



FICA: Client Risk Assessment Questionnaire

In the event that the prospective client, or the individual in effective control of a prospective client ("**Client**") falls under any of the categories set out in the table below, then they are to be treated as a "high risk Client" in terms of the accountable institution's Risk Management and Compliance Programme.

Foreign Client		Domestic Client	
(Select yes if the Client has held any of the following positions in a foreign country)	Tick if yes	(Select yes if the Client has held any of the following positions in the Republic of South Africa)	Tick if yes
Member of royal family		President or deputy president of South Africa	
Member of cabinet or similar structure		Cabinet minister or deputy minister	
Senior member or leader of a political party		Premier of a province	
Senior judicial officer		Member of executive council of a province	
Senior executive of a state-owned entity		Mayor of a municipality	
High-ranking military officer		Leader of a political party	
		Member of a royal family or a senior traditional leader	
		Head, accounting officer or chief financial officer ("CFO") of a national or provincial department	
		Manager or CFO of a municipality	
		Chairperson, chief executive officer, accounting authority, CFO or chief investment officer of a public entity;	
		A prominent Judge in the Constitutional Court, Supreme Court of Appeal or the High Court or any equivalent Court	
		Ambassador, high commissioner or senior representative of a foreign country who is based in South Africa	
		Person occupying any of the following positions in a company that sells goods or services to the government worth more than (an amount yet to be gazetted) - a) Chairperson of the board of directors; b) Chairperson of audit committee; c) Executive officer; or d) CFO	