



# DEEP LEARNING FOUNDATION & APPLICATION

WITH A FOCUS ON MEDICAL INFORMATICS

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# Convolutional Neural Networks (CNN)

# OUTLINES

- What is Convolution?
- Convolutional Neural Networks: Why?
- Convolutional Layer
- Pooling Layer
- Fully Connected Layer
- Convolutional Neural Networks in Practice

# LEARNING OBJECTIVES

- To understand the underlying concepts of CNNs
- To learn different components exist within a CNN
- To implement a classification pipeline using CNNs (Case Study: Chest X-ray images)

# CNNs: A BIT OF HISTORY



Fukushima-1980



The first **CNN**, LeNet, to read and understand hand-written checks in the US.

1993

The first **RNN**

1997

Deep Learning stagnation and inactivity.

## Reasons:

- Lack of large-scale training data
- Lack of high performance computational resources
- Difficulties to train Deep Neural Networks
- Availability of highly accurate and easy-to-use ML methods, such as SVM, Naïve Bayes



**Hinton, G.E.**, Osindero, S. and Teh, Y.W., 2006. A fast learning algorithm for deep belief nets. *Neural computation*, 18(7), pp.1527-1554.

2006

**CNN** (AlexNet, ZFNet, VGG) won several competitions in Image Classification, Object Recognition.

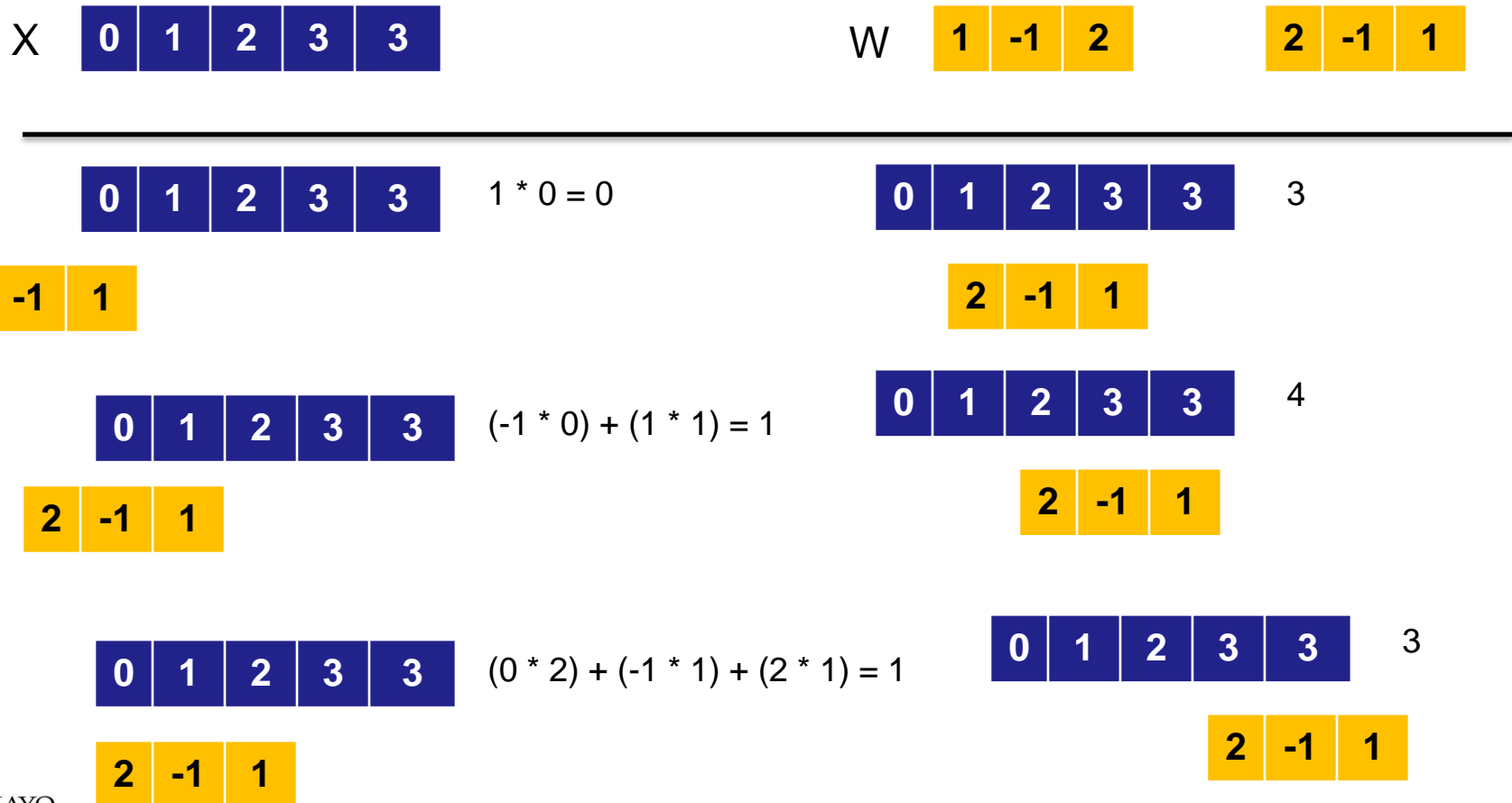
ResNet (2015): Error ~ 3.57

2012-2015

<http://yann.lecun.com/exdb/lenet/>

# CONVOLUTION

- In mathematics, Convolution is an operation which does the integral of the product of 2 functions (e.g., 2 signals), with one of the signals flipped.



# CONVOLUTION

0 1 2 3 3    6

2 -1 1

Output: X    0 1 1 3 4 3 6

## Applications

- Filter signals
- See how much a signal is correlated to another
- **Pattern recognition in signals**

# CONVOLUTIONAL NEURAL NETWORKS: WHY?

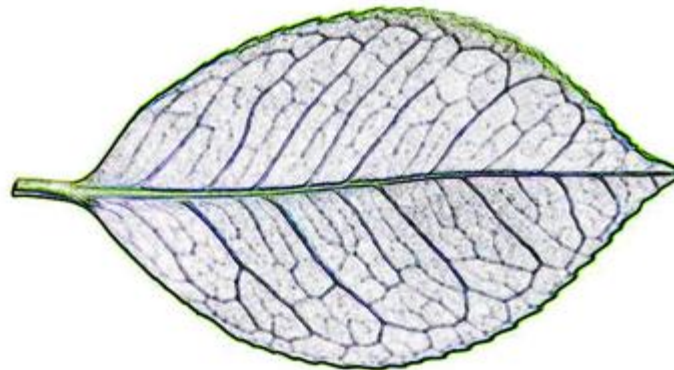
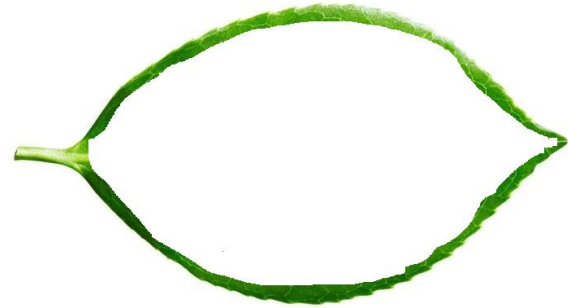
- Why do shallow fully connected neural networks not work when the input is an image?
- There are two main reasons:
  - (1) The input consists of **3,000,000** numbers, therefore many weights are needed for each node in the hidden Layer. Saying **100** nodes in the first layer, this corresponds to **300,000,000** weight parameters required to define only this layer. More **parameters** mean **more training data** is needed to prevent **overfitting**. This leads to more time required to train the model.
  - (2) Processing by Fully Connected Deep Feed Forward Networks requires that the image data be transformed into a linear 1-D vector. This results in a **loss of structural information**, including correlation between pixel values in 2-D.



