code3/count1.py
```

When we run the program, we see a raw dump of all of the counts in unsorted hash order. (the romeo.txt file is available at [www.py4e.com/code3/romeo.txt](http://www.py4e.com/code3/romeo.txt))

```
python count1.py
Enter the file name: romeo.txt
{'and': 3, 'envious': 1, 'already': 1, 'fair': 1,
'is': 3, 'through': 1, 'pale': 1, 'yonder': 1,
'what': 1, 'sun': 2, 'Who': 1, 'But': 1, 'moon': 1,
'window': 1, 'sick': 1, 'east': 1, 'breaks': 1,
'grief': 1, 'with': 1, 'light': 1, 'It': 1, 'Arise': 1,
'kill': 1, 'the': 3, 'soft': 1, 'Juliet': 1}
```

It is a bit inconvenient to look through the dictionary to find the most common words and their counts, so we need to add some more Python code to get us the output that will be more helpful.

## 9.3 Looping and dictionaries

If you use a dictionary as the sequence in a `for` statement, it traverses the keys of the dictionary. This loop prints each key and the corresponding value:

```
counts = { 'chuck' : 1 , 'annie' : 42, 'jan': 100}
for key in counts:
    print(key, counts[key])
```

Here's what the output looks like:

```
jan 100
chuck 1
annie 42
```

Again, the keys are in no particular order.

We can use this pattern to implement the various loop idioms that we have described earlier. For example if we wanted to find all the entries in a dictionary with a value above ten, we could write the following code:

```
counts = { 'chuck' : 1 , 'annie' : 42, 'jan': 100}
for key in counts:
    if counts[key] > 10 :
        print(key, counts[key])
```

The `for` loop iterates through the *keys* of the dictionary, so we must use the index operator to retrieve the corresponding *value* for each key. Here's what the output looks like:

```
jan 100
annie 42
```

We see only the entries with a value above 10.

If you want to print the keys in alphabetical order, you first make a list of the keys in the dictionary using the `keys` method available in dictionary objects, and then sort that list and loop through the sorted list, looking up each key and printing out key-value pairs in sorted order as follows:

```
counts = { 'chuck' : 1 , 'annie' : 42, 'jan': 100}
lst = list(counts.keys())
print(lst)
lst.sort()
for key in lst:
    print(key, counts[key])
```

Here's what the output looks like:

```
['jan', 'chuck', 'annie']
annie 42
chuck 1
jan 100
```

First you see the list of keys in unsorted order that we get from the `keys` method. Then we see the key-value pairs in order from the `for` loop.



## 9.4 Advanced text parsing

In the above example using the file `romeo.txt`, we made the file as simple as possible by removing all punctuation by hand. The actual text has lots of punctuation, as shown below.

```
But, soft! what light through yonder window breaks?
It is the east, and Juliet is the sun.
Arise, fair sun, and kill the envious moon,
Who is already sick and pale with grief,
```

Since the Python `split` function looks for spaces and treats words as tokens separated by spaces, we would treat the words “soft!” and “soft” as *different* words and create a separate dictionary entry for each word.

Also since the file has capitalization, we would treat “who” and “Who” as different words with different counts.

We can solve both these problems by using the string methods `lower`, `punctuation`, and `translate`. The `translate` is the most subtle of the methods. Here is the documentation for `translate`:

```
string.translate(s, table[, deletechars])
```

*Delete all characters from s that are in deletechars (if present), and then translate the characters using table, which must be a 256-character string giving the translation for each character value, indexed by its ordinal. If table is None, then only the character deletion step is performed.*

We will not specify the `table` but we will use the `deletechars` parameter to delete all of the punctuation. We will even let Python tell us the list of characters that it considers “punctuation”:

```
>>> import string
>>> string.punctuation
'!"#$%&'()*+,-./:;<=>?@[\\]^_`{|}~'
```

We make the following modifications to our program:

```
import string

fname = input('Enter the file name: ')
try:
    fhand = open(fname)
except:
    print('File cannot be opened:', fname)
    exit()

counts = dict()
for line in fhand:
    line = line.rstrip()
    line = line.translate(line.maketrans('', '', string.punctuation))
```

```

line = line.lower()
words = line.split()
for word in words:
    if word not in counts:
        counts[word] = 1
    else:
        counts[word] += 1

print(counts)

# Code: http://www.py4e.com/code3/count2.py

```

We use `translate` to remove all punctuation and `lower` to force the line to lowercase. Otherwise the program is unchanged. Note that for Python 2.5 and earlier, `translate` does not accept `None` as the first parameter so use this code instead for the `translate` call:

```
print a.translate(string.maketrans(' ',' '), string.punctuation)
```

Part of learning the “Art of Python” or “Thinking Pythonically” is realizing that Python often has built-in capabilities for many common data analysis problems. Over time, you will see enough example code and read enough of the documentation to know where to look to see if someone has already written something that makes your job much easier.

The following is an abbreviated version of the output:

```

Enter the file name: romeo-full.txt
{'swearst': 1, 'all': 6, 'afeard': 1, 'leave': 2, 'these': 2,
'kinsmen': 2, 'what': 11, 'thinkst': 1, 'love': 24, 'cloak': 1,
a': 24, 'orchard': 2, 'light': 5, 'lovers': 2, 'romeo': 40,
'maiden': 1, 'whiteupturned': 1, 'juliet': 32, 'gentleman': 1,
'it': 22, 'leans': 1, 'canst': 1, 'having': 1, ...}

```

Looking through this output is still unwieldy and we can use Python to give us exactly what we are looking for, but to do so, we need to learn about Python *tuples*. We will pick up this example once we learn about tuples.

## 9.5 Debugging

As you work with bigger datasets it can become unwieldy to debug by printing and checking data by hand. Here are some suggestions for debugging large datasets:

**Scale down the input** If possible, reduce the size of the dataset. For example if the program reads a text file, start with just the first 10 lines, or with the smallest example you can find. You can either edit the files themselves, or (better) modify the program so it reads only the first `n` lines.

If there is an error, you can reduce `n` to the smallest value that manifests the error, and then increase it gradually as you find and correct errors.

**Check summaries and types** Instead of printing and checking the entire dataset, consider printing summaries of the data: for example, the number of items in a dictionary or the total of a list of numbers.

A common cause of runtime errors is a value that is not the right type. For debugging this kind of error, it is often enough to print the type of a value.

**Write self-checks** Sometimes you can write code to check for errors automatically. For example, if you are computing the average of a list of numbers, you could check that the result is not greater than the largest element in the list or less than the smallest. This is called a “sanity check” because it detects results that are “completely illogical”.

Another kind of check compares the results of two different computations to see if they are consistent. This is called a “consistency check”.

**Pretty print the output** Formatting debugging output can make it easier to spot an error.

Again, time you spend building scaffolding can reduce the time you spend debugging.

## 9.6 Glossary

**dictionary** A mapping from a set of keys to their corresponding values.

**hashtable** The algorithm used to implement Python dictionaries.

**hash function** A function used by a hashtable to compute the location for a key.

**histogram** A set of counters.

**implementation** A way of performing a computation.

**item** Another name for a key-value pair.

**key** An object that appears in a dictionary as the first part of a key-value pair.

**key-value pair** The representation of the mapping from a key to a value.

**lookup** A dictionary operation that takes a key and finds the corresponding value.

**nested loops** When there are one or more loops “inside” of another loop. The inner loop runs to completion each time the outer loop runs once.

**value** An object that appears in a dictionary as the second part of a key-value pair. This is more specific than our previous use of the word “value”.

## 9.7 Exercises

**Exercise 2:** Write a program that categorizes each mail message by which day of the week the commit was done. To do this look for lines that start with “From”, then look for the third word and keep a running count of each of the days of the week. At the end of the program print out the contents of your dictionary (order does not matter).

Sample Line:

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
```

Sample Execution:

```
python dow.py
Enter a file name: mbox-short.txt
{'Fri': 20, 'Thu': 6, 'Sat': 1}
```

**Exercise 3:** Write a program to read through a mail log, build a histogram using a dictionary to count how many messages have come from each email address, and print the dictionary.

```
Enter file name: mbox-short.txt
{'gopal.ramasammycook@gmail.com': 1, 'louis@media.berkeley.edu': 3,
'cwen@iupui.edu': 5, 'antranig@caret.cam.ac.uk': 1,
'rjlowe@iupui.edu': 2, 'gsilver@umich.edu': 3,
'david.horwitz@uct.ac.za': 4, 'wagnermr@iupui.edu': 1,
'zqian@umich.edu': 4, 'stephen.marquard@uct.ac.za': 2,
'ray@media.berkeley.edu': 1}
```

**Exercise 4:** Add code to the above program to figure out who has the most messages in the file.

After all the data has been read and the dictionary has been created, look through the dictionary using a maximum loop (see Section [maximumloop]) to find who has the most messages and print how many messages the person has.

```
Enter a file name: mbox-short.txt
cwen@iupui.edu 5
```

```
Enter a file name: mbox.txt
zqian@umich.edu 195
```

**Exercise 5:** This program records the domain name (instead of the address) where the message was sent from instead of who the mail came from (i.e., the whole email address). At the end of the program, print out the contents of your dictionary.

```
python schoolcount.py
Enter a file name: mbox-short.txt
{'media.berkeley.edu': 4, 'uct.ac.za': 6, 'umich.edu': 7,
'gmail.com': 1, 'caret.cam.ac.uk': 1, 'iupui.edu': 8}
```

# Chapter 10

## Tuples

### 10.1 Tuples are immutable

A tuple<sup>1</sup> is a sequence of values much like a list. The values stored in a tuple can be any type, and they are indexed by integers. The important difference is that tuples are *immutable*. Tuples are also *comparable* and *hashable* so we can sort lists of them and use tuples as key values in Python dictionaries.

Syntactically, a tuple is a comma-separated list of values:

```
>>> t = 'a', 'b', 'c', 'd', 'e'
```

Although it is not necessary, it is common to enclose tuples in parentheses to help us quickly identify tuples when we look at Python code:

```
>>> t = ('a', 'b', 'c', 'd', 'e')
```

To create a tuple with a single element, you have to include the final comma:

```
>>> t1 = ('a',)
>>> type(t1)
<type 'tuple'>
```

Without the comma Python treats ('a') as an expression with a string in parentheses that evaluates to a string:

```
>>> t2 = ('a')
>>> type(t2)
<type 'str'>
```

Another way to construct a tuple is the built-in function `tuple`. With no argument, it creates an empty tuple:

---

<sup>1</sup>Fun fact: The word “tuple” comes from the names given to sequences of numbers of varying lengths: single, double, triple, quadruple, quintuple, sextuple, septuple, etc.

```
>>> t = tuple()
>>> print(t)
()
```

If the argument is a sequence (string, list, or tuple), the result of the call to `tuple` is a tuple with the elements of the sequence:

```
>>> t = tuple('lupins')
>>> print(t)
('l', 'u', 'p', 'i', 'n', 's')
```

Because `tuple` is the name of a constructor, you should avoid using it as a variable name.

Most list operators also work on tuples. The bracket operator indexes an element:

```
>>> t = ('a', 'b', 'c', 'd', 'e')
>>> print(t[0])
'a'
```

And the slice operator selects a range of elements.

```
>>> print(t[1:3])
('b', 'c')
```

But if you try to modify one of the elements of the tuple, you get an error:

```
>>> t[0] = 'A'
TypeError: object doesn't support item assignment
```

You can't modify the elements of a tuple, but you can replace one tuple with another:

```
>>> t = ('A',) + t[1:]
>>> print(t)
('A', 'b', 'c', 'd', 'e')
```

## 10.2 Comparing tuples

The comparison operators work with tuples and other sequences. Python starts by comparing the first element from each sequence. If they are equal, it goes on to the next element, and so on, until it finds elements that differ. Subsequent elements are not considered (even if they are really big).

```
>>> (0, 1, 2) < (0, 3, 4)
True
>>> (0, 1, 2000000) < (0, 3, 4)
True
```

The `sort` function works the same way. It sorts primarily by first element, but in the case of a tie, it sorts by second element, and so on.

This feature lends itself to a pattern called *DSU* for

**Decorate** a sequence by building a list of tuples with one or more sort keys preceding the elements from the sequence,

**Sort** the list of tuples using the Python built-in `sort`, and

**Undecorate** by extracting the sorted elements of the sequence.

[DSU]

For example, suppose you have a list of words and you want to sort them from longest to shortest:

```
txt = 'but soft what light in yonder window breaks'
words = txt.split()
t = list()
for word in words:
    t.append((len(word), word))

t.sort(reverse=True)

res = list()
for length, word in t:
    res.append(word)

print(res)
```

# Code: <http://www.py4e.com/code3/soft.py>

The first loop builds a list of tuples, where each tuple is a word preceded by its length.

`sort` compares the first element, length, first, and only considers the second element to break ties. The keyword argument `reverse=True` tells `sort` to go in decreasing order.

The second loop traverses the list of tuples and builds a list of words in descending order of length. The four-character words are sorted in *reverse* alphabetical order, so “what” appears before “soft” in the following list.

The output of the program is as follows:

```
['yonder', 'window', 'breaks', 'light', 'what',
'soft', 'but', 'in']
```

Of course the line loses much of its poetic impact when turned into a Python list and sorted in descending word length order.

## 10.3 Tuple assignment

One of the unique syntactic features of the Python language is the ability to have a tuple on the left side of an assignment statement. This allows you to assign more than one variable at a time when the left side is a sequence.

In this example we have a two-element list (which is a sequence) and assign the first and second elements of the sequence to the variables `x` and `y` in a single statement.

```
>>> m = [ 'have', 'fun' ]
>>> x, y = m
>>> x
'have'
>>> y
'fun'
>>>
```

It is not magic, Python *roughly* translates the tuple assignment syntax to be the following:<sup>2</sup>

```
>>> m = [ 'have', 'fun' ]
>>> x = m[0]
>>> y = m[1]
>>> x
'have'
>>> y
'fun'
>>>
```

Stylistically when we use a tuple on the left side of the assignment statement, we omit the parentheses, but the following is an equally valid syntax:

```
>>> m = [ 'have', 'fun' ]
>>> (x, y) = m
>>> x
'have'
>>> y
'fun'
>>>
```

A particularly clever application of tuple assignment allows us to *swap* the values of two variables in a single statement:

```
>>> a, b = b, a
```

---

<sup>2</sup>Python does not translate the syntax literally. For example, if you try this with a dictionary, it will not work as might expect.



Both sides of this statement are tuples, but the left side is a tuple of variables; the right side is a tuple of expressions. Each value on the right side is assigned to its respective variable on the left side. All the expressions on the right side are evaluated before any of the assignments.

The number of variables on the left and the number of values on the right must be the same:

```
>>> a, b = 1, 2, 3
ValueError: too many values to unpack
```

More generally, the right side can be any kind of sequence (string, list, or tuple). For example, to split an email address into a user name and a domain, you could write:

```
>>> addr = 'monty@python.org'
>>> uname, domain = addr.split('@')
```

The return value from `split` is a list with two elements; the first element is assigned to `uname`, the second to `domain`.

```
>>> print(uname)
monty
>>> print(domain)
python.org
```

## 10.4 Dictionaries and tuples

Dictionaries have a method called `items` that returns a list of tuples, where each tuple is a key-value pair:

```
>>> d = {'a':10, 'b':1, 'c':22}
>>> t = list(d.items())
>>> print(t)
[('b', 1), ('a', 10), ('c', 22)]
```

As you should expect from a dictionary, the items are in no particular order.

However, since the list of tuples is a list, and tuples are comparable, we can now sort the list of tuples. Converting a dictionary to a list of tuples is a way for us to output the contents of a dictionary sorted by key:

```
>>> d = {'a':10, 'b':1, 'c':22}
>>> t = list(d.items())
>>> t
[('b', 1), ('a', 10), ('c', 22)]
>>> t.sort()
>>> t
[('a', 10), ('b', 1), ('c', 22)]
```

The new list is sorted in ascending alphabetical order by the key value.

## 10.5 Multiple assignment with dictionaries

Combining `items`, tuple assignment, and `for`, you can see a nice code pattern for traversing the keys and values of a dictionary in a single loop:

```
for key, val in list(d.items()):  
    print(val, key)
```

This loop has two *iteration variables* because `items` returns a list of tuples and `key`, `val` is a tuple assignment that successively iterates through each of the key-value pairs in the dictionary.

For each iteration through the loop, both `key` and `value` are advanced to the next key-value pair in the dictionary (still in hash order).

The output of this loop is:

```
10 a  
22 c  
1 b
```

Again, it is in hash key order (i.e., no particular order).

If we combine these two techniques, we can print out the contents of a dictionary sorted by the *value* stored in each key-value pair.

To do this, we first make a list of tuples where each tuple is (`value`, `key`). The `items` method would give us a list of (`key`, `value`) tuples, but this time we want to sort by value, not key. Once we have constructed the list with the value-key tuples, it is a simple matter to sort the list in reverse order and print out the new, sorted list.

```
>>> d = {'a':10, 'b':1, 'c':22}  
>>> l = list()  
>>> for key, val in d.items():  
...     l.append( (val, key) )  
...  
>>> l  
[(10, 'a'), (22, 'c'), (1, 'b')]  
>>> l.sort(reverse=True)  
>>> l  
[(22, 'c'), (10, 'a'), (1, 'b')]  
>>>
```

By carefully constructing the list of tuples to have the value as the first element of each tuple, we can sort the list of tuples and get our dictionary contents sorted by value.

## 10.6 The most common words

Coming back to our running example of the text from *Romeo and Juliet* Act 2, Scene 2, we can augment our program to use this technique to print the ten most common words in the text as follows:

```
import string
fhand = open('romeo-full.txt')
counts = dict()
for line in fhand:
    line = line.translate(string.punctuation)
    line = line.lower()
    words = line.split()
    for word in words:
        if word not in counts:
            counts[word] = 1
        else:
            counts[word] += 1

# Sort the dictionary by value
lst = list()
for key, val in list(counts.items()):
    lst.append((val, key))

lst.sort(reverse=True)

for key, val in lst[:10]:
    print(key, val)

# Code: http://www.py4e.com/code3/count3.py
```

The first part of the program which reads the file and computes the dictionary that maps each word to the count of words in the document is unchanged. But instead of simply printing out `counts` and ending the program, we construct a list of `(val, key)` tuples and then sort the list in reverse order.

Since the value is first, it will be used for the comparisons. If there is more than one tuple with the same value, it will look at the second element (the key), so tuples where the value is the same will be further sorted by the alphabetical order of the key.

At the end we write a nice `for` loop which does a multiple assignment iteration and prints out the ten most common words by iterating through a slice of the list (`lst[:10]`).

So now the output finally looks like what we want for our word frequency analysis.

```
61 i
42 and
40 romeo
34 to
34 the
```

```
32 thou
32 juliet
30 that
29 my
24 thee
```

The fact that this complex data parsing and analysis can be done with an easy-to-understand 19-line Python program is one reason why Python is a good choice as a language for exploring information.

## 10.7 Using tuples as keys in dictionaries

Because tuples are *hashable* and lists are not, if we want to create a *composite* key to use in a dictionary we must use a tuple as the key.

We would encounter a composite key if we wanted to create a telephone directory that maps from last-name, first-name pairs to telephone numbers. Assuming that we have defined the variables `last`, `first`, and `number`, we could write a dictionary assignment statement as follows:

```
directory[last,first] = number
```

The expression in brackets is a tuple. We could use tuple assignment in a `for` loop to traverse this dictionary.

```
for last, first in directory:
    print(first, last, directory[last,first])
```

This loop traverses the keys in `directory`, which are tuples. It assigns the elements of each tuple to `last` and `first`, then prints the name and corresponding telephone number.

## 10.8 Sequences: strings, lists, and tuples - Oh My!

I have focused on lists of tuples, but almost all of the examples in this chapter also work with lists of lists, tuples of tuples, and tuples of lists. To avoid enumerating the possible combinations, it is sometimes easier to talk about sequences of sequences.

In many contexts, the different kinds of sequences (strings, lists, and tuples) can be used interchangeably. So how and why do you choose one over the others?

To start with the obvious, strings are more limited than other sequences because the elements have to be characters. They are also immutable. If you need the ability to change the characters in a string (as opposed to creating a new string), you might want to use a list of characters instead.

Lists are more common than tuples, mostly because they are mutable. But there are a few cases where you might prefer tuples:

1. In some contexts, like a **return** statement, it is syntactically simpler to create a tuple than a list. In other contexts, you might prefer a list.
2. If you want to use a sequence as a dictionary key, you have to use an immutable type like a tuple or string.
3. If you are passing a sequence as an argument to a function, using tuples reduces the potential for unexpected behavior due to aliasing.

