# Lynx.NL

# Open personal account

1. Salutation
   1. Gentleman
   2. Madam
2. Title (Optional)
3. First Name
4. Last Name
5. Company Name (Optional)
6. Phone number
7. E-mail address (Optional)
8. When can we reach you? (Optional)
   1. Date
   2. Time

Submit and wait for Lynx to contact you.

# <https://account.lynxbroker.de/>

# Contact details

1. Salutation
   1. Gentleman
   2. Madam
2. Title (Optional)
3. First name
4. Last name
5. E-mail address
6. Country code
7. Mobile number
8. Confirm mobile number by SMS code
9. Depot type
   1. Single depot
   2. Community deposit

## Registration address

1. Street
2. House number
3. Additional address (Optional)
4. Postal Code
5. Location
6. Country (**Deutschland only**)
7. State/Province
8. The mailing address is the same as the registration address
   1. Yes
   2. No
      1. Fill in full address (questions 1 to 6)

## Personal information

1. Date of birth
2. Country of birth
3. Place of birth (as stated on the Id document)
4. Nationality
5. Another nationality
   1. Yes
      1. Nationality
   2. No
6. Marital status
7. Number of dependents

## Security questions

The information is used to identify you, for example if you have questions about your depot. The answers do not have to be true, but later you must be able to give the answers given here.

1. What is your grandmother's first name?
2. What is your father’s profession?
3. What is the name of a school you attended?

# Regulatory information

1. The account holder hereby declares that he is acting on his own account:
   1. Yes
   2. No ->
      1. You can only open a securities account via LYNX if you are trading on your own account. If you want to trade for someone else, they must open the securities account and authorize you to trade. For more information, please contact our customer service.
2. IBAN reference account

Planned Initial Deposit Please indicate the amount you would like to invest through LYNX. The information is only for information when opening a securities account. A transfer to the depot must be carried out by the customer himself from the reference account. LYNX will not debit your checking account. You can deposit from your reference account as soon as your account is opened via LYNX. If you would like to carry out a securities account transfer, please contact us after the securities account has been opened.

1. What amount do you plan to deposit into your custody account within the next 12 months or how much would you like to transfer securities?
   1. Fill in amount in € manually.
2. Are you planning to deposit this amount into your deposit for the first time?
   1. Yes
   2. No
      1. What amount do you plan to make as an initial deposit?
         1. Fill in amount in € manually

## Tax information

We are required to collect the following information to determine if you qualify for double tax treaty benefits based on your residency. We can only open a securities account for you if you provide complete information.

1. I am a Green Card holder (USA)
   1. Yes
      1. Please enter your US Social Security Number in the field below.
2. I am staying in the US more than 183 days per year (including planned stays in the current calendar year)
3. My main place of residence is in the USA
4. I am a US citizen (possibly dual citizenship?)
   1. Yes
      1. Please note that it is not possible to open a securities account with the data provided.
   2. No
5. I am jointly assessed for US tax purposes with my US spouse
   1. Yes
      1. Please enter your US Social Security Number in the field below.
   2. No
6. I am subject to tax in the United States for other reasons
   1. Yes
      1. For whatever reasons?
         1. Fill in manually
   2. No

## Tax residency

* + - 1. Country of tax residence
      2. I have a tax ID
         1. Yes

tax identification number

* + - * 1. No

Please indicate why you do not have a tax ID

* + - 1. I have another tax residence in another country
         1. Yes

Country of further tax residence

tax identification number

Please describe the reason for the further tax residence?

## Job-related situation

Please fill in the information about your occupation completely. We need this to exclude insider trading.  
  
We are obliged to do this in accordance with Article 16 of Regulation (EU) No. 596/2014 of April 16, 2014 (Market Abuse Regulation). Please note that it is not possible to open a securities account without complete information on your occupation.

1. Current employment
   1. Employee
      1. Task
      2. industry of your employer
      3. Are you a decision-maker in the company, e.g. B. managing director, authorized signatory or director?
      4. Employer
      5. Street and house number
      6. Additional address (optional)
      7. Postal code
      8. Location
      9. Country
      10. State/province
      11. With the current employer since
      12. source of wealth
      13. Are you or an immediate family member registered or employed by a broker-dealer, investment advisory firm, futures commission merchant (FCM), hedge fund, exchange or other financial services firm?
          1. Yes
             1. Are you that person?

Yes

First and last name of the person

Relationship to Account Holder

Company / institute name

Position

Broker dealer

Employee

Director

owner

Address of the company / institute

Street and house number

Additional address (optional)

postal code

location

country

Is this person obliged to inform their employer about transactions made?

Yes

To which email address may our compliance department send transaction reports?

No

Does the person work in a compliance-relevant area in your company or does this person have access to insider information?

Are you a director, a 10% shareholder or a decision maker of a public company?

Yes – Add address

Do you work for a public company?

* 1. Official
     1. Same as a. Employee
  2. Self-employed
     1. Task
     2. Industry
     3. Please enter your company name:
     4. Street and house number
     5. Additional address (optional)
     6. Postal code
     7. Location
     8. Country
     9. State/Province
     10. Self employed since:
     11. source of wealth
     12. Are you or an immediate family member registered or employed by a broker-dealer, investment advisory firm, futures commission merchant (FCM), hedge fund, exchange or other financial services firm?
         1. Yes
            1. Are you that person?

Yes – current user details.

No – Person details, same as a. Employee

* + 1. Are you a director, a 10% shareholder or a decision maker of a public company?
       1. Yes – fill in details as in a. Employee
    2. Do you work for a public company?
  1. Job seeking
     1. previous activity
     2. Former Employer
     3. source of wealth
     4. source of income
     5. Are you or an immediate family member registered or employed by a broker-dealer, investment advisory firm, futures commission merchant (FCM), hedge fund, exchange or other financial services firm?
        1. Yes
           1. Are you that person?

Yes – current user details.

No – Person details, same as a. Employee

* + 1. Are you a director, a 10% shareholder or a decision maker of a public company?
       1. Yes – fill in details as in a. Employee
    2. Do you work for a public company?
  1. University student
  2. Pensioner
  3. Privateer