$$[1000 * 1000 * 3] = \mathbf{3,000,000}$$



# CONVOLUTIONAL NEURAL NETWORKS: WHY?



# CNN: THE LAYERS

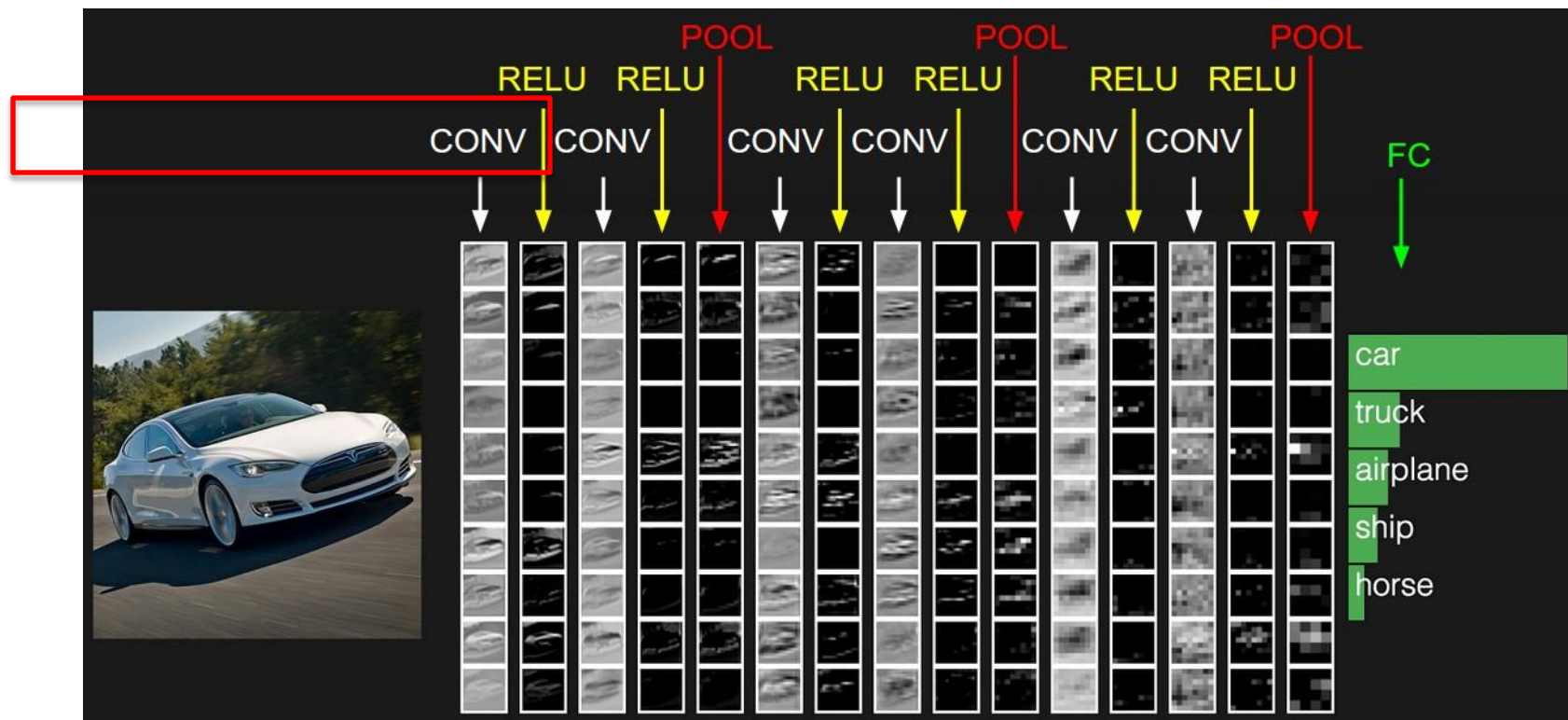
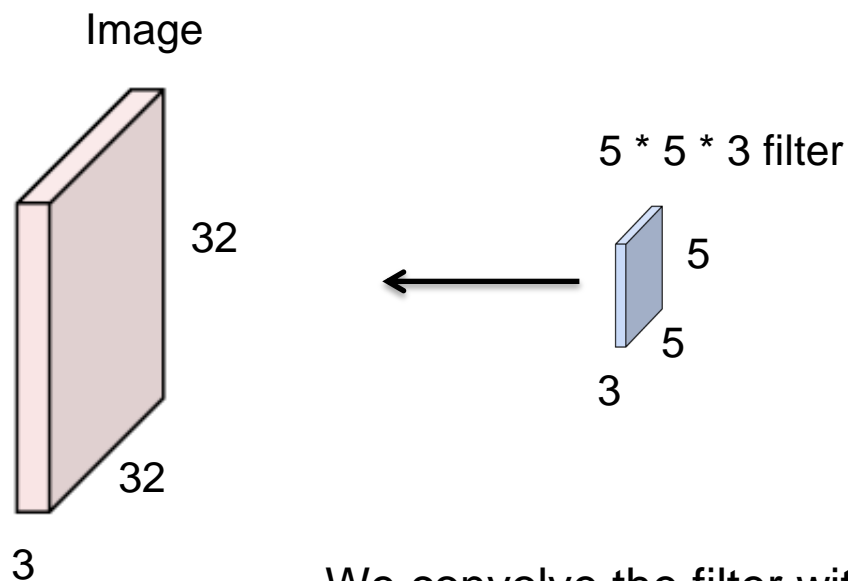


Image from: <http://cs231n.github.io/convolutional-networks/>

# CONVOLUTIONAL LAYER



We convolve the filter with the image:  
Slide over the image and compute dot products

Filter should have a same depth of the input image

# CONVOLUTIONAL LAYER

Input Image: 5 \* 5

Filter: 3 \* 3

1 <sub>x1</sub>	1 <sub>x0</sub>	1 <sub>x1</sub>	0	0
0 <sub>x0</sub>	1 <sub>x1</sub>	1 <sub>x0</sub>	1	0
0 <sub>x1</sub>	0 <sub>x0</sub>	1 <sub>x1</sub>	1	1
0	0	1	1	0
0	1	1	0	0