Because tuples are immutable, they don't provide methods like **sort** and **reverse**, which modify existing lists. However Python provides the built-in functions **sorted** and **reversed**, which take any sequence as a parameter and return a new sequence with the same elements in a different order.

## 10.9 Debugging

Lists, dictionaries and tuples are known generically as *data structures*; in this chapter we are starting to see compound data structures, like lists of tuples, and dictionaries that contain tuples as keys and lists as values. Compound data structures are useful, but they are prone to what I call *shape errors*; that is, errors caused when a data structure has the wrong type, size, or composition, or perhaps you write some code and forget the shape of your data and introduce an error.

For example, if you are expecting a list with one integer and I give you a plain old integer (not in a list), it won't work.

When you are debugging a program, and especially if you are working on a hard bug, there are four things to try:

**reading** Examine your code, read it back to yourself, and check that it says what you meant to say.

**running** Experiment by making changes and running different versions. Often if you display the right thing at the right place in the program, the problem becomes obvious, but sometimes you have to spend some time to build scaffolding.

**ruminating** Take some time to think! What kind of error is it: syntax, runtime, semantic? What information can you get from the error messages, or from the output of the program? What kind of error could cause the problem you're seeing? What did you change last, before the problem appeared?

**retreating** At some point, the best thing to do is back off, undoing recent changes, until you get back to a program that works and that you understand. Then you can start rebuilding.

Beginning programmers sometimes get stuck on one of these activities and forget the others. Each activity comes with its own failure mode.

For example, reading your code might help if the problem is a typographical error, but not if the problem is a conceptual misunderstanding. If you don't understand

what your program does, you can read it 100 times and never see the error, because the error is in your head.

Running experiments can help, especially if you run small, simple tests. But if you run experiments without thinking or reading your code, you might fall into a pattern I call “random walk programming”, which is the process of making random changes until the program does the right thing. Needless to say, random walk programming can take a long time.

You have to take time to think. Debugging is like an experimental science. You should have at least one hypothesis about what the problem is. If there are two or more possibilities, try to think of a test that would eliminate one of them.

Taking a break helps with the thinking. So does talking. If you explain the problem to someone else (or even to yourself), you will sometimes find the answer before you finish asking the question.

But even the best debugging techniques will fail if there are too many errors, or if the code you are trying to fix is too big and complicated. Sometimes the best option is to retreat, simplifying the program until you get to something that works and that you understand.

Beginning programmers are often reluctant to retreat because they can’t stand to delete a line of code (even if it’s wrong). If it makes you feel better, copy your program into another file before you start stripping it down. Then you can paste the pieces back in a little bit at a time.

Finding a hard bug requires reading, running, ruminating, and sometimes retreating. If you get stuck on one of these activities, try the others.

## 10.10 Glossary

**comparable** A type where one value can be checked to see if it is greater than, less than, or equal to another value of the same type. Types which are comparable can be put in a list and sorted.

**data structure** A collection of related values, often organized in lists, dictionaries, tuples, etc.

**DSU** Abbreviation of “decorate-sort-undecorate”, a pattern that involves building a list of tuples, sorting, and extracting part of the result.

**gather** The operation of assembling a variable-length argument tuple.

**hashable** A type that has a hash function. Immutable types like integers, floats, and strings are hashable; mutable types like lists and dictionaries are not.

**scatter** The operation of treating a sequence as a list of arguments.

**shape (of a data structure)** A summary of the type, size, and composition of a data structure.

**singleton** A list (or other sequence) with a single element.

**tuple** An immutable sequence of elements.

**tuple assignment** An assignment with a sequence on the right side and a tuple of variables on the left. The right side is evaluated and then its elements are assigned to the variables on the left.

## 10.11 Exercises

**Exercise 1:** Revise a previous program as follows: Read and parse the “From” lines and pull out the addresses from the line. Count the number of messages from each person using a dictionary.

After all the data has been read, print the person with the most commits by creating a list of (count, email) tuples from the dictionary. Then sort the list in reverse order and print out the person who has the most commits.

Sample Line:

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
```

Enter a file name: mbox-short.txt

```
cwen@iupui.edu 5
```

Enter a file name: mbox.txt

```
zqian@umich.edu 195
```

**Exercise 2:** This program counts the distribution of the hour of the day for each of the messages. You can pull the hour from the “From” line by finding the time string and then splitting that string into parts using the colon character. Once you have accumulated the counts for each hour, print out the counts, one per line, sorted by hour as shown below.

Sample Execution:

```
python timeofday.py
```

Enter a file name: mbox-short.txt

```
04 3
```

```
06 1
```

```
07 1
```

```
09 2
```

```
10 3
11 6
14 1
15 2
16 4
17 2
18 1
19 1
```

**Exercise 3:** Write a program that reads a file and prints the *letters* in decreasing order of frequency. Your program should convert all the input to lower case and only count the letters a-z. Your program should not count spaces, digits, punctuation, or anything other than the letters a-z. Find text samples from several different languages and see how letter frequency varies between languages. Compare your results with the tables at [wikipedia.org/wiki/Letter\\_frequencies](http://wikipedia.org/wiki/Letter_frequencies).



## Chapter 11

# Regular expressions

So far we have been reading through files, looking for patterns and extracting various bits of lines that we find interesting. We have been

using string methods like `split` and `find` and using lists and string slicing to extract portions of the lines.

This task of searching and extracting is so common that Python has a very powerful library called *regular expressions* that handles many of these tasks quite elegantly. The reason we have not introduced regular expressions earlier in the book is because while they are very powerful, they are a little complicated and their syntax takes some getting used to.

Regular expressions are almost their own little programming language for searching and parsing strings. As a matter of fact, entire books have been written on the topic of regular expressions. In this chapter, we will only cover the basics of regular expressions. For more detail on regular expressions, see:

[http://en.wikipedia.org/wiki/Regular\\_expression](http://en.wikipedia.org/wiki/Regular_expression)

<https://docs.python.org/2/library/re.html>

The regular expression library `re` must be imported into your program before you can use it. The simplest use of the regular expression library is the `search()` function. The following program demonstrates a trivial use of the search function.

```
# Search for lines that contain 'From'
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    if re.search('From:', line):
        print(line)
```

# Code: <http://www.py4e.com/code3/re01.py>

We open the file, loop through each line, and use the regular expression `search()` to only print out lines that contain the string “From:”. This program does not

use the real power of regular expressions, since we could have just as easily used `line.find()` to accomplish the same result.

The power of the regular expressions comes when we add special characters to the search string that allow us to more precisely control which lines match the string. Adding these special characters to our regular expression allow us to do sophisticated matching and extraction while writing very little code.

For example, the caret character is used in regular expressions to match “the beginning” of a line. We could change our program to only match lines where “From:” was at the beginning of the line as follows:

```
# Search for lines that start with 'From'
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    if re.search('^From:', line):
        print(line)

# Code: http://www.py4e.com/code3/re02.py
```

Now we will only match lines that *start with* the string “From:”. This is still a very simple example that we could have done equivalently with the `startswith()` method from the string library. But it serves to introduce the notion that regular expressions contain special action characters that give us more control as to what will match the regular expression.

## 11.1 Character matching in regular expressions

There are a number of other special characters that let us build even more powerful regular expressions. The most commonly used special character is the period or full stop, which matches any character.

In the following example, the regular expression “F..m:” would match any of the strings “From:”, “Fxxm:”, “F12m:”, or “F!@m:” since the period characters in the regular expression match any character.

```
# Search for lines that start with 'F', followed by
# 2 characters, followed by 'm:'
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    if re.search('F..m:', line):
        print(line)

# Code: http://www.py4e.com/code3/re03.py
```

This is particularly powerful when combined with the ability to indicate that a character can be repeated any number of times using the “\*” or “+” characters in your regular expression. These special characters mean that instead of matching a single character in the search string, they match zero-or-more characters (in the case of the asterisk) or one-or-more of the characters (in the case of the plus sign).

We can further narrow down the lines that we match using a repeated *wild card* character in the following example:

```
# Search for lines that start with From and have an at sign
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    if re.search('^From:.*@', line):
        print(line)

# Code: http://www.py4e.com/code3/re04.py
```

The search string “^From:.\*@” will successfully match lines that start with “From:”, followed by one or more characters (“.”), followed by an at-sign. So this will match the following line:

```
From: uct.ac.za
```

You can think of the “.” wildcard as expanding to match all the characters between the colon character and the at-sign.

```
From:
```

It is good to think of the plus and asterisk characters as “pushy”. For example, the following string would match the last at-sign in the string as the “.” pushes outwards, as shown below:

```
From: iupui.edu
```

It is possible to tell an asterisk or plus sign not to be so “greedy” by adding another character. See the detailed documentation for information on turning off the greedy behavior.

## 11.2 Extracting data using regular expressions

If we want to extract data from a string in Python we can use the `findall()` method to extract all of the substrings which match a regular expression. Let’s use the example of wanting to extract anything that looks like an email address from any line regardless of format. For example, we want to pull the email addresses from each of the following lines:

```
From stephen.marquard@uct.ac.za Sat Jan 5 09:14:16 2008
Return-Path: <postmaster@collab.sakaiproject.org>
             for <source@collab.sakaiproject.org>;
Received: (from apache@localhost)
Author: stephen.marquard@uct.ac.za
```

We don't want to write code for each of the types of lines, splitting and slicing differently for each line. This following program uses `findall()` to find the lines with email addresses in them and extract one or more addresses from each of those lines.

```
import re
s = 'A message from csev@umich.edu to cwen@iupui.edu about meeting @2PM'
lst = re.findall('\S+@\S+', s)
print(lst)

# Code: http://www.py4e.com/code3/re05.py
```

The `findall()` method searches the string in the second argument and returns a list of all of the strings that look like email addresses. We are using a two-character sequence that matches a non-whitespace character (`\S`).

The output of the program would be:

```
['csev@umich.edu', 'cwen@iupui.edu']
```

Translating the regular expression, we are looking for substrings that have at least one non-whitespace character, followed by an at-sign, followed by at least one more non-whitespace character. The `"\S+"` matches as many non-whitespace characters as possible.

The regular expression would match twice (`csev@umich.edu` and `cwen@iupui.edu`), but it would not match the string `"@2PM"` because there are no non-blank characters *before* the at-sign. We can use this regular expression in a program to read all the lines in a file and print out anything that looks like an email address as follows:

```
# Search for lines that have an at sign between characters
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    x = re.findall('\S+@\S+', line)
    if len(x) > 0:
        print(x)

# Code: http://www.py4e.com/code3/re06.py
```

We read each line and then extract all the substrings that match our regular expression. Since `findall()` returns a list, we simply check if the number of elements in our returned list is more than zero to print only lines where we found at least one substring that looks like an email address.

If we run the program on `mbox.txt` we get the following output:

```
['wagnermr@iupui.edu']
['cwen@iupui.edu']
```

```
['<postmaster@collab.sakaiproject.org>']
['<200801032122.m03LMFo4005148@nakamura.uits.iupui.edu>']
['<source@collab.sakaiproject.org>;']
['<source@collab.sakaiproject.org>;']
['<source@collab.sakaiproject.org>;']
['apache@localhost']
['source@collab.sakaiproject.org;']
```

Some of our email addresses have incorrect characters like “<” or “;” at the beginning or end. Let’s declare that we are only interested in the portion of the string that starts and ends with a letter or a number.

To do this, we use another feature of regular expressions. Square brackets are used to indicate a set of multiple acceptable characters we are willing to consider matching. In a sense, the “\S” is asking to match the set of “non-whitespace characters”. Now we will be a little more explicit in terms of the characters we will match.

Here is our new regular expression:

```
[a-zA-Z0-9]\S*\S*[a-zA-Z]
```

This is getting a little complicated and you can begin to see why regular expressions are their own little language unto themselves. Translating this regular expression, we are looking for substrings that start with a *single* lowercase letter, uppercase letter, or number “[a-zA-Z0-9]”, followed by zero or more non-blank characters (“\S\*”), followed by an at-sign, followed by zero or more non-blank characters (“\S\*”), followed by an uppercase or lowercase letter. Note that we switched from “+” to “\*” to indicate zero or more non-blank characters since “[a-zA-Z0-9]” is already one non-blank character. Remember that the “\*” or “+” applies to the single character immediately to the left of the plus or asterisk.

If we use this expression in our program, our data is much cleaner:

```
# Search for lines that have an at sign between characters
# The characters must be a letter or number
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    x = re.findall('[a-zA-Z0-9]\S*\S*[a-zA-Z]', line)
    if len(x) > 0:
        print(x)

# Code: http://www.py4e.com/code3/re07.py

...
['wagnermr@iupui.edu']
['cwen@iupui.edu']
['postmaster@collab.sakaiproject.org']
['200801032122.m03LMFo4005148@nakamura.uits.iupui.edu']
['source@collab.sakaiproject.org']
```

```
['source@collab.sakaiproject.org']
['source@collab.sakaiproject.org']
['apache@localhost']
```

Notice that on the “source@collab.sakaiproject.org” lines, our regular expression eliminated two letters at the end of the string (“>”). This is because when we append “[a-zA-Z]” to the end of our regular expression, we are demanding that whatever string the regular expression parser finds must end with a letter. So when it sees the “>” after “sakaiproject.org>,” it simply stops at the last “matching” letter it found (i.e., the “g” was the last good match).

Also note that the output of the program is a Python list that has a string as the single element in the list.

## 11.3 Combining searching and extracting

If we want to find numbers on lines that start with the string “X-” such as:

```
X-DSPAM-Confidence: 0.8475
X-DSPAM-Probability: 0.0000
```

we don’t just want any floating-point numbers from any lines. We only want to extract numbers from lines that have the above syntax.

We can construct the following regular expression to select the lines:

```
^X-.*: [0-9.]+
```

Translating this, we are saying, we want lines that start with “X-”, followed by zero or more characters (“.\*”), followed by a colon (“:”) and then a space. After the space we are looking for one or more characters that are either a digit (0-9) or a period “[0-9.]”. Note that inside the square brackets, the period matches an actual period (i.e., it is not a wildcard between the square brackets).

This is a very tight expression that will pretty much match only the lines we are interested in as follows:

```
# Search for lines that start with 'X' followed by any non
# whitespace characters and ':'
# followed by a space and any number.
# The number can include a decimal.
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    if re.search('^X\S*: [0-9.]+', line):
        print(line)

# Code: http://www.py4e.com/code3/re10.py
```

When we run the program, we see the data nicely filtered to show only the lines we are looking for.

```
X-DSPAM-Confidence: 0.8475
X-DSPAM-Probability: 0.0000
X-DSPAM-Confidence: 0.6178
X-DSPAM-Probability: 0.0000
```

But now we have to solve the problem of extracting the numbers. While it would be simple enough to use `split`, we can use another feature of regular expressions to both search and parse the line at the same time.

Parentheses are another special character in regular expressions. When you add parentheses to a regular expression, they are ignored when matching the string. But when you are using `findall()`, parentheses indicate that while you want the whole expression to match, you only are interested in extracting a portion of the substring that matches the regular expression.

So we make the following change to our program:

```
# Search for lines that start with 'X' followed by any
# non whitespace characters and ':' followed by a space
# and any number. The number can include a decimal.
# Then print the number if it is greater than zero.
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    x = re.findall('^X\S*: ([0-9.]+)', line)
    if len(x) > 0:
        print(x)

# Code: http://www.py4e.com/code3/re11.py
```

Instead of calling `search()`, we add parentheses around the part of the regular expression that represents the floating-point number to indicate we only want `findall()` to give us back the floating-point number portion of the matching string.

The output from this program is as follows:

```
['0.8475']
['0.0000']
['0.6178']
['0.0000']
['0.6961']
['0.0000']
..
```

The numbers are still in a list and need to be converted from strings to floating point, but we have used the power of regular expressions to both search and extract the information we found interesting.

As another example of this technique, if you look at the file there are a number of lines of the form:

Details: <http://source.sakaiproject.org/viewsvn/?view=rev&rev=39772>

If we wanted to extract all of the revision numbers (the integer number at the end of these lines) using the same technique as above, we could write the following program:

```
# Search for lines that start with 'Details: rev='
# followed by numbers and '.'
# Then print the number if it is greater than zero
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    x = re.findall('^Details:.*rev=([0-9.]*)', line)
    if len(x) > 0:
        print(x)

# Code: http://www.py4e.com/code3/re12.py
```

Translating our regular expression, we are looking for lines that start with “Details:”, followed by any number of characters (“.”), followed by “rev=”, and then by one or more digits. We want to find lines that match the entire expression but we only want to extract the integer number at the end of the line, so we surround “[0-9]+” with parentheses.

When we run the program, we get the following output:

```
['39772']
['39771']
['39770']
['39769']
...
```

Remember that the “[0-9]+” is “greedy” and it tries to make as large a string of digits as possible before extracting those digits. This “greedy” behavior is why we get all five digits for each number. The regular expression library expands in both directions until it encounters a non-digit, or the beginning or the end of a line.

Now we can use regular expressions to redo an exercise from earlier in the book where we were interested in the time of day of each mail message. We looked for lines of the form:

From [stephen.marquard@uct.ac.za](mailto:stephen.marquard@uct.ac.za) Sat Jan 5 09:14:16 2008

and wanted to extract the hour of the day for each line. Previously we did this with two calls to `split`. First the line was split into words and then we pulled out the fifth word and split it again on the colon character to pull out the two characters we were interested in.



While this worked, it actually results in pretty brittle code that is assuming the lines are nicely formatted. If you were to add enough error checking (or a big try/except block) to insure that your program never failed when presented with incorrectly formatted lines, the code would balloon to 10-15 lines of code that was pretty hard to read.

We can do this in a far simpler way with the following regular expression:

```
^From .* [0-9][0-9]:
```

The translation of this regular expression is that we are looking for lines that start with “From” (note the space), followed by any number of characters (“.”), followed by a space, followed by two digits “[0-9][0-9]”, followed by a colon character. This is the definition of the kinds of lines we are looking for.

In order to pull out only the hour using `findall()`, we add parentheses around the two digits as follows:

```
^From .* ([0-9][0-9]):
```

This results in the following program:

```
# Search for lines that start with From and a character
# followed by a two digit number between 00 and 99 followed by ':'
# Then print the number if it is greater than zero
import re
hand = open('mbox-short.txt')
for line in hand:
    line = line.rstrip()
    x = re.findall('^From .* ([0-9][0-9]):', line)
    if len(x) > 0: print(x)

# Code: http://www.py4e.com/code3/re13.py
```

When the program runs, it produces the following output:

```
['09']
['18']
['16']
['15']
...
```

## 11.4 Escape character

Since we use special characters in regular expressions to match the beginning or end of a line or specify wild cards, we need a way to indicate that these characters are “normal” and we want to match the actual character such as a dollar sign or caret.

We can indicate that we want to simply match a character by prefixing that character with a backslash. For example, we can find money amounts with the following regular expression.

```
import re
x = 'We just received $10.00 for cookies.'
y = re.findall('\$[0-9.]+' , x)
```

Since we prefix the dollar sign with a backslash, it actually matches the dollar sign in the input string instead of matching the “end of line”, and the rest of the regular expression matches one or more digits or the period character. *Note:* Inside square brackets, characters are not “special”. So when we say “[0-9.]”, it really means digits or a period. Outside of square brackets, a period is the “wild-card” character and matches any character. Inside square brackets, the period is a period.

## 11.5 Summary

While this only scratched the surface of regular expressions, we have learned a bit about the language of regular expressions. They are search strings with special characters in them that communicate your wishes to the regular expression system as to what defines “matching” and what is extracted from the matched strings. Here are some of those special characters and character sequences:

`^` Matches the beginning of the line.

`$` Matches the end of the line.

`.` Matches any character (a wildcard).

`\\s` Matches a whitespace character.

`\\S` Matches a non-whitespace character (opposite of `\\s`).

`*` Applies to the immediately preceding character and indicates to match zero or more of the preceding character(s).

`*?` Applies to the immediately preceding character and indicates to match zero or more of the preceding character(s) in “non-greedy mode”.

- Applies to the immediately preceding character and indicates to match one or more of the preceding character(s).

`+?` Applies to the immediately preceding character and indicates to match one or more of the preceding character(s) in “non-greedy mode”.

`[aeiou]` Matches a single character as long as that character is in the specified set. In this example, it would match “a”, “e”, “i”, “o”, or “u”, but no other characters.

`[a-z0-9]` You can specify ranges of characters using the minus sign. This example is a single character that must be a lowercase letter or a digit.

`[^A-Za-z]` When the first character in the set notation is a caret, it inverts the logic. This example matches a single character that is anything *other than* an uppercase or lowercase letter.

`( )` When parentheses are added to a regular expression, they are ignored for the purpose of matching, but allow you to extract a particular subset of the matched string rather than the whole string when using `findall()`.

`\b` Matches the empty string, but only at the start or end of a word.

`\B` Matches the empty string, but not at the start or end of a word.

`\d` Matches any decimal digit; equivalent to the set `[0-9]`.

`\D` Matches any non-digit character; equivalent to the set `[^0-9]`.

## 11.6 Bonus section for Unix / Linux users

Support for searching files using regular expressions was built into the Unix operating system since the 1960s and it is available in nearly all programming languages in one form or another.

As a matter of fact, there is a command-line program built into Unix called *grep* (Generalized Regular Expression Parser) that does pretty much the same as the `search()` examples in this chapter. So if you have a Macintosh or Linux system, you can try the following commands in your command-line window.

