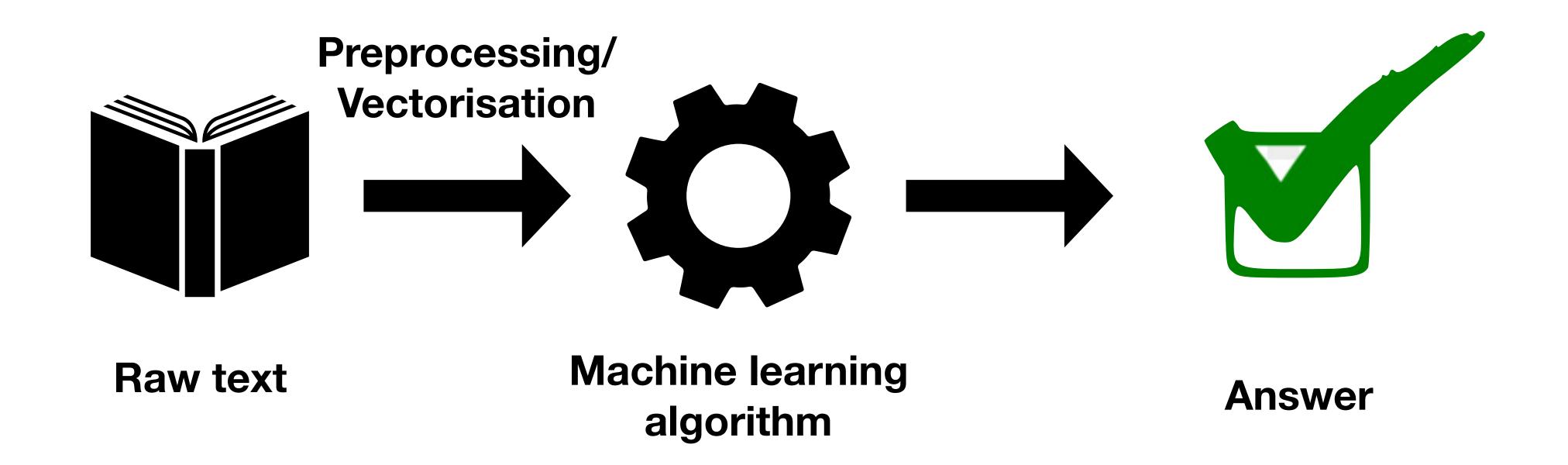
### Vector embeddings

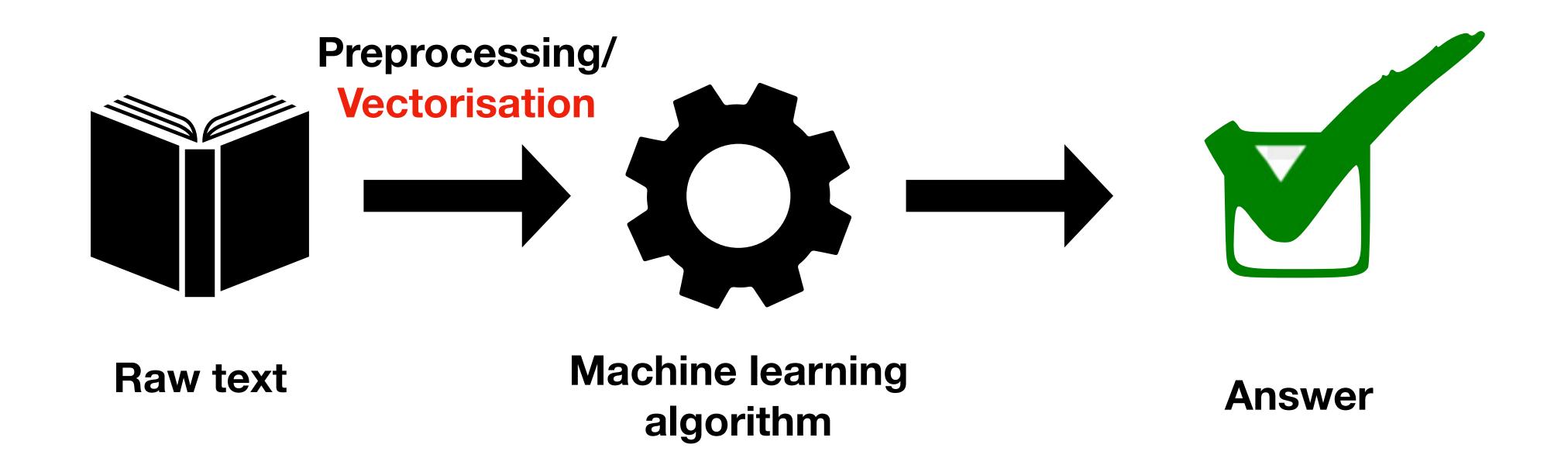
Eugeny Malyutin



#### So we want to solve NLP classification task:



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### Previously:

$$TF-IDF(w,d,C) = rac{count(w,d)}{count(d)} * log(rac{\sum_{d' \in C} 1(w,d')}{|C|})$$