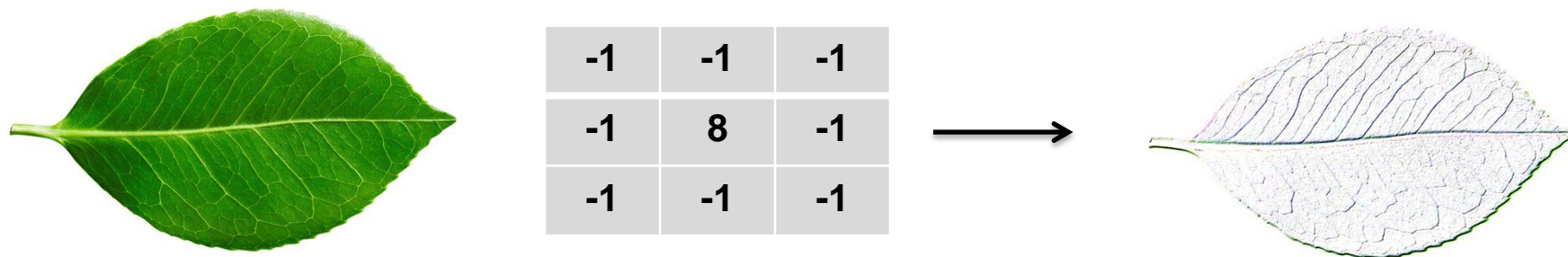
Image

4		

Convolved  
Feature

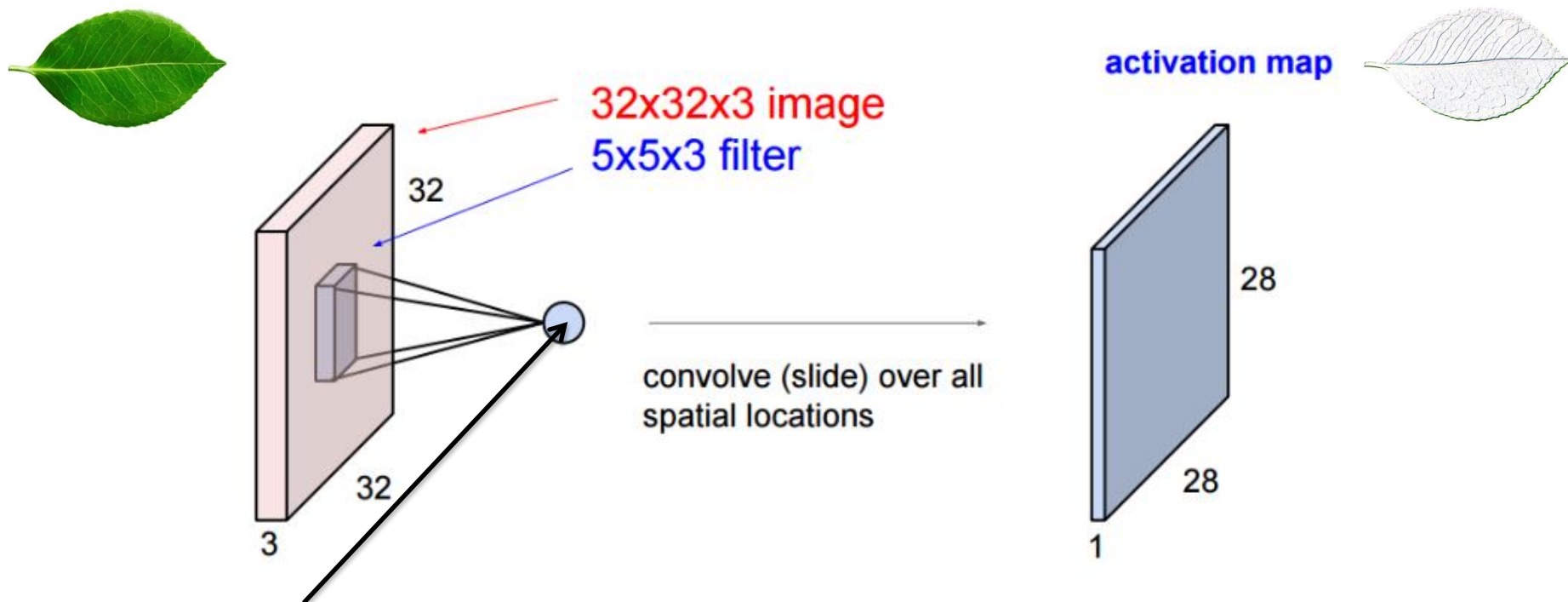
Image from: <https://leonardoaraujosantos.gitbooks.io/artificial-intelligence/content/convolution.html>

# CONVOLUTIONAL LAYER



Convolution of an image (left) with an edge detector convolution kernel (middle). Right is the output.

# CONVOLUTIONAL LAYER



**Just one number:**

The results of taking a dot product (filter and  $5 * 5 * 3$  chunk of the image)

Slide over the image and compute dot products

Image from: <https://legacy.gitbook.com/book/leonardoaraujosantos/artificial-intelligence>

# CONVOLUTIONAL LAYER

- In CNN, we are working with **multiple filters**. Each filter looks for a specific kind of **feature/pattern/concept** in the input image. For example, we want our convolution layer to look for 6 different patterns. So, our convolution layer will have 6 number of  $5 \times 5 \times 3$  filters, each one looks for a specific pattern on the image.

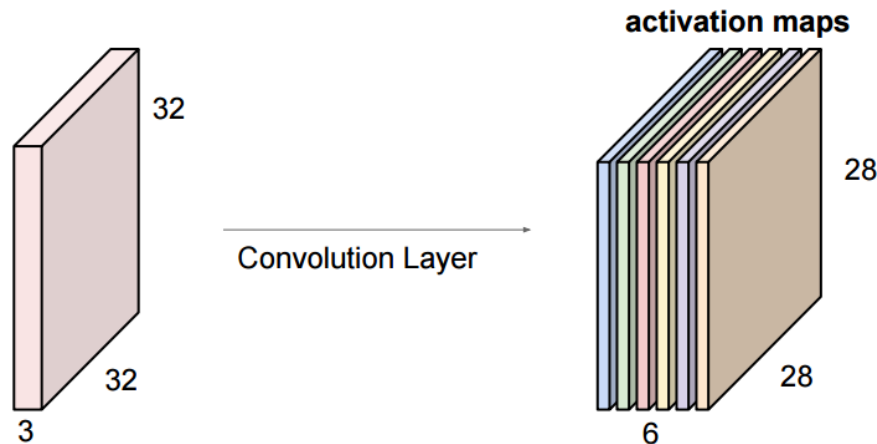
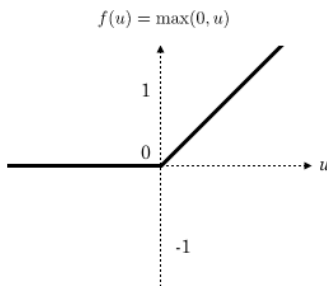
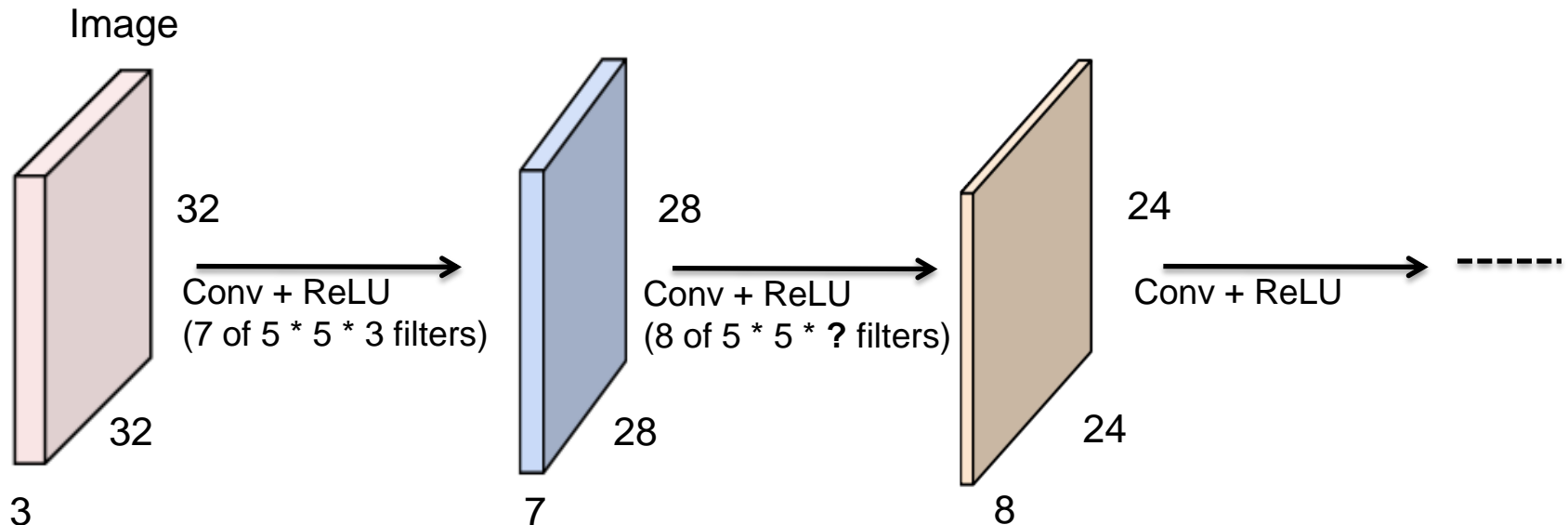


Image from: <https://legacy.gitbook.com/book/leonardoaraujosantos/artificial-intelligence>

- Stacking these up to make a new image of size  $28 * 28 * 6$

# CONVOLUTIONAL LAYER

- Convolution itself is a linear kind of operation. There is a need to add at the end of the convolution layer a non-linear layer, called ReLU activation. ReLU is the max function( $\max(x,0)$ ) with input  $x$  matrix from a convolved image. ReLU then sets all negative values in the matrix  $x$  to zero and all other values are kept constant.