```
$ grep '^From:' mbox-short.txt
From: stephen.marquard@uct.ac.za
From: louis@media.berkeley.edu
From: zqian@umich.edu
From: rjlowe@iupui.edu
```

This tells *grep* to show you lines that start with the string “From:” in the file `mbox-short.txt`. If you experiment with the *grep* command a bit and read the documentation for *grep*, you will find some subtle differences between the regular expression support in Python and the regular expression support in *grep*. As an example, *grep* does not support the non-blank character “`\S`” so you will need to use the slightly more complex set notation “`[^ ]`”, which simply means match a character that is anything other than a space.

## 11.7 Debugging

Python has some simple and rudimentary built-in documentation that can be quite helpful if you need a quick refresher to trigger your memory about the exact name of a particular method. This documentation can be viewed in the Python interpreter in interactive mode.

You can bring up an interactive help system using `help()`.

```
>>> help()

help> modules
```

If you know what module you want to use, you can use the `dir()` command to find the methods in the module as follows:

```
>>> import re
>>> dir(re)
[. 'compile', 'copy_reg', 'error', 'escape', 'findall',
'finditer', 'match', 'purge', 'search', 'split', 'sre_compile',
'sre_parse', 'sub', 'subn', 'sys', 'template']
```

You can also get a small amount of documentation on a particular method using the `dir` command.

```
>>> help (re.search)
Help on function search in module re:

search(pattern, string, flags=0)
    Scan through string looking for a match to the pattern, returning
    a match object, or None if no match was found.
>>>
```

The built-in documentation is not very extensive, but it can be helpful when you are in a hurry or don't have access to a web browser or search engine.

## 11.8 Glossary

**brittle code** Code that works when the input data is in a particular format but is prone to breakage if there is some deviation from the correct format. We call this “brittle code” because it is easily broken.

**greedy matching** The notion that the “+” and “\*” characters in a regular expression expand outward to match the largest possible string.

**grep** A command available in most Unix systems that searches through text files looking for lines that match regular expressions. The command name stands for “Generalized Regular Expression Parser”.

**regular expression** A language for expressing more complex search strings. A regular expression may contain special characters that indicate that a search only matches at the beginning or end of a line or many other similar capabilities.

**wild card** A special character that matches any character. In regular expressions the wild-card character is the period.

## 11.9 Exercises

**Exercise 1:** Write a simple program to simulate the operation of the `grep` command on Unix. Ask the user to enter a regular expression and count the number of lines that matched the regular expression:

```
$ python grep.py
Enter a regular expression: ^Author
mbox.txt had 1798 lines that matched ^Author
```

```
$ python grep.py
Enter a regular expression: ^X-
mbox.txt had 14368 lines that matched ^X-
```

```
$ python grep.py
Enter a regular expression: java$
mbox.txt had 4218 lines that matched java$
```

**Exercise 2:** Write a program to look for lines of the form

```
`New Revision: 39772`
```

and extract the number from each of the lines using a regular expression and the `findall()` method. Compute the average of the numbers and print out the average.

```
Enter file:mbox.txt
38549.7949721
```

```
Enter file:mbox-short.txt
39756.9259259
```



## Chapter 12

# Networked programs

While many of the examples in this book have focused on reading files and looking for data in those files, there are many different sources of

information when one considers the Internet.

In this chapter we will pretend to be a web browser and retrieve web pages using the HyperText Transport Protocol (HTTP). Then we will read through the web page data and parse it.

### 12.1 HyperText Transport Protocol - HTTP

The network protocol that powers the web is actually quite simple and there is built-in support in Python called `sockets` which makes it very easy to make network connections and retrieve data over those sockets in a Python program.

A *socket* is much like a file, except that a single socket provides a two-way connection between two programs. You can both read from and write to the same socket. If you write something to a socket, it is sent to the application at the other end of the socket. If you read from the socket, you are given the data which the other application has sent.

But if you try to read a socket when the program on the other end of the socket has not sent any data, you just sit and wait. If the programs on both ends of the socket simply wait for some data without sending anything, they will wait for a very long time.

So an important part of programs that communicate over the Internet is to have some sort of protocol. A protocol is a set of precise rules that determine who is to go first, what they are to do, and then what the responses are to that message, and who sends next, and so on. In a sense the two applications at either end of the socket are doing a dance and making sure not to step on each other's toes.

There are many documents which describe these network protocols. The HyperText Transport Protocol is described in the following document:

<http://www.w3.org/Protocols/rfc2616/rfc2616.txt>

This is a long and complex 176-page document with a lot of detail. If you find it interesting, feel free to read it all. But if you take a look around page 36 of RFC2616 you will find the syntax for the GET request. To request a document from a web server, we make a connection to the [www.py4e.org](http://www.py4e.org) server on port 80, and then send a line of the form

```
GET http://data.py4e.org/romeo.txt HTTP/1.0
```

where the second parameter is the web page we are requesting, and then we also send a blank line. The web server will respond with some header information about the document and a blank line followed by the document content.

## 12.2 The World's Simplest Web Browser

Perhaps the easiest way to show how the HTTP protocol works is to write a very simple Python program that makes a connection to a web server and follows the rules of the HTTP protocol to requests a document and display what the server sends back.

```
import socket

mysock = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
mysock.connect(('data.py4e.org', 80))
cmd = 'GET http://data.py4e.org/romeo.txt HTTP/1.0\n\n'.encode()
mysock.send(cmd)

while True:
    data = mysock.recv(512)
    if (len(data) < 1):
        break
    print(data.decode())
mysock.close()

# Code: http://www.py4e.com/code3/socket1.py
```

First the program makes a connection to port 80 on the server [www.py4e.com](http://www.py4e.com). Since our program is playing the role of the “web browser”, the HTTP protocol says we must send the GET command followed by a blank line.

Once we send that blank line, we write a loop that receives data in 512-character chunks from the socket and prints the data out until there is no more data to read (i.e., the `recv()` returns an empty string).

The program produces the following output:

```
HTTP/1.1 200 OK
Date: Sun, 14 Mar 2010 23:52:41 GMT
Server: Apache
Last-Modified: Tue, 29 Dec 2009 01:31:22 GMT
ETag: "143c1b33-a7-4b395bea"
Accept-Ranges: bytes
```



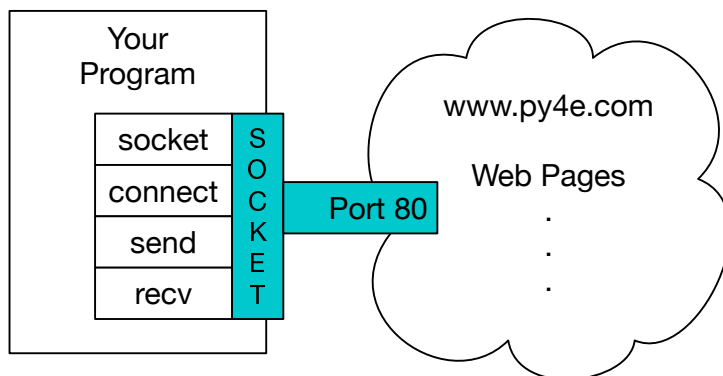


Figure 12.1: A Socket Connection

```
Content-Length: 167
Connection: close
Content-Type: text/plain
```

```
But soft what light through yonder window breaks
It is the east and Juliet is the sun
Arise fair sun and kill the envious moon
Who is already sick and pale with grief
```

The output starts with headers which the web server sends to describe the document. For example, the `Content-Type` header indicates that the document is a plain text document (`text/plain`).

After the server sends us the headers, it adds a blank line to indicate the end of the headers, and then sends the actual data of the file `romeo.txt`.

This example shows how to make a low-level network connection with sockets. Sockets can be used to communicate with a web server or with a mail server or many other kinds of servers. All that is needed is to find the document which describes the protocol and write the code to send and receive the data according to the protocol.

However, since the protocol that we use most commonly is the HTTP web protocol, Python has a special library specifically designed to support the HTTP protocol for the retrieval of documents and data over the web.

## 12.3 Retrieving an image over HTTP

In the above example, we retrieved a plain text file which had newlines in the file and we simply copied the data to the screen as the program ran. We can use a similar program to retrieve an image across using HTTP. Instead of copying the data to the screen as the program runs, we accumulate the data in a string, trim off the headers, and then save the image data to a file as follows:

```
import socket
import time
```

```

HOST = 'data.pr4e.org'
PORT = 80
mysock = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
mysock.connect((HOST, PORT))
mysock.sendall(b'GET http://data.pr4e.org/cover.jpg HTTP/1.0\n\n')
count = 0
picture = b""

while True:
    data = mysock.recv(5120)
    if (len(data) < 1): break
    time.sleep(0.25)
    count = count + len(data)
    print(len(data), count)
    picture = picture + data

mysock.close()

# Look for the end of the header (2 CRLF)
pos = picture.find(b"\r\n\r\n")
print('Header length', pos)
print(picture[:pos].decode())

# Skip past the header and save the picture data
picture = picture[pos+4:]
fhand = open("stuff.jpg", "wb")
fhand.write(picture)
fhand.close()

# Code: http://www.py4e.com/code3/urljpeg.py

```

When the program runs it produces the following output:

```

$ python urljpeg.py
2920 2920
1460 4380
1460 5840
1460 7300
...
1460 62780
1460 64240
2920 67160
1460 68620
1681 70301
Header length 240
HTTP/1.1 200 OK
Date: Sat, 02 Nov 2013 02:15:07 GMT
Server: Apache
Last-Modified: Sat, 02 Nov 2013 02:01:26 GMT
ETag: "19c141-111a9-4ea280f8354b8"
Accept-Ranges: bytes

```

```
Content-Length: 70057
Connection: close
Content-Type: image/jpeg
```

You can see that for this url, the `Content-Type` header indicates that body of the document is an image (`image/jpeg`). Once the program completes, you can view the image data by opening the file `stuff.jpg` in an image viewer.

As the program runs, you can see that we don't get 5120 characters each time we call the `recv()` method. We get as many characters as have been transferred across the network to us by the web server at the moment we call `recv()`. In this example, we either get 1460 or 2920 characters each time we request up to 5120 characters of data.

Your results may be different depending on your network speed. Also note that on the last call to `recv()` we get 1681 bytes, which is the end of the stream, and in the next call to `recv()` we get a zero-length string that tells us that the server has called `close()` on its end of the socket and there is no more data forthcoming.

We can slow down our successive `recv()` calls by uncommenting the call to `time.sleep()`. This way, we wait a quarter of a second after each call so that the server can “get ahead” of us and send more data to us before we call `recv()` again. With the delay, in place the program executes as follows:

```
$ python urljpeg.py
1460 1460
5120 6580
5120 11700
...
5120 62900
5120 68020
2281 70301
Header length 240
HTTP/1.1 200 OK
Date: Sat, 02 Nov 2013 02:22:04 GMT
Server: Apache
Last-Modified: Sat, 02 Nov 2013 02:01:26 GMT
ETag: "19c141-111a9-4ea280f8354b8"
Accept-Ranges: bytes
Content-Length: 70057
Connection: close
Content-Type: image/jpeg
```

Now other than the first and last calls to `recv()`, we now get 5120 characters each time we ask for new data.

There is a buffer between the server making `send()` requests and our application making `recv()` requests. When we run the program with the delay in place, at some point the server might fill up the buffer in the socket and be forced to pause until our program starts to empty the buffer. The pausing of either the sending application or the receiving application is called “flow control”.

## 12.4 Retrieving web pages with urllib

While we can manually send and receive data over HTTP using the socket library, there is a much simpler way to perform this common task in Python by using the `urllib` library.

Using `urllib`, you can treat a web page much like a file. You simply indicate which web page you would like to retrieve and `urllib` handles all of the HTTP protocol and header details.

The equivalent code to read the `romeo.txt` file from the web using `urllib` is as follows:

```
import urllib.request, urllib.parse, urllib.error

fhand = urllib.request.urlopen('http://data.pr4e.org/romeo.txt')
for line in fhand:
    print(line.decode().strip())

# Code: http://www.py4e.com/code3/urllib1.py
```

Once the web page has been opened with `urllib.urlopen`, we can treat it like a file and read through it using a `for` loop.

When the program runs, we only see the output of the contents of the file. The headers are still sent, but the `urllib` code consumes the headers and only returns the data to us.

```
But soft what light through yonder window breaks
It is the east and Juliet is the sun
Arise fair sun and kill the envious moon
Who is already sick and pale with grief
```

As an example, we can write a program to retrieve the data for `romeo.txt` and compute the frequency of each word in the file as follows:

```
import urllib.request, urllib.parse, urllib.error

fhand = urllib.request.urlopen('http://data.pr4e.org/romeo.txt')

counts = dict()
for line in fhand:
    words = line.decode().split()
    for word in words:
        counts[word] = counts.get(word, 0) + 1
print(counts)

# Code: http://www.py4e.com/code3/urlwords.py
```

Again, once we have opened the web page, we can read it like a local file.

## 12.5 Parsing HTML and scraping the web

One of the common uses of the `urllib` capability in Python is to *scrape* the web. Web scraping is when we write a program that pretends to be a web browser and retrieves pages, then examines the data in those pages looking for patterns.

As an example, a search engine such as Google will look at the source of one web page and extract the links to other pages and retrieve those pages, extracting links, and so on. Using this technique, Google *spiders* its way through nearly all of the pages on the web.

Google also uses the frequency of links from pages it finds to a particular page as one measure of how “important” a page is and how high the page should appear in its search results.

## 12.6 Parsing HTML using regular expressions

One simple way to parse HTML is to use regular expressions to repeatedly search for and extract substrings that match a particular pattern.

Here is a simple web page:

```
<h1>The First Page</h1>
<p>
If you like, you can switch to the
<a href="http://www.dr-chuck.com/page2.htm">
Second Page</a>.
</p>
```

We can construct a well-formed regular expression to match and extract the link values from the above text as follows:

```
href="http://.+?"
```

Our regular expression looks for strings that start with “href=”, followed by one or more characters (“.”+“.”), followed by another double quote. The question mark added to the “.”+“.” indicates that the match is to be done in a “non-greedy” fashion instead of a “greedy” fashion. A non-greedy match tries to find the *smallest* possible matching string and a greedy match tries to find the *largest* possible matching string.

We add parentheses to our regular expression to indicate which part of our matched string we would like to extract, and produce the following program:

```
# Search for lines that start with From and have an at sign
import urllib.request, urllib.parse, urllib.error
import re

url = input('Enter - ')
html = urllib.request.urlopen(url).read()
```

```
links = re.findall(b'href="(http://.*?)"', html)
for link in links:
    print(link.decode())
```

*# Code: <http://www.py4e.com/code3/urlregex.py>*

The `findall` regular expression method will give us a list of all of the strings that match our regular expression, returning only the link text between the double quotes.

When we run the program, we get the following output:

```
python urlregex.py
Enter - http://www.dr-chuck.com/page1.htm
http://www.dr-chuck.com/page2.htm

python urlregex.py
Enter - http://www.py4e.com/book.htm
http://www.greenteapress.com/thinkpython/thinkpython.html
http://allendowney.com/
http://www.py4e.com/code
http://www.lib.umich.edu/espresso-book-machine
http://www.py4e.com/py4inf-slides.zip
```

Regular expressions work very nicely when your HTML is well formatted and predictable. But since there are a lot of “broken” HTML pages out there, a solution only using regular expressions might either miss some valid links or end up with bad data.

This can be solved by using a robust HTML parsing library.

## 12.7 Parsing HTML using BeautifulSoup

There are a number of Python libraries which can help you parse HTML and extract data from the pages. Each of the libraries has its strengths and weaknesses and you can pick one based on your needs.

As an example, we will simply parse some HTML input and extract links using the *BeautifulSoup* library. You can download and install the BeautifulSoup code from:

<http://www.crummy.com/software/>

You can download and “install” BeautifulSoup or you can simply place the `BeautifulSoup.py` file in the same folder as your application.

Even though HTML looks like XML<sup>1</sup> and some pages are carefully constructed to be XML, most HTML is generally broken in ways that cause an XML parser to reject the entire page of HTML as improperly formed. BeautifulSoup tolerates highly flawed HTML and still lets you easily extract the data you need.

We will use `urllib` to read the page and then use BeautifulSoup to extract the `href` attributes from the anchor (a) tags.

---

<sup>1</sup>The XML format is described in the next chapter.

```

# To run this, you can install BeautifulSoup
# https://pypi.python.org/pypi/beautifulsoup4

# Or download the file
# http://www.py4e.com/code3/bs4.zip
# and unzip it in the same directory as this file

import urllib.request, urllib.parse, urllib.error
from bs4 import BeautifulSoup

url = input('Enter - ')
html = urllib.request.urlopen(url).read()
soup = BeautifulSoup(html, 'html.parser')

# Retrieve all of the anchor tags
tags = soup('a')
for tag in tags:
    print(tag.get('href', None))

# Code: http://www.py4e.com/code3/urllinks.py

```

The program prompts for a web address, then opens the web page, reads the data and passes the data to the BeautifulSoup parser, and then retrieves all of the anchor tags and prints out the href attribute for each tag.

When the program runs it looks as follows:

```

python urllinks.py
Enter - http://www.dr-chuck.com/page1.htm
http://www.dr-chuck.com/page2.htm

python urllinks.py
Enter - http://www.py4e.com/book.htm
http://www.greenteapress.com/thinkpython/thinkpython.html
http://allendowney.com/
http://www.si502.com/
http://www.lib.umich.edu/espresso-book-machine
http://www.py4e.com/code
http://www.py4e.com/

```

You can use BeautifulSoup to pull out various parts of each tag as follows:

```

# To run this, you can install BeautifulSoup
# https://pypi.python.org/pypi/beautifulsoup4

# Or download the file
# http://www.py4e.com/code3/bs4.zip
# and unzip it in the same directory as this file

from urllib.request import urlopen
from bs4 import BeautifulSoup

```

```

url = input('Enter - ')
html = urlopen(url).read()

# html.parser is the HTML parser included in the standard Python 3 library.
# information on other HTML parsers is here:
# http://www.crummy.com/software/BeautifulSoup/bs4/doc/#installing-a-parser
soup = BeautifulSoup(html, "html.parser")

# Retrieve all of the anchor tags
tags = soup('a')
for tag in tags:
    # Look at the parts of a tag
    print('TAG:', tag)
    print('URL:', tag.get('href', None))
    print('Contents:', tag.contents[0])
    print('Attrs:', tag.attrs)

# Code: http://www.py4e.com/code3/urllink2.py

python urllink2.py
Enter - http://www.dr-chuck.com/page1.htm
TAG: <a href="http://www.dr-chuck.com/page2.htm">
Second Page</a>
URL: http://www.dr-chuck.com/page2.htm
Content: ['\nSecond Page']
Attrs: [('href', 'http://www.dr-chuck.com/page2.htm')]

```

These examples only begin to show the power of BeautifulSoup when it comes to parsing HTML.

## 12.8 Reading binary files using urllib

Sometimes you want to retrieve a non-text (or binary) file such as an image or video file. The data in these files is generally not useful to print out, but you can easily make a copy of a URL to a local file on your hard disk using `urllib`.

The pattern is to open the URL and use `read` to download the entire contents of the document into a string variable (`img`) then write that information to a local file as follows:

```

import urllib.request, urllib.parse, urllib.error

img = urllib.request.urlopen('http://data.pr4e.org/cover.jpg').read()
fhand = open('cover.jpg', 'wb')
fhand.write(img)
fhand.close()

# Code: http://www.py4e.com/code3/curl1.py

```



This program reads all of the data in at once across the network and stores it in the variable `img` in the main memory of your computer, then opens the file `cover.jpg` and writes the data out to your disk. This will work if the size of the file is less than the size of the memory of your computer.

However if this is a large audio or video file, this program may crash or at least run extremely slowly when your computer runs out of memory. In order to avoid running out of memory, we retrieve the data in blocks (or buffers) and then write each block to your disk before retrieving the next block. This way the program can read any size file without using up all of the memory you have in your computer.