### One-hot encoding drawback:

- "monkeys eat bananas" and "apes consume fruits" similarity equals to 0
- «Pouteria is widespread throughout the tropical regions of the world and monkeys eat their fruits»(c). What is Pouteria? Is it a tree?
- «a word is characterized by the company it keeps» John Rupert Firth
- Ideally, we want vector representations where similar words end up with similar vectors.
   Dense vectors. And when I say similar a mention some similarity measure (cosine).
- Even better, we'd want more similar representations when the words share some properties such as if they're both plural or singular, verbs or adjectives or if they both reference to a male.

### How can we build our vectors?

- Ok, let us imagine that this matrix can be decomposed into two separ... Stop, it's another story (look at pLSA/LDA)
- The co-occurrence number alone is not a good number to measure the co-occurrence probability of two words because it does not take into account how many times each of them occur. («the monkey»)
- This PMI method leads to many log(0)
   (i.e. -∞) entries (every time two words do not cooccur).
- The computation time in order to count all this is very expensive, especially if it's done naively. Fortunately, there are ways to do this requiring just a single pass through the entire corpus to collect the statistics.

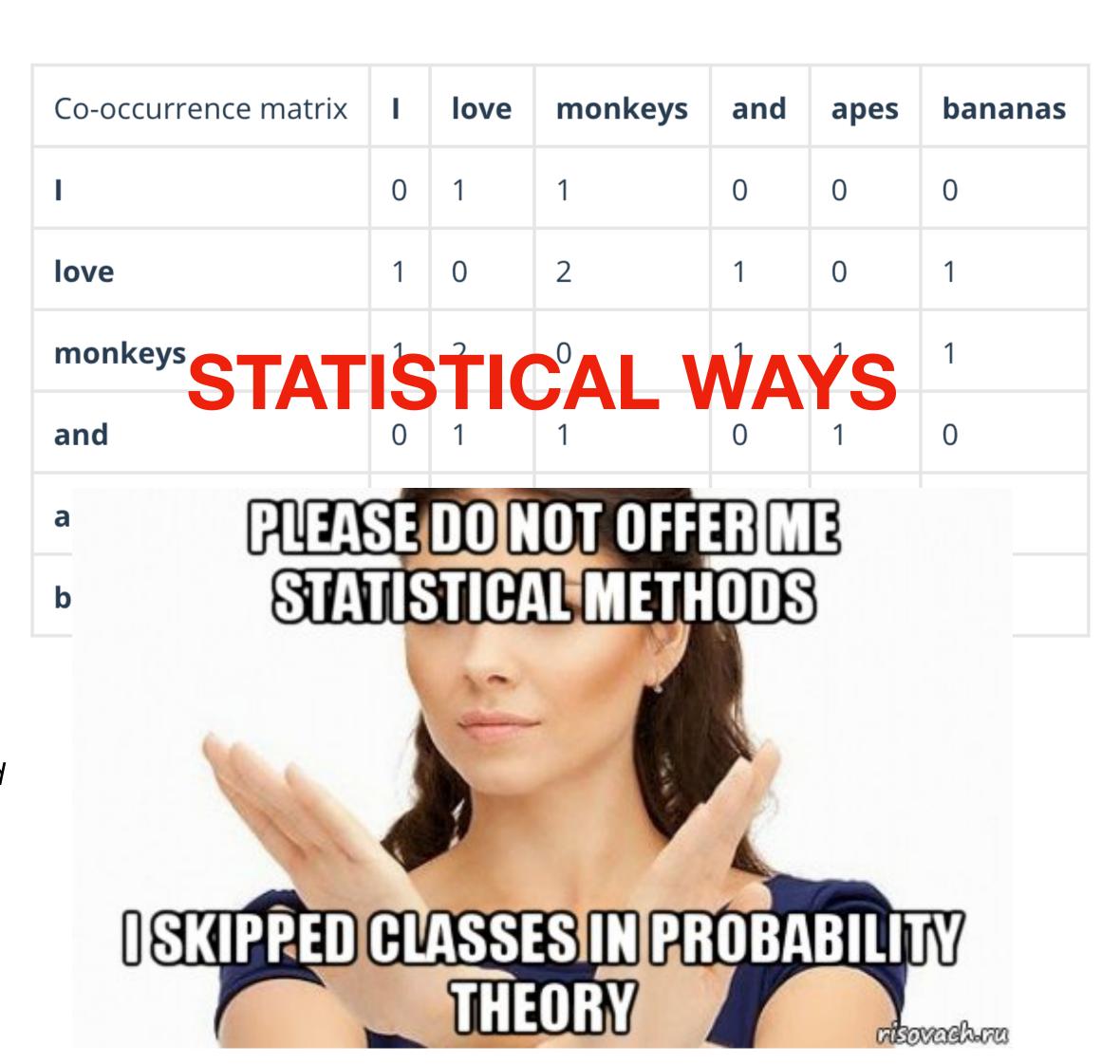
Co-occurrence matrix	1	love	monkeys	and	apes	bananas
I	0	1	1	0	0	0
love	1	0	2	1	0	1
monkeys	1	2	0	1	1	1
and	0	1	1	0	1	0
apes	0	0	1	1	0	0
bananas	0	1	1	0	0	0