# CONVOLUTIONAL NEURAL NETWORKS



# CNN: THE LAYERS

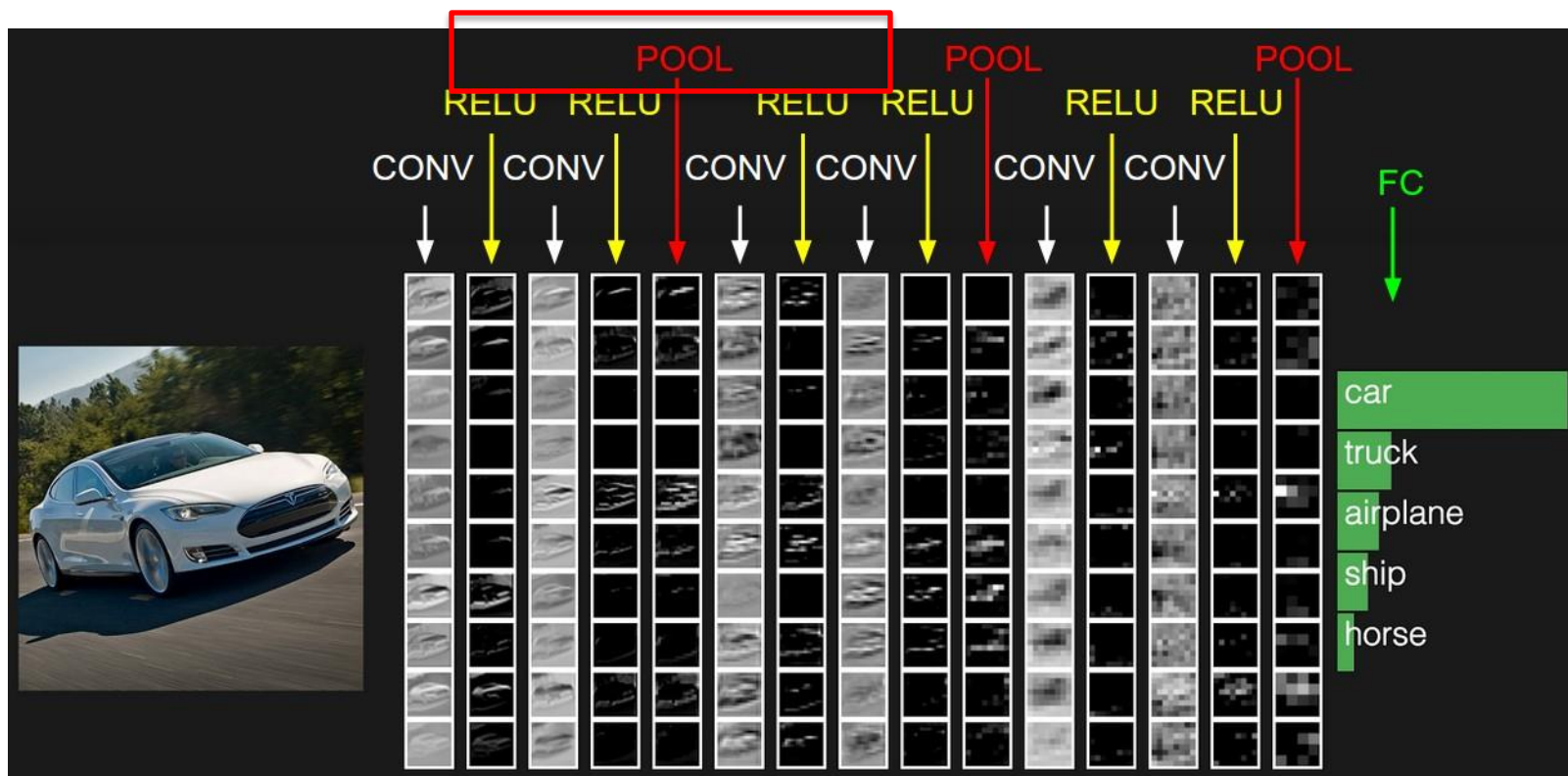


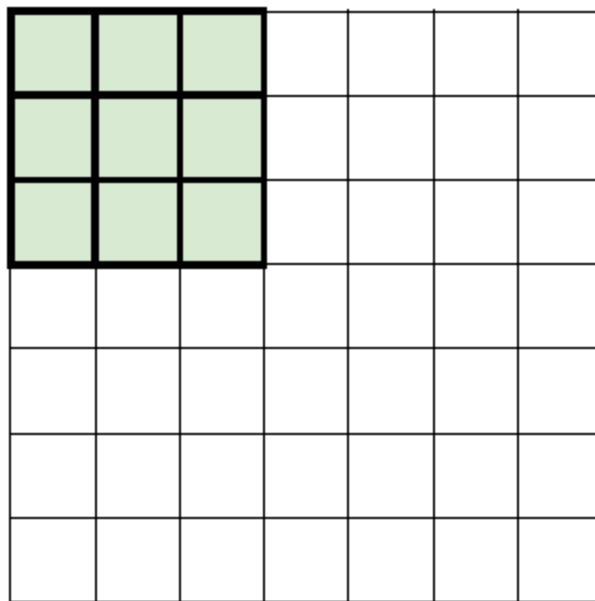
Image from: <http://cs231n.github.io/convolutional-networks/>

# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 1

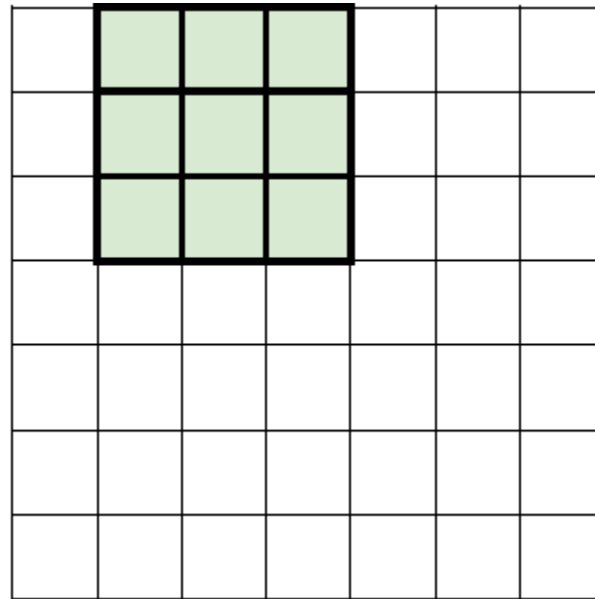


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 1

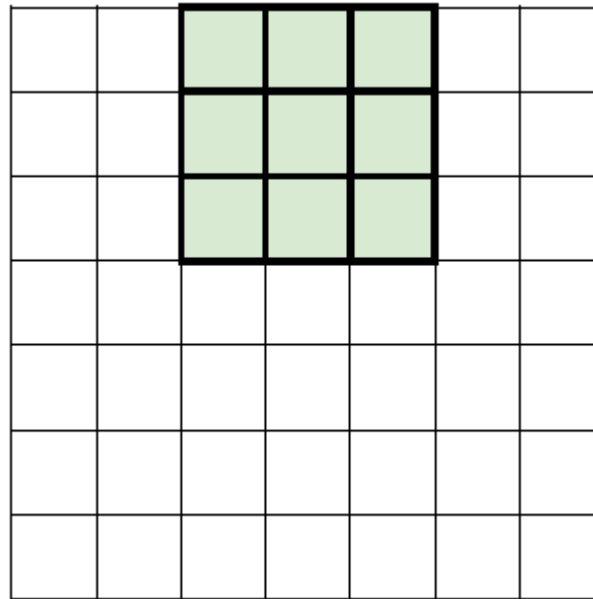


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 1

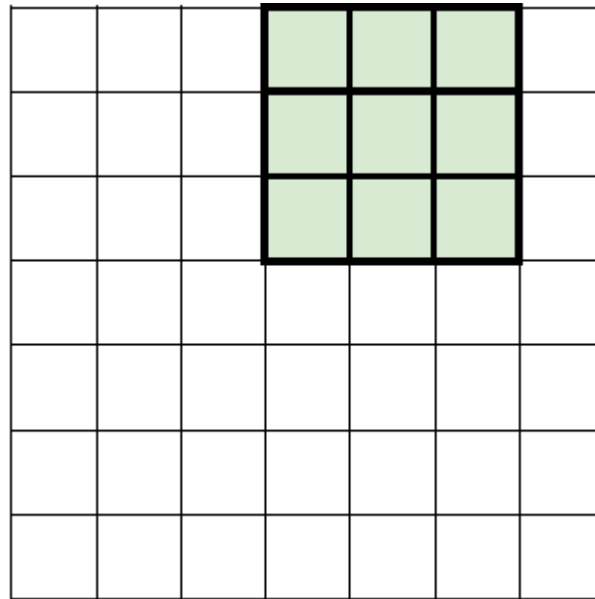


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 1

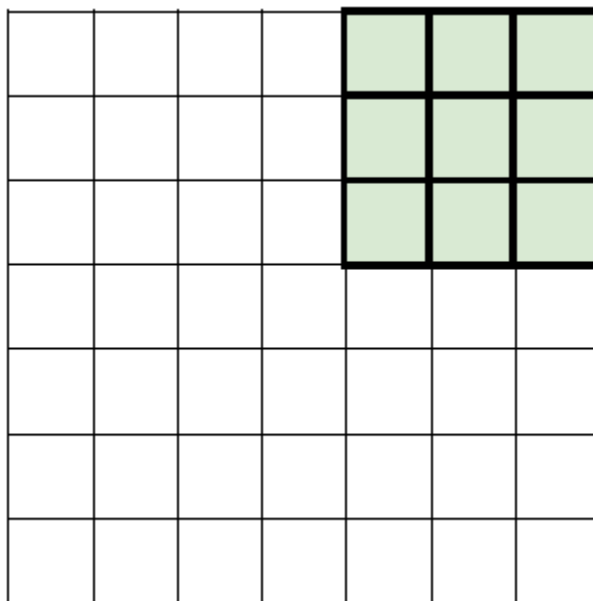


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

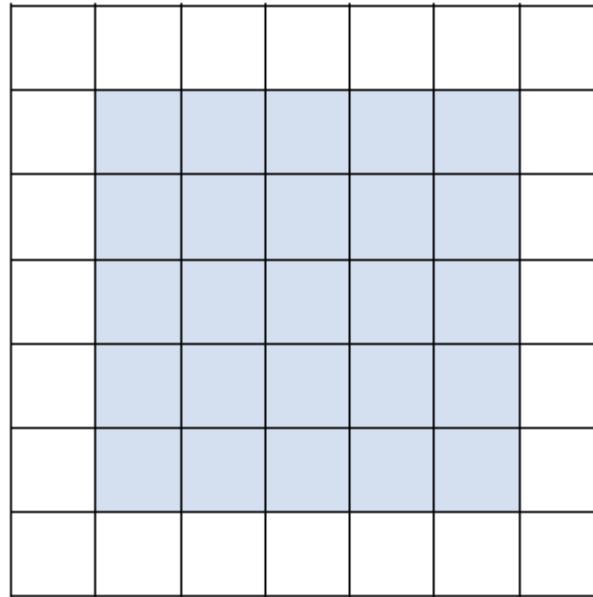
Filter size:  $3 * 3$

Stride: 1



# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Output:  $5 * 5$



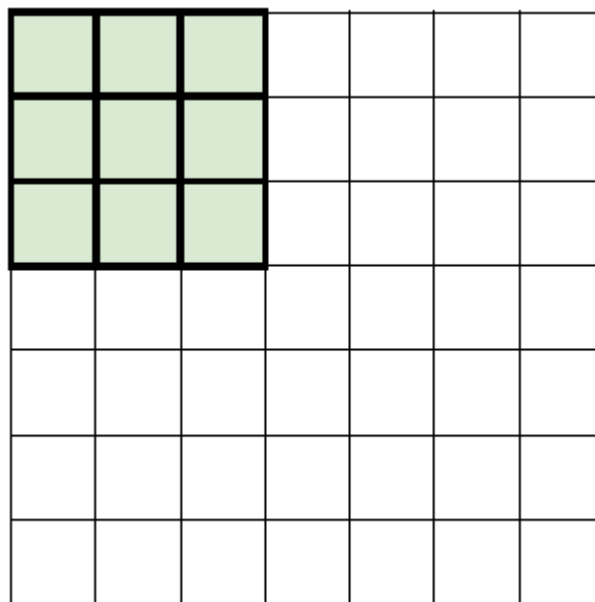


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 2

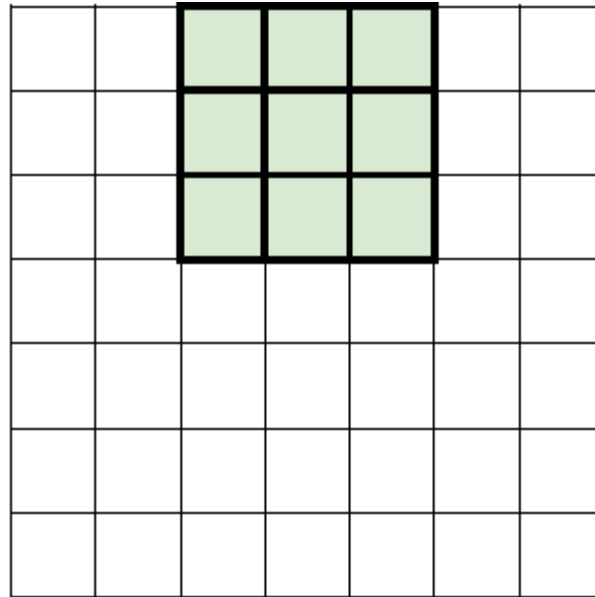


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 2

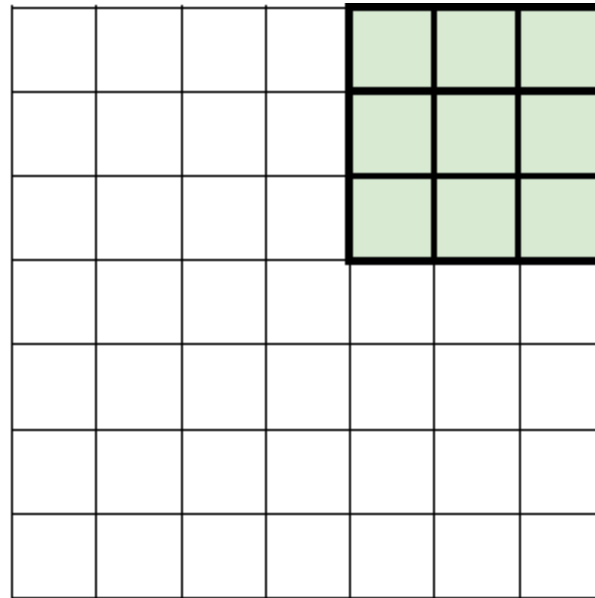


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

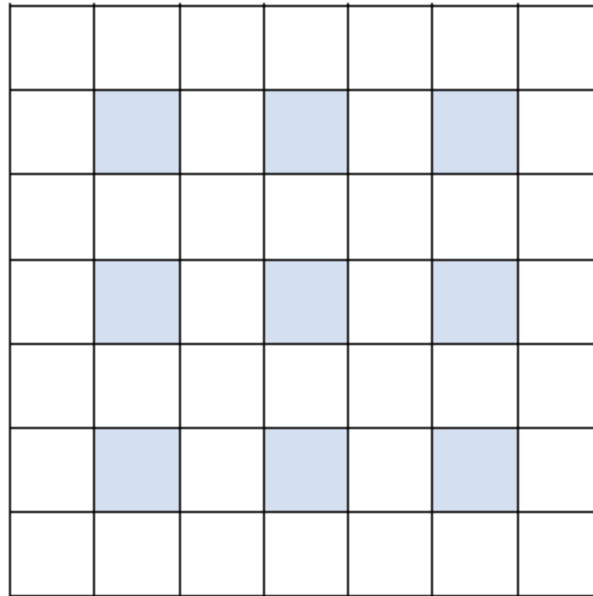
Filter size:  $3 * 3$

Stride: 2



# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Output:  $3 * 3$