```
import urllib.request, urllib.parse, urllib.error

img = urllib.request.urlopen('http://data.py4e.org/cover.jpg')
fhand = open('cover.jpg', 'wb')
size = 0
while True:
    info = img.read(100000)
    if len(info) < 1: break
    size = size + len(info)
    fhand.write(info)

print(size, 'characters copied.')
fhand.close()

# Code: http://www.py4e.com/code3/curl2.py
```

In this example, we read only 100,000 characters at a time and then write those characters to the `cover.jpg` file before retrieving the next 100,000 characters of data from the web.

This program runs as follows:

```
python curl2.py
568248 characters copied.
```

If you have a Unix or Macintosh computer, you probably have a command built in to your operating system that performs this operation as follows:

```
curl -O http://www.py4e.com/cover.jpg
```

The command `curl` is short for “copy URL” and so these two examples are cleverly named `curl1.py` and `curl2.py` on [www.py4e.com/code3](http://www.py4e.com/code3) as they implement similar functionality to the `curl` command. There is also a `curl3.py` sample program that does this task a little more effectively, in case you actually want to use this pattern in a program you are writing.

## 12.9 Glossary

**BeautifulSoup** A Python library for parsing HTML documents and extracting data from HTML documents that compensates for most of the imperfections

in the HTML that browsers generally ignore. You can download the BeautifulSoup code from [www.crummy.com](http://www.crummy.com).

**port** A number that generally indicates which application you are contacting when you make a socket connection to a server. As an example, web traffic usually uses port 80 while email traffic uses port 25.

**scrape** When a program pretends to be a web browser and retrieves a web page, then looks at the web page content. Often programs are following the links in one page to find the next page so they can traverse a network of pages or a social network.

**socket** A network connection between two applications where the applications can send and receive data in either direction.

**spider** The act of a web search engine retrieving a page and then all the pages linked from a page and so on until they have nearly all of the pages on the Internet which they use to build their search index.

## 12.10 Exercises

**Exercise 1:** Change the socket program `socket1.py` to prompt the user for the URL so it can read any web page. You can use `split('/')` to break the URL into its component parts so you can extract the host name for the socket `connect` call. Add error checking using `try` and `except` to handle the condition where the user enters an improperly formatted or non-existent URL.

**Exercise 2:** Change your socket program so that it counts the number of characters it has received and stops displaying any text after it has shown 3000 characters. The program should retrieve the entire document and count the total number of characters and display the count of the number of characters at the end of the document.

**Exercise 3:** Use `urllib` to replicate the previous exercise of (1) retrieving the document from a URL, (2) displaying up to 3000 characters, and (3) counting the overall number of characters in the document. Don't worry about the headers for this exercise, simply show the first 3000 characters of the document contents.

**Exercise 4:** Change the `urllinks.py` program to extract and count paragraph (`p`) tags from the retrieved HTML document and display the count of the paragraphs as the output of your program. Do not display the paragraph text, only count them. Test your program on several small web pages as well as some larger web pages.

**Exercise 5:** (Advanced) Change the socket program so that it only shows data after the headers and a blank line have been received. Remember that `recv` is receiving characters (newlines and all), not lines.

# Chapter 13

## Using Web Services

Once it became easy to retrieve documents and parse documents over HTTP using programs, it did not take long to develop an approach where we

started producing documents that were specifically designed to be consumed by other programs (i.e., not HTML to be displayed in a browser).

There are two common formats that we use when exchanging data across the web. The “eXtensible Markup Language” or XML has been in use for a very long time and is best suited for exchanging document-style data. When programs just want to exchange dictionaries, lists, or other internal information with each other, they use JavaScript Object Notation or JSON (see [www.json.org](http://www.json.org)). We will look at both formats.

### 13.1 eXtensible Markup Language - XML

XML looks very similar to HTML, but XML is more structured than HTML. Here is a sample of an XML document:

```
<person>
  <name>Chuck</name>
  <phone type="intl">
    +1 734 303 4456
  </phone>
  <email hide="yes"/>
</person>
```

Often it is helpful to think of an XML document as a tree structure where there is a top tag **person** and other tags such as **phone** are drawn as *children* of their parent nodes.



Figure 13.1: A Tree Representation of XML

## 13.2 Parsing XML

Here is a simple application that parses some XML and extracts some data elements from the XML:

```
import xml.etree.ElementTree as ET

data = '''
<person>
  <name>Chuck</name>
  <phone type="intl">
    +1 734 303 4456
  </phone>
  <email hide="yes"/>
</person>'''

tree = ET.fromstring(data)
print('Name:', tree.find('name').text)
print('Attr:', tree.find('email').get('hide'))

# Code: http://www.py4e.com/code3/xml1.py
```

Calling `fromstring` converts the string representation of the XML into a “tree” of XML nodes. When the XML is in a tree, we have a series of methods we can call to extract portions of data from the XML.

The `find` function searches through the XML tree and retrieves a *node* that matches the specified tag. Each node can have some text, some attributes (like `hide`), and some “child” nodes. Each node can be the top of a tree of nodes.

```
Name: Chuck
Attr: yes
```

Using an XML parser such as `ElementTree` has the advantage that while the XML in this example is quite simple, it turns out there are many rules regarding

valid XML and using `ElementTree` allows us to extract data from XML without worrying about the rules of XML syntax.

## 13.3 Looping through nodes

Often the XML has multiple nodes and we need to write a loop to process all of the nodes. In the following program, we loop through all of the `user` nodes:

```
import xml.etree.ElementTree as ET

input = '''
<stuff>
  <users>
    <user x="2">
      <id>001</id>
      <name>Chuck</name>
    </user>
    <user x="7">
      <id>009</id>
      <name>Brent</name>
    </user>
  </users>
</stuff>'''

stuff = ET.fromstring(input)
lst = stuff.findall('users/user')
print('User count:', len(lst))

for item in lst:
    print('Name', item.find('name').text)
    print('Id', item.find('id').text)
    print('Attribute', item.get("x"))

# Code: http://www.py4e.com/code3/xml2.py
```

The `findall` method retrieves a Python list of subtrees that represent the `user` structures in the XML tree. Then we can write a `for` loop that looks at each of the user nodes, and prints the `name` and `id` text elements as well as the `x` attribute from the `user` node.

```
User count: 2
Name Chuck
Id 001
Attribute 2
Name Brent
Id 009
Attribute 7
```

## 13.4 JavaScript Object Notation - JSON

The JSON format was inspired by the object and array format used in the JavaScript language. But since Python was invented before JavaScript, Python's syntax for dictionaries and lists influenced the syntax of JSON. So the format of JSON is nearly identical to a combination of Python lists and dictionaries.

Here is a JSON encoding that is roughly equivalent to the simple XML from above:

```
{
  "name" : "Chuck",
  "phone" : {
    "type" : "intl",
    "number" : "+1 734 303 4456"
  },
  "email" : {
    "hide" : "yes"
  }
}
```

You will notice some differences. First, in XML, we can add attributes like “intl” to the “phone” tag. In JSON, we simply have key-value pairs. Also the XML “person” tag is gone, replaced by a set of outer curly braces.

In general, JSON structures are simpler than XML because JSON has fewer capabilities than XML. But JSON has the advantage that it maps *directly* to some combination of dictionaries and lists. And since nearly all programming languages have something equivalent to Python's dictionaries and lists, JSON is a very natural format to have two cooperating programs exchange data.

JSON is quickly becoming the format of choice for nearly all data exchange between applications because of its relative simplicity compared to XML.

## 13.5 Parsing JSON

We construct our JSON by nesting dictionaries (objects) and lists as needed. In this example, we represent a list of users where each user is a set of key-value pairs (i.e., a dictionary). So we have a list of dictionaries.

In the following program, we use the built-in *json* library to parse the JSON and read through the data. Compare this closely to the equivalent XML data and code above. The JSON has less detail, so we must know in advance that we are getting a list and that the list is of users and each user is a set of key-value pairs. The JSON is more succinct (an advantage) but also is less self-describing (a disadvantage).

```
import json

input = '''
[
  { "id" : "001",
```

```

        "x" : "2",
        "name" : "Chuck"
    } ,
    { "id" : "009",
      "x" : "7",
      "name" : "Chuck"
    }
  ]'''

info = json.loads(input)
print('User count:', len(info))

for item in info:
    print('Name', item['name'])
    print('Id', item['id'])
    print('Attribute', item['x'])

# Code: http://www.py4e.com/code3/json2.py

```

If you compare the code to extract data from the parsed JSON and XML you will see that what we get from *json.loads()* is a Python list which we traverse with a *for* loop, and each item within that list is a Python dictionary. Once the JSON has been parsed, we can use the Python index operator to extract the various bits of data for each user. We don't have to use the JSON library to dig through the parsed JSON, since the returned data is simply native Python structures.

The output of this program is exactly the same as the XML version above.

```

User count: 2
Name Chuck
Id 001
Attribute 2
Name Brent
Id 009
Attribute 7

```

In general, there is an industry trend away from XML and towards JSON for web services. Because the JSON is simpler and more directly maps to native data structures we already have in programming languages, the parsing and data extraction code is usually simpler and more direct when using JSON. But XML is more self-descriptive than JSON and so there are some applications where XML retains an advantage. For example, most word processors store documents internally using XML rather than JSON.

## 13.6 Application Programming Interfaces

We now have the ability to exchange data between applications using HyperText Transport Protocol (HTTP) and a way to represent complex data that we are sending back and forth between these applications using eXtensible Markup Language (XML) or JavaScript Object Notation (JSON).

The next step is to begin to define and document “contracts” between applications using these techniques. The general name for these application-to-application contracts is *Application Program Interfaces* or APIs. When we use an API, generally one program makes a set of *services* available for use by other applications and publishes the APIs (i.e., the “rules”) that must be followed to access the services provided by the program.

When we begin to build our programs where the functionality of our program includes access to services provided by other programs, we call the approach a *Service-Oriented Architecture* or SOA. A SOA approach is one where our overall application makes use of the services of other applications. A non-SOA approach is where the application is a single standalone application which contains all of the code necessary to implement the application.

We see many examples of SOA when we use the web. We can go to a single web site and book air travel, hotels, and automobiles all from a single site. The data for hotels is not stored on the airline computers. Instead, the airline computers contact the services on the hotel computers and retrieve the hotel data and present it to the user. When the user agrees to make a hotel reservation using the airline site, the airline site uses another web service on the hotel systems to actually make the reservation. And when it comes time to charge your credit card for the whole transaction, still other computers become involved in the process.



Figure 13.2: Service Oriented Architecture

A Service-Oriented Architecture has many advantages including: (1) we always maintain only one copy of data (this is particularly important for things like hotel reservations where we do not want to over-commit) and (2) the owners of the data can set the rules about the use of their data. With these advantages, an SOA system must be carefully designed to have good performance and meet the user’s needs.

When an application makes a set of services in its API available over the web, we call these *web services*.



## 13.7 Google geocoding web service

Google has an excellent web service that allows us to make use of their large database of geographic information. We can submit a geographical search string like “Ann Arbor, MI” to their geocoding API and have Google return its best guess as to where on a map we might find our search string and tell us about the landmarks nearby.

The geocoding service is free but rate limited so you cannot make unlimited use of the API in a commercial application. But if you have some survey data where an end user has entered a location in a free-format input box, you can use this API to clean up your data quite nicely.

*When you are using a free API like Google’s geocoding API, you need to be respectful in your use of these resources. If too many people abuse the service, Google might drop or significantly curtail its free service.*

You can read the online documentation for this service, but it is quite simple and you can even test it using a browser by typing the following URL into your browser:

<http://maps.googleapis.com/maps/api/geocode/json?address=Ann+Arbor%2C+MI>

Make sure to unwrap the URL and remove any spaces from the URL before pasting it into your browser.

The following is a simple application to prompt the user for a search string, call the Google geocoding API, and extract information from the returned JSON.

```
import urllib.request, urllib.parse, urllib.error
import json

serviceurl = 'http://maps.googleapis.com/maps/api/geocode/json?'

while True:
    address = input('Enter location: ')
    if len(address) < 1: break

    url = serviceurl + urllib.parse.urlencode(
        {'address': address})

    print('Retrieving', url)
    uh = urllib.request.urlopen(url)
    data = uh.read().decode()
    print('Retrieved', len(data), 'characters')

    try:
        js = json.loads(data)
    except:
        js = None

    if not js or 'status' not in js or js['status'] != 'OK':
        print('==== Failure To Retrieve ====')
        print(data)
```

```
continue
```

```
print(json.dumps(js, indent=4))

lat = js["results"][0]["geometry"]["location"]["lat"]
lng = js["results"][0]["geometry"]["location"]["lng"]
print('lat', lat, 'lng', lng)
location = js['results'][0]['formatted_address']
print(location)
```

*# Code: <http://www.py4e.com/code3/geojson.py>*

The program takes the search string and constructs a URL with the search string as a properly encoded parameter and then uses *urllib* to retrieve the text from the Google geocoding API. Unlike a fixed web page, the data we get depends on the parameters we send and the geographical data stored in Google's servers.

Once we retrieve the JSON data, we parse it with the *json* library and do a few checks to make sure that we received good data, then extract the information that we are looking for.

The output of the program is as follows (some of the returned JSON has been removed):

```
$ python geojson.py
Enter location: Ann Arbor, MI
Retrieving http://maps.googleapis.com/maps/api/
  geocode/json?sensor=false&address=Ann+Arbor%2C+MI
Retrieved 1669 characters
```

```
{
  "status": "OK",
  "results": [
    {
      "geometry": {
        "location_type": "APPROXIMATE",
        "location": {
          "lat": 42.2808256,
          "lng": -83.7430378
        }
      },
      "address_components": [
        {
          "long_name": "Ann Arbor",
          "types": [
            "locality",
            "political"
          ],
          "short_name": "Ann Arbor"
        }
      ],
    }
  ],
}
```

```

        "formatted_address": "Ann Arbor, MI, USA",
        "types": [
            "locality",
            "political"
        ]
    }
]
}
lat 42.2808256 lng -83.7430378
Ann Arbor, MI, USA

```

Enter location:

You can download [www.py4e.com/code3/geoxml.py](http://www.py4e.com/code3/geoxml.py) to explore the XML variant of the Google geocoding API.

## 13.8 Security and API usage

It is quite common that you need some kind of “API key” to make use of a vendor’s API. The general idea is that they want to know who is using their services and how much each user is using. Perhaps they have free and pay tiers of their services or have a policy that limits the number of requests that a single individual can make during a particular time period.

Sometimes once you get your API key, you simply include the key as part of POST data or perhaps as a parameter on the URL when calling the API.

Other times, the vendor wants increased assurance of the source of the requests and so they add expect you to send cryptographically signed messages using shared keys and secrets. A very common technology that is used to sign requests over the Internet is called *OAuth*. You can read more about the OAuth protocol at [www.oauth.net](http://www.oauth.net).

As the Twitter API became increasingly valuable, Twitter went from an open and public API to an API that required the use of OAuth signatures on each API request. Thankfully there are still a number of convenient and free OAuth libraries so you can avoid writing an OAuth implementation from scratch by reading the specification. These libraries are of varying complexity and have varying degrees of richness. The OAuth web site has information about various OAuth libraries.

For this next sample program we will download the files *twurl.py*, *hidden.py*, *oauth.py*, and *twitter1.py* from [www.py4e.com/code](http://www.py4e.com/code) and put them all in a folder on your computer.

To make use of these programs you will need to have a Twitter account, and authorize your Python code as an application, set up a key, secret, token and token secret. You will edit the file *hidden.py* and put these four strings into the appropriate variables in the file:

```
# Keep this file separate
```

```
# https://apps.twitter.com/
# Create new App

def oauth():
    return {"consumer_key": "h7Lu...Ng",
            "consumer_secret": "dNKenAC3New...mmn7Q",
            "token_key": "10185562-eibxCp9n2...P4GEQQOSGI",
            "token_secret": "H0ycCFemmC4wyf1...qoIpBo"}

# Code: http://www.py4e.com/code3/hidden.py
```

The Twitter web service are accessed using a URL like this:

[https://api.twitter.com/1.1/statuses/user\\_timeline.json](https://api.twitter.com/1.1/statuses/user_timeline.json)

But once all of the security information has been added, the URL will look more like:

```
https://api.twitter.com/1.1/statuses/user_timeline.json?count=2
&oauth_version=1.0&oauth_token=101...SGI&screen_name=drchuck
&oauth_nonce=09239679&oauth_timestamp=1380395644
&oauth_signature=rLK...BoD&oauth_consumer_key=h7Lu...GNg
&oauth_signature_method=HMAC-SHA1
```

You can read the OAuth specification if you want to know more about the meaning of the various parameters that are added to meet the security requirements of OAuth.

For the programs we run with Twitter, we hide all the complexity in the files *oauth.py* and *twurl.py*. We simply set the secrets in *hidden.py* and then send the desired URL to the *twurl.augment()* function and the library code adds all the necessary parameters to the URL for us.

This program retrieves the timeline for a particular Twitter user and returns it to us in JSON format in a string. We simply print the first 250 characters of the string:

```
import urllib.request, urllib.parse, urllib.error
import twurl

TWITTER_URL = 'https://api.twitter.com/1.1/statuses/user_timeline.json'

while True:
    print('')
    acct = input('Enter Twitter Account:')
    if (len(acct) < 1): break
    url = twurl.augment(TWITTER_URL,
                        {'screen_name': acct, 'count': '2'})
    print('Retrieving', url)
    connection = urllib.request.urlopen(url)
    data = connection.read().decode()
```



```

print('Retrieving', url)
connection = urllib.request.urlopen(url)
data = connection.read().decode()
headers = dict(connection.getheaders())
print('Remaining', headers['x-rate-limit-remaining'])
js = json.loads(data)
print(json.dumps(js, indent=4))

for u in js['users']:
    print(u['screen_name'])
    s = u['status']['text']
    print(' ', s[:50])

```

*# Code: <http://www.py4e.com/code3/twitter2.py>*

Since the JSON becomes a set of nested Python lists and dictionaries, we can use a combination of the index operation and for loops to wander through the returned data structures with very little Python code.

The output of the program looks as follows (some of the data items are shortened to fit on the page):

```

Enter Twitter Account:drchuck
Retrieving https://api.twitter.com/1.1/friends ...
Remaining 14

```

```

{
  "next_cursor": 1444171224491980205,
  "users": [
    {
      "id": 662433,
      "followers_count": 28725,
      "status": {
        "text": "@jazzychad I just bought one .__.",
        "created_at": "Fri Sep 20 08:36:34 +0000 2013",
        "retweeted": false,
      },
      "location": "San Francisco, California",
      "screen_name": "leahculver",
      "name": "Leah Culver",
    },
    {
      "id": 40426722,
      "followers_count": 2635,
      "status": {
        "text": "RT @WSJ: Big employers like Google ...",
        "created_at": "Sat Sep 28 19:36:37 +0000 2013",
      },
      "location": "Victoria Canada",
      "screen_name": "_valeriei",
      "name": "Valerie Irvine",
    }
  ]
}

```

```

    ],
    "next_cursor_str": "1444171224491980205"
}

leahculver
    @jazzychad I just bought one ._.
_valeriei
    RT @WSJ: Big employers like Google, AT&T are h
ericbollens
    RT @lukew: sneak peek: my LONG take on the good &a
halherzog
    Learning Objects is 10. We had a cake with the LO,
scweeker
    @DeviceLabDC love it! Now where so I get that "etc

Enter Twitter Account:

```

The last bit of the output is where we see the for loop reading the five most recent “friends” of the *drchuck* Twitter account and printing the most recent status for each friend. There is a great deal more data available in the returned JSON. If you look in the output of the program, you can also see that the “find the friends” of a particular account has a different rate limitation than the number of timeline queries we are allowed to run per time period.

These secure API keys allow Twitter to have solid confidence that they know who is using their API and data and at what level. The rate-limiting approach allows us to do simple, personal data retrievals but does not allow us to build a product that pulls data from their API millions of times per day.

## 13.9 Glossary

**API** Application Program Interface - A contract between applications that defines the patterns of interaction between two application components.

**ElementTree** A built-in Python library used to parse XML data.

**JSON** JavaScript Object Notation. A format that allows for the markup of structured data based on the syntax of JavaScript Objects.

**SOA** Service-Oriented Architecture. When an application is made of components connected across a network.

**XML** eXtensible Markup Language. A format that allows for the markup of structured data.

## 13.10 Exercises

**Exercise 1:** Change either the [www.py4e.com/code3/geojson.py](http://www.py4e.com/code3/geojson.py) or [www.py4e.com/code3/geoxml.py](http://www.py4e.com/code3/geoxml.py) to print out the two-character country code from the retrieved data. Add error checking so your program does not traceback if the country code is not there. Once you have it working, search for “Atlantic Ocean” and make sure it can handle locations that are not in any country.



## Chapter 14

# Object-Oriented Programming

### 14.1 Managing Larger Programs

At the beginning of this book, we came up with four basic programming patterns which we use to construct programs:

- Sequential code
- Conditional code (if statements)
- Repetitive code (loops)
- Store and reuse (functions)

In later chapters, we explored simple variables as well as collection data structures like lists, tuples, and dictionaries.

As we build programs, we design data structures and write code to manipulate those data structures. There are many ways to write programs and by now, you probably have written some programs that are “not so elegant” and other programs that are “more elegant”. Even though your programs may be small, you are starting to see how there is a bit of “art” and “aesthetic” to writing code.

As programs get to be millions of lines long, it becomes increasingly important to write code that is easy to understand. If you are working on a million line program, you can never keep the entire program in your mind at the same time. So we need ways to break the program into multiple smaller pieces so to solve a problem, fix a bug, or add a new feature we have less to look at.

In a way, object oriented programming is a way to arrange your code so that you can zoom into 500 lines of the code, and understand it while ignoring the other 999,500 lines of code for the moment.

## 14.2 Getting Started

Like many aspects of programming it is necessary to learn the concepts of object oriented programming before you can use them effectively. So approach this chapter as a way to learn some terms and concepts and work through a few simple examples to lay a foundation for future learning. Throughout the rest of the book we will be using objects in many of the programs but we won't be building our own new objects in the programs.

The key outcome of this chapter is to have a basic understanding of how objects are constructed and how they function and most importantly how we make use of the capabilities of objects that are provided to us by Python and Python libraries.

## 14.3 Using Objects

It turns out we have been using objects all along in this class. Python provides us with many built-in objects. Here is some simple code where the first few lines should feel very simple and natural to you.