$$PMI(w,c) = \log \frac{\hat{P}(w,c)}{\hat{P}(w)\hat{P}(c)} = \log \frac{\#(w,c)\cdot|D|}{\#(w)\cdot\#(c)}$$

### How can we build our vectors?

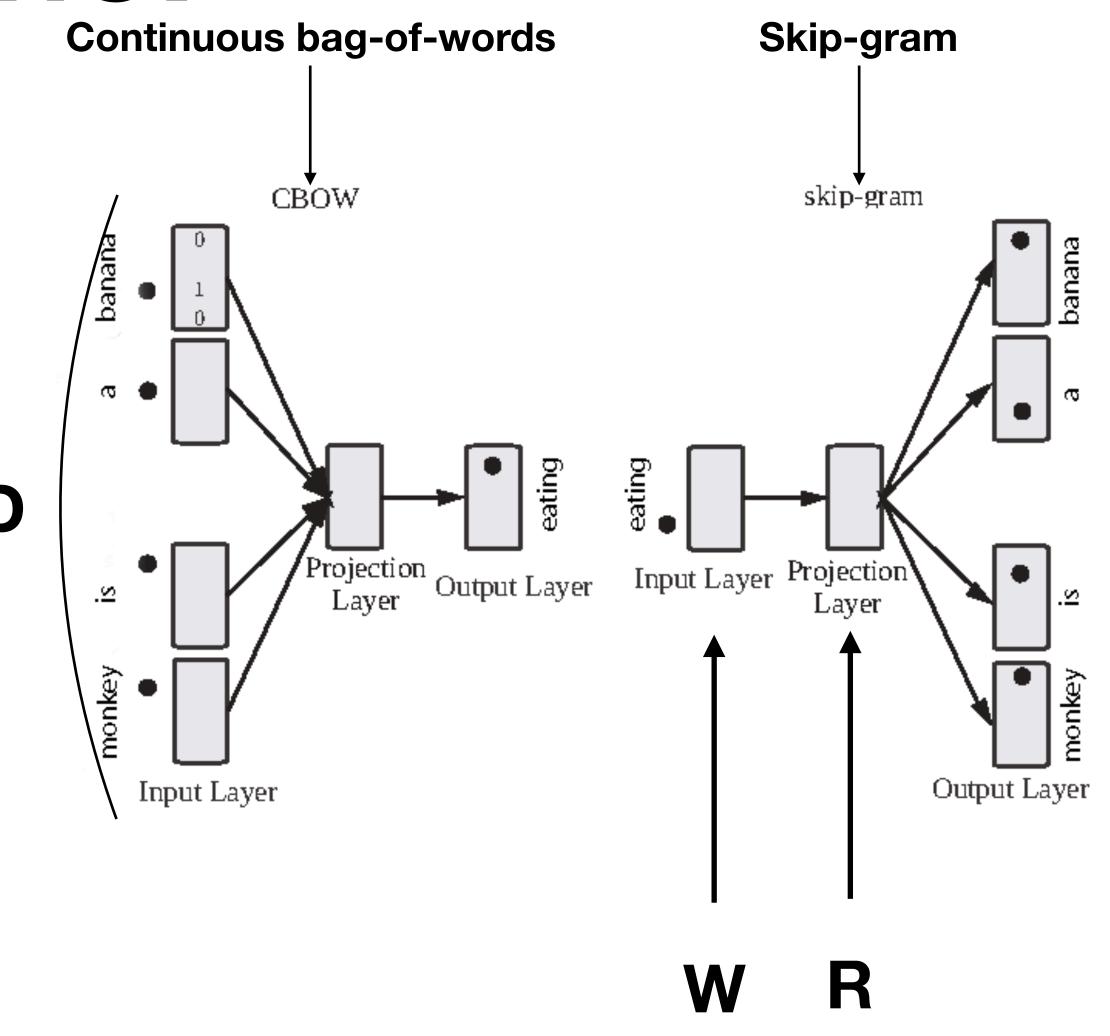
- This PMI method leads to many log(0)
   (i.e. -∞) entries (every time two words do not co-occur).
- The computation time in order to count all this is very expensive, especially if it's done naively. Fortunately, there are ways to do this requiring just a single pass through the entire corpus to collect the statistics.
- And then (in 2013) Tomas Mikolov came and saved everyone.