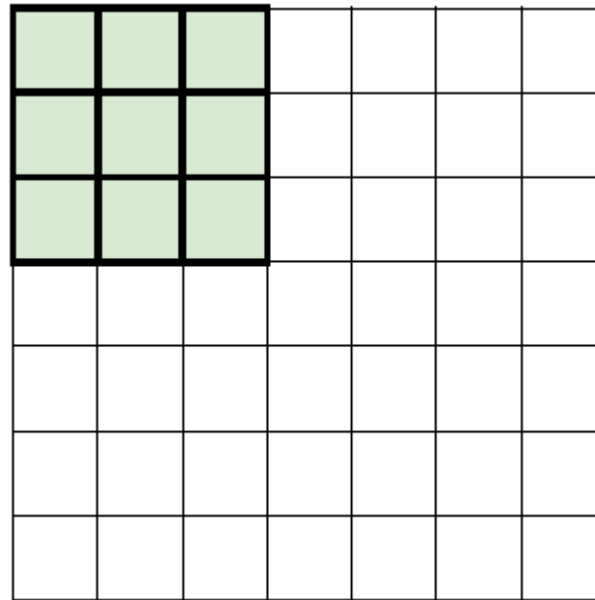


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 3

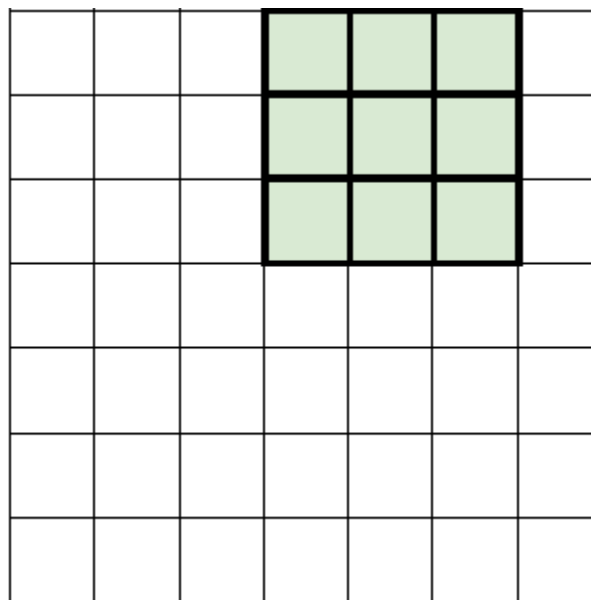


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

Filter size:  $3 * 3$

Stride: 3

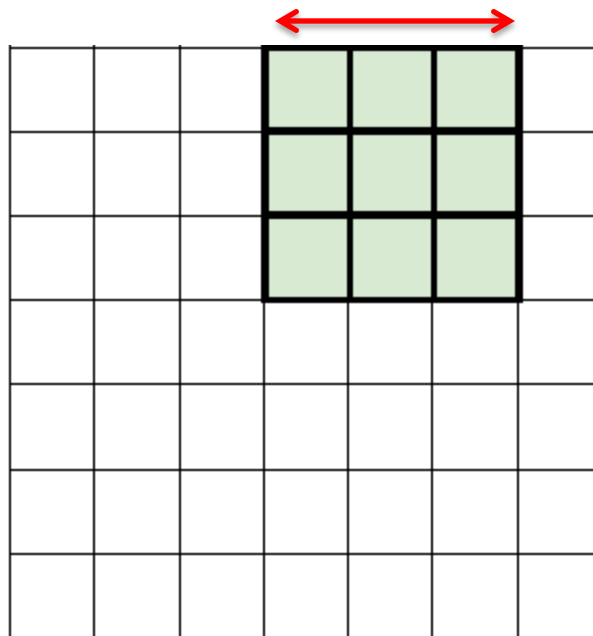


# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

Input image:  $7 * 7$

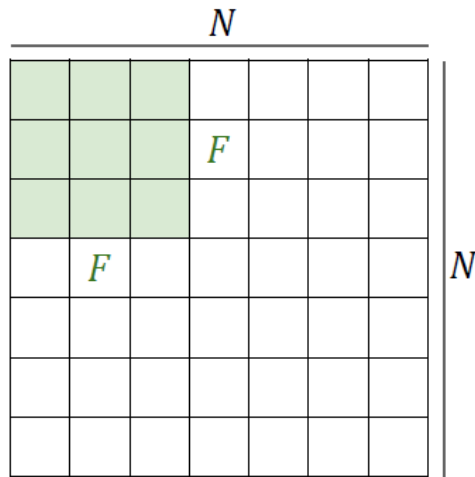
Filter size:  $3 * 3$

Stride: 3



# CONVOLUTIONAL NEURAL NETWORKS: A CLOSER LOOK

$$\text{Output Size} = (N - F) / \text{Stride} + 1$$



$$N = 7 \quad F = 3$$

$$\text{Stride} = 1 \quad \text{Output Size} = (7 - 3) / 1 + 1 = 5$$

$$\text{Stride} = 2 \quad \text{Output Size} = (7 - 3) / 2 + 1 = 3$$

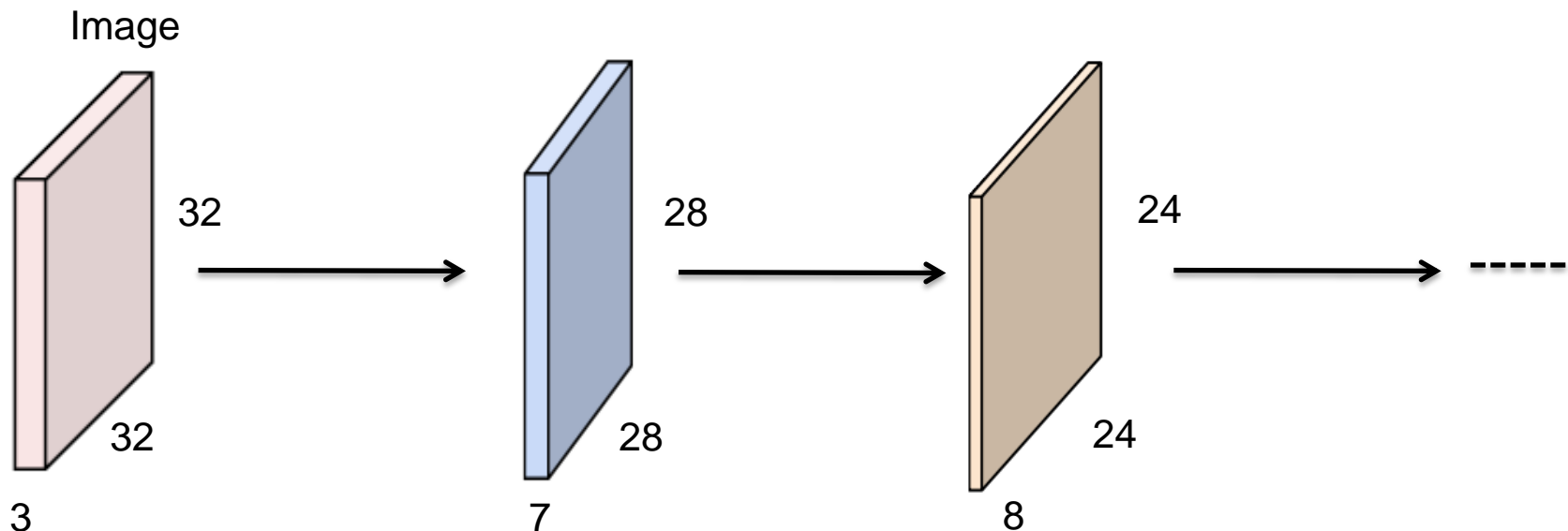
$$\text{Stride} = 3 \quad \text{Output Size} = (7 - 3) / 3 + 1 = 2.33 \quad \text{X}$$

Image from: <http://cs231n.github.io/convolutional-networks/>



# CONVOLUTIONAL NEURAL NETWORKS

- We are condensing the data spatially! Too fast! What does that mean?