```
stuff = list()
stuff.append('python')
stuff.append('chuck')
stuff.sort()
print (stuff[0])

print (stuff.__getitem__(0))
print (list.__getitem__(stuff,0))

# Code: http://www.py4e.com/code3/party1.py
```

But instead of focusing on what these lines accomplish, let's look at what is really happening from the point of view of object-oriented programming. Don't worry if the following paragraphs don't make any sense the first time you read them because we have not yet defined all these terms.

The first line is *constructing* an object of type *list*, the second and third lines are calling the `append()` *method*, the fourth line is calling the `sort()` *method*, and the fifth line is retrieving the item at position 0.

The sixth line is calling the `__getitem__()` method in the `stuff` list with a parameter of zero.

```
print (stuff.__getitem__(0))
```

The seventh line is an even more verbose way of retrieving the 0th item in the list.

```
print (list.__getitem__(stuff,0))
```

In this code, we are calling the `__getitem__` method in the `list` class and passing in the list (`stuff`) and the item we want retrieved from the list as parameters.

The last three lines of the program are completely equivalent, but it is more convenient to simply use the square bracket syntax to look up an item at a particular position in a list.

We can take a look into the capabilities of an object by looking at the output of the `dir()` function:

```
>>> stuff = list()
>>> dir(stuff)
['__add__', '__class__', '__contains__', '__delattr__',
 '__delitem__', '__dir__', '__doc__', '__eq__',
 '__format__', '__ge__', '__getattribute__', '__getitem__',
 '__gt__', '__hash__', '__iadd__', '__imul__', '__init__',
 '__iter__', '__le__', '__len__', '__lt__', '__mul__',
 '__ne__', '__new__', '__reduce__', '__reduce_ex__',
 '__repr__', '__reversed__', '__rmul__', '__setattr__',
 '__setitem__', '__sizeof__', '__str__', '__subclasshook__',
 'append', 'clear', 'copy', 'count', 'extend', 'index',
 'insert', 'pop', 'remove', 'reverse', 'sort']
>>>
```

The precise definition of `dir()` is that it lists the *methods* and *attributes* of a Python object.

The rest of this chapter will define all of the above terms so make sure to come back after you finish the chapter and re-read the above paragraphs to check your understanding.

## 14.4 Starting with Programs

A program in its most basic form takes some input, does some processing, and produces some output. Our elevator conversion program demonstrates a very short but complete program showing all three of these steps.

```
usf = input('Enter the US Floor Number: ')
wf = int(usf) - 1
print('Non-US Floor Number is',wf)

# Code: http://www.py4e.com/code3/elev.py
```

If we think a bit more about this program, there is the “outside world” and the program. The input and output aspects are where the program interacts with the outside world. Within the program we have code and data to accomplish the task the program is designed to solve.

When we are “in” the program, we have some defined interactions with the “outside” world, but those interactions are well defined and generally not something we focus on. While we are coding we worry only about the details “inside the program”.



Figure 14.1: A Program

One way to think about object oriented programming is that we are separating our program into multiple “zones”. Each “zone” contains some code and data (like a program) and has well defined interactions with the outside world and the other zones within the program.

If we look back at the link extraction application where we used the BeautifulSoup library, we can see a program that is constructed by connecting different objects together to accomplish a task:

```
# To run this, you can install BeautifulSoup
# https://pypi.python.org/pypi/beautifulsoup4

# Or download the file
# http://www.py4e.com/code3/bs4.zip
# and unzip it in the same directory as this file

import urllib.request, urllib.parse, urllib.error
from bs4 import BeautifulSoup

url = input('Enter - ')
html = urllib.request.urlopen(url).read()
soup = BeautifulSoup(html, 'html.parser')

# Retrieve all of the anchor tags
tags = soup('a')
for tag in tags:
    print(tag.get('href', None))

# Code: http://www.py4e.com/code3/urllinks.py
```

We read the URL into a string, and then pass that into `urllib` to retrieve the data from the web. The `urllib` library uses the `socket` library to make the actual network connection to retrieve the data. We take the string that we get back from `urllib` and hand it to BeautifulSoup for parsing. BeautifulSoup makes use of another object called `html.parser`<sup>1</sup> and returns an object. We call the `tags()` method in the returned object and then get a dictionary of tag objects, and loop through the tags and call the `get()` method for each tag to print out the ‘href’ attribute.

<sup>1</sup><https://docs.python.org/3/library/html.parser.html>

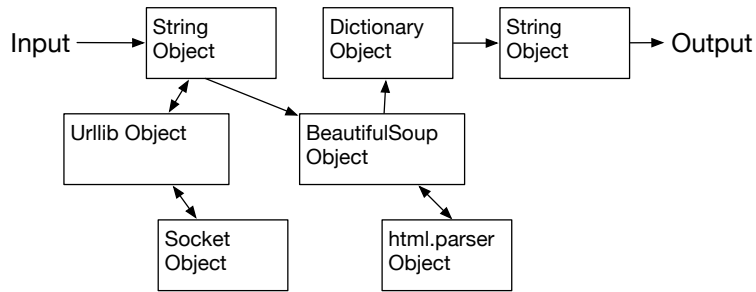


Figure 14.2: A Program as Network of Objects

We can draw a picture of this program and how the objects work together.

The key here is not to fully understand how this program works but to see how we build a network of interacting objects and orchestrate the movement of information between the objects to create a program. It is also important to note that when you looked at that program several chapters back, you could fully understand what was going on in the program without even realizing that the program was “orchestrating the movement of data between objects”. Back then it was just lines of code that got the job done.

## 14.5 Subdividing a Problem - Encapsulation

One of the advantages of the object oriented approach is that it can hide complexity. For example, while we need to know how to use the `urllib` and `BeautifulSoup` code, we do not need to know how those libraries work internally. It allows us to focus on the part of the problem we need to solve and ignore the other parts of the program.

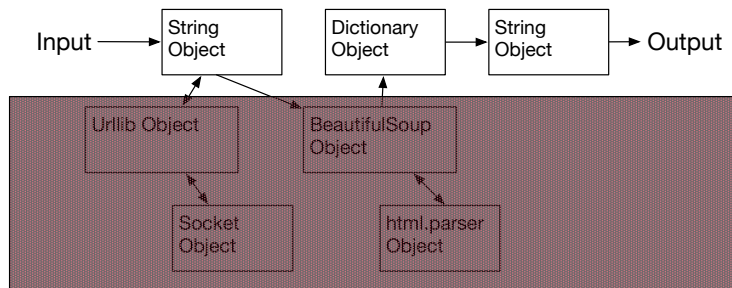


Figure 14.3: Ignoring Detail When Using an Object

This ability to focus on a part of a program that we care about and ignore the rest of the program is also helpful to the developers of the objects. For example the programmers developing `BeautifulSoup` do not need to know or care about how we retrieve our HTML page, what parts we want to read or what we plan to do with the data we extract from the web page.

Another word we use to capture this idea that we ignore the internal detail of objects we use is “encapsulation”. This means that we can know how to use an

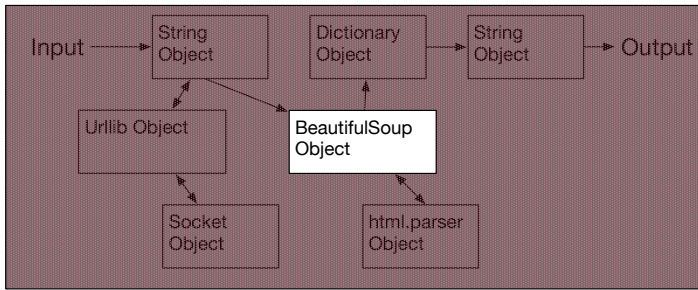


Figure 14.4: Ignoring Detail When Building an Object

object without knowing how it internally accomplishes what we need done.

## 14.6 Our First Python Object

At its simplest, an object is some code plus data structures that is smaller than a whole program. Defining a function allows us to store a bit of code and give it a name and then later invoke that code using the name of the function.

An object can contain a number of functions (which we call “methods”) as well as data that is used by those functions. We call data items that are part of the object “attributes”.

We use the `class` keyword to define the data and code that will make up each of the objects. The class keyword includes the name of the class and begins an indented block of code where we include the attributes (data) and methods (code).

```
class PartyAnimal:
    x = 0

    def party(self) :
        self.x = self.x + 1
        print("So far",self.x)
```

```
an = PartyAnimal()
an.party()
an.party()
an.party()
PartyAnimal.party(an)
```

*# Code: <http://www.py4e.com/code3/party2.py>*

Each method looks like a function, starting with the `def` keyword and consisting of an indented block of code. This example has one attribute (`x`) and one method (`party`). The methods have a special first parameter that we name by convention `self`.

Much like the `def` keyword does not cause function code to be executed, the `class` keyword does not create an object. Instead, the `class` keyword defines a

template indicating what data and code will be contained in each object of type `PartyAnimal`. The class is like a cookie cutter and the objects created using the class are the cookies<sup>2</sup>. You don't put frosting on the cookie cutter, you put frosting on the cookies - and you can put different frosting on each cookie.



Figure 14.5: A Class and Two Objects

If you continue through the example code, we see the first executable line of code:

```
an = PartyAnimal()
```

This is where we instruct Python to construct (e.g. create) an *object* or “instance of the class named `PartyAnimal`”. It looks like a function call to the class itself and Python constructs the object with the right data and methods and returns the object which is then assigned to the variable `an`. In a way this is quite similar to the following line which we have been using all along:

```
counts = dict()
```

Here we are instructing Python to construct an object using the `dict` template (already present in Python), return the instance of dictionary and assign it to the variable `counts`.

When the `PartyAnimal` class is used to construct an object, the variable `an` is used to point to that object. We use `an` to access the code and data for that particular instance of a `PartyAnimal` object.

Each `Partyanimal` object/instance contains within it a variable `x` and a method/function named `party`. We call that `party` method in this line:

```
an.party()
```

When the `party` method is called, the first parameter (which we call by convention `self`) points to the particular instance of the `PartyAnimal` object that `party` is called from within. Within the `party` method, we see the line:

<sup>2</sup>Cookie image copyright CC-BY <https://www.flickr.com/photos/dinnerseries/23570475099>

```
self.x = self.x + 1
```

This syntax using the ‘dot’ operator is saying ‘the x within self’. So each time `party()` is called, the internal x value is incremented by 1 and the value is printed out.

To help make sense of the difference between a global function and a method within a class/object, the following line is another way to call the `party` method within the `an` object:

```
PartyAnimal.party(an)
```

In this variation, we are accessing the code from within the *class* and explicitly passing the object pointer `an` in as the first parameter (i.e. `self` within the method). You can think of `an.party()` as shorthand for the above line.

When the program executes, it produces the following output:

```
So far 1
So far 2
So far 3
So far 4
```

The object is constructed, and the `party` method is called four times, both incrementing and printing the value for x within the `an` object.

## 14.7 Classes as Types

As we have seen, in Python, all variables have a type. And we can use the built-in `dir` function to examine the capabilities of a variable. We can use `type` and `dir` with the classes that we create.

```
class PartyAnimal:
    x = 0

    def party(self) :
        self.x = self.x + 1
        print("So far",self.x)

an = PartyAnimal()
print ("Type", type(an))
print ("Dir ", dir(an))
print ("Type", type(an.x))
print ("Type", type(an.party))

# Code: http://www.py4e.com/code3/party3.py
```

When this program executes, it produces the following output:



```

Type <class '__main__.PartyAnimal'>
Dir  ['__class__', '__delattr__', ...
      '__sizeof__', '__str__', '__subclasshook__',
      '__weakref__', 'party', 'x']
Type <class 'int'>
Type <class 'method'>

```

You can see that using the `class` keyword, we have created a new type. From the `dir` output, you can see both the `x` integer attribute and the `party` method are available in the object.

## 14.8 Object Lifecycle

In the previous examples, we are defining a class (template) and using that class to create an instance of that class (object) and then using the instance. When the program finishes, all the variables are discarded. Usually we don't think much about the creation and destruction of variables, but often as our objects become more complex, we need to take some action within the object to set things up as the object is being constructed and possibly clean things up as the object is being discarded.

If we want our object to be aware of these moments of construction and destruction, we add specially named methods to our object:

```

class PartyAnimal:
    x = 0

    def __init__(self):
        print('I am constructed')

    def party(self) :
        self.x = self.x + 1
        print('So far',self.x)

    def __del__(self):
        print('I am destructed', self.x)

an = PartyAnimal()
an.party()
an.party()
an = 42
print('an contains',an)

# Code: http://www.py4e.com/code3/party4.py

```

When this program executes, it produces the following output:

```

I am constructed
So far 1

```

```

So far 2
I am destructed 2
an contains 42

```

As Python is constructing our object, it calls our `__init__` method to give us a chance to set up some default or initial values for the object. When Python encounters the line:

```
an = 42
```

It actually ‘throws our object away’ so it can reuse the `an` variable to store the value 42. Just at the moment when our `an` object is being ‘destroyed’ our destructor code (`__del__`) is called. We cannot stop our variable from being destroyed, but we can do any necessary cleanup right before our object no longer exists.

When developing objects, it is quite common to add a constructor to an object to set in initial values in the object, it is relatively rare to need to need a destructor for an object.

## 14.9 Many Instances

So far, we have been defining a class, making a single object, using that object, and then throwing the object away. But the real power in object oriented happens when we make many instances of our class.

When we are making multiple objects from our class, we might want to set up different initial values for each of the objects. We can pass data into the constructors to give each object a different initial value:

```

class PartyAnimal:
    x = 0
    name = ''
    def __init__(self, nam):
        self.name = nam
        print(self.name, 'constructed')

    def party(self) :
        self.x = self.x + 1
        print(self.name, 'party count', self.x)

s = PartyAnimal('Sally')
s.party()
j = PartyAnimal('Jim')
j.party()
s.party()

```

*# Code: <http://www.py4e.com/code3/party5.py>*

The constructor has both a `self` parameter that points to the object instance and then additional parameters that are passed into the constructor as the object is being constructed:

```
s = PartyAnimal('Sally')
```

Within the constructor, the line:

```
self.name = nam
```

Copies the parameter that is passed in (`nam`) into the `name` attribute within the object instance.

The output of the program shows that each of the objects (`s` and `j`) contain their own independent copies of `x` and `nam`:

```
Sally constructed
Sally party count 1
Jim constructed
Jim party count 1
Sally party count 2
```

## 14.10 Inheritance

Another powerful feature of object oriented programming is the ability to create a new class by extending an existing class. When extending a class, we call the original class the ‘parent class’ and the new class as the ‘child class’.

For this example, we will move our `PartyAnimal` class into its own file:

```
class PartyAnimal:
    x = 0
    name = ''
    def __init__(self, nam):
        self.name = nam
        print(self.name, 'constructed')

    def party(self) :
        self.x = self.x + 1
        print(self.name, 'party count', self.x)

# Code: http://www.py4e.com/code3/party.py
```

Then, we can ‘import’ the `PartyAnimal` class in a new file and extend it as follows:

```
from party import PartyAnimal

class CricketFan(PartyAnimal):
    points = 0
    def six(self):
        self.points = self.points + 6
        self.party()
        print(self.name, "points", self.points)
```

```
s = PartyAnimal("Sally")
s.party()
j = CricketFan("Jim")
j.party()
j.six()
print(dir(j))

# Code: http://www.py4e.com/code3/party6.py
```

When we are defining the `CricketFan` object, we indicate that we are extending the `PartyAnimal` class. This means that all of the variables (`x`) and methods (`party`) from the `PartyAnimal` class are inherited by the `CricketFan` class.

You can see that within the `six` method in the `CricketFan` class, we can call the `party` method from the `PartyAnimal` class. The variables and methods from the parent class are *merged* into the child class.

As the program executes, we can see that the `s` and `j` are independent instances of `PartyAnimal` and `CricketFan`. The `j` object has additional capabilities beyond the `s` object.

```
Sally constructed
Sally party count 1
Jim constructed
Jim party count 1
Jim party count 2
Jim points 6
['__class__', '__delattr__', ... '__weakref__',
'name', 'party', 'points', 'six', 'x']
```

In the `dir` output for the `j` object (instance of the `CricketFan` class) you can see that it both has the attributes and methods of the parent class as well as the attributes and methods that were added when the class was extended to create the `CricketFan` class.

## 14.11 Summary

This is a very quick introduction to object-oriented programming that focuses mainly on terminology and the syntax of defining and using objects. Let's quickly review the code that we looked at in the beginning of the chapter. At this point you should fully understand what is going on.