//Mikolov T. et al. Distributed representations of words and phrases and their compositionality //Advances in neural information processing systems. – 2013. – C. 3111-3119.



#### Word2vec scheme:

- It has an input layer that receives **D** one-hot encoded words which are of dimension **V** (the size of the vocabulary).
- It «averages» them, creating a single input vector.
- That input vector is multiplied by a weights
  matrix W (that has size VxD, being D nothing less than
  the dimension of the vectors that you want to create).
  That gives you as a result a D-dimensional vector.
- The vector is then multiplied by another matrix (R reverse W), this one of size DxV. The result will be a new V-dimensional vector.
- That V-dimensional vector is normalized to make all the entries a number between 0 and 1, and that all of them sum 1, using the softmax function, and that's the output. It has in the i-th position the predicted probability of the i-th word in the vocabulary of being the one in the middle for the given context.



### The skipgram model

 We assume that, given the central target word, the context words are generated independently of each other.

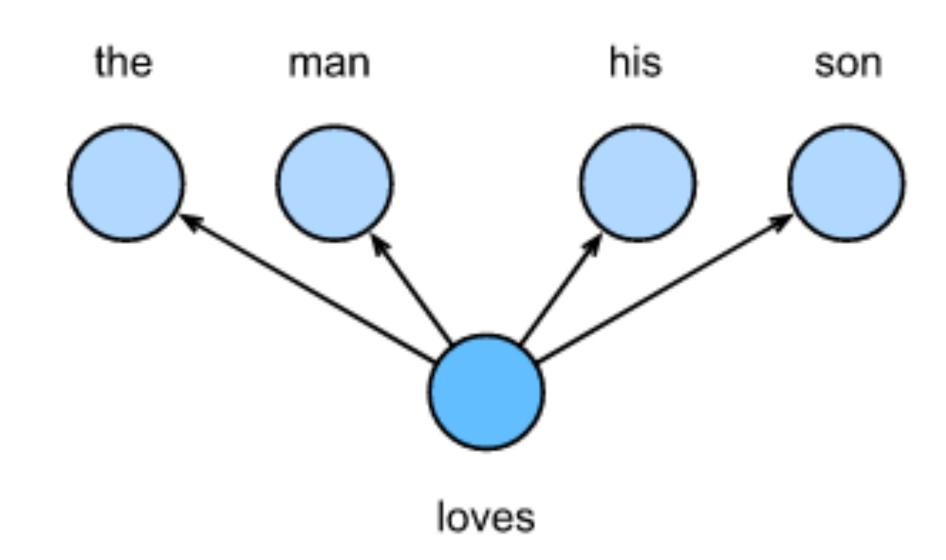
P(the, man, his, son | loves) = P(the | loves) \* P(man | loves) \* P(his | loves) \* P(son | loves)

• And 
$$p(w_o | w_c) = \frac{exp(u_o^T v_c)}{\sum_{i \in V} exp(u_i^T v_c)}$$
 cond. probability, u and v — vector representations.

u\_0 - context,v\_c - central target.

The likelihood function of the skip-gram model:

$$\prod_{i=1}^{T} \prod_{-m \le j \le m} P(w^{(t+j)} | w^t)$$



## Skipgram model training

• Loss function 
$$-\sum_{t=1}^{T}\sum_{-m\leq j\leq m,\ j\neq 0}\log\mathbb{P}(w^{(t+j)}\mid w^{(t)})$$

- If we want to SGD it we need to compute gradient of conditional probability:
- Through differentiation, we can get the gradient from the formula above.
- Any problems?