- **Solution?**

Zero Padding (pad): Add zeros on the image border to let the convolution output size be the same as the input image size.

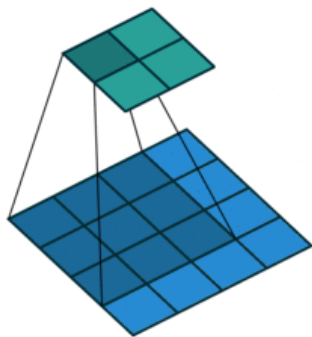
# CONVOLUTIONAL NEURAL NETWORKS

**Input Size:**  $4 * 4$

**Filter Size:**  $3 * 3$

**Stride:** 1

**Padding:** 0 (No Padding)



**Input Size:**  $5 * 5$

**Filter Size:**  $3 * 3$

**Stride:** 1

**Padding:** 1

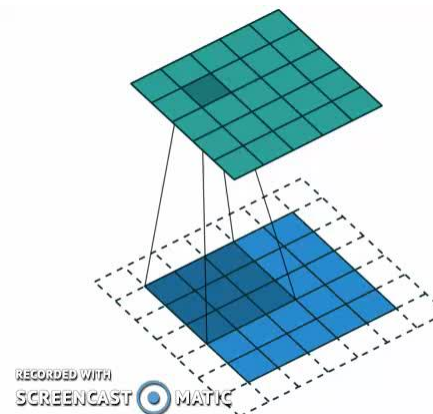


Image from: [https://leonardoaraujosantos.gitbooks.io/artificial-intelligence/content/convolutional\\_neural\\_networks.html](https://leonardoaraujosantos.gitbooks.io/artificial-intelligence/content/convolutional_neural_networks.html)

# CONVOLUTIONAL NEURAL NETWORKS

## Convolutional Layer:

It takes a data volume of size  $W_1 * H_1 * D_1$

## Hyper Parameters:

- Number of Filters (K)
- Filter Size (F)
- Stride (S)
- Zero Padding (P)

## Common Configurations:

- $K = 32, 64, 128, \dots$
- $F = 3, S = 1, P = 1$
- $F = 5, S = 1, P = 2$
- $F = 5, S = 2, P = 2$

# CONVOLUTIONAL NEURAL NETWORKS

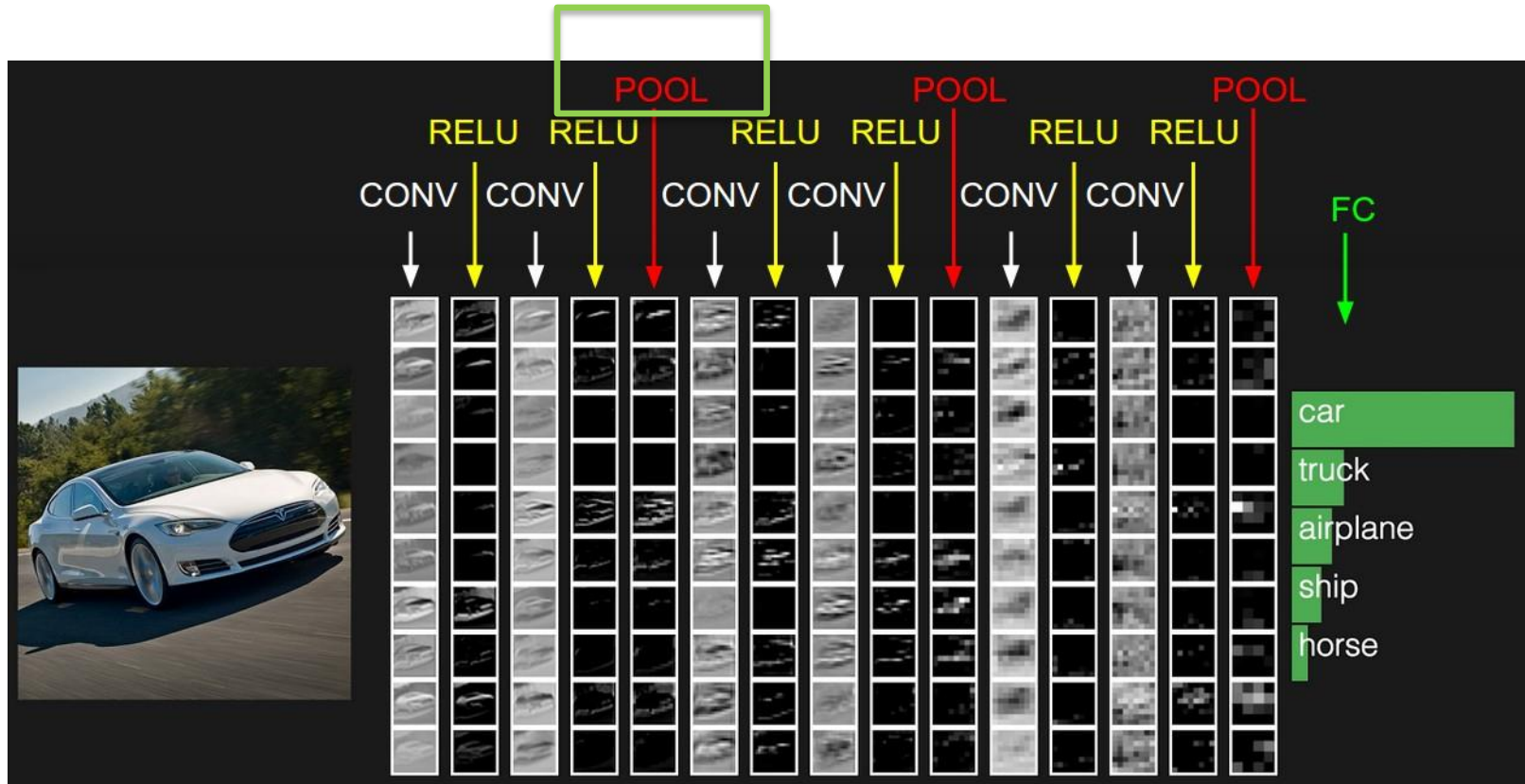
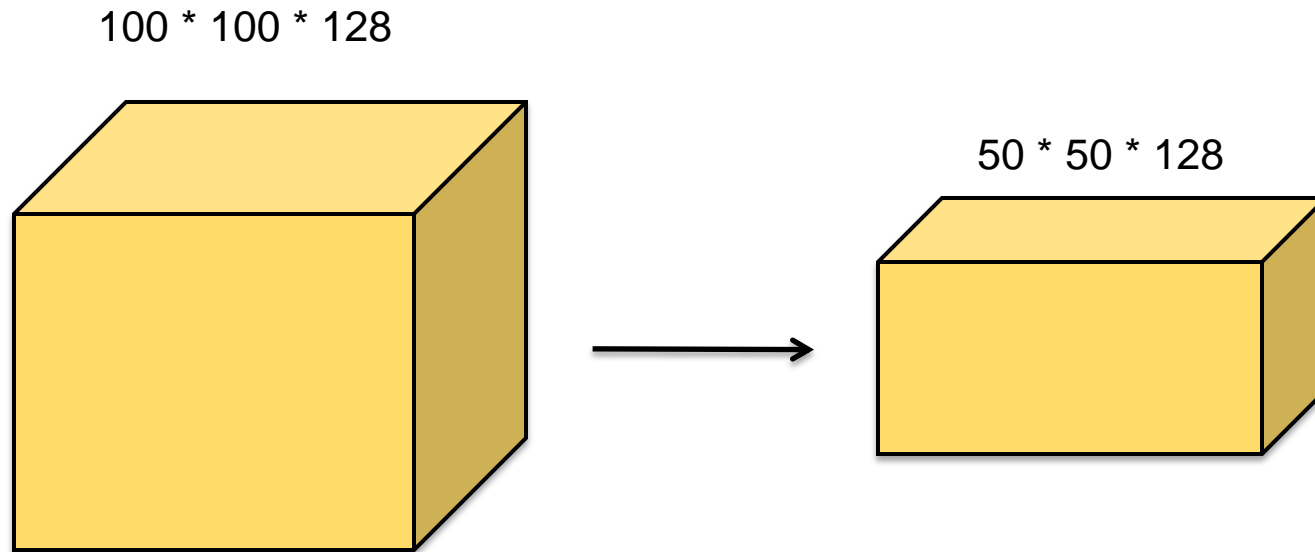


Image from: <http://cs231n.github.io/convolutional-networks/>

# MAX POOL (POOLING)

It performs downsampling across the spatial dimensions (width, height). The representation would be smaller and more manageable.