```
stuff = list()
stuff.append('python')
stuff.append('chuck')
stuff.sort()
print (stuff[0])

print (stuff.__getitem__(0))
```

```
print (list.__getitem__(stuff,0))

# Code: http://www.py4e.com/code3/party1.py
```

The first line constructs a *list object*. When Python creates the *list* object, it calls the *constructor* method (named `__init__`) to set up the internal data attributes that will be used to store the list data. Due to *encapsulation* we neither need to know, nor need to care about these in internal data attributes are arranged.

We are not passing any parameters to the *constructor* and when the constructor returns, we use the variable `stuff` to point to the returned instance of the *list* class.

The second and third lines are calling the `append` method with one parameter to add a new item at the end of the list by updating the attributes within `stuff`. Then in the fourth line, we call the `sort` method with no parameters to sort the data within the `stuff` object.

Then we print out the first item in the list using the square brackets which are a shortcut to calling the `__getitem__` method within the *stuff object*. And this is equivalent to calling the `__getitem__` method in the *list class* passing the `stuff` object in as the first parameter and the position we are looking for as the second parameter.

At the end of the program the `stuff` object is discarded but not before calling the *destructor* (named `__del__`) so the object can clean up any loose ends as necessary.

Those are the basics and terminology of object oriented programming. There are many additional details as to how to best use object oriented approaches when developing large applications and libraries that are beyond the scope of this chapter.<sup>3</sup>

## 14.12 Glossary

**attribute** A variable that is part of a class.

**class** A template that can be used to construct an object. Defines the attributes and methods that will make up the object.

**child class** A new class created when a parent class is extended. The child class inherits all of the attributes and methods of the parent class.

**constructor** An optional specially named method (`__init__`) that is called at the moment when a class is being used to construct an object. Usually this is used to set up initial values for the object.

---

<sup>3</sup>If you are curious about where the list class is defined, take a look at (hopefully the URL won't change) <https://github.com/python/cpython/blob/master/Objects/listobject.c> - the list class is written in a language called "C". If you take a look at that source code and find it curious you might want to explore a few Computer Science courses.

**destructor** An optional specially named method (`__del__`) that is called at the moment just before an object is destroyed. Destructors are rarely used.

**inheritance** When we create a new class (child) by extending an existing class (parent). The child class has all the attributes and methods of the parent class plus additional attributes and methods defined by the child class.

**method** A function that is contained within a class and the objects that are constructed from the class. Some object-oriented patterns use ‘message’ instead of ‘method’ to describe this concept.

**object** A constructed instance of a class. An object contains all of the attributes and methods that were defined by the class. Some object-oriented documentation uses the term ‘instance’ interchangeably with ‘object’.

**parent class** The class which is being extended to create a new child class. The parent class contributes all of its methods and attributes to the new child class.

# Chapter 15

## Using databases and SQL

### 15.1 What is a database?

A *database* is a file that is organized for storing data. Most databases are organized like a dictionary in the sense that they map from keys to values. The biggest difference is that the database is on disk (or other permanent storage), so it persists after the program ends. Because a database is stored on permanent storage, it can store far more data than a dictionary, which is limited to the size of the memory in the computer.

Like a dictionary, database software is designed to keep the inserting and accessing of data very fast, even for large amounts of data. Database software maintains its performance by building *indexes* as data is added to the database to allow the computer to jump quickly to a particular entry.

There are many different database systems which are used for a wide variety of purposes including: Oracle, MySQL, Microsoft SQL Server, PostgreSQL, and SQLite. We focus on SQLite in this book because it is a very common database and is already built into Python. SQLite is designed to be *embedded* into other applications to provide database support within the application. For example, the Firefox browser also uses the SQLite database internally as do many other products.

<http://sqlite.org/>

SQLite is well suited to some of the data manipulation problems that we see in Informatics such as the Twitter spidering application that we describe in this chapter.

### 15.2 Database concepts

When you first look at a database it looks like a spreadsheet with multiple sheets. The primary data structures in a database are: *tables*, *rows*, and *columns*.

In technical descriptions of relational databases the concepts of table, row, and column are more formally referred to as *relation*, *tuple*, and *attribute*, respectively. We will use the less formal terms in this chapter.



Figure 15.1: Relational Databases

### 15.3 Database Browser for SQLite

While this chapter will focus on using Python to work with data in SQLite database files, many operations can be done more conveniently using software called the *Database Browser for SQLite* which is freely available from:

<http://sqlitebrowser.org/>

Using the browser you can easily create tables, insert data, edit data, or run simple SQL queries on the data in the database.

In a sense, the database browser is similar to a text editor when working with text files. When you want to do one or very few operations on a text file, you can just open it in a text editor and make the changes you want. When you have many changes that you need to do to a text file, often you will write a simple Python program. You will find the same pattern when working with databases. You will do simple operations in the database manager and more complex operations will be most conveniently done in Python.

### 15.4 Creating a database table

Databases require more defined structure than Python lists or dictionaries<sup>1</sup>.

When we create a database *table* we must tell the database in advance the names of each of the *columns* in the table and the type of data which we are planning to store in each *column*. When the database software knows the type of data in each column, it can choose the most efficient way to store and look up the data based on the type of data.

You can look at the various data types supported by SQLite at the following url:

<http://www.sqlite.org/datatypes.html>

Defining structure for your data up front may seem inconvenient at the beginning, but the payoff is fast access to your data even when the database contains a large amount of data.

---

<sup>1</sup>SQLite actually does allow some flexibility in the type of data stored in a column, but we will keep our data types strict in this chapter so the concepts apply equally to other database systems such as MySQL.



The code to create a database file and a table named **Tracks** with two columns in the database is as follows:

```
import sqlite3

conn = sqlite3.connect('music.sqlite')
cur = conn.cursor()

cur.execute('DROP TABLE IF EXISTS Tracks')
cur.execute('CREATE TABLE Tracks (title TEXT, plays INTEGER)')

conn.close()

# Code: http://www.py4e.com/code3/db1.py
```

The `connect` operation makes a “connection” to the database stored in the file `music.sqlite3` in the current directory. If the file does not exist, it will be created. The reason this is called a “connection” is that sometimes the database is stored on a separate “database server” from the server on which we are running our application. In our simple examples the database will just be a local file in the same directory as the Python code we are running.

A *cursor* is like a file handle that we can use to perform operations on the data stored in the database. Calling `cursor()` is very similar conceptually to calling `open()` when dealing with text files.



Figure 15.2: A Database Cursor

Once we have the cursor, we can begin to execute commands on the contents of the database using the `execute()` method.

Database commands are expressed in a special language that has been standardized across many different database vendors to allow us to learn a single database language. The database language is called *Structured Query Language* or *SQL* for short.

<http://en.wikipedia.org/wiki/SQL>

In our example, we are executing two SQL commands in our database. As a convention, we will show the SQL keywords in uppercase and the parts of the

command that we are adding (such as the table and column names) will be shown in lowercase.

The first SQL command removes the `Tracks` table from the database if it exists. This pattern is simply to allow us to run the same program to create the `Tracks` table over and over again without causing an error. Note that the `DROP TABLE` command deletes the table and all of its contents from the database (i.e., there is no “undo”).

```
cur.execute('DROP TABLE IF EXISTS Tracks ')
```

The second command creates a table named `Tracks` with a text column named `title` and an integer column named `plays`.

```
cur.execute('CREATE TABLE Tracks (title TEXT, plays INTEGER)')
```

Now that we have created a table named `Tracks`, we can put some data into that table using the SQL `INSERT` operation. Again, we begin by making a connection to the database and obtaining the `cursor`. We can then execute SQL commands using the `cursor`.

The SQL `INSERT` command indicates which table we are using and then defines a new row by listing the fields we want to include (`title`, `plays`) followed by the `VALUES` we want placed in the new row. We specify the values as question marks (`?, ?`) to indicate that the actual values are passed in as a tuple ( `'My Way'`, `15` ) as the second parameter to the `execute()` call.

```
import sqlite3

conn = sqlite3.connect('music.sqlite')
cur = conn.cursor()

cur.execute('INSERT INTO Tracks (title, plays) VALUES (?, ?)',
            ('Thunderstruck', 20))
cur.execute('INSERT INTO Tracks (title, plays) VALUES (?, ?)',
            ('My Way', 15))
conn.commit()

print('Tracks:')
cur.execute('SELECT title, plays FROM Tracks')
for row in cur:
    print(row)

cur.execute('DELETE FROM Tracks WHERE plays < 100')

cur.close()

# Code: http://www.py4e.com/code3/db2.py
```

First we `INSERT` two rows into our table and use `commit()` to force the data to be written to the database file.

## Tracks

| title         | plays |
|---------------|-------|
| Thunderstruck | 20    |
| My Way        | 15    |

Figure 15.3: Rows in a Table

Then we use the `SELECT` command to retrieve the rows we just inserted from the table. On the `SELECT` command, we indicate which columns we would like (`title`, `plays`) and indicate which table we want to retrieve the data from. After we execute the `SELECT` statement, the cursor is something we can loop through in a `for` statement. For efficiency, the cursor does not read all of the data from the database when we execute the `SELECT` statement. Instead, the data is read on demand as we loop through the rows in the `for` statement.

The output of the program is as follows:

```
Tracks:
('Thunderstruck', 20)
('My Way', 15)
```

Our `for` loop finds two rows, and each row is a Python tuple with the first value as the `title` and the second value as the number of `plays`.

*Note: You may see strings starting with `u` in other books or on the Internet. This was an indication in Python 2 that the strings are Unicode\* strings that are capable of storing non-Latin character sets. In Python 3, all strings are unicode strings by default.\**

At the very end of the program, we execute an SQL command to `DELETE` the rows we have just created so we can run the program over and over. The `DELETE` command shows the use of a `WHERE` clause that allows us to express a selection criterion so that we can ask the database to apply the command to only the rows that match the criterion. In this example the criterion happens to apply to all the rows so we empty the table out so we can run the program repeatedly. After the `DELETE` is performed, we also call `commit()` to force the data to be removed from the database.

## 15.5 Structured Query Language summary

So far, we have been using the Structured Query Language in our Python examples and have covered many of the basics of the SQL commands. In this section, we look at the SQL language in particular and give an overview of SQL syntax.

Since there are so many different database vendors, the Structured Query Language (SQL) was standardized so we could communicate in a portable manner to database systems from multiple vendors.

A relational database is made up of tables, rows, and columns. The columns generally have a type such as text, numeric, or date data. When we create a table, we indicate the names and types of the columns:

```
CREATE TABLE Tracks (title TEXT, plays INTEGER)
```

To insert a row into a table, we use the SQL INSERT command:

```
INSERT INTO Tracks (title, plays) VALUES ('My Way', 15)
```

The INSERT statement specifies the table name, then a list of the fields/columns that you would like to set in the new row, and then the keyword VALUES and a list of corresponding values for each of the fields.

The SQL SELECT command is used to retrieve rows and columns from a database. The SELECT statement lets you specify which columns you would like to retrieve as well as a WHERE clause to select which rows you would like to see. It also allows an optional ORDER BY clause to control the sorting of the returned rows.

```
SELECT * FROM Tracks WHERE title = 'My Way'
```

Using \* indicates that you want the database to return all of the columns for each row that matches the WHERE clause.

Note, unlike in Python, in a SQL WHERE clause we use a single equal sign to indicate a test for equality rather than a double equal sign. Other logical operations allowed in a WHERE clause include <, >, <=, >=, !=, as well as AND and OR and parentheses to build your logical expressions.

You can request that the returned rows be sorted by one of the fields as follows:

```
SELECT title, plays FROM Tracks ORDER BY title
```

To remove a row, you need a WHERE clause on an SQL DELETE statement. The WHERE clause determines which rows are to be deleted:

```
DELETE FROM Tracks WHERE title = 'My Way'
```

It is possible to UPDATE a column or columns within one or more rows in a table using the SQL UPDATE statement as follows:

```
UPDATE Tracks SET plays = 16 WHERE title = 'My Way'
```

The UPDATE statement specifies a table and then a list of fields and values to change after the SET keyword and then an optional WHERE clause to select the rows that are to be updated. A single UPDATE statement will change all of the rows that match the WHERE clause. If a WHERE clause is not specified, it performs the UPDATE on all of the rows in the table.

These four basic SQL commands (INSERT, SELECT, UPDATE, and DELETE) allow the four basic operations needed to create and maintain data.

## 15.6 Spidering Twitter using a database

In this section, we will create a simple spidering program that will go through Twitter accounts and build a database of them. *Note: Be very careful when running this program. You do not want to pull too much data or run the program for too long and end up having your Twitter access shut off.*

One of the problems of any kind of spidering program is that it needs to be able to be stopped and restarted many times and you do not want to lose the data that you have retrieved so far. You don't want to always restart your data retrieval at the very beginning so we want to store data as we retrieve it so our program can start back up and pick up where it left off.

We will start by retrieving one person's Twitter friends and their statuses, looping through the list of friends, and adding each of the friends to a database to be retrieved in the future. After we process one person's Twitter friends, we check in our database and retrieve one of the friends of the friend. We do this over and over, picking an "unvisited" person, retrieving their friend list, and adding friends we have not seen to our list for a future visit.

We also track how many times we have seen a particular friend in the database to get some sense of their "popularity".

By storing our list of known accounts and whether we have retrieved the account or not, and how popular the account is in a database on the disk of the computer, we can stop and restart our program as many times as we like.

This program is a bit complex. It is based on the code from the exercise earlier in the book that uses the Twitter API.

Here is the source code for our Twitter spidering application:

```
from urllib.request import urlopen
import urllib.error
import twurl
import json
import sqlite3

TWITTER_URL = 'https://api.twitter.com/1.1/friends/list.json'

conn = sqlite3.connect('spider.sqlite')
cur = conn.cursor()

cur.execute('''
    CREATE TABLE IF NOT EXISTS Twitter
    (name TEXT, retrieved INTEGER, friends INTEGER)''')

while True:
    acct = input('Enter a Twitter account, or quit: ')
    if (acct == 'quit'): break
    if (len(acct) < 1):
        cur.execute('SELECT name FROM Twitter WHERE retrieved = 0 LIMIT 1')
        try:
```

```

        acct = cur.fetchone()[0]
    except:
        print('No unretrieved Twitter accounts found')
        continue

    url = twurl.augment(TWITTER_URL, {'screen_name': acct, 'count': '5'})
    print('Retrieving', url)
    connection = urlopen(url)
    data = connection.read().decode()
    headers = dict(connection.getheaders())

    print('Remaining', headers['x-rate-limit-remaining'])
    js = json.loads(data)
    # Debugging
    # print json.dumps(js, indent=4)

    cur.execute('UPDATE Twitter SET retrieved=1 WHERE name = ?', (acct, ))

    countnew = 0
    countold = 0
    for u in js['users']:
        friend = u['screen_name']
        print(friend)
        cur.execute('SELECT friends FROM Twitter WHERE name = ? LIMIT 1',
                    (friend, ))
        try:
            count = cur.fetchone()[0]
            cur.execute('UPDATE Twitter SET friends = ? WHERE name = ?',
                        (count+1, friend))
            countold = countold + 1
        except:
            cur.execute('INSERT INTO Twitter (name, retrieved, friends)
                        VALUES (?, 0, 1)', (friend, ))
            countnew = countnew + 1
    print('New accounts=', countnew, ' revisited=', countold)
    conn.commit()

cur.close()

# Code: http://www.py4e.com/code3/twspider.py

```

Our database is stored in the file `spider.sqlite3` and it has one table named `Twitter`. Each row in the `Twitter` table has a column for the account name, whether we have retrieved the friends of this account, and how many times this account has been “friended”.

In the main loop of the program, we prompt the user for a Twitter account name or “quit” to exit the program. If the user enters a Twitter account, we retrieve the list of friends and statuses for that user and add each friend to the database if not already in the database. If the friend is already in the list, we add 1 to the `friends` field in the row in the database.

If the user presses enter, we look in the database for the next Twitter account that we have not yet retrieved, retrieve the friends and statuses for that account, add them to the database or update them, and increase their `friends` count.

Once we retrieve the list of friends and statuses, we loop through all of the `user` items in the returned JSON and retrieve the `screen_name` for each user. Then we use the `SELECT` statement to see if we already have stored this particular `screen_name` in the database and retrieve the friend count (`friends`) if the record exists.

```
countnew = 0
countold = 0
for u in js['users'] :
    friend = u['screen_name']
    print friend
    cur.execute('SELECT friends FROM Twitter WHERE name = ? LIMIT 1',
                (friend, ) )
    try:
        count = cur.fetchone()[0]
        cur.execute('UPDATE Twitter SET friends = ? WHERE name = ?',
                    (count+1, friend) )
        countold = countold + 1
    except:
        cur.execute('INSERT INTO Twitter (name, retrieved, friends)
                    VALUES ( ?, 0, 1 )', ( friend, ) )
        countnew = countnew + 1
print 'New accounts=',countnew,' revisited=',countold
conn.commit()
```

Once the cursor executes the `SELECT` statement, we must retrieve the rows. We could do this with a `for` statement, but since we are only retrieving one row (`LIMIT 1`), we can use the `fetchone()` method to fetch the first (and only) row that is the result of the `SELECT` operation. Since `fetchone()` returns the row as a *tuple* (even though there is only one field), we take the first value from the tuple using to get the current friend count into the variable `count`.

If this retrieval is successful, we use the SQL `UPDATE` statement with a `WHERE` clause to add 1 to the `friends` column for the row that matches the friend's account. Notice that there are two placeholders (i.e., question marks) in the SQL, and the second parameter to the `execute()` is a two-element tuple that holds the values to be substituted into the SQL in place of the question marks.

If the code in the `try` block fails, it is probably because no record matched the `WHERE name = ?` clause on the `SELECT` statement. So in the `except` block, we use the SQL `INSERT` statement to add the friend's `screen_name` to the table with an indication that we have not yet retrieved the `screen_name` and set the friend count to zero.

So the first time the program runs and we enter a Twitter account, the program runs as follows:

```
Enter a Twitter account, or quit: drchuck
```

```
Retrieving http://api.twitter.com/1.1/friends ...
New accounts= 20  revisited= 0
Enter a Twitter account, or quit: quit
```

Since this is the first time we have run the program, the database is empty and we create the database in the file `spider.sqlite3` and add a table named `Twitter` to the database. Then we retrieve some friends and add them all to the database since the database is empty.

At this point, we might want to write a simple database dumper to take a look at what is in our `spider.sqlite3` file:

```
import sqlite3

conn = sqlite3.connect('spider.sqlite')
cur = conn.cursor()
cur.execute('SELECT * FROM Twitter')
count = 0
for row in cur:
    print(row)
    count = count + 1
print(count, 'rows.')
cur.close()

# Code: http://www.py4e.com/code3/twdump.py
```

This program simply opens the database and selects all of the columns of all of the rows in the table `Twitter`, then loops through the rows and prints out each row.

If we run this program after the first execution of our Twitter spider above, its output will be as follows:

```
('opencontent', 0, 1)
('lhawthorn', 0, 1)
('steve_coppin', 0, 1)
('davidkocher', 0, 1)
('hrheingold', 0, 1)
...
20 rows.
```

We see one row for each `screen_name`, that we have not retrieved the data for that `screen_name`, and everyone in the database has one friend.

Now our database reflects the retrieval of the friends of our first Twitter account (`drchuck`). We can run the program again and tell it to retrieve the friends of the next “unprocessed” account by simply pressing enter instead of a Twitter account as follows:

```
Enter a Twitter account, or quit:
Retrieving http://api.twitter.com/1.1/friends ...
New accounts= 18  revisited= 2
Enter a Twitter account, or quit:
```



```
Retrieving http://api.twitter.com/1.1/friends ...
New accounts= 17 revisited= 3
Enter a Twitter account, or quit: quit
```

Since we pressed enter (i.e., we did not specify a Twitter account), the following code is executed:

```
if ( len(acct) < 1 ) :
    cur.execute('SELECT name FROM Twitter WHERE retrieved = 0 LIMIT 1')
    try:
        acct = cur.fetchone()[0]
    except:
        print 'No unretrieved twitter accounts found'
        continue
```

We use the SQL `SELECT` statement to retrieve the name of the first (`LIMIT 1`) user who still has their “have we retrieved this user” value set to zero. We also use the `fetchone()[0]` pattern within a try/except block to either extract a `screen_name` from the retrieved data or put out an error message and loop back up.

If we successfully retrieved an unprocessed `screen_name`, we retrieve their data as follows:

```
~ {python{ url = twurl.augment(TWITTER_URL, {'screen_name': acct,
'count': '20'}) print 'Retrieving', url connection = urllib.urlopen(url) data =
connection.read() js = json.loads(data)

cur.execute('UPDATE Twitter SET retrieved=1 WHERE name = ?', (acct, )) ~
```

Once we retrieve the data successfully, we use the `UPDATE` statement to set the `retrieved` column to 1 to indicate that we have completed the retrieval of the friends of this account. This keeps us from retrieving the same data over and over and keeps us progressing forward through the network of Twitter friends.

If we run the friend program and press enter twice to retrieve the next unvisited friend’s friends, then run the dumping program, it will give us the following output:

```
('opencontent', 1, 1)
('lhawthorn', 1, 1)
('steve_coppin', 0, 1)
('davidkocher', 0, 1)
('hrheingold', 0, 1)
...
('cnxorg', 0, 2)
('knoop', 0, 1)
('kthanos', 0, 2)
('LectureTools', 0, 1)
...
55 rows.
```

We can see that we have properly recorded that we have visited `lhawthorn` and `opencontent`. Also the accounts `cnxorg` and `kthanos` already have two followers. Since we now have retrieved the friends of three people (`drchuck`, `opencontent`, and `lhawthorn`) our table has 55 rows of friends to retrieve.

Each time we run the program and press enter it will pick the next unvisited account (e.g., the next account will be `steve_coppin`), retrieve their friends, mark them as retrieved, and for each of the friends of `steve_coppin` either add them to the end of the database or update their friend count if they are already in the database.

Since the program's data is all stored on disk in a database, the spidering activity can be suspended and resumed as many times as you like with no loss of data.

## 15.7 Basic data modeling

The real power of a relational database is when we create multiple tables and make links between those tables. The act of deciding how to break up your application data into multiple tables and establishing the relationships between the tables is called *data modeling*. The design document that shows the tables and their relationships is called a *data model*.

Data modeling is a relatively sophisticated skill and we will only introduce the most basic concepts of relational data modeling in this section. For more detail on data modeling you can start with:

[http://en.wikipedia.org/wiki/Relational\\_model](http://en.wikipedia.org/wiki/Relational_model)

Let's say for our Twitter spider application, instead of just counting a person's friends, we wanted to keep a list of all of the incoming relationships so we could find a list of everyone who is following a particular account.

Since everyone will potentially have many accounts that follow them, we cannot simply add a single column to our `Twitter` table. So we create a new table that keeps track of pairs of friends. The following is a simple way of making such a table:

```
CREATE TABLE Pals (from_friend TEXT, to_friend TEXT)
```

Each time we encounter a person who `drchuck` is following, we would insert a row of the form:

```
INSERT INTO Pals (from_friend,to_friend) VALUES ('drchuck', 'lhawthorn')
```

As we are processing the 20 friends from the `drchuck` Twitter feed, we will insert 20 records with “drchuck” as the first parameter so we will end up duplicating the string many times in the database.

This duplication of string data violates one of the best practices for *database normalization* which basically states that we should never put the same string data in the database more than once. If we need the data more than once, we create a numeric *key* for the data and reference the actual data using this key.

In practical terms, a string takes up a lot more space than an integer on the disk and in the memory of our computer, and takes more processor time to compare and sort. If we only have a few hundred entries, the storage and processor time hardly matters. But if we have a million people in our database and a possibility

of 100 million friend links, it is important to be able to scan data as quickly as possible.

We will store our Twitter accounts in a table named **People** instead of the **Twitter** table used in the previous example. The **People** table has an additional column to store the numeric key associated with the row for this Twitter user. SQLite has a feature that automatically adds the key value for any row we insert into a table using a special type of data column (**INTEGER PRIMARY KEY**).

We can create the **People** table with this additional **id** column as follows:

```
CREATE TABLE People
  (id INTEGER PRIMARY KEY, name TEXT UNIQUE, retrieved INTEGER)
```

Notice that we are no longer maintaining a friend count in each row of the **People** table. When we select **INTEGER PRIMARY KEY** as the type of our **id** column, we are indicating that we would like SQLite to manage this column and assign a unique numeric key to each row we insert automatically. We also add the keyword **UNIQUE** to indicate that we will not allow SQLite to insert two rows with the same value for **name**.

Now instead of creating the table **Pals** above, we create a table called **Follows** with two integer columns **from\_id** and **to\_id** and a constraint on the table that the *combination* of **from\_id** and **to\_id** must be unique in this table (i.e., we cannot insert duplicate rows) in our database.