$$\log \mathbb{P}(w_o \mid w_c) = \mathbf{u}_o^{\mathsf{T}} \mathbf{v}_c - \log \left( \sum_{i \in \mathcal{V}} \exp(\mathbf{u}_i^{\mathsf{T}} \mathbf{v}_c) \right)$$

$$\begin{split} \frac{\partial \log \mathbb{P}(w_o \mid w_c)}{\partial \mathbf{v}_c} &= \mathbf{u}_o - \frac{\sum_{j \in \mathcal{V}} \exp(\mathbf{u}_j^\top \mathbf{v}_c) \mathbf{u}_j}{\sum_{i \in \mathcal{V}} \exp(\mathbf{u}_i^\top \mathbf{v}_c)} \\ &= \mathbf{u}_o - \sum_{j \in \mathcal{V}} \left( \frac{\exp(\mathbf{u}_j^\top \mathbf{v}_c)}{\sum_{i \in \mathcal{V}} \exp(\mathbf{u}_i^\top \mathbf{v}_c)} \right) \mathbf{u}_j \\ &= \mathbf{u}_o - \sum_{j \in \mathcal{V}} \mathbb{P}(w_j \mid w_c) \mathbf{u}_j. \end{split}$$

## Skipgram model training

• Loss function 
$$-\sum_{t=1}^{T}\sum_{-m\leq j\leq m,\ j\neq 0}\log\mathbb{P}(w^{(t+j)}\mid w^{(t)})$$

- If we want to SGD it we need to compute gradient of conditional probability:
- Through differentiation, we can get the gradient from the formula above:
- Its computation obtains the conditional probability for all the words in the dictionary given the central target word w\_c
   We then use the same method to obtain the gradients for other word vectors.

$$\log \mathbb{P}(w_o \mid w_c) = \mathbf{u}_o^{\mathsf{T}} \mathbf{v}_c - \log \left( \sum_{i \in \mathcal{V}} \exp(\mathbf{u}_i^{\mathsf{T}} \mathbf{v}_c) \right)$$

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## Negative sampling:

• Given a context window for the central target word  $w_c$ , we will treat it as an event for context word  $w_{-}o$  to appear in the context window and compute the probability of this event from

$$\mathbb{P}(D=1\mid w_c, w_o) = \sigma(\mathbf{u}_o^{\mathsf{T}}\mathbf{v}_c),$$

• Now we consider maximizing the joint probability  $\prod^{T} \prod P(D = 1 \mid w^{(t)}, w^{(t+j)})$ .

$$\prod_{t=1}^{T} \prod_{-m \le j \le m, \ j \ne 0} \mathbb{P}(D=1 \mid w^{(t)}, w^{(t+j)}).$$

However, the events included in the model only consider positive examples. We need to sample additional negative events (never occurred in the same context) and then:

$$\mathbb{P}(w^{(t+j)} \mid w^{(t)}) = \mathbb{P}(D=1 \mid w^{(t)}, w^{(t+j)}) \prod_{k=1, w_k \sim \mathbb{P}(w)}^K \mathbb{P}(D=0 \mid w^{(t)}, w_k).$$

### Negative sampling:

• Now we consider maximizing the joint probability

$$\prod_{t=1}^{T} \prod_{-m \le j \le m, \ j \ne 0} \mathbb{P}(D = 1 \mid w^{(t)}, w^{(t+j)}).$$

 However, the events included in the model only consider positive examples. We need to sample additional negative K events (never occurred in the same context) and then:

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- The logarithmic loss for the conditional probability above is
- Here, the gradient computation in each step of the training is no longer related to the dictionary size, but linearly related to K

$$= -\log \sigma \left(\mathbf{u}_{i_{t+j}}^{\mathsf{T}} \mathbf{v}_{i_{t}}\right) - \sum_{k=1, w_{k} \sim \mathbb{P}(w)}^{K} \log \left(1 - \sigma \left(\mathbf{u}_{h_{k}}^{\mathsf{T}} \mathbf{v}_{i_{t}}\right)\right)$$

$$= -\log \sigma \left(\mathbf{u}_{i_{t+j}}^{\mathsf{T}} \mathbf{v}_{i_{t}}\right) - \sum_{k=1, w_{k} \sim \mathbb{P}(w)}^{K} \log \sigma \left(-\mathbf{u}_{h_{k}}^{\mathsf{T}} \mathbf{v}_{i_{t}}\right).$$

 $-\log \mathbb{P}(w^{(t+j)} \mid w^{(t)}) = -\log \mathbb{P}(D=1 \mid w^{(t)}, w^{(t+j)}) - \sum_{k=0}^{K} \log \mathbb{P}(D=0 \mid w^{(t)}, w_k)$ 

### Negative sampling:

• The logarithmic loss for the conditional probability above is

$$-\log \mathbb{P}(w^{(t+j)} \mid w^{(t)}) = -\log \mathbb{P}(D = 1 \mid w^{(t)}, w^{(t+j)}) - \sum_{k=1, w_k \sim \mathbb{P}(w)}^{K} \log \mathbb{P}(D = 0 \mid w^{(t)}, w_k)$$

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• **Key idea:** sample additional negatives and learn your positive probabilities «opposite to» them.