# MAX POOL (POOLING): HOW IT WORKS?

Filter Size:  $2 \times 2$

Stride: 2



# CONVOLUTION AND MAX POOL: A REVIEW

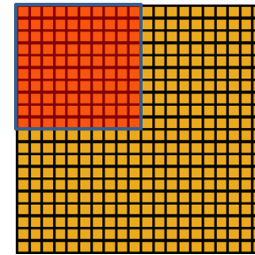
1 <sub>x1</sub>	1 <sub>x0</sub>	1 <sub>x1</sub>	0	0
0 <sub>x0</sub>	1 <sub>x1</sub>	1 <sub>x0</sub>	1	0
0 <sub>x1</sub>	0 <sub>x0</sub>	1 <sub>x1</sub>	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

Convolved  
Feature

Convolution



Convolved  
feature

1	

Pooled  
feature

Pooling

Image from: <https://leonardoaraujosantos.gitbooks.io/artificial-intelligence/content/convolution.html>

# CONVOLUTIONAL NEURAL NETWORKS

## Max Pool Layer:

It takes a data volume of size  $W_1 * H_1 * D_1$

## Hyper Parameters:

- Filter Size (F)
- Stride (S)

## Common Configurations:

- $F = 2, S = 2$
- $F = 3, S = 2$



# FULLY CONNECTED LAYER

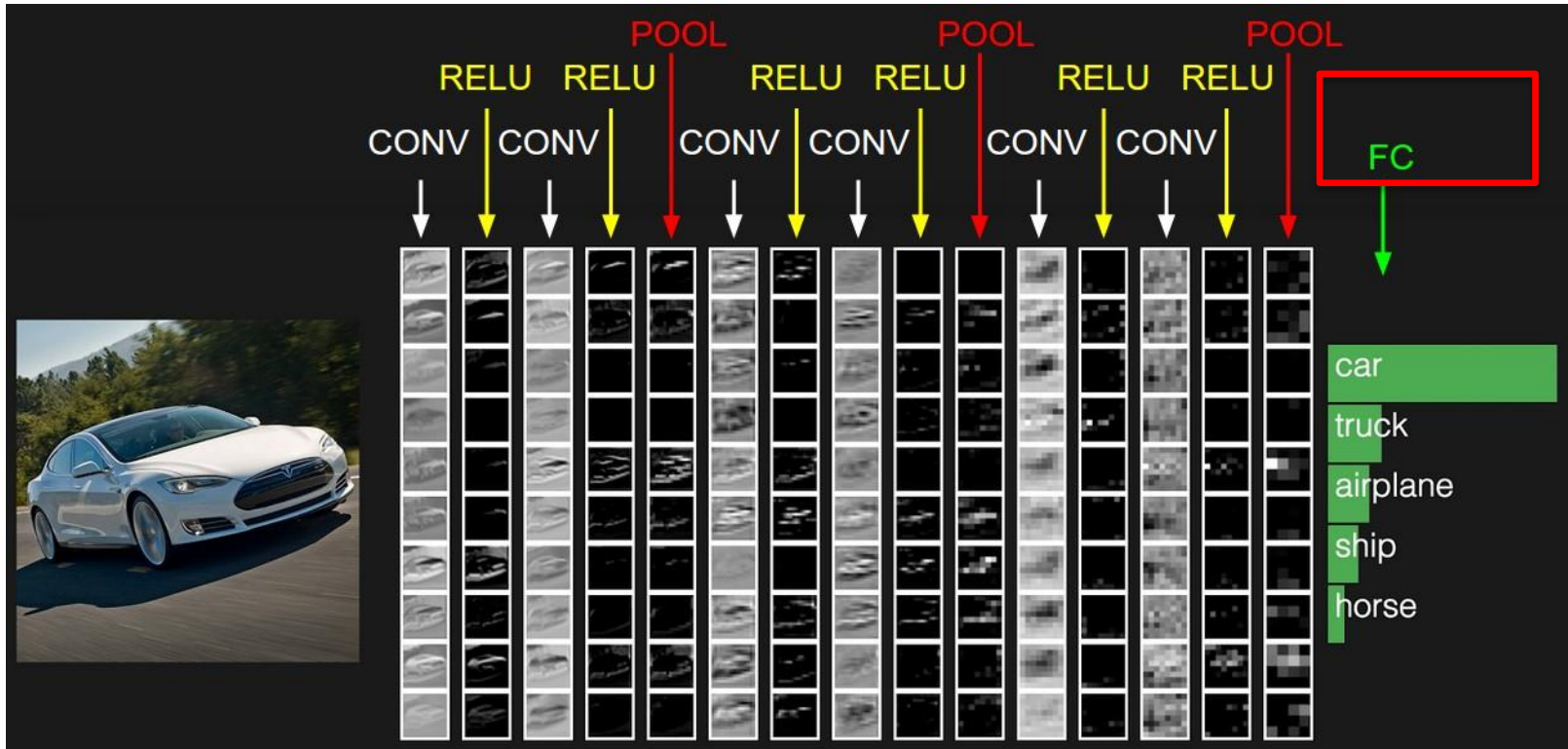


Image from: <http://cs231n.github.io/convolutional-networks/>

# FULLY CONNECTED LAYER

- It computes the class scores.
- This layer takes an input volume (the output of the Conv + ReLU + Pooling layer preceding it) and outputs an **N** dimensional vector, where **N** is the number of classes that we want to choose. For example, if we want to develop an object detection for Doors, Stairs, and Signs, then **N** would be 3.
- Each number in this **N** dimensional vector shows the probability of a class. For example, if the resulting vector for is  $[.1 \ .1 \ .80]$  for [Doors, Stair, Sign], then this represents a 10% probability that the image is a door, 10% probability that the image is a stair, and 80% probability that the image is sign.

# CNN ARCHITECTURE: REVIEW

- **Input:** In our scenario, it holds the raw pixel values of an image (e.g., an image of width 32, height 32, and with three color channels R,G,B).
- **Convolutional Layer:** This layer filters (convolve) the inputs to provide very useful information appropriate for object modeling. These convolutional layers help to automatically extract the most valuable information for the task at hand without human designed feature selection. This layer will result in data volume such as  $[32 * 32 * 16]$  if we used for example 16 filters.
- **ReLU Layer:** will apply a pixelwise activation function, such as the  $\max(0,x)$  thresholding at zero. This layer keeps the size of the data volume unchanged (e.g.,  $[32 * 32 * 16]$ ).
- **Pooling Layer:** It does a downsampling operation across the spatial dimensions (width, height), and will result in data volume such as  $[16 * 16 * 16]$ .
- **Fully Connected Layer:** This layer computes the class scores, and it will result in volume of size  $[1 * 1 * 3]$ , where each of those 3 numbers correspond to a class score, such as among the 3 categories (doors, stairs, signs).

# CNN ARCHITECTURE: REVIEW

**CONV → ReLU → Pooling → CONV → ReLU → Pool → FC and Softmax**  
(during training stage)

# HANDS-ON-PRACTICE: CHEST X-RAY CLASSIFICATION

# REFERENCES