```
CREATE TABLE Follows
  (from_id INTEGER, to_id INTEGER, UNIQUE(from_id, to_id) )
```

When we add **UNIQUE** clauses to our tables, we are communicating a set of rules that we are asking the database to enforce when we attempt to insert records. We are creating these rules as a convenience in our programs, as we will see in a moment. The rules both keep us from making mistakes and make it simpler to write some of our code.

In essence, in creating this **Follows** table, we are modelling a “relationship” where one person “follows” someone else and representing it with a pair of numbers indicating that (a) the people are connected and (b) the direction of the relationship.

## 15.8 Programming with multiple tables

We will now redo the Twitter spider program using two tables, the primary keys, and the key references as described above. Here is the code for the new version of the program:

```
import urllib.request, urllib.parse, urllib.error
import twurl
import json
import sqlite3
```



Figure 15.4: Relationships Between Tables

```

TWITTER_URL = 'https://api.twitter.com/1.1/friends/list.json'

conn = sqlite3.connect('friends.sqlite')
cur = conn.cursor()

cur.execute('''CREATE TABLE IF NOT EXISTS People
              (id INTEGER PRIMARY KEY, name TEXT UNIQUE, retrieved INTEGER)''')
cur.execute('''CREATE TABLE IF NOT EXISTS Follows
              (from_id INTEGER, to_id INTEGER, UNIQUE(from_id, to_id))''')

while True:
    acct = input('Enter a Twitter account, or quit: ')
    if (acct == 'quit'): break
    if (len(acct) < 1):
        cur.execute('SELECT id, name FROM People WHERE retrieved = 0 LIMIT 1')
        try:
            (id, acct) = cur.fetchone()
        except:
            print('No unretrieved Twitter accounts found')
            continue
    else:
        cur.execute('SELECT id FROM People WHERE name = ? LIMIT 1',
                    (acct, ))
        try:
            id = cur.fetchone()[0]
        except:
            cur.execute('INSERT OR IGNORE INTO People
                        (name, retrieved) VALUES (?, 0)', (acct, ))

```

```

        conn.commit()
        if cur.rowcount != 1:
            print('Error inserting account:', acct)
            continue
        id = cur.lastrowid

url = twurl.augment(TWITTER_URL, {'screen_name': acct, 'count': '5'})
print('Retrieving account', acct)
connection = urllib.request.urlopen(url)
data = connection.read().decode()
headers = dict(connection.getheaders())

print('Remaining', headers['x-rate-limit-remaining'])

js = json.loads(data)
# Debugging
# print json.dumps(js, indent=4)

cur.execute('UPDATE People SET retrieved=1 WHERE name = ?', (acct, ))

countnew = 0
countold = 0
for u in js['users']:
    friend = u['screen_name']
    print(friend)
    cur.execute('SELECT id FROM People WHERE name = ? LIMIT 1',
                (friend, ))
    try:
        friend_id = cur.fetchone()[0]
        countold = countold + 1
    except:
        cur.execute('INSERT OR IGNORE INTO People (name, retrieved)
                    VALUES (?, 0)', (friend, ))
        conn.commit()
        if cur.rowcount != 1:
            print('Error inserting account:', friend)
            continue
        friend_id = cur.lastrowid
        countnew = countnew + 1
    cur.execute('INSERT OR IGNORE INTO Follows (from_id, to_id)
                VALUES (?, ?)', (id, friend_id))
print('New accounts=', countnew, ' revisited=', countold)
conn.commit()
cur.close()

# Code: http://www.py4e.com/code3/twfriends.py

```

This program is starting to get a bit complicated, but it illustrates the patterns that we need to use when we are using integer keys to link tables. The basic patterns are:

1. Create tables with primary keys and constraints.
2. When we have a logical key for a person (i.e., account name) and we need the `id` value for the person, depending on whether or not the person is already in the `People` table we either need to: (1) look up the person in the `People` table and retrieve the `id` value for the person or (2) add the person to the `People` table and get the `id` value for the newly added row.
3. Insert the row that captures the “follows” relationship.

We will cover each of these in turn.

### 15.8.1 Constraints in database tables

As we design our table structures, we can tell the database system that we would like it to enforce a few rules on us. These rules help us from making mistakes and introducing incorrect data into our tables. When we create our tables:

```
cur.execute('''CREATE TABLE IF NOT EXISTS People
              (id INTEGER PRIMARY KEY, name TEXT UNIQUE, retrieved INTEGER)''')
cur.execute('''CREATE TABLE IF NOT EXISTS Follows
              (from_id INTEGER, to_id INTEGER, UNIQUE(from_id, to_id))''')
```

We indicate that the `name` column in the `People` table must be `UNIQUE`. We also indicate that the combination of the two numbers in each row of the `Follows` table must be unique. These constraints keep us from making mistakes such as adding the same relationship more than once.

We can take advantage of these constraints in the following code:

```
cur.execute('''INSERT OR IGNORE INTO People (name, retrieved)
              VALUES ( ?, 0)''', ( friend, ) )
```

We add the `OR IGNORE` clause to our `INSERT` statement to indicate that if this particular `INSERT` would cause a violation of the “`name` must be unique” rule, the database system is allowed to ignore the `INSERT`. We are using the database constraint as a safety net to make sure we don’t inadvertently do something incorrect.

Similarly, the following code ensures that we don’t add the exact same `Follows` relationship twice.

```
cur.execute('''INSERT OR IGNORE INTO Follows
              (from_id, to_id) VALUES (?, ?)''', (id, friend_id) )
```

Again, we simply tell the database to ignore our attempted `INSERT` if it would violate the uniqueness constraint that we specified for the `Follows` rows.

### 15.8.2 Retrieve and/or insert a record

When we prompt the user for a Twitter account, if the account exists, we must look up its `id` value. If the account does not yet exist in the `People` table, we must insert the record and get the `id` value from the inserted row.

This is a very common pattern and is done twice in the program above. This code shows how we look up the `id` for a friend's account when we have extracted a `screen_name` from a `user` node in the retrieved Twitter JSON.

Since over time it will be increasingly likely that the account will already be in the database, we first check to see if the `People` record exists using a `SELECT` statement.

If all goes well<sup>2</sup> inside the `try` section, we retrieve the record using `fetchone()` and then retrieve the first (and only) element of the returned tuple and store it in `friend_id`.

If the `SELECT` fails, the `fetchone()[0]` code will fail and control will transfer into the `except` section.

```
friend = u['screen_name']
cur.execute('SELECT id FROM People WHERE name = ? LIMIT 1',
            (friend, ) )
try:
    friend_id = cur.fetchone()[0]
    countold = countold + 1
except:
    cur.execute('INSERT OR IGNORE INTO People (name, retrieved)
                VALUES ( ?, 0 )', ( friend, ) )
    conn.commit()
    if cur.rowcount != 1 :
        print 'Error inserting account:',friend
        continue
    friend_id = cur.lastrowid
    countnew = countnew + 1
```

If we end up in the `except` code, it simply means that the row was not found, so we must insert the row. We use `INSERT OR IGNORE` just to avoid errors and then call `commit()` to force the database to really be updated. After the write is done, we can check the `cur.rowcount` to see how many rows were affected. Since we are attempting to insert a single row, if the number of affected rows is something other than 1, it is an error.

If the `INSERT` is successful, we can look at `cur.lastrowid` to find out what value the database assigned to the `id` column in our newly created row.

### 15.8.3 Storing the friend relationship

Once we know the key value for both the Twitter user and the friend in the JSON, it is a simple matter to insert the two numbers into the `Follows` table with the

---

<sup>2</sup>In general, when a sentence starts with “if all goes well” you will find that the code needs to use `try/except`.

following code:

```
cur.execute('INSERT OR IGNORE INTO Follows (from_id, to_id) VALUES (?, ?)',
            (id, friend_id) )
```

Notice that we let the database take care of keeping us from “double-inserting” a relationship by creating the table with a uniqueness constraint and then adding `OR IGNORE` to our `INSERT` statement.

Here is a sample execution of this program:

```
Enter a Twitter account, or quit:
No unretrieved Twitter accounts found
Enter a Twitter account, or quit: drchuck
Retrieving http://api.twitter.com/1.1/friends ...
New accounts= 20 revisited= 0
Enter a Twitter account, or quit:
Retrieving http://api.twitter.com/1.1/friends ...
New accounts= 17 revisited= 3
Enter a Twitter account, or quit:
Retrieving http://api.twitter.com/1.1/friends ...
New accounts= 17 revisited= 3
Enter a Twitter account, or quit: quit
```

We started with the `drchuck` account and then let the program automatically pick the next two accounts to retrieve and add to our database.

The following is the first few rows in the `People` and `Follows` tables after this run is completed:

```
People:
(1, 'drchuck', 1)
(2, 'opencontent', 1)
(3, 'lhawthorn', 1)
(4, 'steve_coppin', 0)
(5, 'davidkocher', 0)
55 rows.
Follows:
(1, 2)
(1, 3)
(1, 4)
(1, 5)
(1, 6)
60 rows.
```

You can see the `id`, `name`, and `visited` fields in the `People` table and you see the numbers of both ends of the relationship in the `Follows` table. In the `People` table, we can see that the first three people have been visited and their data has been retrieved. The data in the `Follows` table indicates that `drchuck` (user 1) is a friend to all of the people shown in the first five rows. This makes sense because the first data we retrieved and stored was the Twitter friends of `drchuck`. If you were to print more rows from the `Follows` table, you would see the friends of users 2 and 3 as well.



## 15.9 Three kinds of keys

Now that we have started building a data model putting our data into multiple linked tables and linking the rows in those tables using *keys*, we need to look at some terminology around keys. There are generally three kinds of keys used in a database model.

- A *logical key* is a key that the “real world” might use to look up a row. In our example data model, the `name` field is a logical key. It is the screen name for the user and we indeed look up a user’s row several times in the program using the `name` field. You will often find that it makes sense to add a `UNIQUE` constraint to a logical key. Since the logical key is how we look up a row from the outside world, it makes little sense to allow multiple rows with the same value in the table.
- A *primary key* is usually a number that is assigned automatically by the database. It generally has no meaning outside the program and is only used to link rows from different tables together. When we want to look up a row in a table, usually searching for the row using the primary key is the fastest way to find the row. Since primary keys are integer numbers, they take up very little storage and can be compared or sorted very quickly. In our data model, the `id` field is an example of a primary key.
- A *foreign key* is usually a number that points to the primary key of an associated row in a different table. An example of a foreign key in our data model is the `from_id`.

We are using a naming convention of always calling the primary key field name `id` and appending the suffix `_id` to any field name that is a foreign key.

## 15.10 Using JOIN to retrieve data

Now that we have followed the rules of database normalization and have data separated into two tables, linked together using primary and foreign keys, we need to be able to build a `SELECT` that reassembles the data across the tables.

SQL uses the `JOIN` clause to reconnect these tables. In the `JOIN` clause you specify the fields that are used to reconnect the rows between the tables.

The following is an example of a `SELECT` with a `JOIN` clause:

```
SELECT * FROM Follows JOIN People
  ON Follows.from_id = People.id WHERE People.id = 1
```

The `JOIN` clause indicates that the fields we are selecting cross both the `Follows` and `People` tables. The `ON` clause indicates how the two tables are to be joined: Take the rows from `Follows` and append the row from `People` where the field `from_id` in `Follows` is the same the `id` value in the `People` table.

The result of the `JOIN` is to create extra-long “metarows” which have both the fields from `People` and the matching fields from `Follows`. Where there is more

## People

| id  | name         | retrieved |
|-----|--------------|-----------|
| 1   | drchuck      | 1         |
| 2   | opencontent  | 1         |
| 3   | lhawthorn    | 1         |
| 4   | steve_coppin | 0         |
| ... |              |           |

## Follows

| from_id | to_id |
|---------|-------|
| 1       | 2     |
| 1       | 3     |
| 1       | 4     |
| ...     |       |

| name    | id | from_id | to_id | name         |
|---------|----|---------|-------|--------------|
| drchuck | 1  | 1       | 2     | opencontent  |
| drchuck | 1  | 1       | 3     | lhawthorn    |
| drchuck | 1  | 1       | 4     | steve_coppin |

Figure 15.5: Connecting Tables Using JOIN

than one match between the `id` field from `People` and the `from_id` from `People`, then JOIN creates a metarow for *each* of the matching pairs of rows, duplicating data as needed.

The following code demonstrates the data that we will have in the database after the multi-table Twitter spider program (above) has been run several times.

```
import sqlite3

conn = sqlite3.connect('friends.sqlite')
cur = conn.cursor()

cur.execute('SELECT * FROM People')
count = 0
print('People:')
for row in cur:
    if count < 5: print(row)
    count = count + 1
print(count, 'rows.')

cur.execute('SELECT * FROM Follows')
count = 0
print('Follows:')
for row in cur:
    if count < 5: print(row)
    count = count + 1
print(count, 'rows.')
```

```

cur.execute('''SELECT * FROM Follows JOIN People
              ON Follows.to_id = People.id
              WHERE Follows.from_id = 2''')
count = 0
print('Connections for id=2:')
for row in cur:
    if count < 5: print(row)
    count = count + 1
print(count, 'rows.')

cur.close()

```

*# Code: <http://www.py4e.com/code3/twjoin.py>*

In this program, we first dump out the `People` and `Follows` and then dump out a subset of the data in the tables joined together.

Here is the output of the program:

```

python twjoin.py
People:
(1, 'drchuck', 1)
(2, 'opencontent', 1)
(3, 'lhawthorn', 1)
(4, 'steve_coppin', 0)
(5, 'davidkocher', 0)
55 rows.
Follows:
(1, 2)
(1, 3)
(1, 4)
(1, 5)
(1, 6)
60 rows.
Connections for id=2:
(2, 1, 1, 'drchuck', 1)
(2, 28, 28, 'cnxorg', 0)
(2, 30, 30, 'kthanos', 0)
(2, 102, 102, 'SomethingGirl', 0)
(2, 103, 103, 'ja_Pac', 0)
20 rows.

```

You see the columns from the `People` and `Follows` tables and the last set of rows is the result of the `SELECT` with the `JOIN` clause.

In the last select, we are looking for accounts that are friends of “opencontent” (i.e., `People.id=2`).

In each of the “metarows” in the last select, the first two columns are from the `Follows` table followed by columns three through five from the `People` table. You can also see that the second column (`Follows.to_id`) matches the third column (`People.id`) in each of the joined-up “metarows”.

## 15.11 Summary

This chapter has covered a lot of ground to give you an overview of the basics of using a database in Python. It is more complicated to write the code to use a database to store data than Python dictionaries or flat files so there is little reason to use a database unless your application truly needs the capabilities of a database. The situations where a database can be quite useful are: (1) when your application needs to make small many random updates within a large data set, (2) when your data is so large it cannot fit in a dictionary and you need to look up information repeatedly, or (3) when you have a long-running process that you want to be able to stop and restart and retain the data from one run to the next.

You can build a simple database with a single table to suit many application needs, but most problems will require several tables and links/relationships between rows in different tables. When you start making links between tables, it is important to do some thoughtful design and follow the rules of database normalization to make the best use of the database's capabilities. Since the primary motivation for using a database is that you have a large amount of data to deal with, it is important to model your data efficiently so your programs run as fast as possible.

## 15.12 Debugging

One common pattern when you are developing a Python program to connect to an SQLite database will be to run a Python program and check the results using the Database Browser for SQLite. The browser allows you to quickly check to see if your program is working properly.

You must be careful because SQLite takes care to keep two programs from changing the same data at the same time. For example, if you open a database in the browser and make a change to the database and have not yet pressed the “save” button in the browser, the browser “locks” the database file and keeps any other program from accessing the file. In particular, your Python program will not be able to access the file if it is locked.

So a solution is to make sure to either close the database browser or use the *File* menu to close the database in the browser before you attempt to access the database from Python to avoid the problem of your Python code failing because the database is locked.

## 15.13 Glossary

**attribute** One of the values within a tuple. More commonly called a “column” or “field”.

**constraint** When we tell the database to enforce a rule on a field or a row in a table. A common constraint is to insist that there can be no duplicate values in a particular field (i.e., all the values must be unique).

**cursor** A cursor allows you to execute SQL commands in a database and retrieve data from the database. A cursor is similar to a socket or file handle for network connections and files, respectively.

**database browser** A piece of software that allows you to directly connect to a database and manipulate the database directly without writing a program.

**foreign key** A numeric key that points to the primary key of a row in another table. Foreign keys establish relationships between rows stored in different tables.

**index** Additional data that the database software maintains as rows and inserts into a table to make lookups very fast.

**logical key** A key that the “outside world” uses to look up a particular row. For example in a table of user accounts, a person’s email address might be a good candidate as the logical key for the user’s data.

**normalization** Designing a data model so that no data is replicated. We store each item of data at one place in the database and reference it elsewhere using a foreign key.

**primary key** A numeric key assigned to each row that is used to refer to one row in a table from another table. Often the database is configured to automatically assign primary keys as rows are inserted.

**relation** An area within a database that contains tuples and attributes. More typically called a “table”.

**tuple** A single entry in a database table that is a set of attributes. More typically called “row”.



## Chapter 16

# Visualizing data

So far we have been learning the Python language and then learning how to use Python, the network, and databases to manipulate data.

In this chapter, we take a look at three complete applications that bring all of these things together to manage and visualize data. You might use these applications as sample code to help get you started in solving a real-world problem.

Each of the applications is a ZIP file that you can download and extract onto your computer and execute.

### 16.1 Building a Google map from geocoded data

In this project, we are using the Google geocoding API to clean up some user-entered geographic locations of university names and then placing the data on a Google map.

To get started, download the application from:

[www.py4e.com/code3/geodata.zip](http://www.py4e.com/code3/geodata.zip)

The first problem to solve is that the free Google geocoding API is rate-limited to a certain number of requests per day. If you have a lot of data, you might need to stop and restart the lookup process several times. So we break the problem into two phases.

In the first phase we take our input “survey” data in the file *where.data* and read it one line at a time, and retrieve the geocoded information from Google and store it in a database *geodata.sqlite*. Before we use the geocoding API for each user-entered location, we simply check to see if we already have the data for that particular line of input. The database is functioning as a local “cache” of our geocoding data to make sure we never ask Google for the same data twice.

You can restart the process at any time by removing the file *geodata.sqlite*.

Run the *geoload.py* program. This program will read the input lines in *where.data* and for each line check to see if it is already in the database. If we don’t have the



Figure 16.1: A Google Map

data for the location, it will call the geocoding API to retrieve the data and store it in the database.

Here is a sample run after there is already some data in the database:

```
Found in database Northeastern University
Found in database University of Hong Kong, ...
Found in database Technion
Found in database Viswakarma Institute, Pune, India
Found in database UMD
Found in database Tufts University

Resolving Monash University
Retrieving http://maps.googleapis.com/maps/api/
  geocode/json?sensor=false&address=Monash+University
Retrieved 2063 characters {   "results" : [
{'status': 'OK', 'results': ... }

Resolving Kokshetau Institute of Economics and Management
Retrieving http://maps.googleapis.com/maps/api/
  geocode/json?sensor=false&address=Kokshetau+Inst ...
Retrieved 1749 characters {   "results" : [
{'status': 'OK', 'results': ... }
...

```

The first five locations are already in the database and so they are skipped. The program scans to the point where it finds new locations and starts retrieving them.



The *geoload.py* program can be stopped at any time, and there is a counter that you can use to limit the number of calls to the geocoding API for each run. Given that the *where.data* only has a few hundred data items, you should not run into the daily rate limit, but if you had more data it might take several runs over several days to get your database to have all of the geocoded data for your input.

Once you have some data loaded into *geodata.sqlite*, you can visualize the data using the *geodump.py* program. This program reads the database and writes the file *where.js* with the location, latitude, and longitude in the form of executable JavaScript code.

A run of the *geodump.py* program is as follows:

```
Northeastern University, ... Boston, MA 02115, USA 42.3396998 -71.08975
Bradley University, 1501 ... Peoria, IL 61625, USA 40.6963857 -89.6160811
...
Technion, Viazman 87, Kesalsaba, 32000, Israel 32.7775 35.0216667
Monash University Clayton ... VIC 3800, Australia -37.9152113 145.134682
Kokshetau, Kazakhstan 53.2833333 69.3833333
...
12 records written to where.js
Open where.html to view the data in a browser
```

The file *where.html* consists of HTML and JavaScript to visualize a Google map. It reads the most recent data in *where.js* to get the data to be visualized. Here is the format of the *where.js* file:

```
myData = [
  [42.3396998,-71.08975, 'Northeastern Uni ... Boston, MA 02115'],
  [40.6963857,-89.6160811, 'Bradley University, ... Peoria, IL 61625, USA'],
  [32.7775,35.0216667, 'Technion, Viazman 87, Kesalsaba, 32000, Israel'],
  ...
];
```

This is a JavaScript variable that contains a list of lists. The syntax for JavaScript list constants is very similar to Python, so the syntax should be familiar to you.

Simply open *where.html* in a browser to see the locations. You can hover over each map pin to find the location that the geocoding API returned for the user-entered input. If you cannot see any data when you open the *where.html* file, you might want to check the JavaScript or developer console for your browser.

## 16.2 Visualizing networks and interconnections

In this application, we will perform some of the functions of a search engine. We will first spider a small subset of the web and run a simplified version of the Google page rank algorithm to determine which pages are most highly connected, and then visualize the page rank and connectivity of our small corner of the web. We will use the D3 JavaScript visualization library <http://d3js.org/> to produce the visualization output.

You can download and extract this application from:



Figure 16.2: A Page Ranking

[www.py4e.com/code3/pagerank.zip](http://www.py4e.com/code3/pagerank.zip)

The first program (*spider.py*) program crawls a web site and pulls a series of pages into the database (*spider.sqlite*), recording the links between pages. You can restart the process at any time by removing the *spider.sqlite* file and rerunning *spider.py*.

```
Enter web url or enter: http://www.dr-chuck.com/
['http://www.dr-chuck.com']
How many pages:2
1 http://www.dr-chuck.com/ 12
2 http://www.dr-chuck.com/csev-blog/ 57
How many pages:
```

In this sample run, we told it to crawl a website and retrieve two pages. If you restart the program and tell it to crawl more pages, it will not re-crawl any pages already in the database. Upon restart it goes to a random non-crawled page and starts there. So each successive run of *spider.py* is additive.

```
Enter web url or enter: http://www.dr-chuck.com/
['http://www.dr-chuck.com']
How many pages:3
3 http://www.dr-chuck.com/csev-blog 57
4 http://www.dr-chuck.com/dr-chuck/resume/speaking.htm 1
5 http://www.dr-chuck.com/dr-chuck/resume/index.htm 13
How many pages:
```

You can have multiple starting points in the same database—within the program,

these are called “webs”. The spider chooses randomly amongst all non-visited links across all the webs as the next page to spider.

If you want to dump the contents of the *spider.sqlite* file, you can run *spdump.py* as follows:

```
(5, None, 1.0, 3, 'http://www.dr-chuck.com/csev-blog')
(3, None, 1.0, 4, 'http://www.dr-chuck.com/dr-chuck/resume/speaking.htm')
(1, None, 1.0, 2, 'http://www.dr-chuck.com/csev-blog/')
(1, None, 1.0, 5, 'http://www.dr-chuck.com/dr-chuck/resume/index.htm')
4 rows.
```

This shows the number of incoming links, the old page rank, the new page rank, the id of the page, and the url of the page. The *spdump.py* program only shows pages that have at least one incoming link to them.

Once you have a few pages in the database, you can run page rank on the pages using the *sprank.py* program. You simply tell it how many page rank iterations to run.

```
How many iterations:2
1 0.546848992536
2 0.226714939664
[(1, 0.559), (2, 0.659), (3, 0.985), (4, 2.135), (5, 0.659)]
```

You can dump the database again to see that page rank has been updated:

```
(5, 1.0, 0.985, 3, 'http://www.dr-chuck.com/csev-blog')
(3, 1.0, 2.135, 4, 'http://www.dr-chuck.com/dr-chuck/resume/speaking.htm')
(1, 1.0, 0.659, 2, 'http://www.dr-chuck.com/csev-blog/')
(1, 1.0, 0.659, 5, 'http://www.dr-chuck.com/dr-chuck/resume/index.htm')
4 rows.
```

You can run *sprank.py* as many times as you like and it will simply refine the page rank each time you run it. You can even run *sprank.py* a few times and then go spider a few more pages with *spider.py* and then run *sprank.py* to reconverge the page rank values. A search engine usually runs both the crawling and ranking programs all the time.

If you want to restart the page rank calculations without respidering the web pages, you can use *spreaset.py* and then restart *sprank.py*.

```
How many iterations:50
1 0.546848992536
2 0.226714939664
3 0.0659516187242
4 0.0244199333
5 0.0102096489546
6 0.00610244329379
...
42 0.000109076928206
43 9.91987599002e-05
```

```

44 9.02151706798e-05
45 8.20451504471e-05
46 7.46150183837e-05
47 6.7857770908e-05
48 6.17124694224e-05
49 5.61236959327e-05
50 5.10410499467e-05
[(512, 0.0296), (1, 12.79), (2, 28.93), (3, 6.808), (4, 13.46)]

```

For each iteration of the page rank algorithm it prints the average change in page rank per page. The network initially is quite unbalanced and so the individual page rank values change wildly between iterations. But in a few short iterations, the page rank converges. You should run *prank.py* long enough that the page rank values converge.

If you want to visualize the current top pages in terms of page rank, run *spjson.py* to read the database and write the data for the most highly linked pages in JSON format to be viewed in a web browser.

```

Creating JSON output on spider.json...
How many nodes? 30
Open force.html in a browser to view the visualization

```

You can view this data by opening the file *force.html* in your web browser. This shows an automatic layout of the nodes and links. You can click and drag any node and you can also double-click on a node to find the URL that is represented by the node.

If you rerun the other utilities, rerun *spjson.py* and press refresh in the browser to get the new data from *spider.json*.

## 16.3 Visualizing mail data

Up to this point in the book, you have become quite familiar with our *mbox-short.txt* and *mbox.txt* data files. Now it is time to take our analysis of email data to the next level.

In the real world, sometimes you have to pull down mail data from servers. That might take quite some time and the data might be inconsistent, error-filled, and need a lot of cleanup or adjustment. In this section, we work with an application that is the most complex so far and pull down nearly a gigabyte of data and visualize it.

You can download this application from:

[www.py4e.com/code3/gmane.zip](http://www.py4e.com/code3/gmane.zip)

We will be using data from a free email list archiving service called [www.gmane.org](http://www.gmane.org). This service is very popular with open source projects because it provides a nice searchable archive of their email activity. They also have a very liberal policy regarding accessing their data through their API. They have no rate limits, but ask that you don't overload their service and take only the data you need. You can read gmane's terms and conditions at this page:



```

http://download.gmane.org/gmane.comp.cms.sakai.devel/51410/51411 9460
nealcaidin@sakaifoundation.org 2013-04-05 re: [building ...
http://download.gmane.org/gmane.comp.cms.sakai.devel/51411/51412 3379
samuelgutierrezjimenez@gmail.com 2013-04-06 re: [building ...
http://download.gmane.org/gmane.comp.cms.sakai.devel/51412/51413 9903
dal@vt.edu 2013-04-05 [building sakai] melete 2.9 oracle ...
http://download.gmane.org/gmane.comp.cms.sakai.devel/51413/51414 349265
m.shedid@elraed-it.com 2013-04-07 [building sakai] ...
http://download.gmane.org/gmane.comp.cms.sakai.devel/51414/51415 3481
samuelgutierrezjimenez@gmail.com 2013-04-07 re: ...
http://download.gmane.org/gmane.comp.cms.sakai.devel/51415/51416 0

```

Does not start with From

The program scans *content.sqlite* from one up to the first message number not already spidered and starts spidering at that message. It continues spidering until it has spidered the desired number of messages or it reaches a page that does not appear to be a properly formatted message.

Sometimes [gmane.org](http://www.gmane.org) is missing a message. Perhaps administrators can delete messages or perhaps they get lost. If your spider stops, and it seems it has hit a missing message, go into the SQLite Manager and add a row with the missing id leaving all the other fields blank and restart *gmane.py*. This will unstick the spidering process and allow it to continue. These empty messages will be ignored in the next phase of the process.

One nice thing is that once you have spidered all of the messages and have them in *content.sqlite*, you can run *gmane.py* again to get new messages as they are sent to the list.

The *content.sqlite* data is pretty raw, with an inefficient data model, and not compressed. This is intentional as it allows you to look at *content.sqlite* in the SQLite Manager to debug problems with the spidering process. It would be a bad idea to run any queries against this database, as they would be quite slow.

The second process is to run the program *gmodel.py*. This program reads the raw data from *content.sqlite* and produces a cleaned-up and well-modeled version of the data in the file *index.sqlite*. This file will be much smaller (often 10X smaller) than *content.sqlite* because it also compresses the header and body text.

Each time *gmodel.py* runs it deletes and rebuilds *index.sqlite*, allowing you to adjust its parameters and edit the mapping tables in *content.sqlite* to tweak the data cleaning process. This is a sample run of *gmodel.py*. It prints a line out each time 250 mail messages are processed so you can see some progress happening, as this program may run for a while processing nearly a Gigabyte of mail data.

```

Loaded allsenders 1588 and mapping 28 dns mapping 1
1 2005-12-08T23:34:30-06:00 ggolden22@mac.com
251 2005-12-22T10:03:20-08:00 tpamsler@ucdavis.edu
501 2006-01-12T11:17:34-05:00 lance@indiana.edu
751 2006-01-24T11:13:28-08:00 vrajgopalan@ucmerced.edu
...

```

The *gmodel.py* program handles a number of data cleaning tasks.

Domain names are truncated to two levels for .com, .org, .edu, and .net. Other domain names are truncated to three levels. So si.umich.edu becomes umich.edu and caret.cam.ac.uk becomes cam.ac.uk. Email addresses are also forced to lower case, and some of the @gmane.org address like the following

```
arwhyte-63aXycvo3TyHXe+LvDLADg@public.gmane.org
```

are converted to the real address whenever there is a matching real email address elsewhere in the message corpus.

In the *content.sqlite* database there are two tables that allow you to map both domain names and individual email addresses that change over the lifetime of the email list. For example, Steve Githens used the following email addresses as he changed jobs over the life of the Sakai developer list:

```
s-githens@northwestern.edu
sgithens@cam.ac.uk
swgithen@mtu.edu
```

We can add two entries to the Mapping table in *content.sqlite* so *gmodel.py* will map all three to one address:

```
s-githens@northwestern.edu -> swgithen@mtu.edu
sgithens@cam.ac.uk -> swgithen@mtu.edu
```

You can also make similar entries in the DNSMapping table if there are multiple DNS names you want mapped to a single DNS. The following mapping was added to the Sakai data:

```
iupui.edu -> indiana.edu
```

so all the accounts from the various Indiana University campuses are tracked together.

You can rerun the *gmodel.py* over and over as you look at the data, and add mappings to make the data cleaner and cleaner. When you are done, you will have a nicely indexed version of the email in *index.sqlite*. This is the file to use to do data analysis. With this file, data analysis will be really quick.

The first, simplest data analysis is to determine “who sent the most mail?” and “which organization sent the most mail”? This is done using *gbasic.py*:

```
How many to dump? 5
Loaded messages= 51330 subjects= 25033 senders= 1584
```

```
Top 5 Email list participants
steve.swinsburg@gmail.com 2657
azeckoski@unicon.net 1742
ieb@tfd.co.uk 1591
csev@umich.edu 1304
david.horwitz@uct.ac.za 1184
```

```

Top 5 Email list organizations
gmail.com 7339
umich.edu 6243
uct.ac.za 2451
indiana.edu 2258
unicon.net 2055

```

Note how much more quickly *gbasic.py* runs compared to *gmane.py* or even *gmodel.py*. They are all working on the same data, but *gbasic.py* is using the compressed and normalized data in *index.sqlite*. If you have a lot of data to manage, a multistep process like the one in this application may take a little longer to develop, but will save you a lot of time when you really start to explore and visualize your data.

You can produce a simple visualization of the word frequency in the subject lines in the file *gword.py*:

```

Range of counts: 33229 129
Output written to gword.js

```

This produces the file *gword.js* which you can visualize using *gword.htm* to produce a word cloud similar to the one at the beginning of this section.

A second visualization is produced by *gline.py*. It computes email participation by organizations over time.

```

Loaded messages= 51330 subjects= 25033 senders= 1584
Top 10 Organizations
['gmail.com', 'umich.edu', 'uct.ac.za', 'indiana.edu',
'unicon.net', 'tfd.co.uk', 'berkeley.edu', 'longsight.com',
'stanford.edu', 'ox.ac.uk']
Output written to gline.js

```

Its output is written to *gline.js* which is visualized using *gline.htm*.

This is a relatively complex and sophisticated application and has features to do some real data retrieval, cleaning, and visualization.





Figure 16.4: Sakai Mail Activity by Organization



# Appendix A

## Contributions

### A.1 Contributor List for Python for Everybody

Elliott Hauser, Stephen Catto, Sue Blumenberg, Tamara Brunnock, Mihaela Mack, Chris Kolosiwsky, Dustin Farley, Jens Leerssen, Naveen KT, Mirza Ibrahimovic, Naveen (@togarnk), Zhou Fangyi, Alistair Walsh, Erica Brody, Jih-Sheng Huang, Louis Luangkesorn, and Michael Fudge

You can see contribution details at:

<https://github.com/csev/pythonlearn/graphs/contributors>

### A.2 Contributor List for Python for Informatics

Bruce Shields for copy editing early drafts, Sarah Hegge, Steven Cherry, Sarah Kathleen Barbarow, Andrea Parker, Radaphat Chongthammakun, Megan Hixon, Kirby Uner, Sarah Kathleen Barbrow, Katie Kujala, Noah Botimer, Emily Alinder, Mark Thompson-Kular, James Perry, Eric Hofer, Eytan Adar, Peter Robinson, Deborah J. Nelson, Jonathan C. Anthony, Eden Rassette, Jeannette Schroeder, Justin Feezell, Chuanqi Li, Gerald Gordinier, Gavin Thomas Strassel, Ryan Clement, Alissa Talley, Caitlin Holman, Yong-Mi Kim, Karen Stover, Cherie Edmonds, Maria Seiferle, Romer Kristi D. Aranas (RK), Grant Boyer, Hedemarrie Dussan,

### A.3 Preface for “Think Python”

#### A.3.1 The strange history of “Think Python”

(Allen B. Downey)

In January 1999 I was preparing to teach an introductory programming class in Java. I had taught it three times and I was getting frustrated. The failure rate in

the class was too high and, even for students who succeeded, the overall level of achievement was too low.

One of the problems I saw was the books. They were too big, with too much unnecessary detail about Java, and not enough high-level guidance about how to program. And they all suffered from the trap door effect: they would start out easy, proceed gradually, and then somewhere around Chapter 5 the bottom would fall out. The students would get too much new material, too fast, and I would spend the rest of the semester picking up the pieces.

Two weeks before the first day of classes, I decided to write my own book. My goals were:

- Keep it short. It is better for students to read 10 pages than not read 50 pages.
- Be careful with vocabulary. I tried to minimize the jargon and define each term at first use.
- Build gradually. To avoid trap doors, I took the most difficult topics and split them into a series of small steps.
- Focus on programming, not the programming language. I included the minimum useful subset of Java and left out the rest.

I needed a title, so on a whim I chose *How to Think Like a Computer Scientist*.

My first version was rough, but it worked. Students did the reading, and they understood enough that I could spend class time on the hard topics, the interesting topics and (most important) letting the students practice.

I released the book under the GNU Free Documentation License, which allows users to copy, modify, and distribute the book.

What happened next is the cool part. Jeff Elkner, a high school teacher in Virginia, adopted my book and translated it into Python. He sent me a copy of his translation, and I had the unusual experience of learning Python by reading my own book.

Jeff and I revised the book, incorporated a case study by Chris Meyers, and in 2001 we released *How to Think Like a Computer Scientist: Learning with Python*, also under the GNU Free Documentation License. As Green Tea Press, I published the book and started selling hard copies through Amazon.com and college book stores. Other books from Green Tea Press are available at [greenteapress.com](http://greenteapress.com).

In 2003 I started teaching at Olin College and I got to teach Python for the first time. The contrast with Java was striking. Students struggled less, learned more, worked on more interesting projects, and generally had a lot more fun.

Over the last five years I have continued to develop the book, correcting errors, improving some of the examples and adding material, especially exercises. In 2008 I started work on a major revision—at the same time, I was contacted by an editor at Cambridge University Press who was interested in publishing the next edition. Good timing!

I hope you enjoy working with this book, and that it helps you learn to program and think, at least a little bit, like a computer scientist.

### A.3.2 Acknowledgements for “Think Python”

(Allen B. Downey)

First and most importantly, I thank Jeff Elkner, who translated my Java book into Python, which got this project started and introduced me to what has turned out to be my favorite language.

I also thank Chris Meyers, who contributed several sections to *How to Think Like a Computer Scientist*.

And I thank the Free Software Foundation for developing the GNU Free Documentation License, which helped make my collaboration with Jeff and Chris possible.

I also thank the editors at Lulu who worked on *How to Think Like a Computer Scientist*.

I thank all the students who worked with earlier versions of this book and all the contributors (listed in an Appendix) who sent in corrections and suggestions.

And I thank my wife, Lisa, for her work on this book, and Green Tea Press, and everything else, too.

Allen B. Downey  
Needham MA

Allen Downey is an Associate Professor of Computer Science at the Franklin W. Olin College of Engineering.

## A.4 Contributor List for “Think Python”

(Allen B. Downey)

More than 100 sharp-eyed and thoughtful readers have sent in suggestions and corrections over the past few years. Their contributions, and enthusiasm for this project, have been a huge help.

For the detail on the nature of each of the contributions from these individuals, see the “Think Python” text.

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## Appendix B

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