#### Hierarchical softmax:

- L(w) the number of nodes on the path (including the root and leaf nodes)
- $n_{-}(w,j)$  the *j*th node on this path, with the context word vector  $\mathbf{u}_{-}(n(w,j))$
- will approximate the conditional probability in the skip-gram model as:

$$\mathbb{P}(w_o \mid w_c) = \prod_{i=1}^{L(w_o)-1} \sigma\left( \llbracket n(w_o, j+1) = \mathbf{leftChild}(n(w_o, j)) \rrbracket \cdot \mathbf{u}_{n(w_o, j)}^{\mathsf{T}} \mathbf{v}_c \right),$$

 $n(w_3, 1)$ 

 $n(w_3, 3)$ 

And for w3:

$$\mathbb{P}(w_3 \mid w_c) = \sigma(\mathbf{u}_{n(w_3,1)}^{\mathsf{T}} \mathbf{v}_c) \cdot \sigma(-\mathbf{u}_{n(w_3,2)}^{\mathsf{T}} \mathbf{v}_c) \cdot \sigma(\mathbf{u}_{n(w_3,3)}^{\mathsf{T}} \mathbf{v}_c).$$

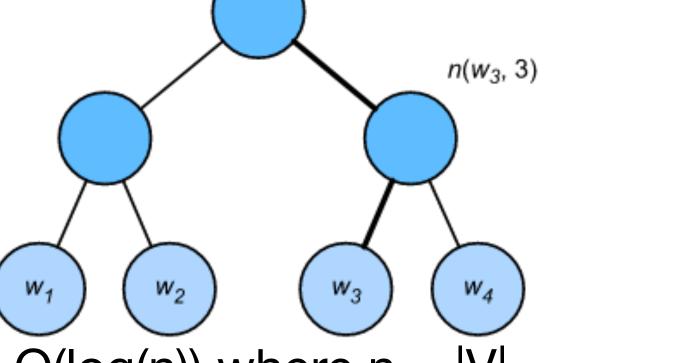
 $n(w_3, 2)$ 

#### Hierarchical softmax:

•  $\sigma(x)+\sigma(-x)=1$ , the condition that the sum of the conditional probability of any word generated based on the given central target word  $\mathbb{P}(w \mid w_c) = 1.$ 

 $w \in \mathcal{V}$ 

What is u\_n(w\_3, 2) (for example) — separate vectors we should learn (lurk refs for moar math)



 $n(w_3, 2)$ 

 $n(w_3, 1)$ 

HSoftmax reduce softmax calculation from O(n) to O(log(n)) where n = |V|

We can also use Huffman trees to encode more frequent words with shorter paths

## So what? (Synonyms)

```
get_similar_tokens('chip', 3, glove_6b50d)

get_similar_tokens('baby', 3, glove_6b50d)

cosine sim=0.856: chips
cosine sim=0.749: intel
cosine sim=0.749: electronics

get_similar_tokens('baby', 3, glove_6b50d)

cosine sim=0.839: babies
cosine sim=0.800: boy
cosine sim=0.893: gorgeous
cosine sim=0.830: wonderful
```

- get\_similar\_tokens top-K words by cosine measure to the target word;
- glove\_6b50d glove model on some common corpora (Wikipedia?) with 6B of words and vector dimension equals to 50;

# So what? (2) (Finding Analogies)

```
get_analogy('man', 'woman', 'son', glove_6b50d)

'daughter'
```

get\_analogy('bad', 'worst', 'big', glove\_6b50d)
'biggest'

get\_analogy('do', 'did', 'go', glove\_6b50d)

'went'

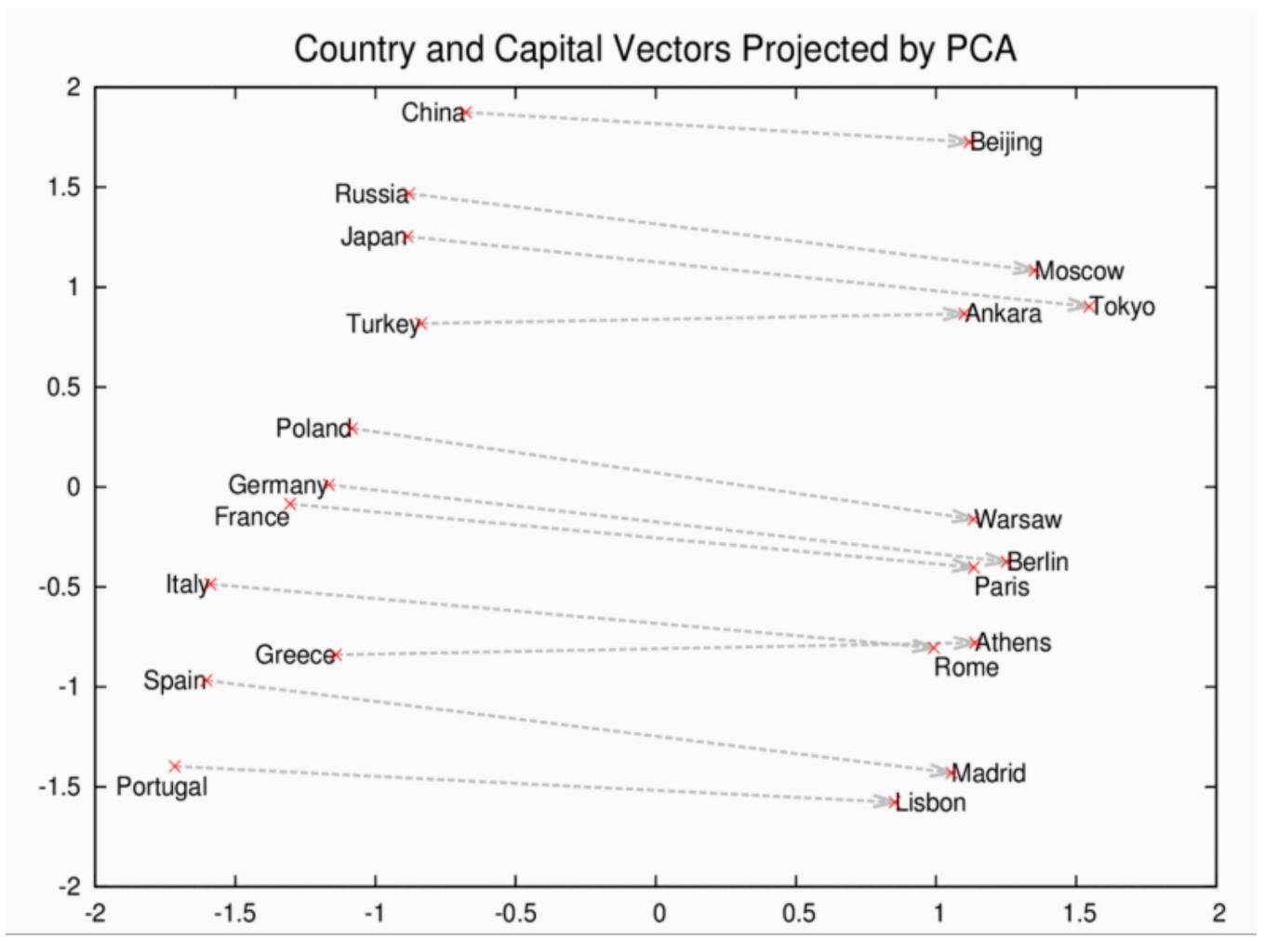
"Capital-country" analogy

"Adjective-superlative adjective" analogy

"Present tense verb-past tense verb" analogy

- And it's only x = vec(c) + vec(b) vec(a)
- And then top word for x

# So What? (country-capital)



Based on Wikipedia training corpora

### Any problems?

Out-of-vocabulary

How we can train it? How big our doc's collection should be?

Stop, firstly we talk about text and word2vec is about words

### Any problems?

Out-of-vocabulary

Yeah, it's true. But there are few extensions; (fastText)

Stop, firstly we talk about text and word2vec is about words

Ok, average it; Or average with weights; Or do not average and learn some averaging embedding; (look to BERT model)

• How we can train it? How big our doc's collection should be?

Really big; Starting from 10+M of symbols; Use pertained vectors;

#### FastText

- First, we add the special characters "<" and ">" at the beginning and end of the word to distinguish the subwords used as prefixes and suffixes.
- Then, we treat the word as a sequence of characters to extract the *n*-grams.

where = {"<wh", "whe", "her", "ere", "re>",} + "<where>"

$$\mathbf{u}_{w} = \sum_{g \in \mathcal{G}_{w}} \mathbf{z}_{g}.$$

And there rest as in skiagram model;

- Any thoughts?
- It needs a **MUCH MORE** space to store the model (8Gb vs 1-2Gb)
- It needs a **MUCH MORE** corpora to train sufficient model (billions vs millions of symbols)
- It allows us to approximate our unknown word by n-grams it contains;
   For example «ЧЕБУПЕЛИ» by known «ЧЕБУРЕКИ» and «ПЕЛЬМЕНИ»

#### Word to text:

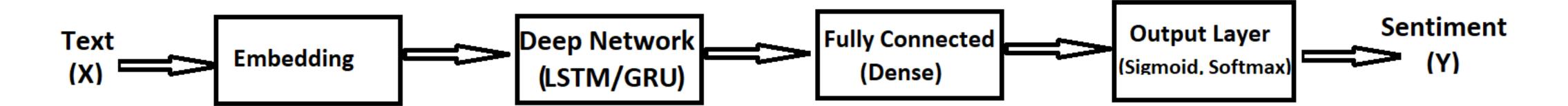
- Average words vectors:
  - More words you averages -> more un-informative representation you get (kind of dimensionality curse). Practically starts from 5-10 words;
- Average words vectors with some weights (TF-IDF):
  - Same problems, yeah. Start 10-15 words;
- Try to «learn» your text's embeddings from word embeddings:
  - Bring LDA-like approach to word2vec (glove);
  - Use transformers-attention-trillions of TPU's and spend all money you have on AWC (BERT);

### Word2Vec myths:

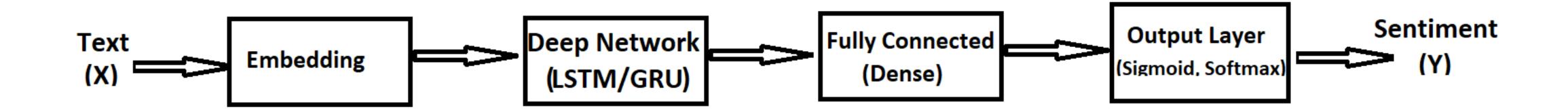
- Word2vec is the best word vector algorithm
- Word vectors are created by deep learning
- Word vectors are used only with deep learning
- Statistical and predictive methods have nothing to do with each other
- There's a perfect set of word vectors that can be used in every NLP project

#### Use cases:

- There are some semantic-oriented task (for example topic classification) and you have not a lot of data (you have a need to bring outer semantic info into your corpora)
- You need to build quick (and maybe not so good) similarity measurement for your recommendation system. (works great for a cold start)
- You can use vector embeddings as a simple representation for any kind of classification task (but you should use an algorithm with unlimited dimension as input)



#### Use cases:



- Embedding (layer) turns your words into vector representation
- Deep Network turns your sentence (with «no limitation» on it's length) into compressed representation.
- Fully Connected Layer performs classification (regression/binary classification etc.)
- Output Layer transform predictions into answers; Sigmoid for binary classification or Softmax for both binary and multi classification

#### Refs:

- Word2vec: <a href="https://papers.nips.cc/paper/5021-distributed-representations-of-words-and-phrases-and-their-compositionality.pdf">https://papers.nips.cc/paper/5021-distributed-representations-of-words-and-phrases-and-their-compositionality.pdf</a>
- Vectors: <a href="http://vectors.nlpl.eu/repository/">http://vectors.nlpl.eu/repository/</a>
- Russian vectors on Russian national corpora: <a href="https://rusvectores.org/ru/">https://rusvectores.org/ru/</a>
- There is word2vec learning and inference in gensim: <a href="https://radimrehurek.com/gensim/">https://radimrehurek.com/gensim/</a>
   models/word2vec.html

•

#### Refs

- Perfect mxnet tutorial on words vectors:
   <a href="http://www.d2l.ai/chapter\_natural-language-processing-pretraining/approx-training.html">http://www.d2l.ai/chapter\_natural-language-processing-pretraining/approx-training.html</a>
- Good article «for Dummies»: <a href="https://monkeylearn.com/blog/word-embeddings-transform-text-numbers/">https://monkeylearn.com/blog/word-embeddings-transform-text-numbers/</a>
- Another good article: <u>https://towardsdatascience.com/machine-learning-word-embedding-sentiment-classification-using-keras-b83c28087456</u>
- Some articles if u want MOAR MATH (softmax tricks explained): <a href="http://ruder.io/word-embeddings-softmax/index.html#hierarchicalsoftmax">http://ruder.io/word-embeddings-softmax/index.html#hierarchicalsoftmax</a>
- And really you can google BERT, ELMO and GloVe by yourself